

Ab Initio Calculations of Medium-Mass Nuclei and Normal-Ordered Chiral NN+3N Interactions

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TECHNISCHE
UNIVERSITÄT
DARMSTADT

From QCD to Nuclear Structure

Nuclear Structure


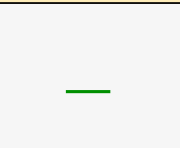

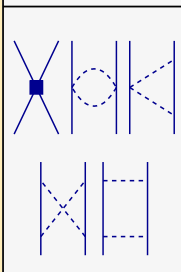

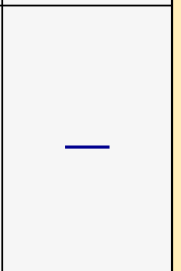
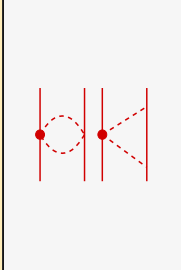
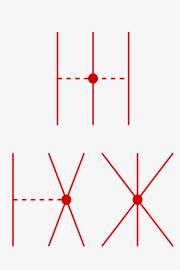
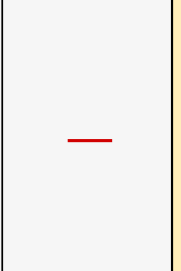
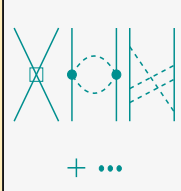
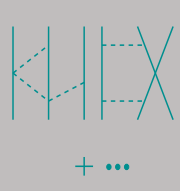
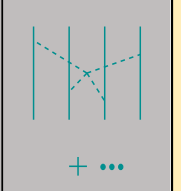
Low-Energy QCD

From QCD to Nuclear Structure

Nuclear Structure

NN+3N Interaction
from Chiral EFT

Low-Energy QCD

	NN	3N	4N
LO			
NLO			
N ² LO			
N ³ LO	 + ...	 + ...	 + ...

- derive consistent 2N & 3N forces from chiral EFT with nucleons and pions as DOF

From QCD to Nuclear Structure

Nuclear Structure

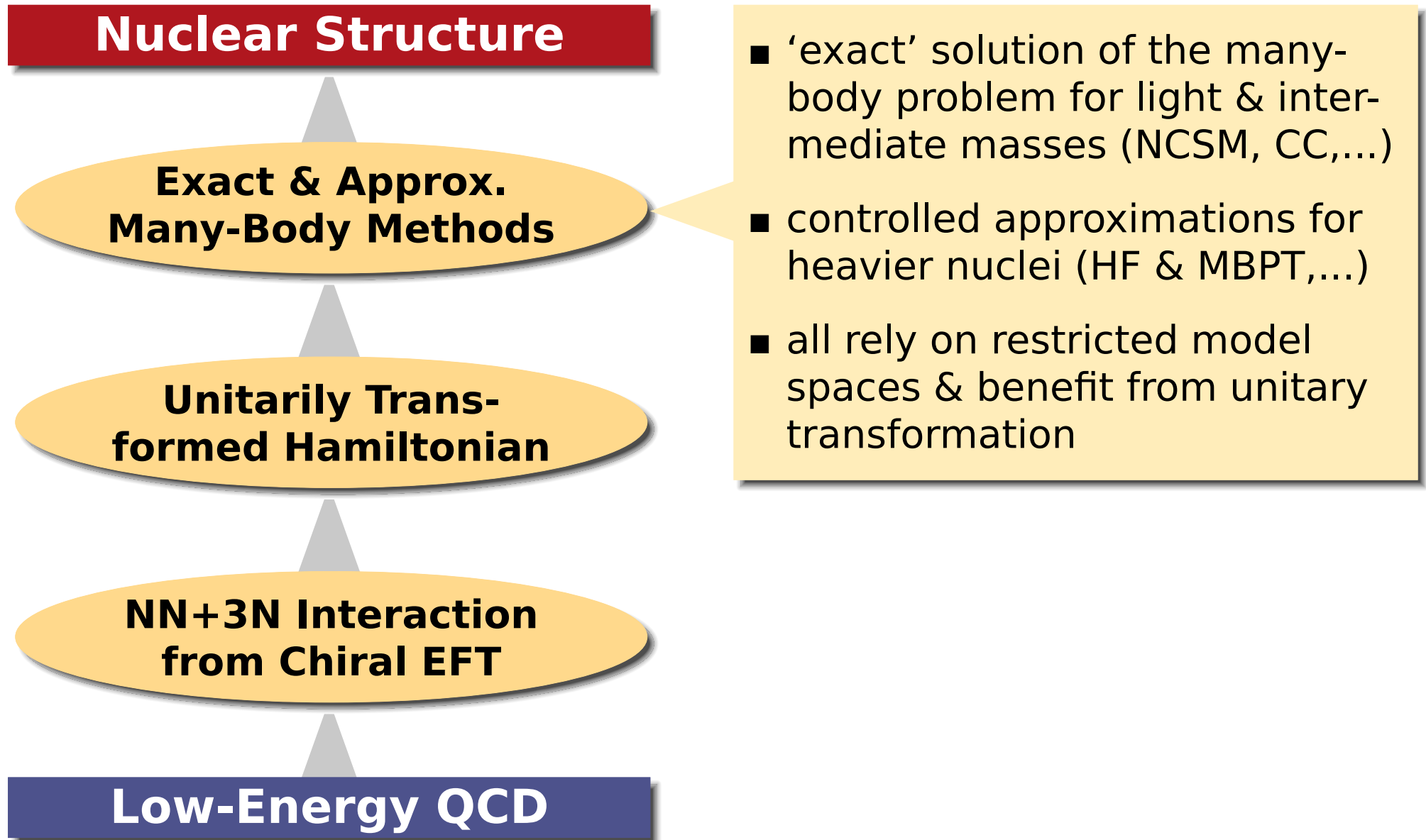
Unitarily Transformed Hamiltonian

- adapt Hamiltonian to truncated low-energy model space

NN+3N Interaction from Chiral EFT

Low-Energy QCD

From QCD to Nuclear Structure



Similarity Renormalization Group

continuous transformation driving
Hamiltonian to band-diagonal form
with respect to a chosen basis

- **unitary transformation** of Hamiltonian (and other observables)

$$\tilde{H}_\alpha = U_\alpha^\dagger H U_\alpha$$

- **evolution equations** for \tilde{H}_α and U_α depending on generator η_α

$$\frac{d}{d\alpha} \tilde{H}_\alpha = [\eta_\alpha, \tilde{H}_\alpha] \qquad \frac{d}{d\alpha} U_\alpha = -U_\alpha \eta_\alpha$$

- **dynamic generator**: commutator with the operator in whose eigenbasis H shall be diagonalized

$$\eta_\alpha = (2\mu)^2 [T_{\text{int}}, \tilde{H}_\alpha]$$

Similarity Renormalization Group

continuous transformation driving
Hamiltonian to band-diagonal form
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- **unitary transformation** of Hamiltonian

$$\tilde{H}_\alpha = U_\alpha^\dagger H U_\alpha$$

simplicity and flexibility
are great advantages of
the SRG approach

- **evolution equations** for \tilde{H}_α and U_α

$$\frac{d}{d\alpha} \tilde{H}_\alpha = [\eta_\alpha, \tilde{H}_\alpha]$$

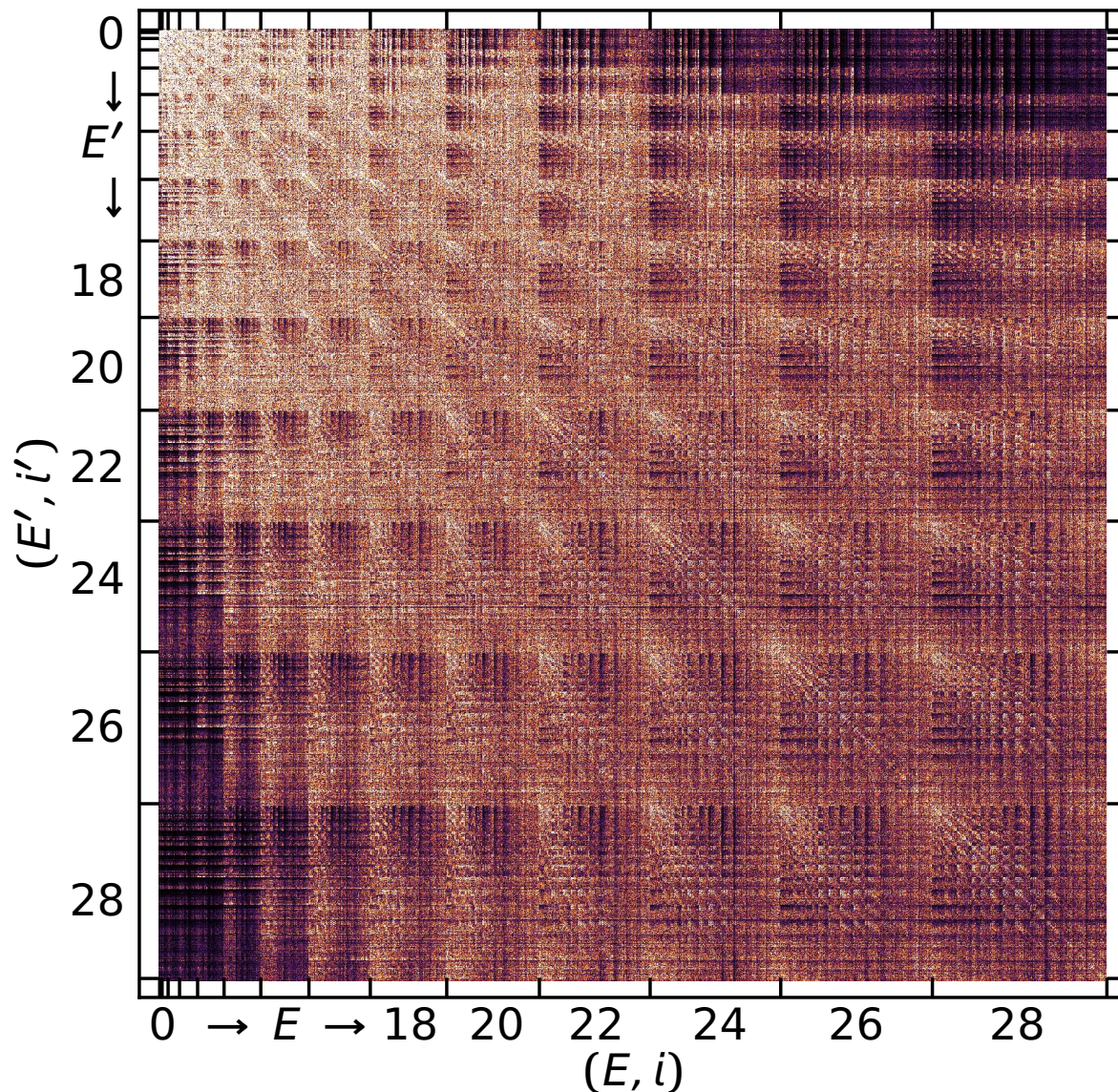
solve SRG evolution
equations using two- &
three-body matrix
representation

- **dynamic generator**: commutator with the operator in whose eigenbasis H shall be diagonalized

$$\eta_\alpha = (2\mu)^2 [T_{\text{int}}, \tilde{H}_\alpha]$$

SRG Evolution in Three-Body Space

3B-Jacobi HO matrix elements

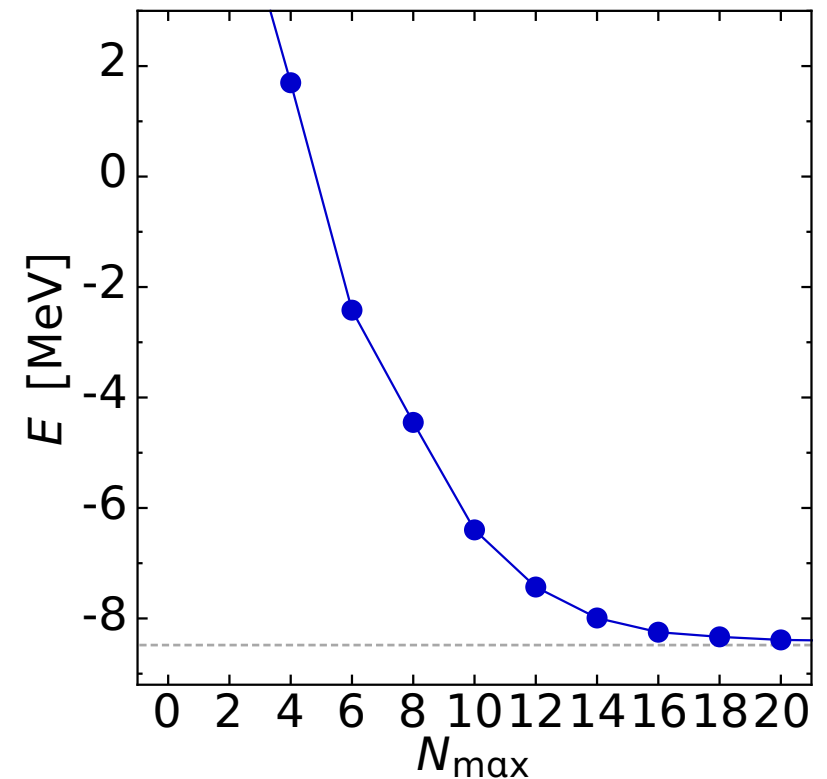


chiral NN+3N

$N^3\text{LO} + N^2\text{LO}$, triton-fit, 500 MeV

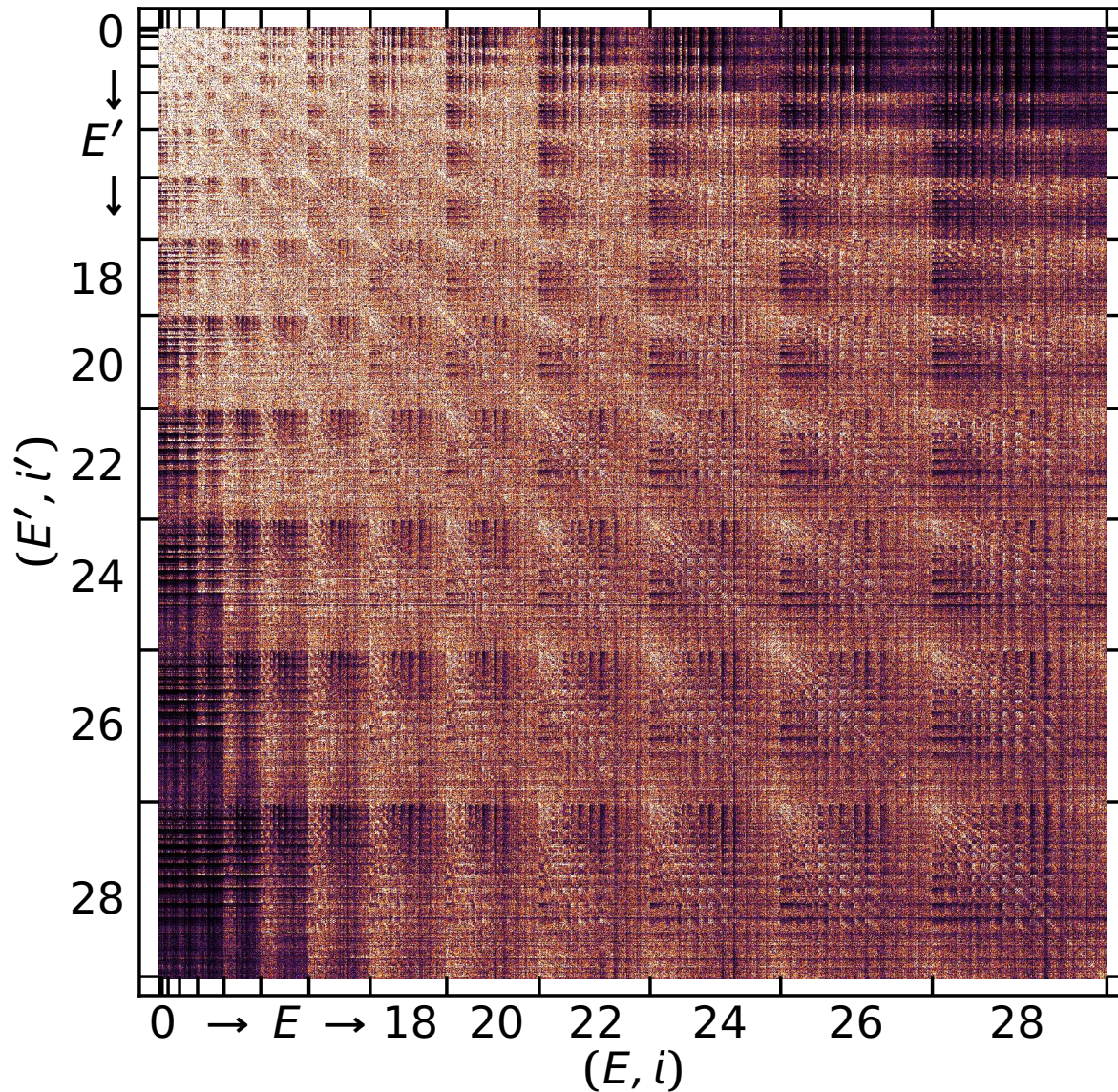
$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$

NCSM ground state ${}^3\text{H}$



SRG Evolution in Three-Body Space

3B-Jacobi HO matrix elements

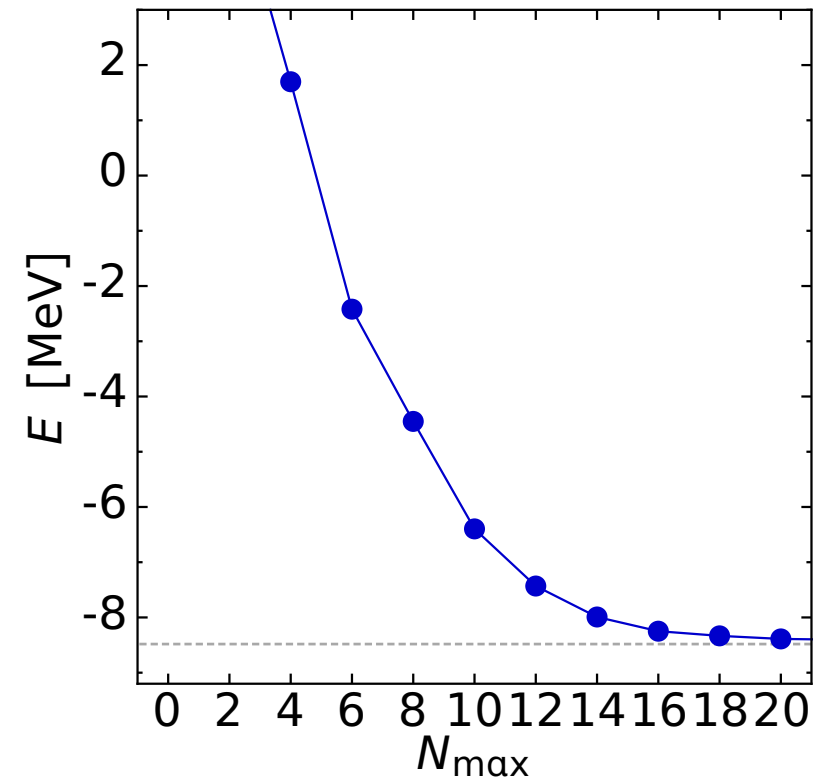


$$\alpha = 0.000 \text{ fm}^4$$

$$\Lambda = \infty \text{ fm}^{-1}$$

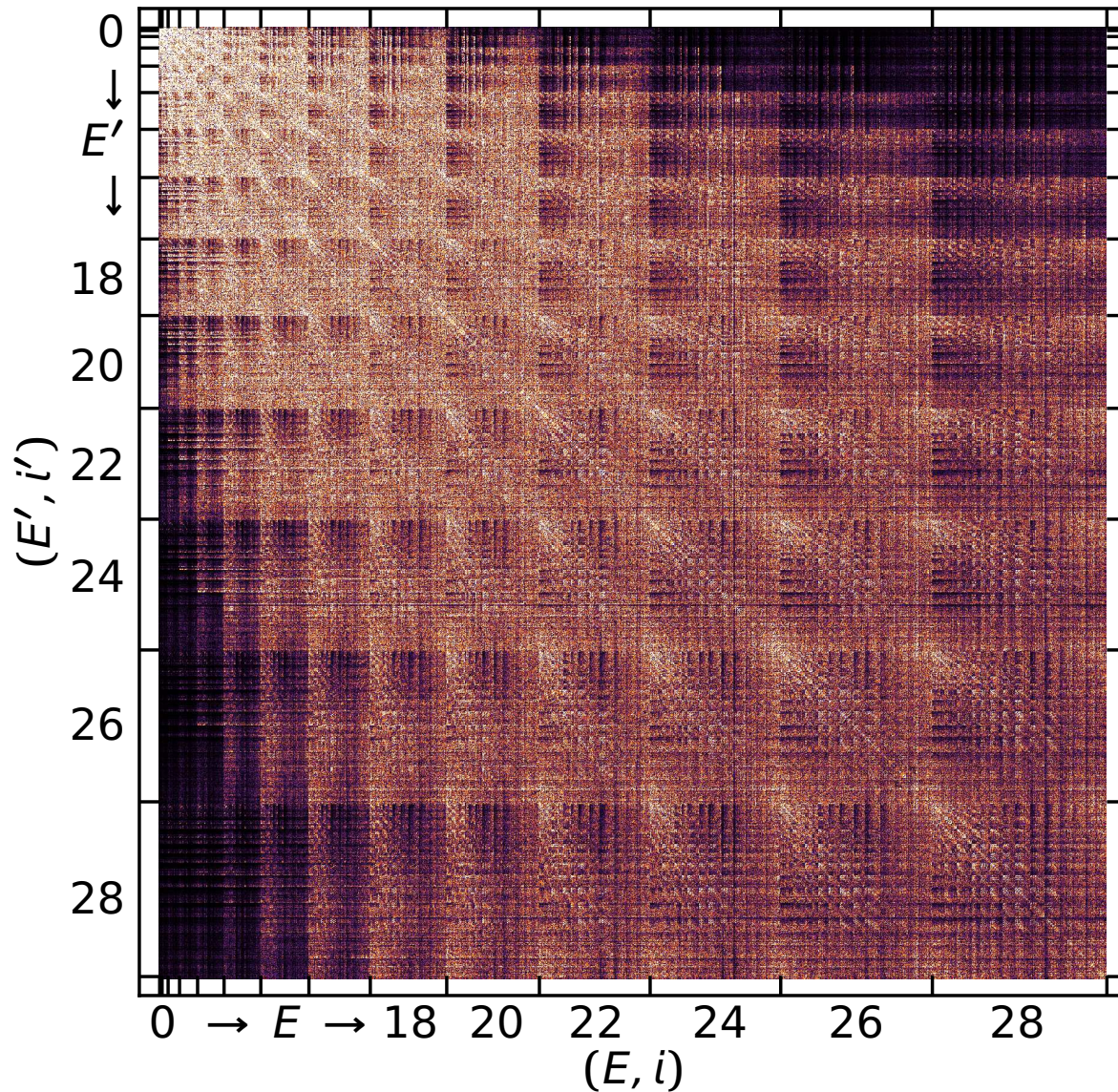
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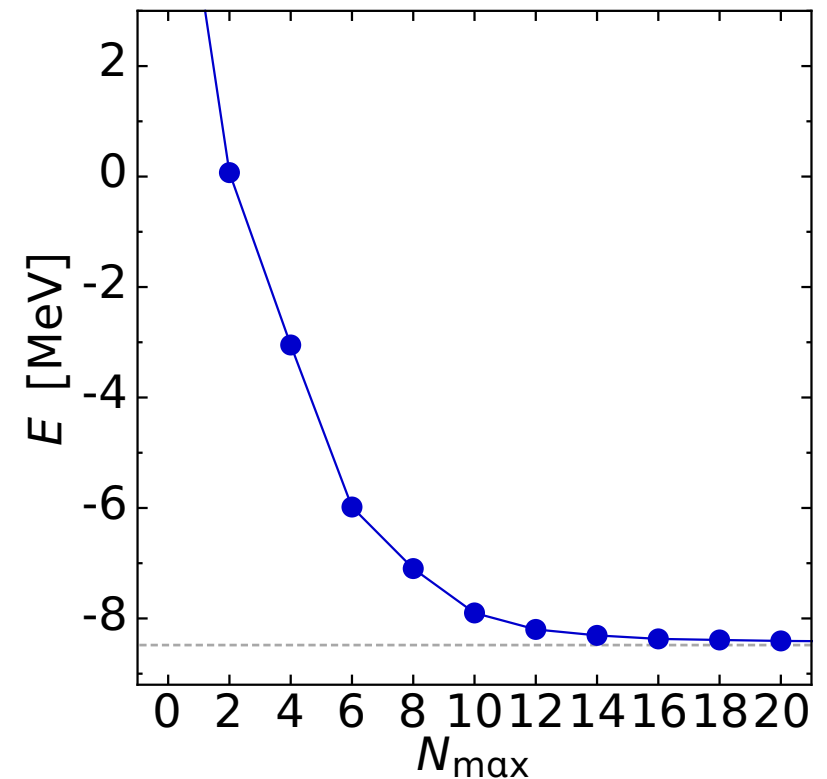


$$\alpha = 0.010 \text{ fm}^4$$

$$\Lambda = 3.16 \text{ fm}^{-1}$$

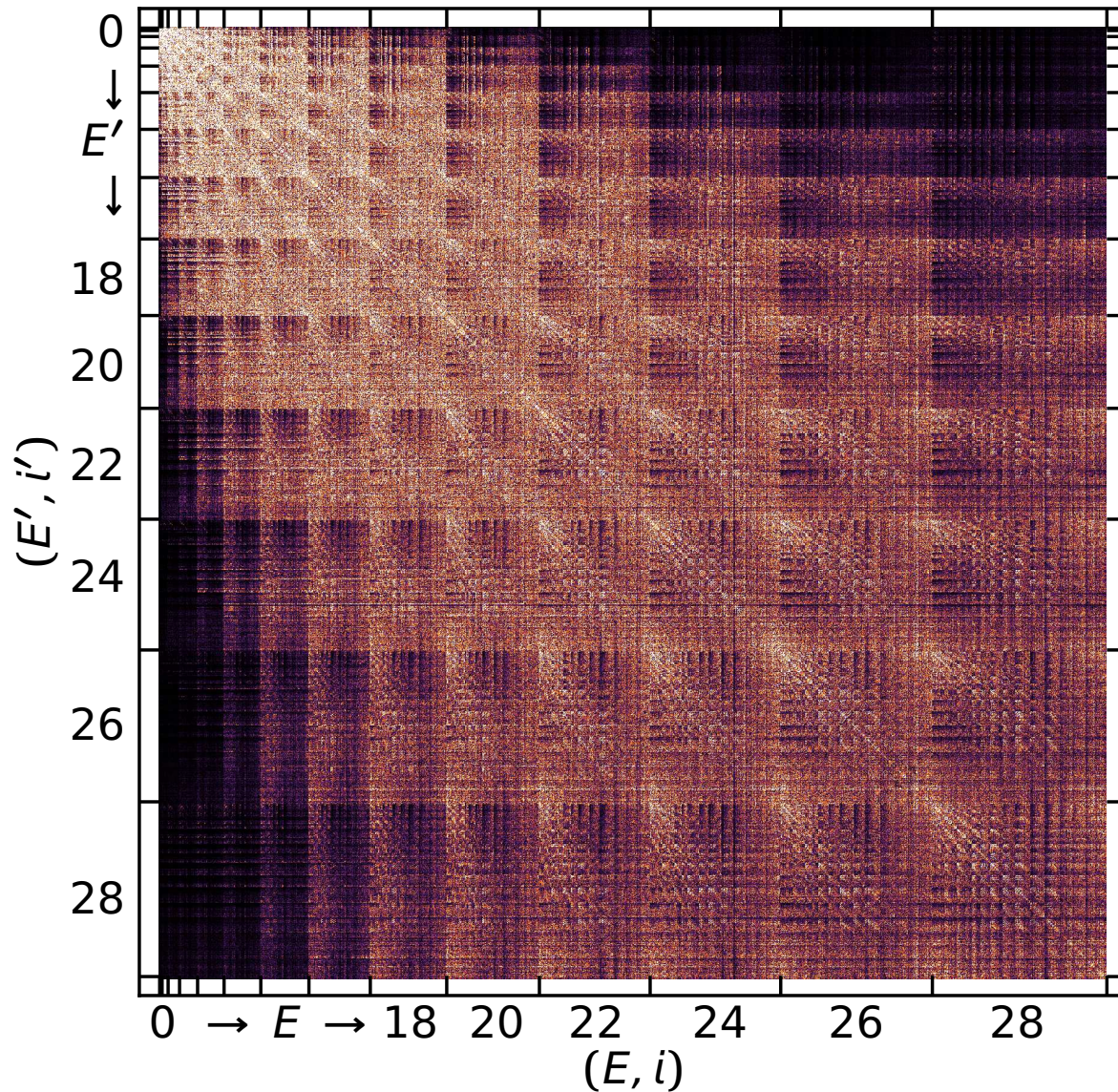
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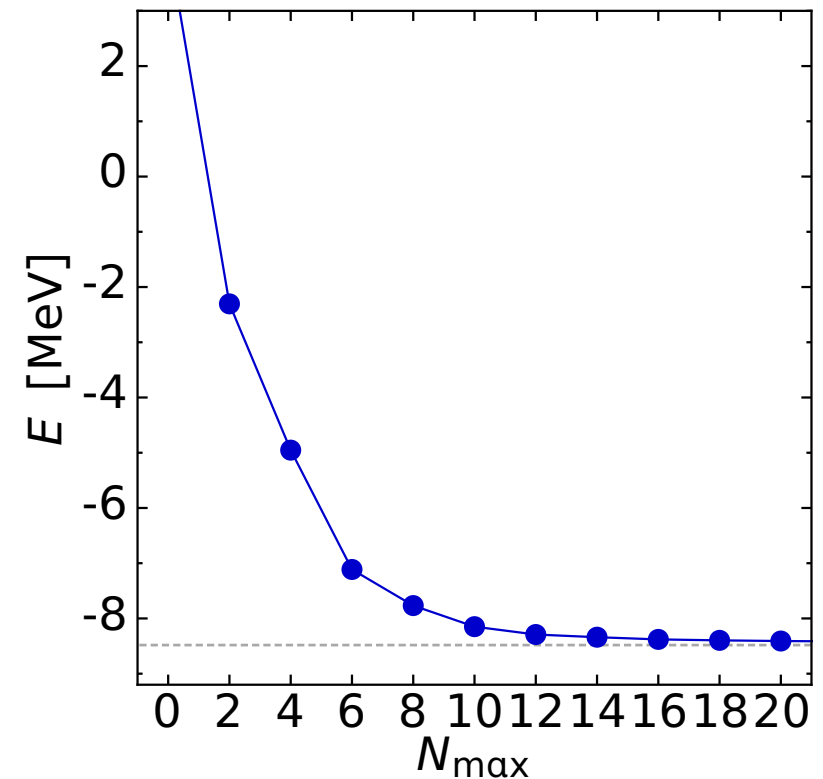


$$\alpha = 0.020 \text{ fm}^4$$

$$\Lambda = 2.66 \text{ fm}^{-1}$$

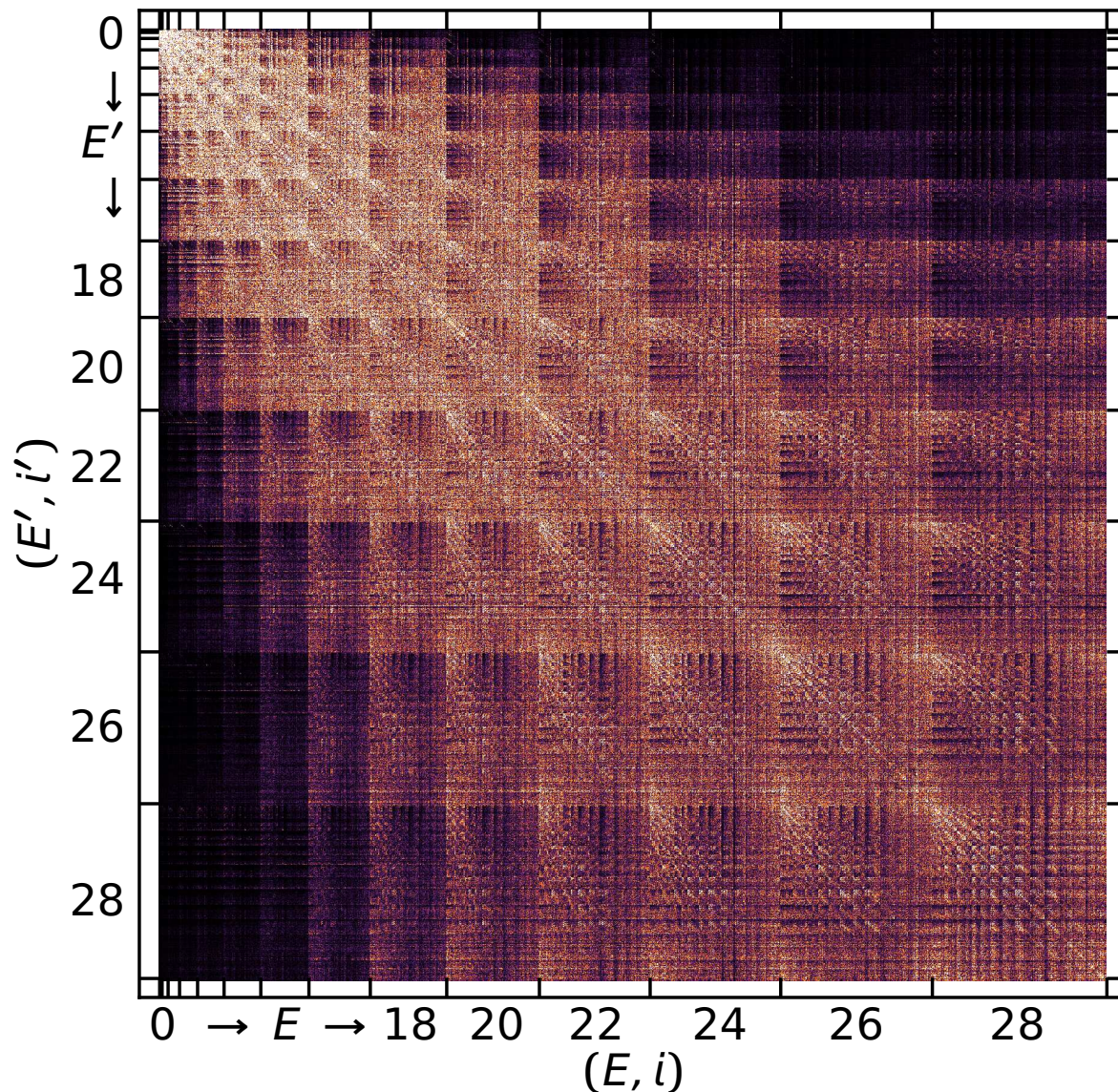
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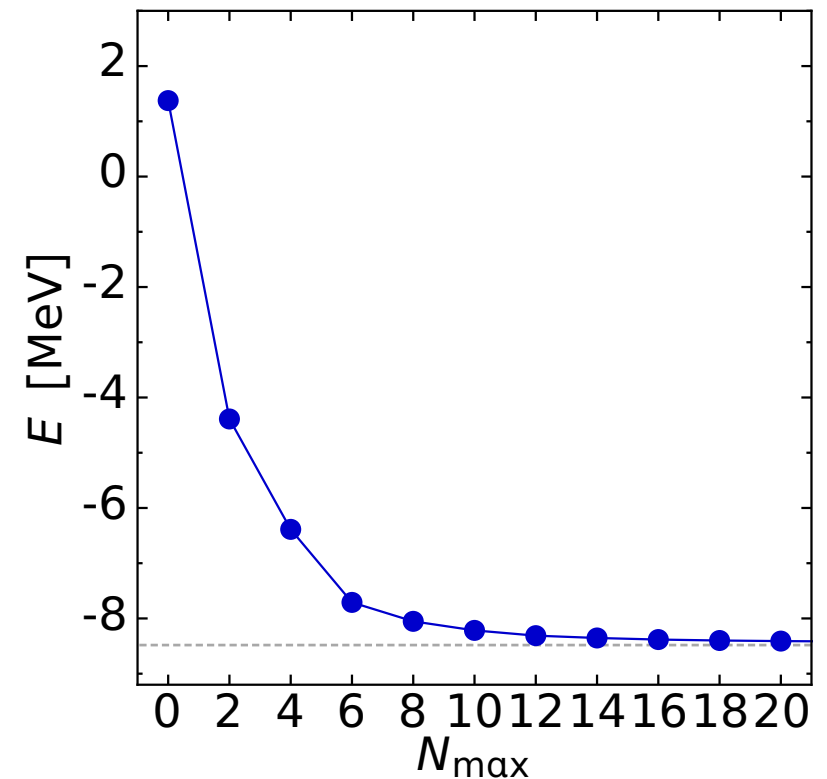


$$\alpha = 0.040 \text{ fm}^4$$

$$\Lambda = 2.24 \text{ fm}^{-1}$$

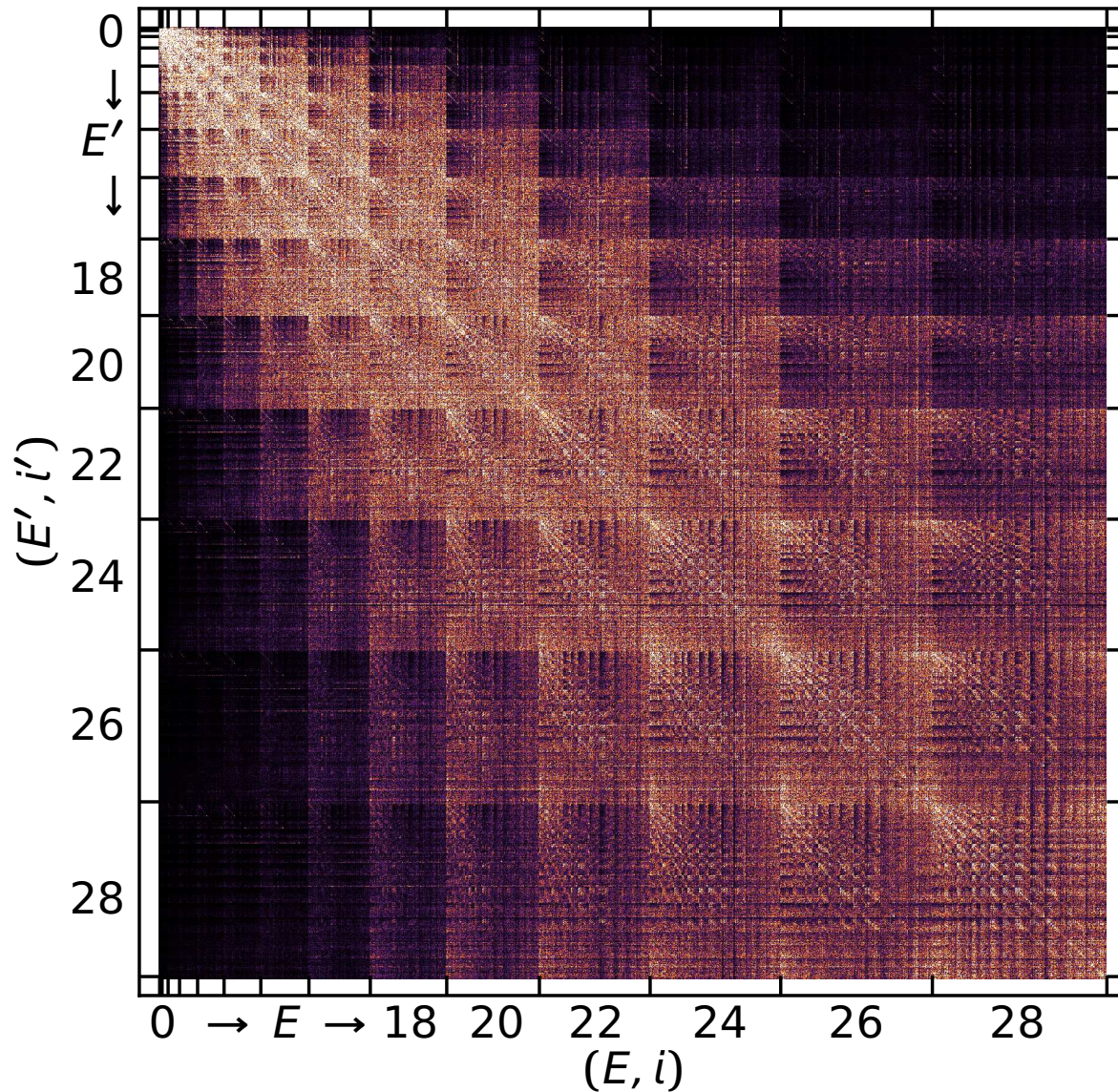
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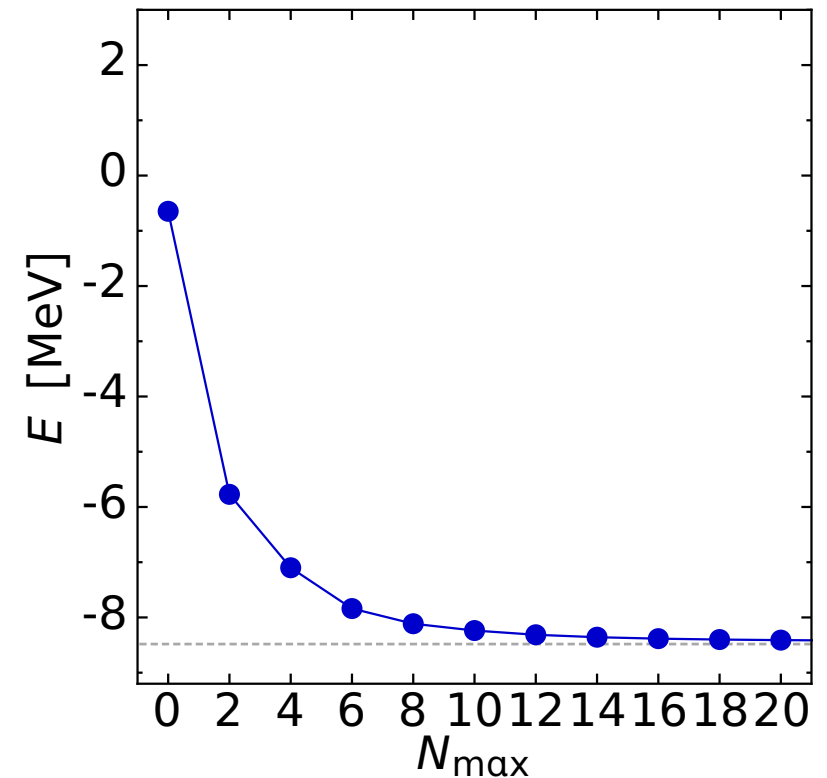


$$\alpha = 0.080 \text{ fm}^4$$

$$\Lambda = 1.88 \text{ fm}^{-1}$$

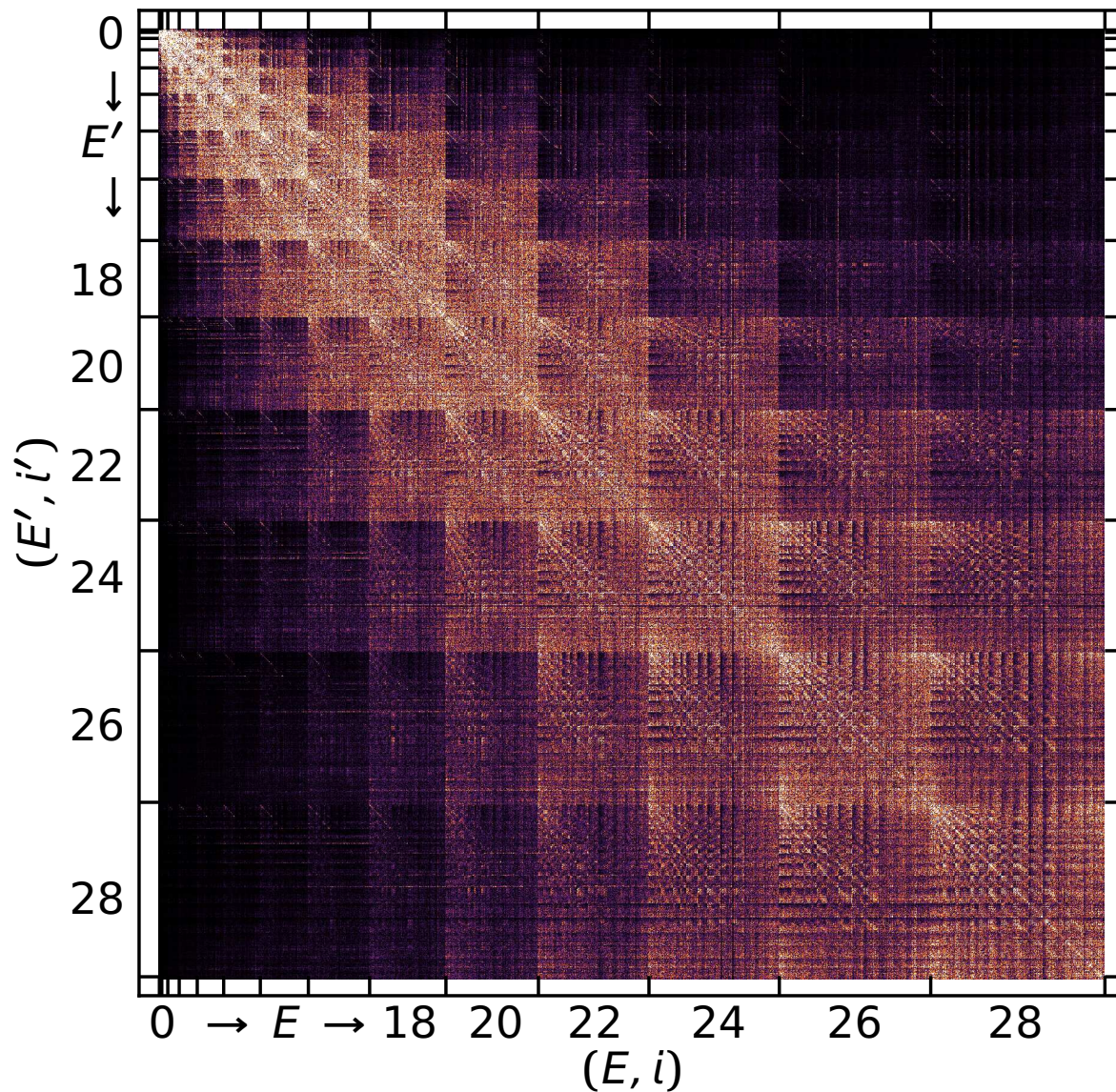
$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 28 \text{ MeV}$$

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SRG Evolution in Three-Body Space

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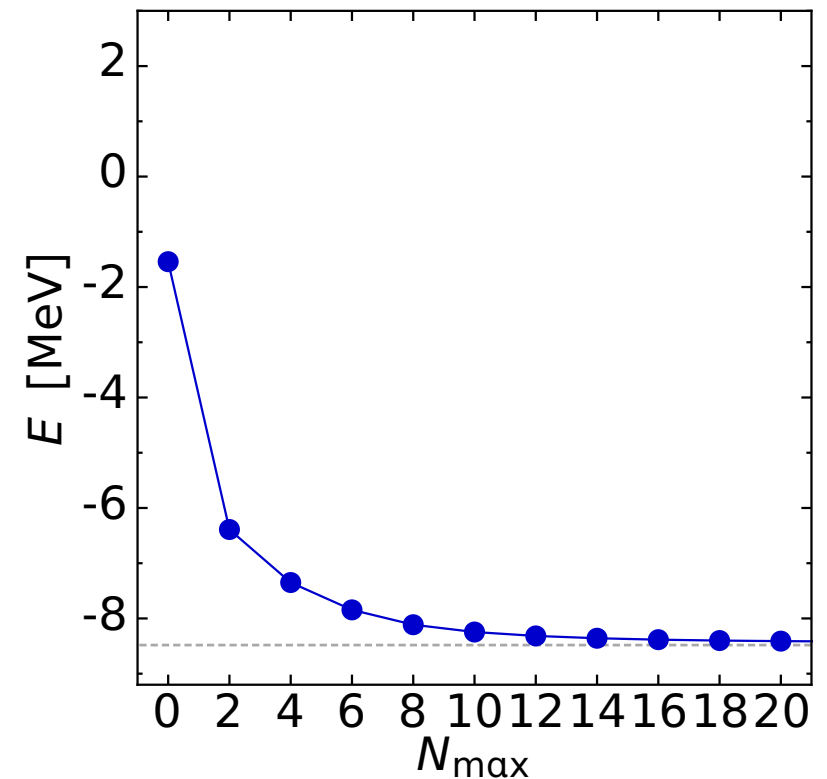


$$\alpha = 0.160 \text{ fm}^4$$

$$\Lambda = 1.58 \text{ fm}^{-1}$$

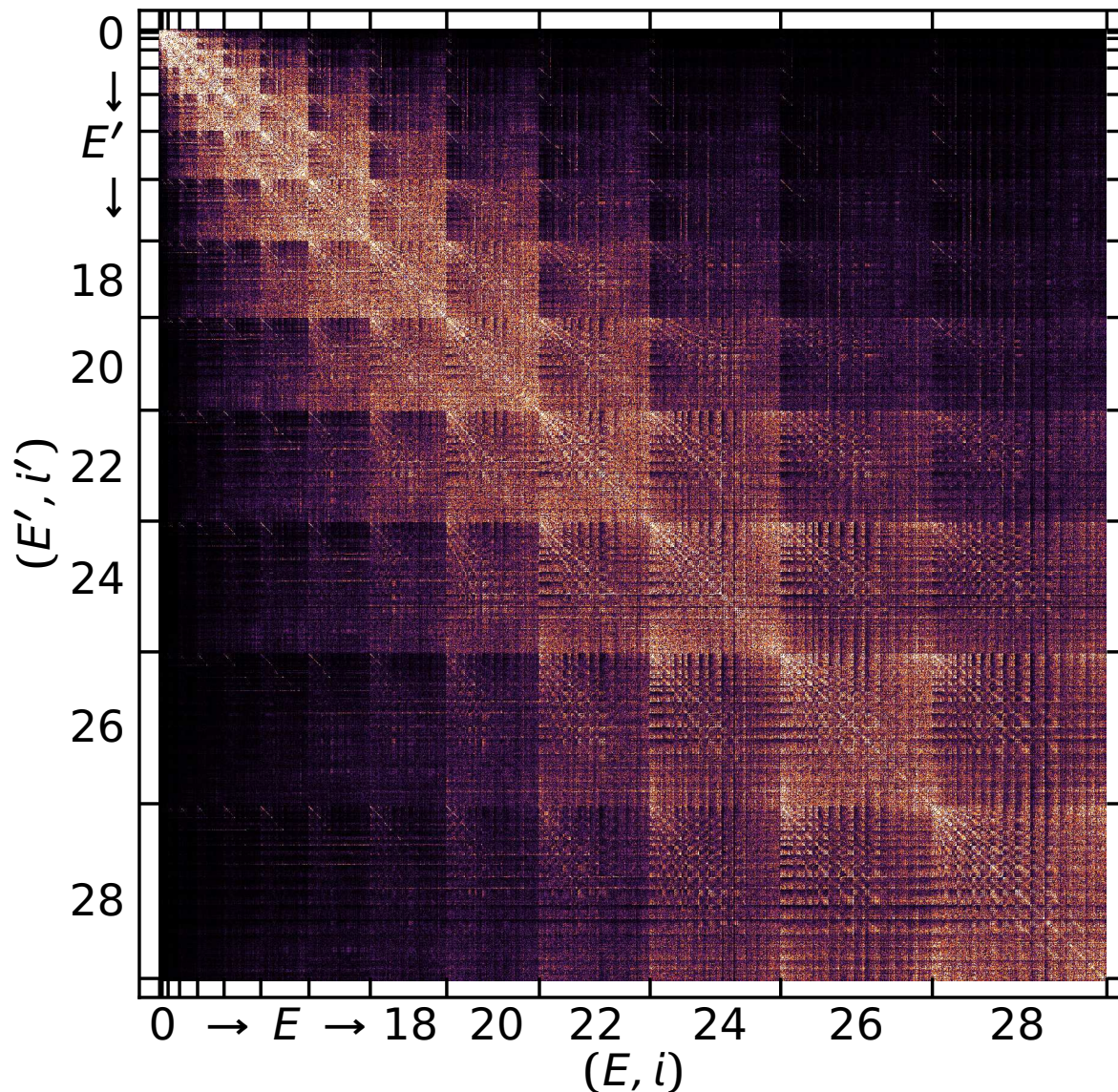
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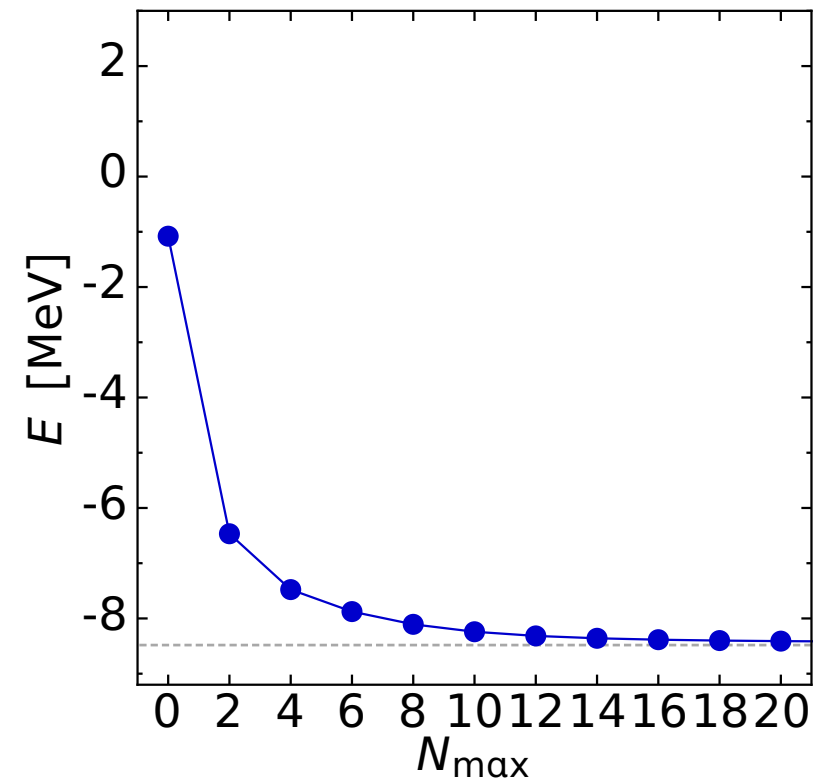


$$\alpha = 0.320 \text{ fm}^4$$

$$\Lambda = 1.33 \text{ fm}^{-1}$$

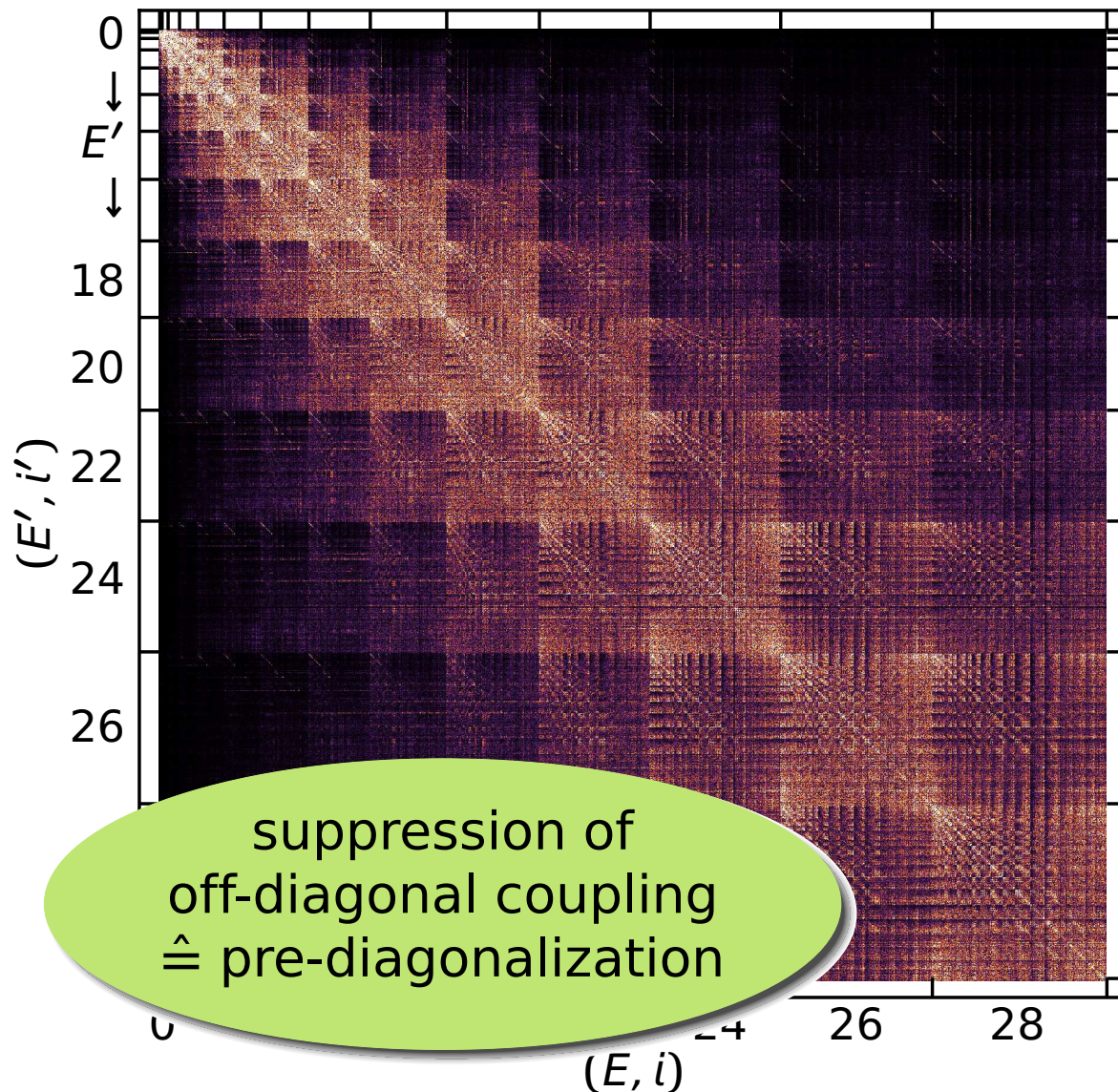
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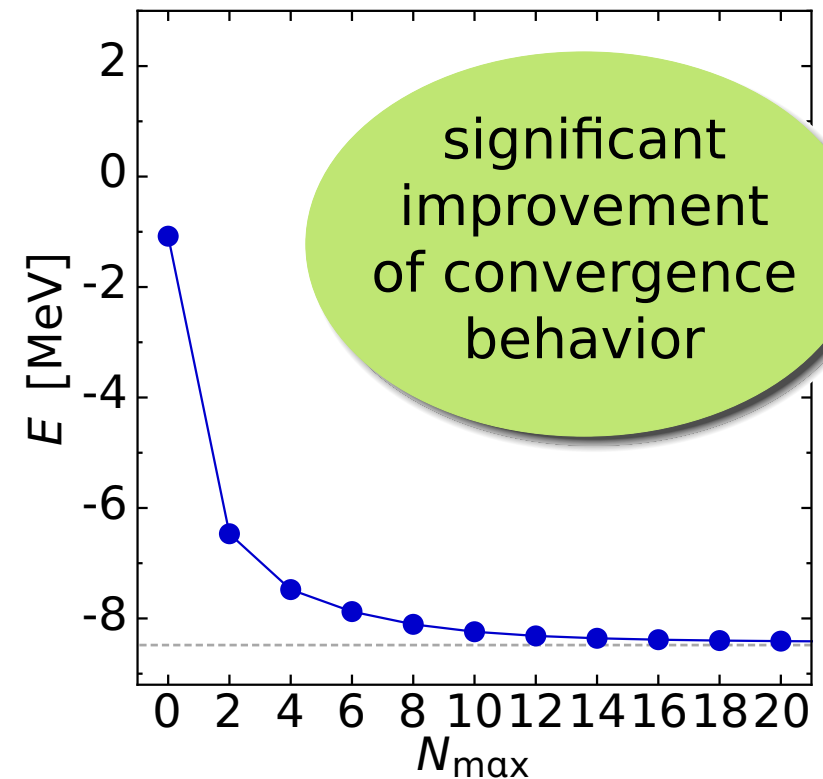


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Calculations in A-Body Space

- evolution **induces n -body contributions** $\tilde{H}_\alpha^{[n]}$ to Hamiltonian

$$\tilde{H}_\alpha = \tilde{H}_\alpha^{[1]} + \tilde{H}_\alpha^{[2]} + \tilde{H}_\alpha^{[3]} + \tilde{H}_\alpha^{[4]} + \dots$$

- truncation of cluster series inevitable — formally destroys unitarity and invariance of energy eigenvalues (independence of α)

Three SRG-Evolved Hamiltonians

- **NN only**: start with NN initial Hamiltonian and keep two-body terms only
- **NN+3N-induced**: start with NN initial Hamiltonian and keep two- and induced three-body terms
- **NN+3N-full**: start with NN+3N initial Hamiltonian and keep two- and all three-body terms

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- truncation of cluster series inevitable
and invariance of energy eigenvalues

α -variation provides a **diagnostic tool** to assess the contributions of omitted many-body interactions

Three SRG-Evolved Hamiltonians

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Importance-Truncated No-Core Shell Model

Roth, Langhammer, Calci et al. — Phys. Rev. Lett. 107, 072501 (2011)

Navrátil et al. — Phys. Rev. C 82, 034609 (2010)

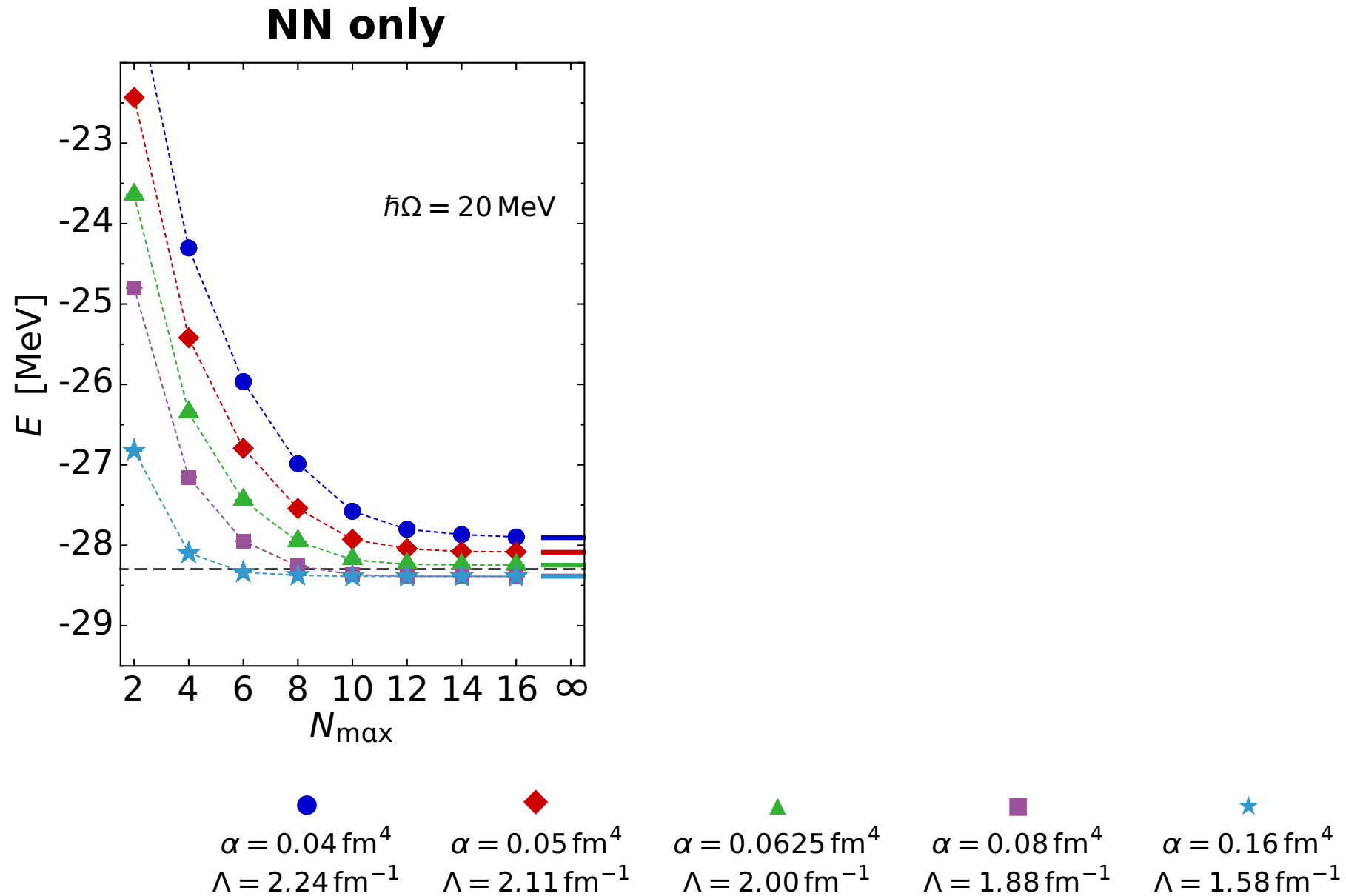
Roth — Phys. Rev. C 79, 064324 (2009)

Importance Truncated NCSM

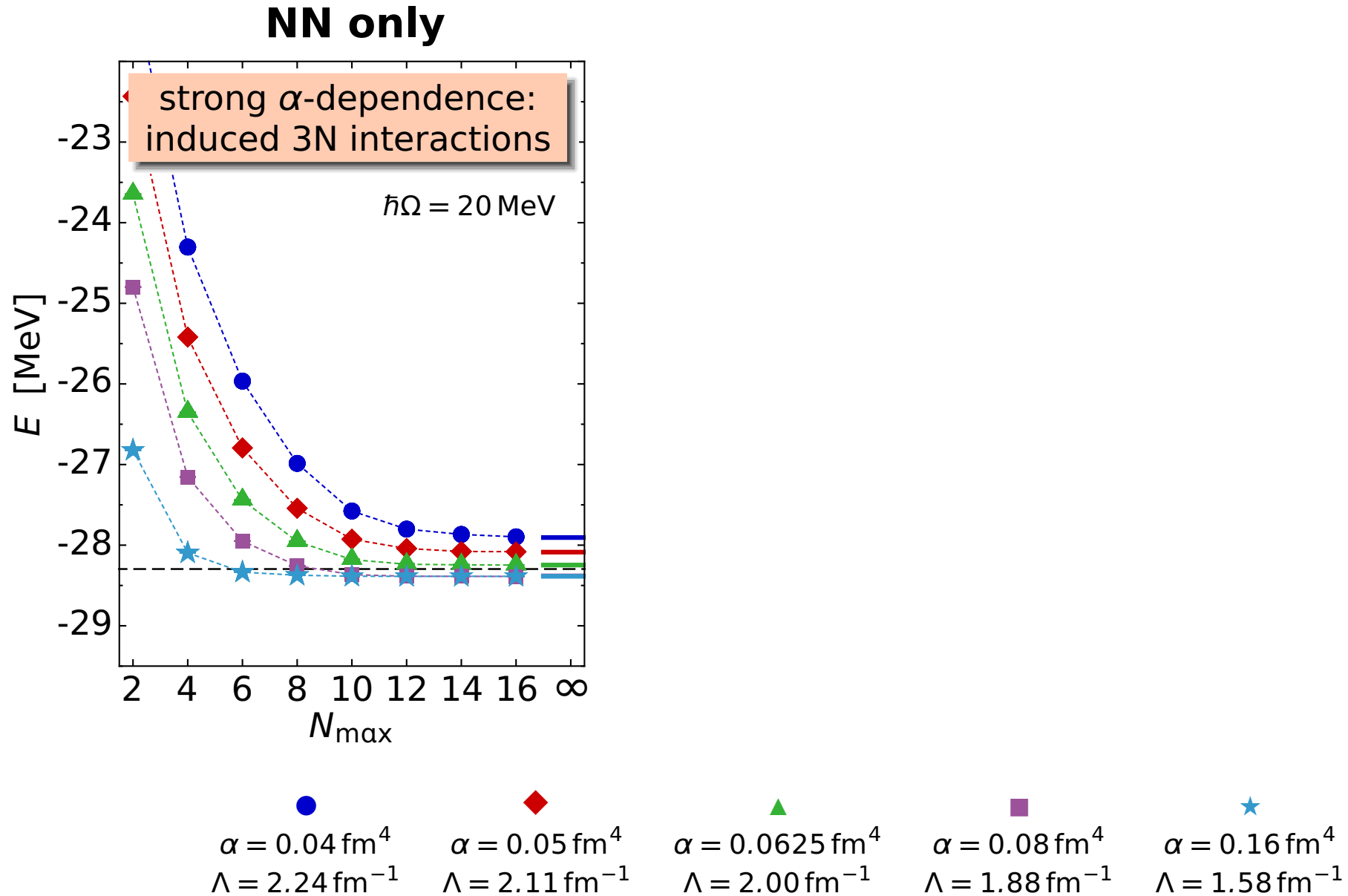
NCSM is one of the most powerful and universal exact ab-initio methods

- construct matrix representation of Hamiltonian using a **basis of HO Slater determinants** truncated w.r.t. HO excitation energy $N_{\max}\hbar\Omega$
- solve **large-scale eigenvalue problem** for a few extremal eigenvalues
- **all relevant observables** can be computed from the eigenstates
- range of applicability limited by **factorial growth** of basis with N_{\max} & A
- adaptive **importance truncation** extends the range of NCSM by reducing the model space to physically relevant states
- we have developed a **parallelized IT-NCSM/NCSM code** capable of handling $3N$ matrix elements up to $E_{3\max} = 16$

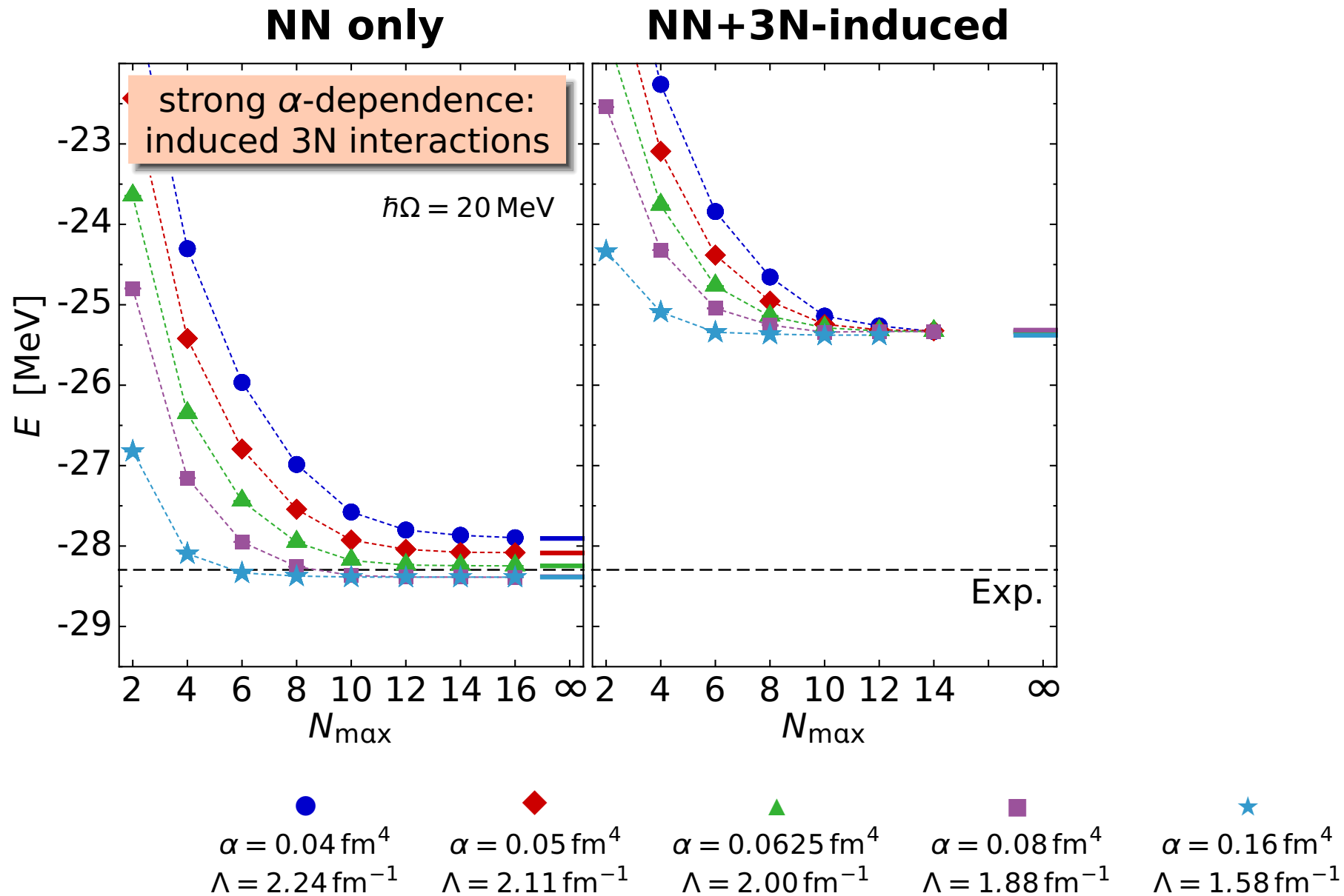
${}^4\text{He}$: Ground-State Energies



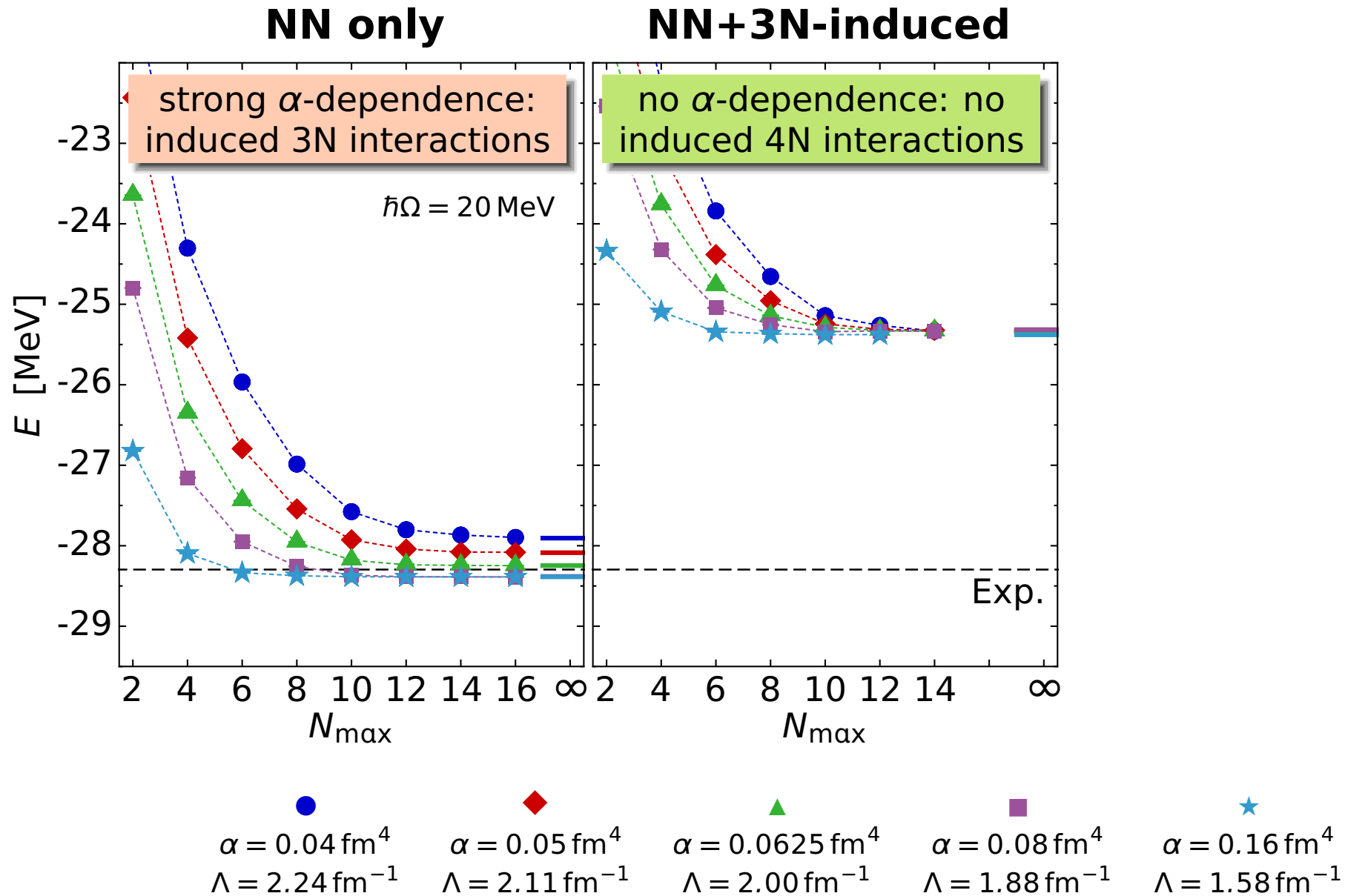
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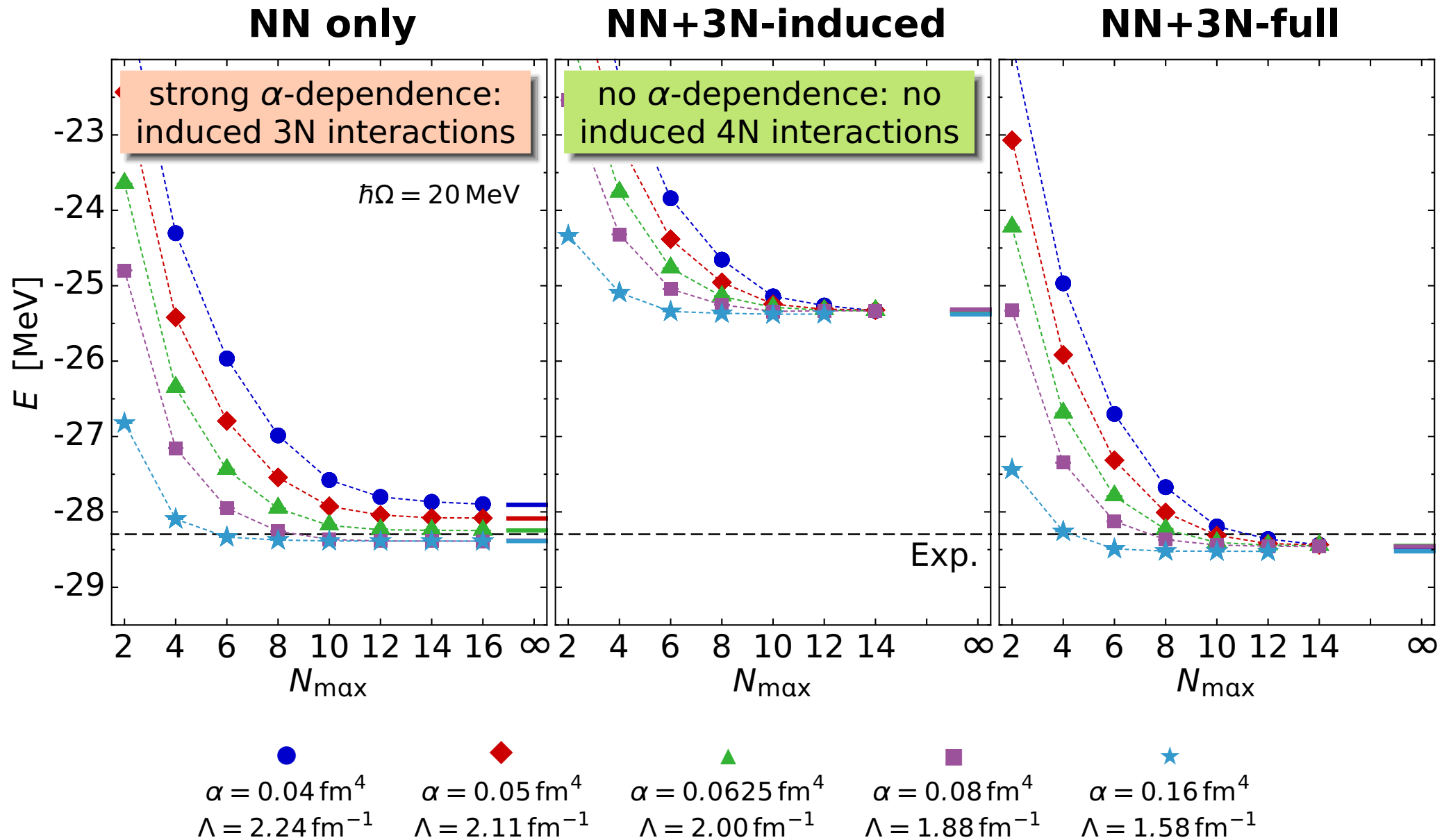
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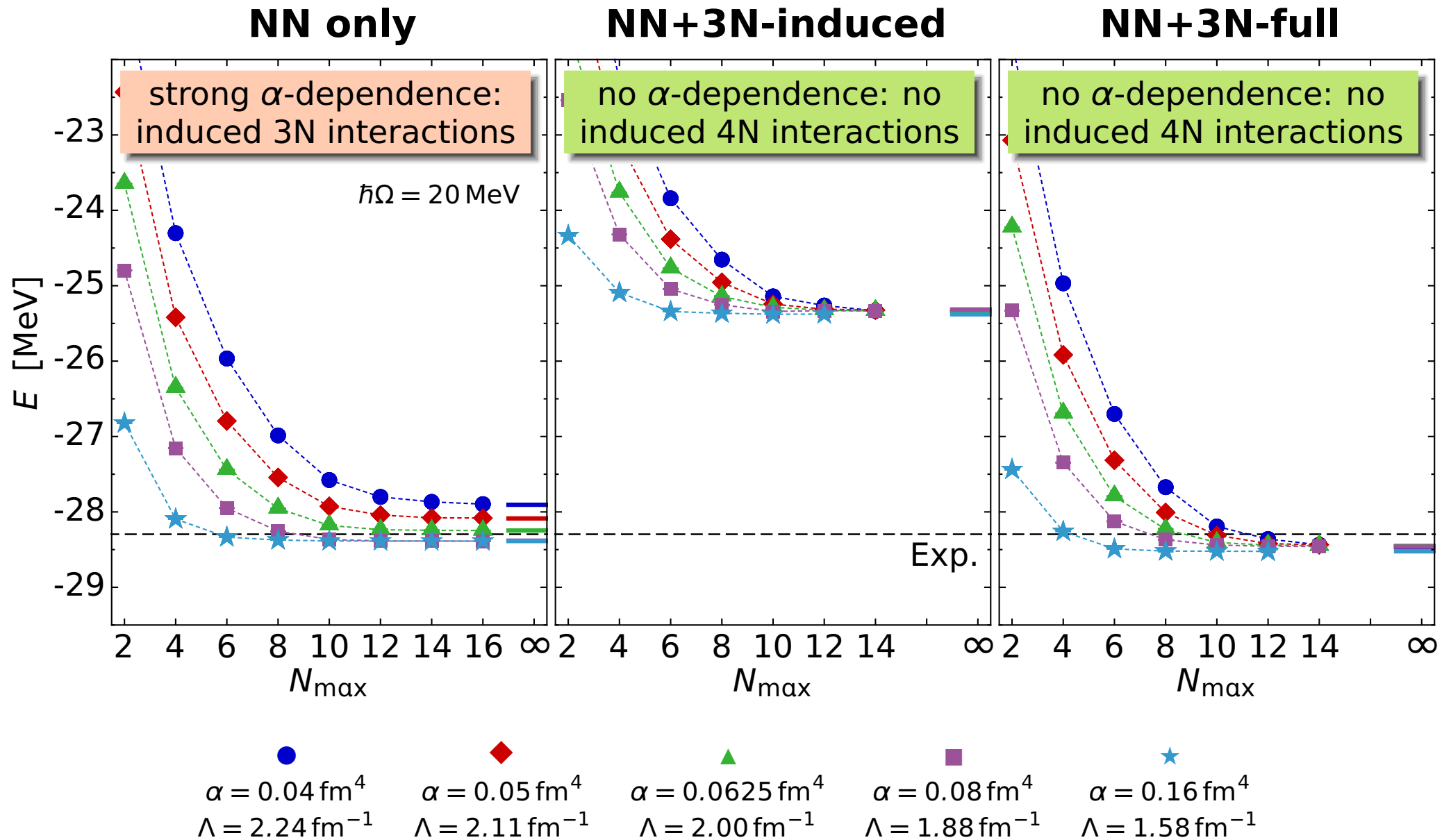
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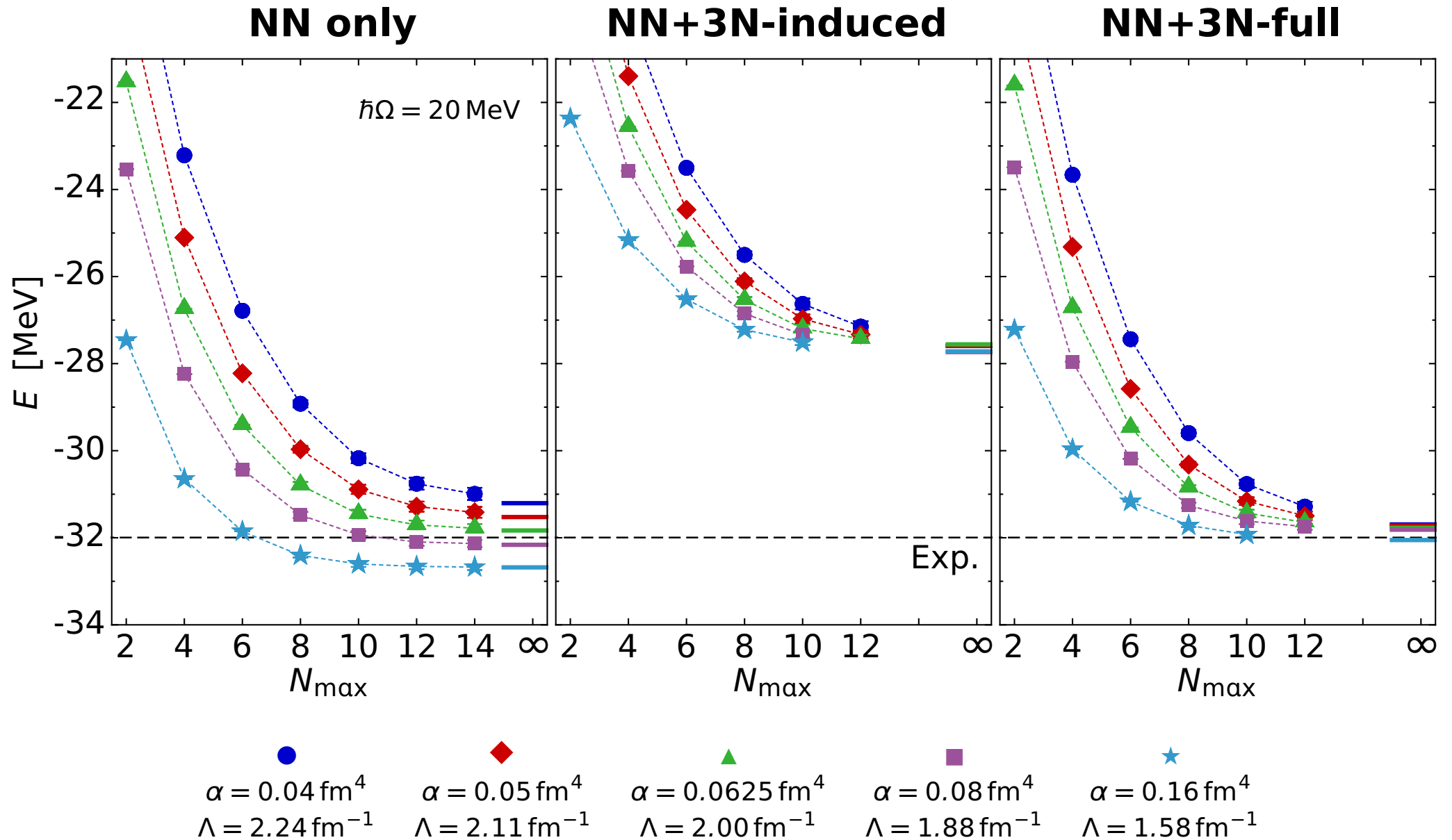
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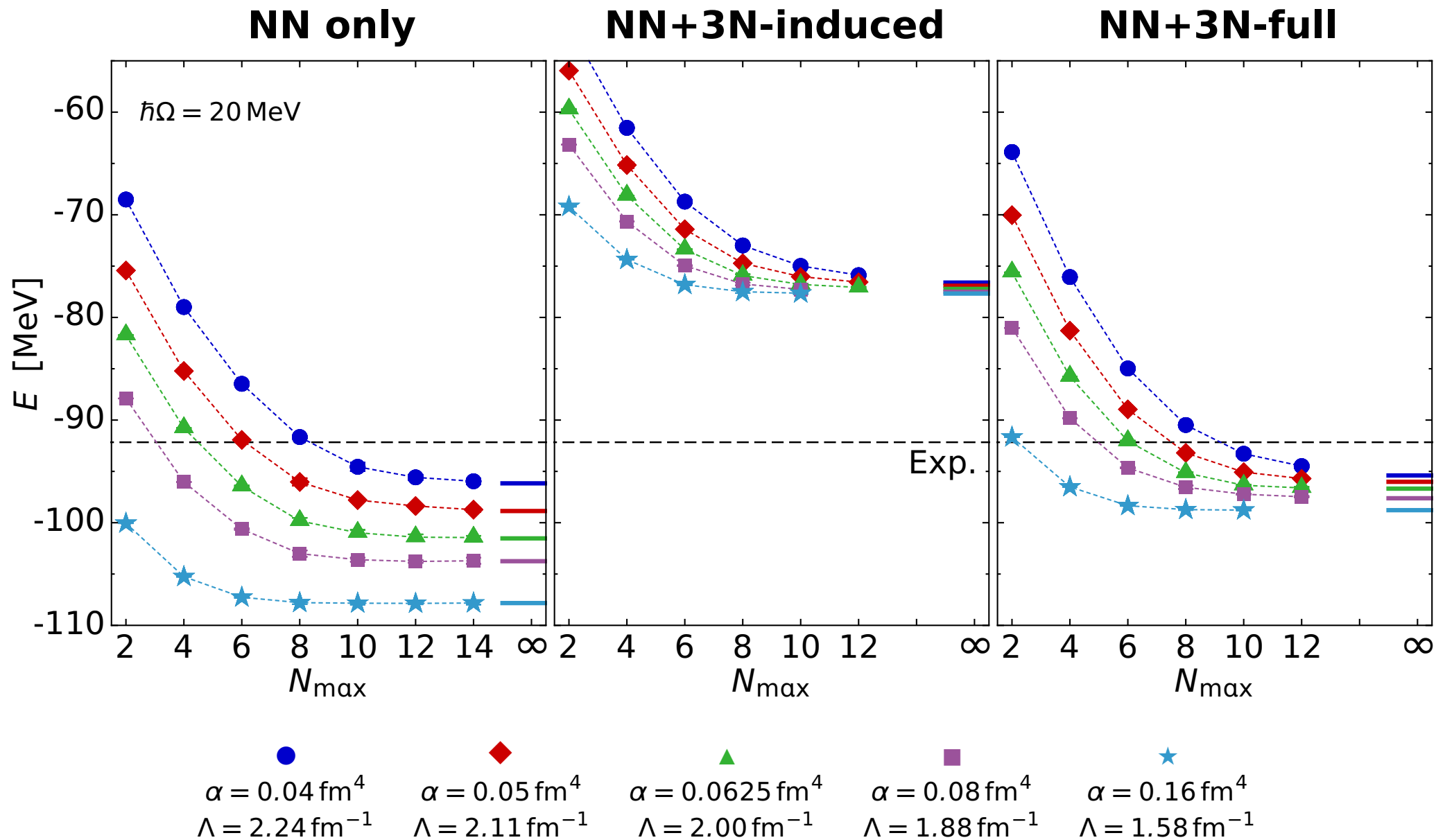
^4He : Ground-State Energies



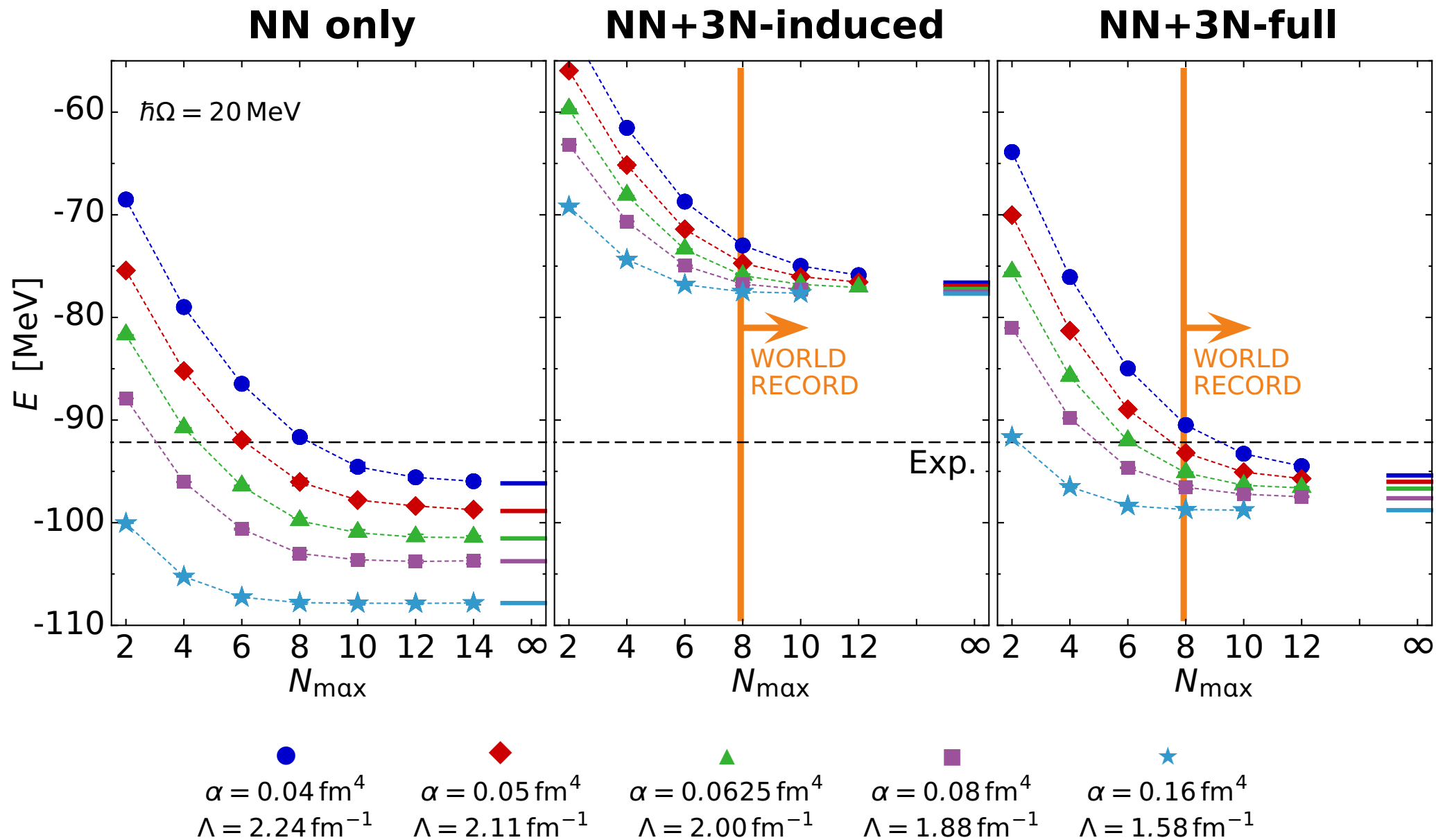
${}^6\text{Li}$: Ground-State Energies



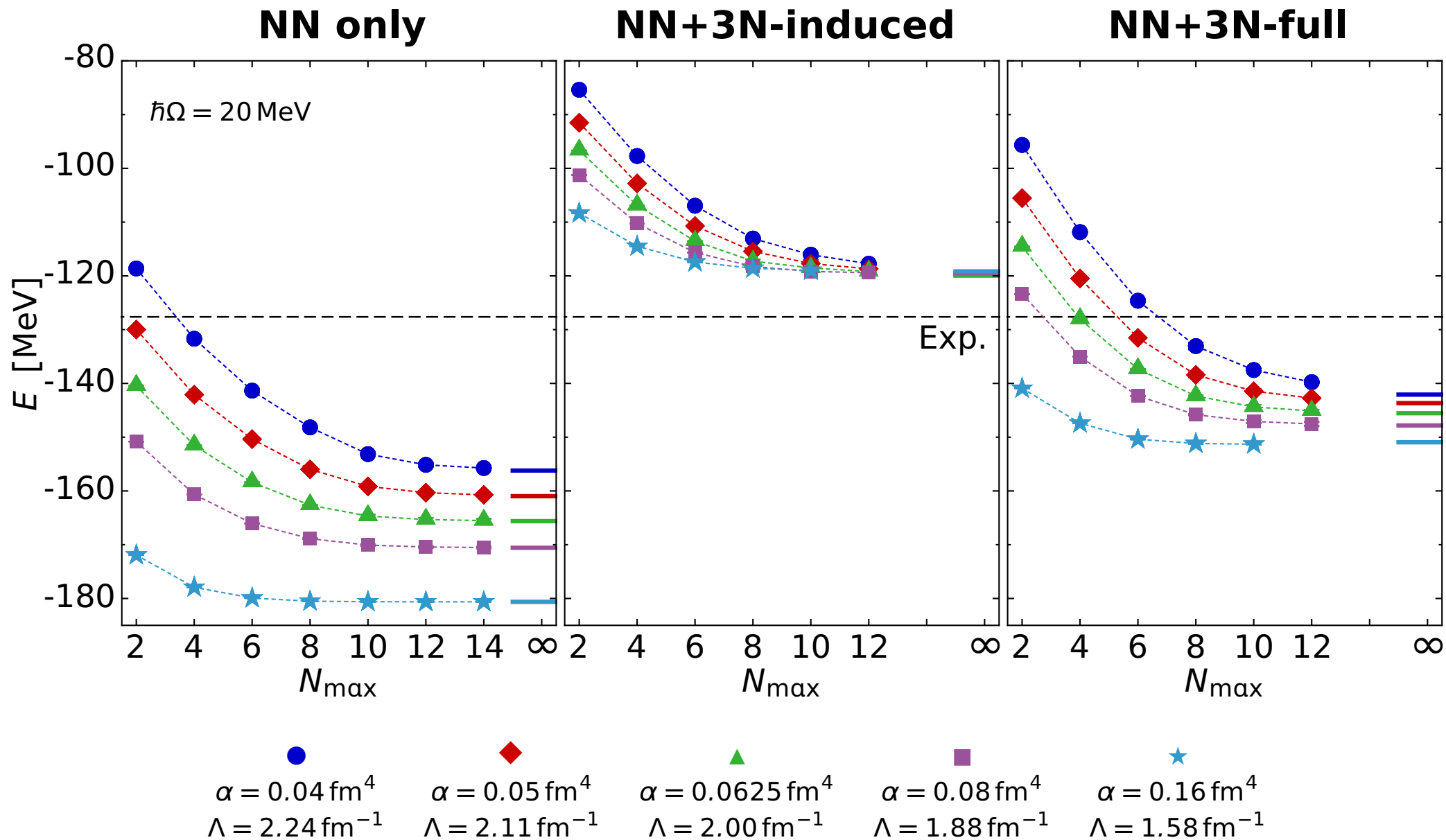
^{12}C : Ground-State Energies



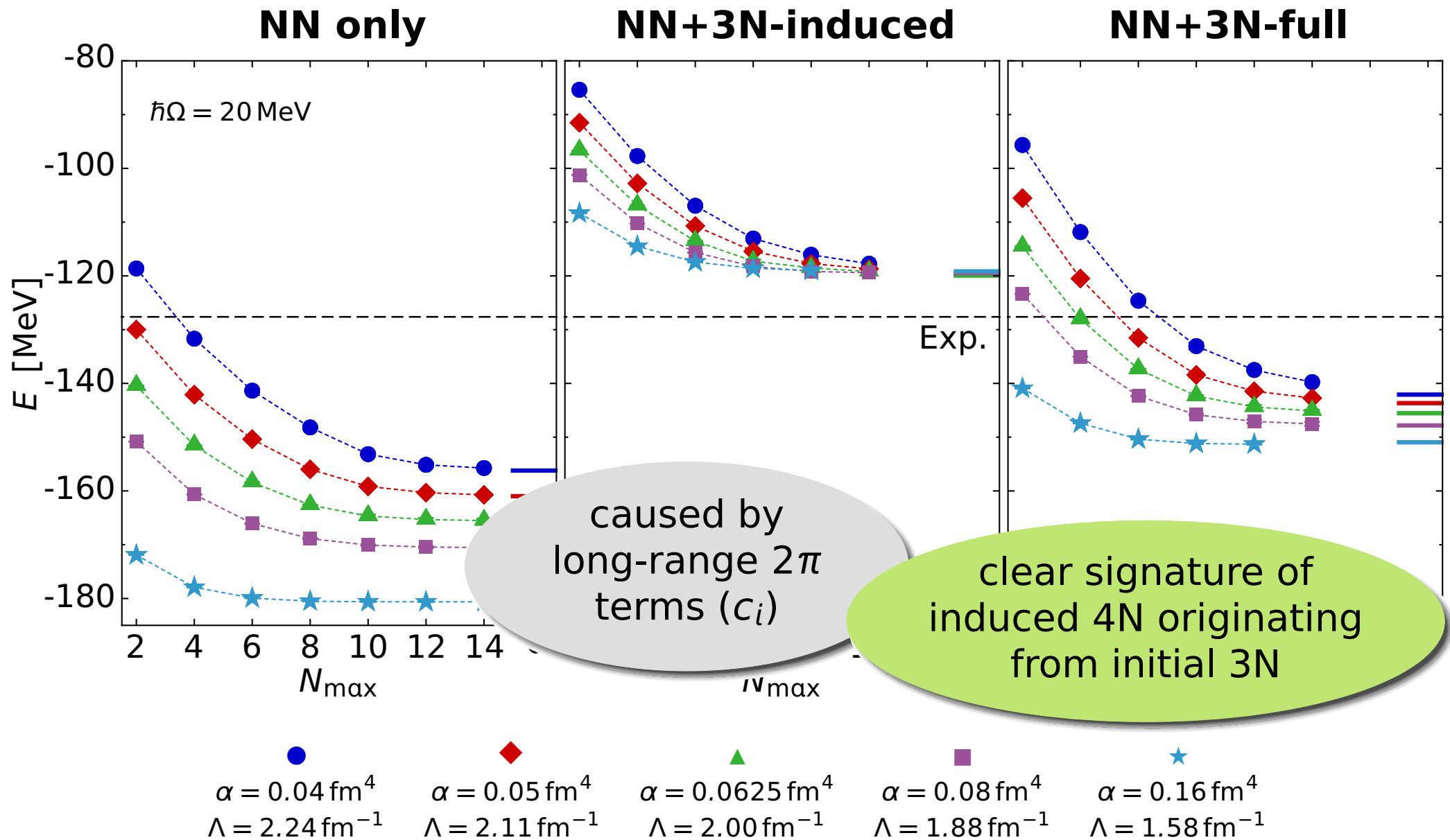
^{12}C : Ground-State Energies



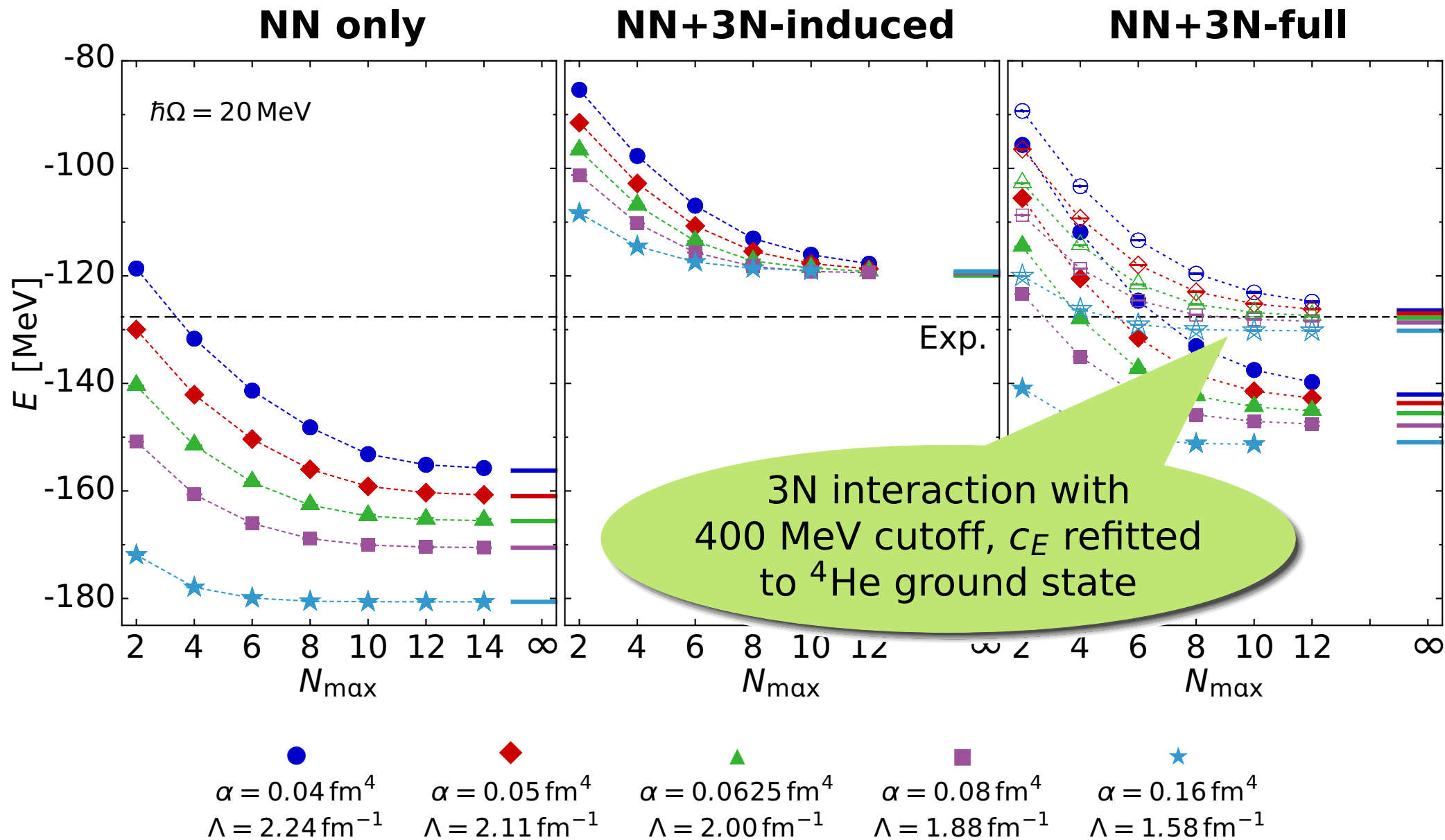
^{16}O : Ground-State Energies



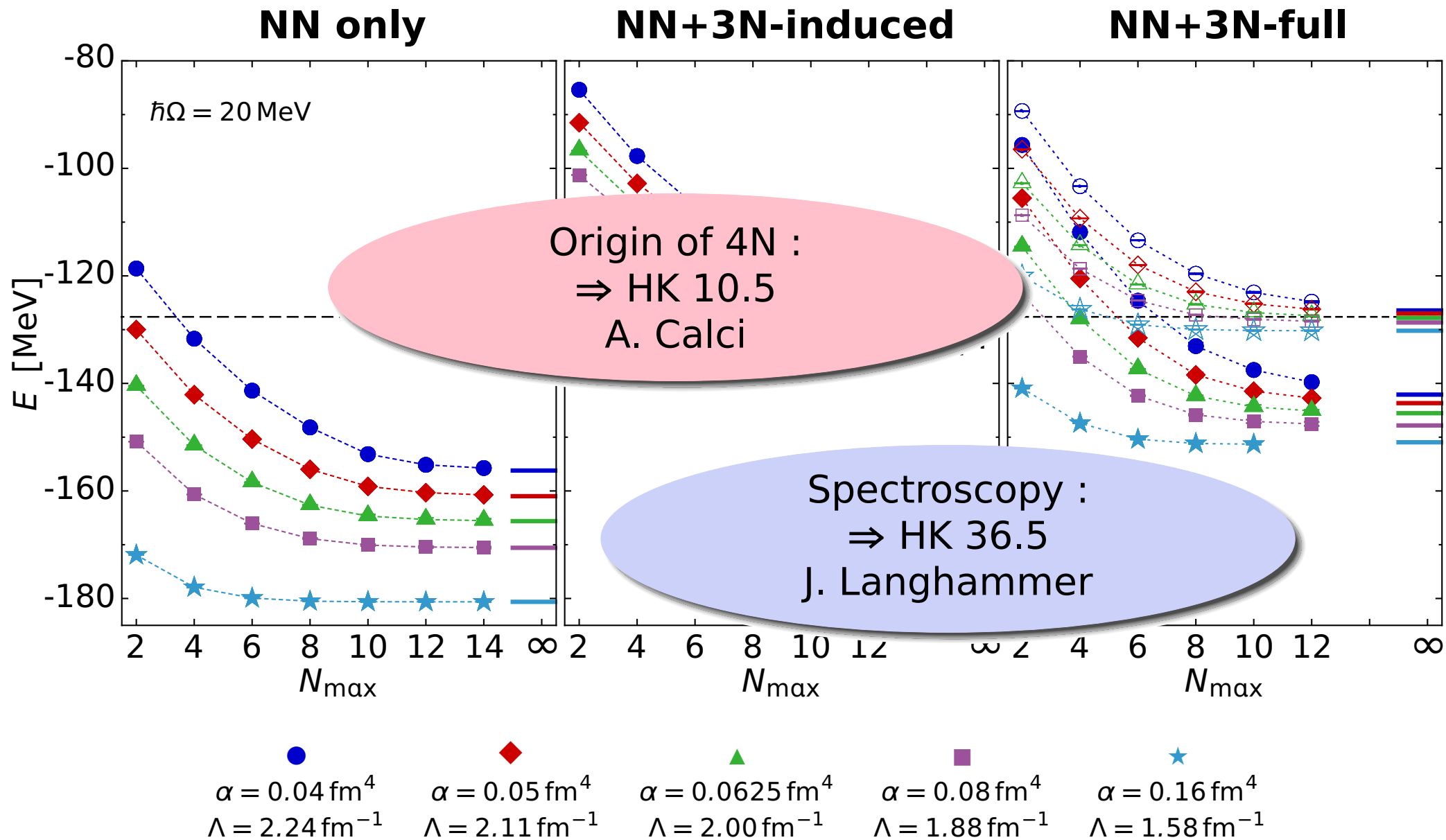
^{16}O : Ground-State Energies



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Coupled Cluster Method

G. Hagen, T. Papenbrock, D.J. Dean, and M. Hjorth-Jensen — Phys. Rev. C 82, 034330 (2010)

Coupled Cluster Approach

Coupled Cluster Approach

- **exponential Ansatz** for wave operator

$$|\Psi\rangle = \hat{\Omega}|\Phi_0\rangle = e^{\hat{T}_1 + \hat{T}_2 + \hat{T}_3 + \dots + \hat{T}_A}|\Phi_0\rangle$$

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- \hat{T}_n : **nph excitation** ("cluster") operators

$$\hat{T}_n = \frac{1}{(n!)^2} \sum_{\substack{ijk\dots \\ abc\dots}} t_{ijk\dots}^{abc\dots} \{ \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_c^\dagger \dots \hat{a}_k \hat{a}_j \hat{a}_i \}$$

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- **similarity transformed** Schrödinger Eq.

$$\hat{\mathcal{H}}|\Phi_0\rangle = \Delta E|\Phi_0\rangle, \quad \hat{\mathcal{H}} \equiv e^{-\hat{T}}\hat{H}_N e^{\hat{T}}$$

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- $\hat{\mathcal{H}}$: non-Hermitian **effective Hamiltonian**

Coupled Cluster - Equations

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$$\left\{ |\Phi_0\rangle, |\Phi_i^a\rangle \equiv \hat{a}_a^\dagger \hat{a}_i |\Phi_0\rangle, |\Phi_{ij}^{ab}\rangle \equiv \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_j \hat{a}_i |\Phi_0\rangle \right\}$$

leads to **CCSD equations**

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leads to **CCSD equations**
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leads to **CCSD equations**

- $\Delta E = \langle \Phi_0 | \hat{\mathcal{H}} | \Phi_0 \rangle$

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Coupled Cluster - Equations

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leads to **CCSD equations**

- $\Delta E = \langle \Phi_0 | \hat{\mathcal{H}} | \Phi_0 \rangle = \langle \Phi_0 | \hat{H}_N (\hat{T}_2 + \hat{T}_1 + \frac{1}{2} \hat{T}_1^2) | \Phi_0 \rangle_C$

- $0 = \langle \Phi_i^a | \hat{\mathcal{H}} | \Phi_0 \rangle = \langle \Phi_0 | \hat{H}_N (1 + \hat{T}_2 + \hat{T}_1 + \hat{T}_1 \hat{T}_2 + \frac{1}{2} \hat{T}_1^2 + \frac{1}{3!} \hat{T}_1^3) | \Phi_0 \rangle_C$

- $0 = \langle \Phi_{ij}^{ab} | \hat{\mathcal{H}} | \Phi_0 \rangle = \langle \Phi_0 | \hat{H}_N (1 + \hat{T}_2 + \frac{1}{2} \hat{T}_2^2 + \hat{T}_1 + \hat{T}_1 \hat{T}_2 + \frac{1}{2} \hat{T}_1^2 + \frac{1}{2} \hat{T}_1^2 \hat{T}_2 + \frac{1}{3!} \hat{T}_1^3 + \frac{1}{4!} \hat{T}_1^4) | \Phi_0 \rangle_C$

Coupled Cluster - Equations

■ **CCSD** : truncate \hat{T} at **2p2h** level, $\hat{T} = \hat{T}_1 + \hat{T}_2$

■ projection of $\hat{\mathcal{H}}|\Phi_0\rangle = \Delta E|\Phi_0\rangle$ onto

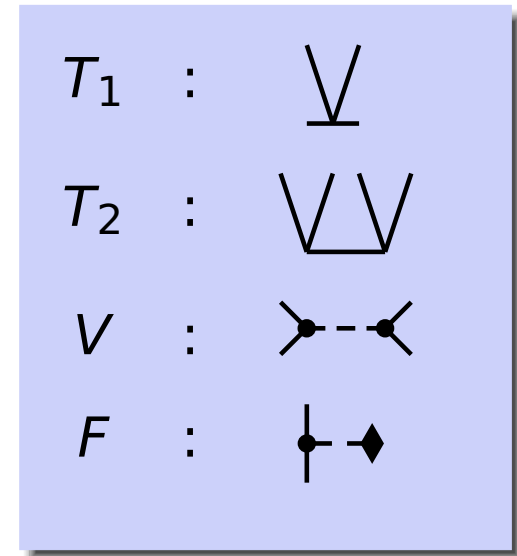
$$\left\{ |\Phi_0\rangle, |\Phi_i^a\rangle \equiv \hat{a}_a^\dagger \hat{a}_i |\Phi_0\rangle, |\Phi_{ij}^{ab}\rangle \equiv \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_j \hat{a}_i |\Phi_0\rangle \right\}$$

leads to **CCSD equations**

$$\bullet \Delta E = \langle \Phi_0 | \hat{\mathcal{H}} | \Phi_0 \rangle = \text{diagram 1} + \text{diagram 2} + \text{diagram 3}$$

$$\bullet 0 = \langle \Phi_i^a | \hat{\mathcal{H}} | \Phi_0 \rangle = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \text{diagram 4} + \text{diagram 5} + \dots$$

$$\bullet 0 = \langle \Phi_{ij}^{ab} | \hat{\mathcal{H}} | \Phi_0 \rangle = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \text{diagram 4} + \dots$$



Coupled Cluster - Equations

■ **CCSD** : truncate \hat{T} at **2p2h** level, $\hat{T} = \hat{T}_1 + \hat{T}_2$

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$$\left\{ |\Phi_0\rangle, |\Phi_i^a\rangle \equiv \hat{a}_a^\dagger \hat{a}_i |\Phi_0\rangle, |\Phi_{ij}^{ab}\rangle \equiv \hat{a}_a^\dagger \hat{a}_b^\dagger \hat{a}_j \hat{a}_i |\Phi_0\rangle \right\}$$

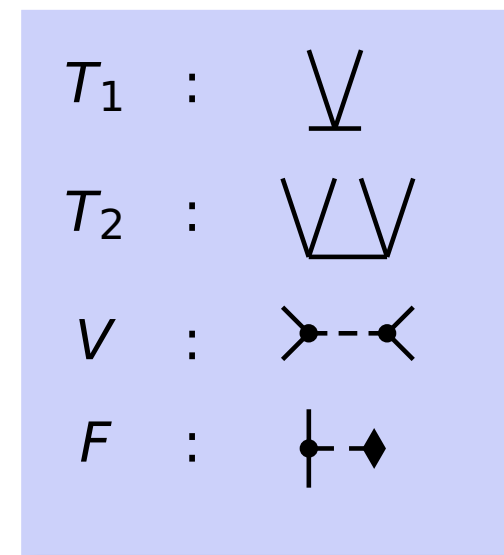
leads to **CCSD equations**

$$\bullet \Delta E = \langle \Phi_0 | \hat{\mathcal{H}} | \Phi_0 \rangle = \text{diagram 1} + \text{diagram 2} + \text{diagram 3}$$

$$\bullet 0 = \langle \Phi_i^a | \hat{\mathcal{H}} | \Phi_0 \rangle = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} \dots$$

linked diagrams only
 \Rightarrow **size extensive**

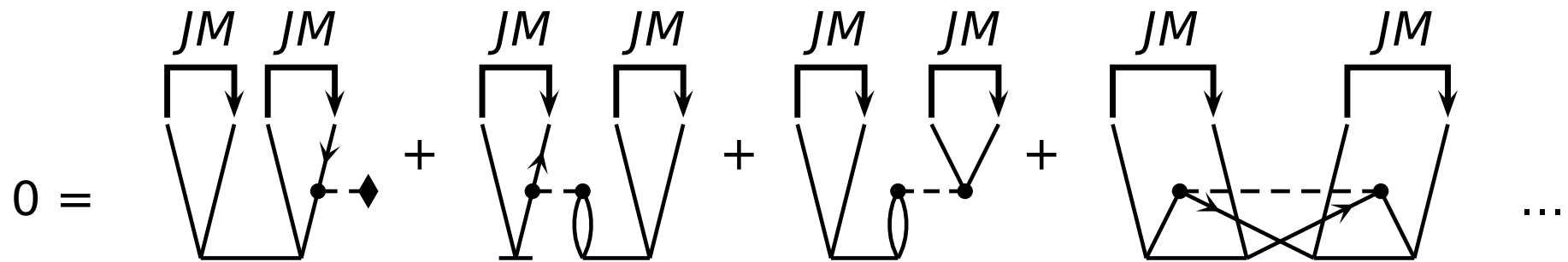
$$\bullet 0 = \langle \Phi_{ij}^{ab} | \hat{\mathcal{H}} | \Phi_0 \rangle = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} + \text{diagram 4} \dots$$



Coupled Cluster - Spherical Scheme

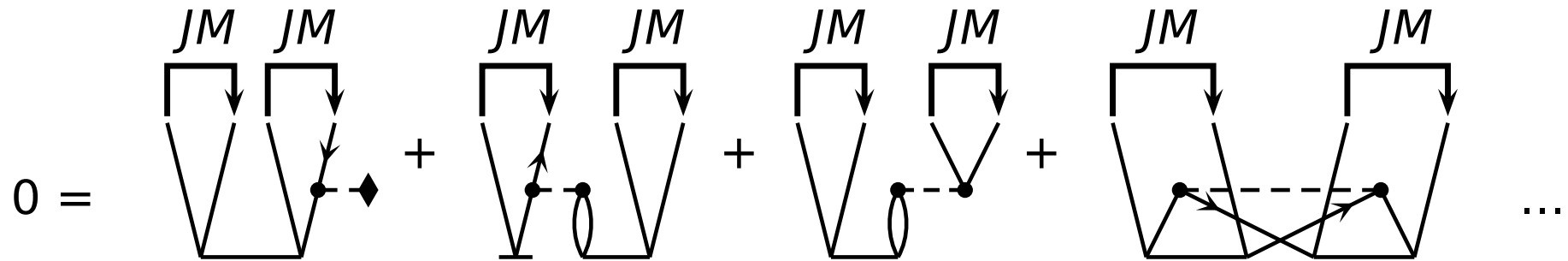
Coupled Cluster - Spherical Scheme

- **coupling of external lines** to good J



Coupled Cluster - Spherical Scheme

- **coupling of external lines** to good J



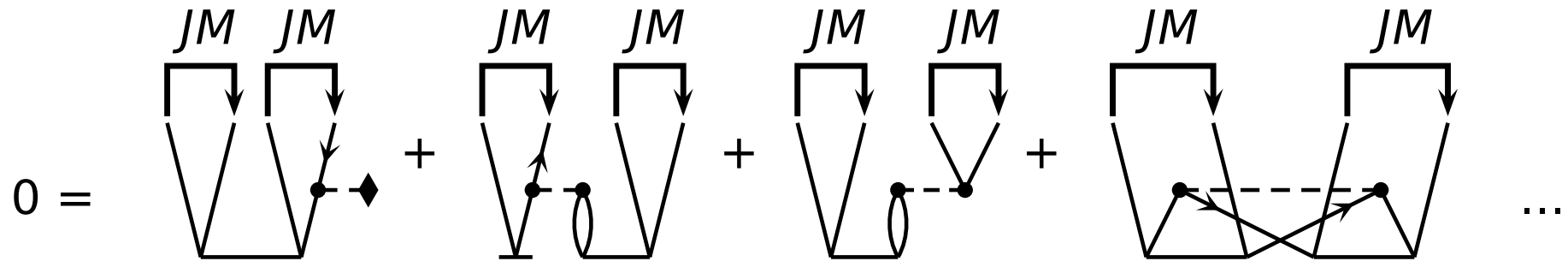
etc.

- express CCSD equations in terms of

$$\langle \overset{J0}{\downarrow} p \ q \ || \ r \ s \rangle, \quad \langle \overset{J0}{\downarrow} a \ b \ | \ t \ | \ i \ j \rangle, \quad \langle \overset{00}{\downarrow} \tilde{a} \ | \ t \ | \ i \rangle, \quad \text{etc.}$$

Coupled Cluster - Spherical Scheme

- **coupling of external lines** to good J



etc.

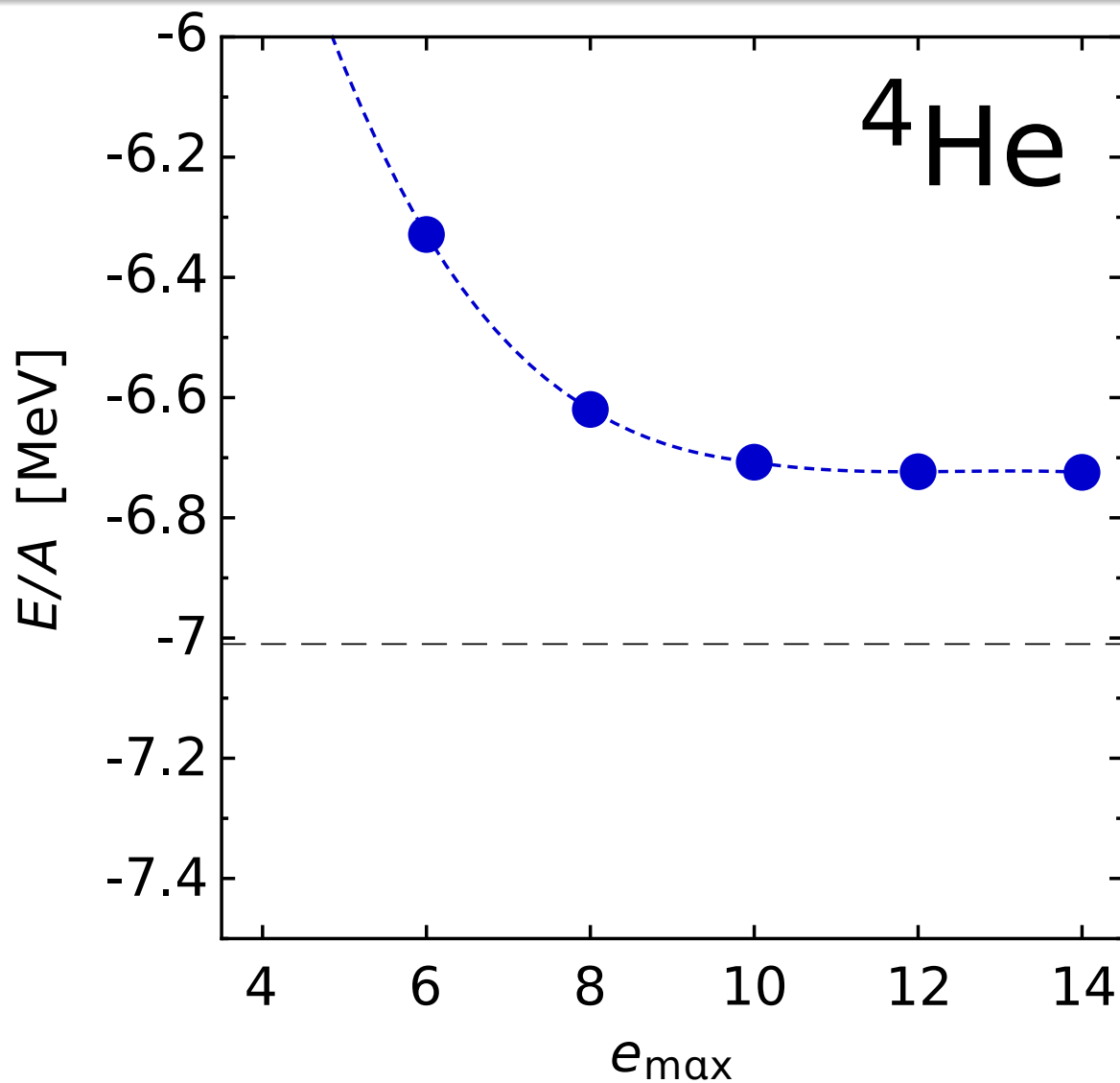
- express CCSD equations in terms of

$$\langle \overset{J0}{\downarrow} p \ \overset{J0}{\downarrow} q \parallel r \ s \rangle, \quad \langle \overset{J0}{\downarrow} a \ \overset{J0}{\downarrow} b \mid t \mid i \ j \rangle, \quad \langle \overset{00}{\downarrow} \tilde{a} \mid t \mid i \rangle, \text{ etc.}$$

- \Rightarrow **drastic reduction** of number of amplitudes

Coupled Cluster - Convergence Rate

Coupled Cluster - Convergence Rate



CCSD(HO)
NN only
 $\hbar\Omega = 20$ MeV

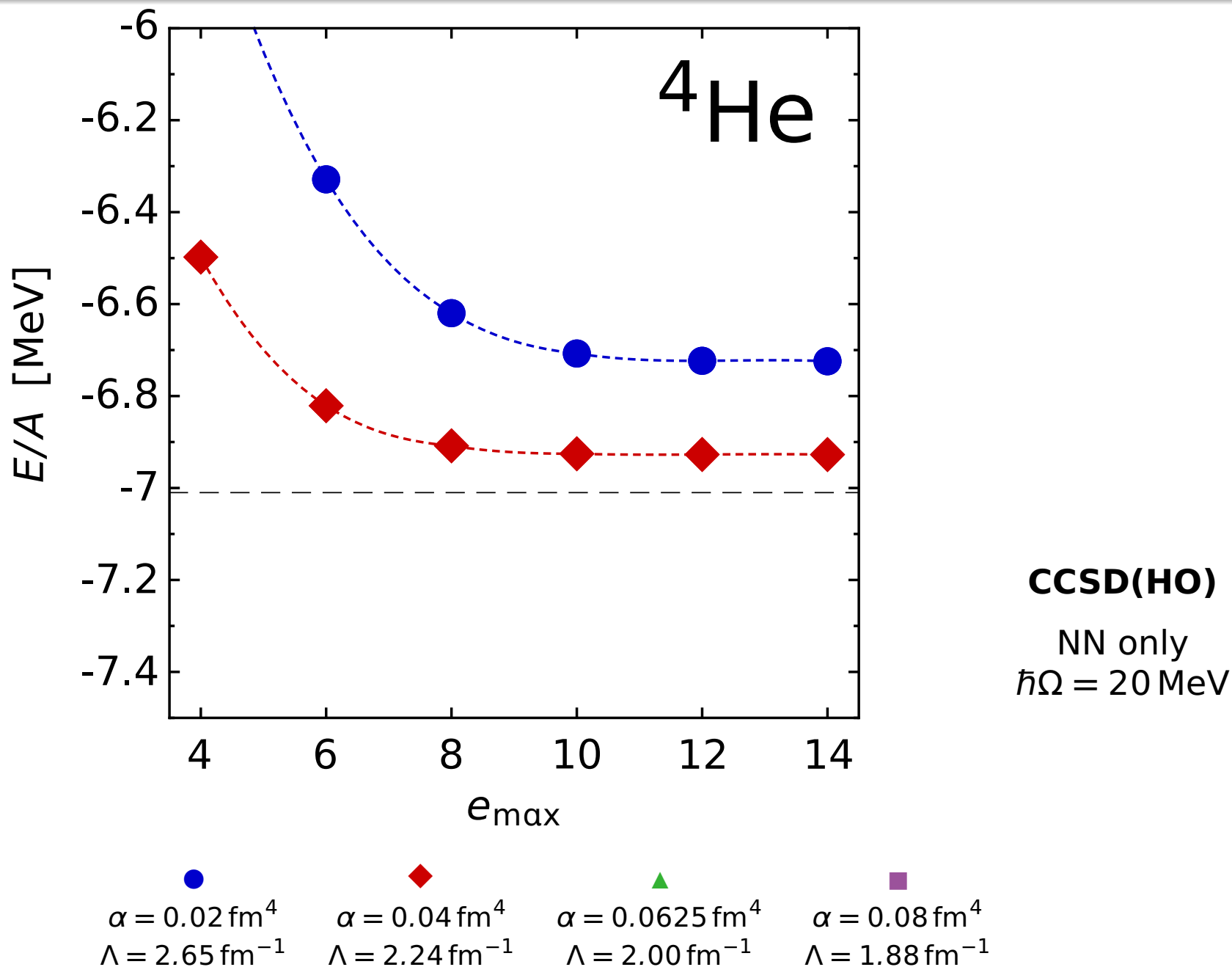
●
 $\alpha = 0.02 \text{ fm}^4$
 $\Lambda = 2.65 \text{ fm}^{-1}$

◆
 $\alpha = 0.04 \text{ fm}^4$
 $\Lambda = 2.24 \text{ fm}^{-1}$

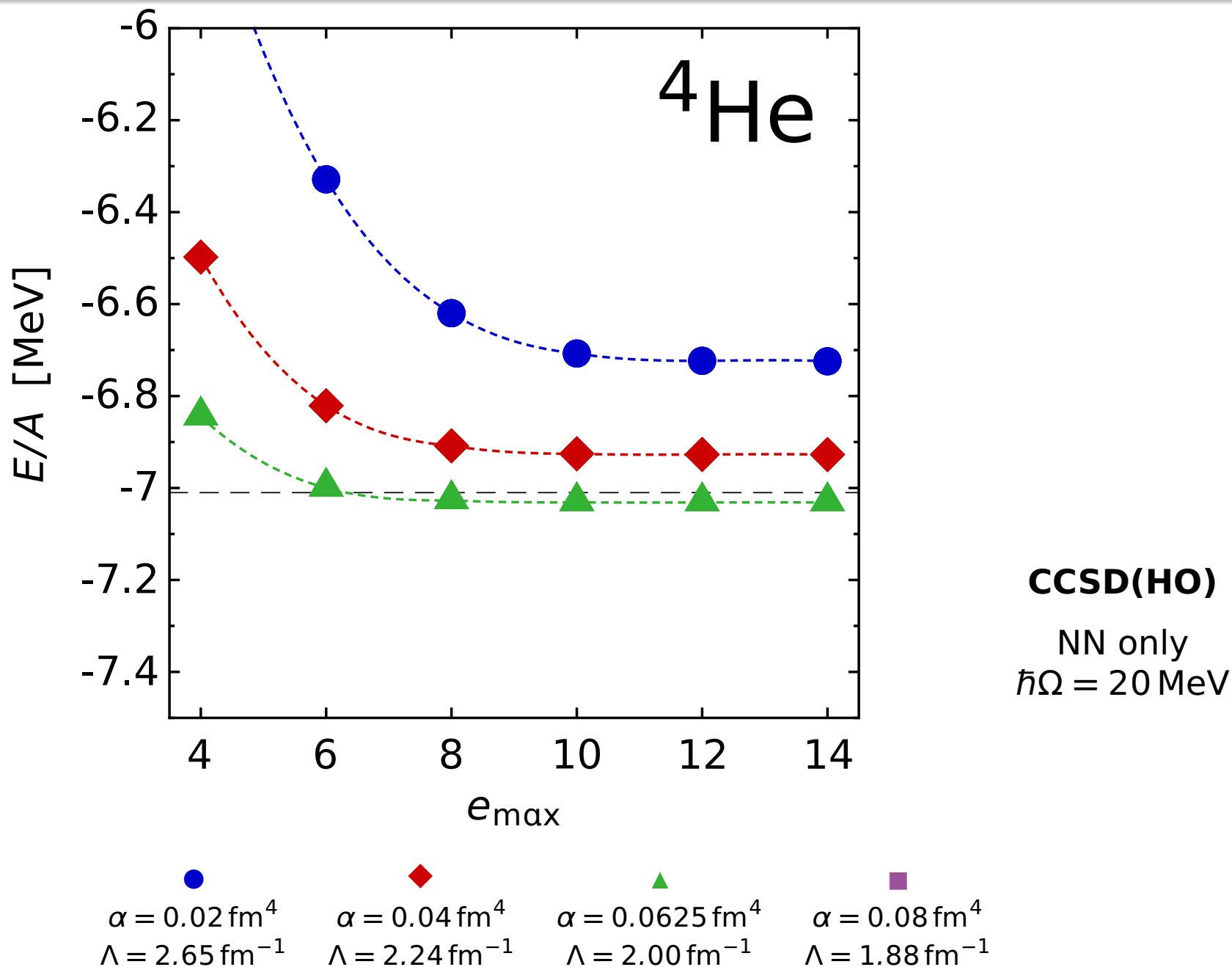
▲
 $\alpha = 0.0625 \text{ fm}^4$
 $\Lambda = 2.00 \text{ fm}^{-1}$

■
 $\alpha = 0.08 \text{ fm}^4$
 $\Lambda = 1.88 \text{ fm}^{-1}$

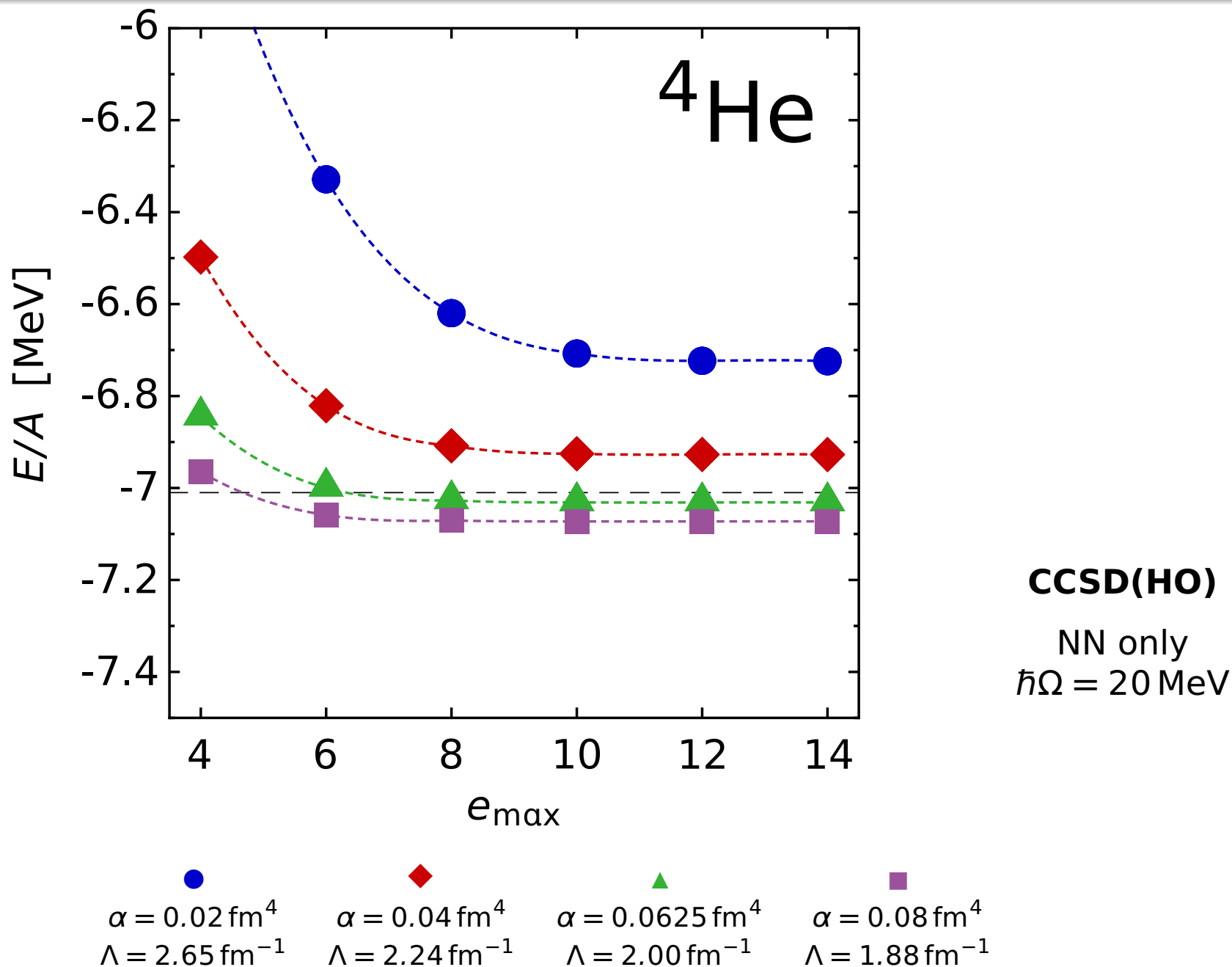
Coupled Cluster - Convergence Rate



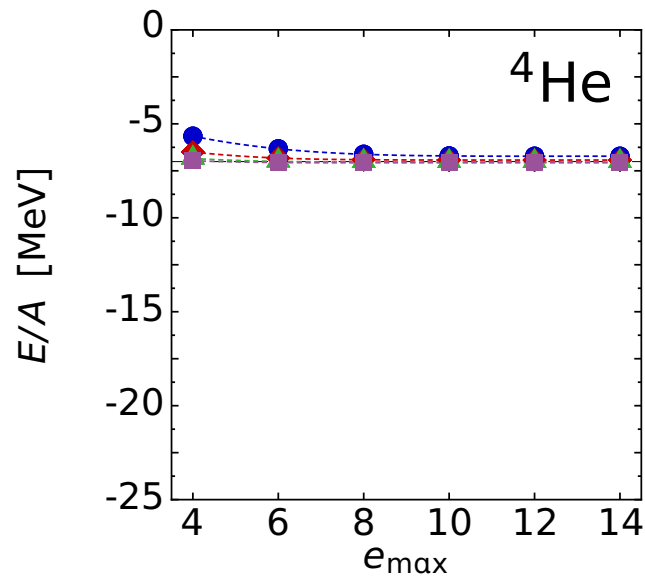
Coupled Cluster - Convergence Rate



Coupled Cluster - Convergence Rate



Coupled Cluster - Convergence Rate



CCSD(HO)

NN only
 $\hbar\Omega = 20 \text{ MeV}$

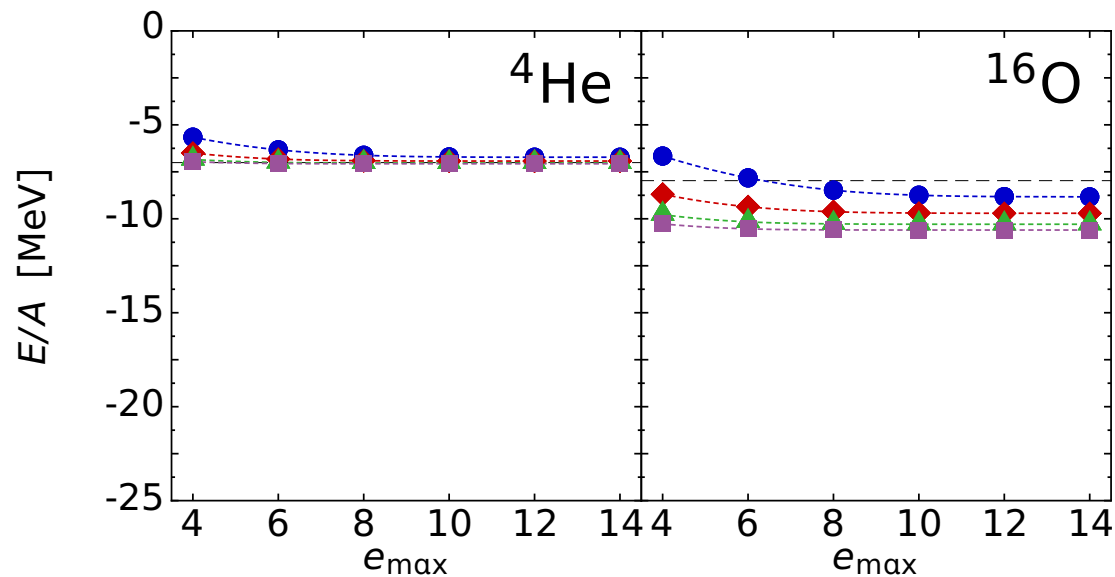
●
 $\alpha = 0.02 \text{ fm}^4$
 $\Lambda = 2.65 \text{ fm}^{-1}$

◆
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Coupled Cluster - Convergence Rate



CCSD(HO)

NN only
 $\hbar\Omega = 20 \text{ MeV}$

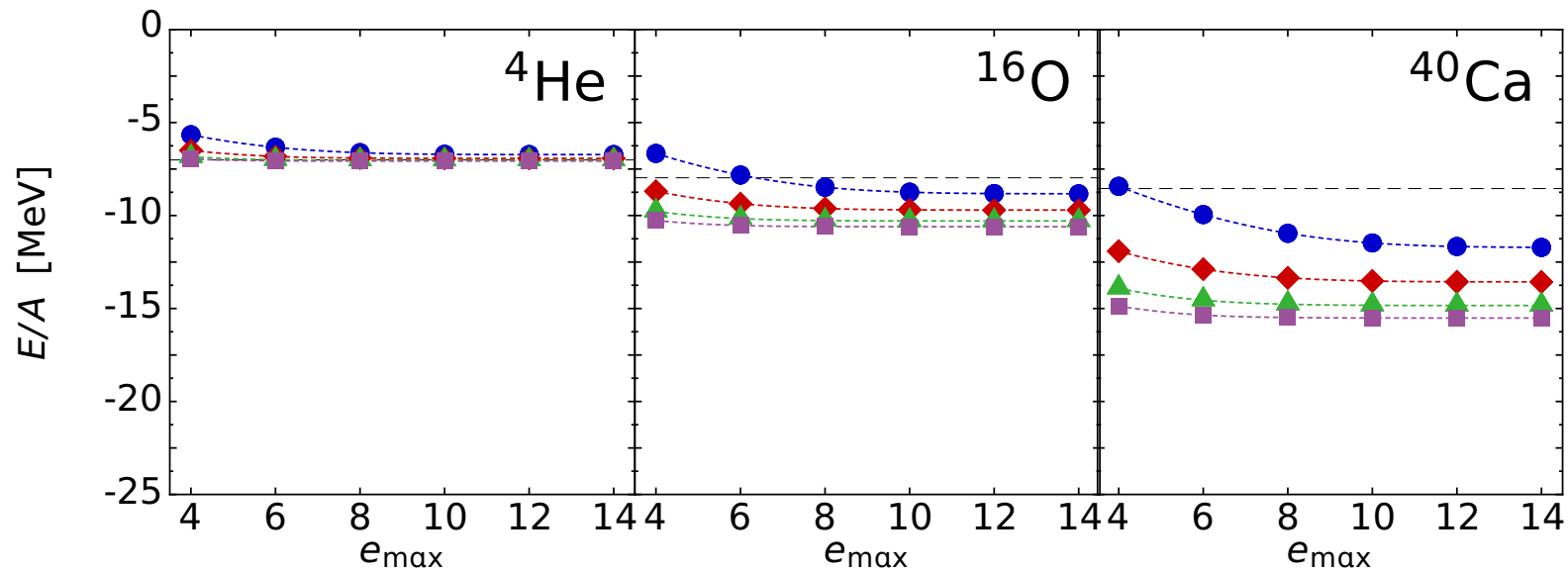
●
 $\alpha = 0.02 \text{ fm}^4$
 $\Lambda = 2.65 \text{ fm}^{-1}$

◆
 $\alpha = 0.04 \text{ fm}^4$
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▲
 $\alpha = 0.0625 \text{ fm}^4$
 $\Lambda = 2.00 \text{ fm}^{-1}$

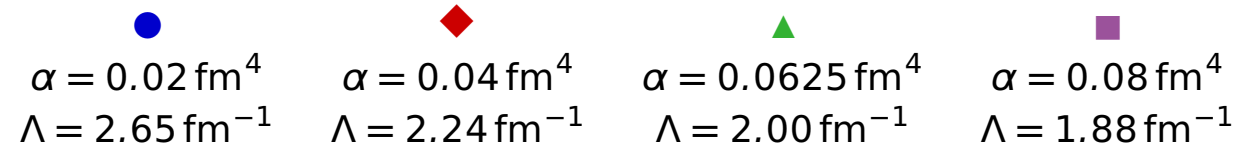
■
 $\alpha = 0.08 \text{ fm}^4$
 $\Lambda = 1.88 \text{ fm}^{-1}$

Coupled Cluster - Convergence Rate

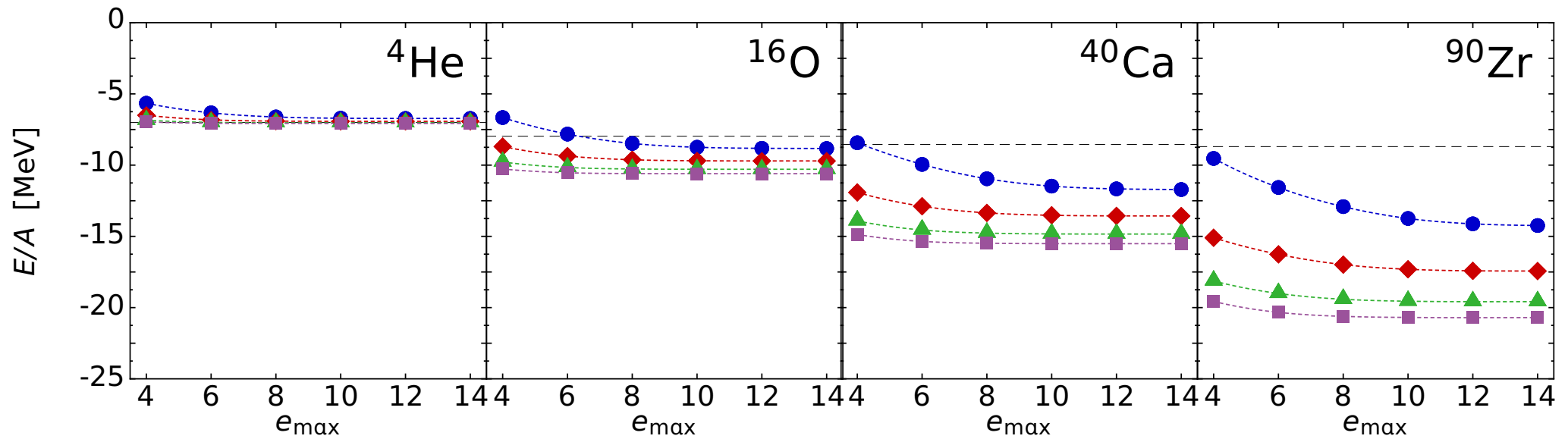


CCSD(HO)

NN only
 $\hbar\Omega = 20 \text{ MeV}$

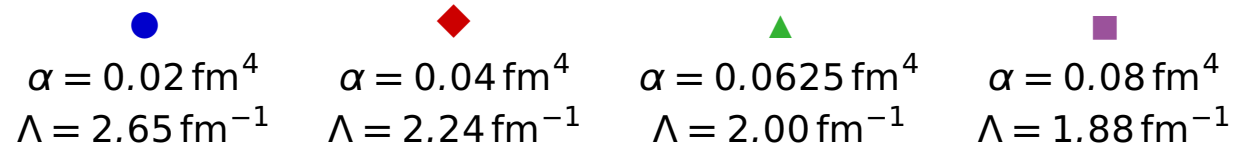


Coupled Cluster - Convergence Rate

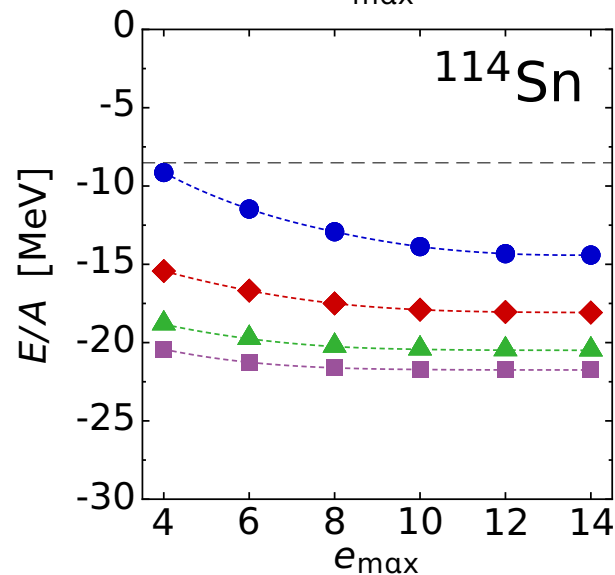
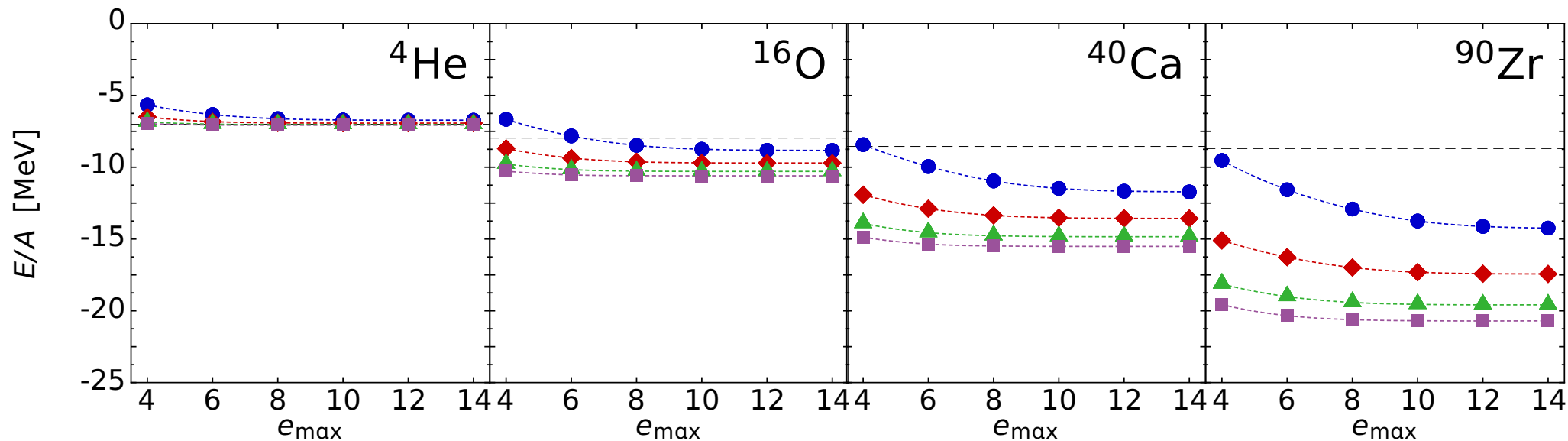


CCSD(HO)

NN only
 $\hbar\Omega = 20 \text{ MeV}$



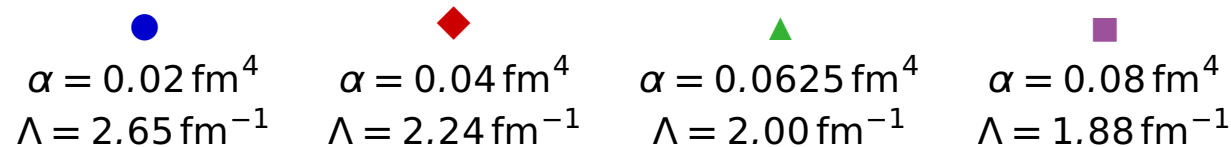
Coupled Cluster - Convergence Rate



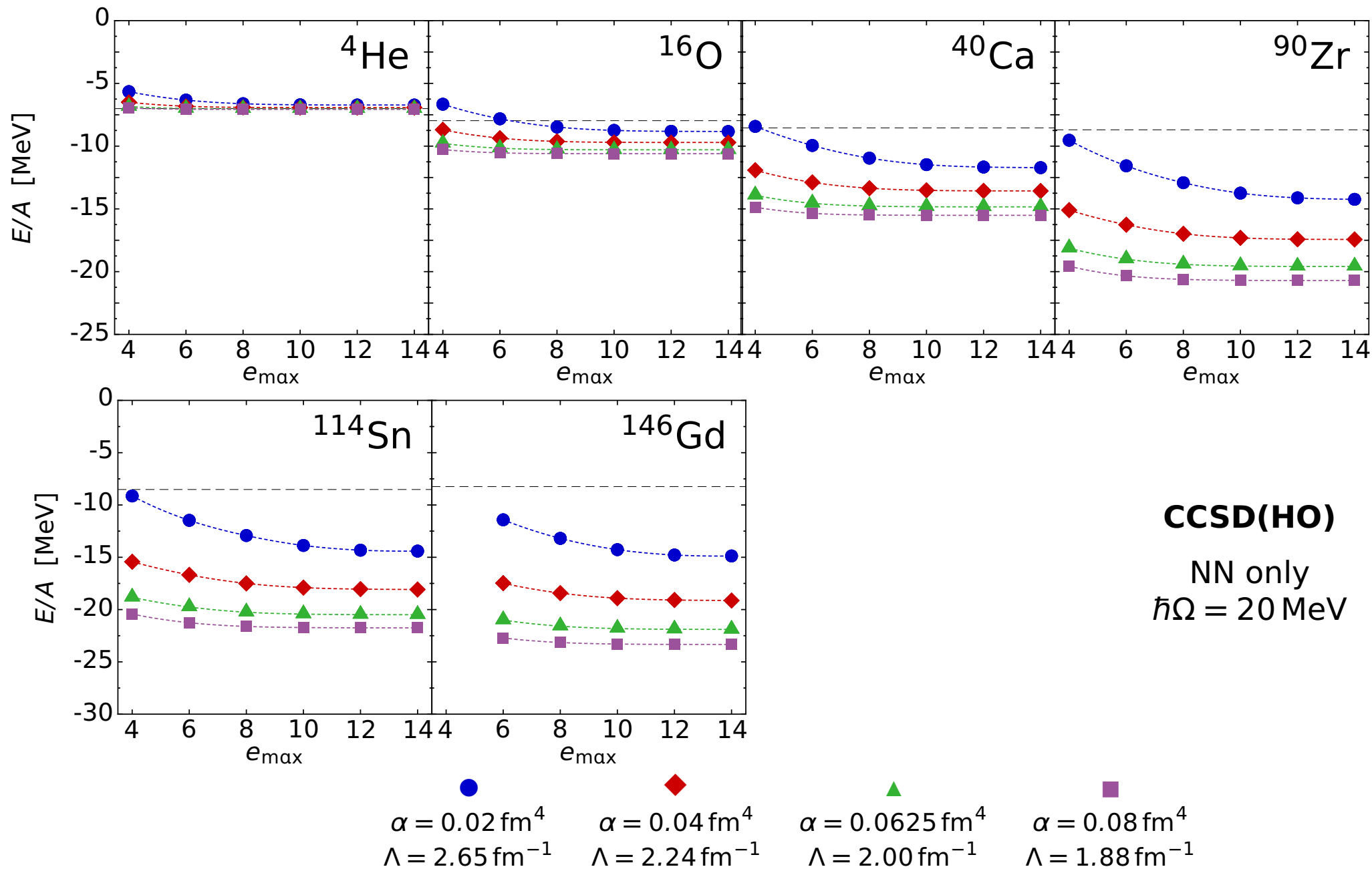
CCSD(HO)

NN only

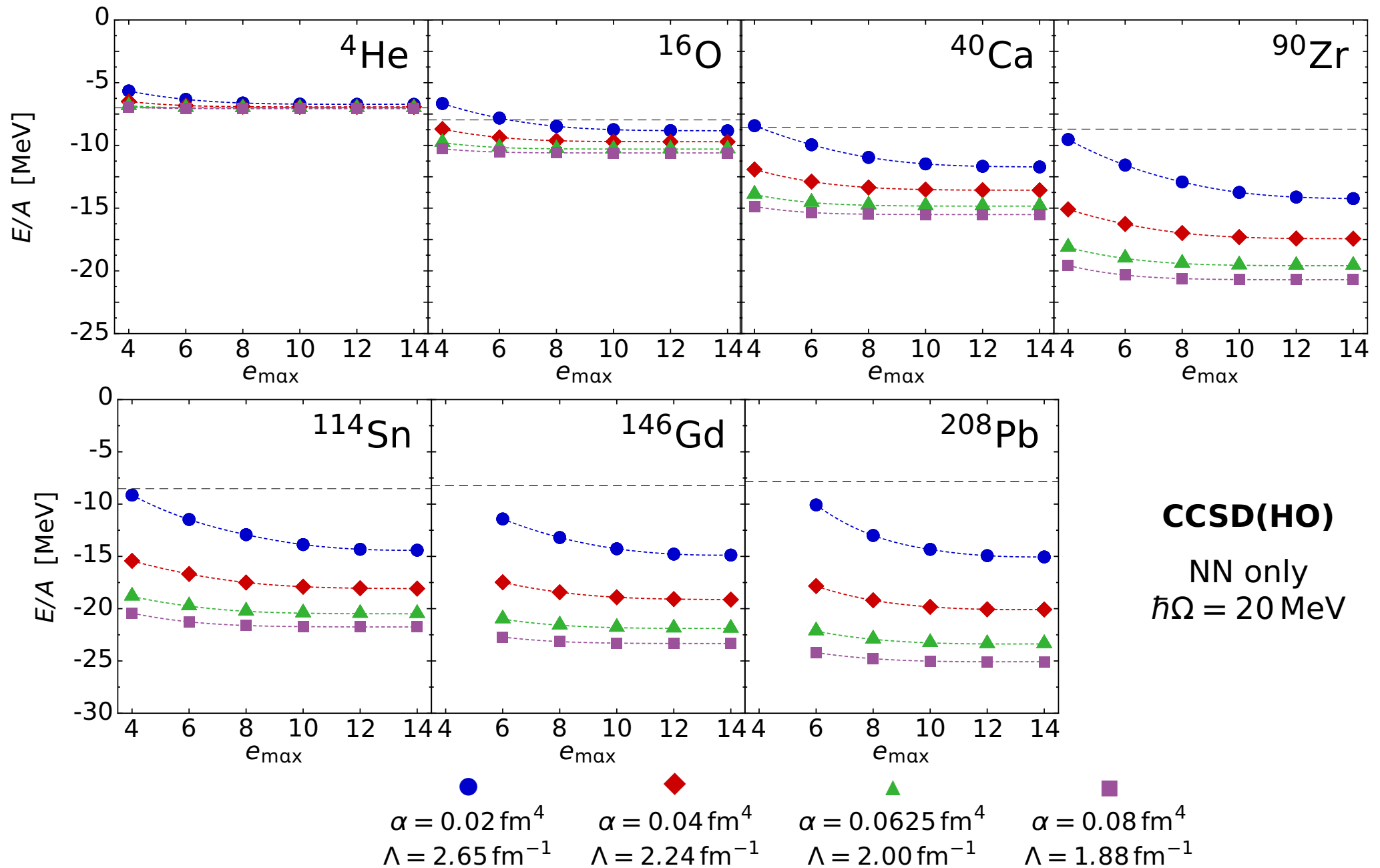
$\hbar\Omega = 20$ MeV



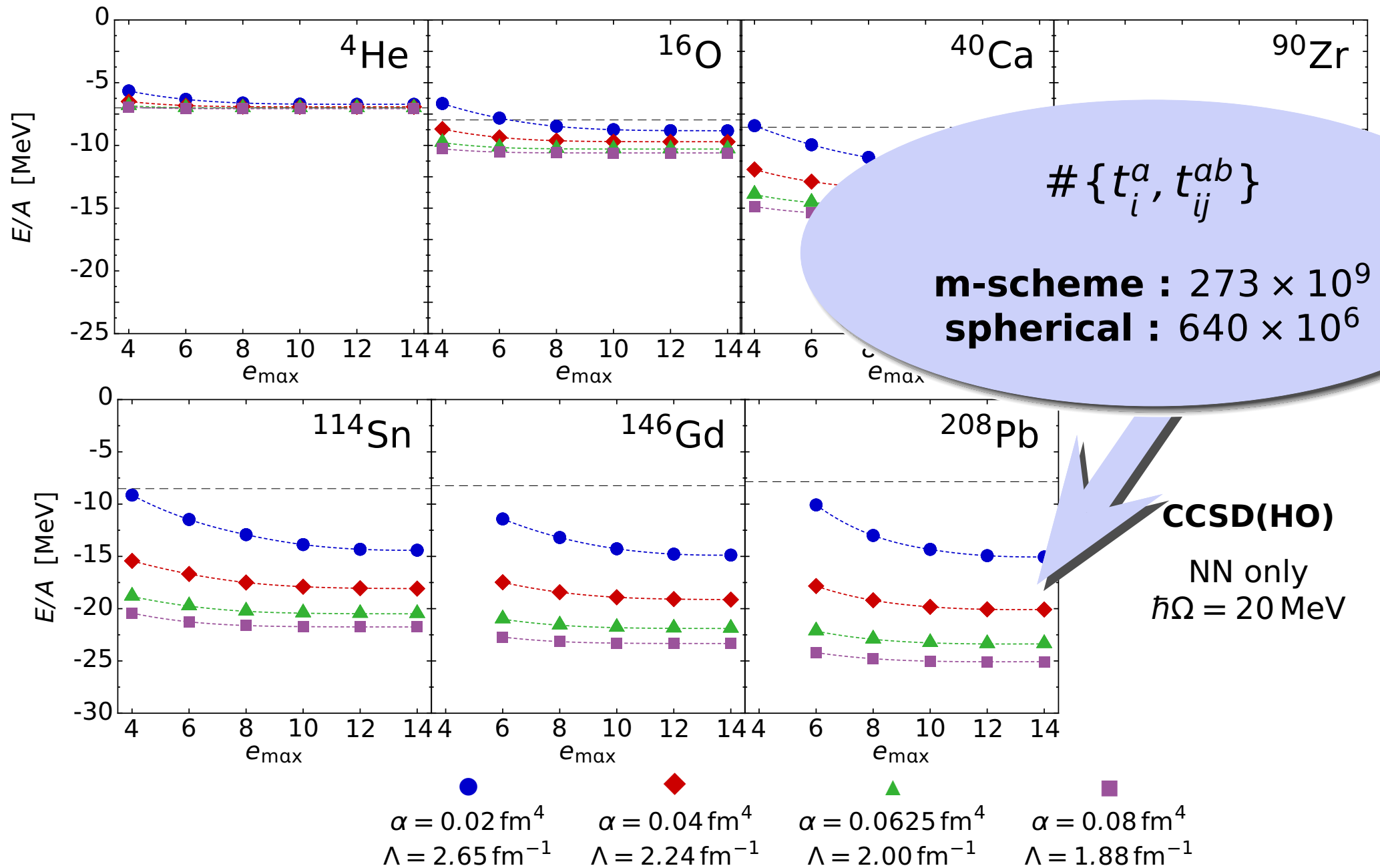
Coupled Cluster - Convergence Rate



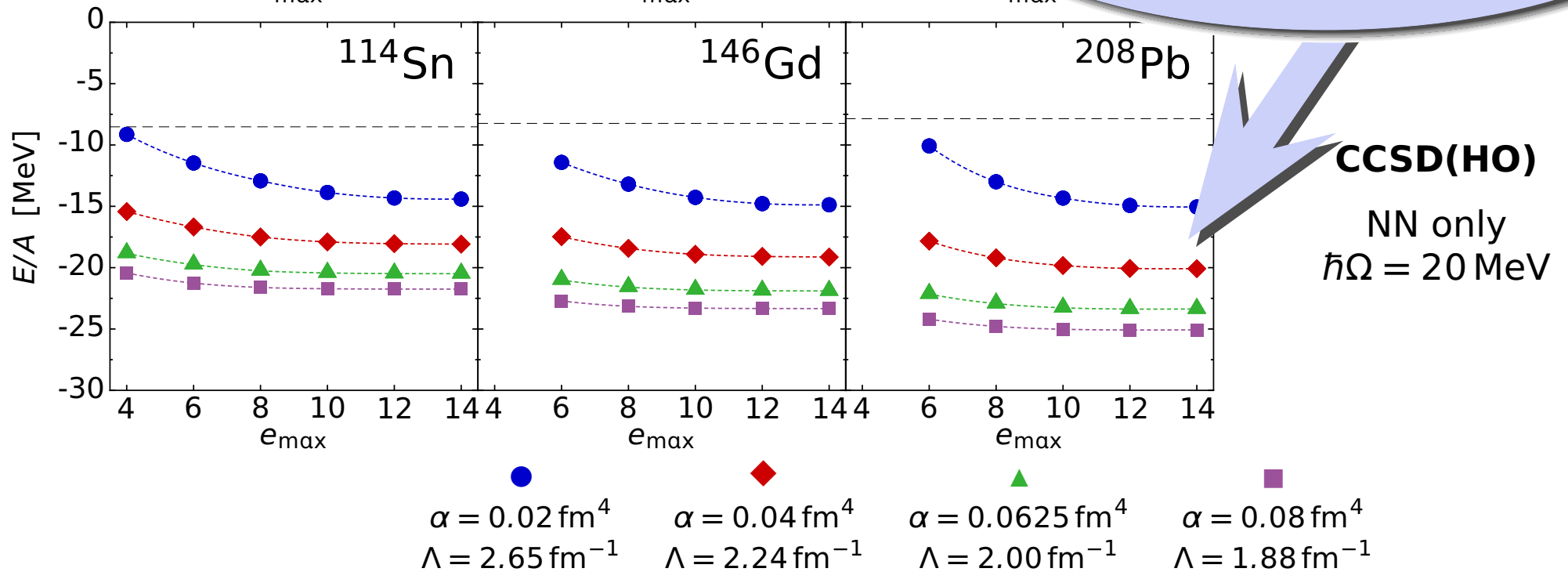
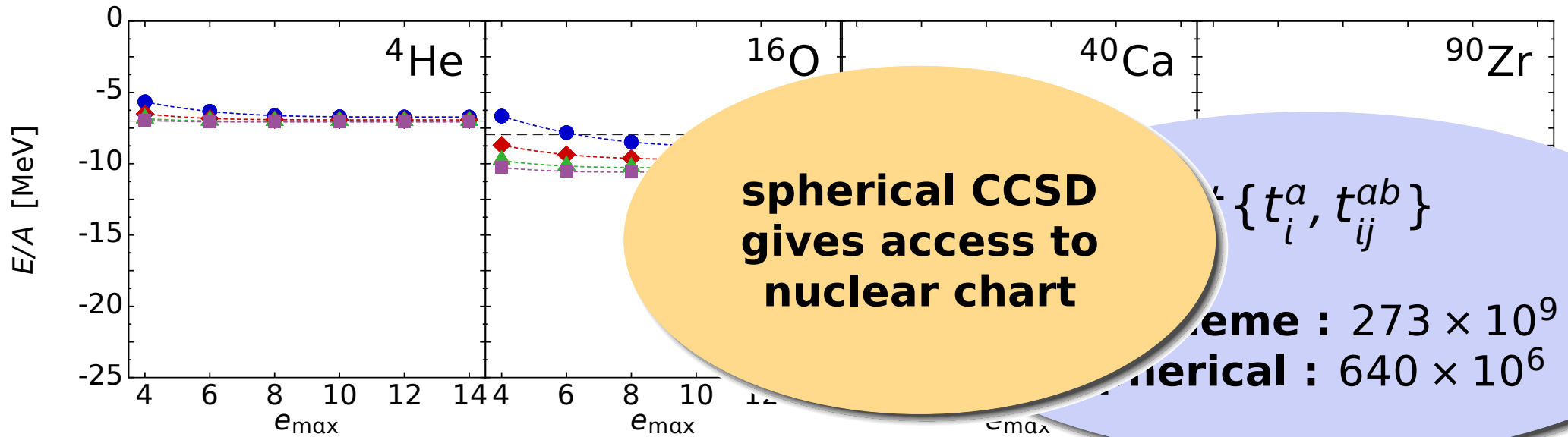
Coupled Cluster - Convergence Rate



Coupled Cluster - Convergence Rate



Coupled Cluster - Convergence Rate



Normal-Ordered $3N$ Interaction

Roth, Binder, Vobig et al. — arXiv: 1112.0287 (2011)

Normal-Ordered 3N Interaction

avoid technical challenge of
including explicit 3N interactions in
many-body calculation

Normal-Ordered 3N Interaction

avoid technical challenge of including explicit 3N interactions in many-body calculation

- **idea**: write 3N interaction in normal-ordered form with respect to an A -body reference Slater-determinant ($0\hbar\Omega$ state)

$$\begin{aligned}\hat{V}_{3N} &= \sum_{\circ\circ\circ\circ\circ\circ} V_{\circ\circ\circ\circ\circ\circ}^{3N} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \hat{a}_{\circ} \hat{a}_{\circ} \\ &= W^{0B} + \sum_{\circ\circ} W_{\circ\circ}^{1B} \{ \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \} + \sum_{\circ\circ\circ} W_{\circ\circ\circ}^{2B} \{ \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \hat{a}_{\circ} \} \\ &\quad + \sum_{\circ\circ\circ\circ\circ\circ} W_{\circ\circ\circ\circ\circ\circ}^{3B} \{ \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \hat{a}_{\circ} \hat{a}_{\circ} \}\end{aligned}$$

Normal-Ordered 3N Interaction

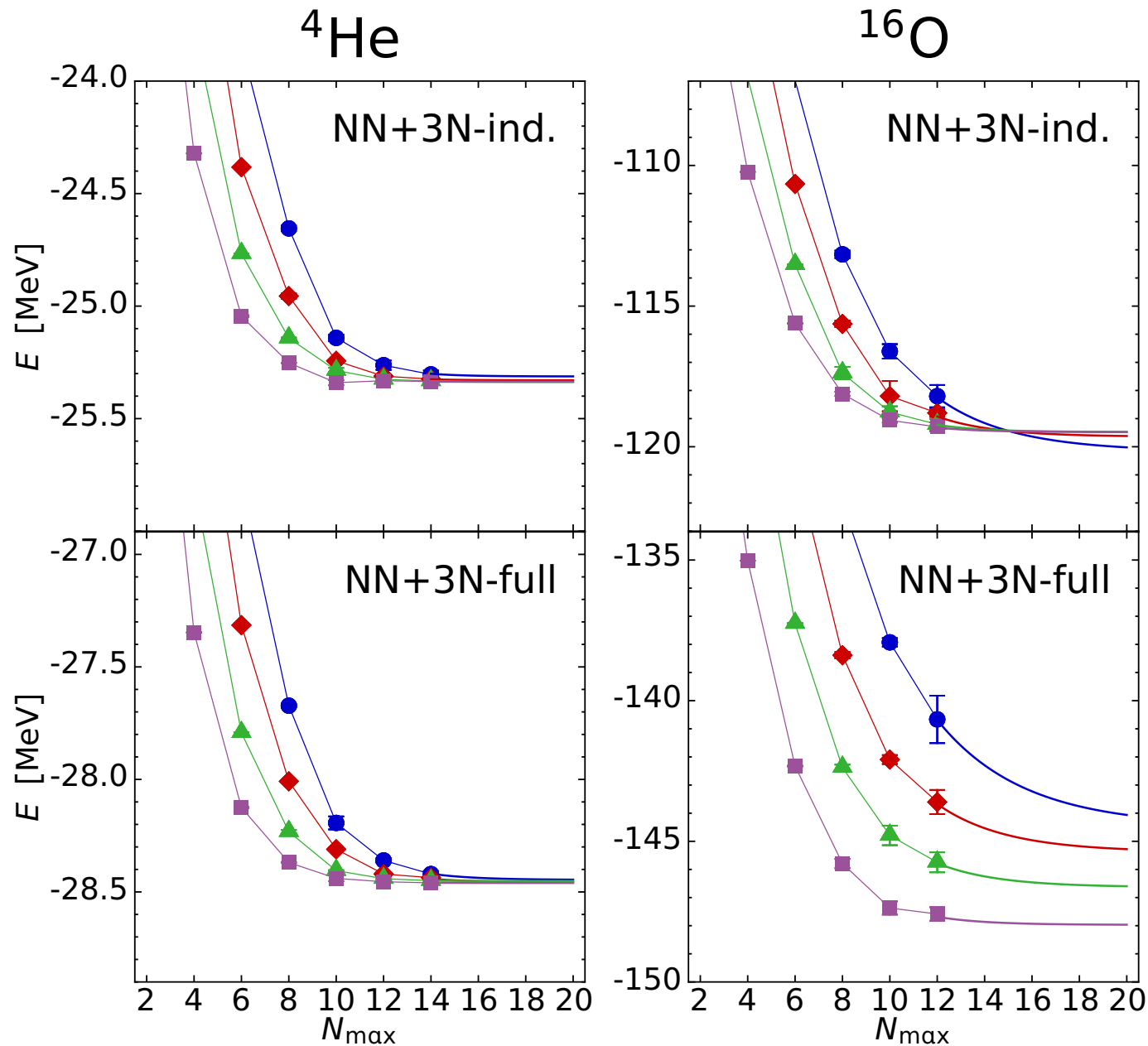
avoid technical challenge of including explicit 3N interactions in many-body calculation

- **idea**: write 3N interaction in normal-ordered form with respect to an A -body reference Slater-determinant ($0\hbar\Omega$ state)

$$\begin{aligned}\hat{V}_{3N} &= \sum_{\circ\circ\circ\circ\circ} V_{\circ\circ\circ\circ\circ}^{3N} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \hat{a}_{\circ} \hat{a}_{\circ} \\ &= W^{0B} + \sum_{\circ\circ} W_{\circ\circ}^{1B} \{ \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \} + \sum_{\circ\circ\circ} W_{\circ\circ\circ}^{2B} \{ \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \hat{a}_{\circ} \} \\ &\quad + \sum_{\circ\circ\circ\circ\circ} W_{\circ\circ\circ\circ\circ}^{3B} \{ \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ}^{\dagger} \hat{a}_{\circ} \hat{a}_{\circ} \hat{a}_{\circ} \}\end{aligned}$$

- **question**: if we neglect the normal-ordered 3B term, how well does this approximation work ?

Benchmark of Normal-Ordered 3N



■ compare IT-NCSM results with complete 3N to normal-ord. 3N truncated at the 2B level

complete / NO2B

● / ○

$\alpha = 0.04 \text{ fm}^4$

◆ / ◇

$\alpha = 0.05 \text{ fm}^4$

▲ / △

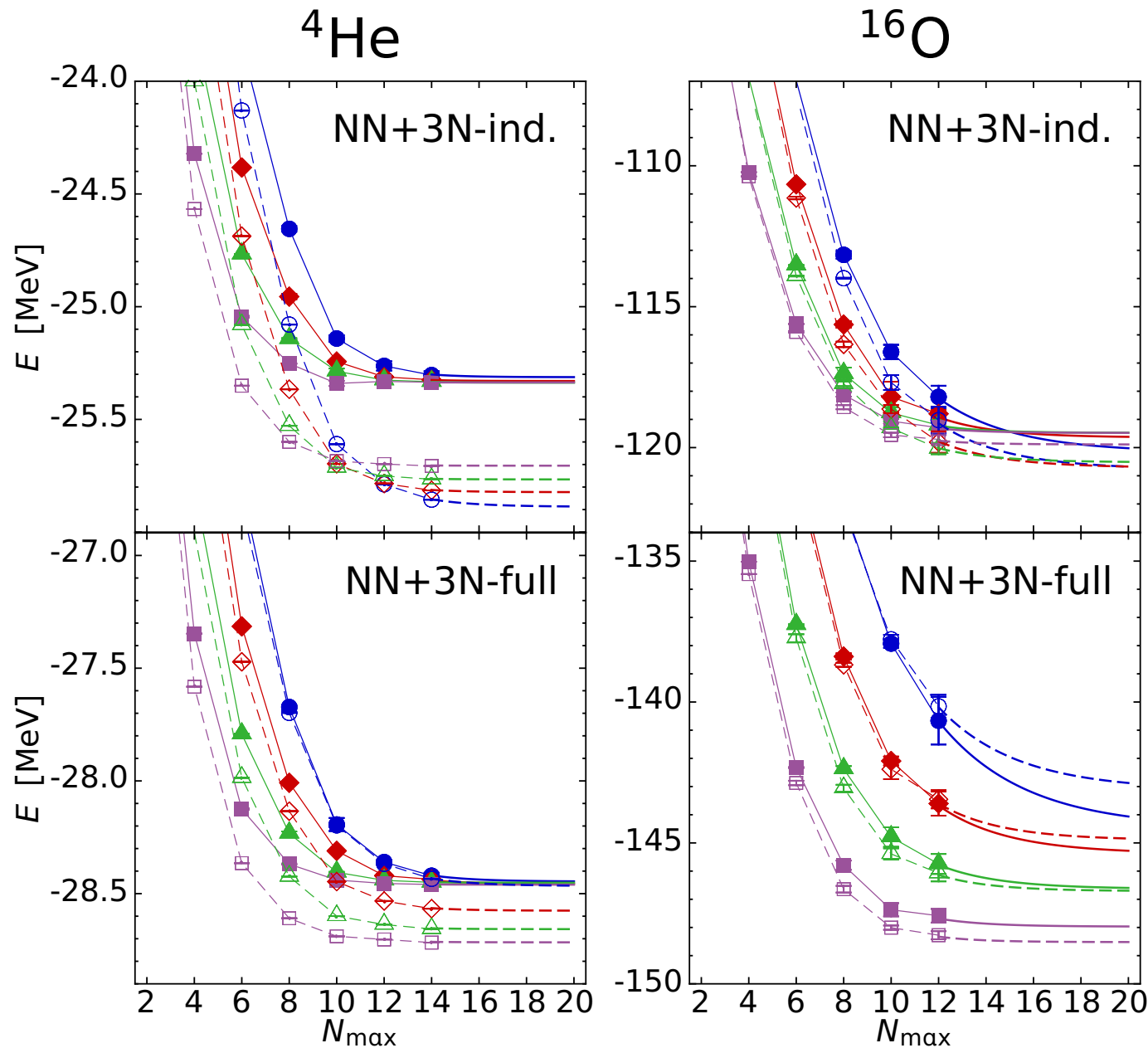
$\alpha = 0.0625 \text{ fm}^4$

■ / □

$\alpha = 0.08 \text{ fm}^4$

$\hbar\Omega = 20 \text{ MeV}$

Benchmark of Normal-Ordered 3N



■ compare IT-NCSM results with complete 3N to normal-ord. 3N truncated at the 2B level

■ typical deviations up to 2% for ${}^4\text{He}$ and 1% for ${}^{16}\text{O}$

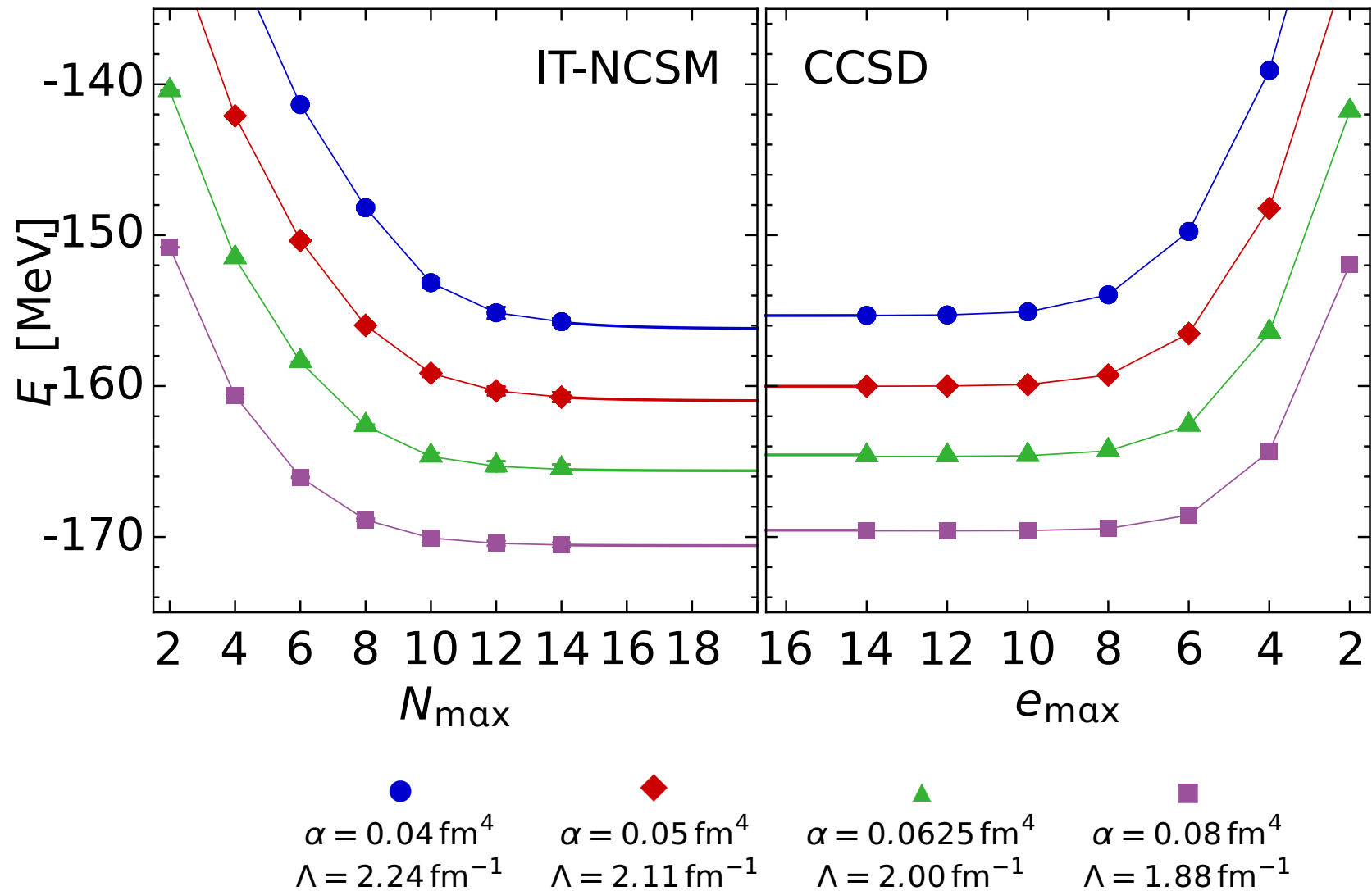
complete / NO2B

● / ○ $\alpha = 0.04 \text{ fm}^4$
 ◆ / ◇ $\alpha = 0.05 \text{ fm}^4$
 ▲ / △ $\alpha = 0.0625 \text{ fm}^4$
 ■ / □ $\alpha = 0.08 \text{ fm}^4$

$\hbar\Omega = 20 \text{ MeV}$

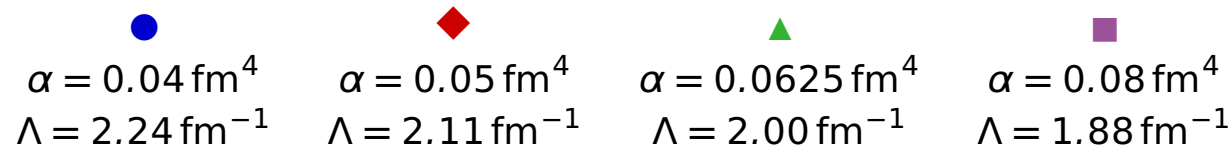
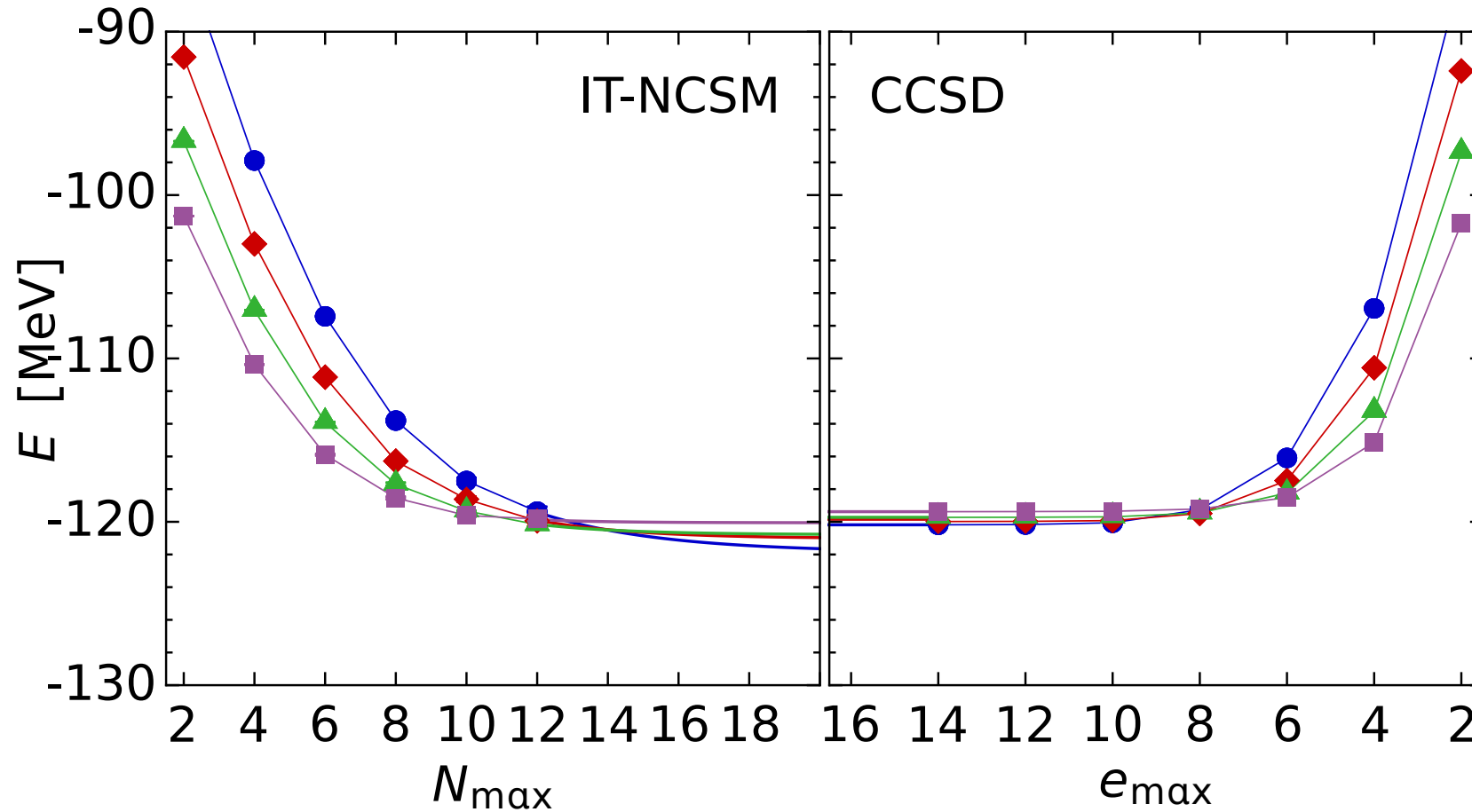
^{16}O : IT-NCSM vs. Coupled-Cluster

NN-only



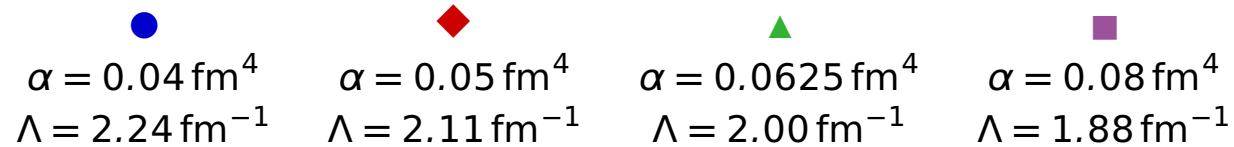
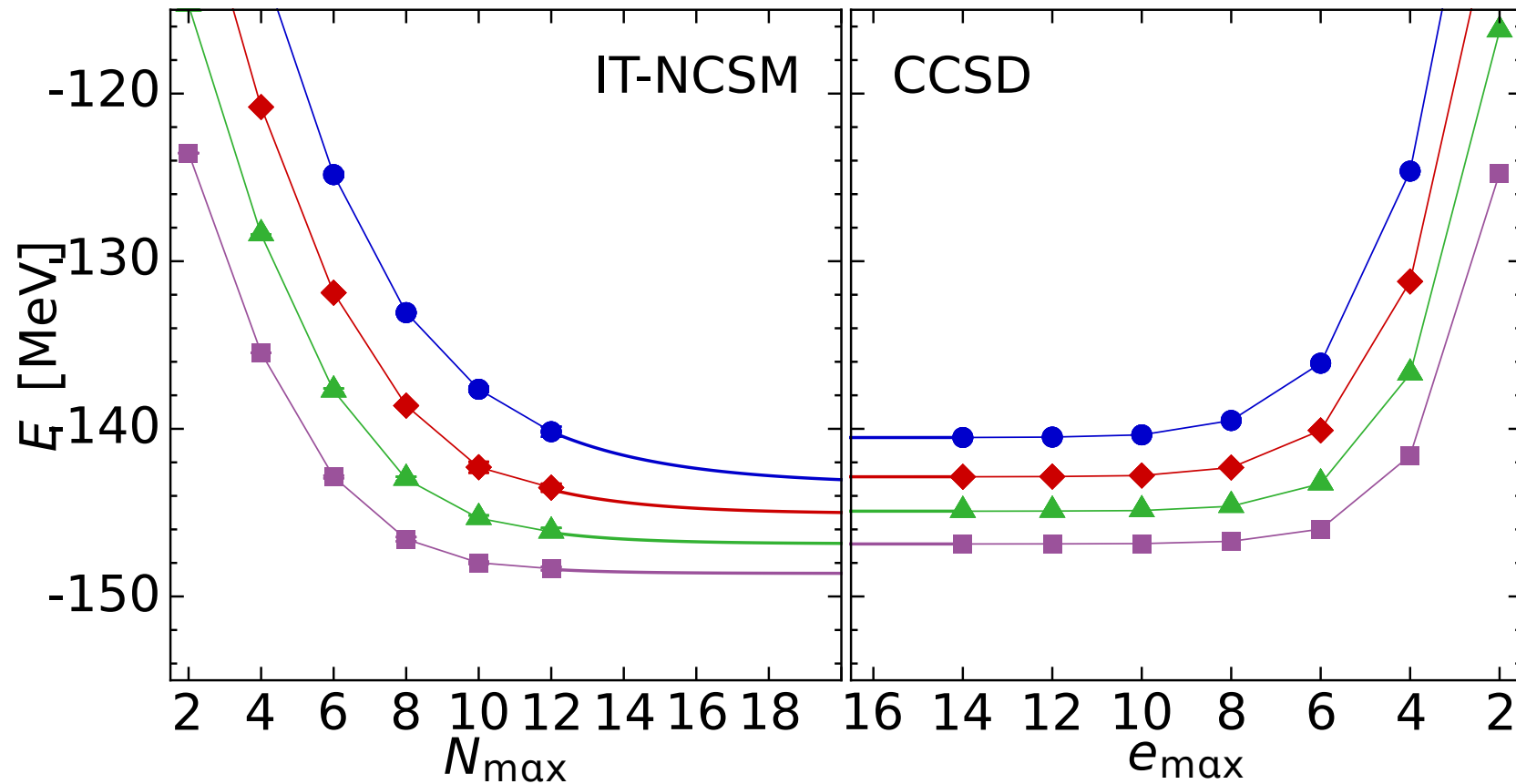
^{16}O : IT-NCSM vs. Coupled-Cluster

NN+3N-induced_{NO2B}

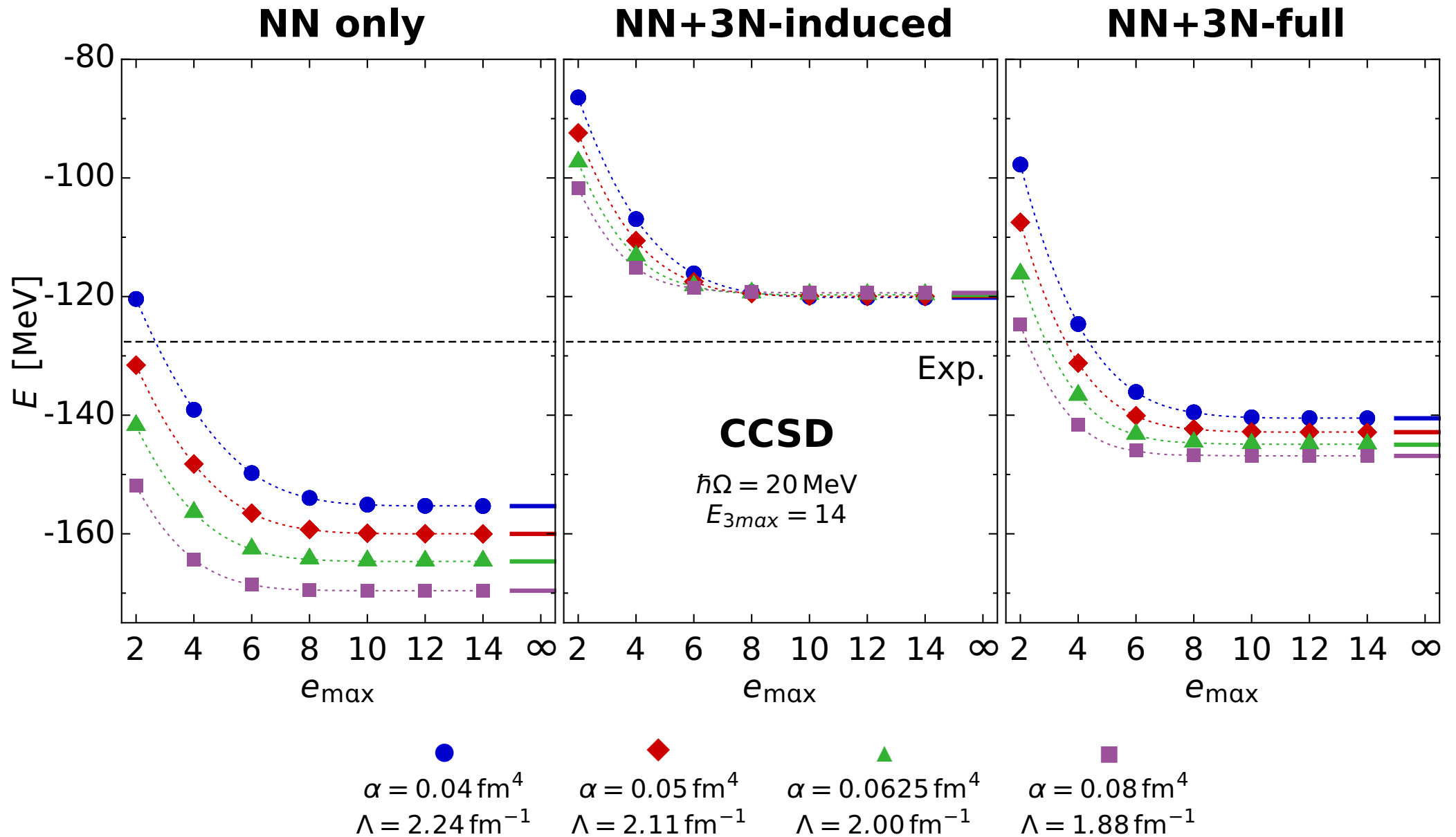


^{16}O : IT-NCSM vs. Coupled-Cluster

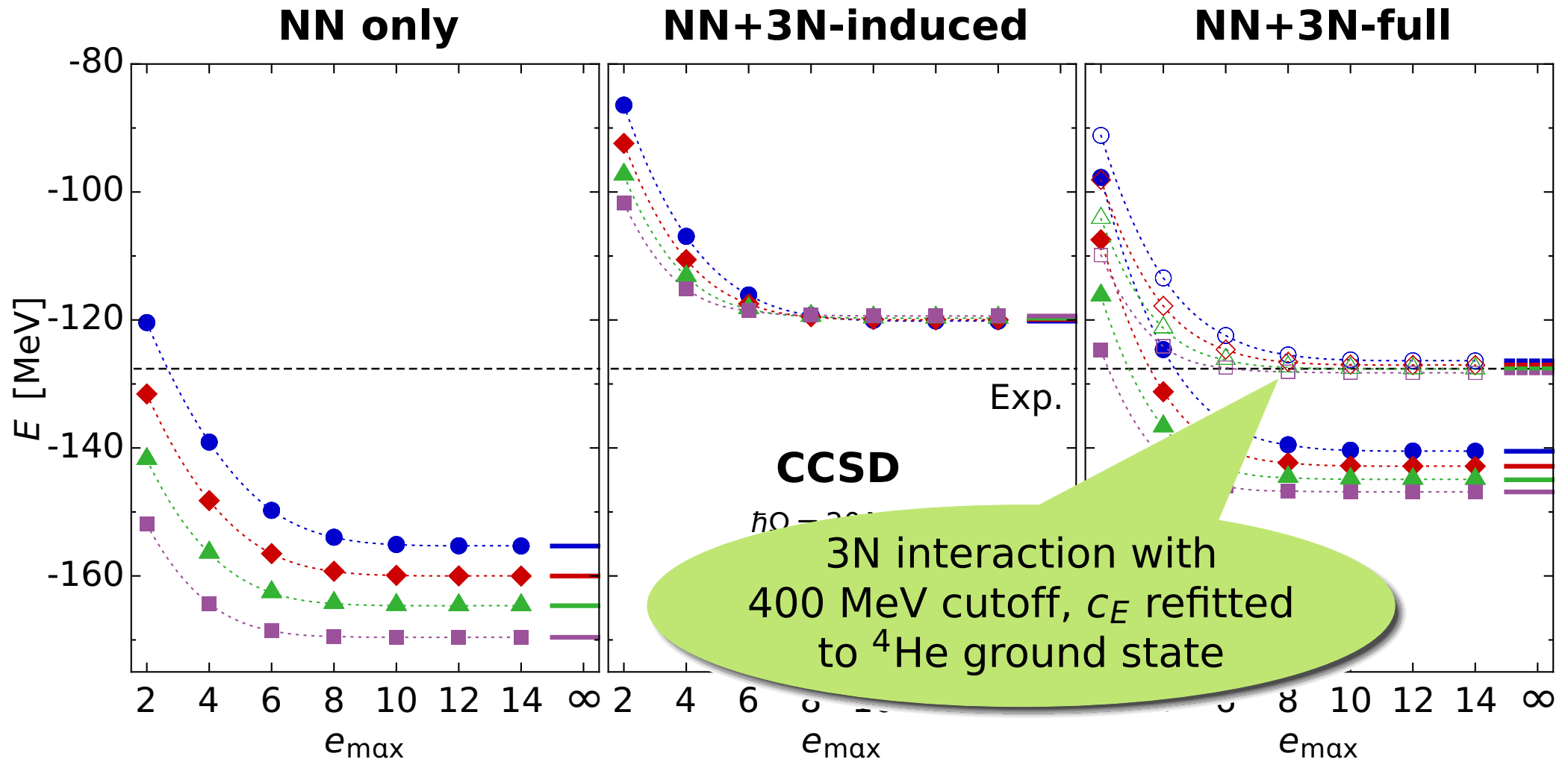
NN+3N-full_{NO2B}



^{16}O : Coupled-Cluster with $3N_{\text{NO2B}}$



^{16}O : Coupled-Cluster with $3N_{\text{NO2B}}$



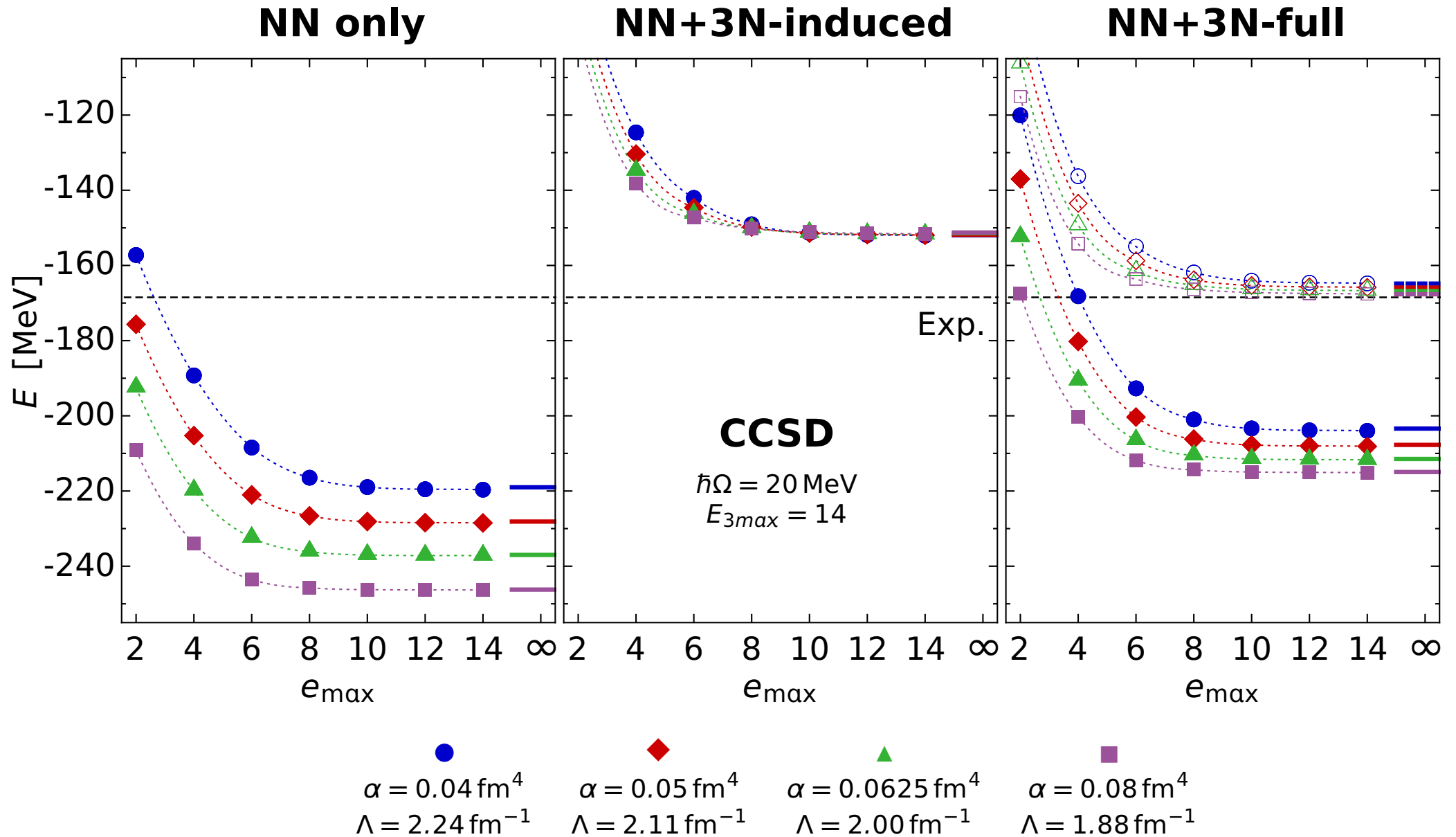
● $\alpha = 0.04 \text{ fm}^4$
 $\Lambda = 2.24 \text{ fm}^{-1}$

◆ $\alpha = 0.05 \text{ fm}^4$
 $\Lambda = 2.11 \text{ fm}^{-1}$

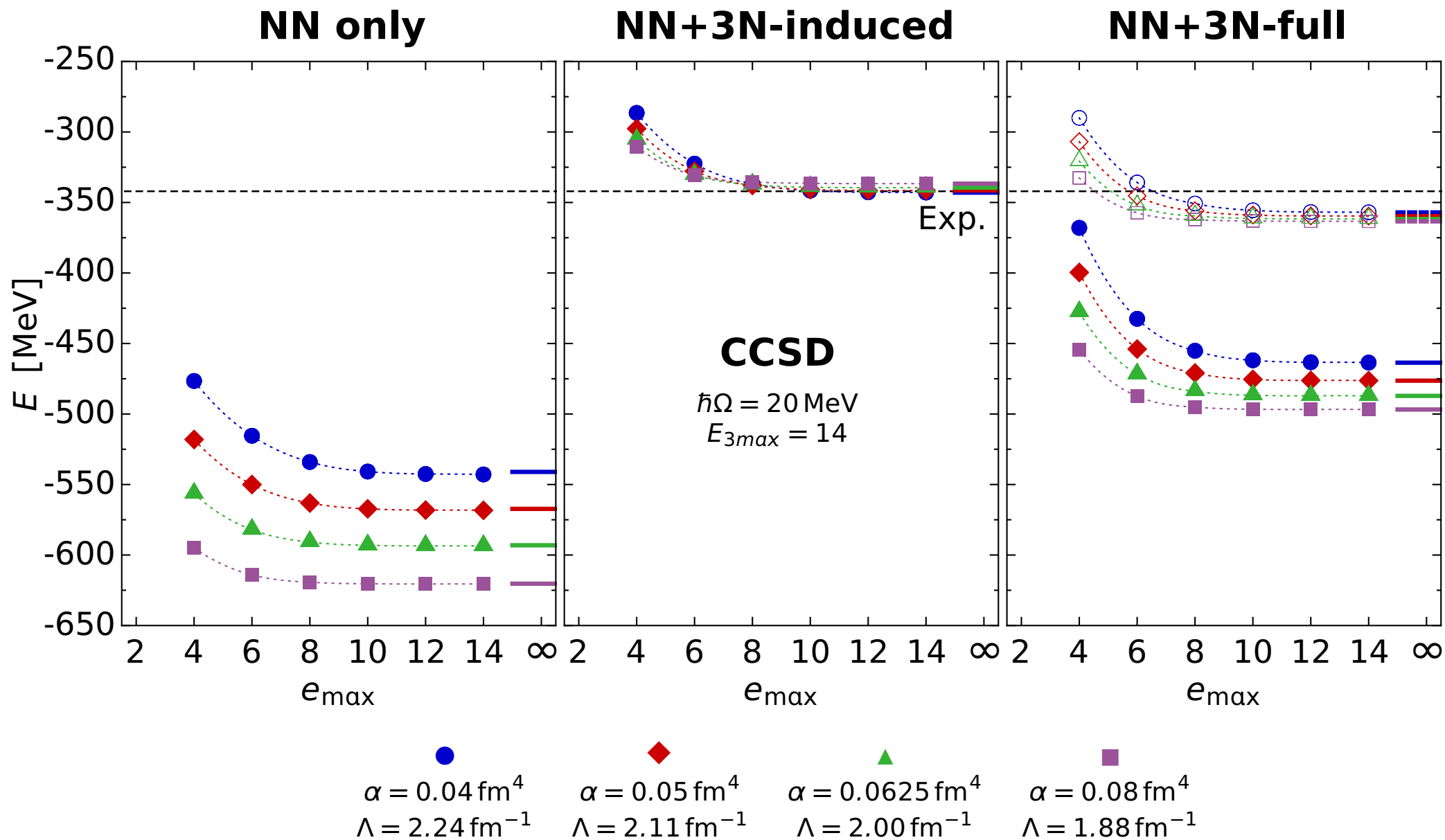
▲ $\alpha = 0.0625 \text{ fm}^4$
 $\Lambda = 2.00 \text{ fm}^{-1}$

■ $\alpha = 0.08 \text{ fm}^4$
 $\Lambda = 1.88 \text{ fm}^{-1}$

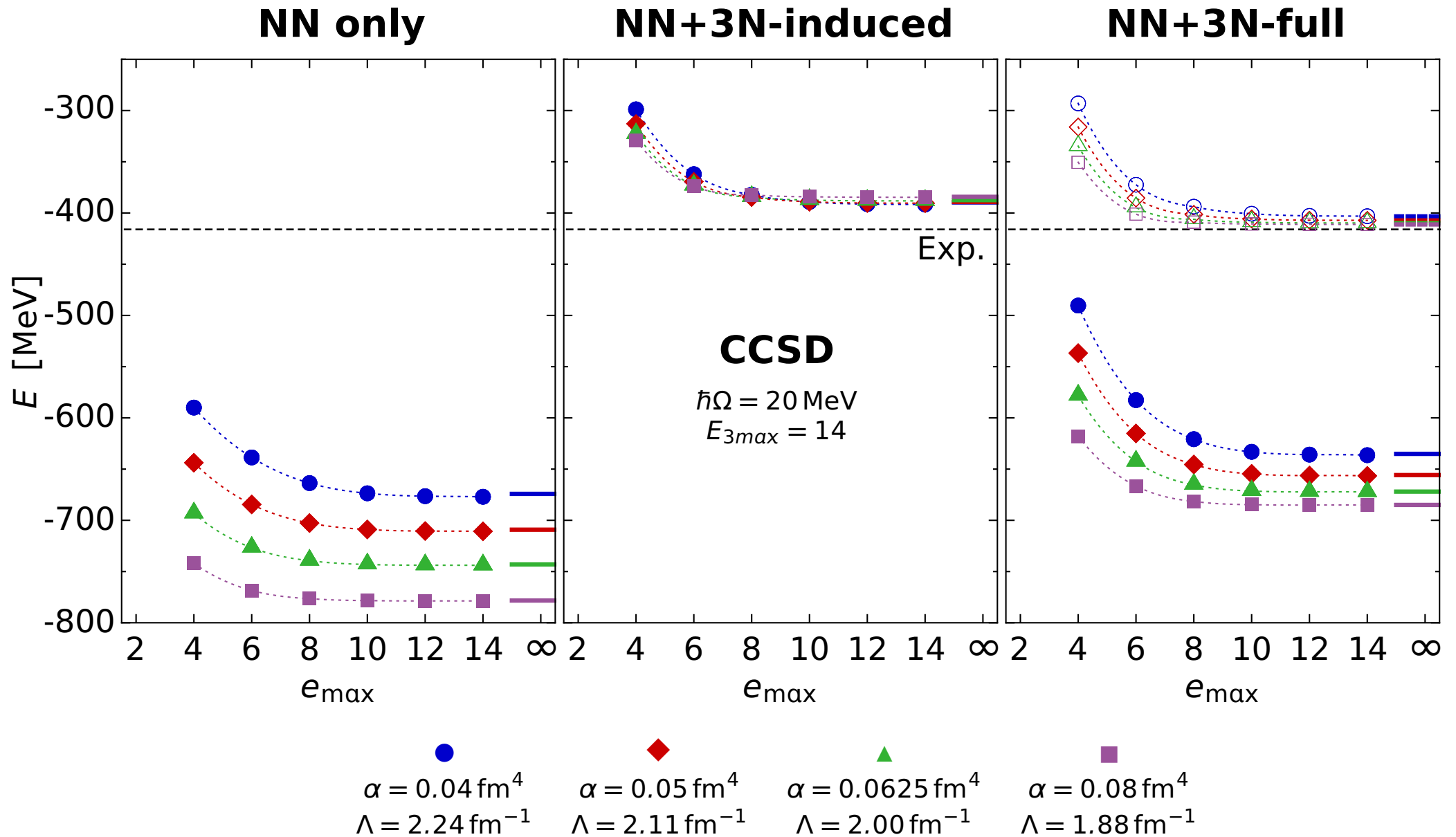
^{24}O : Coupled-Cluster with $3N_{\text{NO2B}}$



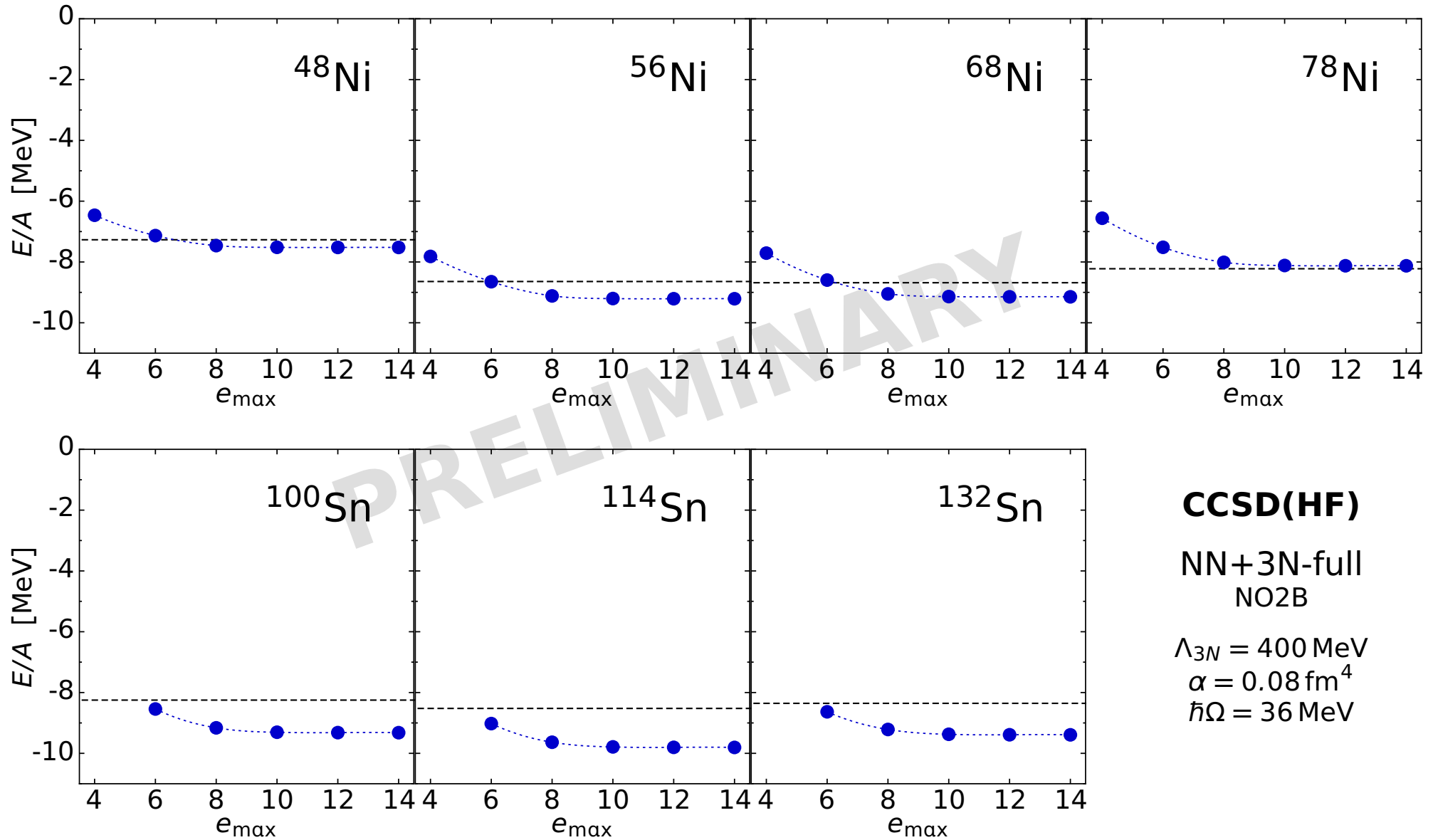
^{40}Ca : Coupled-Cluster with $3N_{\text{NO2B}}$



^{48}Ca : Coupled-Cluster with $3N_{\text{NO2B}}$



Chiral 3N for Heavy Nuclei



Epilogue

■ thanks to my group & my collaborators

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- S. Quaglioni
LLNL Livermore, USA
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Michigan State University, USA
- H. Hergert
Ohio State University, USA
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IPN Orsay, F
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Chalmers University, Sweden
- H. Feldmeier, T. Neff
GSI Helmholtzzentrum



Deutsche
Forschungsgemeinschaft
DFG



 **LOEWE** – Landes-Offensive
zur Entwicklung Wissenschaftlich-
ökonomischer Exzellenz



COMPUTING TIME

