# From Realistic Interactions to Shell Model, Hartree-Fock and RPA:

Correlations in the Nuclear Many-Body Problem



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#### Overview

#### Motivation

#### Modern Effective Interactions

• Correlations & Unitary Correlation Operator Method

#### Applications

- No Core Shell Model
- Hartree-Fock & Beyond
- Random Phase Approximation & Beyond

#### Nuclear Structure in the 21<sup>st</sup> Century

NUSTAR @ FAIR RIBF @ RIKEN SPIRAL2 @ GANIL EURISOL @ CERN

nuclei far-off stability

Nuclear Astrophysics exotic modes hyper-nuclei,...

reliable nuclear structure theory for exotic nuclei

bridging between low-energy QCD and nuclear structure theory

## Modern Nuclear Structure Theory



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# Realistic NN-Potentials

#### QCD motivated

- symmetries, meson-exchange picture
- chiral effective field theory

#### short-range phenomenology

• short-range parametrization or contact terms

#### experimental two-body data

 scattering phase-shifts & deuteron properties reproduced with high precision

#### supplementary three-nucleon force

• adjusted to spectra of light nuclei



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# Argonne V18 Potential



#### Deuteron: Manifestation of Correlations

 $M_S=0 \ rac{1}{\sqrt{2}}(\left|\uparrow\downarrow
ight
angle+\left|\downarrow\uparrow
ight
angle)$ 





- spin-projected two-body density  $\rho_{1,M_S}^{(2)}(\vec{r})$
- exact deuteron solution for Argonne V18 potential

two-body density fully suppressed at small particle distances  $|\vec{r}|$ **central correlations**  angular distribution depends strongly on relative spin orientation

tensor correlations

## Ab initio Methods: GFMC



## Modern Nuclear Structure Theory



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### Modern Nuclear Structure Theory



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# Why Effective Interactions?

#### **Realistic Potentials**

- generate strong correlations in many-body states
- short-range central & tensor correlations most important

#### **Many-Body Methods**

- rely on truncated many-nucleon Hilbert spaces
- not capable of describing shortrange correlations
- extreme: Hartree-Fock based on single Slater determinant

#### **Modern Effective Interactions**

- adapt realistic potential to the available model space
- conserve experimentally constrained properties (phase shifts)

Unitary Correlation Operator Method (UCOM)

### Unitary Correlation Operator Method

#### **Correlation Operator**

introduce short-range correlations by means of a unitary transformation with respect to the relative coordinates of all pairs

$$\mathbf{C} = \exp[-\mathrm{i}\,\mathrm{G}] = \exp\left[-\mathrm{i}\sum_{i < j}\mathrm{g}_{ij}
ight]$$

Correlated States  $\ket{\widetilde{\psi}} = \mathbf{C} \ket{\psi}$ 

 $\label{eq:correlated Operators} \widetilde{\mathbf{O}} = \mathbf{C}^\dagger \; \mathbf{O} \; \mathbf{C}$ 

$$ig\langle \widetilde{\psi} ig| \, \mathrm{O} ig| \widetilde{\psi'} ig
angle = ig\langle \psi ig| \, \mathbf{C^\dagger} \, \, \mathrm{O} \, \, \mathbf{C} ig| \psi' ig
angle = ig\langle \psi ig| \, \widetilde{\mathrm{O}} ig| \psi' ig
angle$$

 $G^{\dagger} = G$  $C^{\dagger}C = 1$ 

### Central and Tensor Correlators

 $\mathrm{C}=\mathrm{C}_{\Omega}\mathrm{C}_{r}$ 

#### Central Correlator $C_r$

 radial distance-dependent shift in the relative coordinate of a nucleon pair

$$egin{aligned} \mathbf{g}_r &= rac{1}{2} ig[ s(\mathbf{r}) \; \mathbf{q}_r + \mathbf{q}_r \; s(\mathbf{r}) ig] \ \mathbf{q}_r &= rac{1}{2} ig[ rac{ec{\mathbf{r}}}{\mathbf{r}} \cdot ec{\mathbf{q}} + ec{\mathbf{q}} \cdot rac{ec{\mathbf{r}}}{\mathbf{r}} ig] \end{aligned}$$

#### Tensor Correlator $C_{\Omega}$

 angular shift depending on the orientation of spin and relative coordinate of a nucleon pair

$$egin{aligned} &\mathbf{g}_\Omega = rac{3}{2} artheta(\mathbf{r}) ig[ (ec{\sigma}_1 \cdot ec{\mathbf{q}}_\Omega) (ec{\sigma}_2 \cdot ec{\mathbf{r}}) + (ec{\mathbf{r}} \leftrightarrow ec{\mathbf{q}}_\Omega) ig] \ & ec{\mathbf{q}}_\Omega = ec{\mathbf{q}} - rac{ec{\mathbf{r}}}{\mathbf{r}} \ \mathbf{q}_r \end{aligned}$$

s(r) and  $\vartheta(r)$ for given potential determined in the two-body system

#### Correlated States: The Deuteron



## Correlated Interaction: $V_{\text{UCOM}}$

$$\widetilde{\mathbf{H}} = \mathbf{T} + \mathbf{V}_{UCOM} + \mathbf{V}_{UCOM}^{[3]} + \cdots$$

- closed operator expression for the correlated interaction  $V_{UCOM}$  in two-body approximation
- correlated interaction and original NN-potential are phase shift equivalent by construction
- unitary transformation results in a pre-diagonalization of Hamiltonian (similar to renormalization group methods)
- operators of all observables (densities, transitions) have to be and can be transformed consistently

# Simplistic "Shell-Model" Calculation

 expectation value of Hamiltonian (with AV18) for Slater determinant of harmonic oscillator states



# Application I No-Core Shell Model

in collaboration with Petr Navrátil (LLNL)

#### Reminder: No-Core Shell Model

many-body state is expanded in Slater determinants  $|SD_i\rangle$  composed of harmonic oscillator single-particle states

$$\left|\Psi
ight
angle = \sum_{i} C_{i} \left|\mathrm{SD}_{i}
ight
angle$$

•  $\mathcal{N}_{\max}\hbar\omega$  model space: truncate basis of Slater determinants with respect to number of oscillator quanta (unperturbed excitation energy)

with increasing model space size more and more **correlations can be described** by the shell model states

facilitates systematic study of short- and longrange correlations

# <sup>4</sup>He: Convergence



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# Tjon-Line and Correlator Range



Tjon-line: E(<sup>4</sup>He) vs. E(<sup>3</sup>H) for phase-shift equivalent NNinteractions

# Tjon-Line and Correlator Range



- Tjon-line: E(<sup>4</sup>He) vs. E(<sup>3</sup>H) for phase-shift equivalent NNinteractions
- change of C<sub>Ω</sub>-correlator range results in shift along Tjon-line

minimize net three-body force by choosing correlator with energies close to experimental value

# Tjon-Line and Correlator Range



- **Tjon-line**: *E*(<sup>4</sup>He) vs. *E*(<sup>3</sup>H) for phase-shift equivalent NN-interactions
- change of C<sub>Ω</sub>-correlator range results in shift along Tjon-line

minimize net three-body force by choosing correlator with energies close to experimental value

# <sup>6</sup>Li: NCSM throughout the p-Shell



# <sup>10</sup>B: Hallmark of a 3N Interaction?



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# Outlook: NCSM beyond the p-Shell

#### NCSM

- converged calculations essentially restricted to p-shell
- 6ħω for <sup>40</sup>Ca presently not feasible (~10<sup>10</sup> states)

#### **Importance Truncation**

- diagonalization in space of important configurations
- a priori importance measure given by perturbation theory



Application II: Hartree-Fock & Beyond ground state approximated by a single Slater determinant

$$\left|\Psi
ight
anglepprox\left| ext{HF}
ight
angle=\left|\phi_{1},\phi_{2},\ldots,\phi_{A}
ight
angle_{a}$$

• variational calculation: single-particle states  $|\phi_i\rangle$  determined by minimizing the energy expectation value

$$E_{ ext{HF}} = ig\langle ext{HF} ig| \, ext{H}_{ ext{int}} ig| ext{HF} ig
angle = rac{1}{2} \sum_{i,j=1}^{A} \left. _{a} ig\langle \phi_{i} \phi_{j} ig| \left( ext{T}_{ ext{int}} + ext{V} 
ight) ig| \phi_{i} \phi_{j} ig
angle_{a}$$

single Slater determinant by definition cannot describe any correlations (independent particle state)

Hartree-Fock solution is starting point for improved calculations

# Hartree-Fock with V<sub>UCOM</sub>



## Perturbation Theory with $V_{UCOM}$



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Application III RPA & Beyond

## Reminder: Random Phase Approximation

• describe excited states via vibration creation operator  $Q^{\dagger}_{\nu}$ 

$$Q_
u |{
m RPA}
angle = 0 \qquad \qquad Q_
u^\dagger |{
m RPA}
angle = |
u
angle$$

■ ansatz for vibration creation operator  $Q_{\nu}^{\dagger}$  including 1p1h excitations with respect to HF single-particle basis

$$Q^{\dagger}_{
u} = \sum_{ph} X^{
u}_{ph} a^{\dagger}_{p} a_{h} - \sum_{ph} Y^{
u}_{ph} a^{\dagger}_{h} a_{p}$$

formal solution of eigenvalue problem via equations of motion method approximating vacuum state by  $|HF\rangle$  yields RPA equations

$$egin{pmatrix} A & B \ -B^* & -A^* \ \end{pmatrix} egin{pmatrix} X^
u \ Y^
u \ \end{pmatrix} = E_
u egin{pmatrix} X^
u \ Y^
u \ \end{pmatrix}$$

 $A_{ph,p'h'} = \delta_{pp'}\delta_{hh'}(\epsilon_p - \epsilon_h) + ig\langle hp' ig| \operatorname{H}_{\operatorname{int}} ig| ph' ig
angle \qquad B_{ph,p'h'} = ig\langle hh' ig| \operatorname{H}_{\operatorname{int}} ig| pp' ig
angle$ 

**self-consistent** solution using the same Hamiltonian  $H_{int}$  as in HF

# Isoscalar Giant Monopole



### Isovector Dipole & Isoscalar Quadrupole



## Outlook: Second-RPA



— RPA (full 1p1h space)
— SRPA (full 1p1h+2p2h space)

(11 major shells,  $\Gamma = 2 \,\mathrm{MeV}$ )

complex configurations have significant impact on response

## Outlook: Second-RPA



## Conclusions

#### Unitary Correlation Operator Method (UCOM)

- explicit description of short-range central and tensor correlations
- universal phase-shift equivalent correlated interaction  $V_{\text{UCOM}}$

#### Innovative Many-Body Methods

- No-Core Shell Model
- Hartree-Fock, MBPT, SM/CI, CC, RPA, ERPA, SRPA,...
- Fermionic Molecular Dynamics

unified description of nuclear structure across the whole nuclear chart is within reach

# Epilogue

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