

# Modelling the PHASES of QCD

Wolfram Weise  
Technische Universität München



- **QCD SYMMETRIES** and **SYMMETRY BREAKING PATTERNS**
- Dynamical **entanglement** of **CHIRAL** and **DECONFINEMENT** transitions
- Role of the **AXIAL U(1) ANOMALY**
- **PHASE DIAGRAM** at finite **BARYON DENSITY**, **NUCLEAR MATTER**, **CRITICAL POINT**, and all that ...



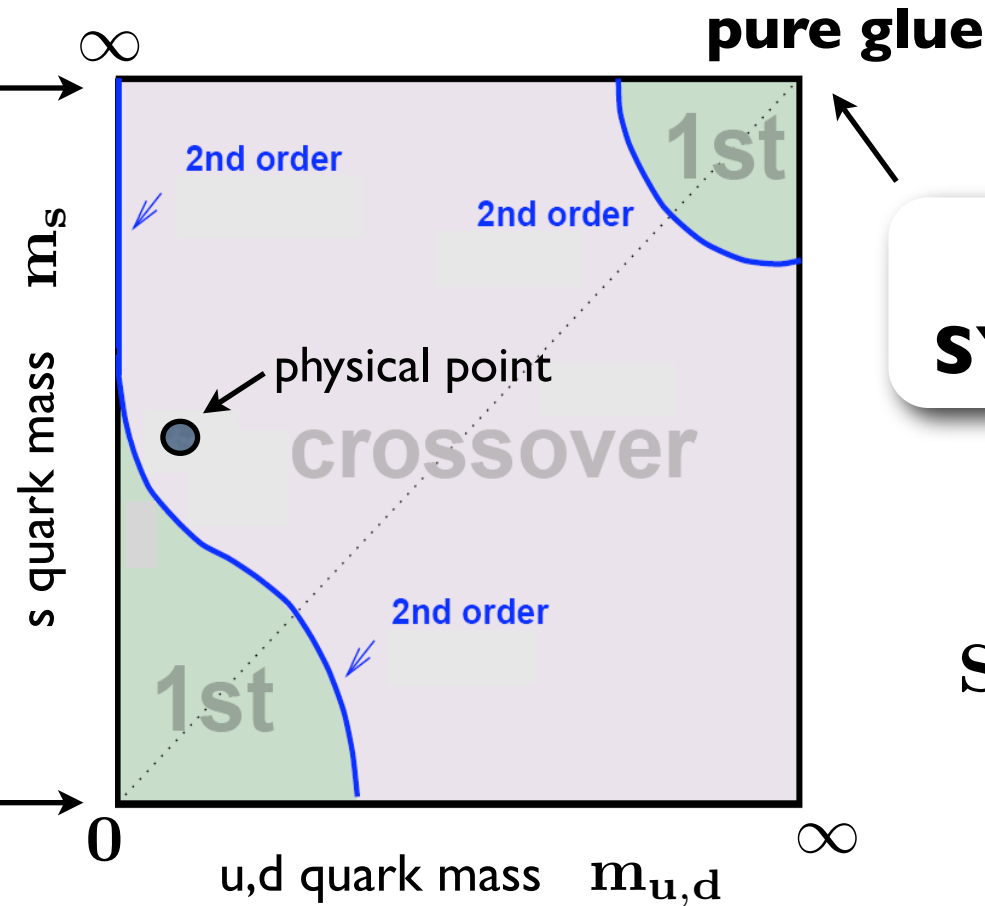
# TWO SYMMETRIES that govern **LOW-ENERGY QCD**

$$SU(2)_R \times SU(2)_L$$

**CHIRAL SYMMETRY**

$$SU(3)_R \times SU(3)_L$$

exact for massless quarks



**$Z(3)$  SYMMETRY**

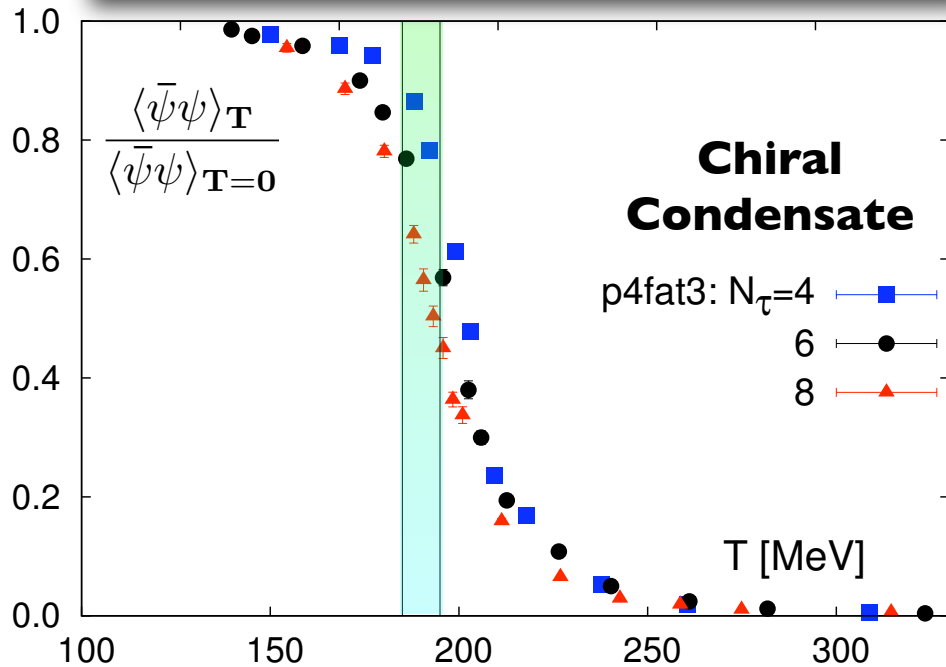
Center of gauge group  
 **$SU(N_c = 3)$**

exact for infinitely heavy quarks



# LATTICE QCD THERMODYNAMICS: CHIRAL and DECONFINEMENT TRANSITIONS

spontaneously broken **Chiral Symmetry**

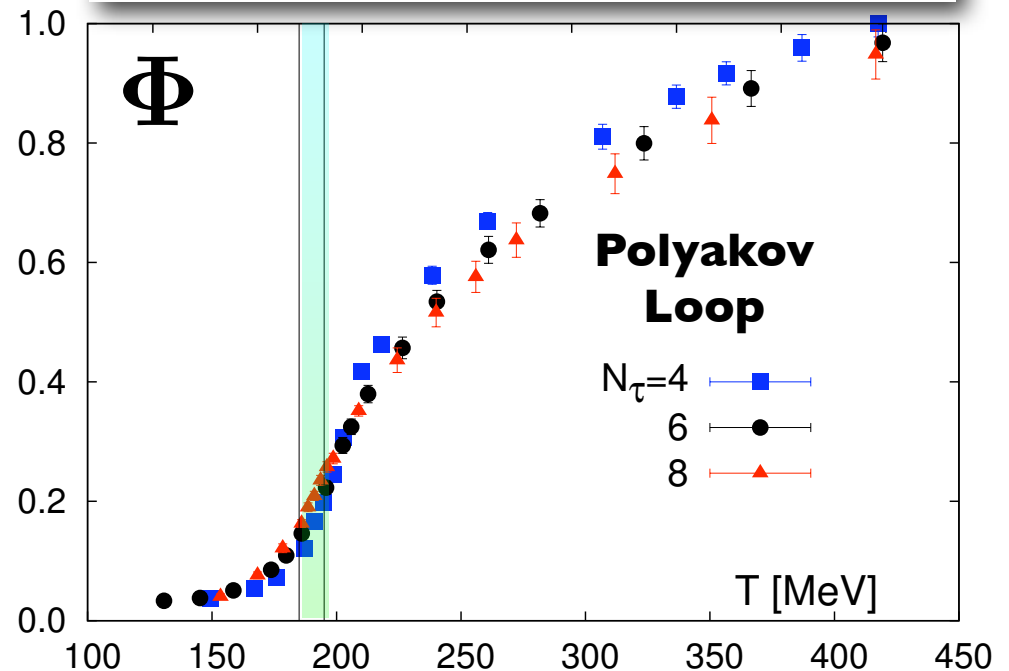


**Lattice QCD** (2+1 flavours)  
almost physical quark masses

M. Cheng et al.  
Bielefeld/BNL-Riken/Columbia  
Phys. Rev. D77 (2008) 014511

F. Karsch et al. arXiv:0711.0661 [hep-lat]

spontaneously broken **Z(3) Symmetry**



- **crossover transitions**
- **no critical temperature** in strict sense
- **chiral and deconfinement transitions** seem to **coincide**



**POLYAKOV LOOP** dynamics



**Confinement**

**Synthesis**

Pisarsky (2000)

Fukushima (2004)

Ratti, Thaler, W.W. (2005)

**PNJL MODEL**

**NAMBU & JONA-LASINIO**  
model



Spontaneous  
**Chiral Symmetry**  
Breaking

Nambu, Jona-Lasinio (1961)

**Fermion** (quark)  
effective **Hamiltonian**

**Polyakov loop**  
effective **potential**

● **Action :**

$$\mathcal{S}(\psi, \psi^\dagger, \phi) = \int_0^{\beta=1/T} d\tau \int_V d^3\mathbf{x} [\psi^\dagger \partial_\tau \psi + \mathcal{H}(\psi, \psi^\dagger, \phi)] - \frac{V}{T} \mathcal{U}(\phi, T)$$

- identify dominant **collective degrees of freedom**  
(→ **order parameters**)
- **quarks as quasiparticles** with dynamically generated masses



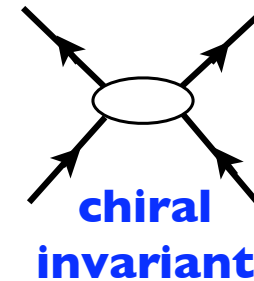
# Sketch of (non-local) **PNJL MODEL**

- Action :**

$$\mathcal{S}(\psi, \psi^\dagger, \phi) = \int_0^{\beta=1/T} d\tau \int_V d^3\mathbf{x} [\psi^\dagger \partial_\tau \psi + \mathcal{H}(\psi, \psi^\dagger, \phi)] - \frac{V}{T} \mathcal{U}(\phi, \mathbf{T})$$

- Fermionic Hamiltonian density (NJL) :**

$$\mathcal{H} = -i\psi^\dagger (\vec{\alpha} \cdot \vec{\nabla} + \gamma_4 \mathbf{m}_0 - \phi) \psi + \mathcal{V}(\psi, \psi^\dagger)$$



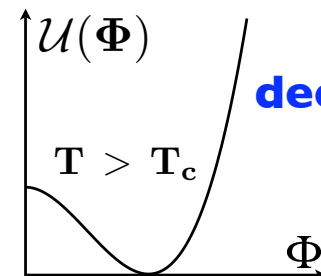
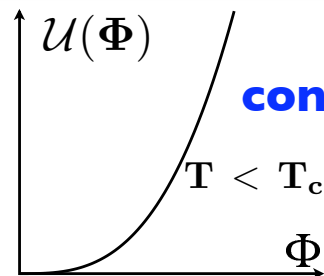
**Non-local  
fermion  
interaction**

- Temporal background gauge field**  $\phi = \phi_3 \lambda_3 + \phi_8 \lambda_8 \in \text{SU}(3)$

$$\Phi = \frac{1}{N_c} \text{Tr} \left[ \exp \left( i \int_0^{1/T} d\tau \mathbf{A}_4 \right) \right] \equiv \frac{1}{3} \text{Tr} \exp(i\phi/T)$$

**Polyakov  
loop**

- Effective potential :**

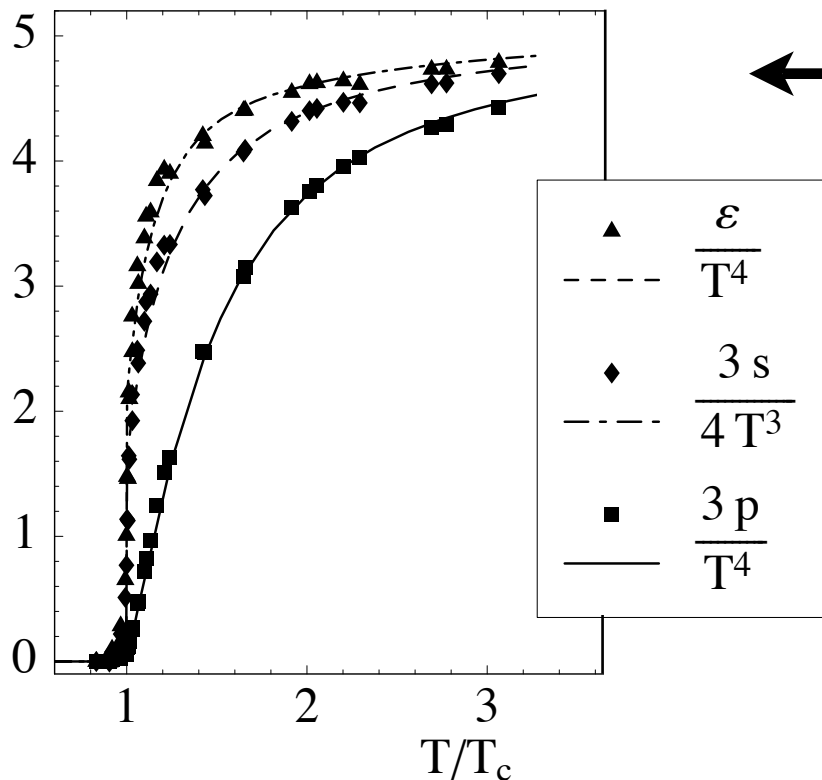


# Polyakov Loop Effective Potential from “PURE GLUE” Lattice Thermodynamics

- Minimization of  $\mathcal{U}(\Phi(\mathbf{T}), \mathbf{T}) = -p(\mathbf{T})$  R. Pisarsky (2000)  
K. Fukushima (2004)

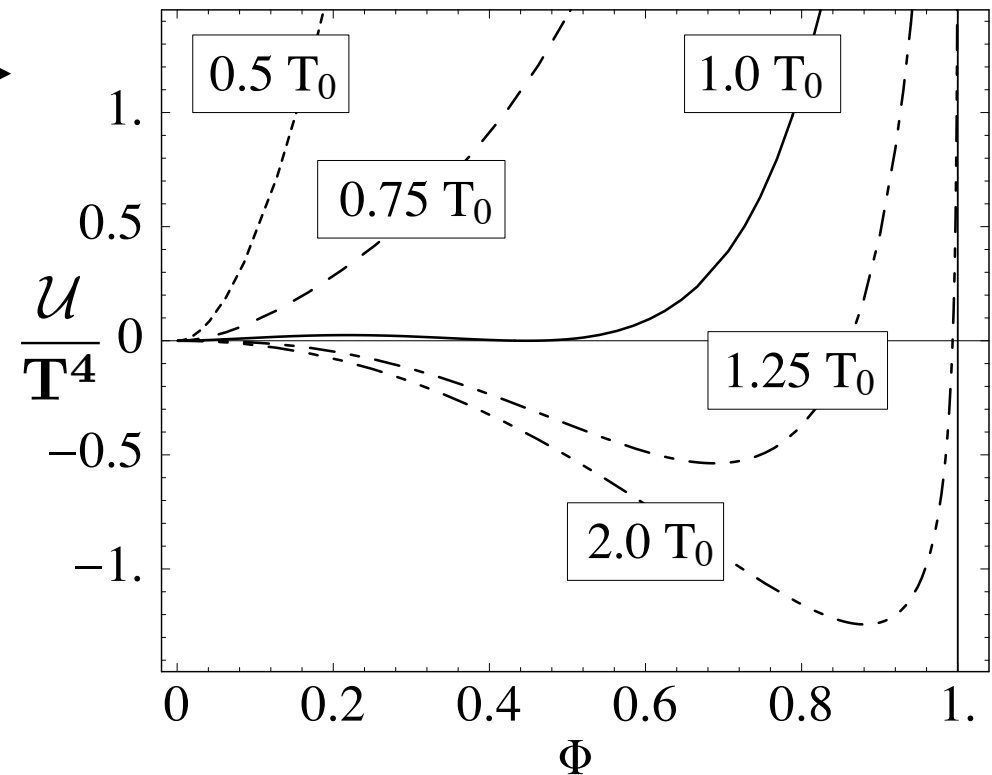
$$\mathcal{U}(\Phi, \mathbf{T}) = -\frac{1}{2}a(\mathbf{T}) \Phi^* \Phi - b(\mathbf{T}) \ln[1 - 6 \Phi^* \Phi + 4(\Phi^{*3} + \Phi^3) - 3(\Phi^* \Phi)^2]$$

energy density,  
entropy density, pressure



lattice results:  
O. Kaczmarek et al. PLB 543 (2002) 41

Polyakov loop effective potential



S. Rößner, C. Ratti, W.W. PRD 75 (2007) 034007

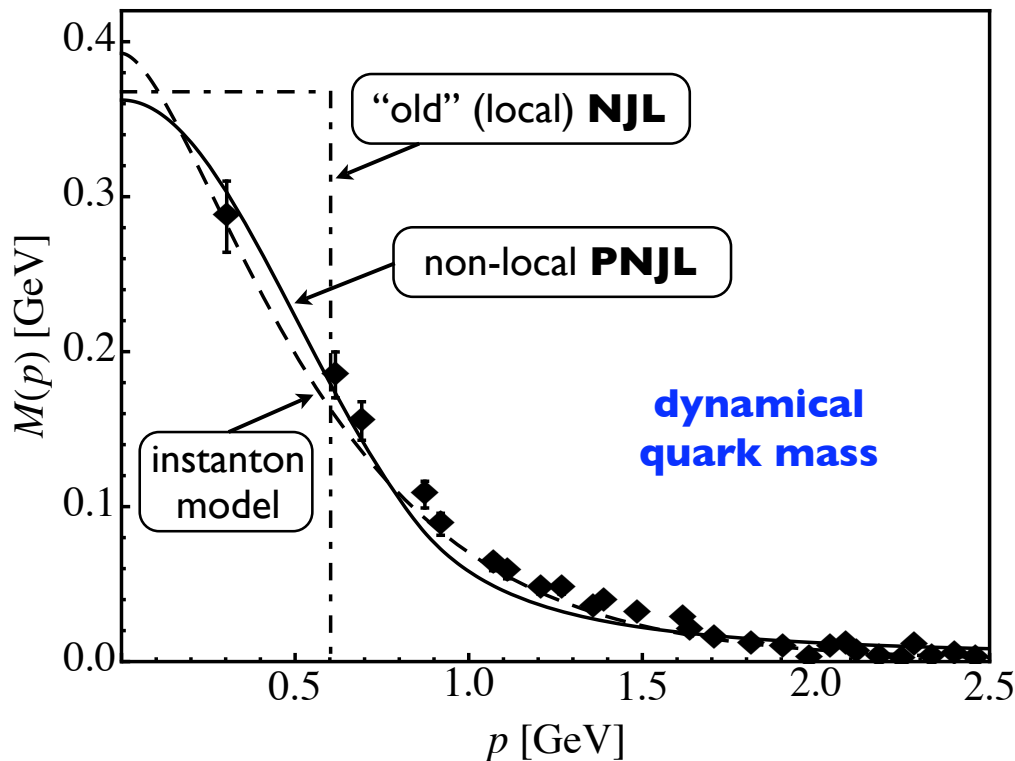
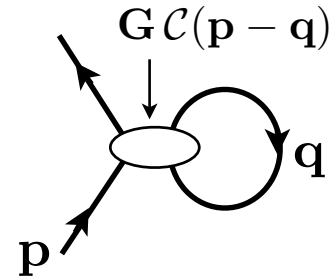
**first order phase transition**

$T_c(\text{pure gauge}) \equiv T_0 \simeq 270 \text{ MeV}$

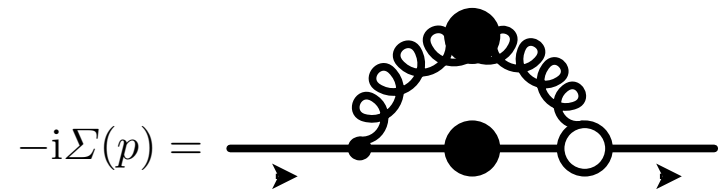
# Non-Local PNJL Model: **GAP EQUATION**

- **momentum dependent, dynamical quark mass**

$$M(p) = m_0 + 4N_f N_c G \int \frac{d^4q}{(2\pi)^4} \mathcal{C}(p-q) \frac{M(q)}{q^2 + M^2(q)}$$



- correlation length  $d \simeq 0.35$  fm (typical **instanton** size)
- coupling strength  $\sqrt{G} \simeq 1$  fm
- consistent with self-energy from **Dyson-Schwinger** calculations (Landau gauge)



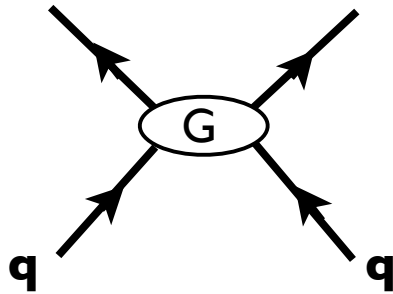
T. Hell, S. Rößner, M. Cristoforetti, W.W.  
Phys. Rev. D79 (2009) 014022, and preprint

C.D. Roberts, S.M. Schmidt, et al.  
Ch. Schäfer et al.



# THREE - FLAVOUR non-local PNJL MODEL

- includes:

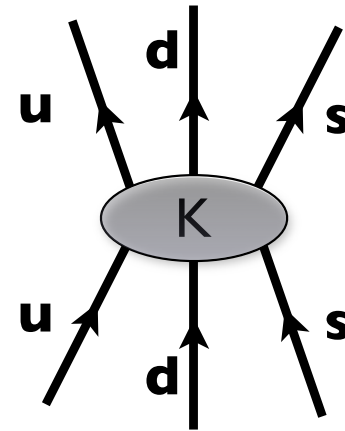


chiral  $U(3)_R \times U(3)_L$  invariant

- plus

Kobayashi-Maskawa-  
't Hooft interaction

$$K[\det \bar{\psi}(1 - \gamma_5)\psi + \det \bar{\psi}(1 + \gamma_5)\psi]$$



breaks  
**axial**  
 $U(1)_A$

- input:**

$$G \simeq 1 \text{ fm}^2 \quad K \simeq -(0.63 \text{ fm})^5$$

$m_{u,d}$	$m_s$
3.0 MeV	70 MeV

T. Hell, S. Rößner, M. Cristoforetti, W.W.: Phys. Rev. D79 (2009) 014022 and preprint





# THREE - FLAVOUR non-local PNJL MODEL

(contd.)

- Chiral low-energy theorems and Current Algebra relations o.k.  
e.g.: Gell-Mann, Oakes, Renner relation

$$m_\pi^2 f_\pi^2 = -m_q \langle \bar{\psi}\psi \rangle + \mathcal{O}(m_q^2)$$

- output:**

$\langle \bar{u}u \rangle = \langle \bar{d}d \rangle$	$\langle \bar{s}s \rangle$
$-(0.304 \text{ GeV})^3$	$-(0.323 \text{ GeV})^3$

$m_\pi$	$m_K$	$m_\eta$	$m_{\eta'}$	$f_\pi$	$f_K$
139 MeV	495 MeV	547 MeV	964 MeV	92.8 MeV	110.1 MeV

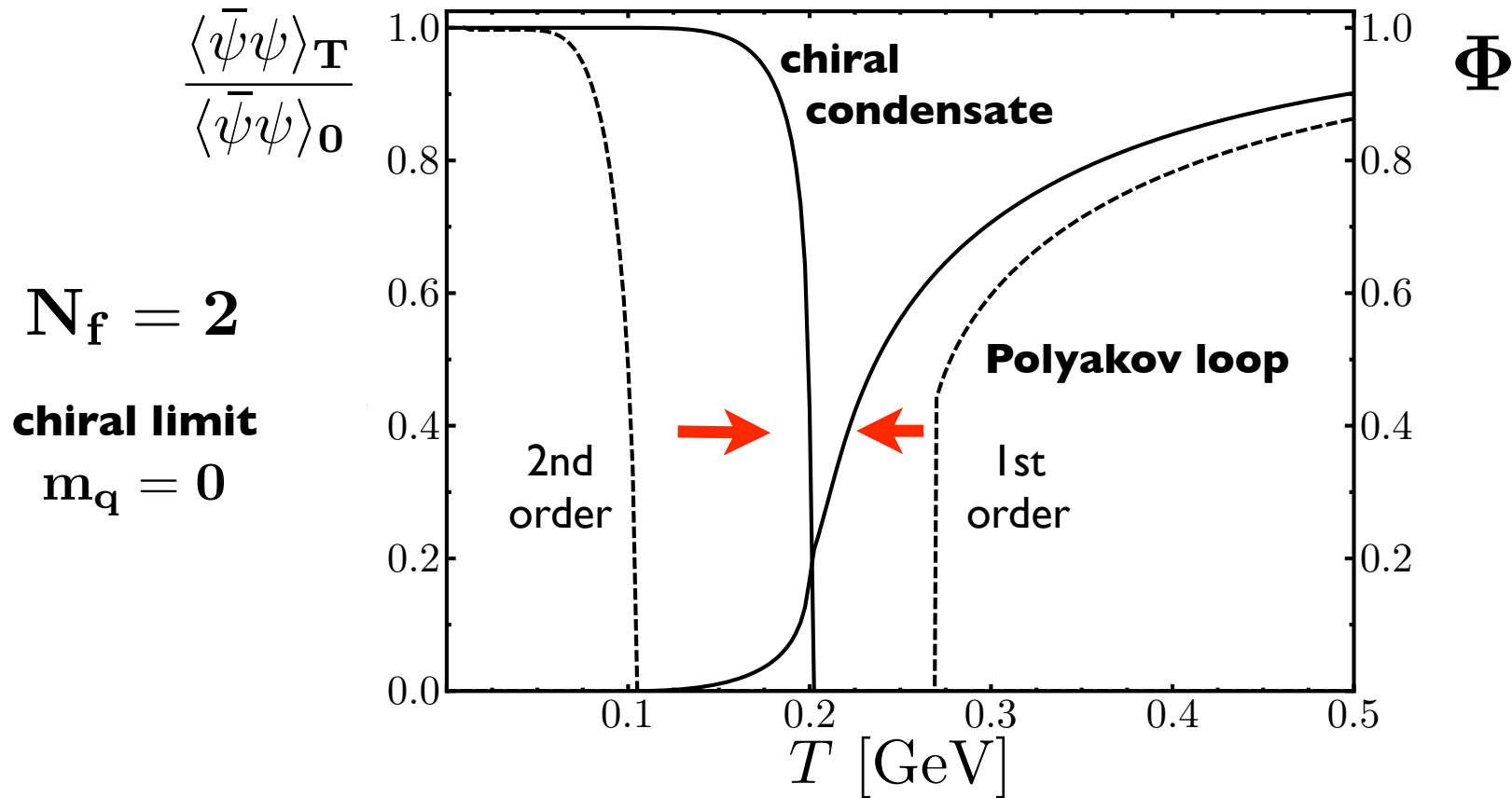
$\eta - \eta'$  mixing angle  $\theta_{\eta'} \simeq -29^\circ$

T. Hell, S. Rößner, M. Cristoforetti, W.W.: preprint (2009)



# Entanglement of **CONFINEMENT** and **SPONTANEOUS CHIRAL SYMMETRY BREAKING**

## Thermodynamics of the **PNJL** model



S. Rössner, C. Ratti, W.W.  
 Phys. Rev. D 75 (2007) 034007

T. Hell, S. Rössner, M. Cristoforetti, W.W.  
 Phys. Rev. D 79 (2009) 014022

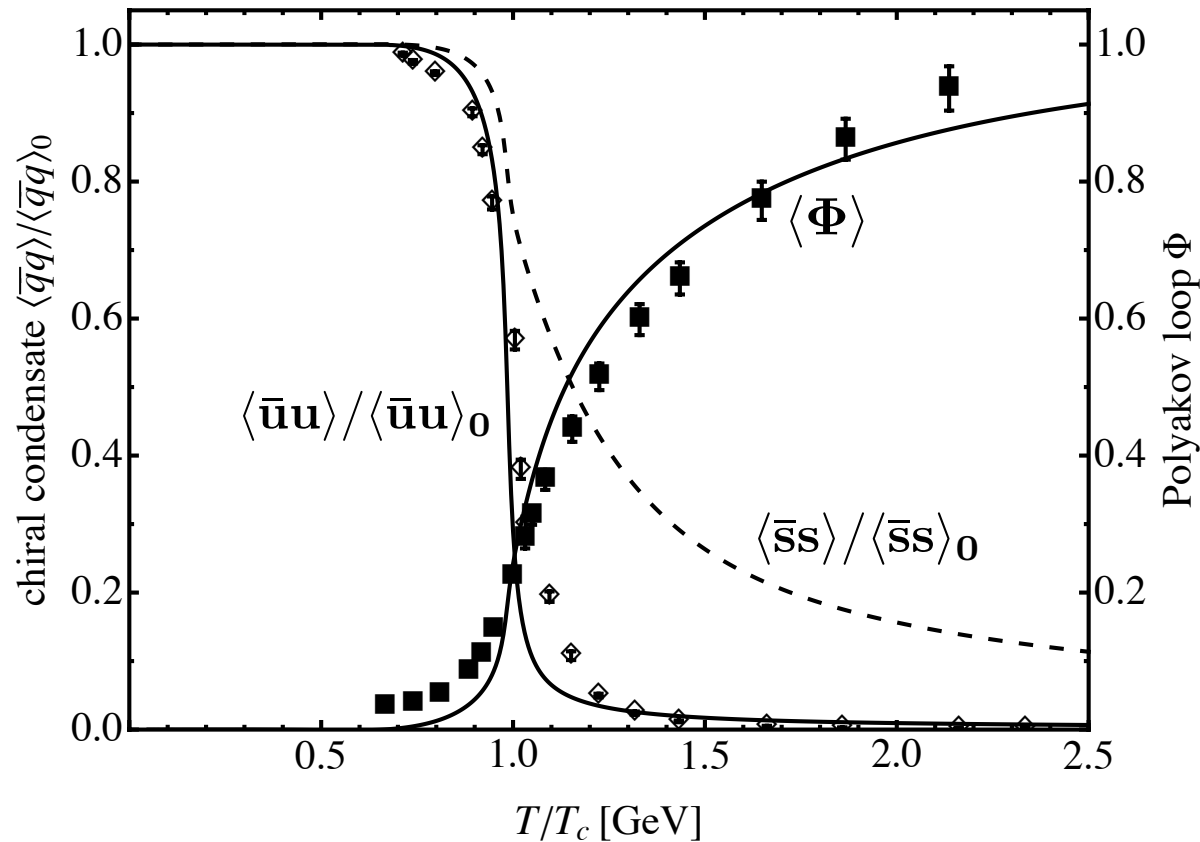


# Entanglement of **CONFINEMENT** and **SPONTANEOUS CHIRAL SYMMETRY BREAKING**

$$N_f = 2 + 1$$

## Thermodynamics of the **PNJL** model

in comparison with **Lattice QCD** (with almost physical quark masses)



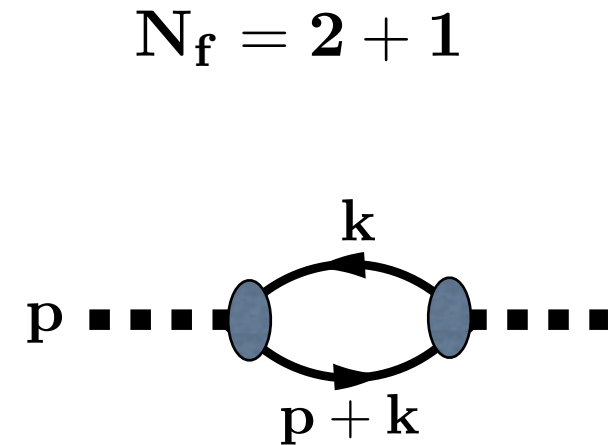
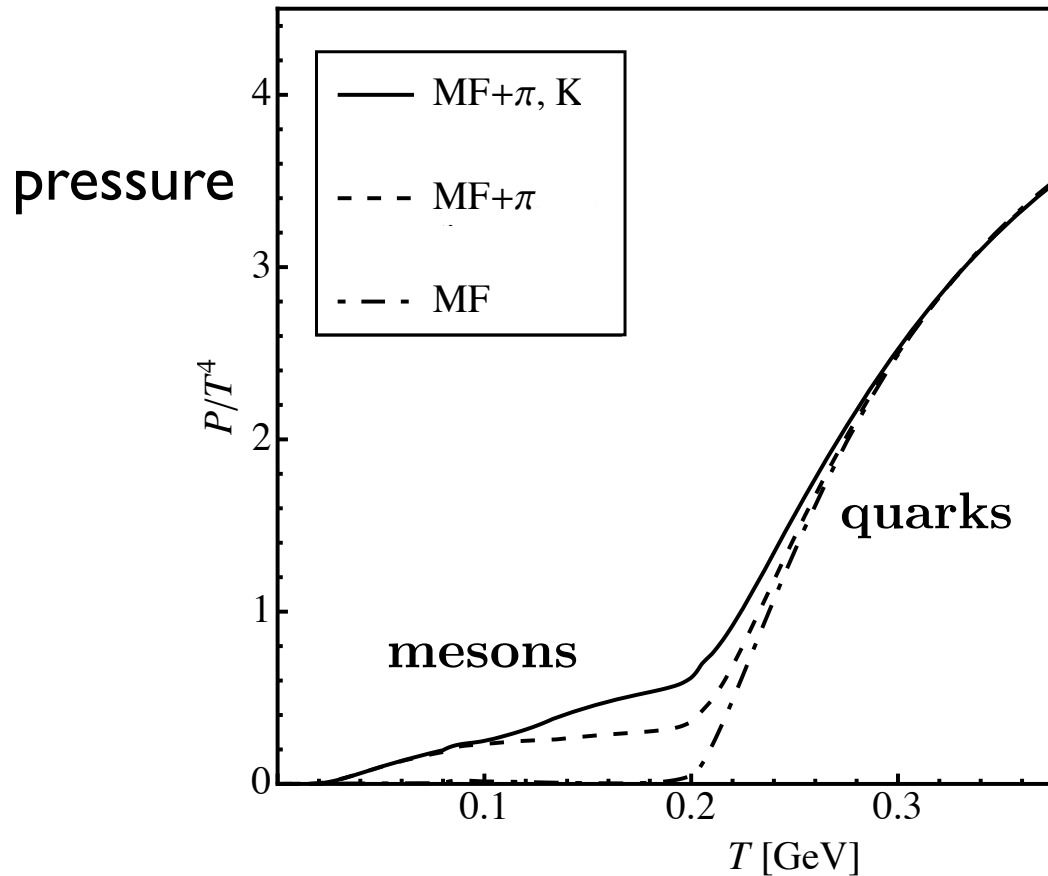
**PNJL:** T. Hell, M. Cristoforetti, S. Rössner, W.W. (2009)

**Lattice:** M. Cheng et al. (Bielefeld/BNL/Columbia)  
Phys. Rev. D77 (2008) 014511



# Beyond Mean Field: **Mesonic Excitations**

- contribution of mesonic quark-antiquark modes to pressure



T. Hell, S. Rössner,  
M. Cristoforetti, W.W.  
(2009)

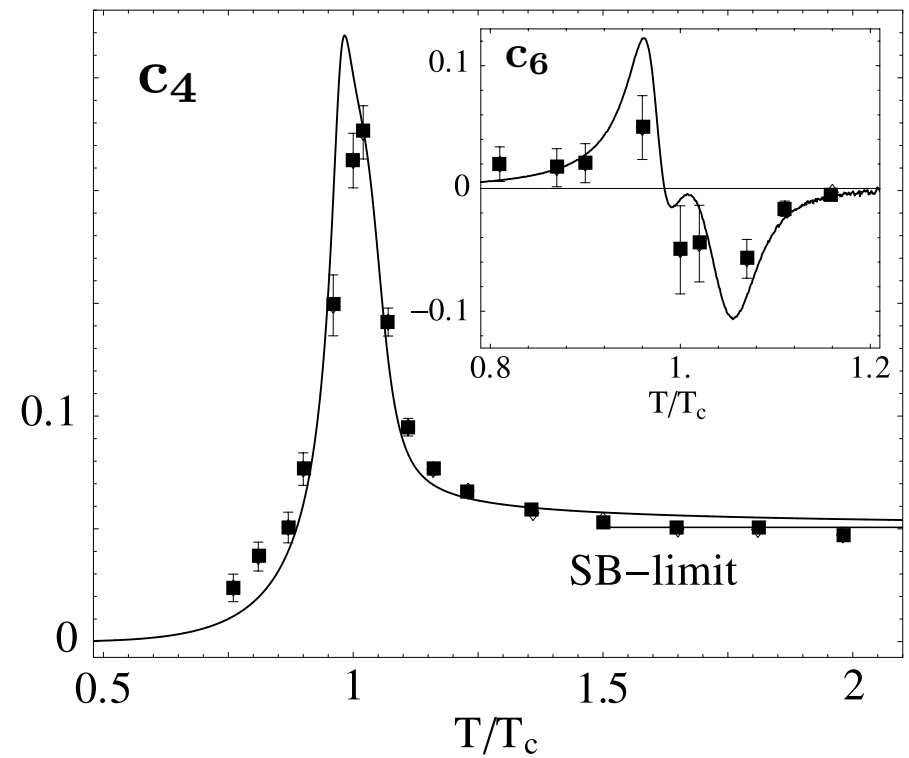
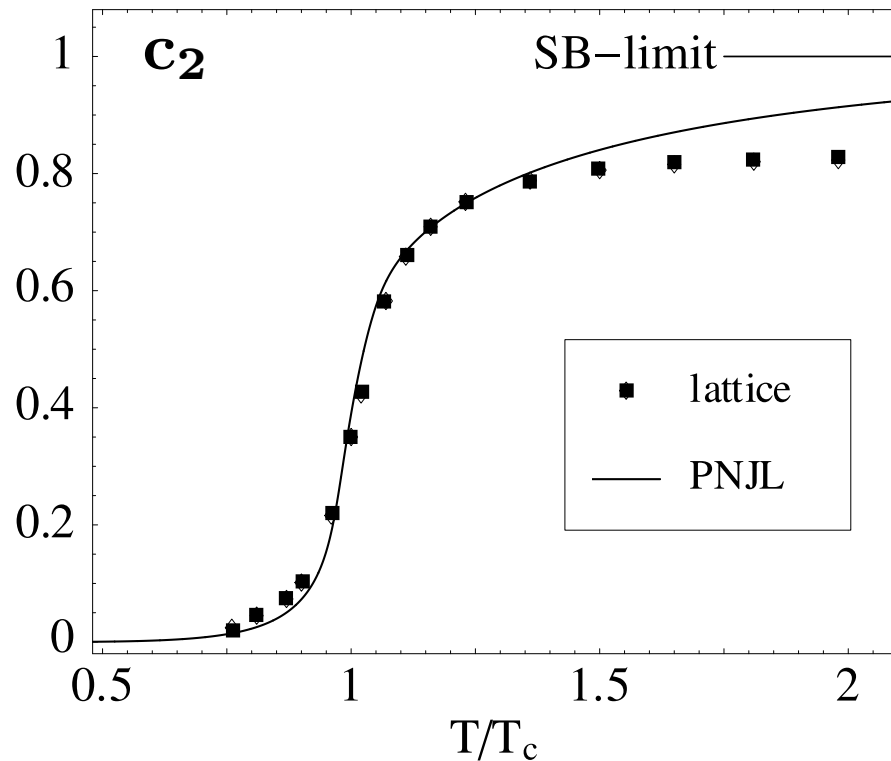
$$P_{\text{meson}}(T) = - \sum_{M = \pi, K, \dots} \frac{d_M}{2} T \sum_{m \in \mathbb{Z}} \int \frac{d^3 p}{(2\pi)^3} \ln [1 - G \Pi_M(\nu_m, \vec{p})]$$



# Non-zero **QUARK CHEMICAL POTENTIAL**

- Taylor expansion of pressure:

$$P(\mathbf{T}, \mu) = T^4 \sum_n c_n(\mathbf{T}) \left( \frac{\mu}{T} \right)^n$$



$N_f = 2$

S. Rößner, C. Ratti, W.W.  
Phys. Rev. D 75 (2007) 034007

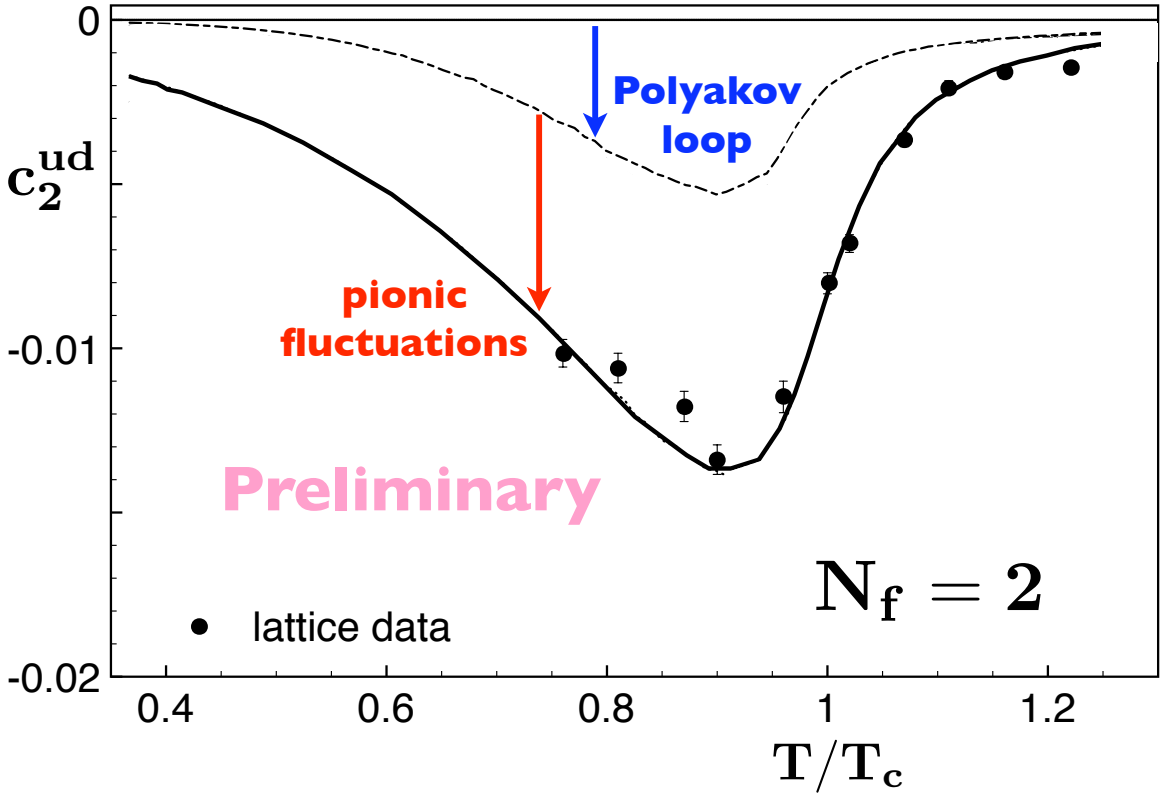
Lattice:  
C.R. Allton et al.  
Phys. Rev. D 71 (2005) 054508



# Flavour non-diagonal Susceptibilities

● Example:

$$c_2^{ud} \sim \frac{\partial^2 P}{\partial \mu_u \partial \mu_d}$$



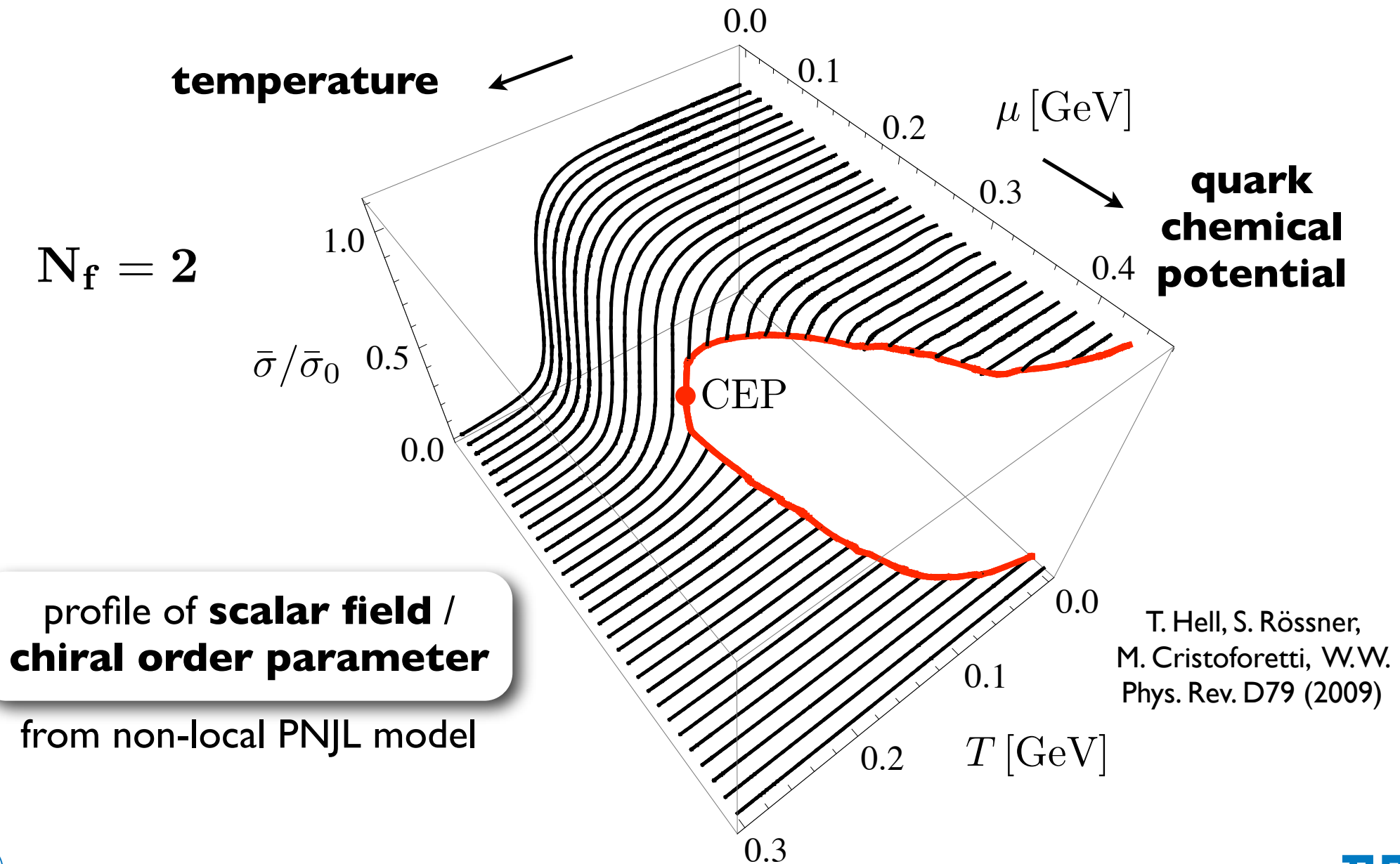
Monte Carlo simulations with PNJL model

M. Cristoforetti, T. Hell, B. Klein, W.W. (2009)

● Sensitivity to effects beyond mean field (e.g. **pionic fluctuations**)

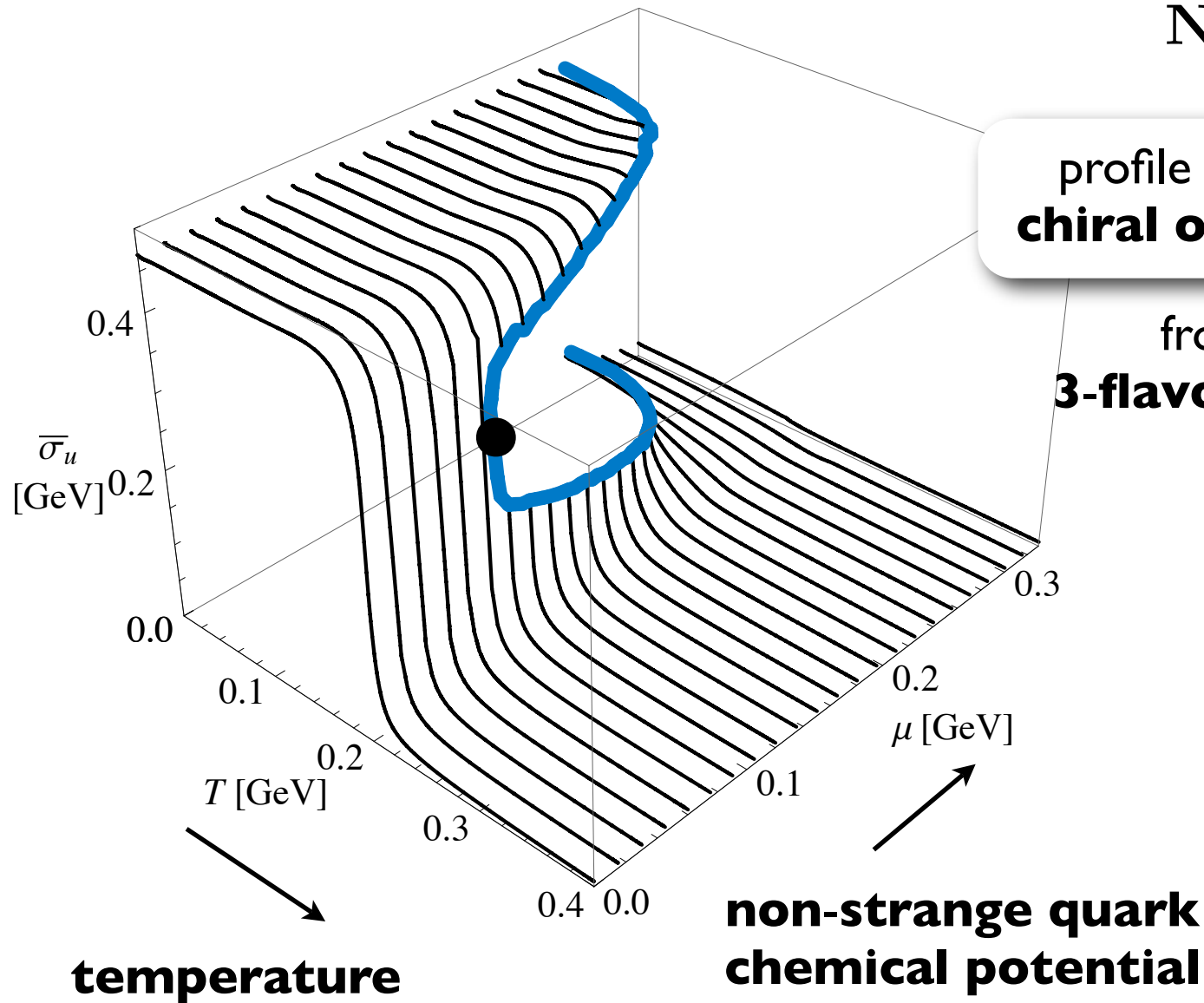


# PHASE DIAGRAM and CRITICAL POINT



# PHASE DIAGRAM and CRITICAL POINT

$$N_f = 2 + 1$$



profile of **scalar field / chiral order parameter**

from non-local  
**3-flavour PNJL** model

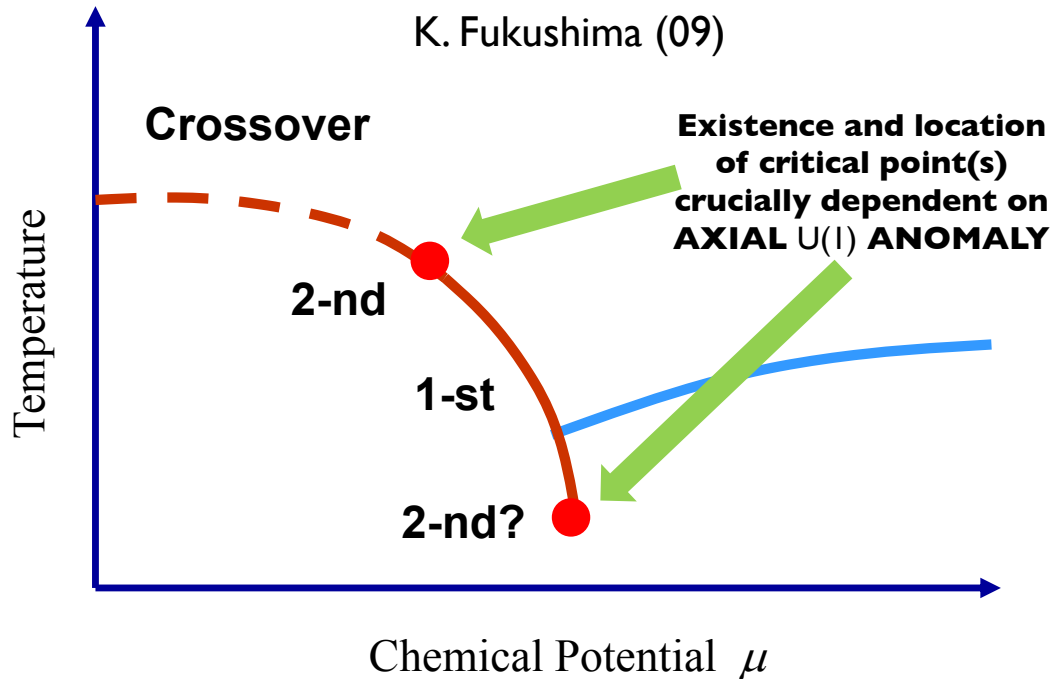
T. Hell, S. Rössner,  
M. Cristoforetti, W.W.  
preprint (2009)





# PHASE DIAGRAM (contd.)

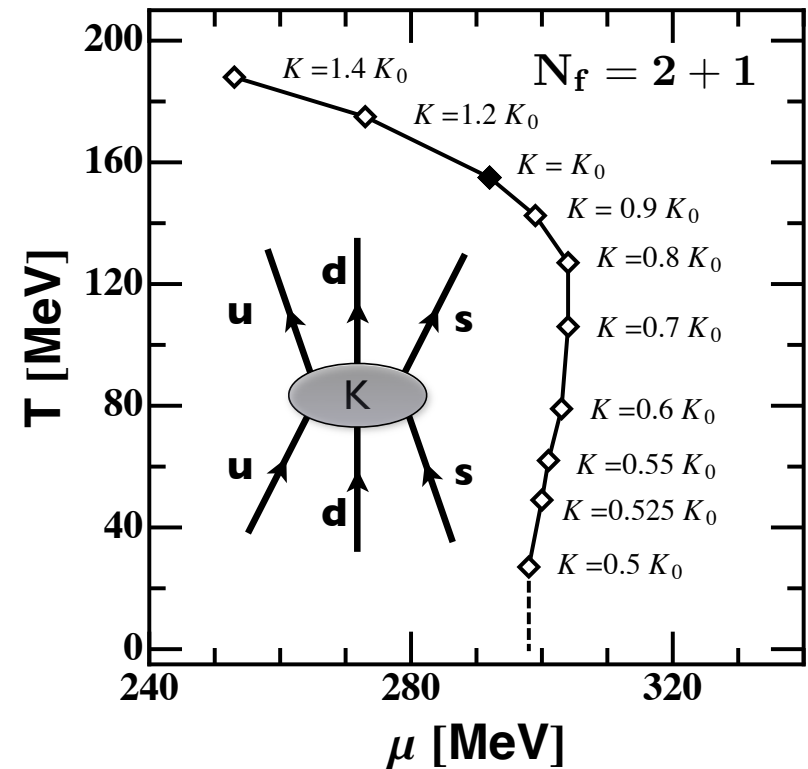
- Issues: → **Critical Point**
- Diquarks and **CSC Phase**



Yamamoto, Hatsuda, Baym (2007)

K. Fukushima (2008)

## Critical point: Role of axial anomaly



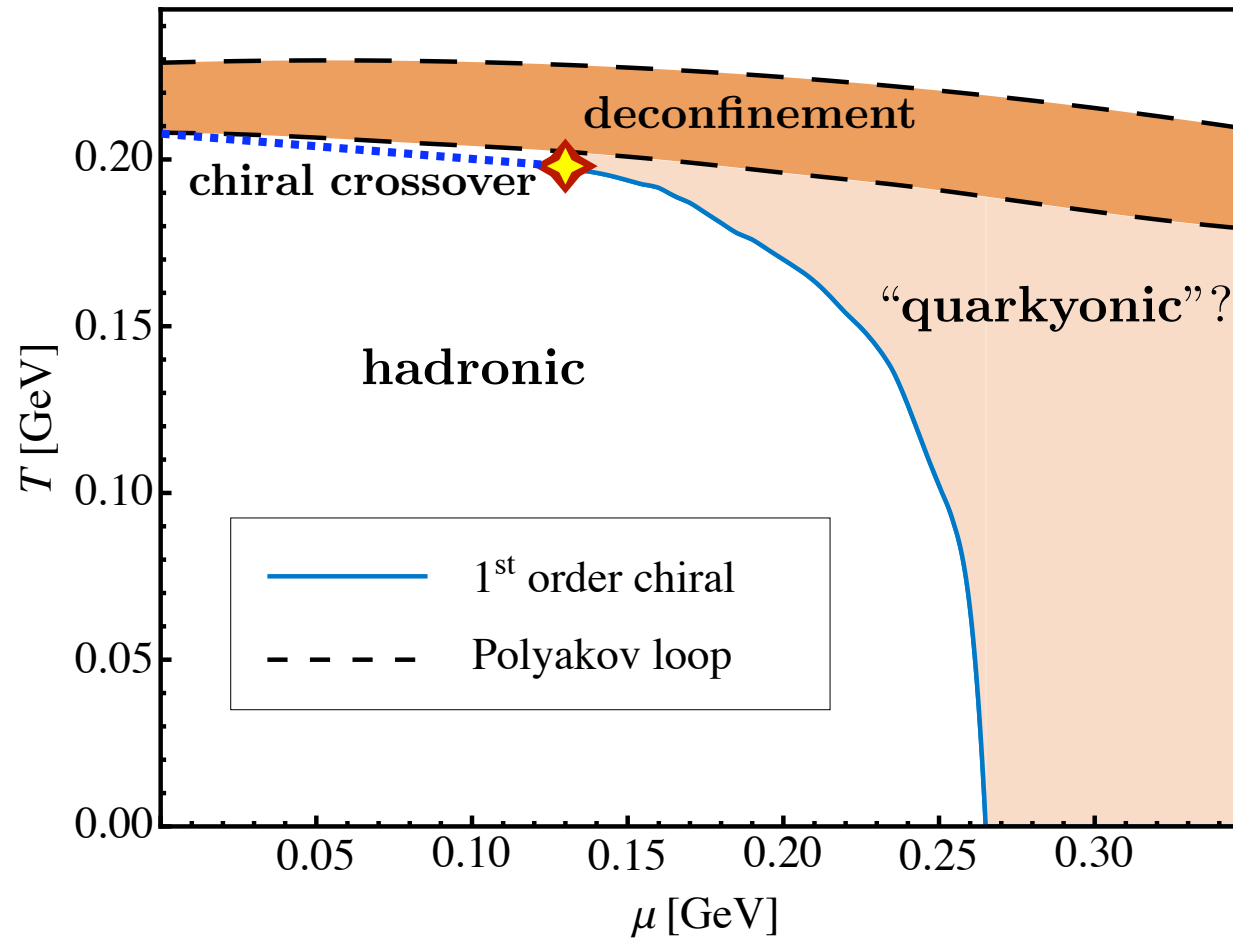
N. Bratovich, T. Hell, S. Rößner, W.W. (2009)

- Location (and existence) of **critical point(s)** depends sensitively on **no. of flavours, quark masses, axial  $U(1)_A$  anomaly**, etc. ...



# PHASE DIAGRAM (contd.)

from non-local **3-flavour PNJL** model

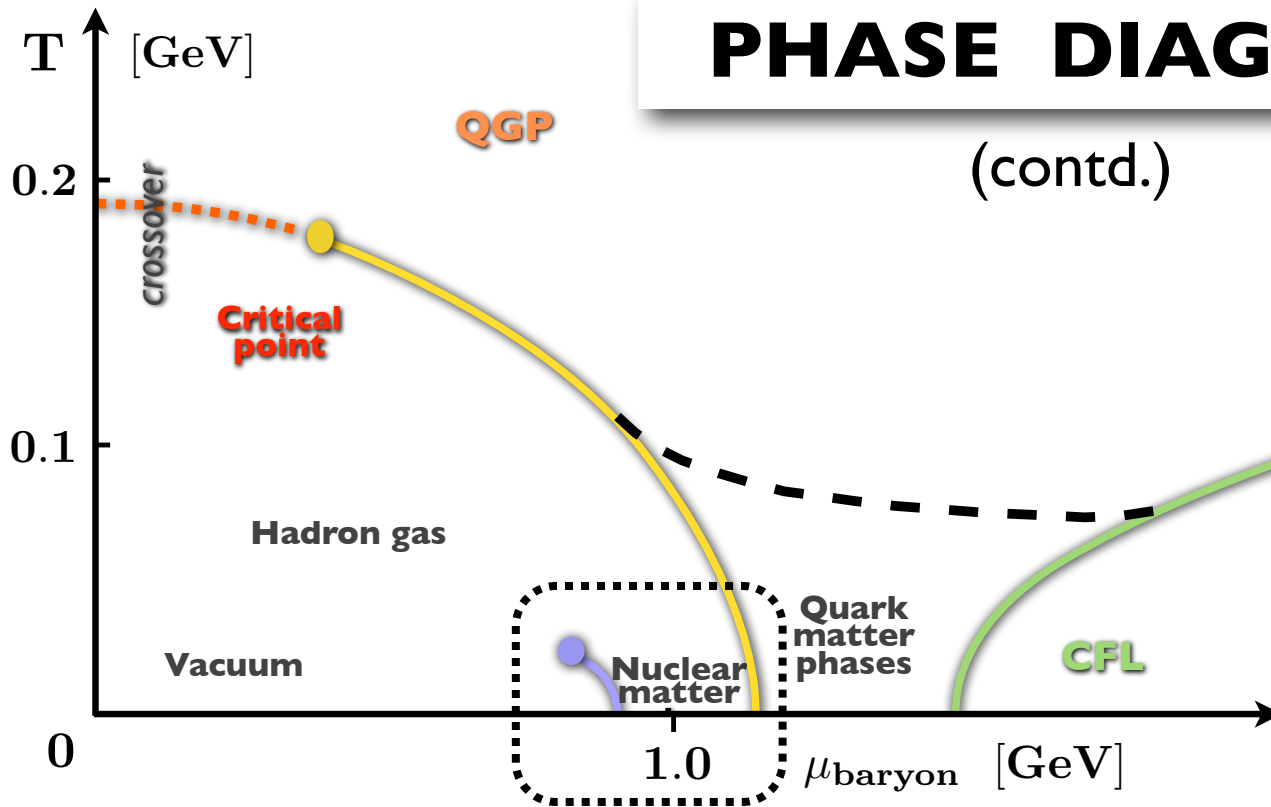


T. Hell, S. Rössner,  
M. Cristoforetti, W.W.  
preprint (2009)

- warning: critical chemical potential **too low** at  $T = 0$
- “wrong” degrees of freedom at low temperature, non-zero density ?



# PHASE DIAGRAM



● Question:

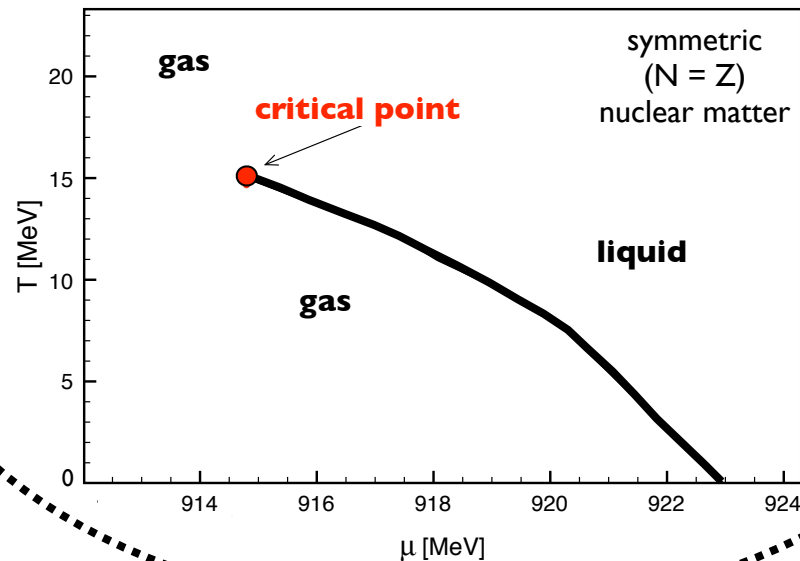
**first order**  
**chiral transition**  
boundary all the way  
down to  $T = 0$

?

## Nuclear Liquid-Gas phase diagram

from

## Chiral Thermodynamics

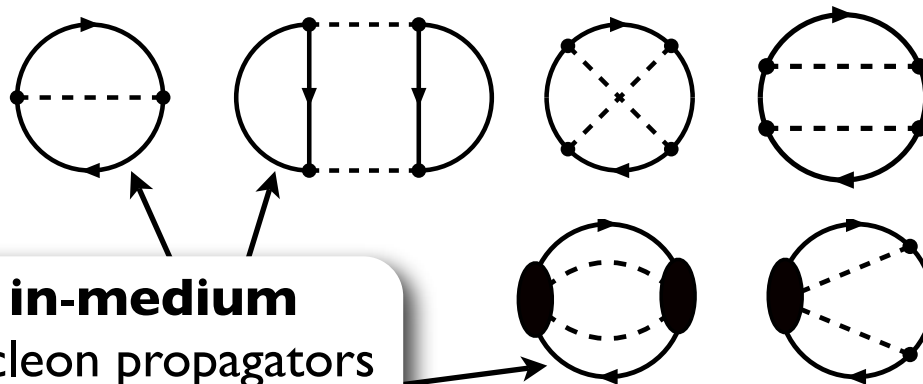


# From **NUCLEI** to **COMPRESSED BARYONIC MATTER**

- Framework: **Effective Field Theory** implementing the **chiral symmetry breaking pattern** of Low-Energy QCD:

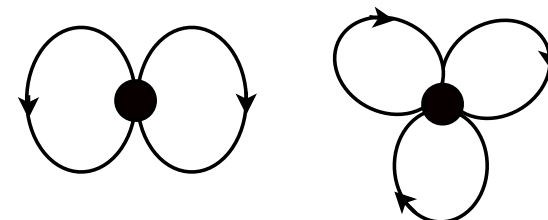
## In-medium chiral perturbation theory

- Active degrees of freedom in the hadronic phase:  
**pions, nucleons, delta-isobars**
- Compute **Free Energy Density** (3-loop order)



**in-medium**  
nucleon propagators  
incl. Pauli blocking

N. Kaiser, S. Fritsch, W.W.  
(2002-2004)



# NUCLEAR THERMODYNAMICS

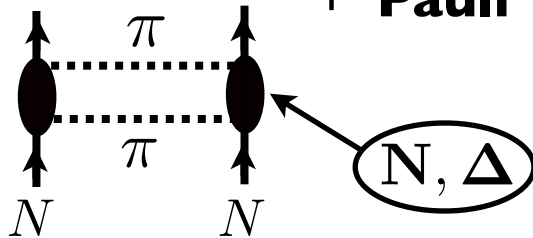
## NUCLEAR CHIRAL (PION) DYNAMICS

### BINDING & SATURATION:

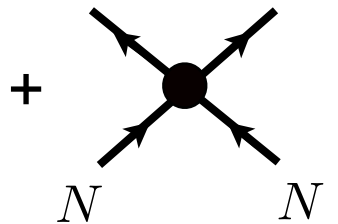
Yukawa

+ Van der Waals

+ Pauli



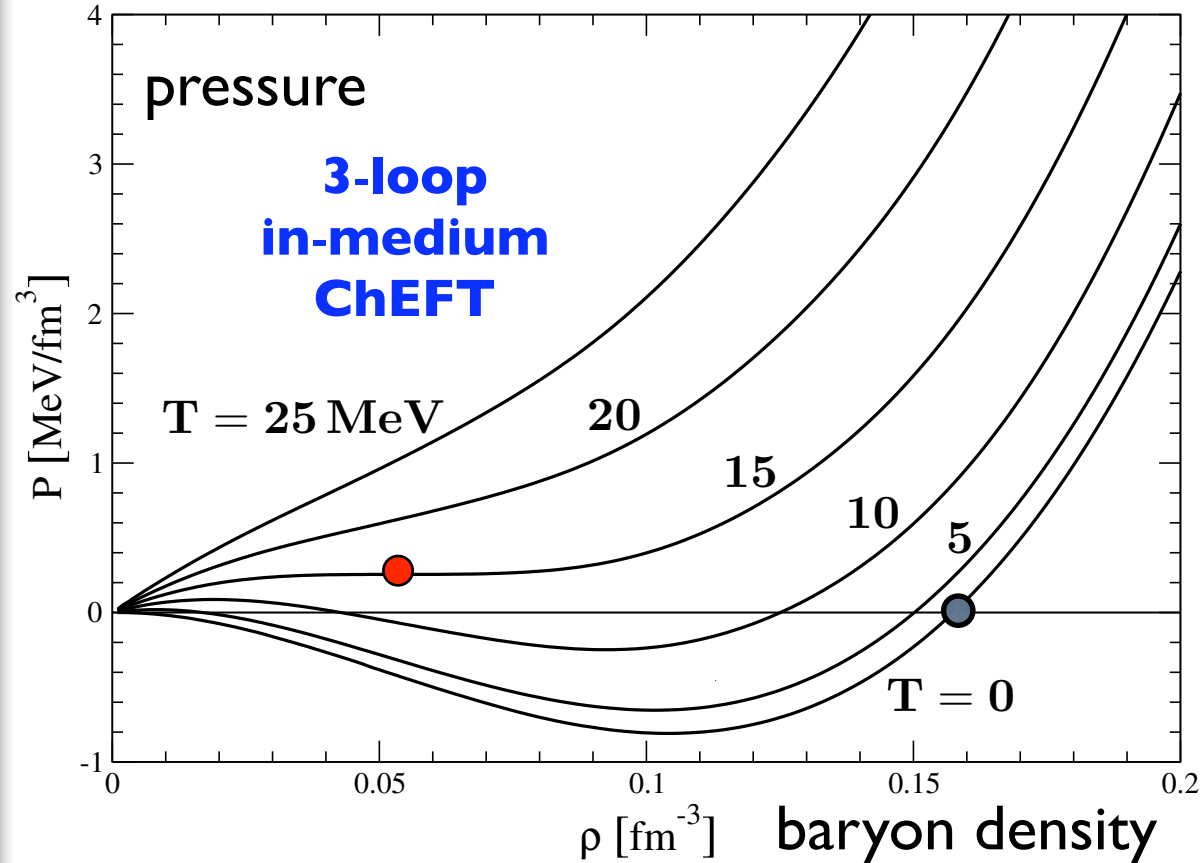
$$V(r) \sim -\frac{e^{-2m_\pi r}}{r^6} P(m_\pi r)$$



contact terms

+ 3-body forces

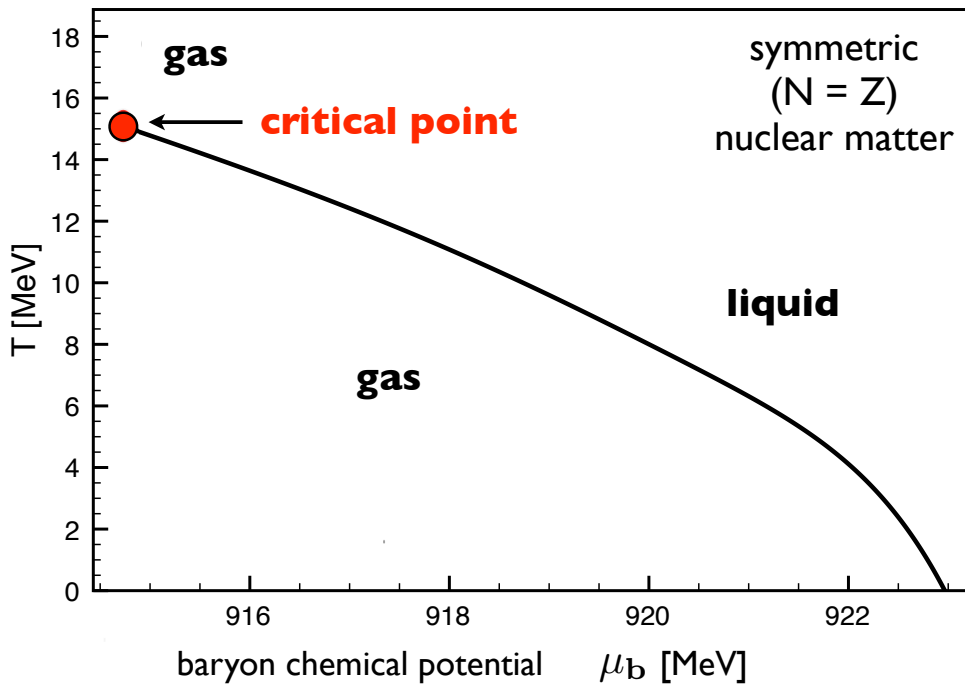
## nuclear matter: equation of state



Liquid - Gas Transition at  
Critical Temperature  $T_c = 15 \text{ MeV}$

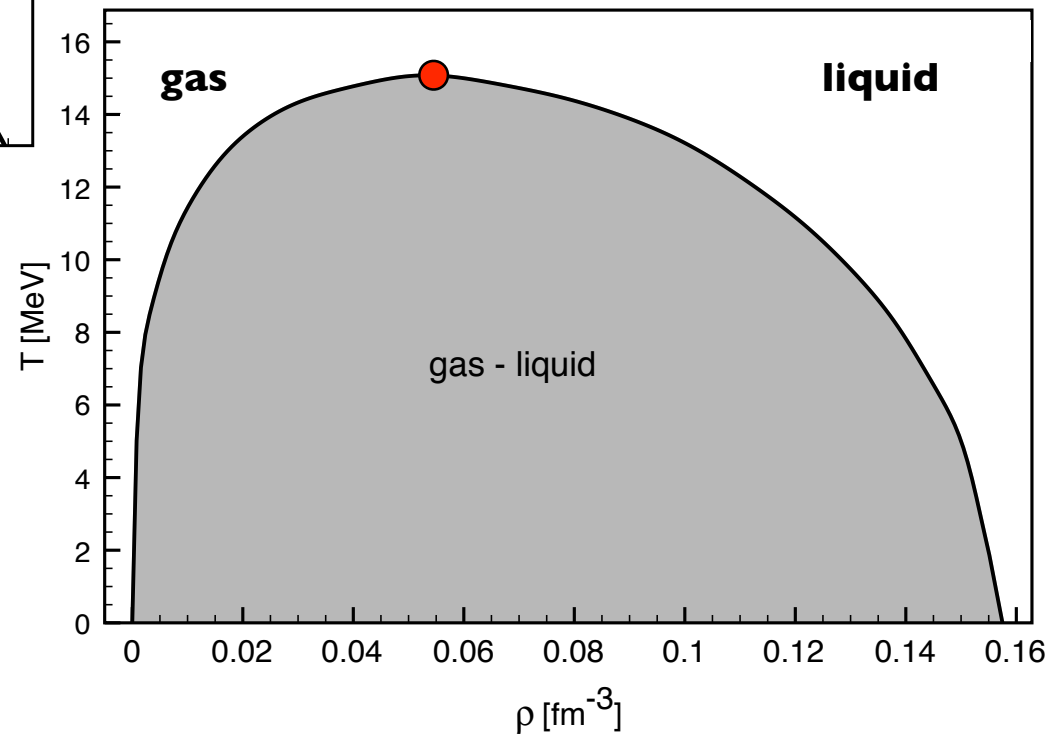
(empirical:  $T_c = 16 - 18 \text{ MeV}$ )

# PHASE DIAGRAM of NUCLEAR MATTER



- In-medium **chiral effective field theory** (3-loop in the free energy density)

S. Fritsch, N. Kaiser, W.W.: NPA 750 (2005) 259



- Pion-nucleon dynamics incl. delta isobars
- Short-distance NN contact terms
- Three-body forces

S. Fiorilla, N. Kaiser, W.W. (2009)



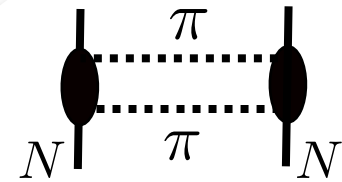
# CHIRAL CONDENSATE at finite DENSITY

( $T = 0$ )

sigma term

$$m_q \frac{\partial M_N}{\partial m_q}$$

**in-medium  
chiral  
effective  
field theory**



$$\frac{\langle \bar{q}q \rangle_\rho}{\langle \bar{q}q \rangle_0} = 1 - \frac{\rho}{f_\pi^2} \left[ \frac{\sigma_N}{m_\pi^2} \left( 1 - \frac{3 p_F^2}{10 M_N^2} + \dots \right) + \frac{\partial}{\partial m_\pi^2} \left( \frac{E_{\text{int}}(p_F)}{A} \right) \right]$$

(free) Fermi gas  
of nucleons

nuclear interactions  
(dependence  
on pion mass)



# CHIRAL CONDENSATE: DENSITY DEPENDENCE

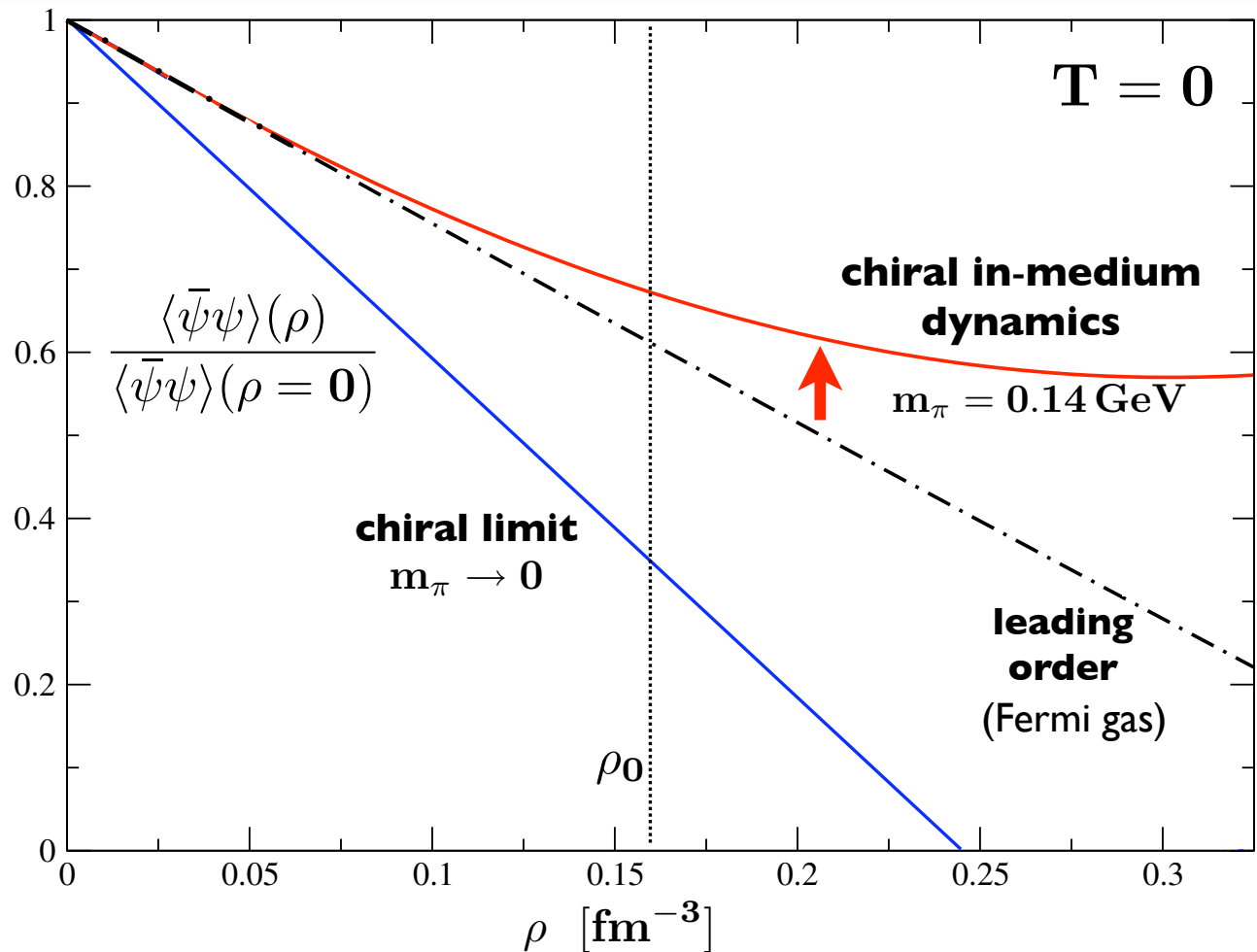
## Symmetric Nuclear Matter

- In-medium Chiral Effective Field Theory**

(NLO 3-loop)

constrained by  
**realistic nuclear equation of state**

N. Kaiser, Ph. de Homont, W.W.  
Phys. Rev. C 77 (2008) 025204



- Substantial **change of symmetry breaking scenario** between chiral limit  $m_q = 0$  and physical quark mass  $m_q \sim 5 \text{ MeV}$
- Nuclear Physics** would be **very different** in the **chiral limit** !





# Conclusions

- Role of  $SU(N_f)_L \times SU(N_f)_R$  and  $Z(3)$  Symmetries in QCD
- Entanglement of **CHIRAL** and **DECONFINEMENT** crossover transitions in QCD (at zero chemical potential)
  - ▶ transition temperatures (at zero chemical potential) **coincide** in **PNJL** models and on the **Lattice**
- **PHASE DIAGRAM** at low T, large **BARYON DENSITY**, **CRITICAL POINT**, and all that ...
  - ▶ role of **axial U(1) anomaly**
  - ▶ **constraints** from **realistic nuclear EoS**

**thanks to:**

Nino Bratovic  
Bertram Klein

Marco Cristoforetti  
Norbert Kaiser

Salvatore Fiorilla  
Claudia Ratti

Thomas Hell  
Simon Rössner



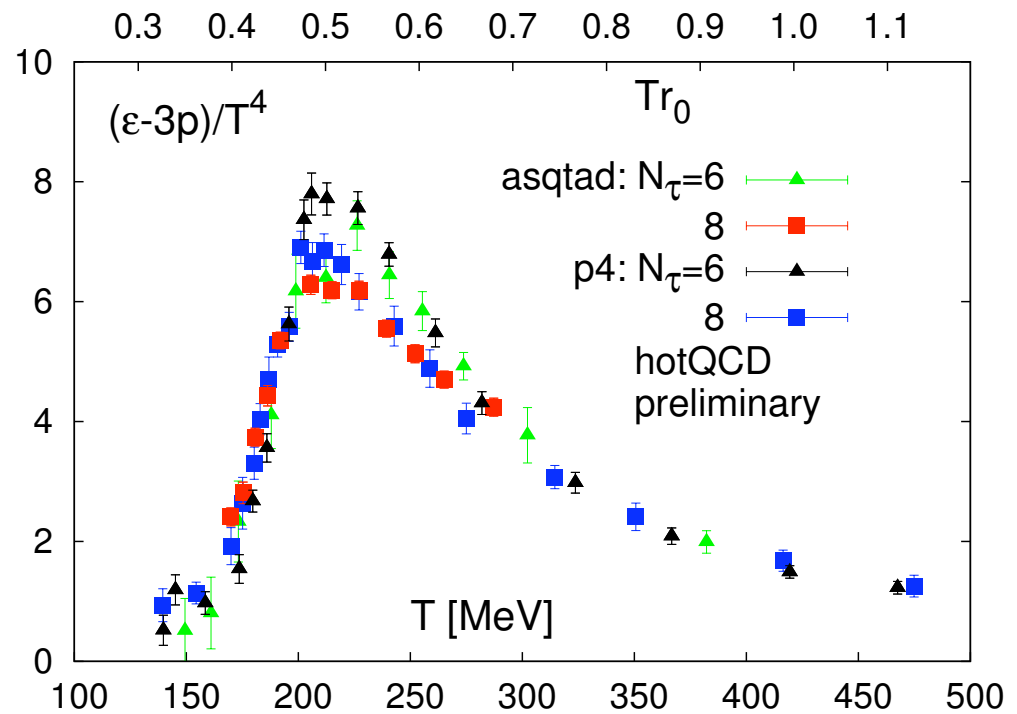
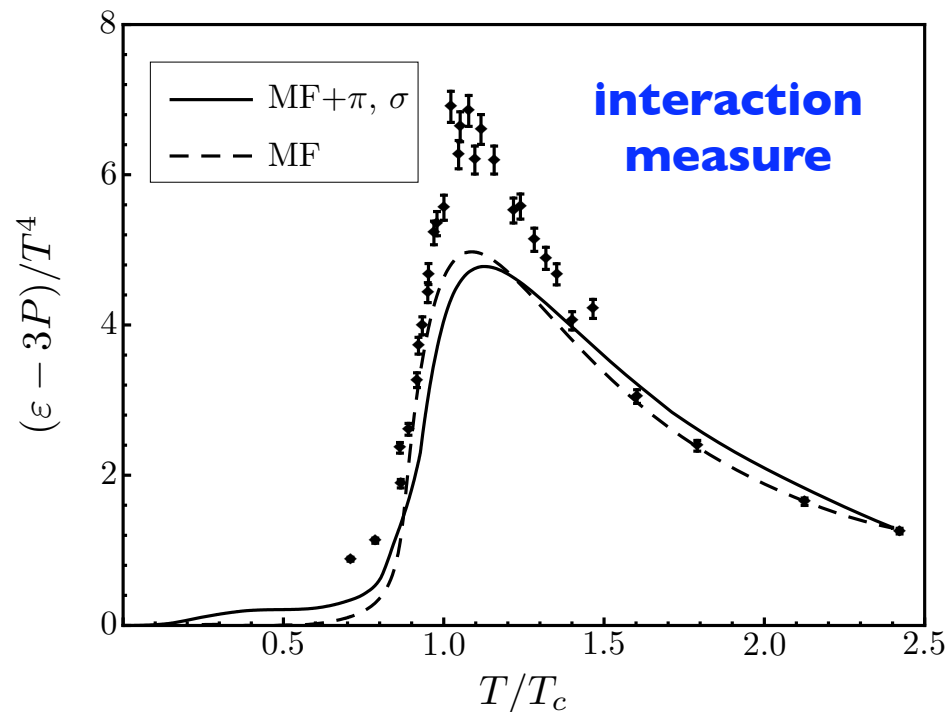
# **Supplementary Materials**

# PNJL model vs. Lattice QCD Thermodynamics

- PRESSURE** and **ENERGY DENSITY** at zero chemical potential

$$p = -\Omega(\mathbf{T}, \mu = 0)$$

$$\varepsilon = \mathbf{T} \frac{\partial p(\mathbf{T}, \mu = 0)}{\partial \mathbf{T}} - p(\mathbf{T}, \mu = 0)$$



T. Hell, S. Rößner, M. Cristoforetti, W.W.  
Phys. Rev. D79 (2009) 014022

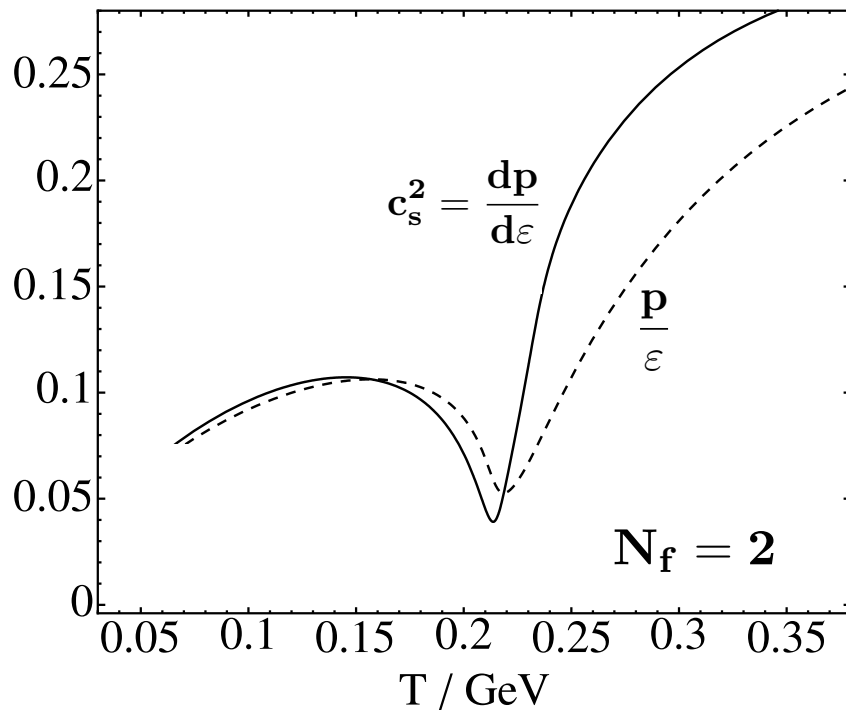
lattice data:  
F. Karsch et al.: arXiv:0804.4148 [hep-lat]



# Sound Velocity: PNJL and LATTICE QCD

## ● PNJL

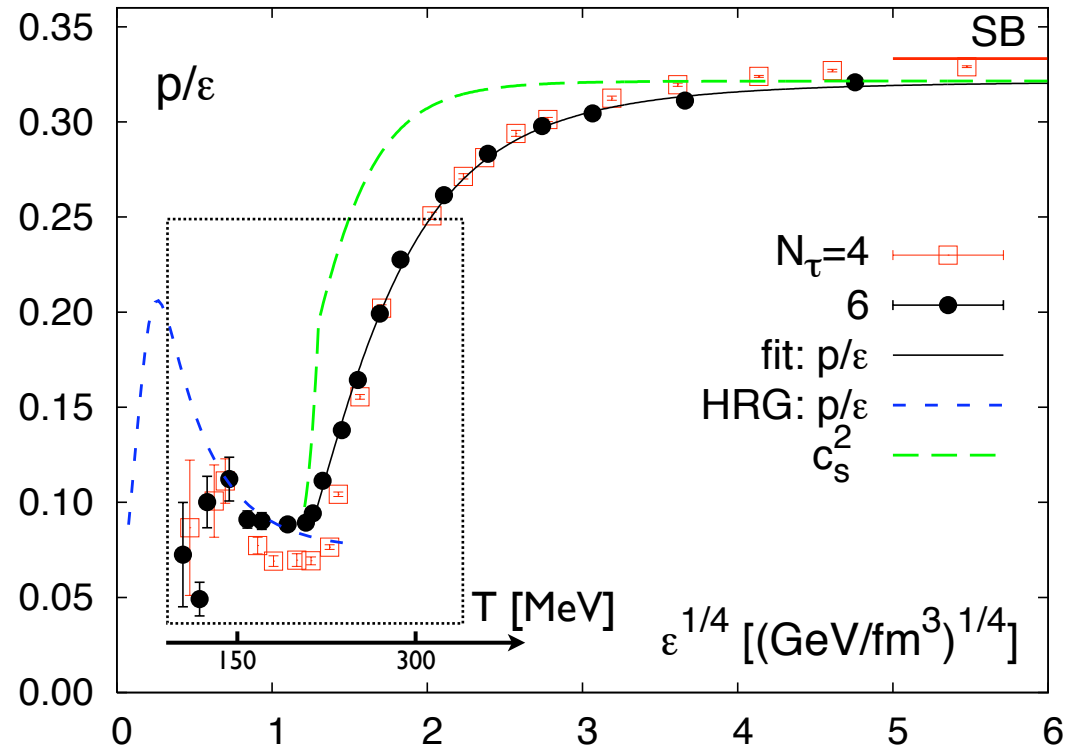
S. Rössner, Th. Hell, C. Ratti, W.W.  
arXiv:0712.3152 [hep-ph]



## ● Lattice $N_f = 2 + 1$

C. Bernard et al.,  
Phys. Rev. D 75 (2007) 094505

F. Karsch,  
arXiv:0711.0565 [hep-lat]



## ● PNJL model works

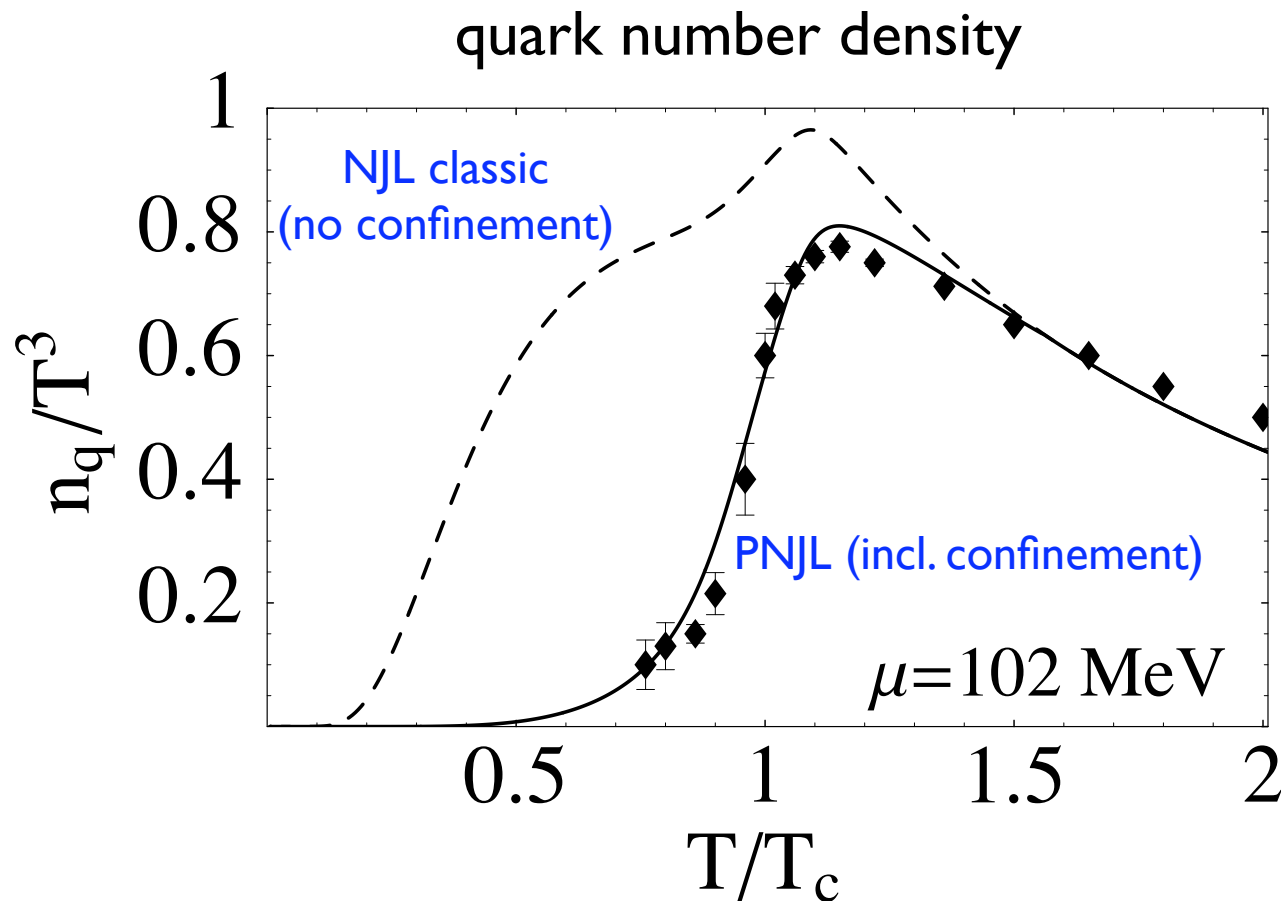
Active degrees of freedom around critical temperature  $T > T_c$ :

**Quarks** as **quasiparticles** interacting with **Polyakov** loop



# Non-zero **QUARK CHEMICAL POTENTIAL**

- Role of **CONFINEMENT** (**POLYAKOV** loop dynamics) suppression of quark propagator in “forbidden” region



C. Ratti, M. Thaler, W.W.  
PRD 73 (2006)

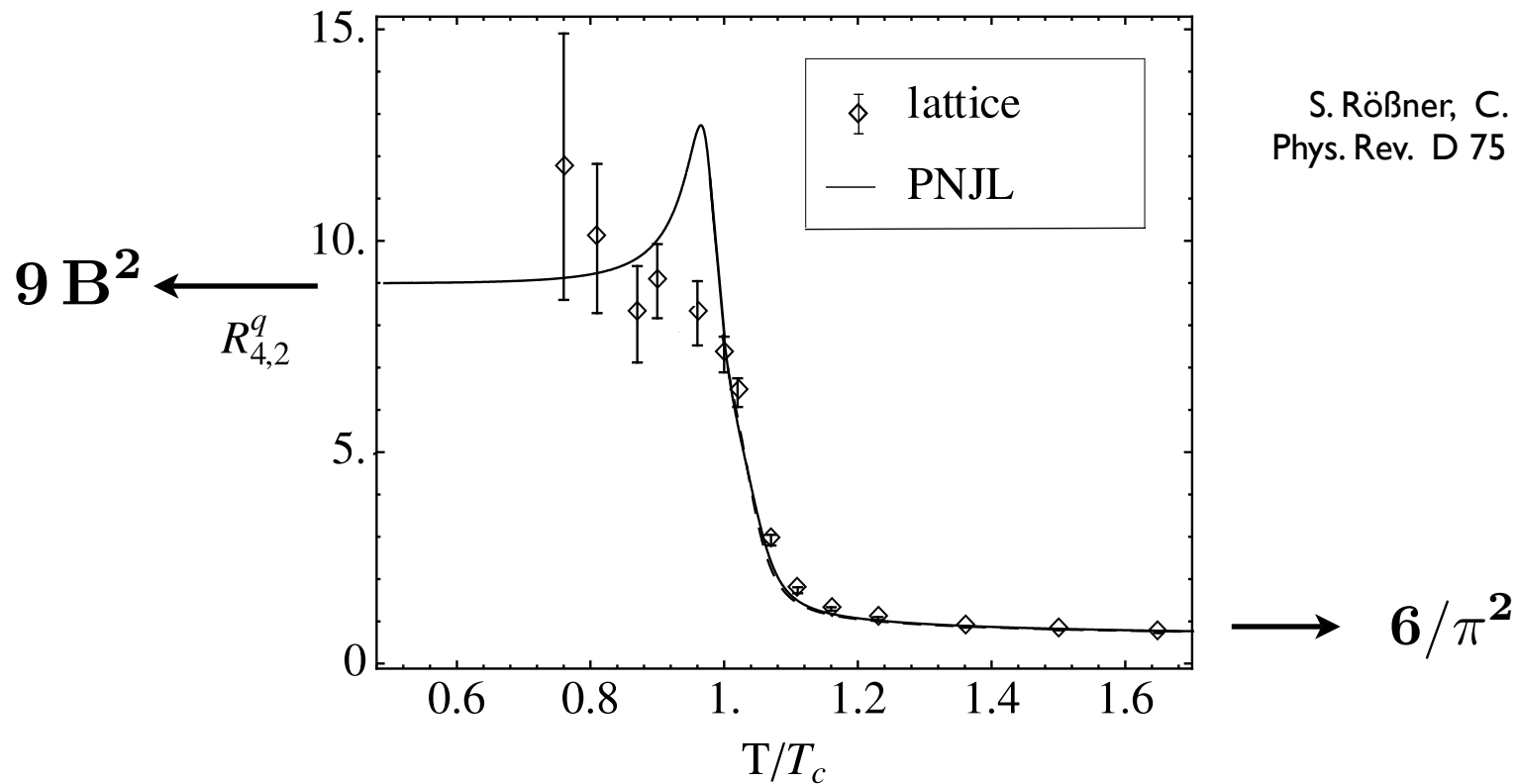
Lattice “data”:  
Allton et al.  
Phys. Rev. D 68 (2003)



# Cumulant Ratios

- Example: quark number cumulant ratio  $R_{4,2}^q = \frac{12 c_4}{c_2}$

S. Ejiri, F. Karsch, K. Redlich  
Phys. Lett. B 633 (2006) 275



S. Rößner, C. Ratti, W.W.  
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