

# Chiral Three-Nucleon Interactions in Ab Initio Nuclear Structure and Reactions

Joachim Langhammer



TECHNISCHE  
UNIVERSITÄT  
DARMSTADT

# Outline

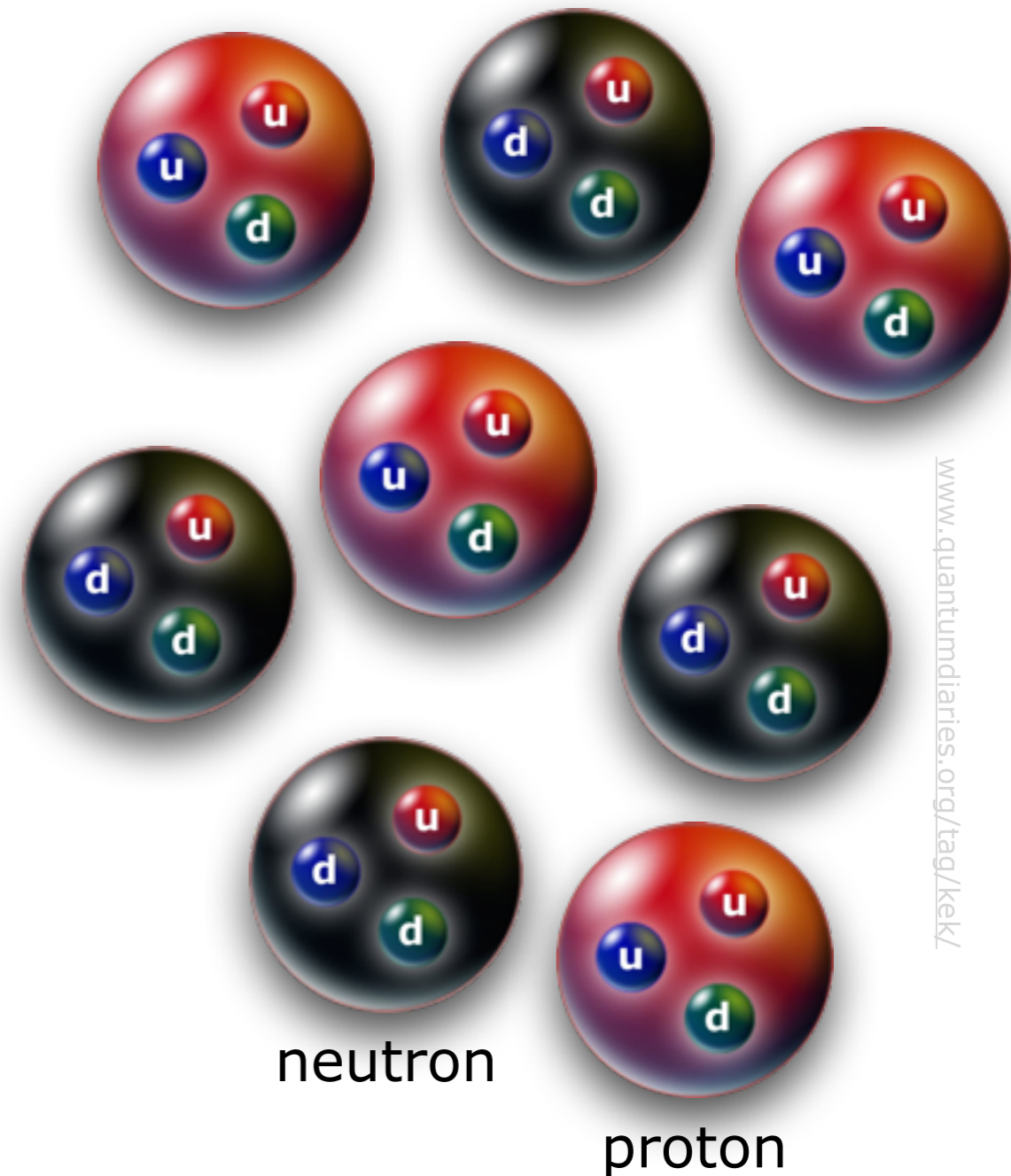
- The Nuclear Many-Body Problem
  - Chiral NN & 3N Interactions
- The No-Core Shell Model / Resonating Group Method
  - 3N Force Effects in Nucleon- $^4\text{He}$  Scattering
- The No-Core Shell Model with Continuum
  - Continuum & 3N Force Effects on the  $^9\text{Be}$  Energy Levels
- Summary & Outlook

# The Chiral Nuclear Hamiltonian

- Solve the Schrödinger equation for systems of nucleons

$$H |\psi^{J\pi T}\rangle = E |\psi^{J\pi T}\rangle$$

- Nuclear interaction from effective theory **including nucleons & pions** respecting symmetries of QCD

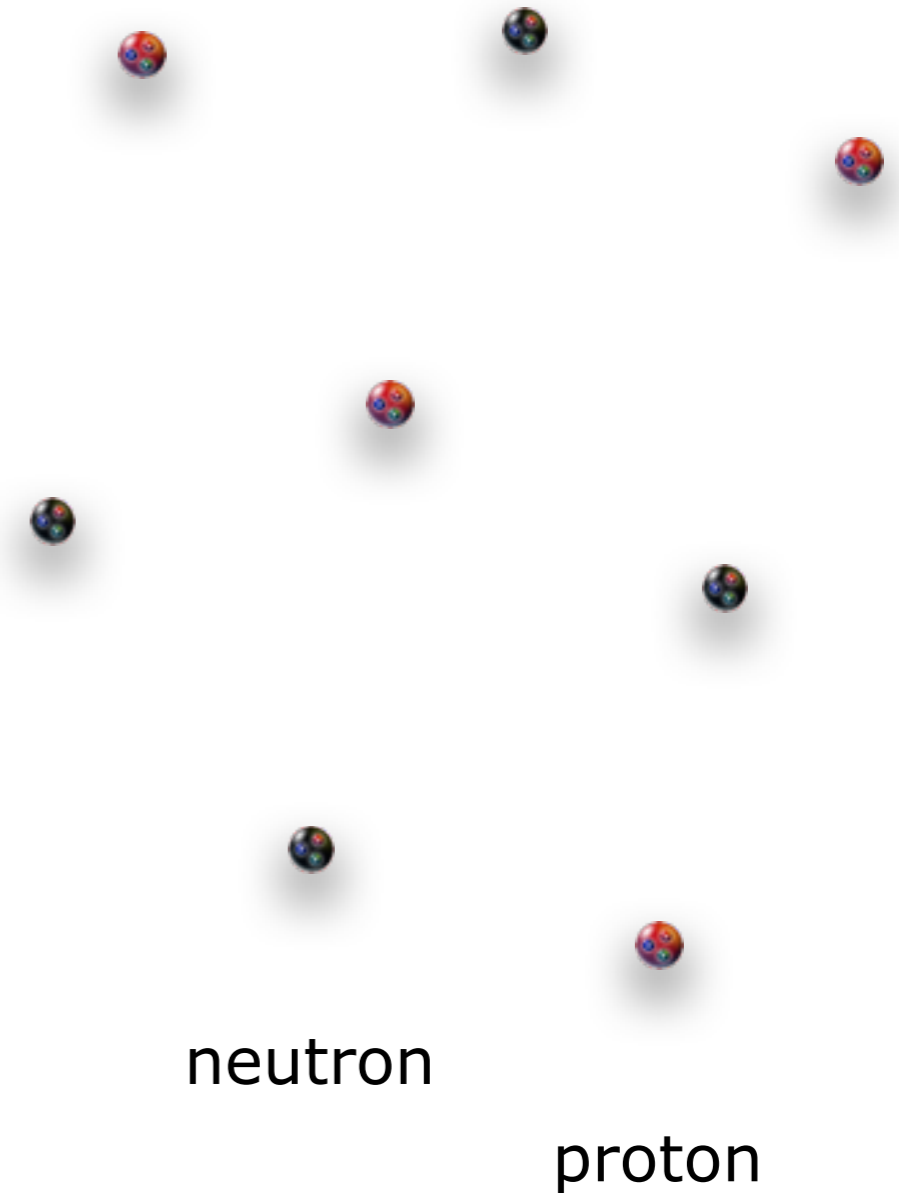


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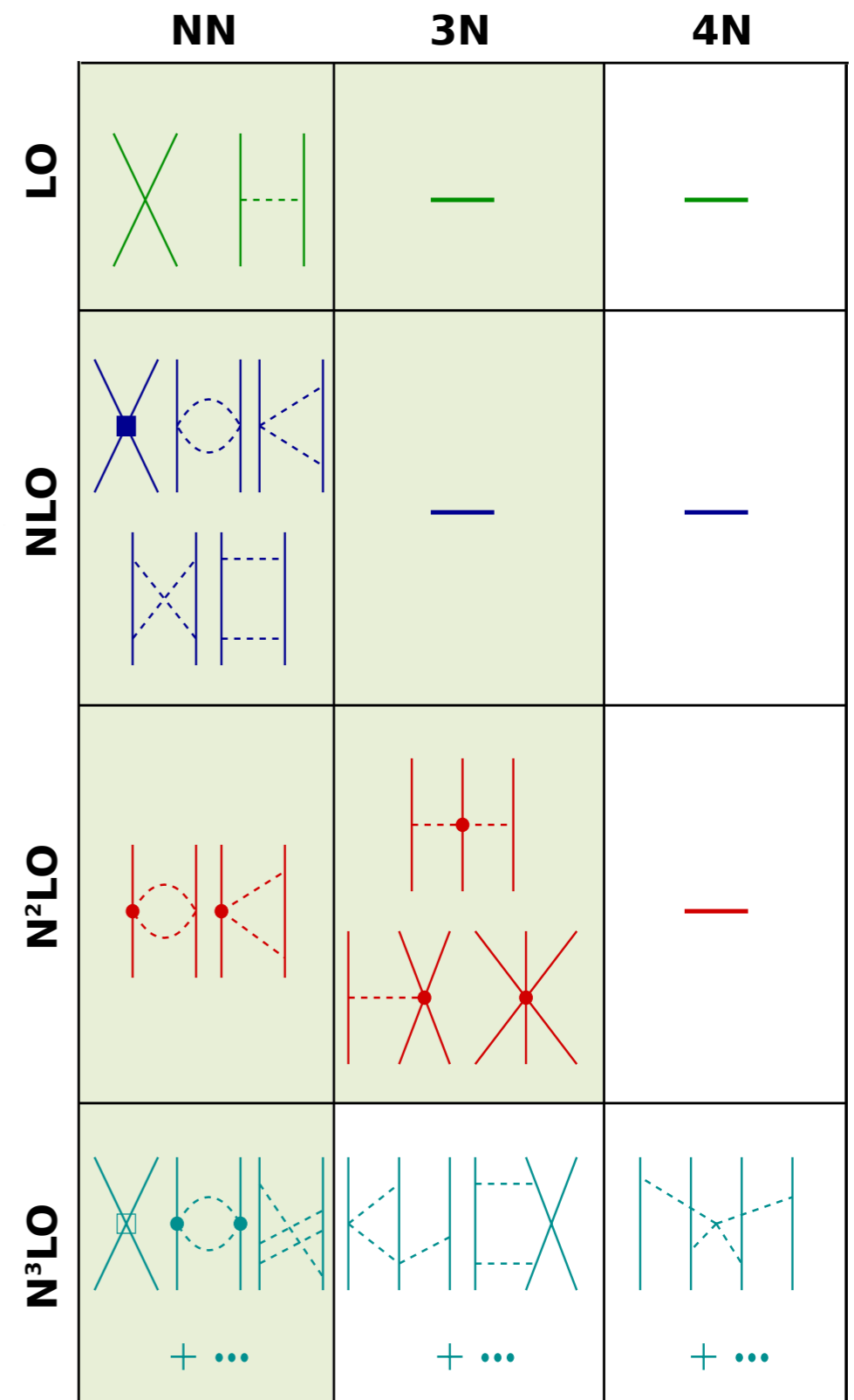
- Chiral effective field theory:  
**Hierarchy of consistent nuclear NN, 3N, ... forces** (and currents)

- NN interaction @ N<sup>3</sup>LO

[Entem, Machleidt, Phys.Rev C **68**, 041001(R) (2003)]

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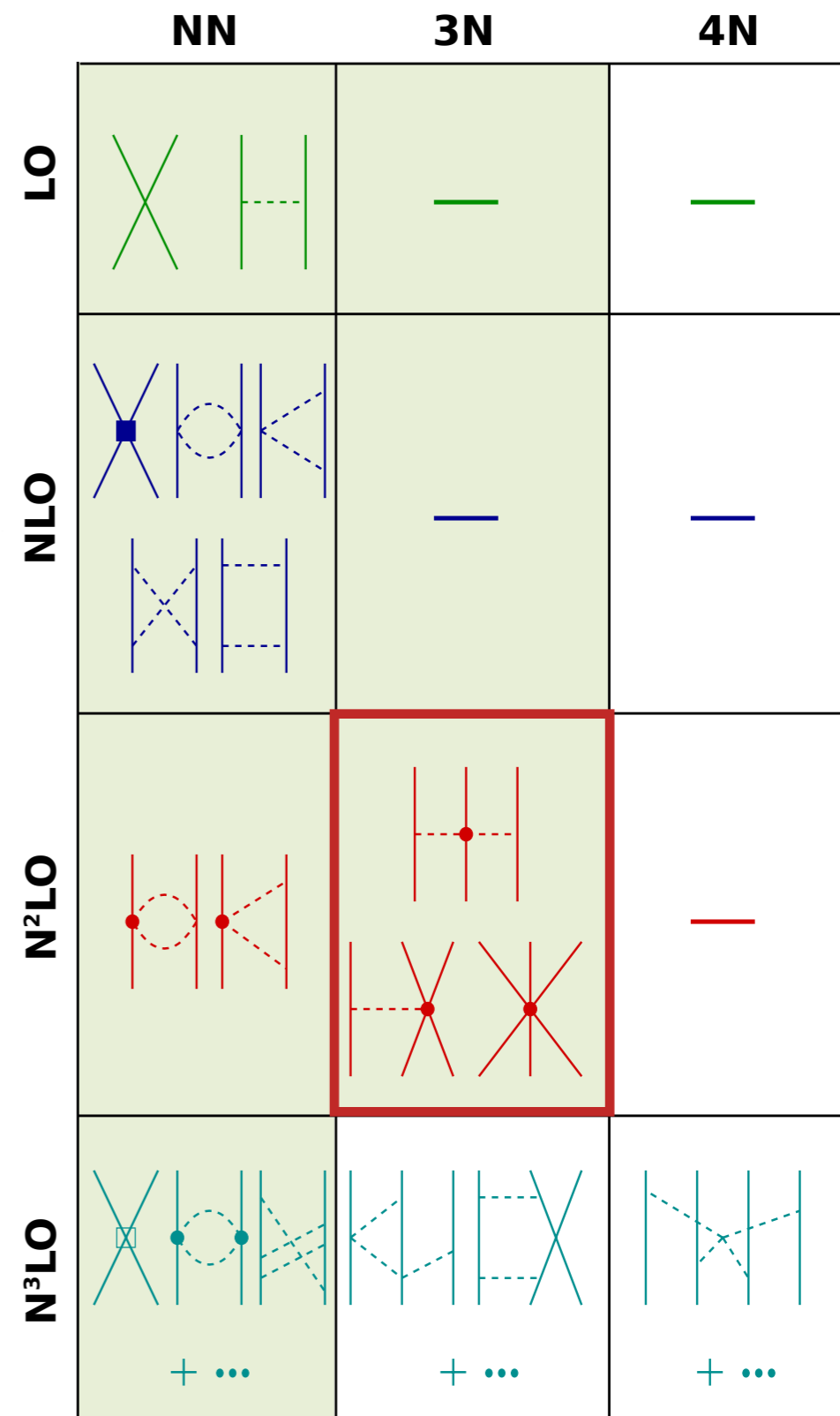
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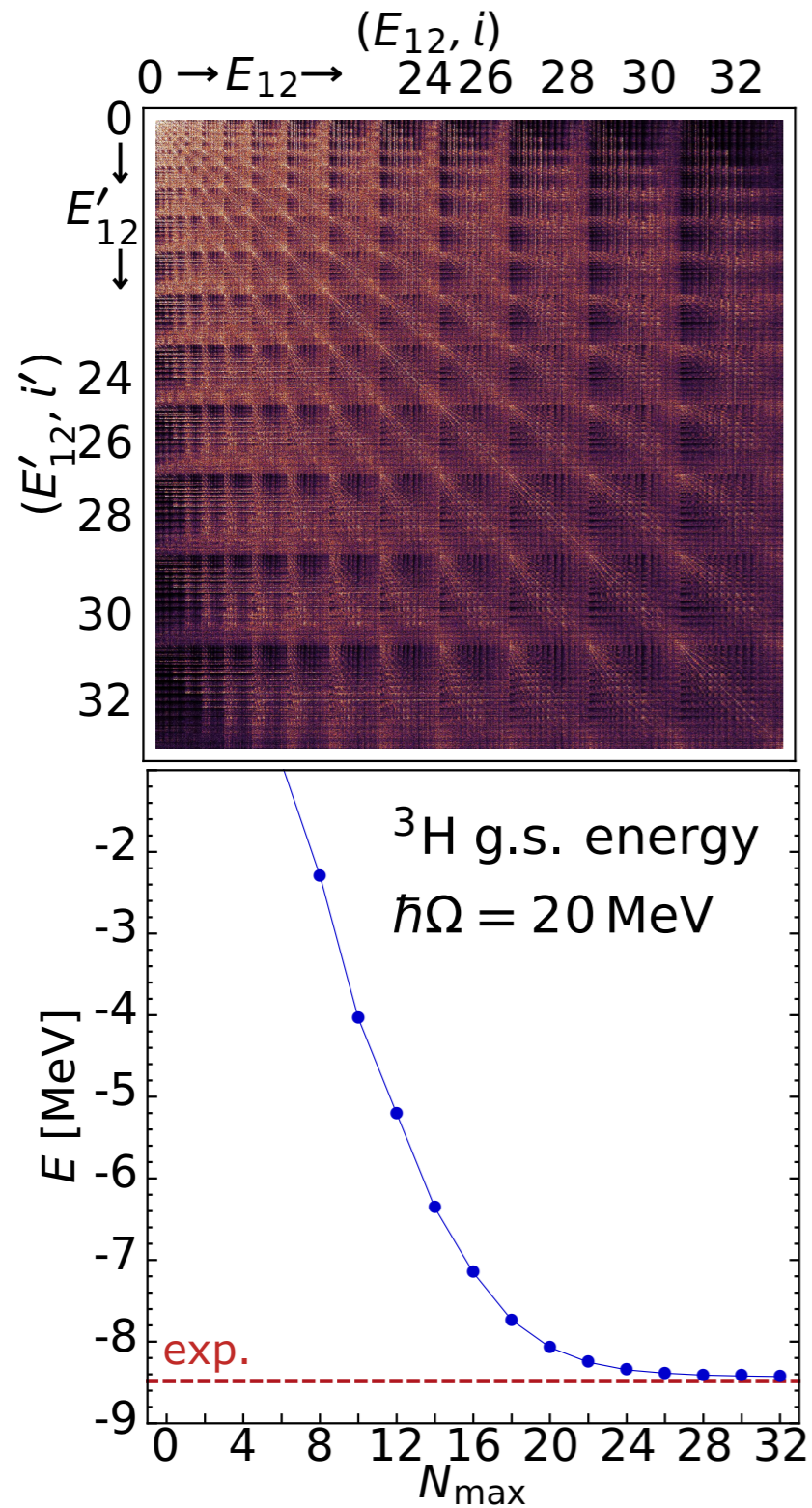
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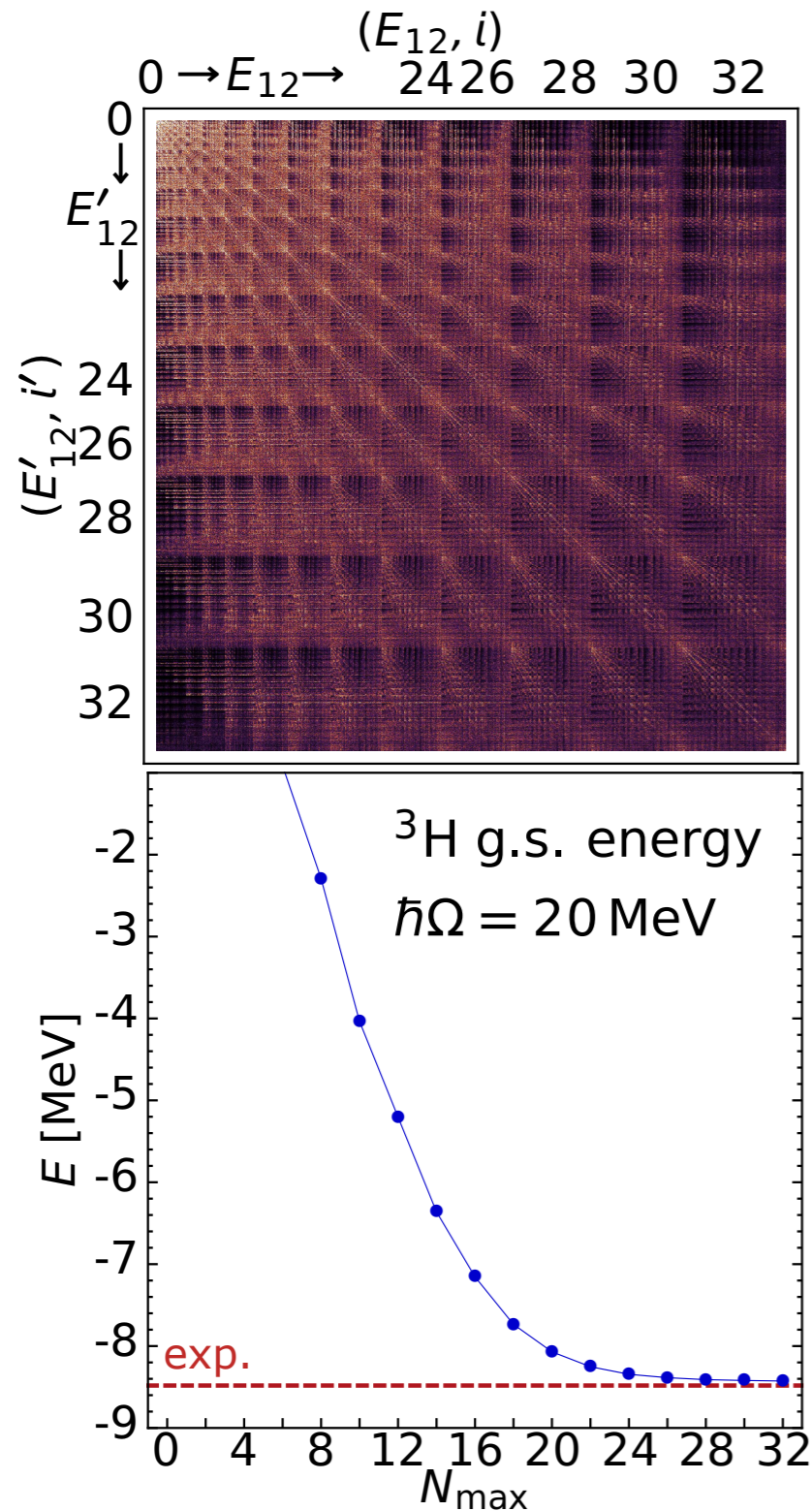


# The Similarity Renormalization Group





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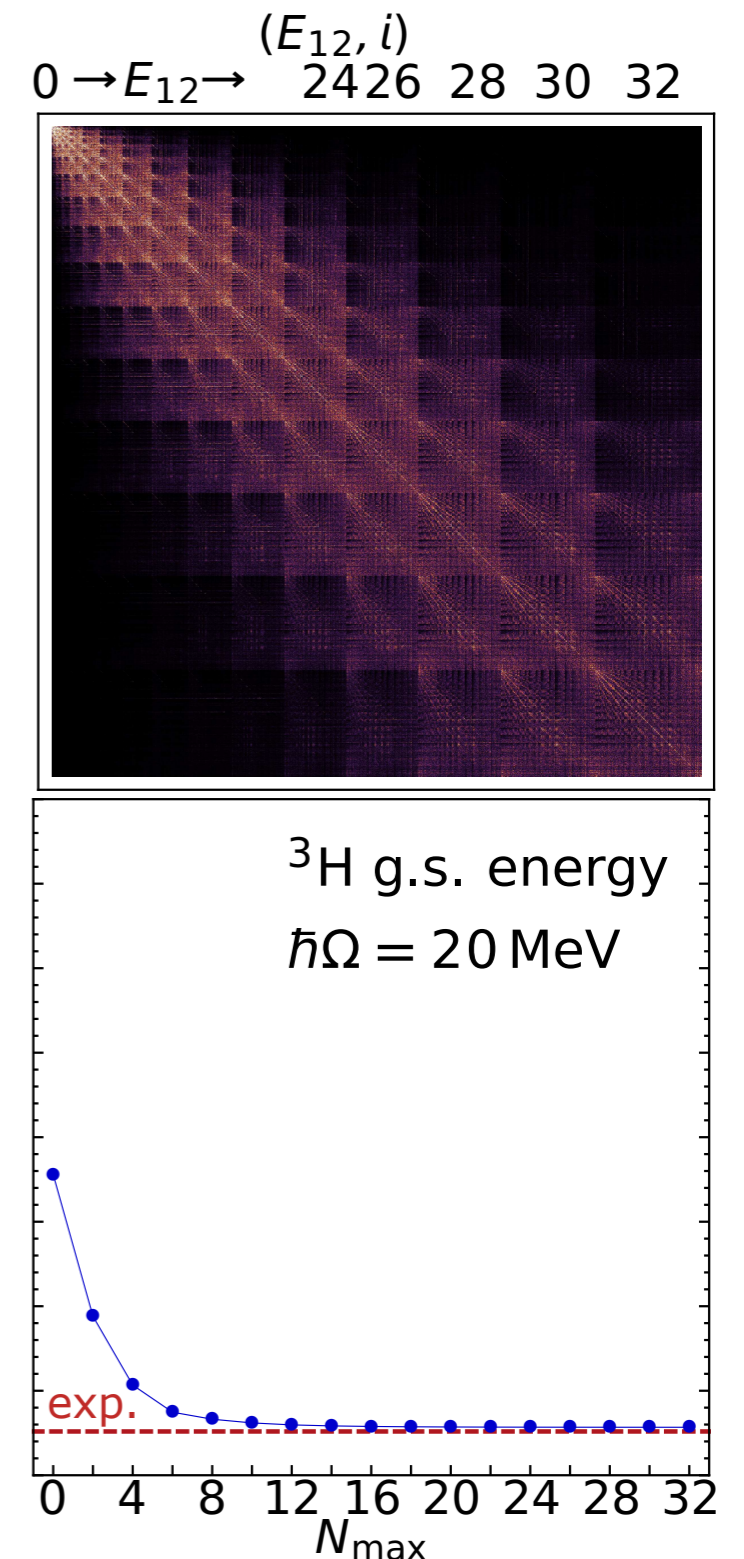
Unitary transformation  
of the Hamiltonian



$$H_\alpha = U_\alpha^\dagger H U_\alpha$$

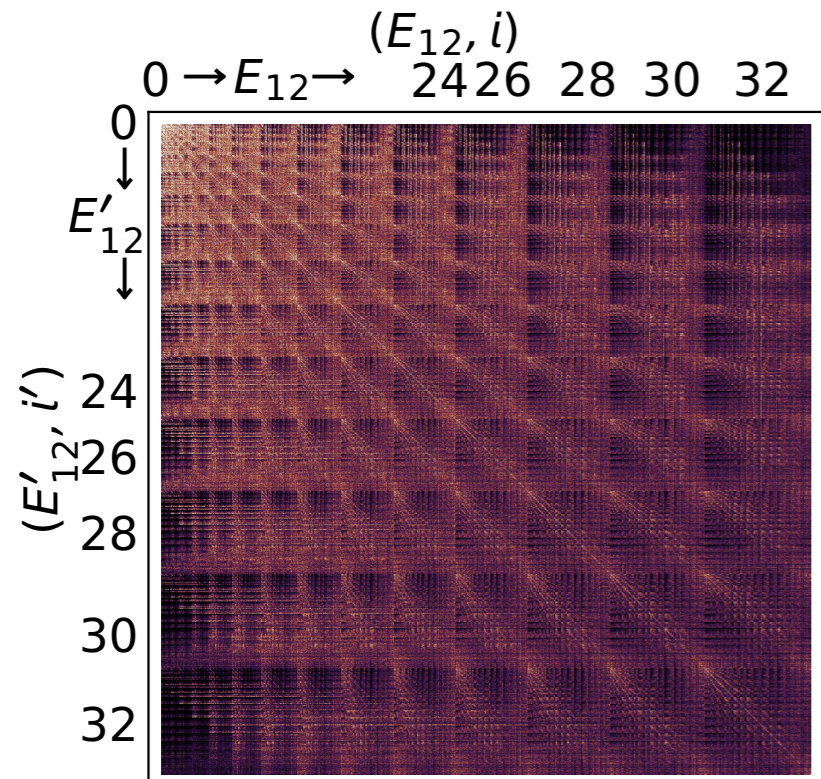
3N interactions  
consistently included

Dependence on  $\alpha$  needs  
to be checked explicitly





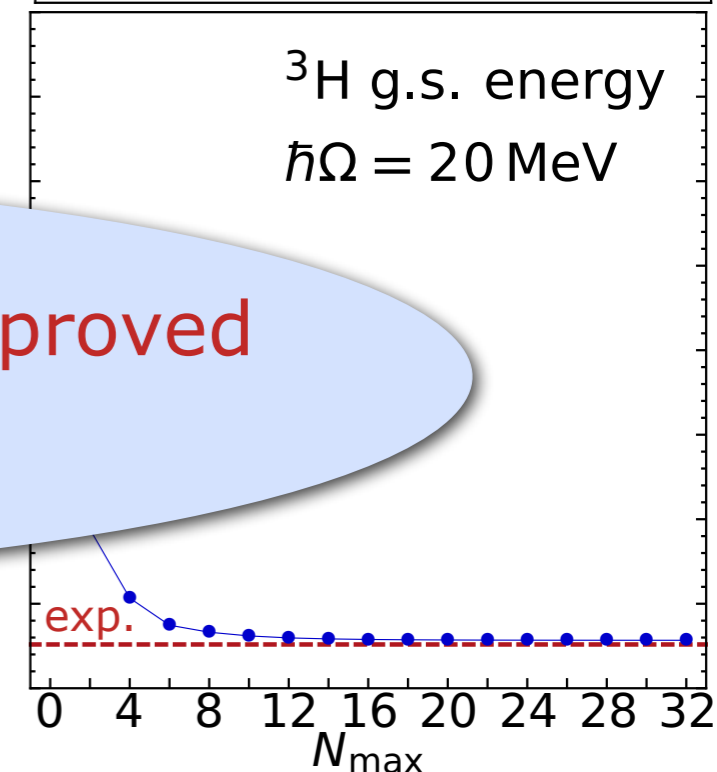
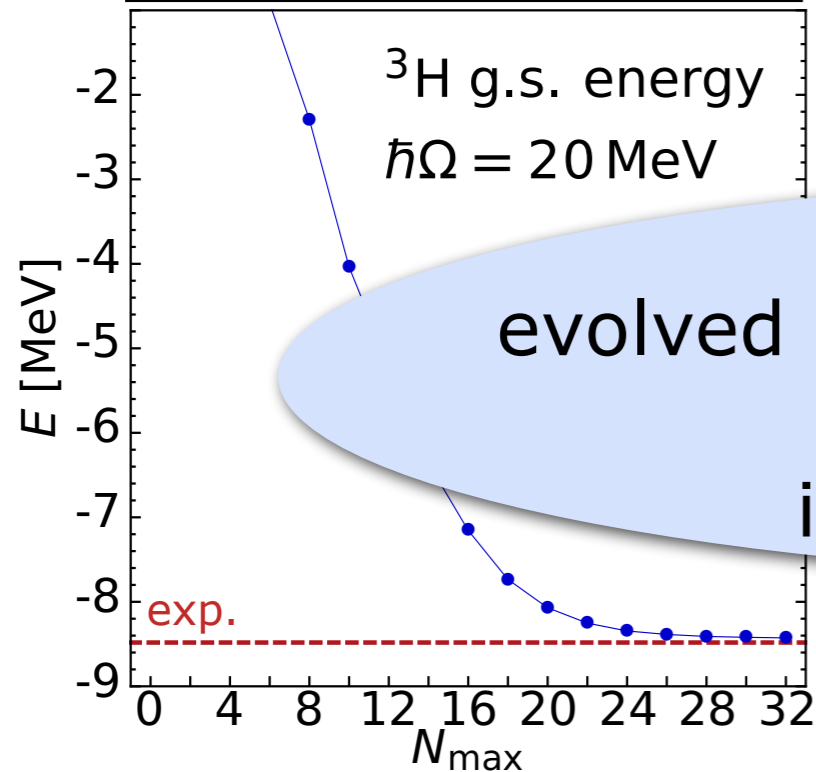
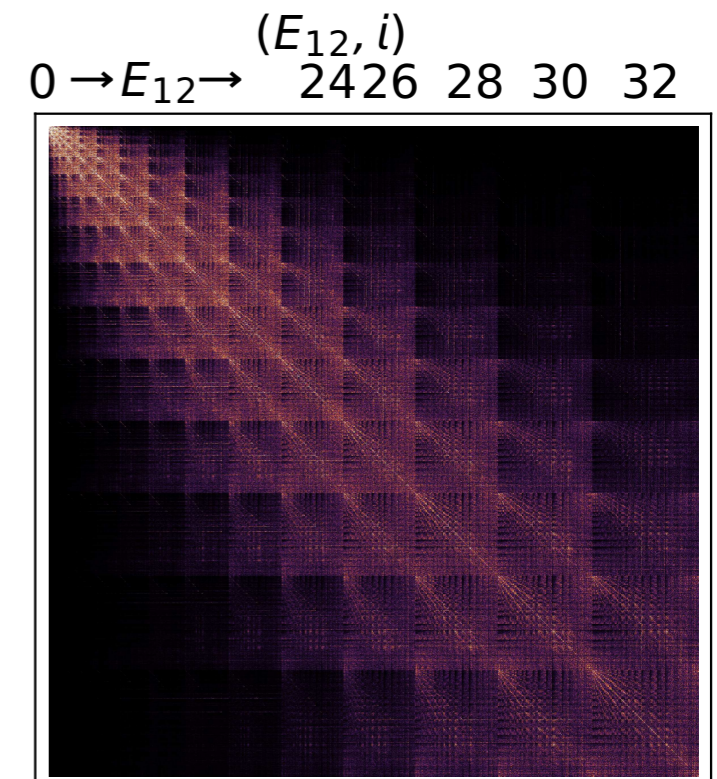
# The Similarity Renormalization Group



Unitary transformation  
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$$H_\alpha = U_\alpha^\dagger H U_\alpha$$



...yields an universal evolved NN+3N interaction with **improved convergence properties** in many-body calculations

# Variety of Developments and Applications

## TECHNOLOGY

- Transformation of 3N matrix elements into suitable basis
- Implementation of efficient matrix-element storage scheme (JT-coupled scheme)
- Derivation of reliable, systematically improvable and accurate approximative schemes (Normal-ordering approximation)

## STRUCTURE

- Ab-initio nuclear structure with 3N interactions throughout the p-shell
- First ab-initio study of ground states of even oxygen isotopes
- Sensitivity analysis w.r.t. the parameters and cutoff of chiral 3N interactions
- First ab-initio calculations for binding energies of closed-shell nuclei up to heavy tin isotopes

## REACTIONS

- Inclusion of 3N interactions into the NCSM/RGM approach
- First ab-initio scatterings with chiral 3N interaction involving more than four nucleons
- Inclusion of 3N interactions into the No-Core Shell Model with Continuum approach
- Investigation of 3N force and continuum effects on  ${}^9\text{Be}$  energy levels

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- First ab-initio study of ground states of all nuclei up to  $Z=8$

**How to include  $3N$  interactions into a unified ab-initio framework for nuclear structure and reactions?**

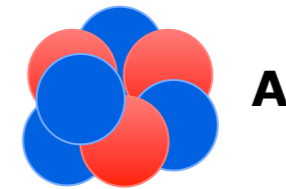
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# What we are aiming for...

**Realistic ab-initio description of nuclei**

Bound states  
and spectroscopy



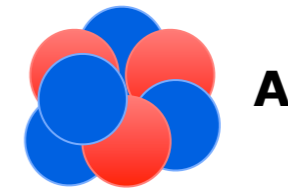
**Using NN+3N forces that are rooted in QCD**



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### **Importance-Truncated NCSM**

Ab-initio description of  
nuclear clusters

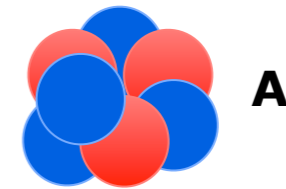
Computes low-lying eigenvalues of the Hamiltonian  
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All relevant observables  
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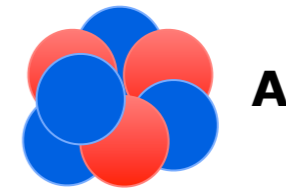
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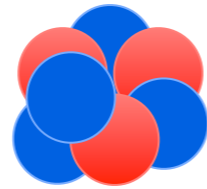
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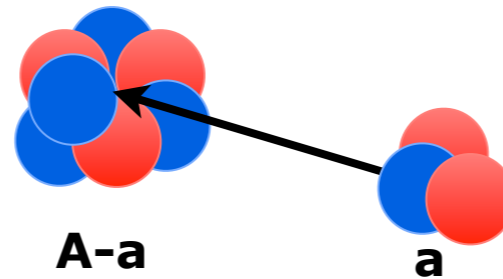
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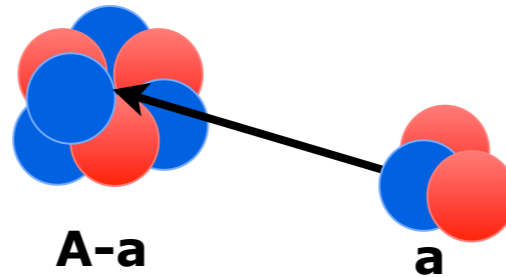
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## Realistic ab-initio description of nuclei

Bound states  
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### **(IT-)NCSM**

Ab-initio description of  
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Resonances,  
scattering states,  
and halo nuclei

### **Resonating Group Method (RGM)**

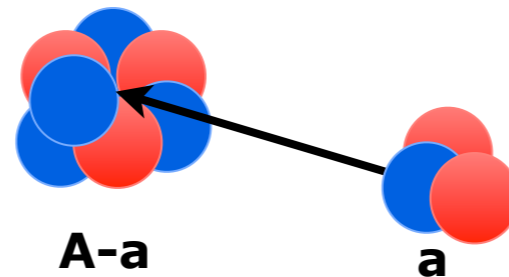
Describing relative  
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# What we are aiming for...

**Realistic ab-initio description of nuclei**

Bound states  
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**(IT-)NCSM**  
Ab-initio description  
nuclear clusters



(IT-)NCSM/RGM  
or NCSMC approach

Resonances,  
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**Resonating Group  
Method (RGM)**  
Describing relative  
motion of clusters

Successfully applied with NN interactions  
**Here: Inclusion of 3N Forces**

# The No-Core Shell Model / Resonating Group Method — Inclusion of 3N Interactions

G. Hupin, J. Langhammer et al. ----- Phys. Rev C **88** 054622 (2013)

S. Quaglioni, P. Navrátil, G. Hupin, J. Langhammer et al. ----- Few-Body Syst. **54** 887 (2013)

S. Quaglioni, P. Navrátil, R. Roth, W. Horiuchi ----- J.Phys.Conf.Ser. 402 (2012)

P. Navrátil, R. Roth and S. Quaglioni ----- Phys. Rev. C **82**, 034609 (2010)



# General Approach of NCSM/RGM

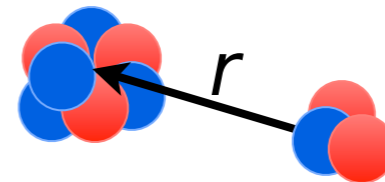
- Represent  $H |\psi^{J\pi T}\rangle = E |\psi^{J\pi T}\rangle$  using the **basis expansion**

$$|\psi^{J\pi T}\rangle = \sum_{\nu} \int dr r^2 \frac{g_{\nu}^{J\pi T}(r)}{r} \mathcal{A} |\phi_{\nu r}^{J\pi T}\rangle$$

$\mathcal{A}$ : antisymmetrizer  
 $g_{\nu}^{J\pi T}(r)$ : unknowns

with the **binary-cluster** channel **states**

$$|\phi_{\nu r}^{J\pi T}\rangle = \left\{ |\Phi^{(A-a)}\rangle |\Phi^{(a)}\rangle |r l\rangle \right\}^{J\pi T} \hat{=} \text{Diagram}$$



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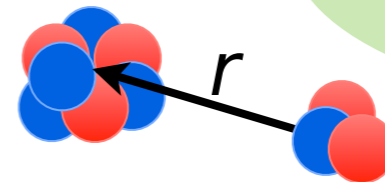
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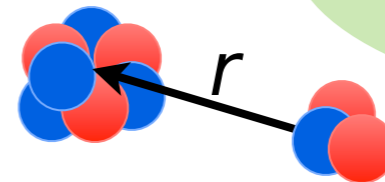
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- Solve **generalized eigenvalue** problem

$$\sum_{\nu} \int dr r^2 \left[ \mathcal{H}_{\nu, \nu'}^{J\pi T}(r', r) - E \mathcal{N}_{\nu, \nu'}^{J\pi T}(r, r') \right] \frac{g_{\nu r}^{J\pi T}}{r} = 0$$

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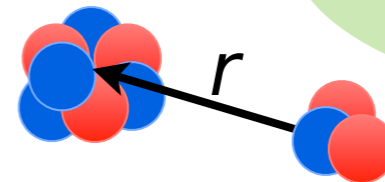
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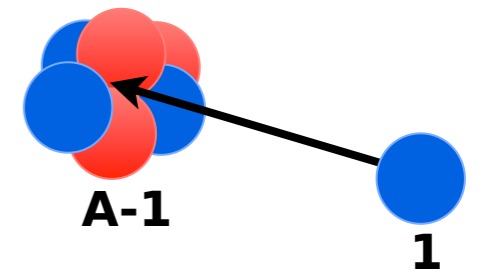
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Hamiltonian kernel: **3N interaction causes additional terms**

# Towards Inclusion of Full 3N Forces

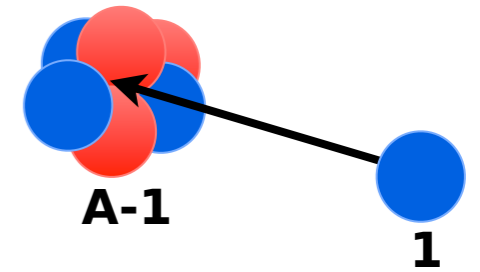
- Contributions of the 3N interaction



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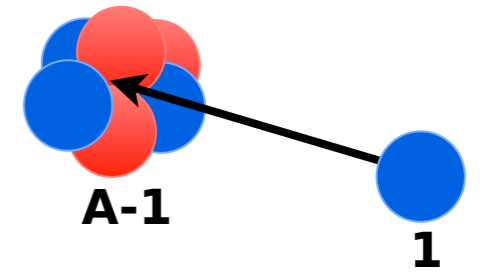
$$\langle \phi_{\nu' r'}^{J\pi T} | V_{3N} \mathcal{A}^2 | \phi_{\nu r}^{J\pi T} \rangle = \langle \phi_{\nu' r'}^{J\pi T} | V_{3N} \left[ \mathbf{1} - \sum_{i=1}^{A-1} T_{i,A} \right] | \phi_{\nu r}^{J\pi T} \rangle$$



# Towards Inclusion of Full 3N Forces

- Contributions of the 3N interaction

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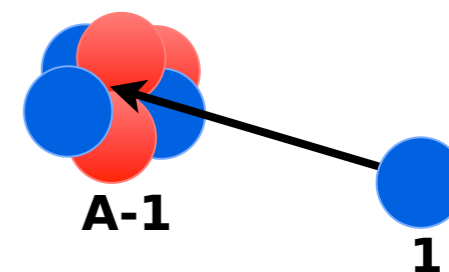


“direct” kernel

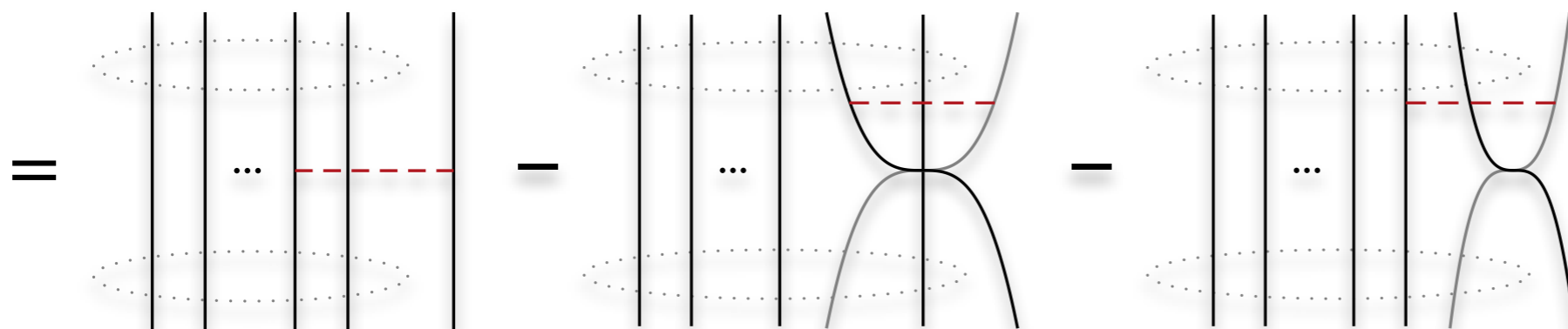
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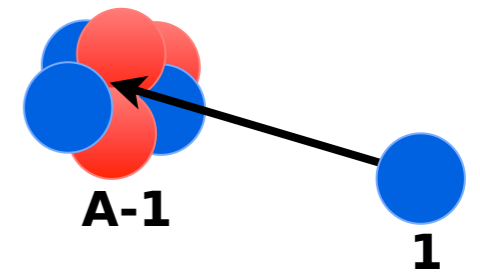




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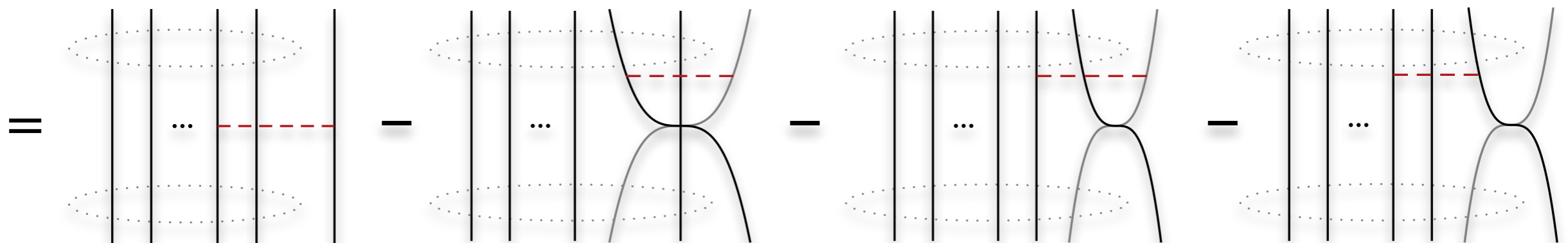
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“direct” kernel

“exchange” kernel



# Towards Inclusion of Full 3N Forces

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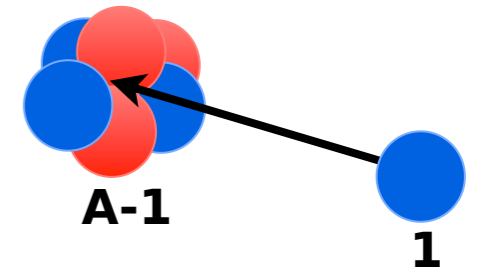
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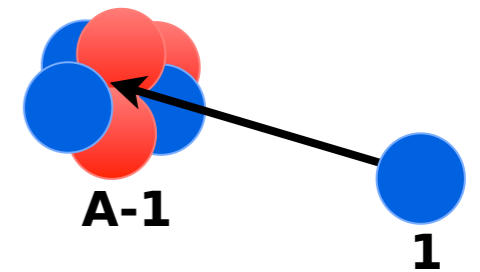
“exchange” kernel

$$= \underbrace{\left[ \text{diagram 1} - \text{diagram 2} - \text{diagram 3} \right]}_{\propto \langle \Phi'^{(A-1)} | a^\dagger a^\dagger a a | \Phi^{(A-1)} \rangle} - \underbrace{\left[ \text{diagram 4} \right]}_{\propto \langle \Phi'^{(A-1)} | a^\dagger a^\dagger a^\dagger a a a | \Phi^{(A-1)} \rangle}$$

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- Contributions of the 3N interaction

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 &\quad - \frac{(A-1)(A-2)(A-3)}{2} \langle \Phi_{\nu'r'}^{J\pi T} | V_{A-3,A-2,A} T_{A-1,A} | \Phi_{\nu r}^{J\pi T} \rangle
 \end{aligned}$$



“direct” kernel

Handling of 3-body density challenging

$$\begin{aligned}
 &= \underbrace{\left[ \text{diagram 1} - \text{diagram 2} - \text{diagram 3} - \text{diagram 4} \right]}_{\propto \langle \Phi'^{(A-1)} | a^\dagger a^\dagger a a | \Phi^{(A-1)} \rangle} \quad \underbrace{\left[ \text{diagram 5} - \text{diagram 6} \right]}_{\propto \langle \Phi'^{(A-1)} | a^\dagger a^\dagger a^\dagger a a a | \Phi^{(A-1)} \rangle}
 \end{aligned}$$

# Handling of the Three-Body Density

$$\sum_{jj'} \sum_{M_1 m_j M_{T_1} m_t} \sum_{M'_1 m'_j M'_{T_1} m'_t} \frac{1}{12} (-1)^{I_1 + I'_1 + 2J + j + j'} \begin{Bmatrix} I_1 & \frac{1}{2} & s \\ l & J & j \end{Bmatrix} \begin{Bmatrix} I'_1 & \frac{1}{2} & s' \\ l' & J & j' \end{Bmatrix}$$

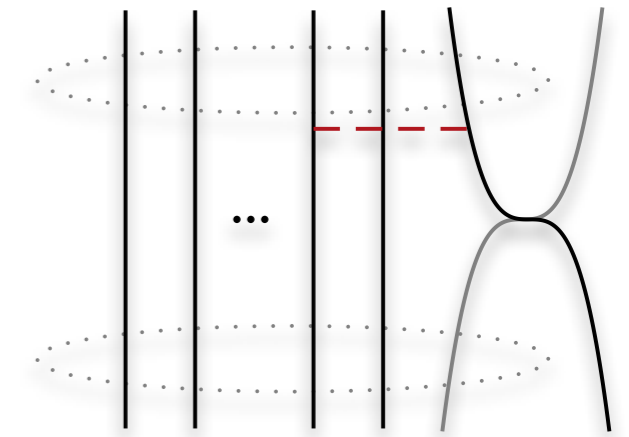
$$\begin{pmatrix} I_1 & j & J \\ M_1 & m_j & M_J \end{pmatrix} \begin{pmatrix} T_1 & \frac{1}{2} & T \\ M_{T_1} & m_t & M_T \end{pmatrix} \begin{pmatrix} I'_1 & j' & J \\ M'_1 & m'_j & M'_J \end{pmatrix} \begin{pmatrix} T'_1 & \frac{1}{2} & T \\ M'_{T_1} & m'_t & M'_T \end{pmatrix}$$

$$\sum_{\beta_{A-3}} \sum_{\beta_{A-2}} \sum_{\beta'_{A-3}} \sum_{\beta'_{A-2}} \sum_{\beta'_{A-1}}$$

$${}_a \langle \beta_{A-3} \beta_{A-2} n l j' m'_j \frac{1}{2} m'_t | V_{3N} | \beta'_{A-3} \beta'_{A-2} \beta'_{A-1} \rangle_a$$

$$\langle \Phi^{(A-1)} I'_1 M'_1 T'_1 M'_{T_1} | a^\dagger_{n l j m_j \frac{1}{2} m_t} a^\dagger_{\beta_{A-2}} a^\dagger_{\beta_{A-3}} a_{\beta'_{A-3}} a_{\beta'_{A-2}} a_{\beta'_{A-1}} | \Phi^{(A-1)} I_1 M_1 T_1 M_{T_1} \rangle$$

- Exploit  $|\Phi^{(A-1)} I_1 M_1 T_1 M_{T_1}\rangle = \sum_i c_i |\text{SD}\rangle_i$
- **Compute three-body density on the fly**
- Efficient new computational scheme implemented



# Handling of the Three-Body Density

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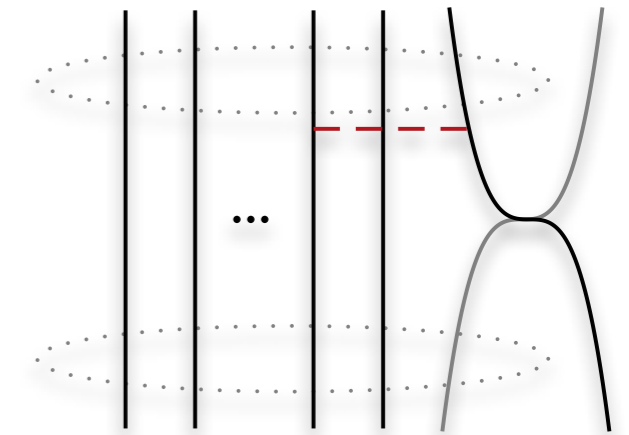
$$\sum_{\beta_{A-3}} \sum_{\beta_{A-2}} \sum_{\beta'_{A-3}} \sum_{\beta'_{A-2}} \sum_{\beta'_{A-1}}$$

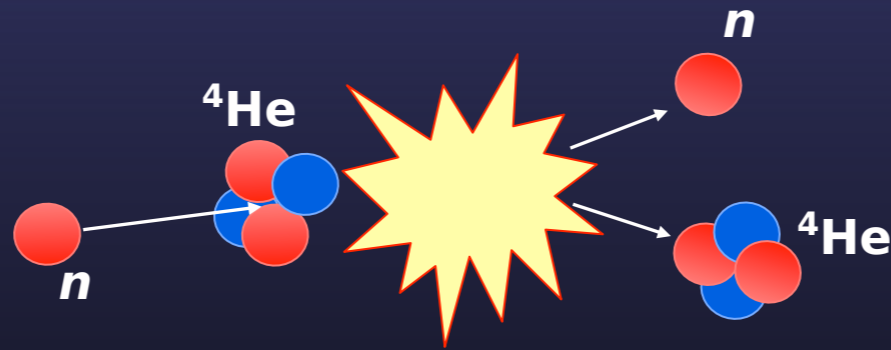
$${}_a \langle \beta_{A-3} \beta_{A-2} n l j' m'_j \frac{1}{2} m'_t | V_{3N} | \beta'_{A-3} \beta'_{A-2} \beta'_{A-1} \rangle_a$$

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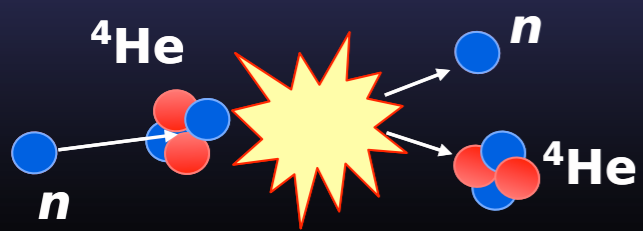
Key to access targets heavier than  ${}^4\text{He}$





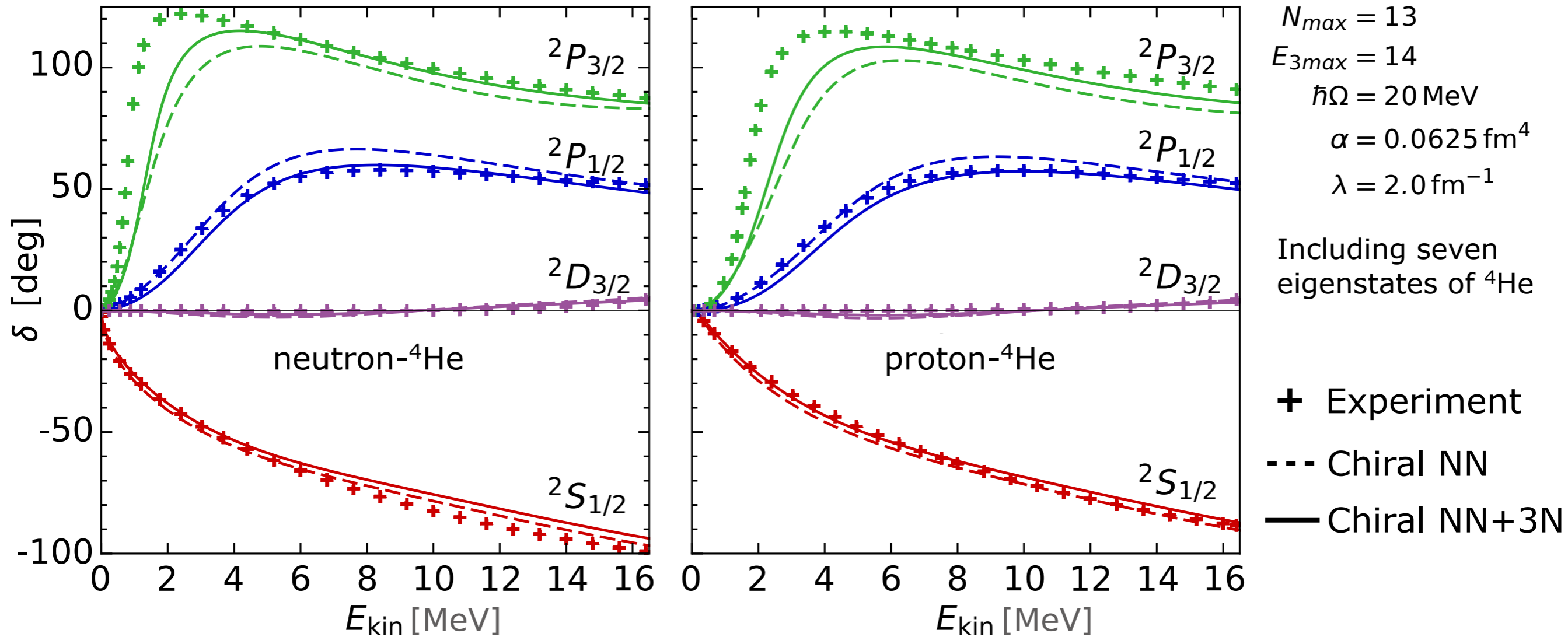
# Nucleon- $^4\text{He}$ Scattering with Chiral 3N Interactions

G. Hupin, J. Langhammer et al. ----- Phys. Rev C **88** 054622 (2013)

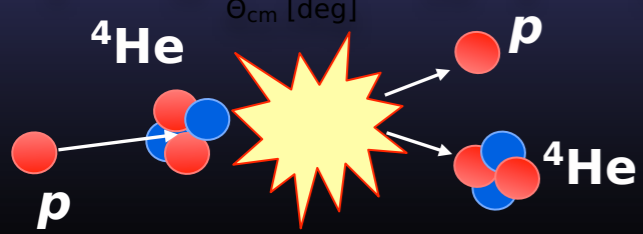


# 3N Force Effects on Phase Shifts

G. Hupin, J. Langhammer et al. - Phys. Rev C **88** 054622 (2013)

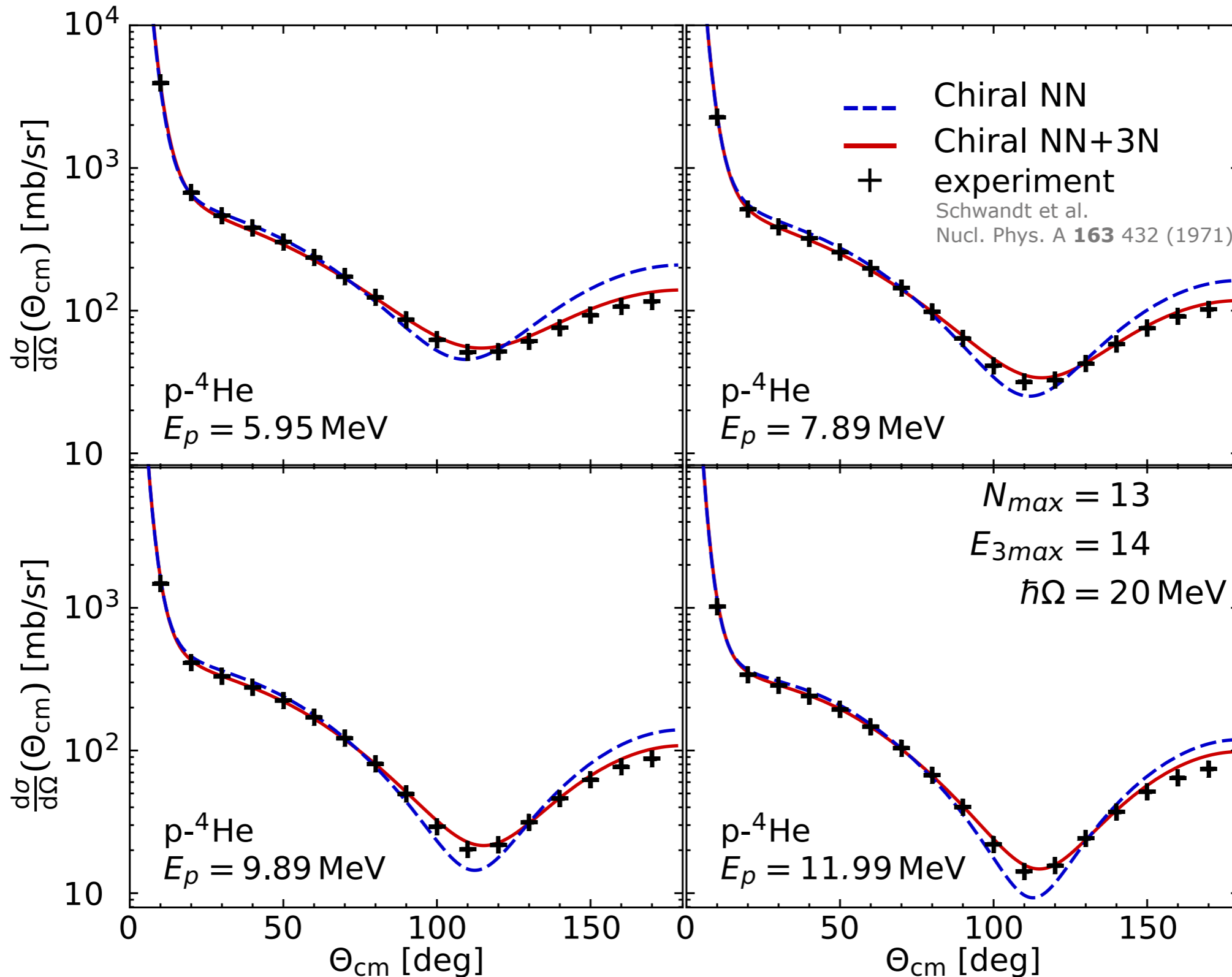


- Good agreement with data for  ${}^2P_{1/2}$ ,  ${}^2D_{3/2}$  and  ${}^2S_{1/2}$
- 3N interaction increases spin-orbit splitting between  $P$ -waves

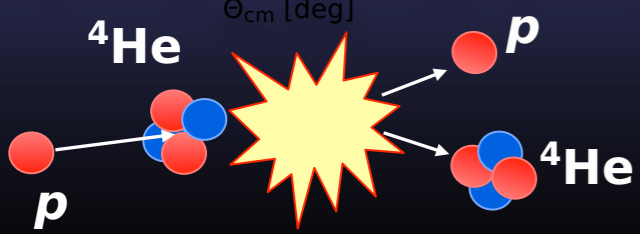


# Differential Cross Section

G. Hupin, J. Langhammer et al. - Phys. Rev C **88** 054622 (2013)

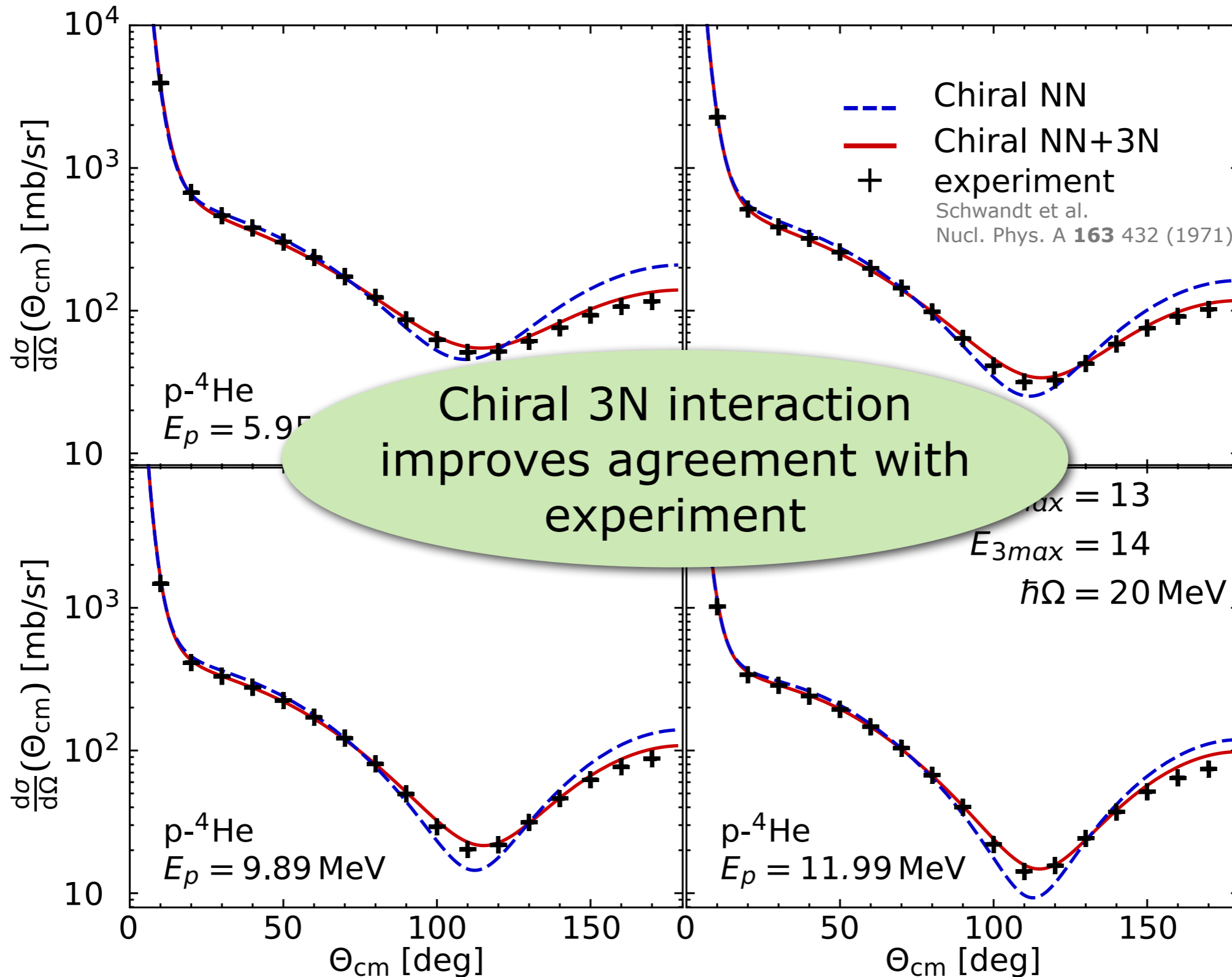






# Differential Cross Section

G. Hupin, J. Langhammer et al. - Phys. Rev C **88** 054622 (2013)



# 3N Interactions in the No-Core Shell Model with Continuum

# The No-Core Shell Model with Continuum

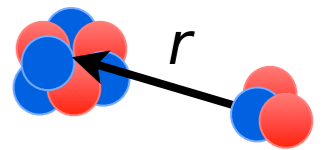
- Representing  $H|\psi^{J\pi T}\rangle = E|\psi^{J\pi T}\rangle$  using the **basis expansion**

$$|\Psi^{J\pi T}\rangle = \sum_{\lambda} c_{\lambda} |\Psi_A E_{\lambda} J^{\pi} T\rangle + \sum_{\nu} \int dr r^2 \frac{\chi_{\nu}(r)}{r} |\xi_{\nu r}^{J\pi T}\rangle$$

Expansion in  $A$ -body  
(IT-)NCSM eigenstates



Identically equal to  
NCSM/RGM expansion

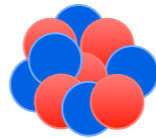


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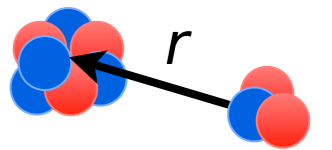
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Identically equal to  
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leads to the NCSMC equations

$$\begin{pmatrix} H_{\text{NCSM}} & h \\ h & \mathcal{H} \end{pmatrix} \begin{pmatrix} c \\ \chi(r)/r \end{pmatrix} = E \begin{pmatrix} \mathbb{1} & g \\ g & \mathbb{1} \end{pmatrix} \begin{pmatrix} c \\ \chi(r)/r \end{pmatrix}$$

3N forces contribute in

$H_{\text{NCSM}}$

Covered by  
(IT-)NCSM

$h$

Given by  
 $\langle \Psi_A E_{\lambda'} J^{\pi} T | \hat{H} | \xi_{\nu r}^{J\pi T} \rangle$

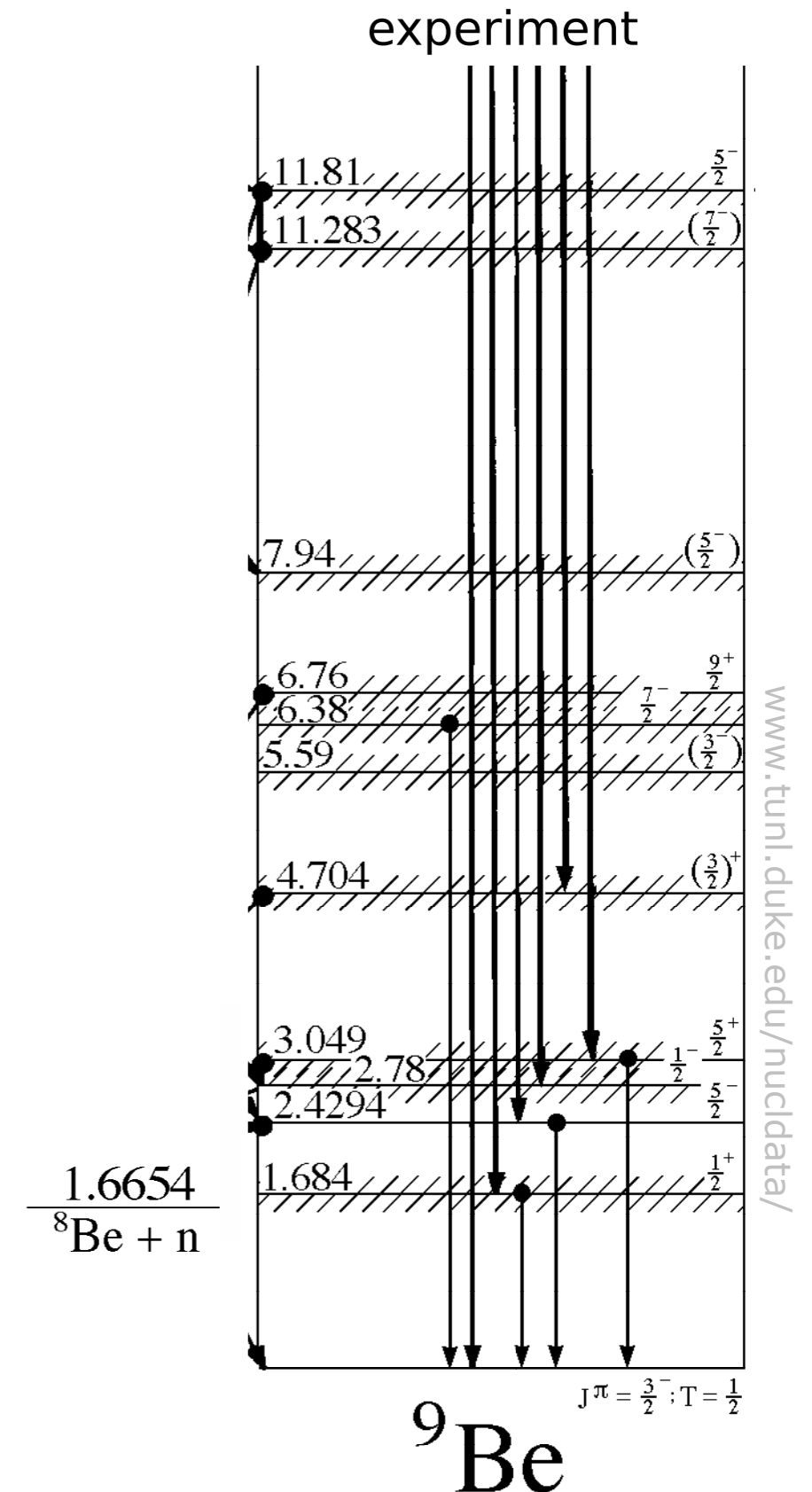
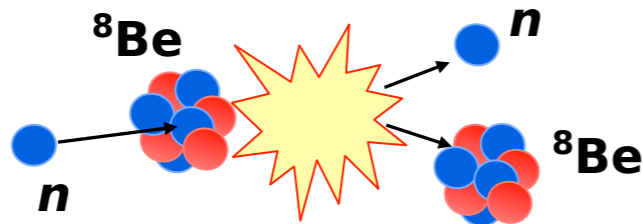
$\mathcal{H}$

Contains the NCSM/RGM  
Hamiltonian kernel

**Again use new  
computational scheme**

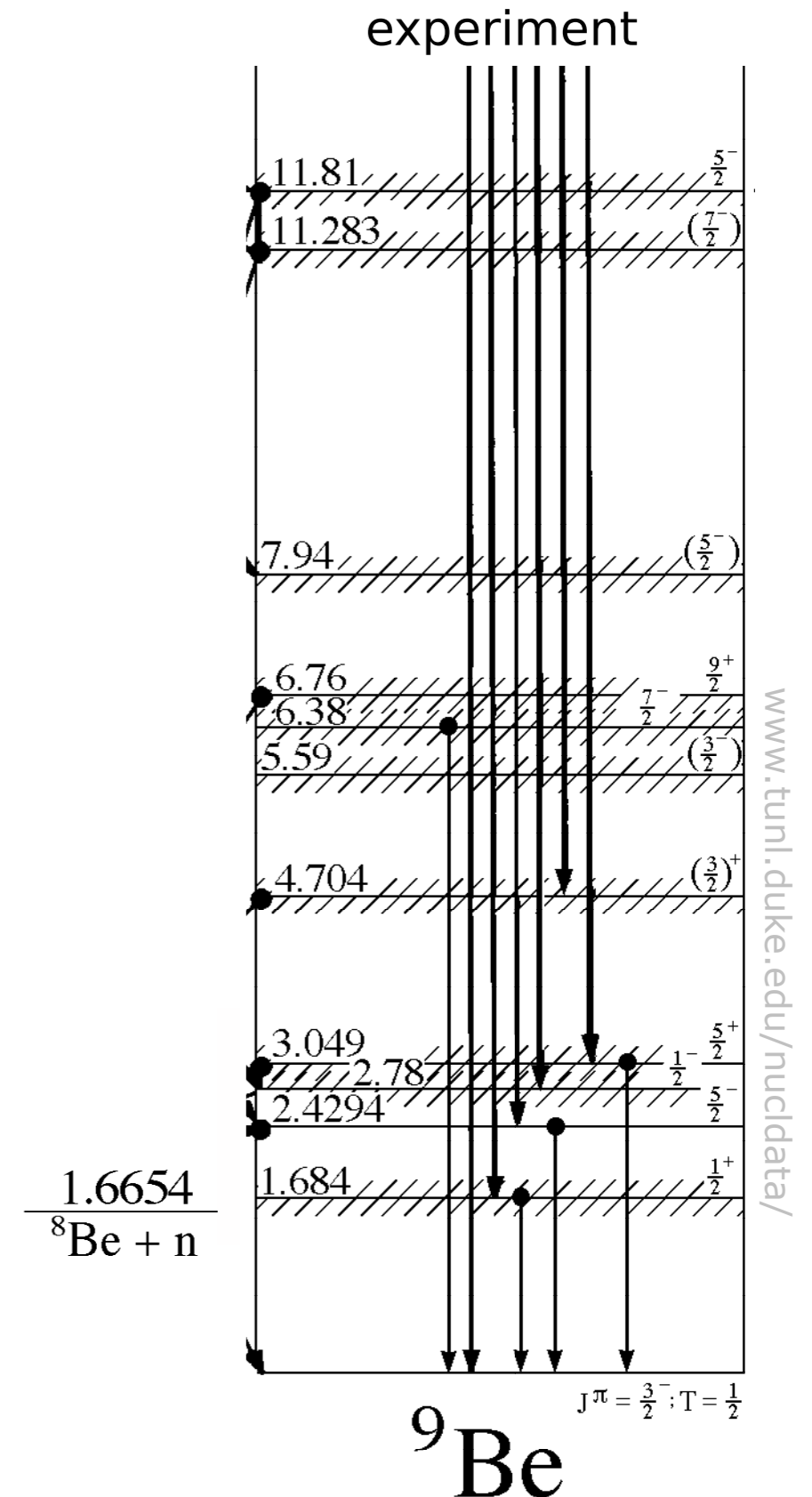
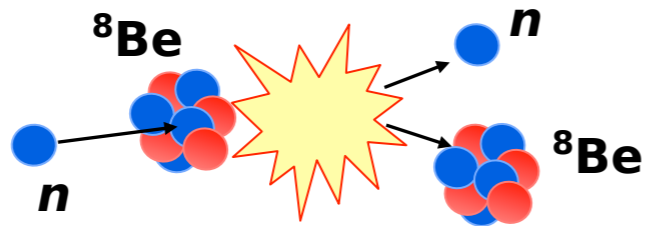
# Ab-initio Description of ${}^9\text{Be}$ via NCSMC

- All excited states are resonances
- Study the impact of the continuum by investigating neutron- ${}^8\text{Be}$  scattering



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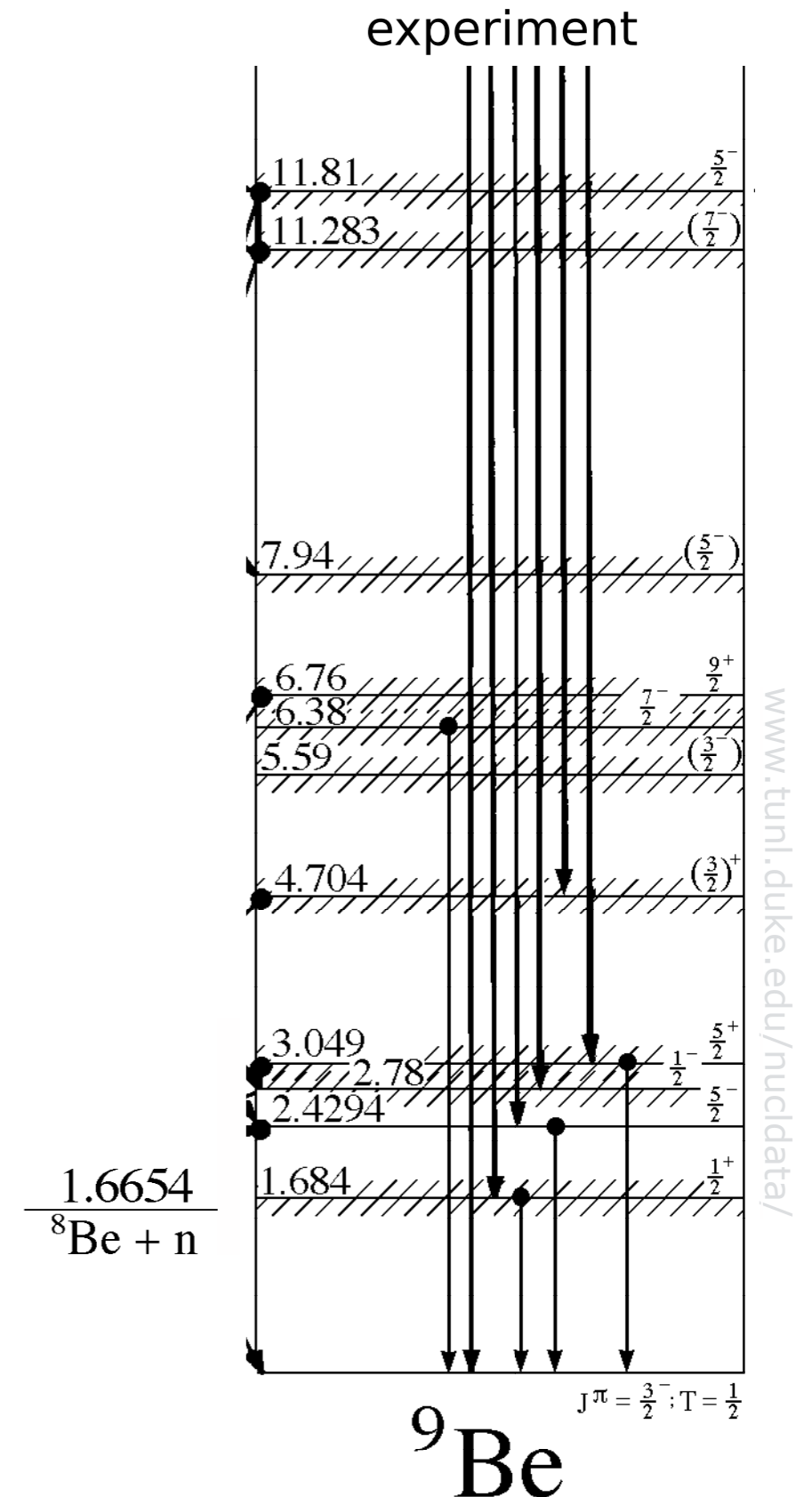
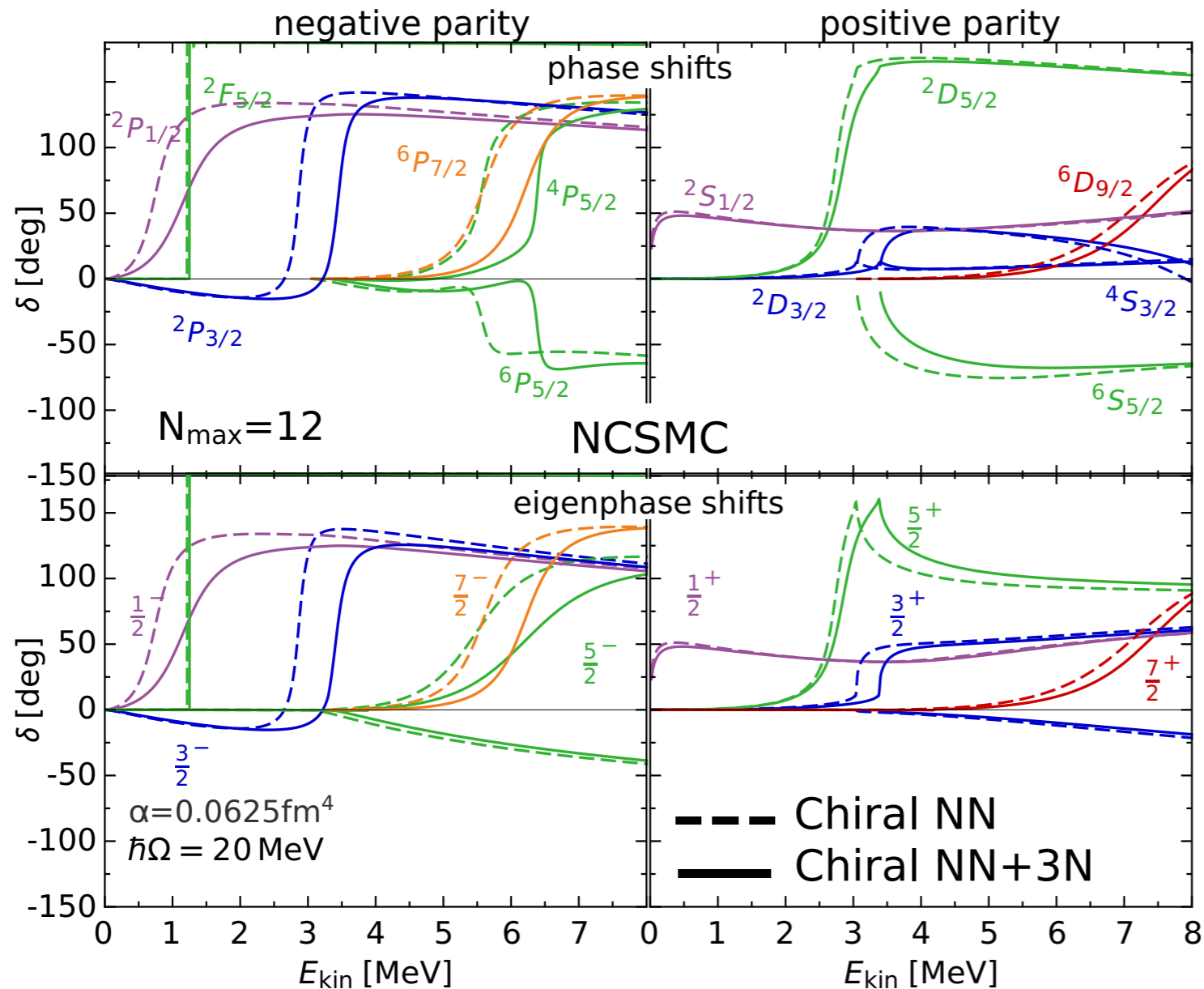
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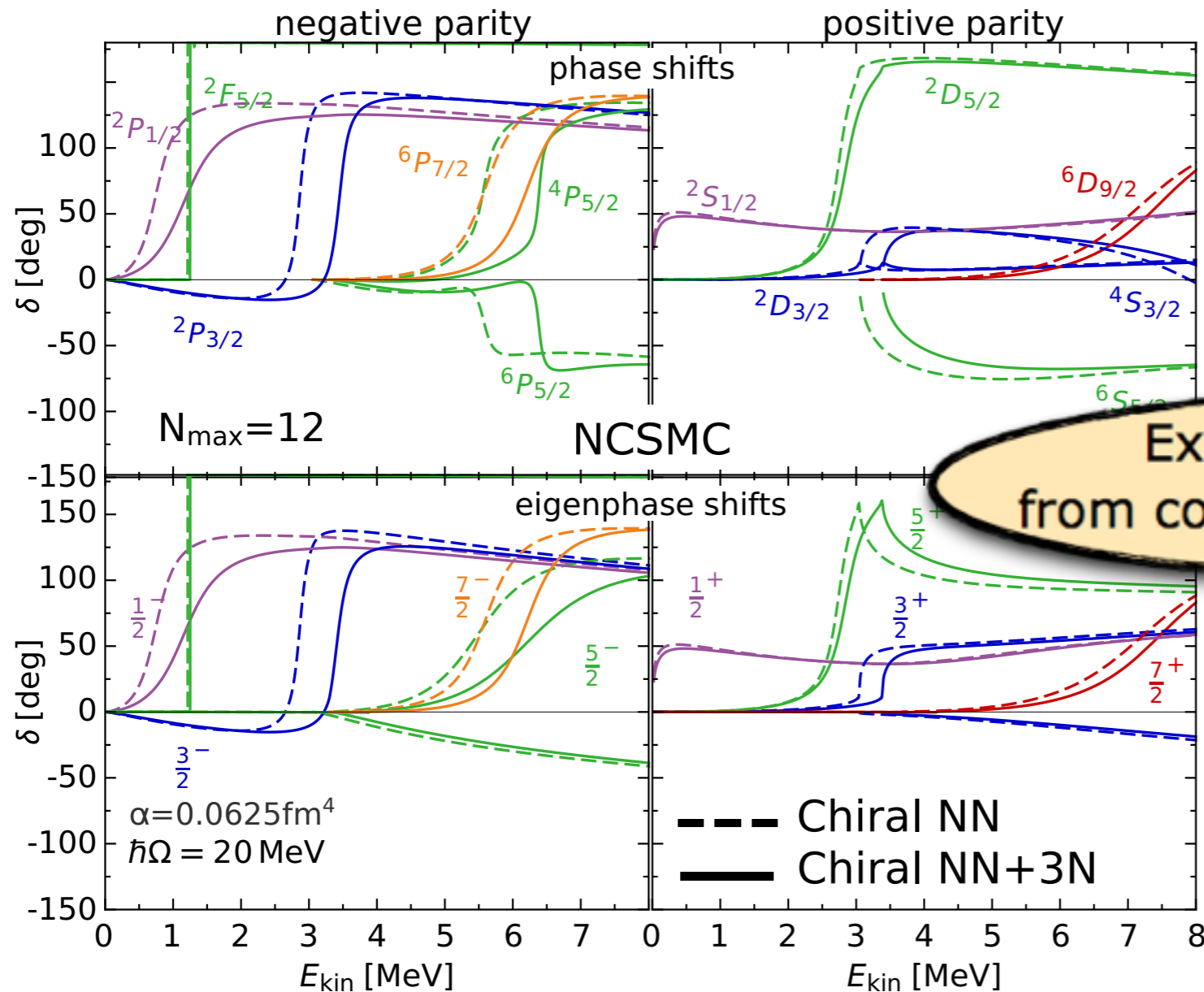
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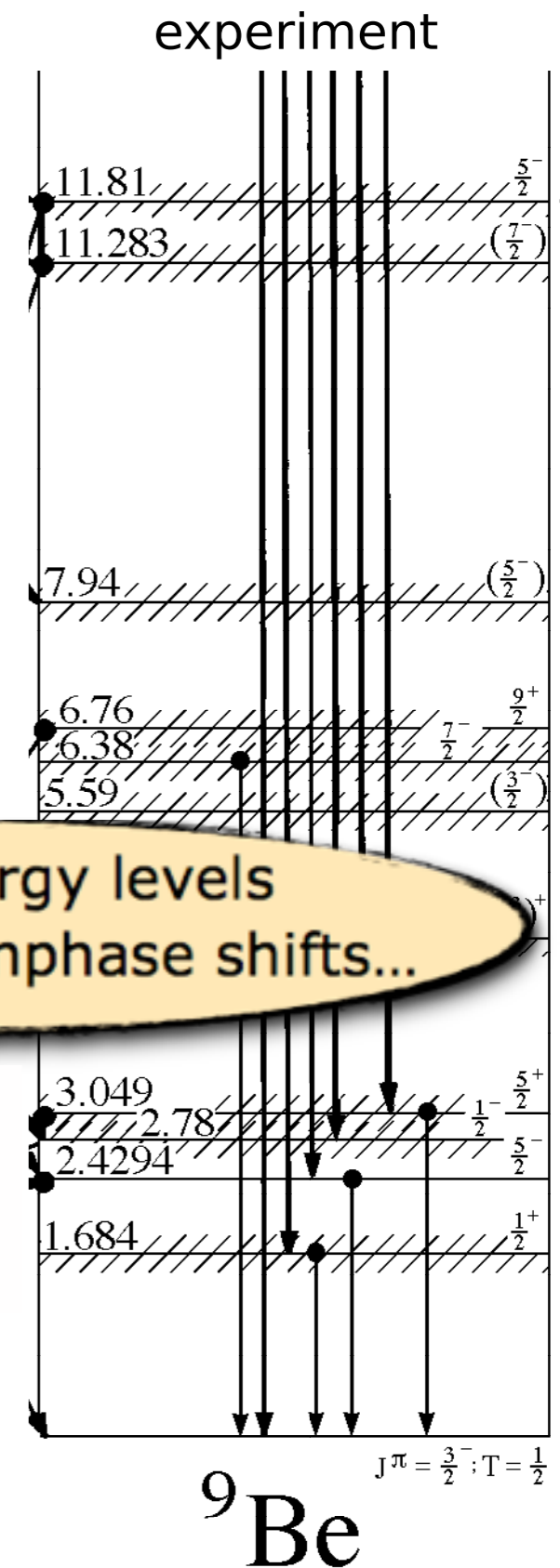


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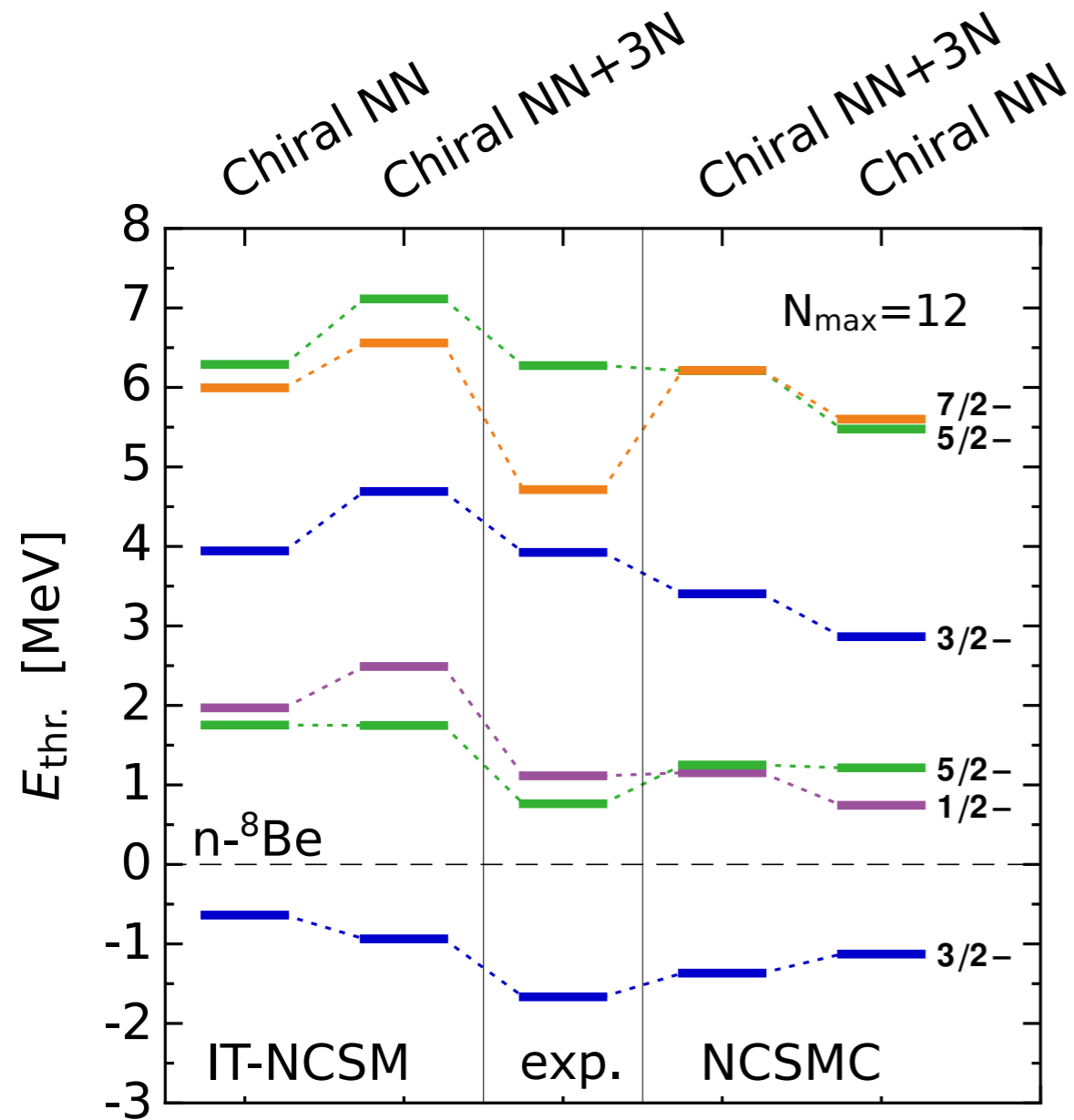


Extract  ${}^9\text{Be}$  energy levels from computed eigenphase shifts...

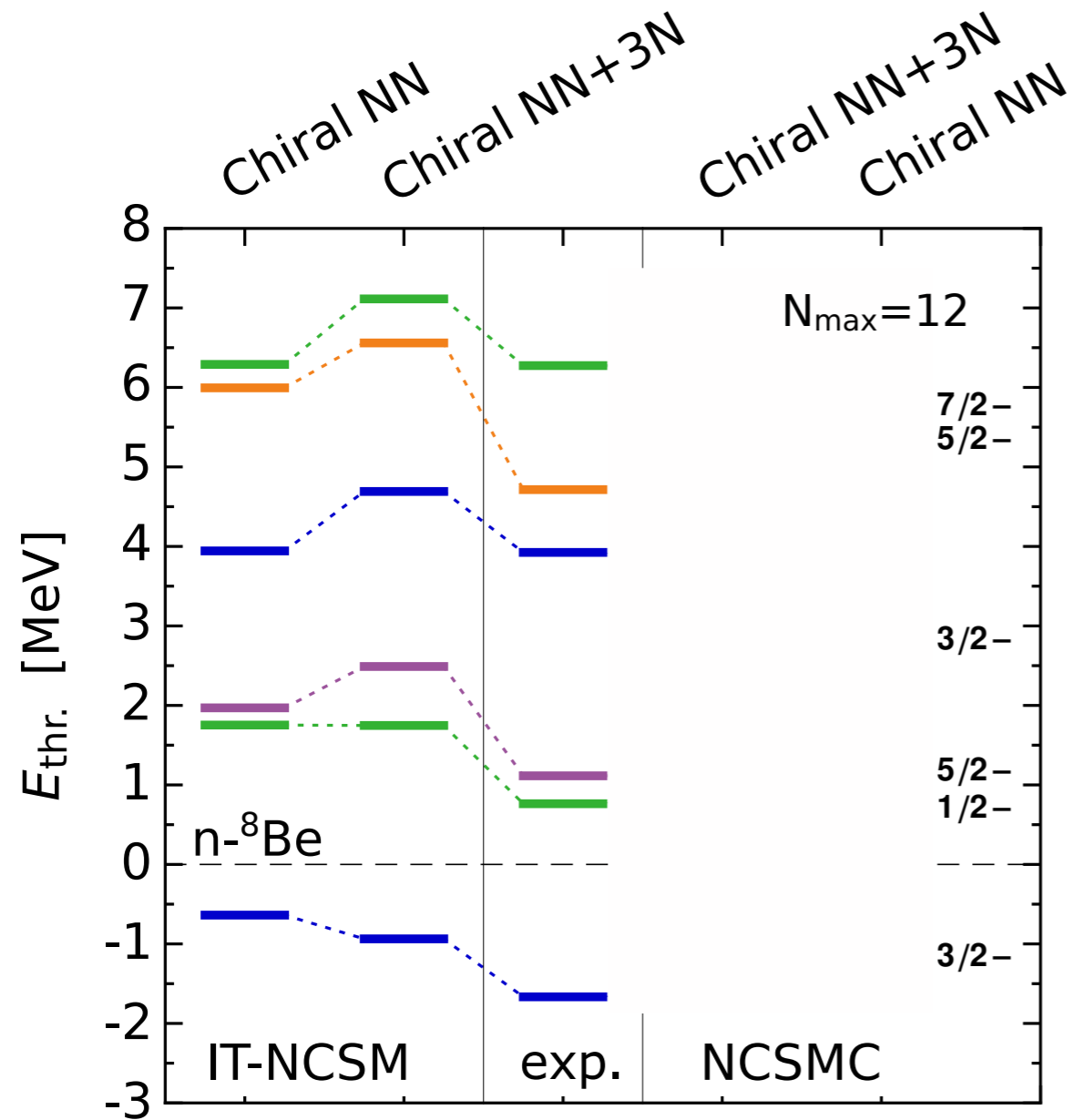


www.tunl.duke.edu/nucldata/

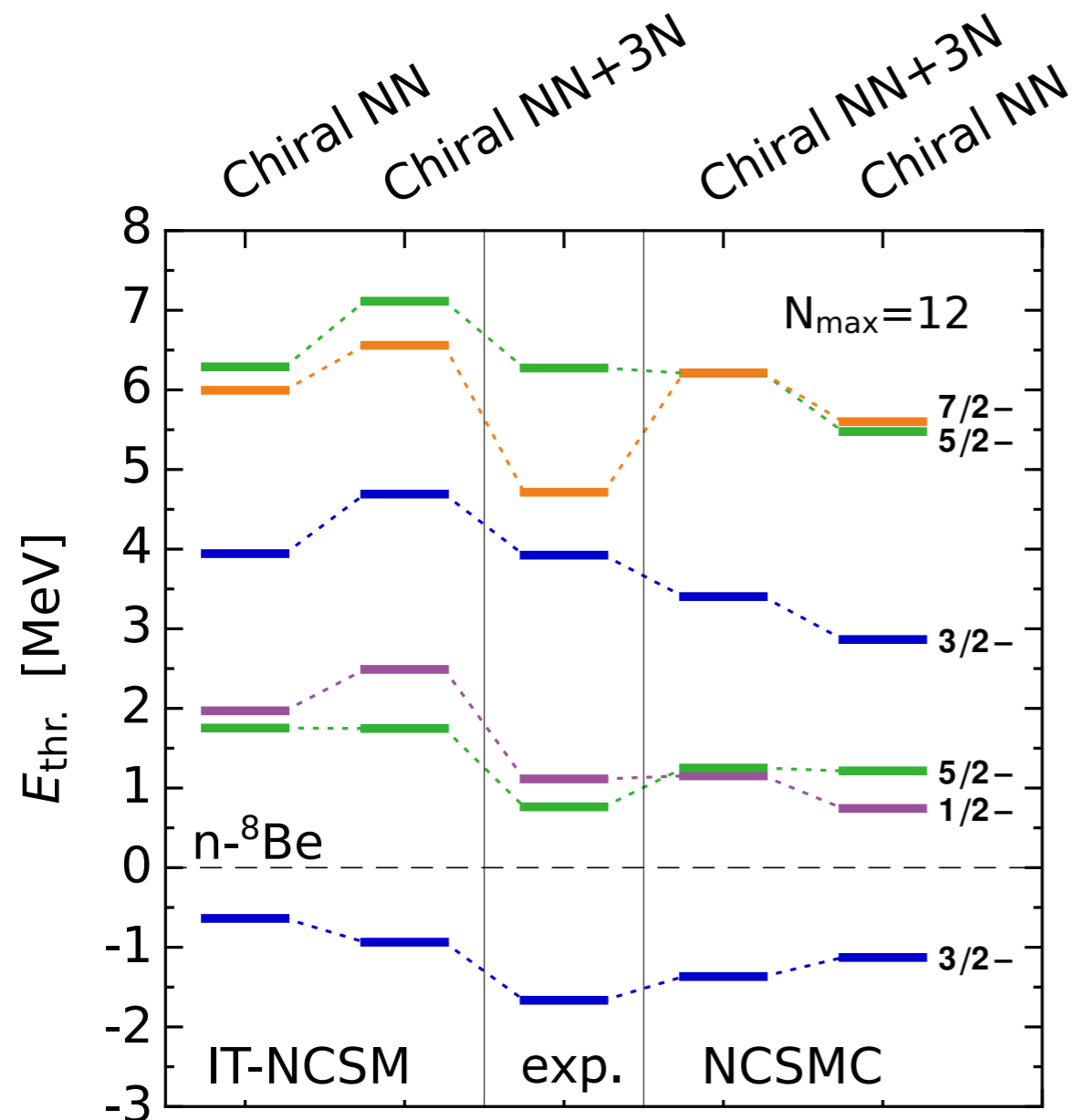
# $^9\text{Be}$ Energy Levels: NCSM vs. NCSMC



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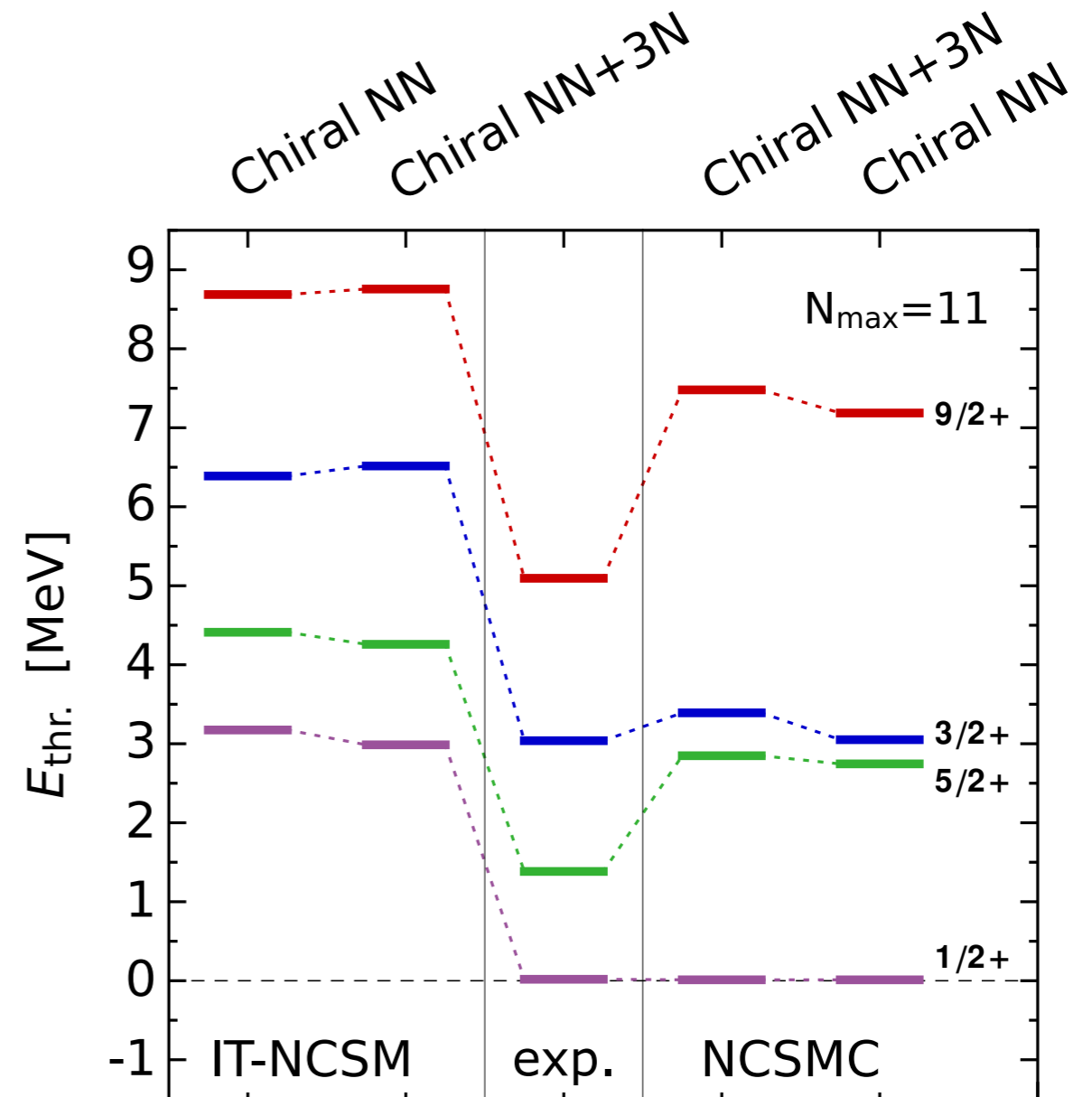
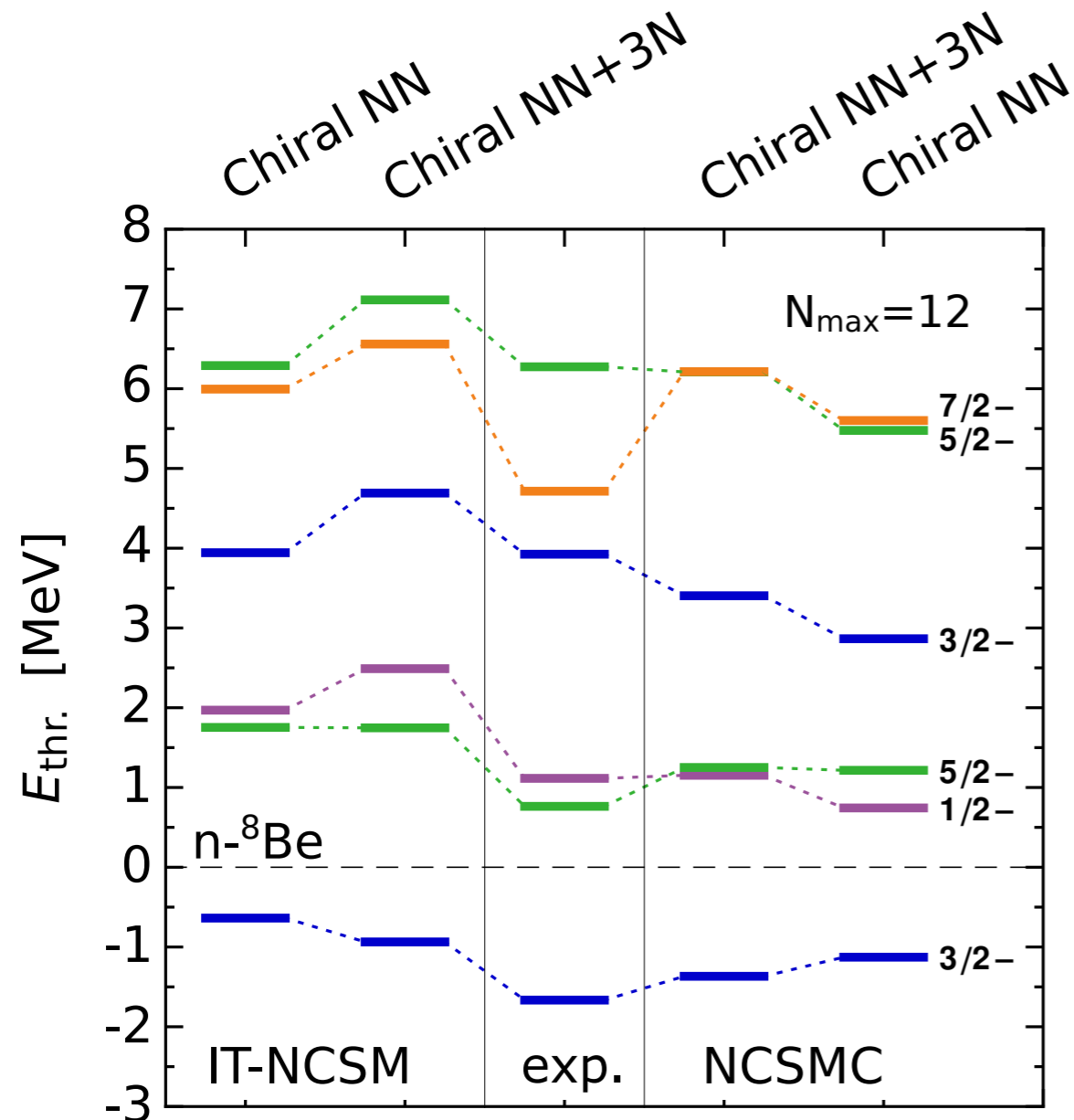


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**Treatment of continuum indispensable  
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- ▶ Proper **treatment of continuum vital** for validation of and predictions with chiral 3N interactions
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Thank you for your  
kind attention!