

Ab Initio Theory of Medium-Mass Nuclei

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Ab Initio Workflow

**Nuclear Structure &
Reaction Observables**

Many-Body Solution:
NCSM, CC, IM-SRG,...

Pre-Processing:
Similarity Renorm. Group

Chiral EFT:
Interactions & Operators

Low-Energy QCD

Ab Initio Workflow

Nuclear Structure & Reaction Observables

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Chiral EFT:
Interactions & Operators

Low-Energy QCD

- systematic and improvable with quantified uncertainties
- only “selected” chiral interactions used in nuclear structure so far
- improved chiral EFT interactions offer opportunity to quantify uncertainties systematically

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Nuclear Structure & Reaction Observables

Many-Body Solution:
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Chiral EFT:
Interactions & Operators

Low-Energy QCD

- drastically improves convergence of many-body calculation
- induces many-body interactions which can be sizeable
- challenge: include or suppress induced many-body contributions

Ab Initio Workflow

Nuclear Structure & Reaction Observables

Many-Body Solution:
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Chiral EFT:
Interactions & Operators

Low-Energy QCD

- different many-body methods for different mass regimes and different observables
- present frontiers: continuum & open-shell medium-mass nuclei

Hamiltonian

Chiral EFT for Nuclear Interactions

Weinberg, van Kolck, Machleidt, Entem, Meissner, Epelbaum, Krebs, Bernard,...

- nuclear structure practitioners have used selected chiral NN+3N interactions
 - standard Hamiltonian
 - NN @ N3LO: Entem & Machleidt, cutoff 500 MeV
 - 3N @ N2LO: local, cutoff 400 or 500 MeV
 - N2LO-opt, N2LO-sat,...
 - purpose-build N2LO interactions utilizing extended fitting strategies
- no investigation of order-by-order convergence or regularization scheme and scale dependence of nuclear structure observables
- LENPIC: systematic propagation of chiral EFT uncertainties to nuclear observables

(talk by H. Krebs)

	NN	3N	4N
LO			
NLO			
N ² LO			
N ³ LO			

Similarity Renormalization Group

Glazek, Wilson, Wegner, Perry, Bogner, Furnstahl, Hergert, Roth,...

continuous unitary
transformation driving Hamiltonian
towards diagonal form

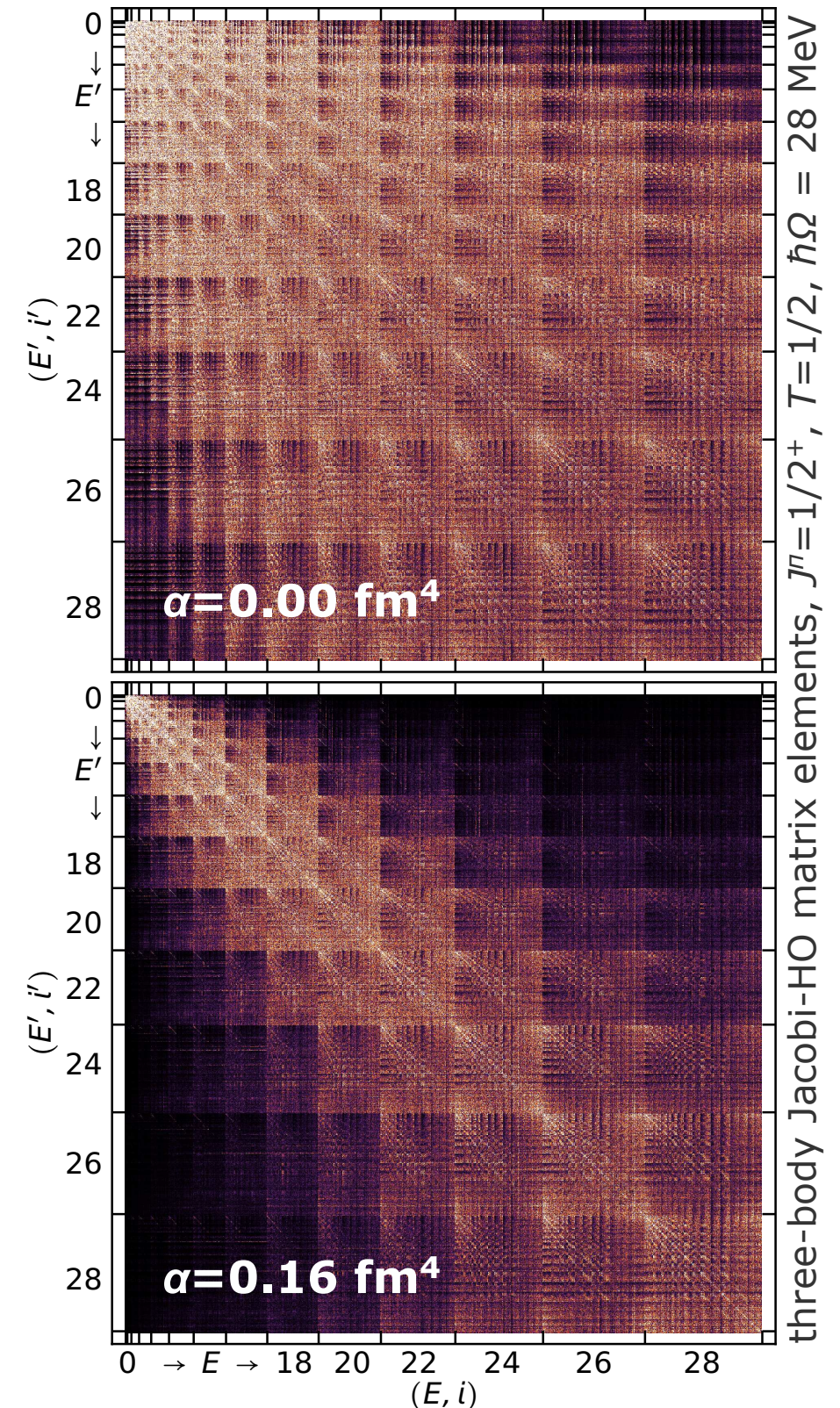
- unitary transformation via flow equation

$$H_\alpha = U_\alpha^\dagger H_0 U_\alpha \quad \rightarrow \quad \frac{d}{d\alpha} H_\alpha = [\eta_\alpha, H_\alpha]$$

- dynamic generator determines physics of transformation

$$\eta_\alpha = (2\mu)^2 [T_{\text{int}}, H_\alpha]$$

- solve flow equation using matrix representation in two- and three-body space
- flow parameter α determines how far to go



Similarity Renormalization Group

Glazek, Wilson, Wegner, Perry, Bogner, Furnstahl, Hergert, Roth,...

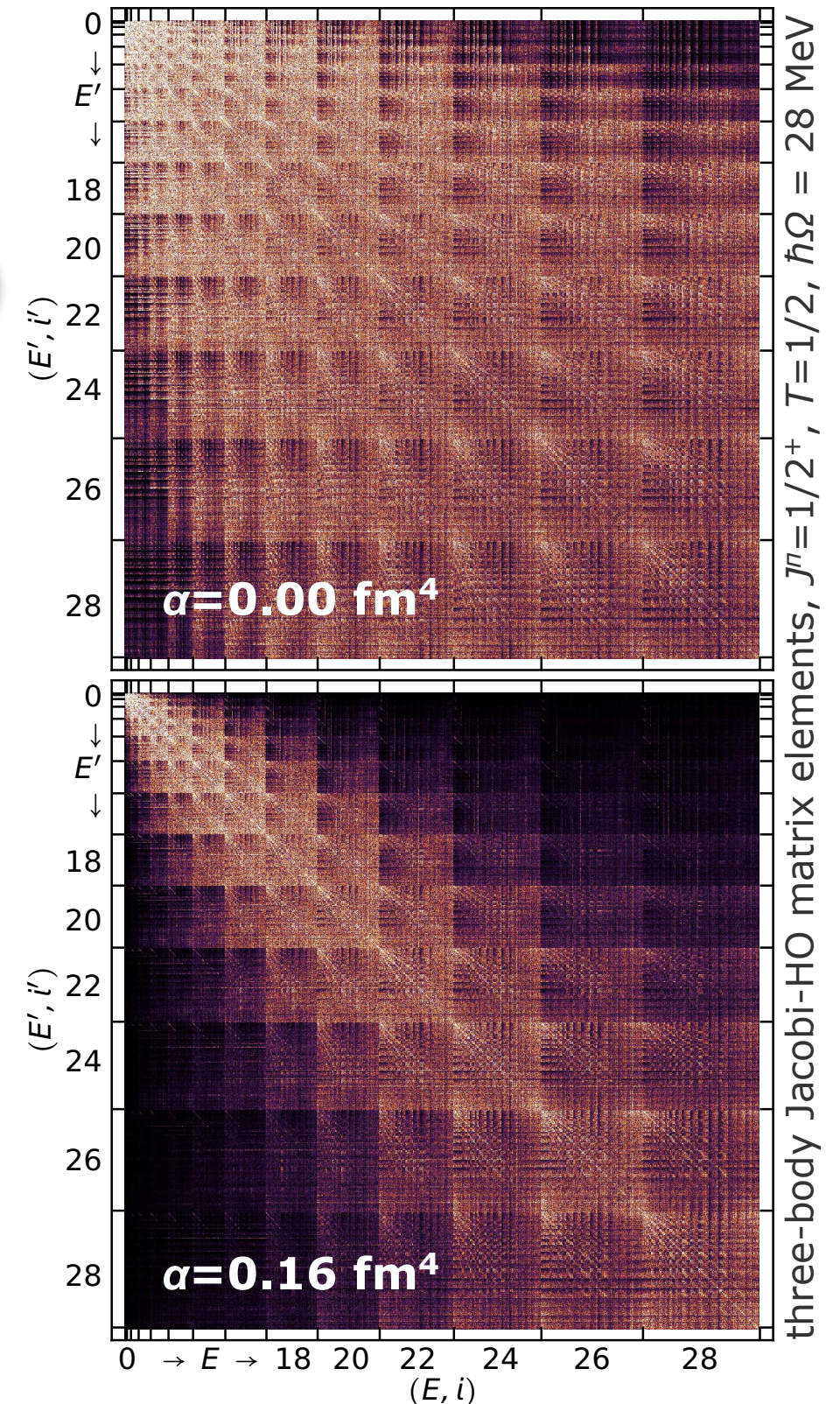
pro:
improves convergence of
many-body calculations

con:
induces many-body
interactions

- need to truncate evolved Hamiltonian

$$H_\alpha = H_\alpha^{[1]} + H_\alpha^{[2]} + H_\alpha^{[3]} + H_\alpha^{[4]} + \dots$$

- variation of flow parameter provides diagnostic for omitted many-body terms
- truncations used in the following:
 - **NN+3N_{ind}**
use initial NN, keep evolved NN+3N
 - **NN+3N_{full}**
use initial NN+3N, keep evolved NN+3N



Light Nuclei

No-Core Shell Model & Friends

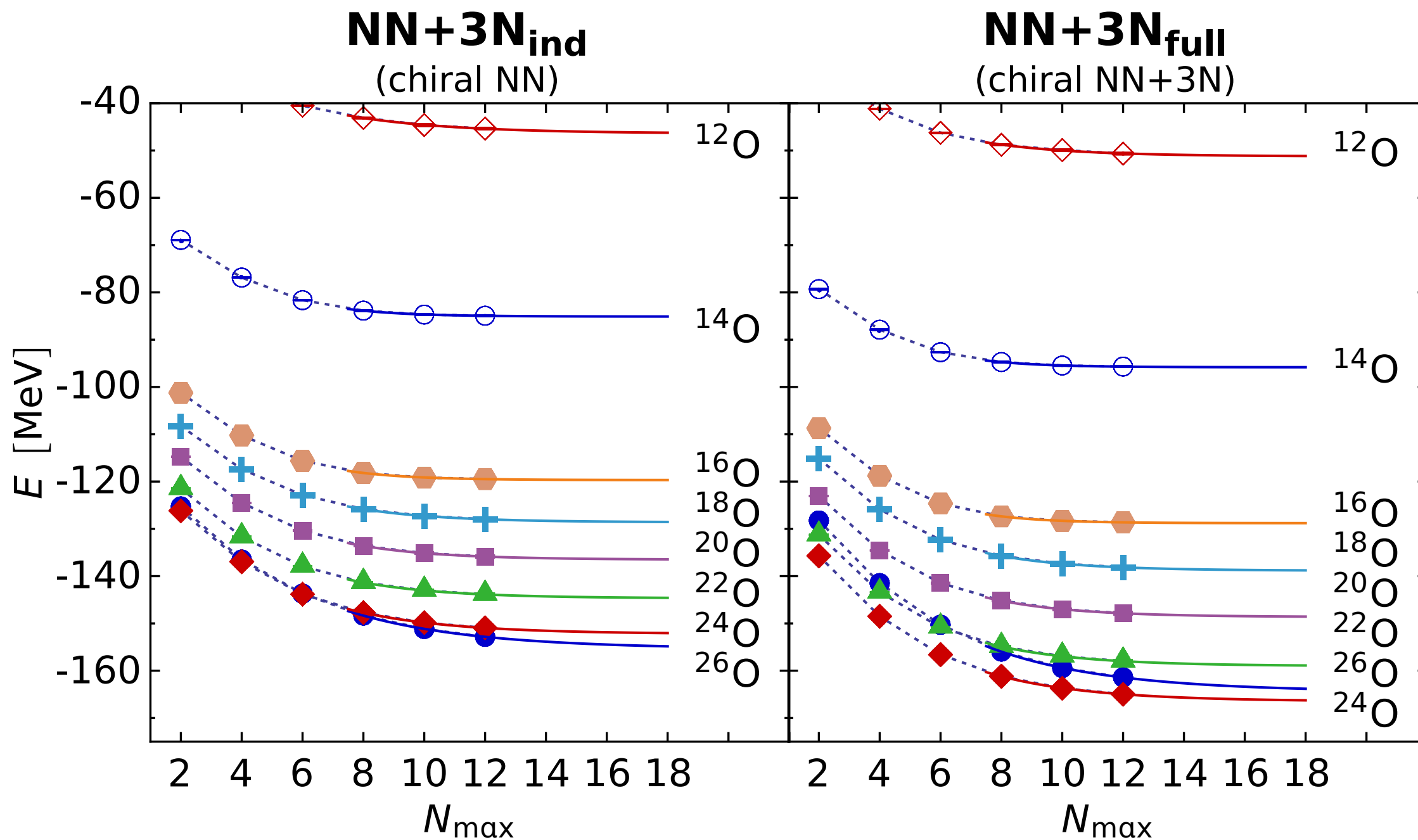
Barrett, Vary, Navrátil, Maris, Nogga, Roth,...

NCSM-type approaches are the most powerful and universal ab initio methods for the p- and lower sd-shell

- **NCSM**: solve eigenvalue problem of Hamiltonian represented in model space of HO Slater determinants truncated w.r.t. HO excitation energy $N_{\max}\hbar\Omega$
 - convergence of observables w.r.t. N_{\max} is the only limitation and source of uncertainty
- **Importance-Truncated NCSM**: reduce NCSM model space to physically relevant basis states and extrapolate to full space a posteriori
 - increases the range of applicability of NCSM significantly
- **NCSM with Continuum**: merge NCSM for description of clusters with Resonating Group Method for description of their relative motion
 - explicitly includes continuum degrees of freedom
- more: Gamow NCSM, Symplectic NCSM, ...

Ground States of Oxygen Isotopes

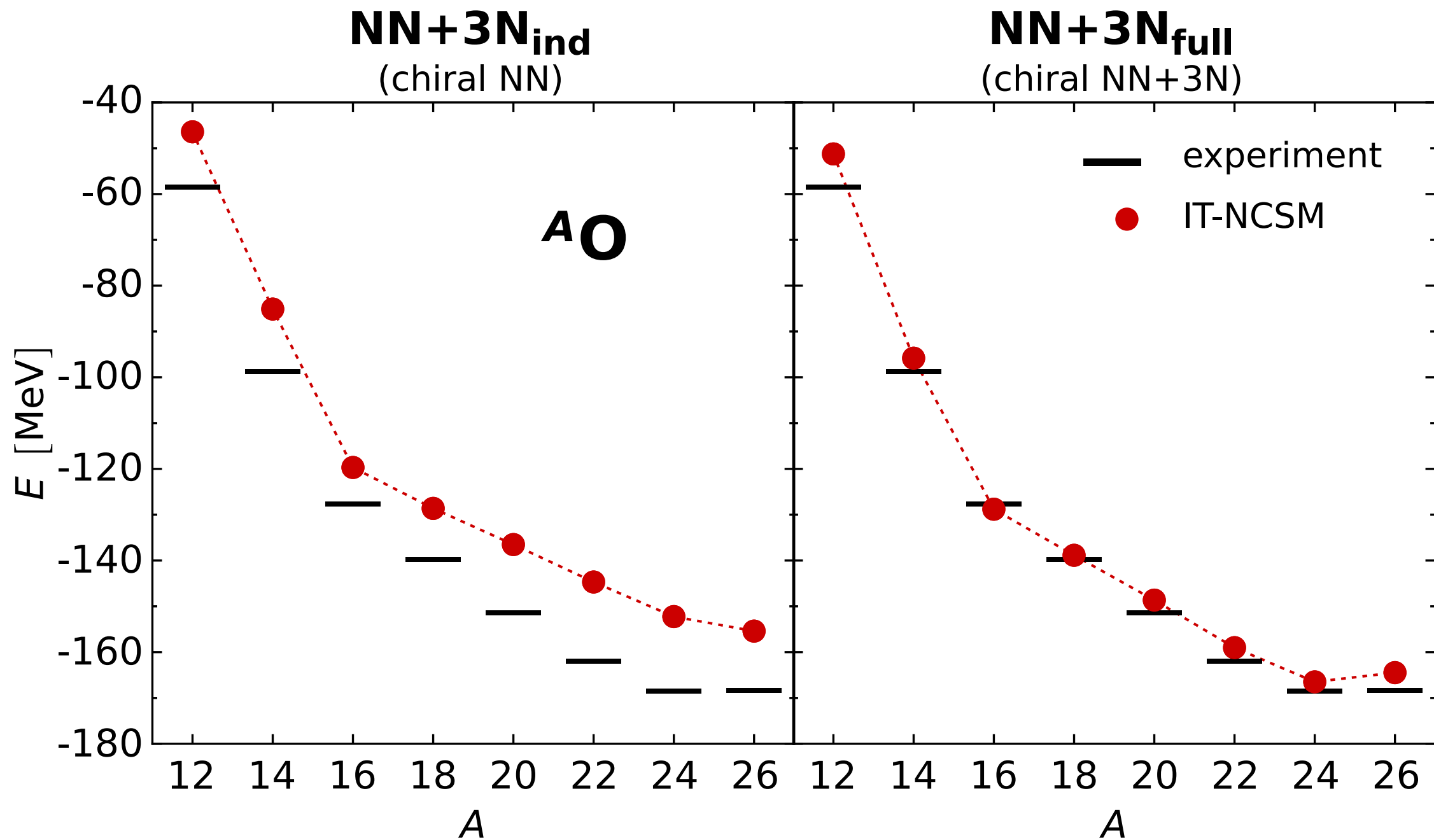
Hergert et al., PRL 110, 242501 (2013)



$\Lambda_{3N} = 400 \text{ MeV}$, $\alpha = 0.08 \text{ fm}^4$, $E_{3\max} = 14$, optimal $h\Omega$

Ground States of Oxygen Isotopes

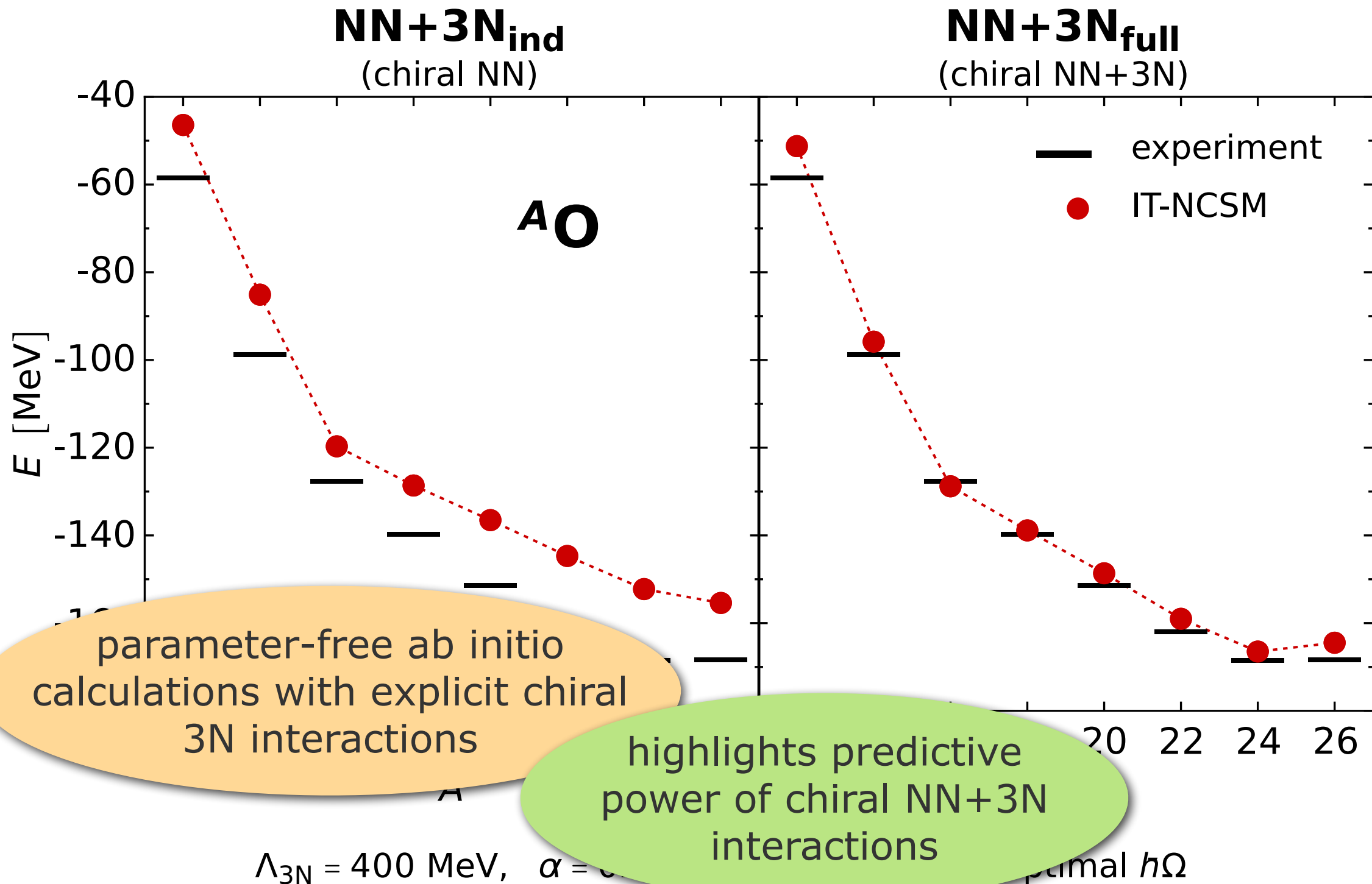
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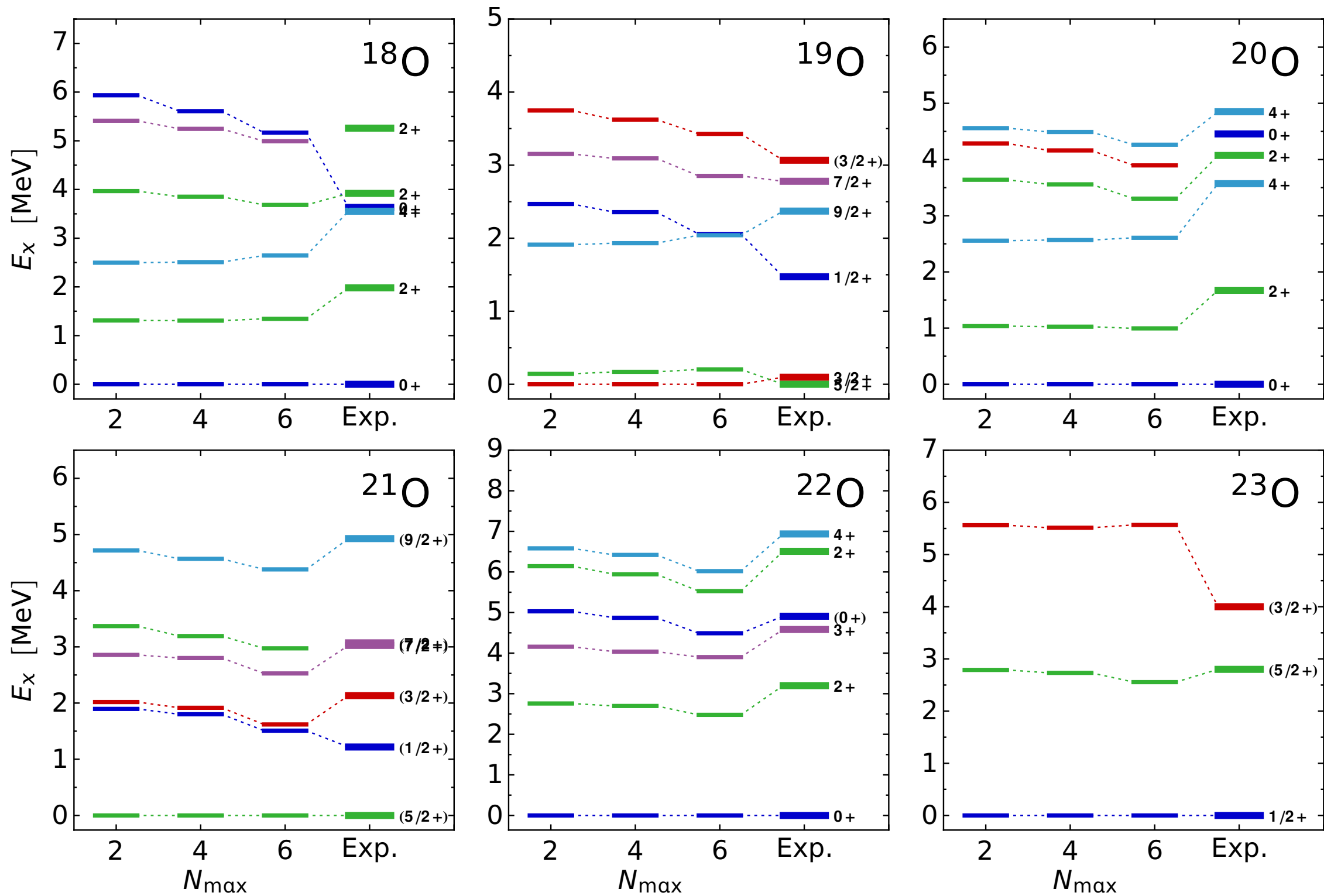
Ground States of Oxygen Isotopes

Hergert et al., PRL 110, 242501 (2013)



Spectra of Oxygen Isotopes

Hergert et al., PRL 110, 242501 (2013) & in prep.



NN+3N_{full} (chiral NN+3N)
 $\Lambda_{3N} = 400 \text{ MeV}$, $\alpha = 0.08 \text{ fm}^4$, $\hbar\Omega = 16 \text{ MeV}$

Medium-Mass Nuclei

Medium-Mass Approaches

advent of novel ab initio many-body approaches
gives access to the medium-mass regime

Hagen, Papenbrock, Dean, Piecuch, Binder,...

- **coupled-cluster theory**: ground-state parametrized by exponential wave operator applied to single-determinant reference state
 - truncation at doubles level (CCSD) plus triples corrections (Λ -CCSD(T))
 - equations of motion for excited states and near-closed-shell nuclei

Bogner, Tsukiyama, Schwenk, Hergert,...

- **in-medium SRG**: complete decoupling of particle-hole excitations from many-body reference state through SRG evolution
 - normal-ordered evolving A -body Hamiltonian truncated at two-body level
 - both closed- and open-shell ground states; excitations via EOM or SM

Barbieri, Soma, Duguet,...

- self-consistent Green's function approaches and others...

Medium-Mass Approaches

advent of novel ab initio many-body approaches
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Hagen, Papenbrock, Dean, Piecuch, Binder,...

■ **coupled-cluster theory**: ground-state parametrized by exponential
wave operator applied to single-determinant reference state

- truncation at doubles level (CCSD) plus triples correction
- equations of motion for excited states and amplitudes

Utsunomiya, Suzuki, Tsunoyama, Tsunoyama, Schwenk, Hergert,...

■ **in-medium SRG**: coupled-cluster-like approach to hole excitations from
many-body reference state

- normal-ordered Hamiltonian truncated at two-body level
- iterative solution for ground states; excitations via EOM or SM

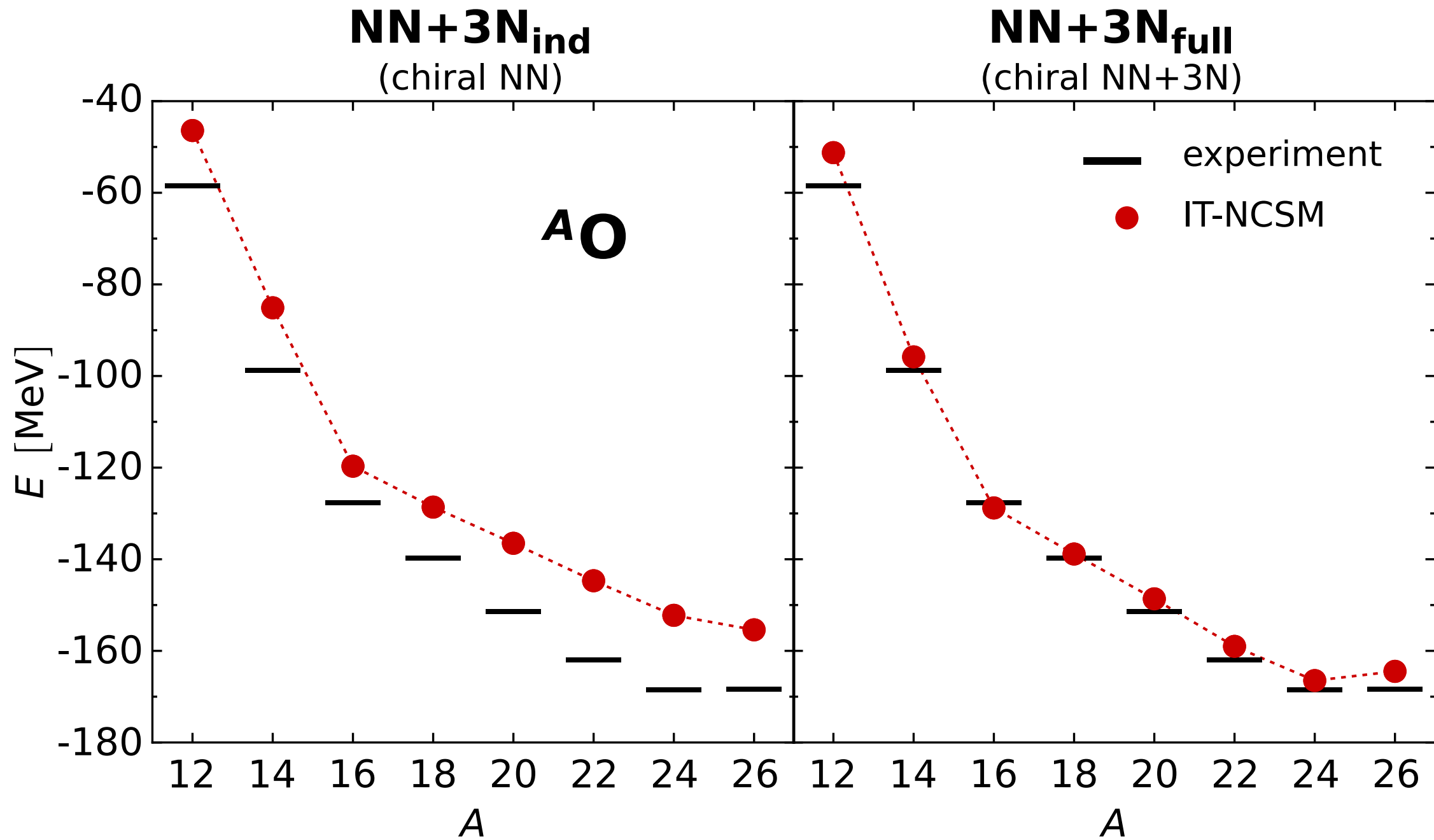
Barbieri, Soma, Duguet,...

■ self-consistent Green's function approaches and others...

**controlling and quantifying the uncertainties
due to various inherent truncations is a major task**

Ground States of Oxygen Isotopes

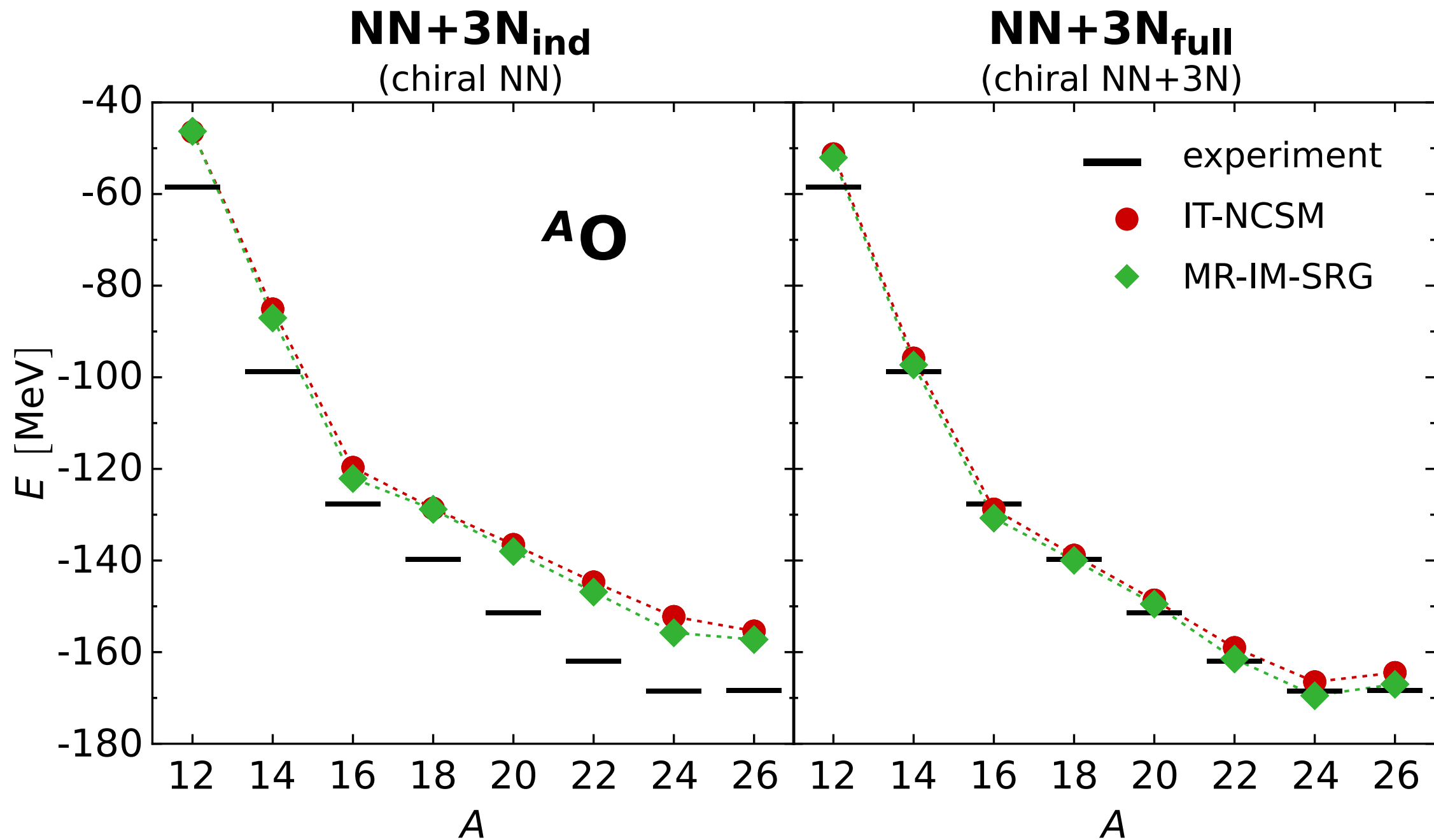
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Ground States of Oxygen Isotopes

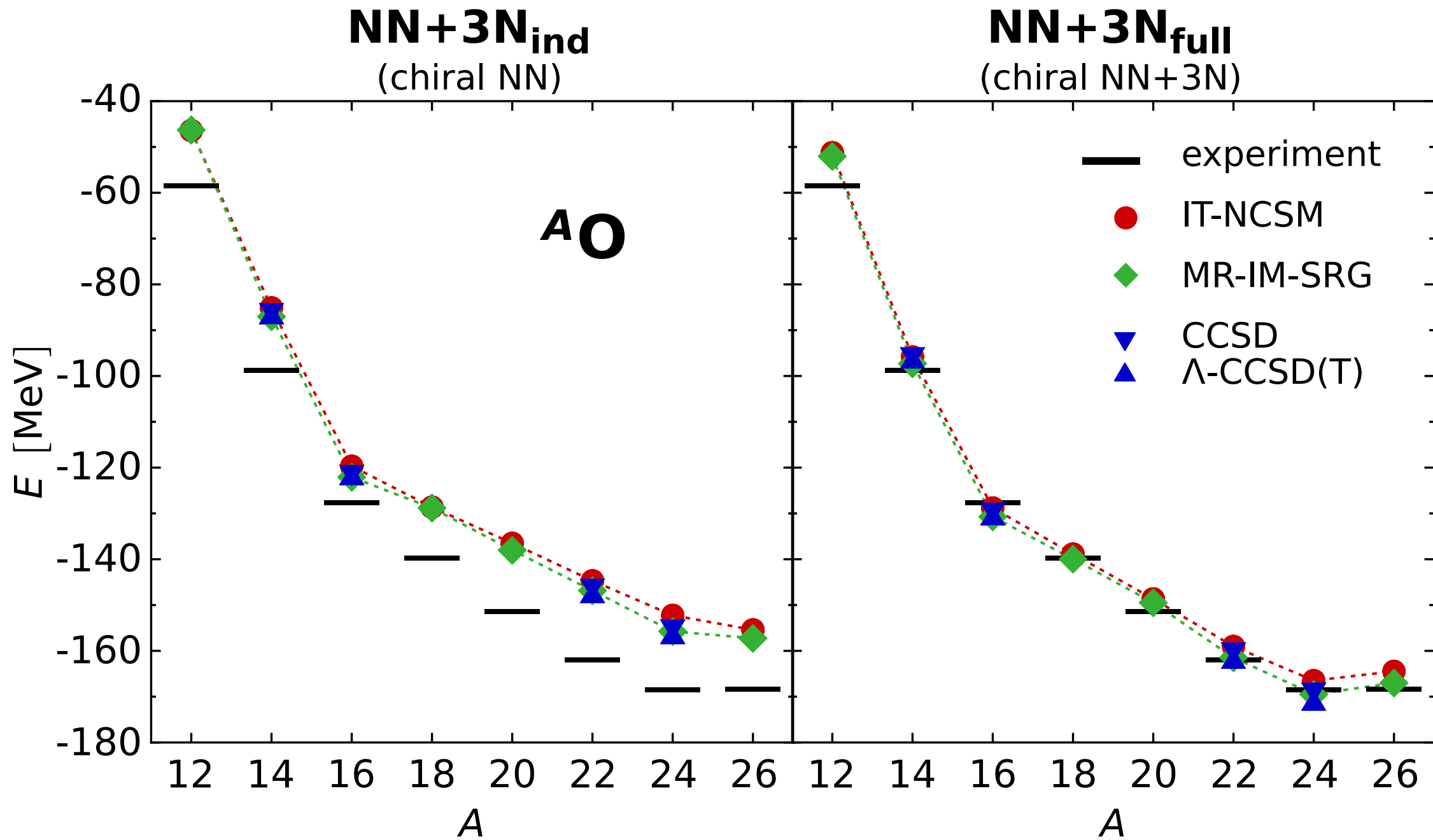
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Ground States of Oxygen Isotopes

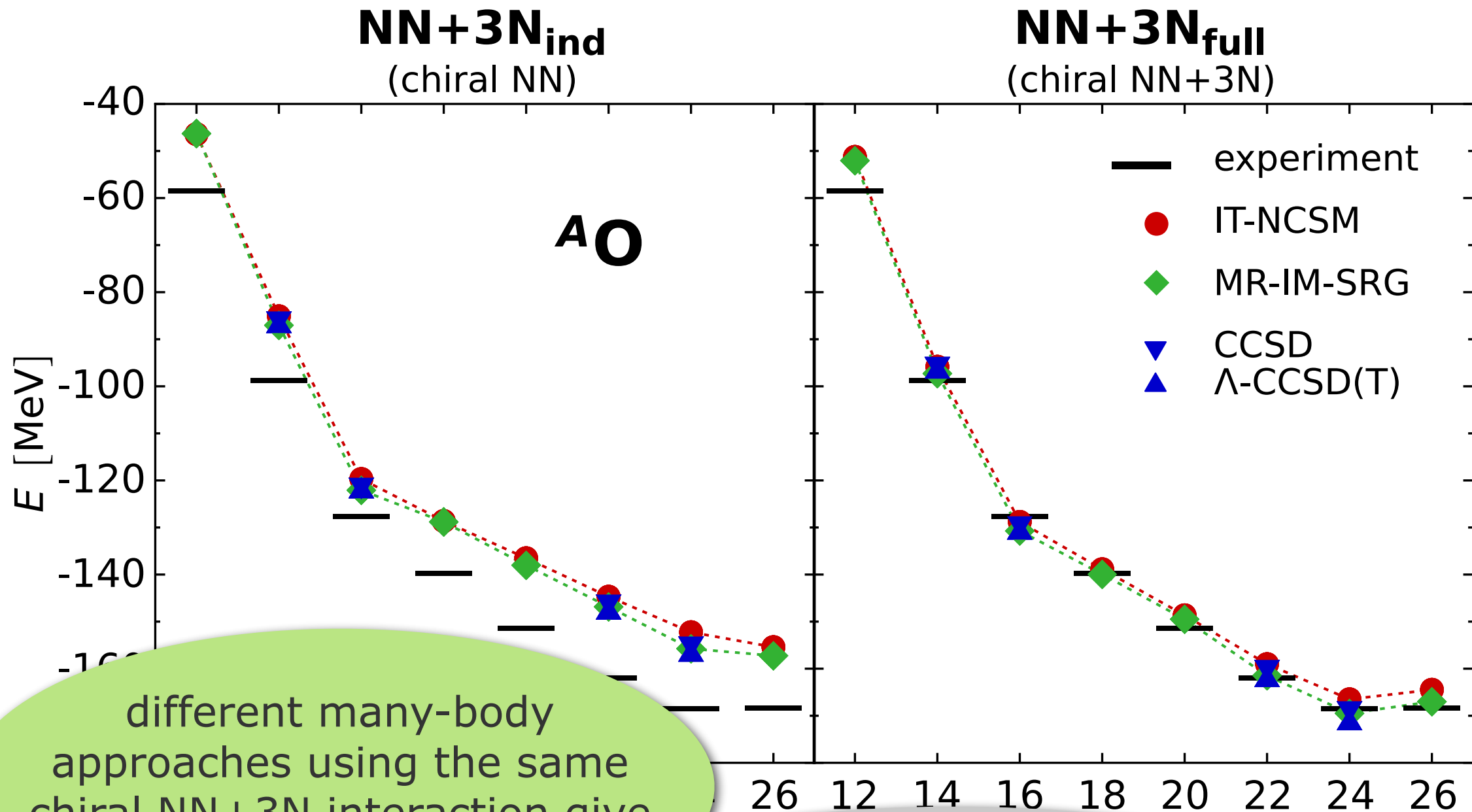
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Ground States of Oxygen Isotopes

Hergert et al., PRL 110, 242501 (2013)

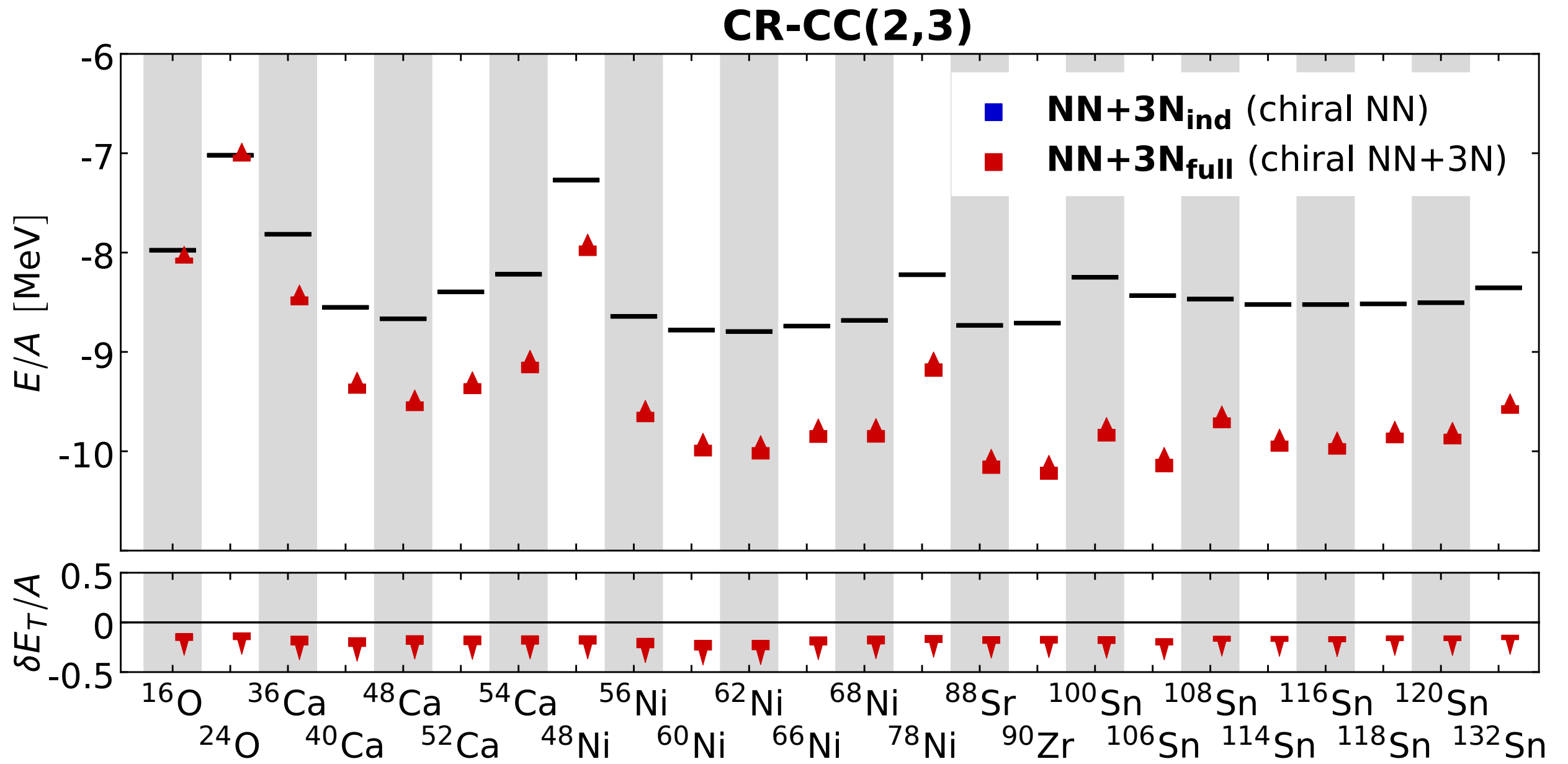


different many-body approaches using the same chiral NN+3N interaction give consistent results

minor differences are understood in terms of uncertainties due to truncations

Towards Heavy Nuclei - Ab Initio

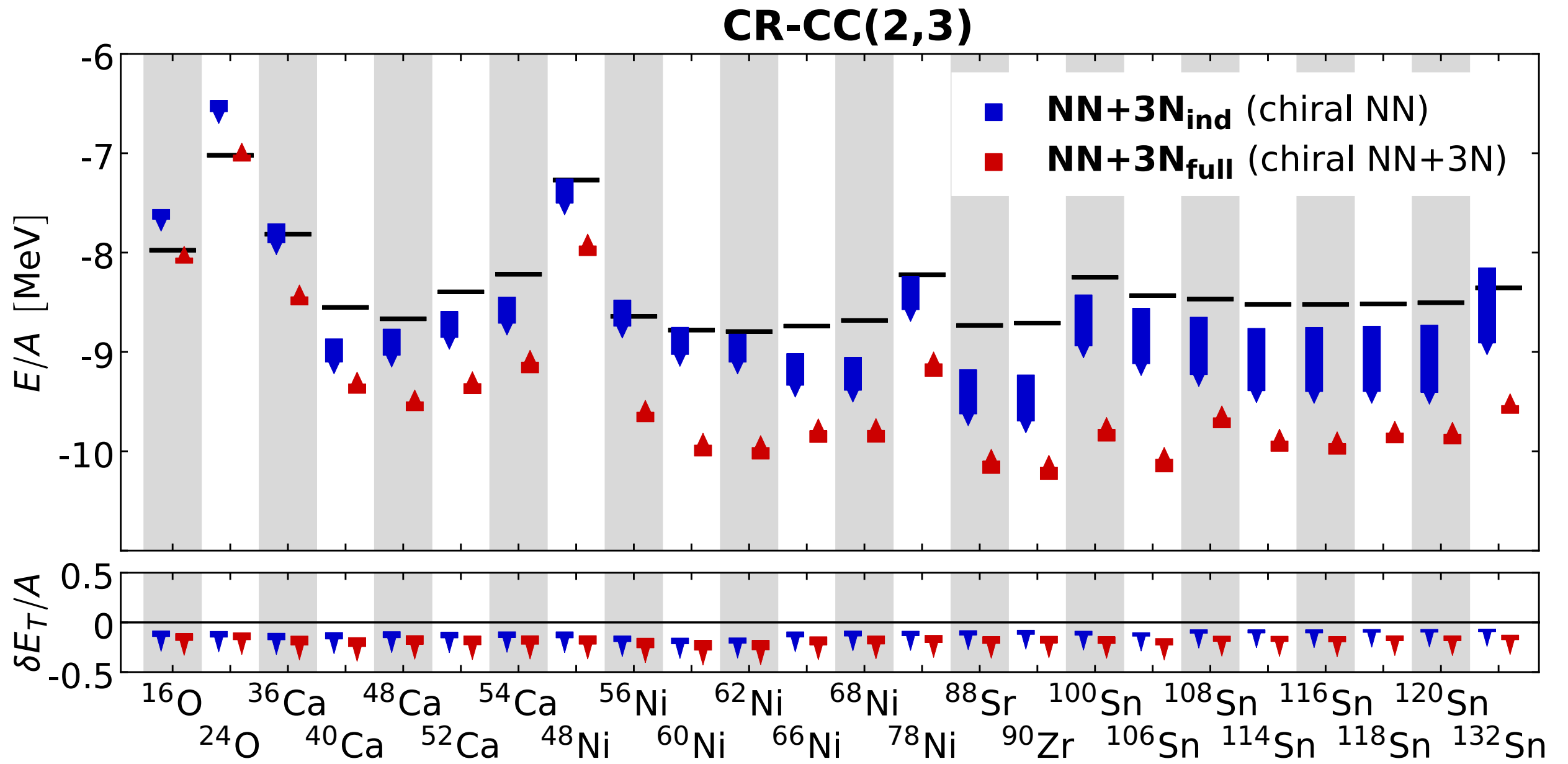
Binder et al., PLB 736, 119 (2014)



$$\Lambda_{3N} = 400 \text{ MeV}, \quad \alpha = 0.08 \rightarrow 0.04 \text{ fm}^4, \quad E_{3\text{max}} = 18, \quad \text{optimal } h\Omega$$

Towards Heavy Nuclei - Ab Initio

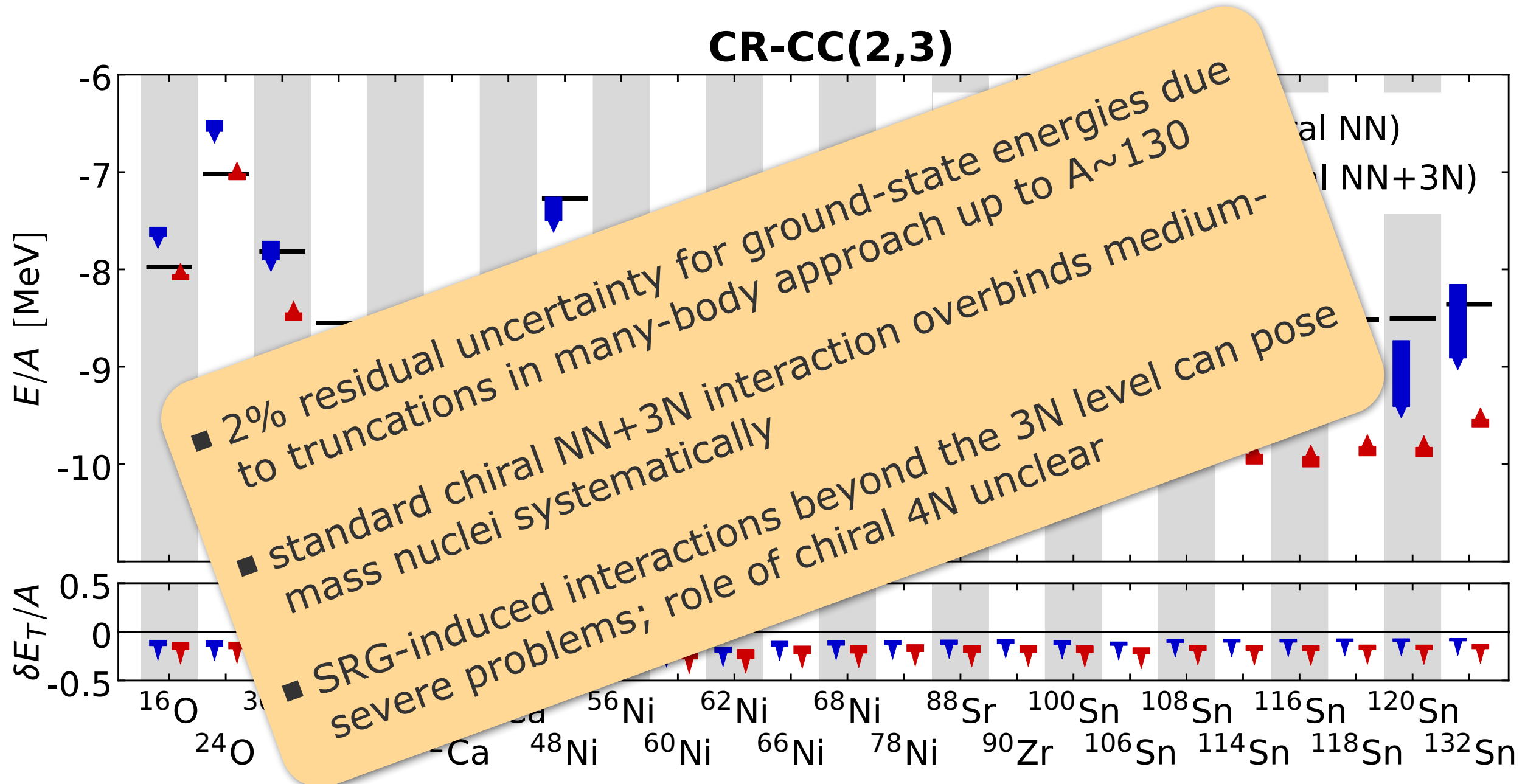
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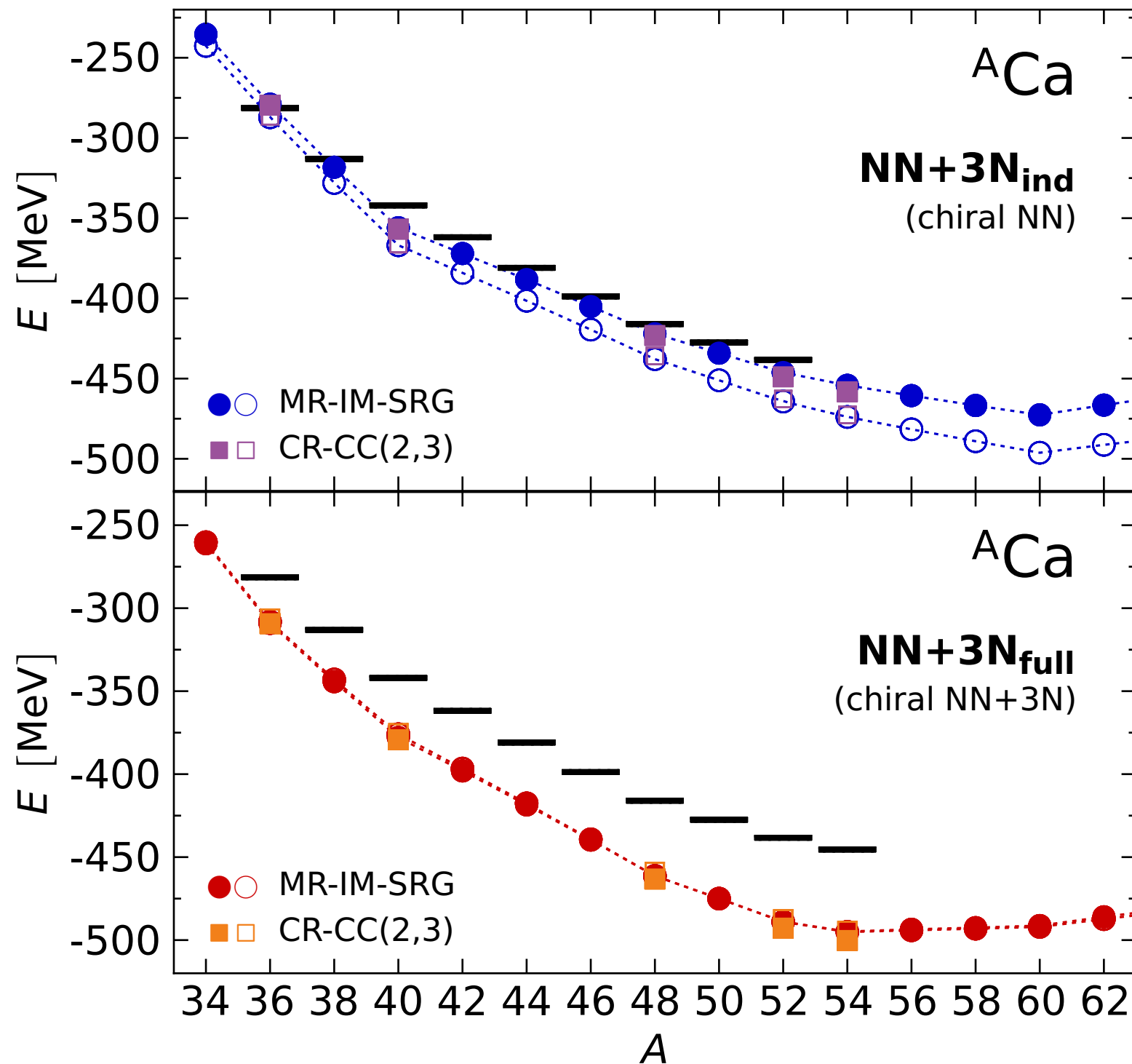
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Open-Shell Medium-Mass Nuclei

Hergert et al., PRC 90, 041302(R) (2014)



- systematic multi-reference IM-SRG study of even Ca and Ni isotopes

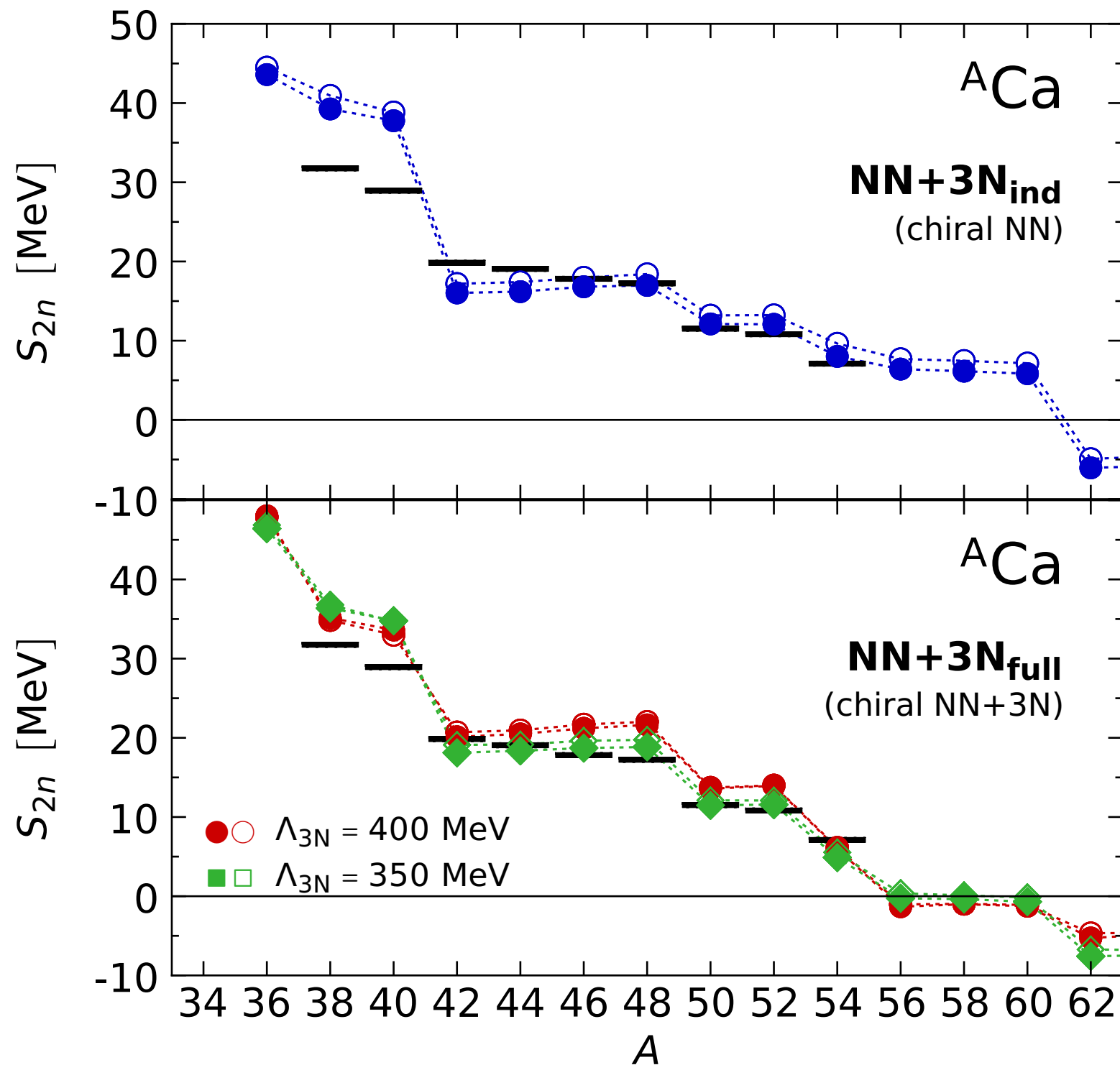
- excellent agreement with best available coupled-cluster results

- chiral 3N interaction changes behavior at and beyond ^{54}Ca

$\Lambda_{3N} = 400 \text{ MeV}$
 $\alpha = 0.04 \text{ fm}^4$ (○)
 0.08 fm^4 (●)
 $E_{3 \text{ max}} = 14, 16$

Open-Shell Medium-Mass Nuclei

Hergert et al., PRC 90, 041302(R) (2014)



- two-neutron separation energies hide overall energy shift

- compares well to updated Gor'kov-GF results

[priv. comm. V. Soma]

- chiral 3N interaction predicts flat "drip-region" from ⁵⁶Ca to ⁶⁰Ca

Next Step:

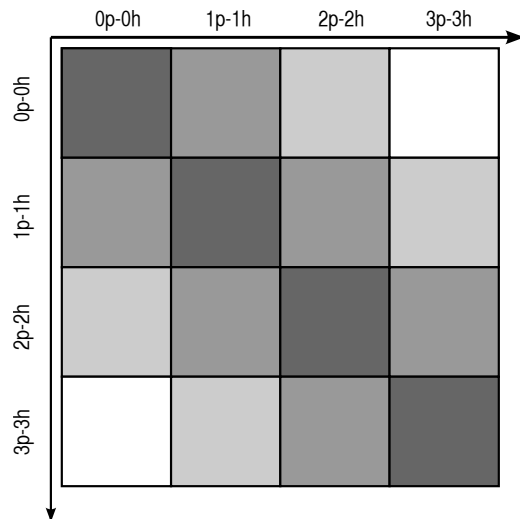
Merging NCSM and IM-SRG

with

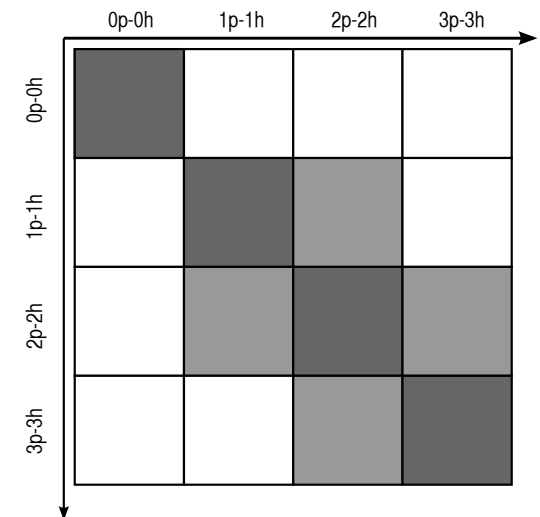
Eskendr Gebrerufael, Heiko Hergert, Klaus Vobig

In-Medium SRG

Tsukiyama, Bogner, Schwenk, Hergert,...



use SRG flow equations for normal-ordered Hamiltonian to decouple many-body reference state from excitations



- flow equation for Hamiltonian

$$\frac{d}{ds}H(s) = [\eta(s), H(s)]$$

- Hamiltonian in single-reference or multi-reference (Kutzelnigg/Mukherjee) normal order, omitting normal-ordered 3B term

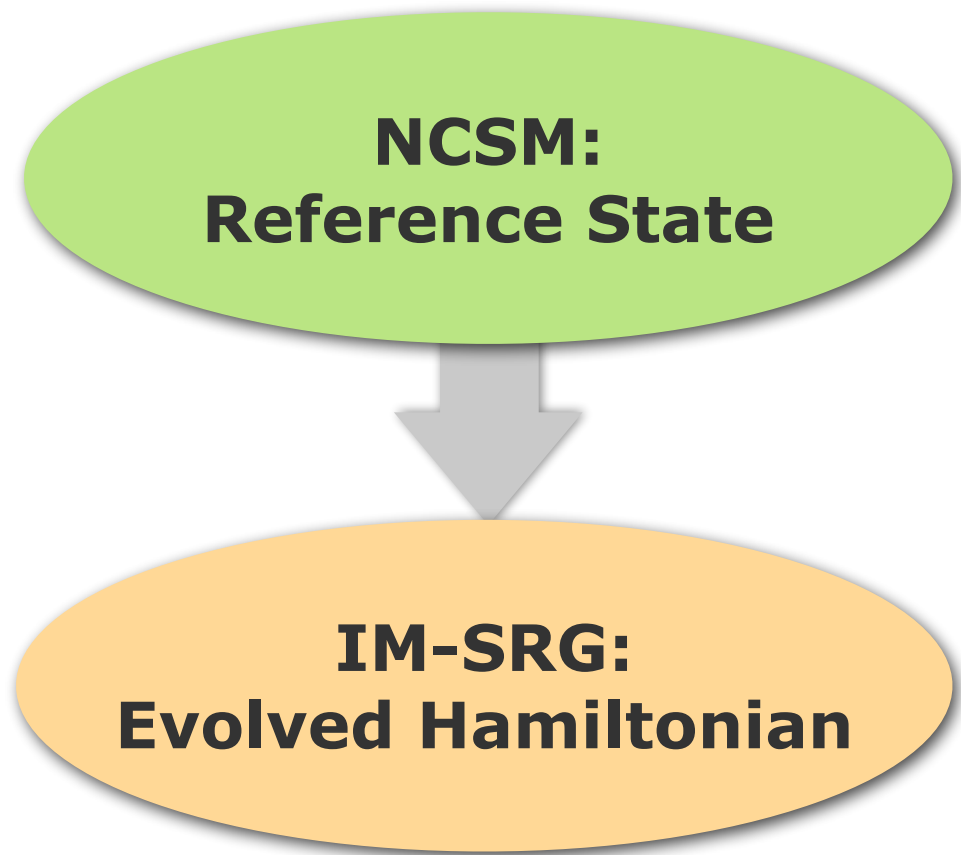
$$H(s) = E(s) + \sum_{ij} f_j^i(s) \tilde{A}_j^i + \frac{1}{4} \sum_{ijkl} \Gamma_{kl}^{ij}(s) \tilde{A}_{kl}^{ij} + \frac{1}{36} \sum_{ijklmn} W_{lmn}^{ijk}(s) \tilde{A}_{lmn}^{ijk}$$

Merging NCSM and IM-SRG

**NCSM:
Reference State**

- ground-state from NCSM at small N_{\max} as reference state for multi-reference IM-SRG
- not limited to subsets of open-shell nuclei and systematically improvable

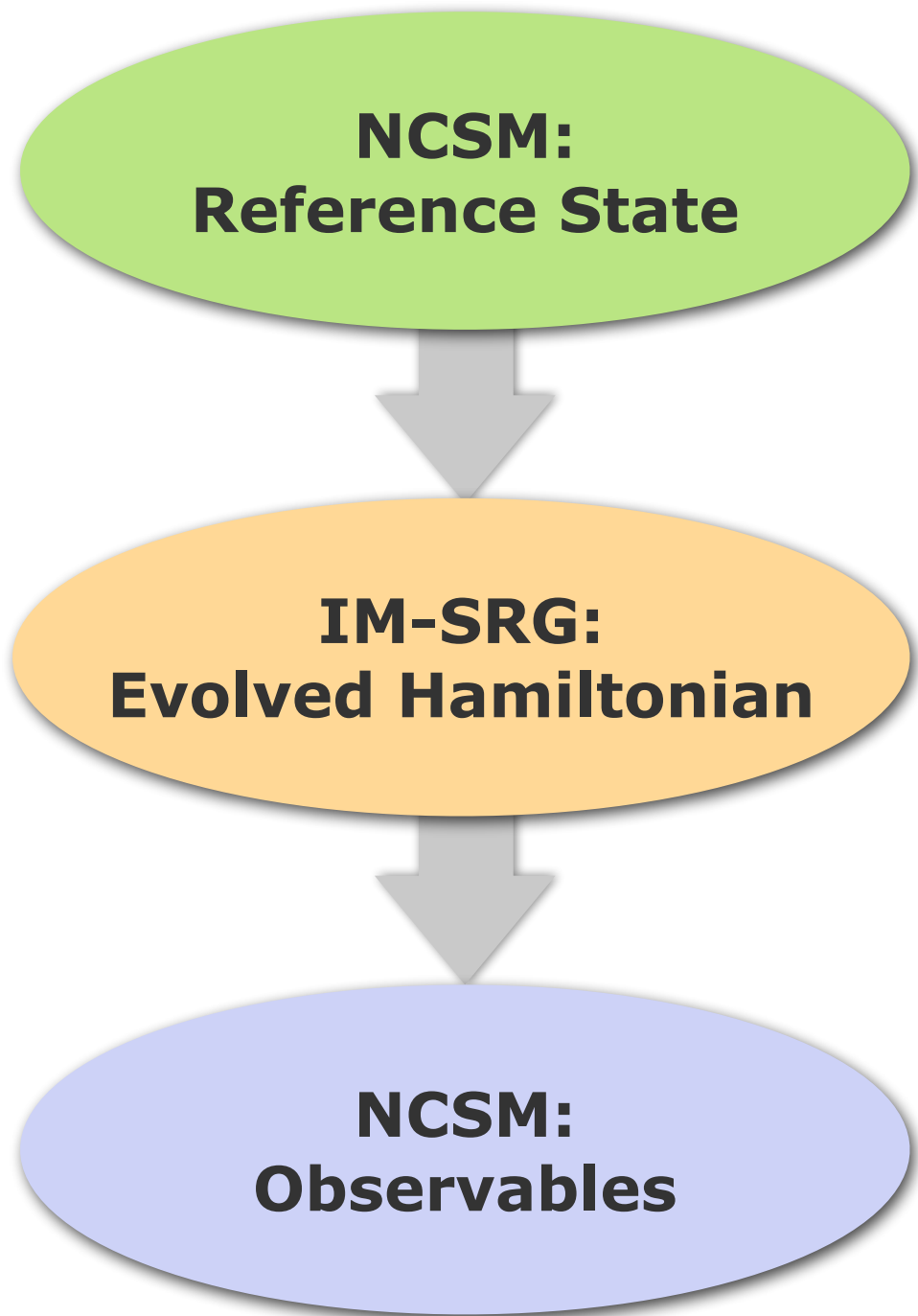
Merging NCSM and IM-SRG



- ground-state from NCSM at small N_{\max} as reference state for multi-reference IM-SRG
- not limited to subsets of open-shell nuclei and systematically improvable

- IM-SRG evolution of multi-reference normal-ordered Hamiltonian (and other operators)
- decoupling of particle-hole excitations, i.e., pre-diagonalization in A-body space

Merging NCSM and IM-SRG

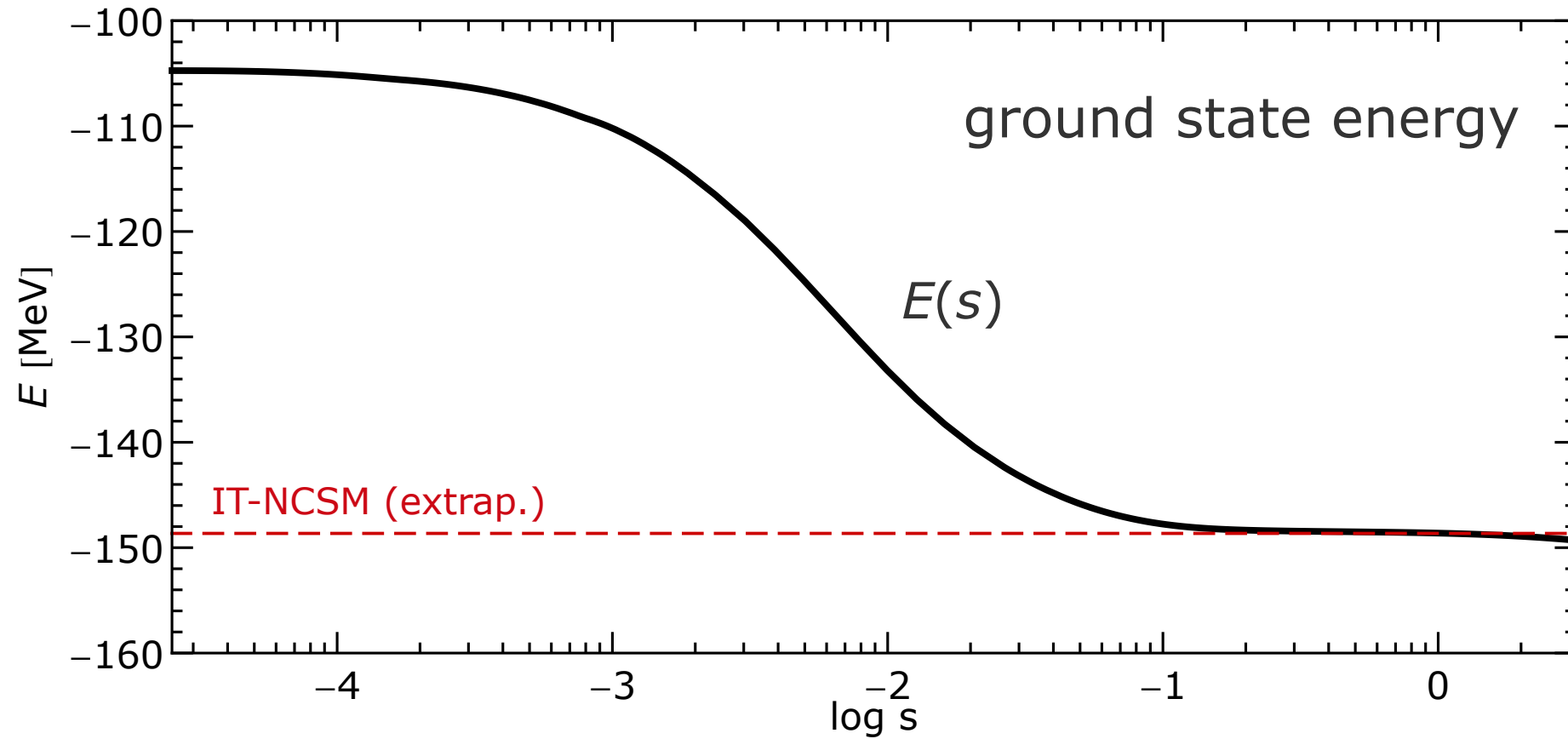


- ground-state from NCSM at small N_{\max} as reference state for multi-reference IM-SRG
- not limited to subsets of open-shell nuclei and systematically improvable

- IM-SRG evolution of multi-reference normal-ordered Hamiltonian (and other operators)
- decoupling of particle-hole excitations, i.e., pre-diagonalization in A-body space

- use in-medium evolved Hamiltonian for a subsequent NCSM calculation
- access to ground and excited states and full suite of observables

^{20}O : Flowing Energy



^{20}O

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

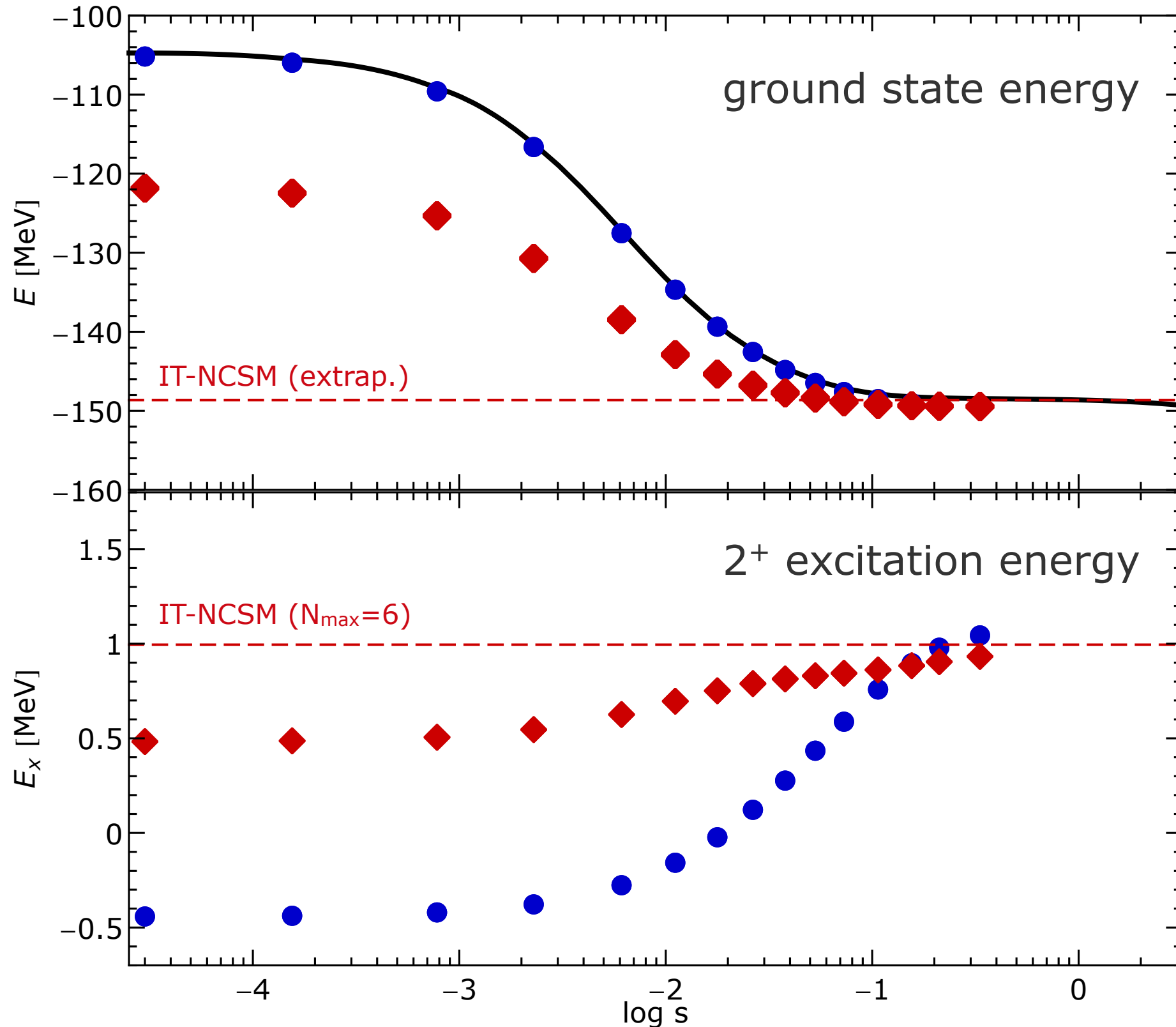
$\hbar\Omega=20$ MeV

$N_{\max}=0$

reference state

$e_{\max}=10$

^{20}O : Flowing Energy



^{20}O

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

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reference state

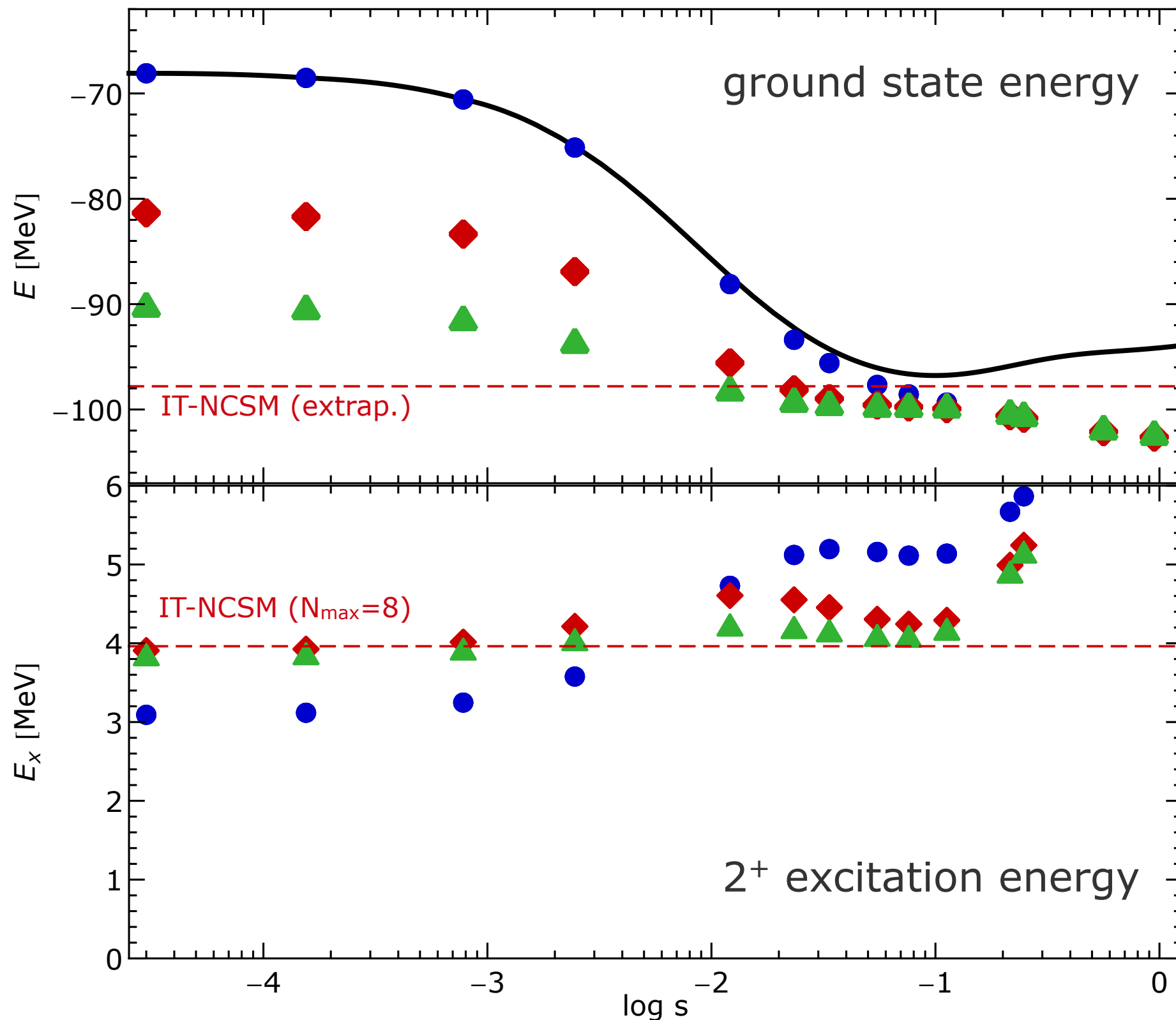
$e_{\max}=10$

NCSM with flowing
Hamiltonian

● $N_{\max}=0$

◆ $N_{\max}=2$

^{12}C : Flowing Energy



^{12}C

chiral NN+3N

$\Lambda_{3N}=500$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$N_{\max}=0$

reference state

$e_{\max}=10$

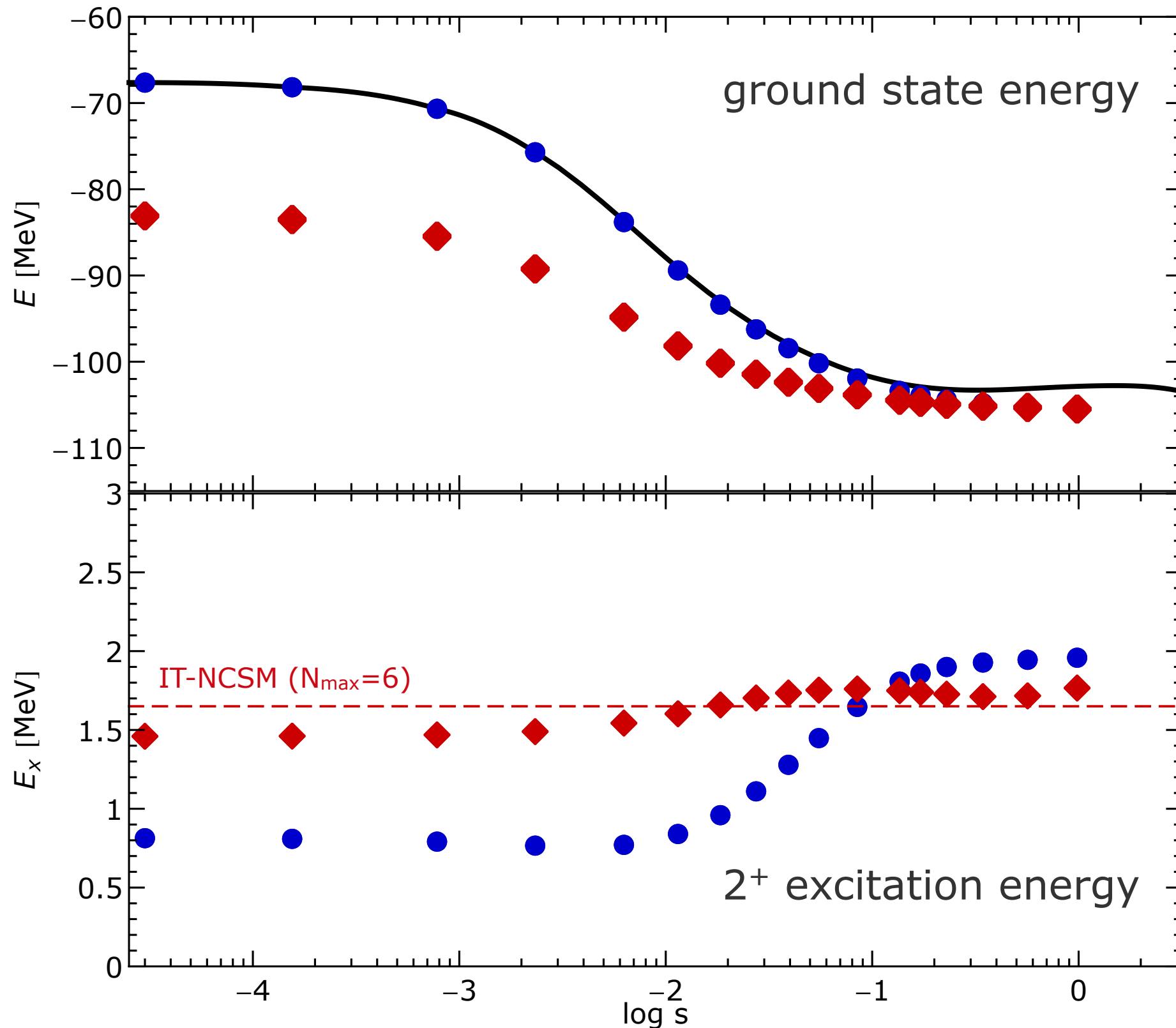
NCSM with flowing
Hamiltonian

● $N_{\max}=0$

◆ $N_{\max}=2$

▲ $N_{\max}=4$

^{16}C : Flowing Energy



^{16}C

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$N_{\max}=0$

reference state

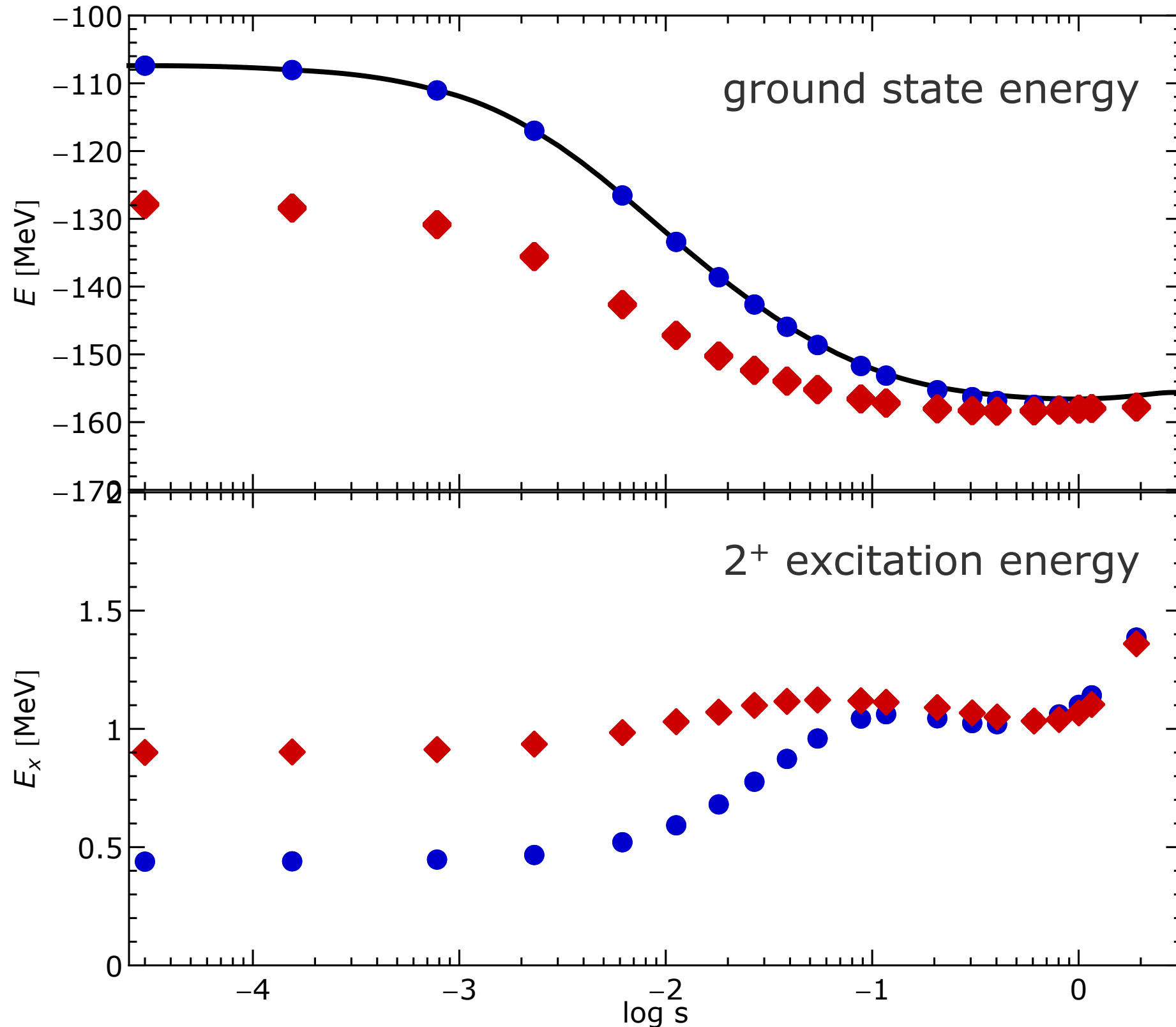
$e_{\max}=10$

NCSM with flowing
Hamiltonian

● $N_{\max}=0$

◆ $N_{\max}=2$

^{20}Ne : Flowing Energy



^{20}Ne

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$N_{\max}=0$

reference state

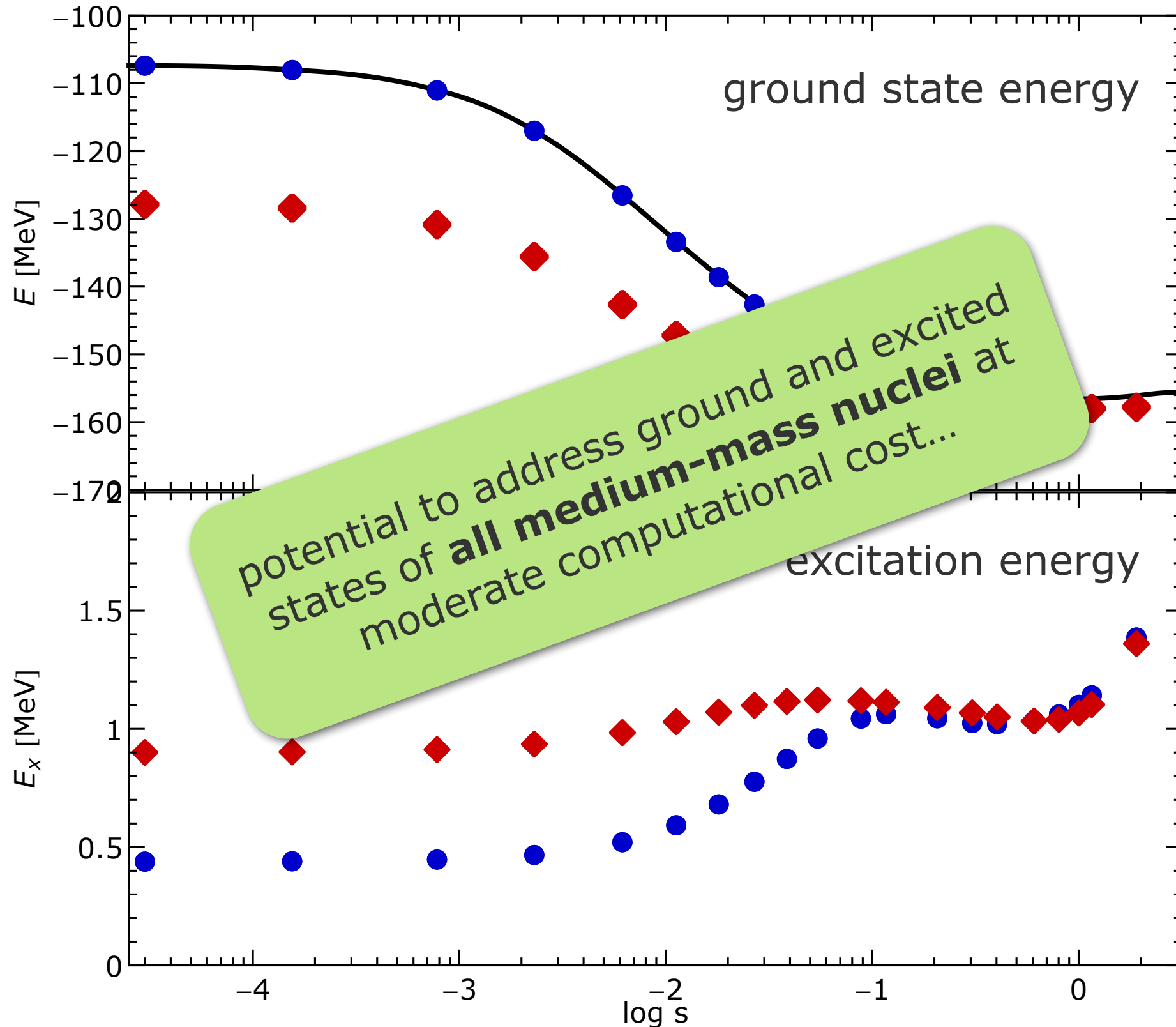
$e_{\max}=10$

NCSM with flowing
Hamiltonian

● $N_{\max}=0$

◆ $N_{\max}=2$

^{20}Ne : Flowing Energy



^{20}Ne

chiral NN+3N

$\Lambda_{3N}=400$ MeV

$\alpha=0.08$ fm⁴

$\hbar\Omega=20$ MeV

$N_{\max}=0$

reference state

$e_{\max}=10$

NCSM with flowing
Hamiltonian

● $N_{\max}=0$

◆ $N_{\max}=2$

Conclusions

A Look Back...

- past few years have seen dramatic progress in ab initio many-body methods for nuclear structure (and reactions)
 - ...extensions of NCSM, coupled-cluster theory, in-medium SRG, self-consistent Green's function, many-body perturbation theory,...
- a number of important developments are in progress
 - ...spectroscopy of open-shell nuclei, merging NCSM and IM-SRG, derivation of valence-space interactions, broad range of observables...
- the reach of ab initio methods has grown tremendously
 - ...medium-mass and heavy nuclei, continuum effects and reaction observables, hypernuclei...

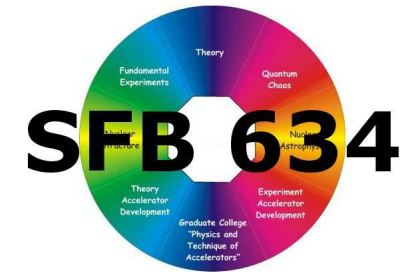
A Look Ahead...

- for the next few years the focus will move towards improvements of the chiral interactions
 - ...consistent higher orders, systematic study of order-by-order convergence, inclusion of consistent currents, improved fitting strategies, ...
- rigorous quantification of theoretical uncertainties will play an important role
 - ...propagation of uncertainties from chiral EFT inputs to nuclear structure observables, full quantification of many-body uncertainties, ...
- lots of relevant physics predictions...

Epilogue

■ thanks to my group and my collaborators

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[Technische Universität Darmstadt](#)
- P. Navrátil, A. Calci
[TRIUMF, Vancouver](#)
- S. Binder
[Oak Ridge National Laboratory](#)
- H. Hergert
[NSCL / Michigan State University](#)
- J. Vary, P. Maris
[Iowa State University](#)
- S. Quaglioni, G. Hupin
[Lawrence Livermore National Laboratory](#)
- E. Epelbaum, H. Krebs & the LENPIC Collaboration
[Universität Bochum, ...](#)



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und Forschung



COMPUTING TIME