# Electric and magnetic dipole strength in <sup>112,114,116,118,120,124</sup>Sn

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(Received 12 July 2020; accepted 2 September 2020; published 28 September 2020)

**Background:** There is renewed interest in electric dipole strength distributions for a variety of reasons including the extraction of the dipole polarizability related to properties of the symmetry energy and a measure for the neutron skin thickness, understanding the structure of low-energy E1 strength in nuclei with neutron excess, and establishing the systematics of the isovector giant dipole resonance (IVGDR). Inelastic proton scattering at energies of a few hundred MeV and very forward angles including  $0^{\circ}$  has been established as a tool for the study of electric and magnetic dipole strength distributions in nuclei.

**Purpose:** The present work aims at a systematic investigation of the electric and magnetic dipole strength distributions in the chain of stable even-mass tin isotopes.

**Methods:** Inelastic proton scattering experiments were performed at the Research Center for Nuclear Physics, Osaka, with a 295-MeV beam covering laboratory angles  $0^{\circ}$ - $6^{\circ}$  and excitation energies 6–22 MeV. Cross sections due to E1 and M1 excitations were extracted with a multipole decomposition analysis (MDA) and then converted to reduced transition probabilities with the "virtual photon method" for E1 and the "unit cross section method" for M1 excitations, respectively. Including a theory-aided correction for the high-excitation-energy region not covered experimentally, the electric dipole polarizability was determined from the E1 strength distributions.

**Results:** Total photoabsorption cross sections derived from the E1 and M1 strength distributions show significant differences compared to those from previous  $(\gamma, xn)$  experiments in the energy region of the IVGDR. The widths of the IVGDR deduced from the present data with a Lorentz parametrization show an approximately constant value of about 4.5 MeV in contrast to the large variations between isotopes observed in previous work. The IVGDR centroid energies are in good correspondence to expectations from empirical systematics of their mass dependence. Furthermore, a study of the dependence of the IVGDR energies on bulk matter properties is presented. The E1 strengths below neutron threshold show fair agreement with results from  $(\gamma, \gamma')$  experiments on  $^{112,116,120,124}$ Sn in the energy region between 6 and 7 MeV, where also isoscalar E1 strength was found for  $^{124}$ Sn. At higher excitation energies, large differences are observed, pointing to a different nature of the excited states with small ground-state branching ratios. The isovector spin-M1 strengths exhibit a broad distribution between 6 and 12 MeV in all studied nuclei.

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**Conclusions:** The present results contribute to the solution of a variety of nuclear structure problems including the systematics of the energy and width of the IVGDR, the structure of low-energy E1 strength in nuclei, new constraints to energy density functionals (EDFs) aiming at a systematic description of the dipole polarizability across the nuclear chart, from which properties of the symmetry energy can be derived, and the systematics of the isovector spin-M1 strength in heavy nuclei.

DOI: 10.1103/PhysRevC.102.034327

#### I. INTRODUCTION

Inelastic proton scattering at energies of a few hundred MeV and very forward angles including  $0^{\circ}$  has been established in recent years as a spectroscopic tool for the investigation of electric and magnetic dipole strength distributions in nuclei [1]. Although the (p, p') reaction is rather nonselective in general exciting electric and magnetic modes alike, in the particular kinematics of very small momentum transfer a selective excitation of E1 and E1 strength is observed due to the following features: (i) the incident beam is relativistic and Coulomb excitation dominates the cross sections [2] and (ii) the effective proton-nucleus interaction [3] favors isovector spin-flip transitions with orbital angular momentum transfer  $\Delta L = 0$ , i.e., the analog of Gamow-Teller (GT) transitions.

At present, such experiments at scattering angles very close to zero degrees can be performed at the Research Center for Nuclear Physics (RCNP), Japan [4], and at the iThemba Laboratory for Accelerator Based Sciences (iThemba LABS), South Africa [5]. Dispersion matching between the beams and the magnetic spectrometers used to detect the scattered particles allows for high-energy-resolution measurements of the order  $\Delta E/E = (1-2) \times 10^{-4}$ . Here, we report the results of a study of the stable tin isotopes 112,114,116,118,120,124Sn performed at RCNP. A decomposition of the dominant E1 and spin-M1 modes can be achieved either by a multipole decomposition analysis (MDA) of the cross sections [6] or independently by the measurement of a combination of polarization transfer observables [1]. Good agreement of both methods was demonstrated for reference cases [7–9], indicating that the much simpler measurement of cross sections using an unpolarized beam is sufficient.

The results allow to address a variety of nuclear structure problems of current interest. Low-energy electric dipole strength in nuclei with neutron excess, commonly termed pygmy dipole resonance (PDR), is currently a subject of intense experimental and theoretical activities [10,11]. It occurs at energies well below the isovector giant dipole resonance (IVGDR) and exhausts a considerable fraction (up to about 10%) of the photoabsorption cross sections in nuclei with a large neutron-to-proton ratio [12–15]. The properties of the mode are claimed to provide insight into the formation of a neutron skin [13,16-19] and the density dependence of the symmetry energy [13,20-22], although this is questioned [19,23,24]. Furthermore, dipole strength in the vicinity of the neutron threshold  $S_n$  has an impact on neutron-capture rates in the astrophysical r-process [25–27]. A study of  $^{\bar{1}20}$ Sn revealed a dramatic difference of the low-energy isovector E1 response measured with the (p, p') [28] and  $(\gamma, \gamma')$  [29]

reactions, respectively. The present work establishes this as a general phenomenon for the chain of stable even-mass tin isotopes and discusses implications for the structure of the PDR.

Most of the information on photoabsoption cross sections in heavy nuclei stems from two methods, viz.  $(\gamma, \gamma')$  [30] and  $(\gamma, xn)$  [31] reactions. Both rely on the measurement of the emission probability from the excited state and thus on knowledge of the branching ratio of the particular decay. In contrast, the (p, p') cross sections are directly related to the photoabsorption cross sections. The experiments cover an excitation energy region from well below  $S_n$  across the IVGDR, thus avoiding the difficulties of matching results from the two different experimental techniques particularly pronounced near  $S_n$ . The IVGDR in stable tin isotopes was investigated in a series of  $(\gamma, xn)$  experiments by different laboratories [31–37]. The present work sheds new light on the significant differences observed.

The energy region studied in the present experiments also covers the major part relevant to a determination of the nuclear electric dipole polarizability [38]. There is renewed interest into the polarizability because energy density functional (EDF) theory [39] predicts a correlation with the neutron skin thickness [40] and leading parameters of a Taylor expansion of the symmetry energy around saturation density [19,41,42]. This provides important constraints for the equation of state (EoS) of neutron-rich matter, a major topic of current nuclear structure research [43] important for an understanding of astrophysical events like core-collapse supernovae [44], the formation of neutron stars [45], and neutron star mergers [46]. The polarizability in the chain of proton-magic tin nuclei is of particular interest because the underlying structure changes little between neutron shell closures N = 50 and 82. Two different driving agents for the evolution of the dipole polarizability are conceivable, viz. neutron excess and the general trend with mass number A (i.e., the radius) both dependent on the symmetry energy. Accordingly, a variety of model calculations have been performed for the tin isotope chain attempting to explore this connection [16,17,27,41,47– 57]. Including a model-aided correction for the high-energy part of the excitation spectrum, the systematics of the dipole polarizability in the stable tin isotope chain are extracted from the present data. A partial account of this work has been given in Ref. [58].

Finally, the data provide new results on M1 strength in heavy nuclei. The isovector spin M1 (IVSM1) resonance is a fundamental excitation mode of nuclei [59] with relevance to diverse fields like the description of neutral-current neutrino interactions in supernovae [60,61],  $\gamma$ -strength functions utilized for physics of reactor design [62], and the modeling of

reaction cross sections in large-scale nucleosynthesis network calculations [63]. Since the mode is related to transitions between spin-orbit partners, it provides information on the evolution of single-particle properties leading to new shell closures in neutron-rich nuclei [64]. Furthermore, the IVSM1 resonance is the isospin analog of the GT resonance [65] and thus provides insight into the long-standing problem of quenching of the GT strength [66,67]. Data in heavy spherical nuclei are scarce, essentially limited so far to  $^{90}$ Zr [68] and  $^{208}$ Pb [69,70].

This article is organized as follows. Section II gives information on the experiment and the data analysis. Section III provides details of the MDA and the resulting cross section spectra. Section IV presents the conversion to photoabsoprtion cross sections and their comparison to previous work. It also includes an analysis of the sensitivity of the IVGDR centroid energies to bulk parameters of nuclear matter. The relevance of the new results on *E*1 and spin-*M*1 strength distributions to the various nuclear structure problems discussed above (PDR, polarizability, IVSM1 resonance) is discussed in Sec. V. A summary and an outlook on future work is given in Sec. VI.

#### II. EXPERIMENT AND DATA ANALYSIS

#### A. Experimental details

The inelastic proton scattering experiments were performed in 2015 and 2017 at RCNP. In 2015, <sup>112,116,124</sup>Sn and (with lesser statistics) <sup>118,120</sup>Sn were measured. In the second experimental campaign in 2017, 112,116,124Sn were measured again to improve statistics. Additionally, data on 114,118,120,122 Sn were taken. The measurements used the Grand Raiden spectrometer [71]. Data were taken at central spectrometer angles of  $0^{\circ}$ ,  $2.5^{\circ}$ , and  $4.5^{\circ}$ . Typical beam currents were 2-20 nA, depending on the spectrometer angle. The unpolarized incident proton beam had an energy of 295 MeV. Dispersion matching and background optimization at 0° were performed following the procedures described in Ref. [4]. The energy resolutions achieved varied between 30 and 40 keV (full width at half maximum, FWHM). At the end of both experimental campaigns, sieve slit measurements were made with a thick <sup>58</sup>Ni target to obtain precise angle calibrations. Additionally, elastic scattering data for all investigated tin isotopes were taken in the first experimental campaign. A summary of the used targets is given in Table I. All tin targets were highly enriched self-supporting metallic foils with areal densities between 3.4 and 7.5 mg/cm<sup>2</sup>. The uncertainties of the target enrichment are quoted by the supplier to be better than 1%. However, in some cases the enrichment was unknown. In the case of <sup>112</sup>Sn, a measurement on a second thicker target with a known enrichment was used to determine the enrichment of the thinner target but could only be measured in achromatic mode with corresponding reduced resolution due to its limited extension and the high areal density of 10.3 mg/cm<sup>2</sup>. After folding to obtain the same energy resolution, the abundance was determined to 90.2(1.4)% by normalization of the two spectra. The enrichment of <sup>118</sup>Sn was estimated from the systematics of the IVGDR after conversion to photoabsorption cross sections. A Lorentzian fit shows a

TABLE I. Targets used during the experiments. Given are the areal density  $\rho x$ , the enrichment, and the purpose of the corresponding target.

Target	$\rho x$ (mg/cm <sup>2</sup> )	Enrichment (%)	Purpose
<sup>112</sup> Sn	3.38	90.2 (1.4)	Main target
<sup>112</sup> Sn	10.3	95.1 (<1)	Calibration
<sup>114</sup> Sn	7.51	87.1 (<1)	Main target
<sup>116</sup> Sn	4.98	95.5 (<1)	Main target
<sup>116</sup> Sn	4.65	97.8 (<1)	Main target
<sup>118</sup> Sn	4.50	86 (7)	Main target
<sup>120</sup> Sn	6.50	98.4 (<1)	Consistency check
<sup>124</sup> Sn	5.00	97.0 (<1)	Main target
<sup>124</sup> Sn	4.67	97.4 (<1)	Main target
<sup>197</sup> Au	1.68	100	Beam tuning
$^{26}$ Mg	1.16	Unknown	Energy calibration
<sup>58</sup> Ni	100.1	Unknown	Sieve slit
$^{12}$ C	1.01	98.9	Energy calibration
$C_2H_4$	2.30		Beam tuning

smooth dependence of the centroid energy and width on the mass number as discussed below in Sec. IV C. By interpolating these integrated values, the enrichment for <sup>118</sup>Sn was determined to be 86(7)%. A presumably enriched <sup>122</sup>Sn target was also measured. However, the IVGDR properties deviated significantly from the systematics, and low-energy spectra taken at larger scattering angles showed a broad bump in the energy region 2–3 MeV instead of the expected excitation of known 2<sup>+</sup> states in <sup>122</sup>Sn. Both findings suggested a natural isotopic composition. Thus, the spectra were discarded from further analysis. Data for <sup>120</sup>Sn were taken to check the consistency with cross sections from a previous experiment using a polarized beam [8,28].

After each measurement of a main target for 1 h, a short run with  $^{12}\text{C}$  was performed for energy calibration and to detect possible energy shifts of the beam. Further data for the energy calibration were taken using  $^{26}\text{Mg}$  and polyethylene  $(C_2H_4)$  targets. The areal densities of the tin targets quoted by the manufacturer were remeasured and the corresponding uncertainties were determined to be around 5%.

#### B. Particle identification

A distinction of protons from other ejectiles can be achieved by investigating the deposited energy in the plastic scintillator trigger detectors. Furthermore, different types of particles can also be discriminated by their time of flight (ToF). The ToF information is obtained from the trigger signal generated by one of the scintillators and from the radio frequency of the azimutally varying field (AVF) cyclotron. To improve the particle identification, the ToF information was linearly corrected to make it independent of the horizontal position  $x_{fp}$  in the focal plane and of the horizontal scattering angle  $\theta_{fp}$ . In Fig. 1, the energy loss  $\Delta E$  in the plastic scintillator is plotted against the corrected time of flight ToF<sub>c</sub>. The proton scattering events, framed by a two-dimensional rectangular gate, can be clearly identified. Predicted regions

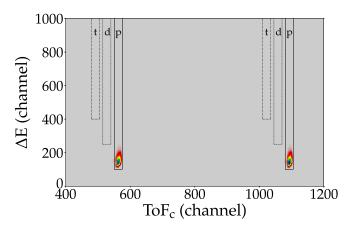


FIG. 1. Particle identification via the correlation of energy loss and corrected time of flight ( $ToF_c$ ). Two beam bunches are shown. The time difference between the two bunches corresponds to a beam pulse period of about 60 ns.

for deuteron and triton events [e.g., from (p, d) and (p, t) reactions] are also indicated. However, neither deuterons nor tritons were observed.

## C. Angle calibration

To obtain a precise angle calibration, sieve slit measurements were performed with a thick  $^{58}$ Ni target under a spectrometer angle of  $16^{\circ}$ . In order to investigate the dependence of the scattering angle on the horizontal position at the focal plane, five different magnetic field settings were measured covering the entire momentum acceptance of the spectrometer. Additionally, the vertical beam position at the target was changed by 0 and  $\pm 1$  mm relative to the center, such that three measurements per magnetic field setting were realized. The reconstruction of the horizontal and vertical scattering angles with a multidimensional least-squares fitting analysis followed the approach described in Ref. [4].

#### D. Energy calibration

In order to achieve an optimum energy resolution, the correlation between the horizontal position  $x_k$  and the horizontal scattering angle at the focal plain  $\theta_{fp}$  due to the ion-optical properties of the Grand Raiden Spectrometer [71] needs to be corrected. On the left side of Fig. 2 data for <sup>12</sup>C are shown in the  $\theta_{fp}$ - $x_k$  plane as well as their projection on the abscissa. One can clearly see the most prominently excited states in <sup>12</sup>C at 7.6, 12.7, and 15.1 MeV (from left to right). The curvature visible in the two-dimensional correlation leads to an asymmetric line shape in the projected energy spectrum distorting the resolution. A two-dimensional least-squares fit was performed to account for this. The result is depicted on the right side of Fig. 2, where  $x_c$  denotes the corrected position on the focal plane. The resolution is improved considerably from about 180 to 30 keV (FWHM).

Excitation energies were determined from a second-order polynomial fit of the focal plane position of well-known transitions in the calibration spectra determined assuming Gaussian line shapes. Using these calibration functions, the

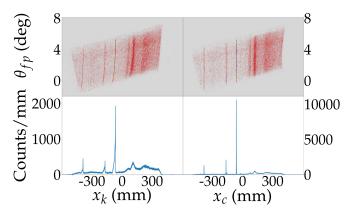


FIG. 2. Focal plane spectra of the  $^{12}$ C(p, p') reaction before (left) and after (right) the aberration correction described in the text.

reference energies of the known transitions could be reconstructed to  $\pm 4~keV$  in the excitation energy region from 5 to 18 MeV. The average energy resolution achieved was 40 keV (FWHM) in the first and 30 keV (FWHM) in the second campaign, respectively.

#### E. Background subtraction

The main contribution of the experimental background at very forward angles stems from multiple scattering of incident protons in the target material. Scattering off the beam pipes or slits also contributes occasionally, especially during the  $0^{\circ}$  measurements. Because of the statistical nature of multiple scattering, a flat distribution of background events is expected on the focal plane in nondispersive direction  $y_{fp}$ , while true events are concentrated around  $y_{fp} = 0$ . However, the operation of the Grand Raiden Spectrometer in the so-called underfocus mode [72], necessary to improve the resolution of the vertical angle, leads to a dependence of  $y_{fp}$  on the vertical scattering angle  $\phi_{fp}$  as illustrated on the left side of Fig. 3. Hence, before the background can be determined, a correction of  $y_{fp}$  needs to be carried out to restore the

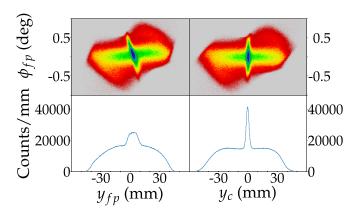


FIG. 3. Correlation of the position  $y_{fp}$  in the nondispersive direction and the vertical angle  $\phi_{fp}$  before (left) and after (right) the restoration of the focusing condition at the focal plane. The vertical angle was corrected in such a way that the background events are distributed symmetrically around  $y_c = 0$ .

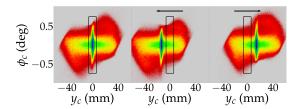


FIG. 4. Background subtraction procedure using the correlation of the position  $y_c$  in nondispersive direction and the vertical angle  $\phi_c$  after the correction shown in Fig. 3. The two-dimensional gate including true and background events is indicated by the black rectangle. To determine the background, the data were shifted by a constant value to the left and right in the  $y_c$  direction.

focusing condition at the focal plane. This can be achieved with a multidimensional least-squares fit as a function of the position and scattering angles plus a correction for the vertical position (see Ref. [4]). It can be seen in right side of Fig. 3 that the corrected spectrum exhibits the expected flat background distribution.

After the correction, the background was determined in the following way. Three data sets were generated as illustrated in Fig. 4. In the first data set, a gate was set to contain the true-plus-background events. The second and the third data sets were analyzed in exactly the same way as the first one, except that the data were shifted along the  $y_c$  axis by a constant value. After the shift, the gate only contained background events. The background events from the shifted data sets were then averaged and finally subtracted from the first data set.

The energy spectra corresponding to the three data sets are displayed in the upper part of Fig. 5 for the example of <sup>124</sup>Sn measured at 0°, where the blue histogram corresponds to true-plus-background and the orange and green histograms to the background spectra after the shifts to the left and right, respectively. As expected, the pure background spectra from the two shifted data sets are identical within statistical uncertainties. The lower part of Fig. 5 present a background-free spectrum after subtraction of the averaged contribution from the orange and green spectra.

#### F. Cross sections and uncertainties

Absolute double differential cross sections were determined from the experimental parameters: Collected charge, target properties, drift chamber efficiency, spectrometer solid angle and data acquisition dead time. For the procedures to extract these quantities from the raw data, see Ref. [73].

The total cross section uncertainties were calculated taking statistical and systematic uncertainties in quadrature. Major contributions to the systematic uncertainties stem from the solid angle determination (4–5%), target thickness (5%), and charge collection (3%). All other contributions to the systematic uncertainty are <1%.

#### III. MULTIPOLE DECOMPOSITION

A MDA of the measured spectra was performed to extract E1 and M1 cross sections. The MDA is well established in giant resonance studies [74]. It has, e.g., been applied to

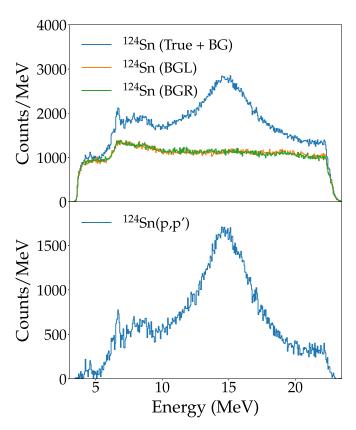


FIG. 5. Top: Excitation energy spectra of  $^{124}$ Sn measured at  $0^{\circ}$  corresponding to the three data sets from Fig. 4: True-plus-background (blue), background from shift to the left (orange), and background shift to the right (green). Bottom: Background-subtracted spectrum.

charge-exchange reactions [75,76] aiming at the extraction of the Gamow-Teller strength and to inelastic  $\alpha$  scattering [77–79] for isoscalar giant resonances. It also serves as a reliable tool in the analysis of inelastic proton scattering data [6,9,28].

## A. Experimental spectra

The double differential cross sections extracted as described in the previous section are summarized in Fig. 6. Data at 4.5° are missing for <sup>114</sup>Sn due to the lack of beam time. For <sup>124</sup>Sn, data at 4.5° were only taken in the first experimental campaign. Therefore, the excitation energy spectrum extends only up to about 23.5 MeV due to different magnetic field settings. In all isotopes, the GDR can be clearly identified around 15 MeV. In the PDR region between 6 and 10 MeV, a structure can be seen becoming gradually more pronounced for heavier isotopes, culminating in <sup>124</sup>Sn where even distinct peaks are formed. The typical decrease of the cross section with increasing angle due to the dominance of Coulomb excitation is apparent both in the PDR and IVGDR energy regions.

#### B. Theoretical input

Theoretical angular distributions of the differential cross sections for different multipolarities were calculated using the code DWBA07 [80]. Transition amplitudes and single-particle

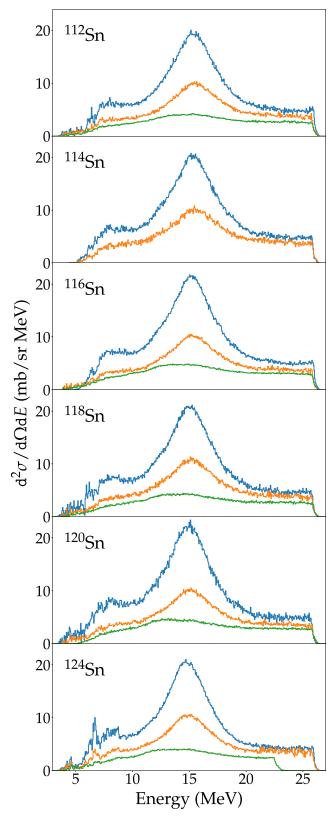


FIG. 6. Double differential cross sections of the  $^{112,114,116,118,120,124}{\rm Sn}(p,p')$  reactions at  $E_0=295$  MeV for spectrometer angles  $\theta=0^\circ$  (blue),  $2.5^\circ$  (orange), and  $4.5^\circ$  (green).

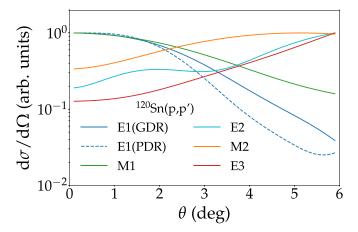


FIG. 7. Angular distributions of different multipolarities calculated with the code DWBA07 and QPM transition densities for the  $^{120}\mathrm{Sn}(p,p')$  reaction in the angular range 0– $6^{\circ}$ . The maxima of the curves are normalized to unity.

wave functions obtained from quasiparticle phonon model (QPM) calculations of the type described in Refs. [6,28] were used as input. The parametrization of Love and Franey [3] was employed to describe the effective proton-nucleus interaction. An example of the resulting angular distributions is shown in Fig. 7 for the case of <sup>120</sup>Sn.

The shapes suggest that E1 and M1 contributions are dominant under small angles, whereas higher multipolarities, such as E2, M2, and E3, are only relevant for larger angles in the experimentally studied range. The theoretical curves of Fig. 7 were also used for the MDA of all other tin isotopes, since the underlying structure for the tin isotopes studied in this work is very similar and the angular distributions of collective modes show a weak dependence on mass number. They were, however, corrected for the slightly different recoil term depending on the isotope masses and convoluted with the experimental angular resolution.

### C. Subtraction of the ISGMR and ISGQR

Since the number of angle data points available is limited to 15 (5 per spectrometer angle), the number of multipolarities in the MDA must also be limited to avoid ambiguities. One particular problem is the excitation of the isoscalar giant monopole resonance (ISGMR), which has an angular distribution similar to the E1 and M1 cases. The contributions of the ISGMR and the isoscalar giant quadrupole resonance (ISGQR) were subtracted prior to performing the MDA. Experimental information on these modes is available from  $(\alpha, \alpha')$  experiments for all tin isotopes in question [78]. The corresponding strength distributions for the example of <sup>120</sup>Sn are presented in Fig. 8. The orange curves are Lorentzian fits in the resonance region. We note that only the Lorentzians were used for the subtraction procedure described below, because at higher excitation energies contributions from continuum scattering are included.

The contribution of the ISGMR and ISGQR to the proton scattering cross sections were estimated with the following

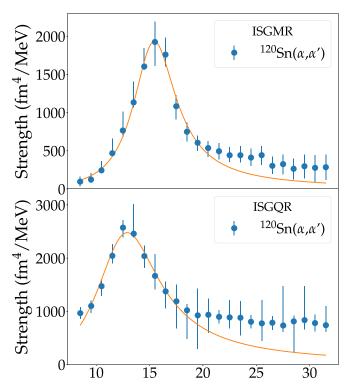


FIG. 8. Strength distribution of the ISGMR (top) and ISGQR (bottom) in  $^{120}$ Sn from  $\alpha$  scattering experiments [78]. Lorentzian fits in the resonance region are shown as orange curves.

approach [81]

$$\frac{d\sigma}{d\Omega}(\theta, E_{\rm x}) = \frac{d\sigma}{d\Omega}(\theta)_{\rm DWBA} \frac{IS(E\lambda)(E_{\rm x})_{\rm exp}}{IS(E\lambda)_{\rm th}},\tag{1}$$

where  $IS(E\lambda)(E_x)_{\rm exp}$  are the isoscalar strength distributions from  $\alpha$  scattering and  $IS(E\lambda)_{\rm th}$  is the theoretical strength from QPM calculations with  $\lambda=0$  for ISGMR and  $\lambda=2$  for ISGQR, respectively. Equation (1) makes use of the fact that inelastic proton scattering at energies of a few hundred MeV is a direct process and one can assume proportionality between the strength and the cross sections. The theoretical strength distributions were calculated within the QPM and the strongest E0 and E2 transitions were then utilized to determine cross sections using the DWBA07 code. The theoretical cross sections shown in Fig. 9 correspond to about 50% and 100% of the ISGMR and ISGQR energy-weighted sum rule (EWSR) respectively.

Finally, in Fig. 10 the estimated contributions of the ISGMR and ISGQR to the experimental spectra of  $^{120}\mathrm{Sn}$  are presented for two angles at  $\theta=0.9^\circ$  and  $5.4^\circ$ . They are rather small for the very forward angle. The monopole contribution is more important but never exceeds 5% for any energy bin. For larger angles, however, a considerable contribution from the ISGQR is found reaching 25% at the maximum, while the ISGMR contribution is negligible. After the subtraction of the ISGMR and ISGQR contributions, a bump around 13 MeV can still be seen for the 5.4° data. This suggests that the absolute cross section of the ISGQR might be underestimated, though possible contributions from higher

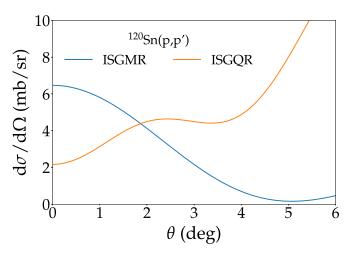


FIG. 9. Theoretical (p, p') cross sections of the ISGMR and ISGOR in  $^{120}$ Sn calculated with the DWBA07 code.

multipolarities such as M2 and E3 were not considered yet, which could possibly explain the remaining bump. Since all higher multipoles show a similar angular distribution at larger angles (above  $3^{\circ}$  in the present case) in Fig. 7, possible remaining ISGQR contributions are accounted for in the MDA by allowing one representative multipolarity  $\lambda > 1$  [6]. The possible impact on the decomposition of E1 and E30 and E31 are multipolarity and E32 are multipolarity and E33 are multipolarity and E34 and E35 are multipolarity and E36 are

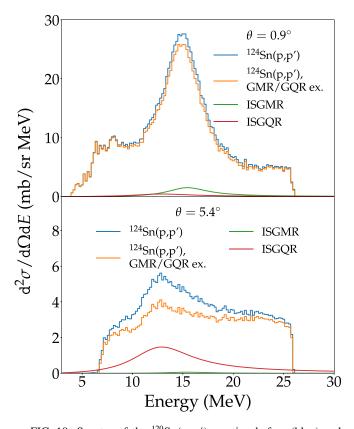


FIG. 10. Spectra of the  $^{120}\mathrm{Sn}(p,p')$  reaction before (blue) and after (orange) subtraction of the ISGMR (green) and ISGQR (red) contributions for two different angles.

sections is nevertheless small, because the decomposition of the latter is mainly determined at the most forward angles.

## D. Continuum background

Besides the excitation of electric and magnetic resonances, the spectra also contain a continuum part, which dominates the spectra at energies above the IVGDR and needs to be taken into account in the MDA. It is believed to result mainly from quasifree scattering (QFS), although other contributions are not excluded. The QFS process occurs only at energies above the particle thresholds. In Ref. [82], a phenomenological parametrization was determined for the (p, p') reaction on <sup>208</sup>Pb and a similar approach was used in this work based on the <sup>120</sup>Sn spectra. The nucleus <sup>120</sup>Sn was chosen because it is the heaviest measured nucleus with data available for all three measured angles in the high-excitation-energy region, where possible contributions from the high-energy tail of the IVGDR are negligible. The data were analyzed in 1-MeV bins to reduce statistical fluctuations. Angular distributions in the energy region between 22.5 and 25.5 MeV were extracted and fitted with polynomial functions of second order. Since these were identical within error bars for all bins in the selected energy region, an average polynomial function

$$\frac{d\sigma}{d\Omega}(\theta)_{BG} = 5.7(3) - 1.0(2)\theta + 0.09(3)\theta^2 \tag{2}$$

was determined for the background component. The upper part of Fig. 11 displays the <sup>120</sup>Sn data used. The energy bins chosen for the angular distributions are indicated by the vertical dashed lines. In the lower part, the angular distributions for the three energy bins are shown together with the fit given in Eq. (2). For better visibility, they are shifted relative to each other by a constant of 2 mb/sr. Note that only four angular gates were applied to the data taken at finite spectrometer angles because of the limited statistics. Equation (2) describes all data well and also scales well with the results of a similar analysis of the <sup>208</sup>Pb data [7] if the mass ratio is taken into account.

#### E. Results

For the MDA all spectra were rebinned to 200 keV and the ISGMR and ISGQR contributions were subtracted as described in Sec. III C. Experimental angular distributions of the differential cross sections for each bin were then determined and the data were fitted by means of a least-squares method with linear combinations of the theoretically predicted angular distributions of the differential cross sections

$$\sum_{i} \left( \frac{d\sigma}{d\Omega} (\theta_{i}, E_{x})_{\exp} - \frac{d\sigma}{d\Omega} (\theta_{i}, E_{x})_{th} \right)^{2} = \min, \quad (3)$$

with

$$\frac{d\sigma}{d\Omega}(\theta, E_{\rm x})_{\rm th} = \sum_{\pi\lambda} a_{\pi\lambda} \frac{d\sigma}{d\Omega}(\theta, E_{\rm x}, \pi\lambda)_{\rm DWBA} + b \frac{d\sigma}{d\Omega}(\theta)_{\rm BG},$$
(4)

where  $a_{\pi\lambda}$  and b are fit parameters. The fits were performed using the following criteria and boundary conditions:

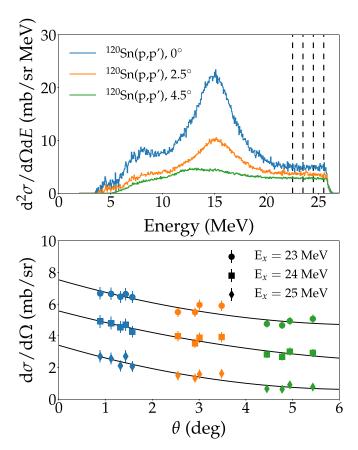


FIG. 11. Top: Excitation energy spectra of <sup>120</sup>Sn and excitation energy bins (vertical dashed lines) used to determine a parametrization of the angular dependence of the continuum background. Bottom: Corresponding angular distributions for different energy bins together with the fit of Eq. (2). For better visibility, they are shifted relative to each other by 2 mb/sr.

- (1) For each data set measured at a spectrometer angle  $\theta=0^\circ, 2.5^\circ, \text{ or } 4.5^\circ,$  five data points per angle and energy bin were generated by applying gates to the vertical and horizontal angles, respectively, so that in total 15 data points between  $0.9^\circ$  and  $5.4^\circ$  were available for the MDA.
- (2) In total, six different E1 transitions (three in the PDR region and three in the GDR region) with the largest B(E1) values in the QPM calculations were used, since the corresponding angular distributions show sensitivity to the Coulomb-nuclear interference.
- (3) Two M1 transitions with the largest B(M1) values in the QPM calculations were used.
- (4) The *E*3 transition was used as a substitute for possible higher multipole contributions.
  - (5) Equation (2) was used for the continuum background.
  - (6) All parameters  $a^{\pi\lambda}$  and b had to be positive.

The least-squares fitting procedure was carried out including all possible combinations of the theoretical angular distributions satisfying the above criteria. For each combination, the  $\chi^2$  and the reduced  $\chi^2_{\rm red} = \chi^2/(p-n)$  values were calculated with p being the number of experimental data points and n being the number of fit parameters. Using  $\omega = 1/\chi^2_{\rm red}$  as a weighting parameter, mean cross sections for each

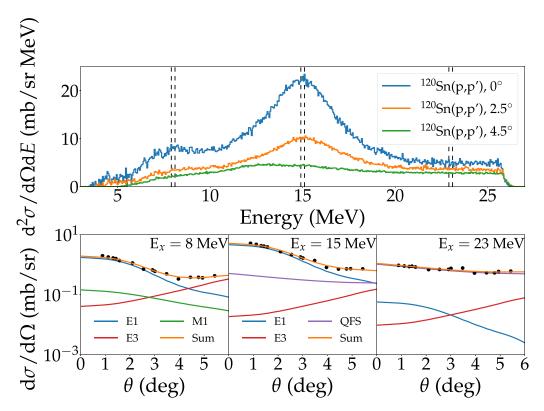


FIG. 12. Typical results of the MDA for the example of <sup>120</sup>Sn and three different excitation energy bins at 8, 15, and 23 MeV. Top: Spectra and energy bins indicated by the vertical dashed lines. Bottom: Experimental angular distributions and results of Eq. (5) for different multipoles and their sum.

contribution were finally determined

$$\left\langle \frac{d\sigma}{d\Omega} (\theta, E_x)^{\pi \lambda} \right\rangle = \frac{\sum_i \omega_i \frac{d\sigma}{d\Omega} (\theta, E_x)_i^{\pi \lambda}}{\sum_i \omega_i}.$$
 (5)

The corresponding uncertainty was obtained from the weighted variance

$$\sigma^{2} = \frac{\sum_{i} \omega_{i} \left( \frac{d\sigma}{d\Omega} (\theta, E_{x})_{i}^{\pi\lambda} - \left\langle \frac{d\sigma}{d\Omega} (\theta, E_{x})^{\pi\lambda} \right\rangle \right)^{2}}{\sum_{i} \omega_{i}}.$$
 (6)

In Fig. 12, a typical result of the MDA is displayed for the example of <sup>120</sup>Sn and three different energy bins at 8, 15, and 23 MeV. The upper part shows the <sup>120</sup>Sn spectra and the energy bins indicated by vertical dashed lines. In the lower part, the corresponding experimental angular distributions and the results of Eq. (5) for different multipoles and their sums are given. E1 cross sections are largest in the PDR region (8 MeV), but the M1 contribution at angles close to  $0^{\circ}$  is non-negligible. At larger angles, some higher multipole component is needed to account for the data. The energy bin near the maximum of the IVGDR (15 MeV) exhibits the expected dominance of E1 cross sections at forward angles. The only other relevant contribution is the continuum background. Finally, at the high excitation energy (23 MeV), all multipole contributions are at least more than an order of magnitude weaker than the continuum cross sections.

The results of the MDA for all isotopes are summarized in Fig. 13, presenting the full acceptance spectra measured at  $0^{\circ}$  (cf. Fig. 6). The orange data show the experimental cross

sections after subtraction of the ISGMR and ISGQR contributions. The error bars include statistical, systematic, and MDA uncertainties added in quadrature. The E1 (blue) contribution is similar in all isotopes. All other multipoles (red) except M1 (green) contribute very little. The continuum background (purple) shows the expected increase from the neutron threshold up to the region of approximately constant cross sections above the IVGDR. However, in the region near threshold, one finds an abrupt onset at slightly different excitation energies in the different isotopes. Because of the similarity of the theoretical M1 and the continuum background angular distributions, it is difficult to distinguish these two contributions in an energy region of 1-2 MeV above the neutron threshold leading to a larger uncertainty of the M1 component not included in the error bars shown (see also Sec. V C).

## IV. PHOTOABSORPTION CROSS SECTIONS

# A. Virtual photon method

The conversion of Coulomb-excitation to photoabsorption cross sections is based on the virtual photon method described, e.g., in Ref. [2]. In contrast to the previous results published for <sup>120</sup>Sn [8,28], which were based on the semiclassical approximation, here the virtual photon spectrum was calculated in the eikonal approximation [83]. It allows for a proper treatment of relativistic and retardation effects and provides more realistic angular distributions due to taking into account absorption on a diffuse nuclear surface. Examples of virtual photon spectra for the case of <sup>120</sup>Sn and of the

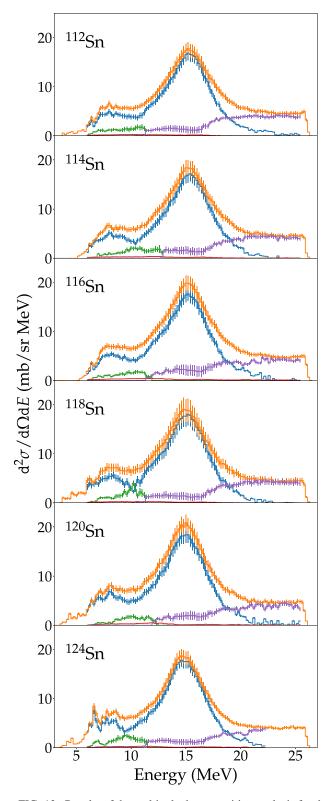


FIG. 13. Results of the multipole decomposition analysis for the spectra measured at a spectrometer angle  $\theta=0^\circ$ . Orange: Experimental cross sections after subtraction of the ISGMR and ISGQR contributions. Blue: E1 contributions. Green: M1 contributions. Red: Contributions from multipoles  $\lambda>1$ . Purple: Continuum background. The error bars include statistical, systematic, and MDA uncertainties.

differences between both approaches can be found in Sec. 3.3 of Ref. [1]. However, the experimental data are given for an average scattering angle. To account for this, one needs to average the differential virtual photon number over the experimental solid angle. Another point to be considered is the maximum scattering angle at which the strong interaction between projectile and target nucleus starts to play a role. This can be calculated from relativistic Rutherford scattering using [74]

$$\theta_{\text{lab}}^{\text{max}} = \frac{Z_1 Z_2 e^2}{b\mu \beta^2 \gamma},\tag{7}$$

where  $Z_1$  is the projectile charge,  $Z_2$  is the charge of the target nucleus, e is the elementary charge,  $\mu$  is the reduced mass,  $\beta$  is the velocity in units of speed of light,  $\gamma$  is the Lorentz factor, and b is the impact parameter. The impact parameter is taken as the sum of the projectile and target nucleus radii  $b=r_p+r_0A^{1/3}$ , where  $r_p=0.87$  fm is the proton root meansquare charge radius [84],  $r_0=1.25$  fm, and A is the target mass number. For the investigated tin isotopes, the maximum scattering angle was determined to  $\theta_{\rm lab}^{\rm max}=2.25-2.32^{\circ}$  depending on A. The average differential virtual photon number is then given by

$$\left\langle \frac{dN_{E1}}{d\Omega}(E,\theta) \right\rangle = \frac{\int \frac{dN_{E1}}{d\Omega}(E,\theta)d\Omega}{\int d\Omega},\tag{8}$$

where the integration is performed up to the maximum angle. For heavy nuclei, after integration over the relevant angular range, differences of virtual photon numbers from the semiclassical and the eikonal approach are found to be small for the present kinematics, typically less than 10%.

#### B. Results and comparison to previous work

The resulting photoabsorption cross sections (blue circles) are summarized in Fig. 14 in comparison to data from previous experiments. Photoabsorption cross sections in  $^{116,118,120,124}$ Sn have been measured in Livermore [32] (green left triangles) and Saclay [33] (red right triangles) with the  $(\gamma, xn)$  reaction. Additional  $(\gamma, xn)$  data for all isotopes investigated here are available from Refs. [34,35] (orange downward triangles). There are also more recent  $(\gamma, n)$  data for  $^{116,118,120,124}$ Sn from experiments with monoenergetic photons at NEW SUBARU [36,37] (black upward triangles).

In the energy region near the resonance maximum reasonable agreement is found in most cases except for the significantly lower data points of Ref. [35] in <sup>114,116</sup>Sn. Also, the Livermore results for <sup>118</sup>Sn are below the other three experiments. These two data sets tend to be systematically higher than the present results on the low-energy flank of the IVGDR. The Saclay results, on the other hand, show a systematic relative shift with increasing *A* from undershooting the present <sup>116</sup>Sn results to slightly overshooting for <sup>124</sup>Sn.

Larger deviations are observed around the neutron threshold as illustrated in Fig. 15, where the energy region between 8 and 13 MeV is magnified. The Saclay cross sections are larger than the present ones in <sup>116,118,120</sup>Sn except close to threshold and agree for <sup>124</sup>Sn. The Livermore data closer to the threshold show smaller cross sections for <sup>116</sup>Sn, cross

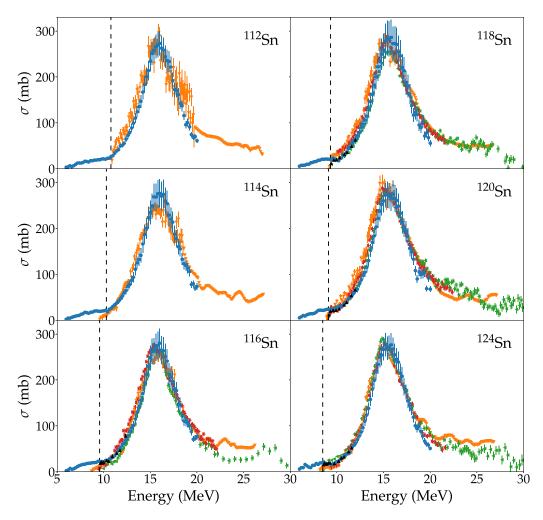


FIG. 14. Photoabsorption cross sections obtained in this work (blue circles) in comparison to  $(\gamma, xn)$  experiments by Fultz *et al.* [32] at Livermore (green left triangles), Leprêtre *et al.* [33] at Saclay (red right triangles), and Sorokin *et al.* [34,35] (orange downward triangles).  $(\gamma, n)$  data from Utsunomiya *et al.* [36,37] are shown as black upward triangles. The neutron thresholds are indicated by vertical dashed lines.

sections similar to the present work for  $^{118,120}$ Sn, and larger cross sections for  $^{124}$ Sn. The results of Refs. [34,35] are significantly higher for  $^{112,118,120}$ Sn but agree fairly well for  $^{114,116,124}$ Sn except for the region close to threshold in  $^{124}$ Sn. On the other hand, the  $(\gamma, n)$  experiments of Utsunomiya *et al.* [36,37] are in good agreement with the present work for all studied isotopes.

The present results are shown up to 20 MeV only, since the cross-section ratio between E1 and the continuum background becomes too unfavorable for a meaningful MDA for even higher excitation energies. Between 20 and 30 MeV data are available only from Refs. [32,34,35]. The Livermore data show large variations and no isotopic trend. The cross sections for  $^{120}$ Sn are about two times larger than for  $^{118,124}$ Sn, which in turn are larger than those for  $^{116}$ Sn. The data of Refs. [34,35] are on the average more consistent with each other but show large fluctuations as a function of energy between neighboring isotopes. These observations point toward problems in the extraction and separation of  $(\gamma, 2n)$  and  $(\gamma, 3n)$  events.

#### C. Systematics of the IVGDR

Lorentzian fits to the different data sets are presented in Fig. 16 and summarized in Table II. The parameters for all experiments were obtained using data in the energy range 13–18 MeV only. The original data of Refs. [34,35] were not accessible but fit results are given in Table 5 of Ref. [85]. Neither uncertainties nor the fitting range are available for these results.

The peak cross sections  $\sigma_{\rm GDR}$  in Table II agree very well within the uncertainties for all data sets except the aforementioned reduction of the Livermore results for  $^{118}{\rm Sn}$  in the IVGDR peak region. The situation is different with respect to the centroid energies and the widths as illustrated in Fig. 16. The expected decrease of the centroid energy  $E_{\rm GDR}$  with increasing mass number A is found in all experiments, though neither the absolute values nor the slope agree between the different sets of data. The centroid energies determined in this work are found to be generally higher than in previous work, yet they yield the best agreement when comparing with the

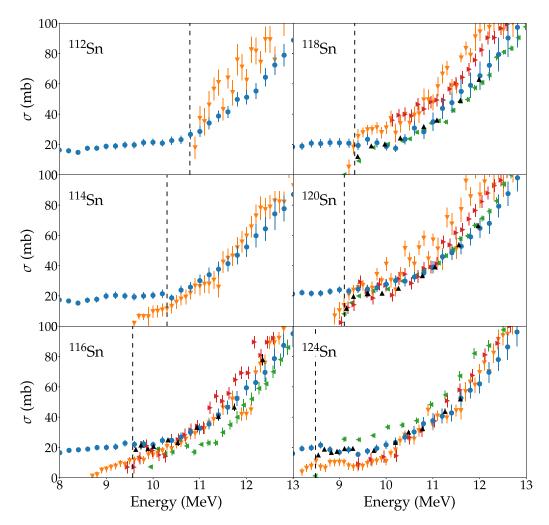


FIG. 15. Same as Fig. 14, but restricted to the energy region 8–13 MeV.

TABLE II. Lorentzian fits to the IVGDR photoabsorption cross sections in <sup>112,114,116,118,120,124</sup>Sn from different experiments. All data were fitted in the excitation energy range 13–18 MeV. The results for the data of Refs. [34,35] were taken from Table 5 of Ref. [85]. Neither uncertainties nor the fitting range are available for these numbers.

	<sup>112</sup> Sn	<sup>114</sup> Sn	<sup>116</sup> Sn	<sup>118</sup> Sn	<sup>120</sup> Sn	$^{124}$ Sn
$\sigma_{\rm GDR}$ (mb)						
This work	272(16)	280(16)	279(16)	290(16)	285(16)	286(15)
[34,35,85]	268	265	260	272	297	270
[32]			266(7)	255(7)	280(8)	283(8)
[33]			270(5)	278(5)	284(5)	275(5)
$E_{\rm GDR}$ (MeV)						
This work	15.91(5)	15.96(6)	15.81(5)	15.67(8)	15.61(5)	15.46(5)
[34,35,85]	15.8	15.7	15.6	15.5	15.3	15.5
[32]			15.67(4)	15.60(4)	15.40(4)	15.18(4)
[33]			15.57(10)	15.44(10)	15.38(10)	15.29(10)
$\Gamma_{GDR}$ (MeV)			. ,	` ,	. ,	` ´
This work	4.51(20)	4.50(22)	4.42(22)	4.47(33)	4.48(19)	4.33(17)
[34,35,85]	5.9	, ,	. ,	5.8	5.7	, ,
[32]			4.19(6)	4.76(6)	4.88(6)	4.81(6)
[33]			5.21(10)	4.99(10)	5.25(10)	4.96(10)

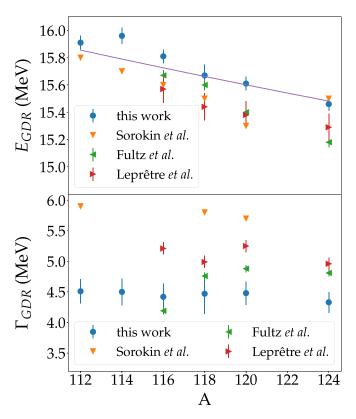


FIG. 16. Centroid energies  $E_{\rm GDR}$  (top) and widths  $\Gamma_{\rm GDR}$  (bottom) of Lorentzian fits to the IVGDR in tin isotopes determined from the data shown in Fig. 14. The purple line shows the phenomenological mass dependence of the centroid energy, Eq. (9).

well-known phenomenological formula [31]

$$E_{\rm GDR} = 31.2A^{-1/3} + 20.6A^{-1/6},\tag{9}$$

plotted as purple line in the upper part of Fig. 16.

The widths  $\Gamma_{\rm GDR}$  differ considerably between the experiments. The values from the present experiment are systematically smaller. They are constant within the uncertainties with an average of about 4.5 MeV. Likewise, the data of Fultz *et al.* [32] show a rather constant width with  $E_{\rm GDR} \approx 4.8$  MeV, except for <sup>116</sup>Sn. The data of Leprêtre *et al.* [33] exhibit a fluctuating behavior around an average value of about 5.1 MeV. The values quoted in Ref. [85] for the data of Refs. [34,35] are generally much larger, exceeding 5.5 MeV.

## D. IVGDR energies and nuclear matter bulk parameters

The tool of choice for the theoretical modeling of nuclear giant resonances is since long the random-phase approximation (RPA). It is based on a mean-field description in terms of one-particle—one-hole states recoupled by a residual two-body interaction [86]. Early realizations of RPA were mostly based on empirical shell-model potentials and separately added interactions [87]. Meanwhile, steady progress in nuclear energy density functional theory [39] and in numerical capabilities has made fully self-consistent RPA calculations a widely used standard tool. However, most EDFs are tuned to ground-state properties, which leaves its isovector properties to some

extent undetermined. The RPA predictions for the IVGDR are thus widely varying. A proper tuning of the IVGDR within nuclear EDF theory is a field of active research (see, e.g., Refs. [43,88,89]), and any precise new data are highly welcome

Thus, we now compare the present measurements with a variety of RPA predictions. We choose a family of EDF parametrizations which vary certain nuclear-matter properties (NMP) in systematic manner; i.e., they all describe the same pool of ground-state properties equally well, but differ in one of the NMP varied within acceptable bounds while leaving the quality of ground-state properties intact. There exist several such sets of families from Skyrme EDF as well as from relativistic models [57,89-91]. We confine the present study to the set from Ref. [89] as it covers the broadest set of NMP (see below). For <sup>208</sup>Pb, a one-to-one relation between each major giant resonance and one of the NMP was found [89] and corroborated by statistical correlation analysis [92]. On the other hand, the quality of the description of IVGDR can change dramatically with nuclear size [93]. The tin isotopes are about half the mass of lead and it is interesting to see how the RPA description performs in this case.

RPA is capable of describing the gross properties of giant resonances well, but it fails if one looks at the detailed profile of the strength distributions. RPA spectra for the IVGDR are much too structured while experimental data show usually one broad peak; see, e.g., Fig. 14. It requires two-body correlations beyond RPA to describe the spreading width [94]. Practical realizations in terms of the phonon-coupling model are, indeed, able to produce realistically smooth excitation spectra [95,96]. These are, however, very expensive to use and contain too many ingredients for a simple comparison with data. Before going into details, one has first to check the gross properties and this can be done very well at the simpler level of RPA when comparing averaged properties. One such quantity is the dipole polarizability discussed in Sec. VB and Ref. [58], which can be obtained from the (-2) moment of the photoabsorption cross-section distribution. The other prominent feature is the IVGDR peak position scrutinized here. A quick glance at Fig. 14 shows that it is unsafe to read off the peak position directly from the strength distribution. A more robust value is obtained from the average energy in a given interval  $[E_1, E_2]$ 

$$\overline{E} = \frac{\int_{E_1}^{E_2} dE \, \sigma_{\rm D}(E) E}{\int_{E_1}^{E_2} dE \, \sigma_{\rm D}(E)} \,. \tag{10}$$

The Lorentzian fits to the data in Sec. IVB have been performed for an energy region 13–18 MeV and the same interval is chosen for the theoretical results. Before doing that, the RPA spectra are folded with Lorentzian or Gaussian functions to resemble approximately the smoothness of the data. To explore the impact of smoothing, we have used folding widths from 1 to 2 MeV. The results are found to be only weakly dependent on the actual folding recipe. We take the variations of the resulting peak energies to determine uncertainties of the analysis, shown as error bars in the following. As said above, we compare data with RPA results from a family of Skyrme functionals dervied from SV-bas [89], which varies

TABLE III. NMP for the family of Skyrme parametrizations from Ref. [89], where SV-bas is the base point of the systematic variation. Variations of the incompressibility K are given in SV-K\*, of the effective mass  $m^*/m$  in SV-mas\*, of the symmetry energy J in SV-sym\*, and of the TRK sum rule enhancement  $\kappa_{\text{TRK}}$  in SV-kap\*.

Force	K (MeV)	m*/m	a <sub>sym</sub> (MeV)	$\kappa_{ m TRK}$
SV-bas	234	0.9	30	0.4
SV-K218	218	0.9	30	0.4
SV-K226	226	0.9	30	0.4
SV-K241	241	0.9	30	0.4
SV-mas10	234	1.0	33	0.4
SV-mas08	234	0.8	26	0.4
SV-mas07	234	0.7	20	0.4
SV-sym28	234	0.9	28	0.4
SV-sym32	234	0.9	32	0.4
SV-sym34	234	0.9	34	0.4
SV-kap00	234	0.9	30	0.0
SV-kap20	234	0.9	30	0.2
SV-kap60	234	0.9	30	0.6

systematically the four NMP incompressibility K, symmetry energy J, isoscalar effective mass  $m^*/m$ , and isovector effective mass in terms of the Thomas-Reiche-Kuhn sum rule enhancement  $\kappa_{\rm TRK}$ . The NMP for all parametrizations are given in Table III. SV-bas was developed as a Skyrme functional which fits the three major giant resonances and the dipole polarizability in  $^{208}{\rm Pb}$  together with an excellent description of ground-state properties. For  $^{208}{\rm Pb}$ , a one-to-one correlation of each NMP with one giant resonance peak

energy was found [89,92], viz., the ISGMR with K, the ISGQR with  $m^*/m$ , the IVGDR with  $\kappa_{\rm TRK}$ , and the dipole polarizability  $\alpha_D$  with J. This means, e.g., for the IVGDR peak that variation of K,  $m^*/m$ , and  $\alpha_D$  has negligible effect while  $\kappa_{\rm TRK}$  has direct impact on the result. The question is how the IVGDR in the tin isotopes behaves in that respect.

Figure 17 compares the average IVGDR peak energies from the various Skyrme parametrizations with those from the strength distributions of the present experiment. At first glance, the behavior is similar to what we have seen in <sup>208</sup>Pb: SV-bas is still fairly well fitting, variation of  $\kappa_{TRK}$  has a strong impact, and the other variations change less. Closer inspection, however, reveals deviations from the simple behavior in <sup>208</sup>Pb. First, variation of J and  $m^*/m$  is not totally inert (as K still is), but has some impact, indicating that the near perfect one-to-one correlation between a giant resonance and its NMP is weakened in the tin isotopes. Second, SV-bas predicts 100-200 keV higher centroid energies than those seen experimentally, while the description is almost perfect in <sup>208</sup>Pb. This indicates that the mass dependence of isovector properties is not yet fully modeled by present-day EDFs, much in line with our findings for the isovector polarizability [58] and earlier studies of the A dependence of the IVGDR [93].

The isotopic trend of the data was found to agree with the known phenomenological form, Eq. (9). Most of the theoretical results comply with this trend. Only the variation of  $\kappa_{\text{TRK}}$  shows slight changes, but these fine details go beyond the resolution of the present analysis and data. The results along an isotopic chain thus confirm the known trend of the IVGDR energies with mass number A. On the other hand, the large step from A=208 to  $A\approx 120$  reveals deviations. It is not yet clear whether this is due to the larger change in A or

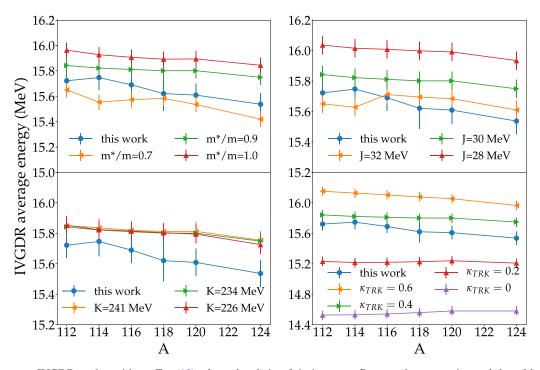


FIG. 17. Average IVGDR peak positions, Eq. (10), along the chain of tin isotopes. Compared are experimental data (blue) with results from various Skyrme parametrizations as indicated (for details, see text). Each panel collects variation of one NMP. The results of the original SV-bas interaction [89] are always shown in green.

due to a change in charge number Z. The present data are one important entry for a future systematic study.

#### V. ELECTRIC AND MAGNETIC DIPOLE STRENGTH

In this section, the systematics of the E1 and M1 strength distributions in the studied tin isotopes is discussed. The B(E1) strength distributions are derived from the photoabsorption cross sections. In Ref. [70], a method to extract the spin-M1 strength from the M1 cross sections in forward-angle (p, p') experiments has been introduced and successfully tested. Under the assumption that isoscalar and orbital contributions can be neglected, one can convert the results to the equivalent electromagnetic B(M1) strength. This works particularly well for magic nuclei [70,97]. Since the magnitude of orbital contributions is related to the ground-state deformation [59], this should also be a good approximation for the semimagic tin isotopes.

## A. E1 strength below the neutron threshold

Below the neutron threshold, comparison can be made with data from nuclear resonance fluorescence (NRF) experiments. Strength distributions from NRF experiments are available for <sup>112,116,120,124</sup>Sn [29,98]. For <sup>120</sup>Sn, a comparison between the *B*(*E*1) strengths deduced from proton scattering and from NRF data was presented already in Ref. [28]. It was found that in proton scattering considerably more *E*1 strength is observed, reaching an order-of-magnitude difference close to the neutron threshold. In Fig. 18, the same comparison is shown for <sup>112</sup>Sn and <sup>116</sup>Sn. As in <sup>120</sup>Sn, an approximate agreement is seen in the region up to 6.5 MeV, in particular if inelastic branchings (estimated with statistical model calculations) are included for the NRF data [28]. Above 6.5 MeV, substantially more strength is found for both isotopes measured in proton scattering.

There are two potential explanations for these findings. Because of the high level density in the tin isotopes, much of the strength cannot be resolved in NRF experiments close to the neutron thresholds [99,100], which leads to lower B(E1) values. Furthermore, excitation strengths are usually determined under the assumption that decays to excited states are negligible. This assumption, however, is not always justified [101,102] and can lead to a severe underestimation of the B(E1) strength.

Figure 19 shows results from four different experiments studying the electric dipole response in  $^{124}$ Sn. While the present (p, p') and the  $(\gamma, \gamma')$  experiments induce predominantly isovector transitions, the  $(^{17}\text{O}, ^{17}\text{O}'\gamma)$  [103] and  $(\alpha, \alpha'\gamma)$  [104] studies probe the isoscalar response. As in the cases of  $^{112,116,120}$ Sn, a strong increase of the B(E1) strength is found toward excitation energies >7 MeV in the proton-scattering data of  $^{124}$ Sn in contrast to the NRF data. The structure around 6.5 MeV observed in lighter tin isotopes is even more prominent in  $^{124}$ Sn and clearly seen in both experiments. A completely different picture results from the  $(^{17}\text{O}, ^{17}\text{O}'\gamma)$  and  $(\alpha, \alpha'\gamma)$  experiments. A comparable isoscalar E1 response is found in the energy region 5.5–7 MeV, although the distribution differs in detail. No

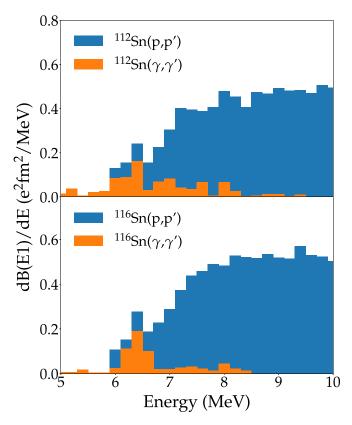


FIG. 18. B(E1) strength distributions for <sup>112</sup>Sn and <sup>116</sup>Sn below the neutron threshold in 200-keV bins from the present work (blue) in comparison with results from NRF experiments [29,98] (orange).

isoscalar E1 matrix elements were extracted from the  $\alpha$  scattering data, and thus no quantitative comparison of the two experiments is possible.

Above 7 MeV, hardly any isoscalar *E*1 strength is found. From the macroscopic picture describing the PDR as a neutron-skin oscillation against an isospin saturated core, one expects mixed isoscalar and isovector excitation, as seen in all four experiments below 7 MeV. Absence of isoscalar strength at higher energies indicates that the strength observed in the present experiment predominantly arises from the low-energy tail of the IVGDR. Such transitions are expected to involve complex wave functions with potentially small branching ratios to the ground state, which might explain the absence of these transitions in the NRF experiments.

## B. Dipole polarizability

The electric dipole polarizability  $\alpha_D$  of a nucleus is related to the photoabsorption cross sections, respectively, the B(E1) strength distributions by inverse moments of the E1 sum rule [38]:

$$\alpha_{\rm D} = \frac{\hbar c}{2\pi^2} \int \frac{\sigma_{\rm abs}}{E_{\rm x}^2} dE_{\rm x} = \frac{8\pi}{9} \int \frac{B(E1)}{E_{\rm x}} dE_{\rm x}. \tag{11}$$

The present data provide photoabsorption cross sections for the determination of  $\alpha_{\rm D}$  in the energy region 6–20 MeV as discussed in Sec. IV. Below 6 MeV, B(E1) strength distributions of <sup>112,116,120,124</sup>Sn have been measured in  $(\gamma, \gamma')$ 

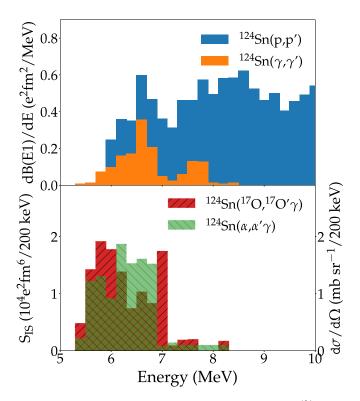


FIG. 19. Electric dipole strength distributions for  $^{124}$ Sn in 200-keV bins from different experiments. Top: B(E1) strength distributions for  $^{124}$ Sn from the present work (blue) in comparison with NRF results [98] (orange). Bottom: Isoscalar E1 strength distributions deduced from ( $^{17}$ O,  $^{17}$ O' $\gamma$ ) experiment [103] (red) and differential cross sections from an  $(\alpha, \alpha'\gamma)$  experiment [104] (green).

experiments [29,98]. These contributions are small (<0.5% of the total dipole polarizability) and were neglected for consistency with the other isotopes, where no such data are available.

In Ref. [105], it was pointed out that the quasideuteron mechanism [106] dominates the photoabsorption for high excitation energies (above 30 MeV in the present case). Such a nonresonant process is not included in the EDF calculations and should thus be excluded from the integration of Eq. (11) for a comparison with the theoretical results. Rather, we employ a theory-assisted estimate of strength in the region above 20 MeV based on quasiparticle phonon model (QPM) calculations known to account well for properties of the IVGDR in heavy nuclei [1,6,7,107,108]. The QPM cross sections used to calculate the dipole polarizability in the energy region above 20 MeV were convoluted with Lorentzians whose widths were tuned to reproduce the present IVGDR data. In order to estimate the model dependence of this procedure, the analysis was repeated with other EDF parametrizations and the predicted contributions were found to be all similar. The upper limit of the integration was chosen as 50 MeV, which roughly corresponds to the single-particle model space of the theoretical results. For further details, see Ref. [58]. Figure 20 displays the evolution of  $\alpha_D$  as a function of excitation energy (the running sum) for the investigated tin isotopes. The error bands comprise statistical and systematic uncertainties, the latter including contributions from experiment and from

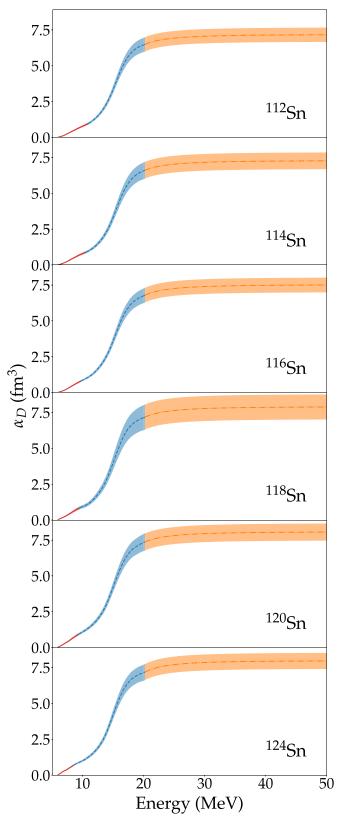


FIG. 20. Running sums of the dipole polarizability deduced from the present (p, p') data. Red: Contribution from 6 MeV to  $S_n$ . Blue: Contribution from  $S_n$  to 20 MeV. Orange: Contribution above 20 MeV from QPM calculations; see text for details.

TABLE IV. Total dipole polarizability  $\alpha_D$  of  $^{112,114,116,118,120,124}$ Sn determined as described in the text. Partial values are given for the contributions from 6 MeV to the neutron threshold energies  $S_n$  given in the first column, from  $S_n$  to 20 MeV, and >20 MeV.

			$\alpha_{\rm D}~({\rm fm}^3)$			
	$S_n$ (MeV)	$6-S_n$	$S_n$ -20	>20	Total	
<sup>112</sup> Sn	10.79	0.94(7)	5.51(42)	0.73(7)	7.19(50)	
<sup>114</sup> Sn	10.30	0.83(7)	5.74(51)	0.72(7)	7.29(58)	
<sup>116</sup> Sn	9.56	0.77(6)	5.98(45)	0.77(8)	7.52(51)	
<sup>118</sup> Sn	9.32	0.78(9)	6.36(78)	0.77(8)	7.91(87)	
<sup>120</sup> Sn	9.10	0.84(7)	6.49(52)	0.75(8)	8.08(60)	
<sup>124</sup> Sn	8.49	0.65(5)	6.49(51)	0.85(8)	7.99(56)	

the MDA as discussed above. Note that the relative errors are similar at low and high excitation energies. The figure illustrates that the total polarizabilities are dominated by the contribution of the IVGDR (blue), but the low-energy (red) and high-energy (orange) parts are non-negligible. The corresponding total and partial values are summarized in Table IV. The variation of the low-energy contribution up to the neutron threshold—i.e., the part missed in  $(\gamma, xn)$  experiments—is driven by two counteracting factors, viz. the decrease of the IVGDR centroid energy and of  $S_n$  with increasing A. The variation of  $S_n$  between <sup>112</sup>Sn and <sup>124</sup>Sn is more than 2 MeV (cf. Table IV). Since the variation of the IVGDR centroid energy is only about 0.5 MeV and the IVGDR widths are approximately constant (cf. Table II), the largest contribution of 13% is found in <sup>112</sup>Sn, dropping to 8% in <sup>124</sup>Sn. The high-energy contribution from the QPM calculations amounts to 9-10% in all isotopes. The implications of the isotopic dependence and absolute values of the polarizability results summarized in Table IV are discussed in Ref. [58].

Above neutron thresholds, results are also available from the  $(\gamma, xn)$  experiments [32–35], which in principle allow to reduce the error bars by averaging over energy regions covered by more than one experiment or not covered by the present data. However, we refrain from using them, since they show large variations between different isotopes and systematically different isotopic dependence as discussed in Sec. IVB and illustrated in Figs. 21 and 22. Figure 21 compares the polarizabilites deduced by the different experiments in the energy region from the neutron threshold to 20 MeV. It is obvious that if one would include the data of Ref. [32], the isotopic dependence of  $\alpha_D$  would be changed significantly. Concerning the isotopic dependence extracted from the data of Ref. [33], one should note that for 118,124Sn results are available only from about 1 MeV above  $S_n$ . Data for 116,118,120,124Sn in the excitation energy region 20–29.6 MeV not covered in the present experiments are available from Ref. [32]. However, these results again show large variations between different isotopes and no systematic isotopic dependence in contrast to the OPM predictions as illustrated in Fig. 22. The problems are aggravated looking at the excitation energy dependence of the photoabsorption cross sections. Between 20 and 25 MeV, they are about two times smaller for <sup>116</sup>Sn than those for <sup>118,124</sup>Sn, which in turn are significantly smaller than those for <sup>120</sup>Sn. On the other hand, between 26

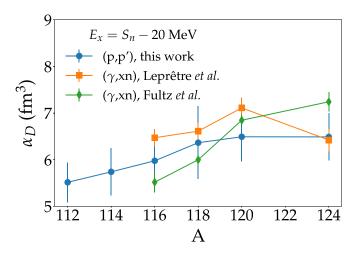


FIG. 21. Contribution to the dipole polarizability in the energy region from  $S_n$  to 20 MeV deduced from the present data (blue circles), Ref. [32] (green diamonds), and Ref. [33] (orange squares).

and 28 MeV, the cross sections for  $^{116}{\rm Sn}$  are about two times larger than those for  $^{120}{\rm Sn}$ .

We note that a larger  $\alpha_D$  value was published for  $^{120}\mathrm{Sn}$  based on the same type of experiment [8], which after correction for the quasideuteron part amounted to  $\alpha_D = 8.59(37)$  fm<sup>3</sup>. However, as pointed out in Sec. II, the difference to the present result is not due to the (p, p') data (cross sections from the previous and present experiments agree within error bars). Rather, they result from averaging with the  $(\gamma, xn)$  data of Refs. [32,33] and in particular from the large photoabsorption strengths of Ref. [32] in the energy region 20–30 MeV (cf. Fig. 22).

## C. Magnetic dipole strength

The MDA yields apart of the dominant E1 contribution also considerable M1 contributions to the cross sections in the PDR region. It is possible to determine the IVSM1 strength  $B(M1_{\sigma\tau})$  and with some additional assumptions also the

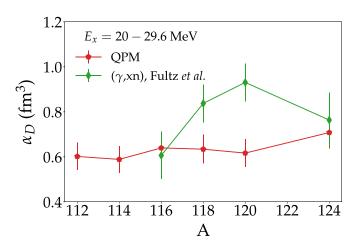


FIG. 22. Dipole polarizability of stable even-mass tin isotopes in the energy region from 20 to 29.6 MeV deduced from the data of Ref. [32] (green diamonds) compared with the theory-based estimate used for the present results (red pentagons).

corresponding electromagnetic B(M1) strength. The analysis is based on the so-called unit cross-section method and utilizes isospin symmetry of the isovector spin M1 mode and the analog Gamow-Teller (GT) mode excited in charge exchange reactions [65]. In the following, only the essential steps of the procedure are sketched. A detailed description of the method, the impact of various approximations, and an estimate of systematic uncertainties can be found in Ref. [70].

The spin-M1 strength is related to the isovector part of the differential M1 cross section by

$$\frac{d\sigma}{d\Omega}(0^{\circ})_{\exp}^{IV} = \hat{\sigma}_{M1}F(q, E_{x})B(M1_{\sigma\tau}), \qquad (12)$$

where  $\hat{\sigma}_{M1}$  is the unit cross section,  $F(q, E_x)$  is a kinematic correction factor depending on momentum transfer q and excitation energy  $E_x$ , and  $B(M1_{\sigma\tau})$  is the dimensionless isovector spin M1 strength (the analog of the GT strength for  $T = T_0$ , where  $T_0$  denotes the g.s. isospin).

Because of the properties of the effective proton-nucleus interaction at small momentum transfers [3], for the inelastic proton scattering experiment discussed in this work the spin M1 cross sections are predominantly of isovector nature. Isoscalar contributions are expected at the level of a few percent and are neglected here. Utilizing isospin symmetry, the unit cross section can be taken from analog studies of Gamow-Teller transitions in charge exchange experiments [109,110]. The systematics of the GT unit cross section for (p,n) reactions at  $E_p\cong 300\,\mathrm{MeV}$  was investigated in Ref. [111], where a mass-dependent formula for the unit cross section (in mb/sr) was derived:

$$\hat{\sigma}_{GT} = 3.4(2) \exp\left[-0.40(5)(A^{1/3} - 90^{1/3})\right]. \tag{13}$$

The kinematic correction factor was determined by DWBA calculations and an extrapolation from experimental data at finite angles to the cross section at  $0^{\circ}$  was performed with the aid of the theoretical M1 angular distribution shown in Fig. 7. Finally, the corresponding electromagnetic strength can be calculated, neglecting isoscalar and orbital parts of the electromagnetic M1 operator

$$B(M1) = \frac{3}{4\pi} (g_s^{IV})^2 B(M1_{\sigma\tau}) \mu_N^2, \tag{14}$$

where  $g_s^{IV} = \frac{1}{2}(g_s^{\pi} - g_s^{\nu})$  is the isovector gyromagnetic factor with proton and neutron g factors  $g_s^{\pi} = 5.586$  and  $g_s^{\nu} = -3.826$ , respectively.

Figure 23 presents the B(M1) strength distributions observed applying the above-described method to the M1 cross sections resulting from the MDA (Fig. 13). Maximum strength is found between 9 and 10.5 MeV but the distributions are generally broad, similar to what was observed in heavy deformed nuclei [59].

While the strengths are confined for most isotopes below 11.5 MeV, there are two distinct cases where they extend to 12.8 MeV ( $^{114}$ Sn) and 12.4 MeV ( $^{120}$ Sn), respectively. We note, however, that the MDA results for the M1 part of the cross sections has potentially large systematic uncertainties in the energy region just above the  $S_n$  energies (indicated by vertical lines in Fig. 23) due to the similarity of the theoretical M1 (Fig. 7) and the continuum background [Eq. (2)] angular

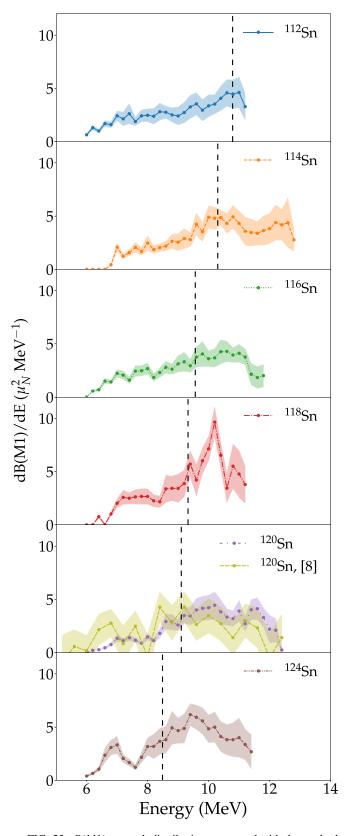


FIG. 23. B(M1) strength distributions extracted with the method described in the text. Additionally, for  $^{120}$ Sn results based on the measurement of spin-transfer observables from Ref. [8] are shown. The vertical lines indicate the neutron threshold energies.

TABLE V. Neutron threshold energies  $S_n$ , B(M1) strengths up to  $S_n$ , and total B(M1) strengths up to energy  $E_{\rm max}$  in  $^{112,114,116,118,120,124}{\rm Sn}$  deduced from the present data as described in the text.

	$S_n$ (MeV)	$\sum_{6}^{S_n} B(M1) \atop (\mu_N^2)$	E <sub>max</sub> (MeV)	$\sum_{6}^{E_{\text{max}}} B(M1) $ $(\mu_N^2)$
<sup>112</sup> Sn	10.79	13.1(1.2)	11.2	14.7(1.4)
<sup>114</sup> Sn	10.30	9.2(1.0)	12.8	19.6(1.9)
<sup>116</sup> Sn	9.56	8.1(0.7)	11.8	15.6(1.3)
<sup>118</sup> Sn	9.32	8.2(1.1)	11.2	18.4(2.4)
<sup>120</sup> Sn	9.10	4.8(0.5)	12.4	15.4(1.4)
<sup>124</sup> Sn	8.49	5.6(0.6)	11.4	19.1(1.7)

distributions. While the variation of the sum of both components in the MDA fits is limited (i.e., the E1 part is hardly affected), the M1 contributions were found to vary strongly in combination with different theoretical E1 curves for comparable  $\chi^2$  values. Thus, the  $\chi^2$ -weighted averaging over the different fits performed in Eq. (5) becomes questionable and Eq. (6) underestimates the uncertainties. Accordingly, the B(M1) strengths above the respective  $S_n$  values should be taken with some caution and additional weak contributions at even higher excitation energies cannot be excluded. Table V summarizes the results.

It is instructive to compare the B(M1) strength distribution deduced for  $^{120}\mathrm{Sn}$  with results from an independent decomposition of E1 and M1 cross sections based on the measurement of spin-transfer observables [8]. These results are included in Fig. 23 as olive band. Below threshold, the results agree within error bars except for the energy region between 6 and 7 MeV where Ref. [8] finds larger values. Above threshold, one has to take into account that the measured spin-flip probability may contain contributions from quasifree scattering [112]. Thus, the M1 cross sections may be overestimated.

# VI. CONCLUSIONS AND OUTLOOK

In this work, the electric and magnetic dipole response of the even-even stable tin isotopes <sup>112,114,116,118,120,124</sup>Sn was determined in the excitation energy range 6–20 MeV from inelastic proton scattering experiments at 295 MeV and very forward angles 0–6°. The individual contributions of different multipoles to the double differential cross sections were extracted by means of a MDA.

Utilizing the virtual photon method, photoabsorption cross sections were extracted from the E1 cross-section parts. The results are compared to previous  $(\gamma, xn)$  experiments [32–35] and significant differences are observed on the low-energy flank of the IVGDR, particularly pronounced near the neutron threshold, while recent measurements of the  $(\gamma, n)$  reaction [36,37] show good agreement. Lorentzian fits in the IVGDR energy region show a smooth centroid energy dependence as a function of A consistent with phenomenological models and an approximately constant width. A systematic study of the dependence of IVGDR energies on bulk matter properties with an EDF tuned to describe the giant resonances in  $^{208}$ Pb reveals that the mass dependence is not yet fully

reproduced by present-day models, similar to what was concluded for the polarizability [58].

The B(E1) strength distributions were compared below the neutron threshold to  $(\gamma, \gamma')$  experiments, where data on  $^{112,116,120,124}$ Sn are available. Considerably more strength was found for all cases in the present work, confirming previous findings for  $^{120}$ Sn [28]. Furthermore, an accumulation of strength has been detected between 6 and 7 MeV in all tin isotopes, which are most prominent in  $^{124}$ Sn. Comparison with results from isoscalar probes for  $^{124}$ Sn demonstrates that these transitions are of dominant neutron character, as expected for the PDR. At higher excitation energies, the E1 strength is of dominant isovector character. The differences between the E1 strengths deduced from (p, p') and  $(\gamma, \gamma')$  data indicate the influence of complex wave functions of the excited states, resulting in small branching ratios to the ground state.

The evolution of the dipole polarizability in the chain of stable tin isotopes was determined by combining the experimental photoabsorption cross sections up to 20 MeV from the present work with a theory-aided correction for the unobserved high-energy part. The implications of these results for the development of EDFs aiming at a global description of the dipole polarizability across the nuclear chart and the resulting constraints on symmetry energy parameters have been discussed in Ref. [58].

Using the unit cross-section technique [70], B(M1) strength distributions were determined from the M1 cross sections and a survey of the IV spin-M1 strength is provided for the first time for stable even-even tin isotopes  $^{112-120,124}$ Sn. Below  $S_n$ , they exhibit broad distributions similar to what was found in heavy deformed nuclei [59]. Above  $S_n$ , the accuracy is limited because of the similarity of the M1 and the phenomenological continuum angular distributions in the MDA.

With the B(E1) and B(M1) strength distributions at hand, the  $\gamma$  strength function (GSF) can be determined for the nuclei studied. Below neutron threshold, the GSFs show a specific evolution with mass number. In combination with compound nucleus  $\gamma$ -decay experiments using the Oslo method [113], this can provide a unique test of the controversially discussed Brink-Axel hypothesis [9,114–118], stating independence of the GSF from initial and final states. Such an analysis is presently being prepared [119].

Finally, an aspect of the experimental results not discussed here is the high-energy resolution of 30–40 keV (FWHM), which allows a quantitative analysis of the fine structure of the IVGDR similar to Refs. [108,120]. Utilizing wavelet analysis techniques [121], information on the relevance of different mechanisms contributing to the width of the IVGDR can be retrieved [122]. The cross-section fine structure also permits an extraction of the  $J^{\pi}=1^-$  level density in the IVGDR energy region [9,108,115] based on a fluctuation analysis [123,124]. However, this requires excellent statistics which were only reached in the present data for <sup>120</sup>Sn and <sup>124</sup>Sn. The results will be presented elsewhere [119].

#### ACKNOWLEDGMENTS

The experiments were performed at RCNP under Program E422. The authors thank the accelerator group for

providing excellent beams. This work was funded by the Deutsche Forschungsgemeinschaft (DFG, German Research Foundation) under Grant No. SFB 1245 (Project ID No. 279384907), by JSPS KAKENHI (Grant No.

JP14740154), and by MEXT KAKENHI (Grant No. JP25105509). C.A.B. was supported in part by U.S. DOE Grant No. DE-FG02-08ER41533 and U.S. NSF Grant No. 1415656.

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