

# Ab Initio Calculations Beyond the p-Shell

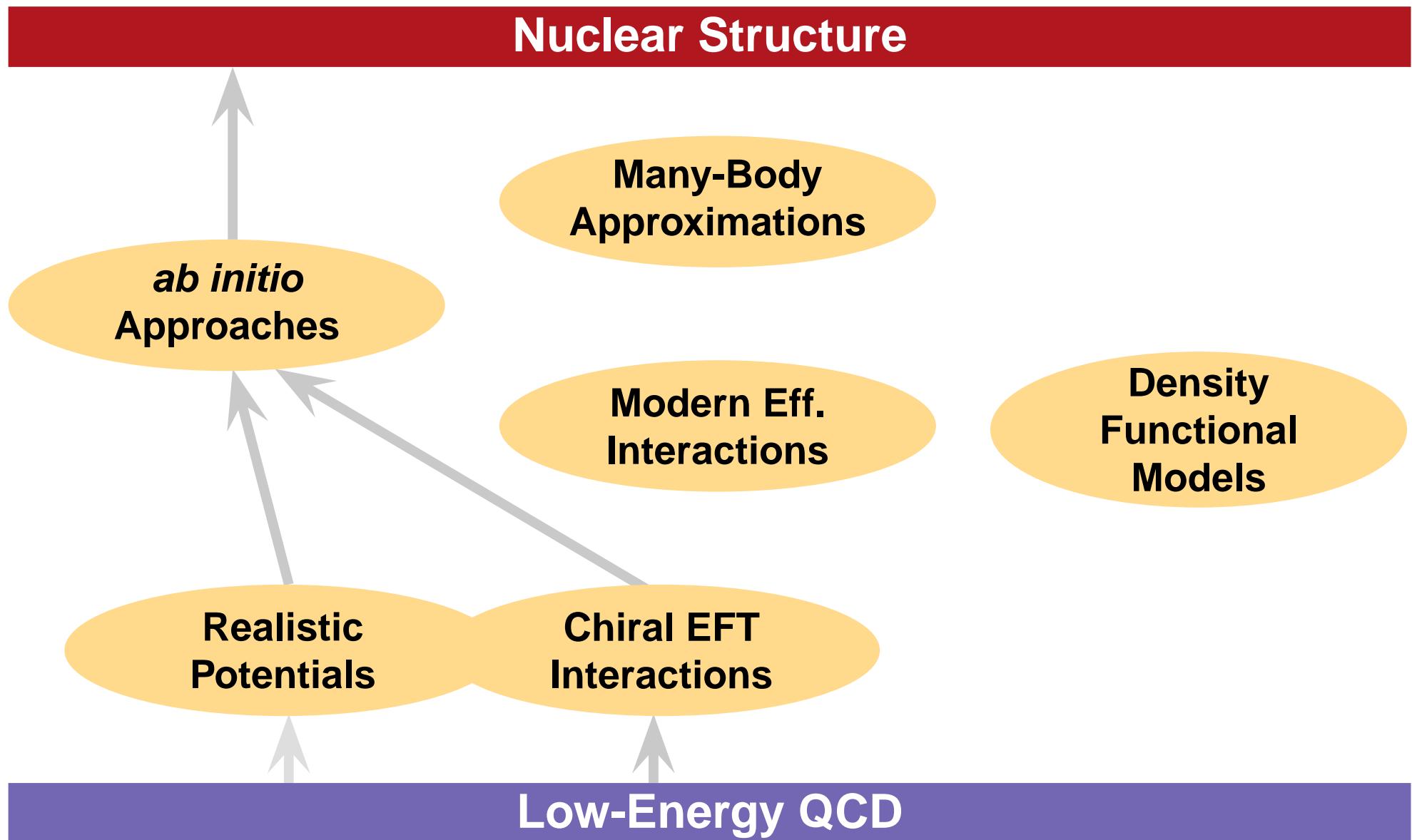


Robert Roth  
Institut für Kernphysik  
Technische Universität Darmstadt

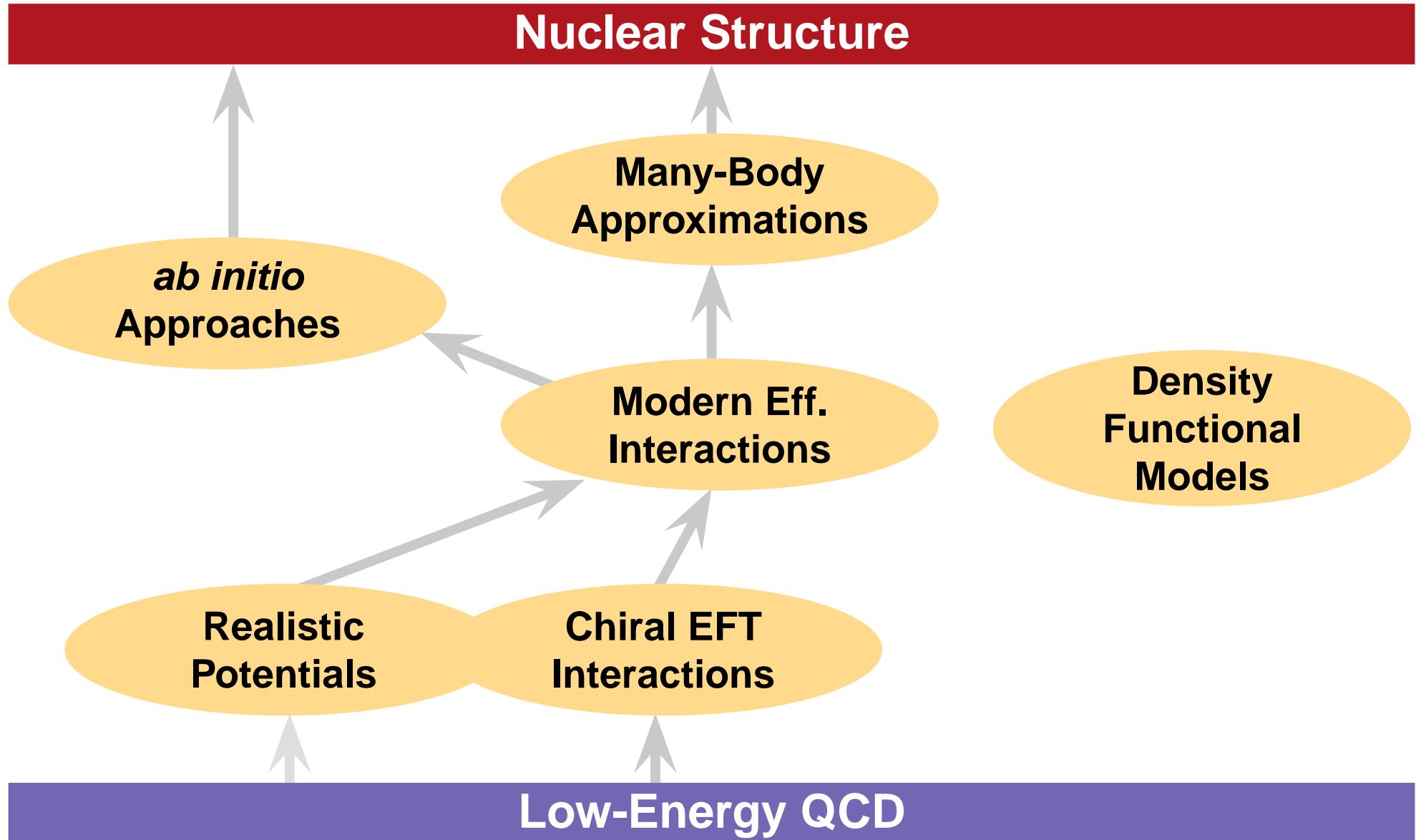
# Overview

- Reminder: Modern Effective Interactions
- No-Core Shell Model
- Importance Truncated NCSM
- Conclusions & Perspectives

# Modern Nuclear Structure Theory



# Modern Nuclear Structure Theory



# Unitary Correlation Operator Method

## Correlation Operator

define an unitary operator  $\mathbf{C}$  to describe  
the effect of short-range correlations

$$\mathbf{C} = \exp[-i\mathbf{G}] = \exp\left[-i\sum_{i < j} \mathbf{g}_{ij}\right]$$

## Correlated States

imprint short-range cor-  
relations onto uncorre-  
lated many-body states

$$|\tilde{\psi}\rangle = \mathbf{C} |\psi\rangle$$

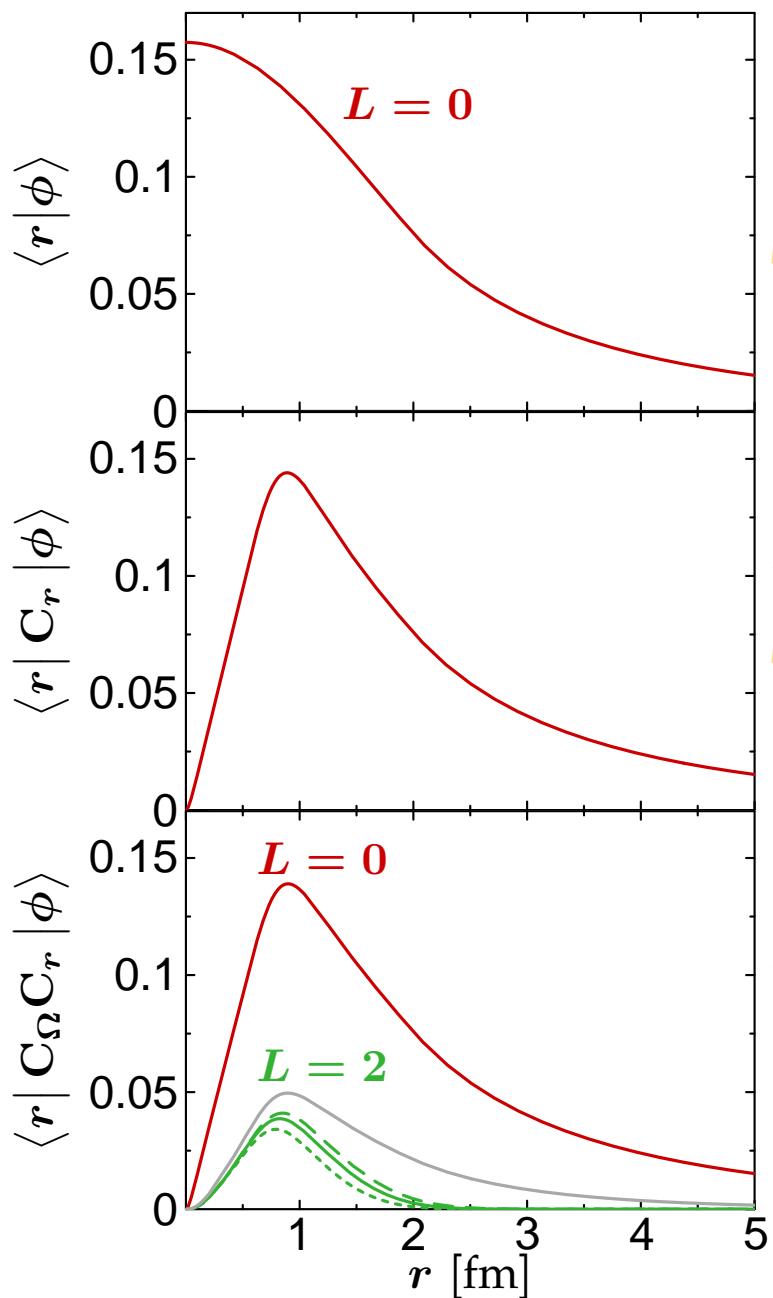
## Correlated Operators

adapt Hamiltonian and all  
other observables to uncor-  
related many-body space

$$\tilde{\mathbf{O}} = \mathbf{C}^\dagger \mathbf{O} \mathbf{C}$$

$$\langle \tilde{\psi} | \mathbf{O} | \tilde{\psi}' \rangle = \langle \psi | \mathbf{C}^\dagger \mathbf{O} \mathbf{C} | \psi' \rangle = \langle \psi | \tilde{\mathbf{O}} | \psi' \rangle$$

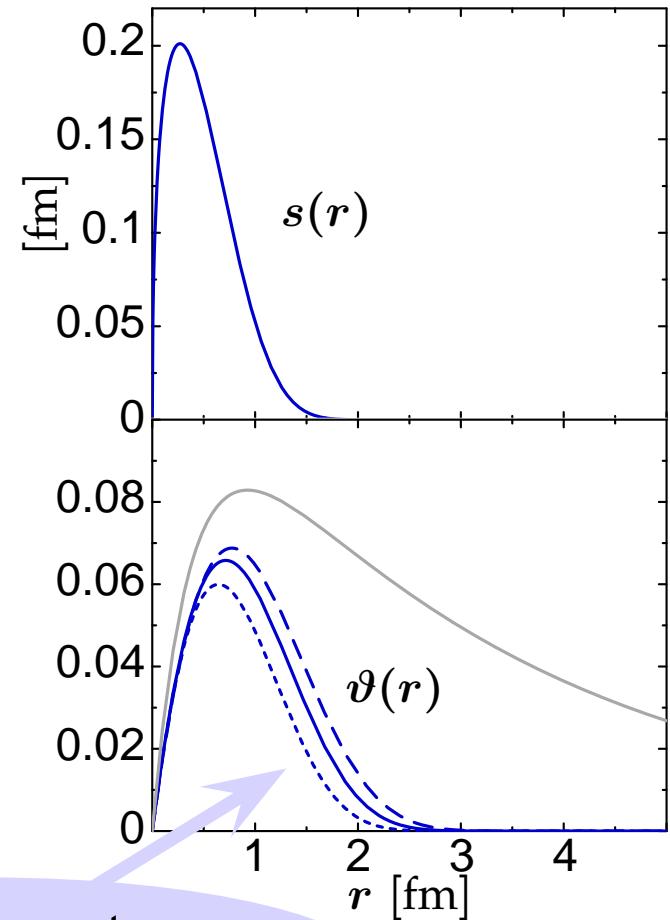
# Correlated States: The Deuteron



central correlations

tensor correlations

only short-range tensor correlations treated by  $C_\Omega$

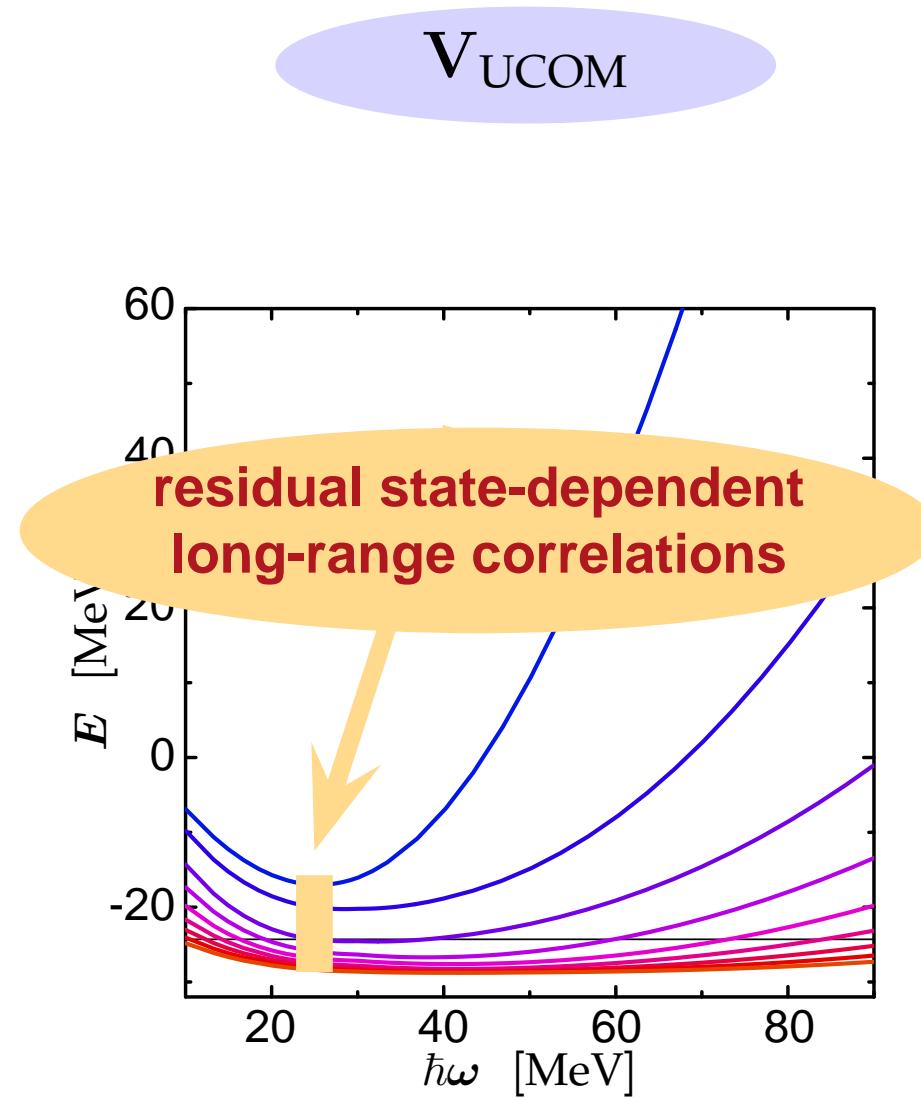
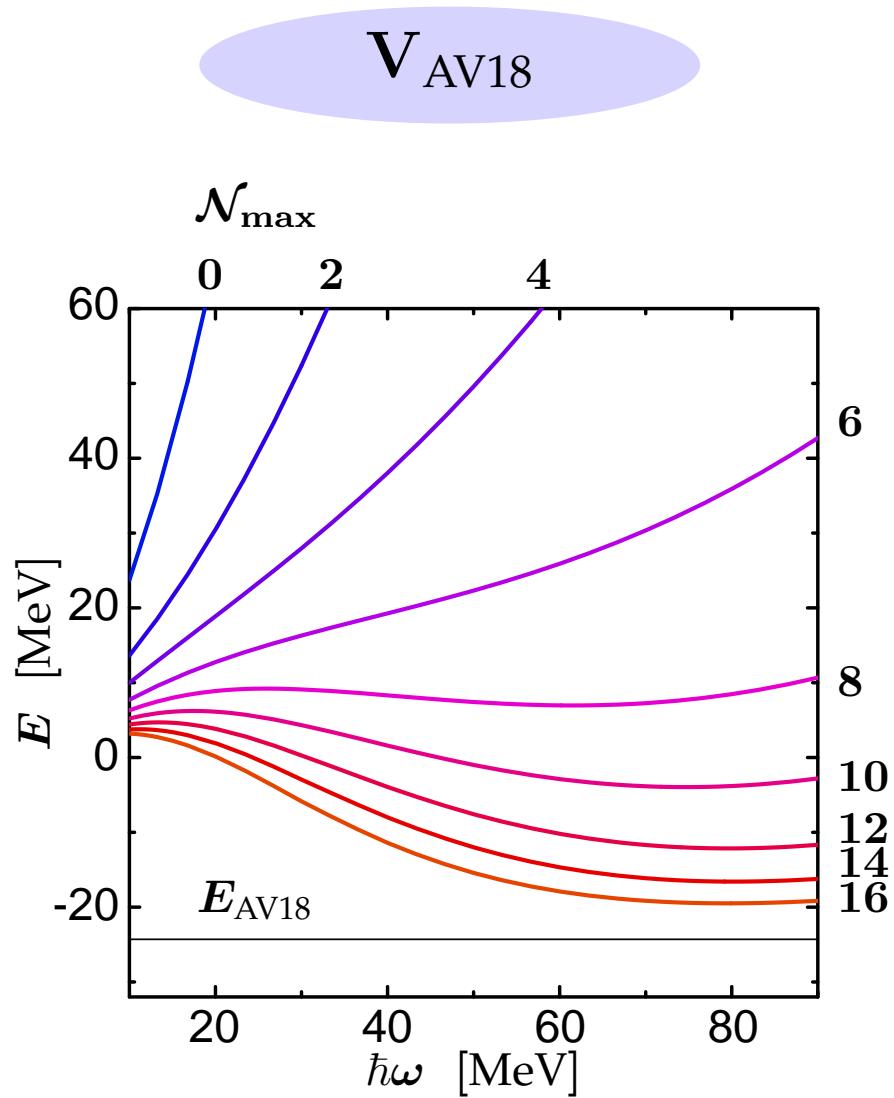


# No-Core Shell Model

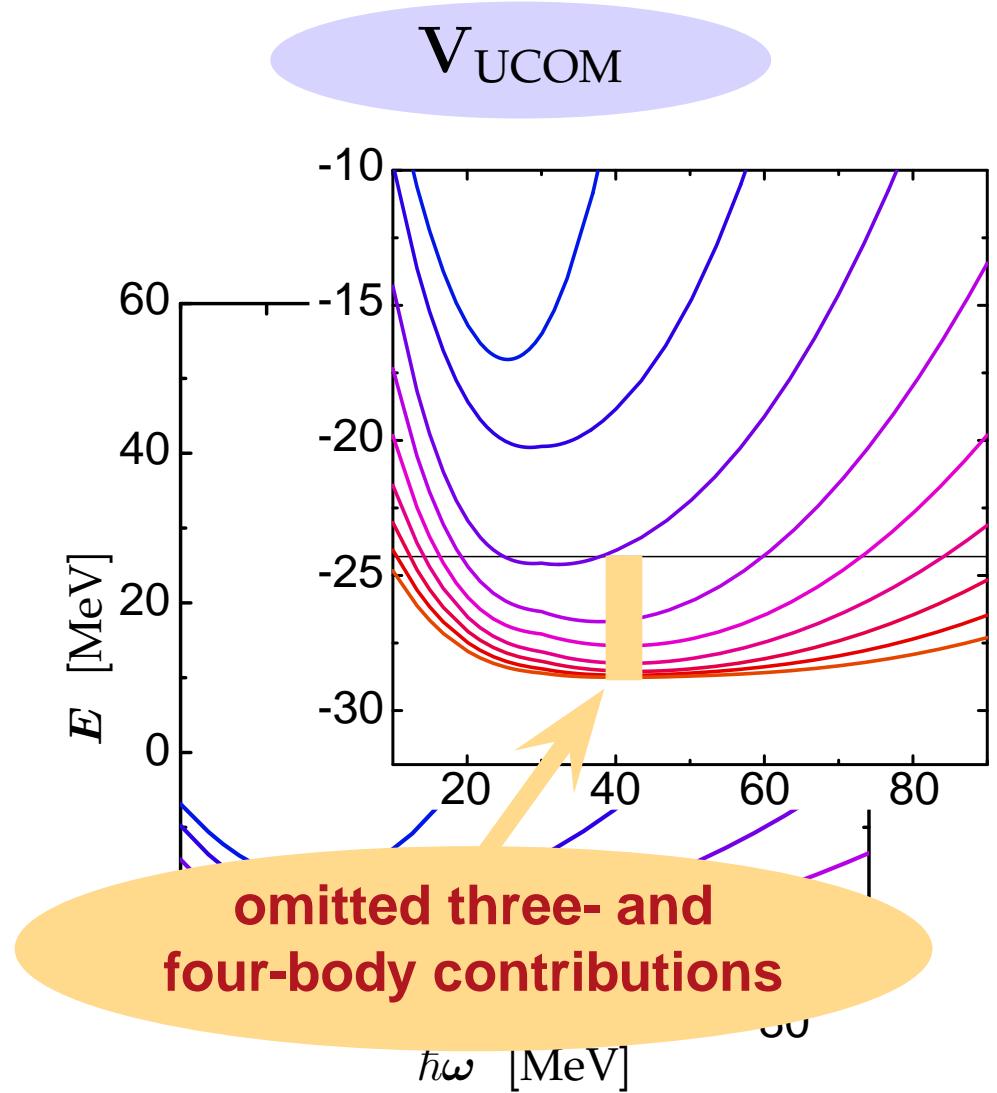
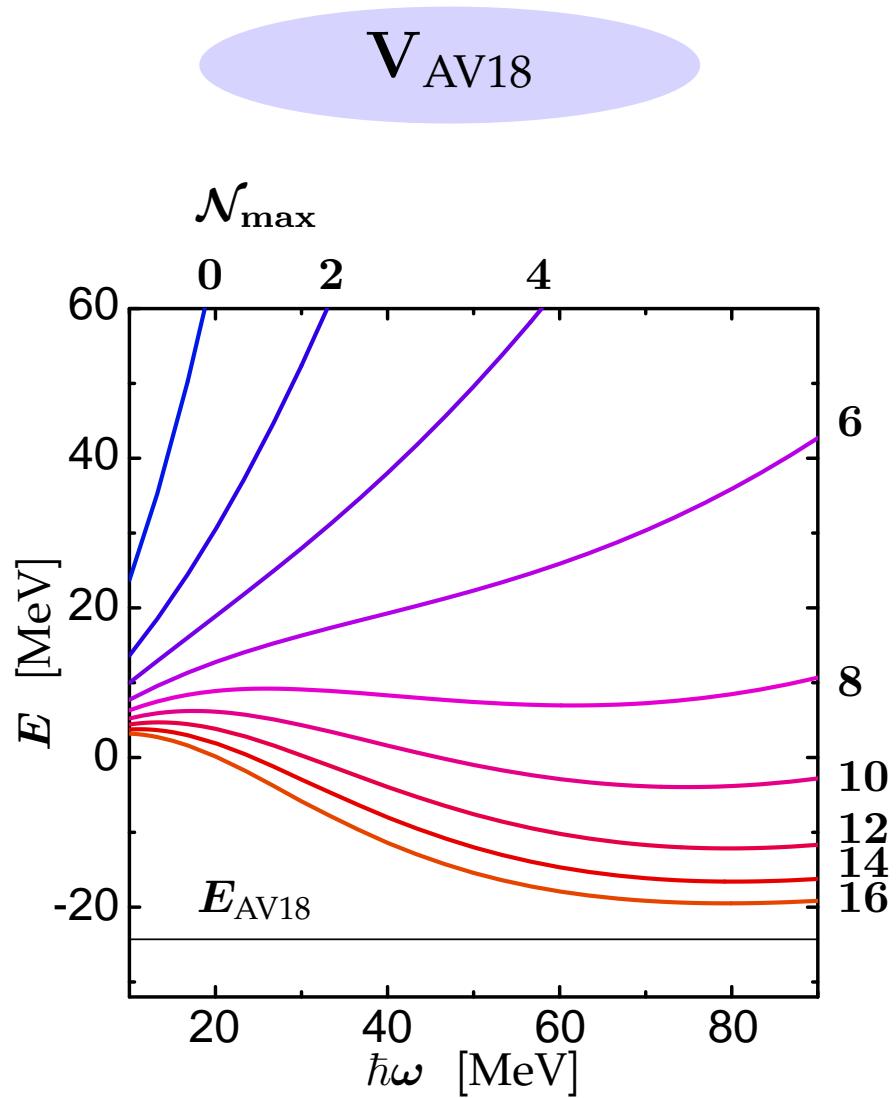
Roth et al. — Phys. Rev. C 72, 034002 (2005)

Roth & Navrátil — in preparation

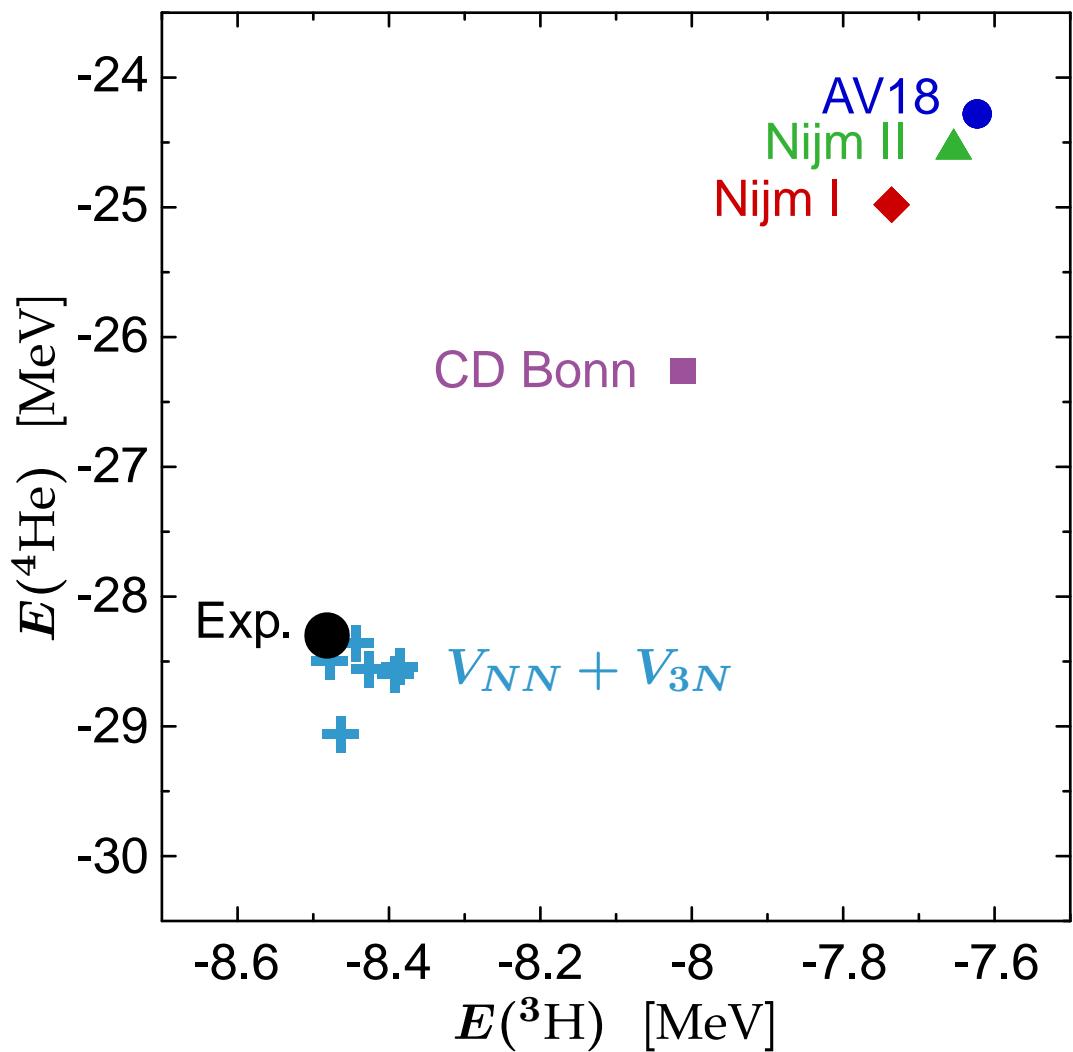
# $^4\text{He}$ : Convergence



# $^4\text{He}$ : Convergence

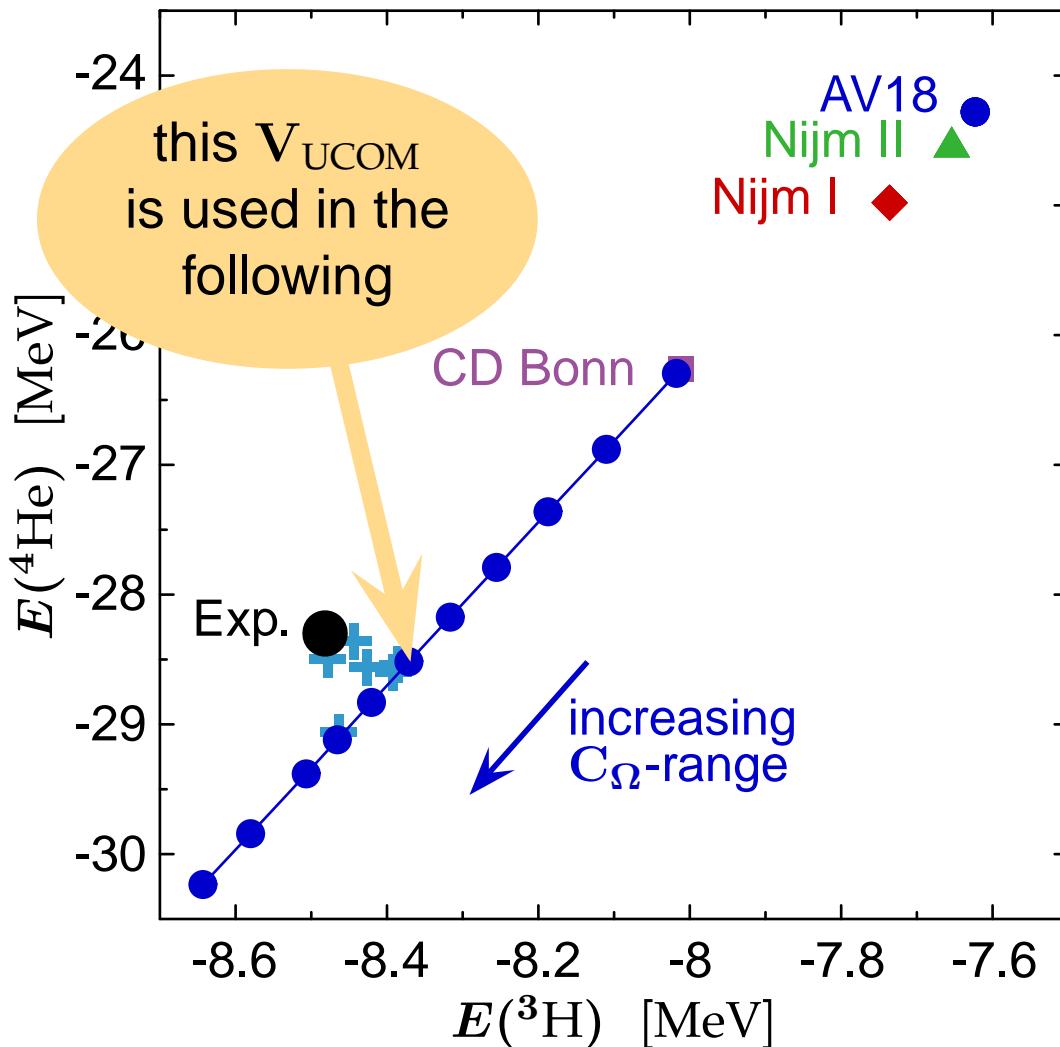


# Three-Body Interactions — Tjon Line



- **Tjon-line**:  $E(^4\text{He})$  vs.  $E(^3\text{H})$  for phase-shift equivalent NN-interactions

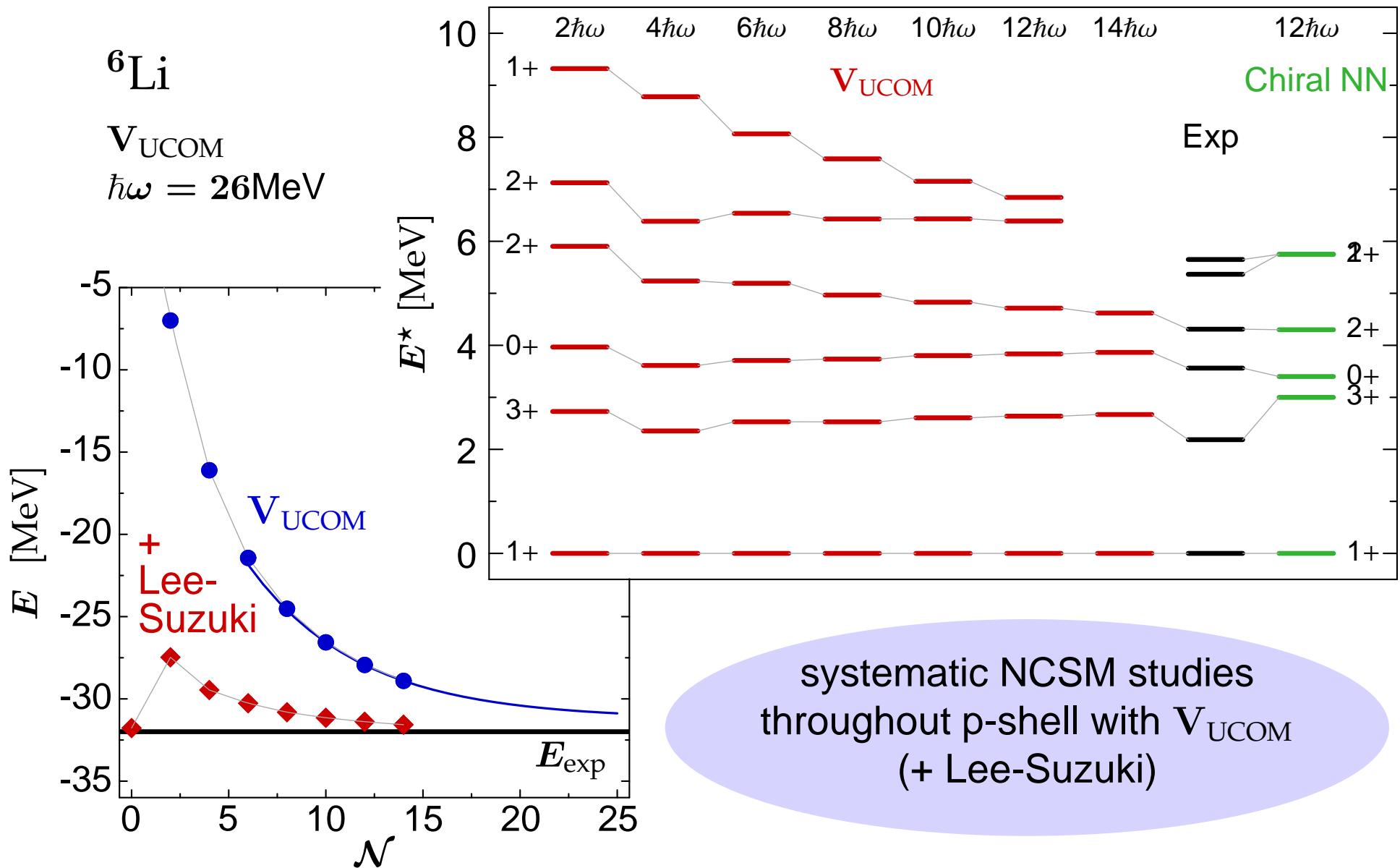
# Three-Body Interactions — Tjon Line



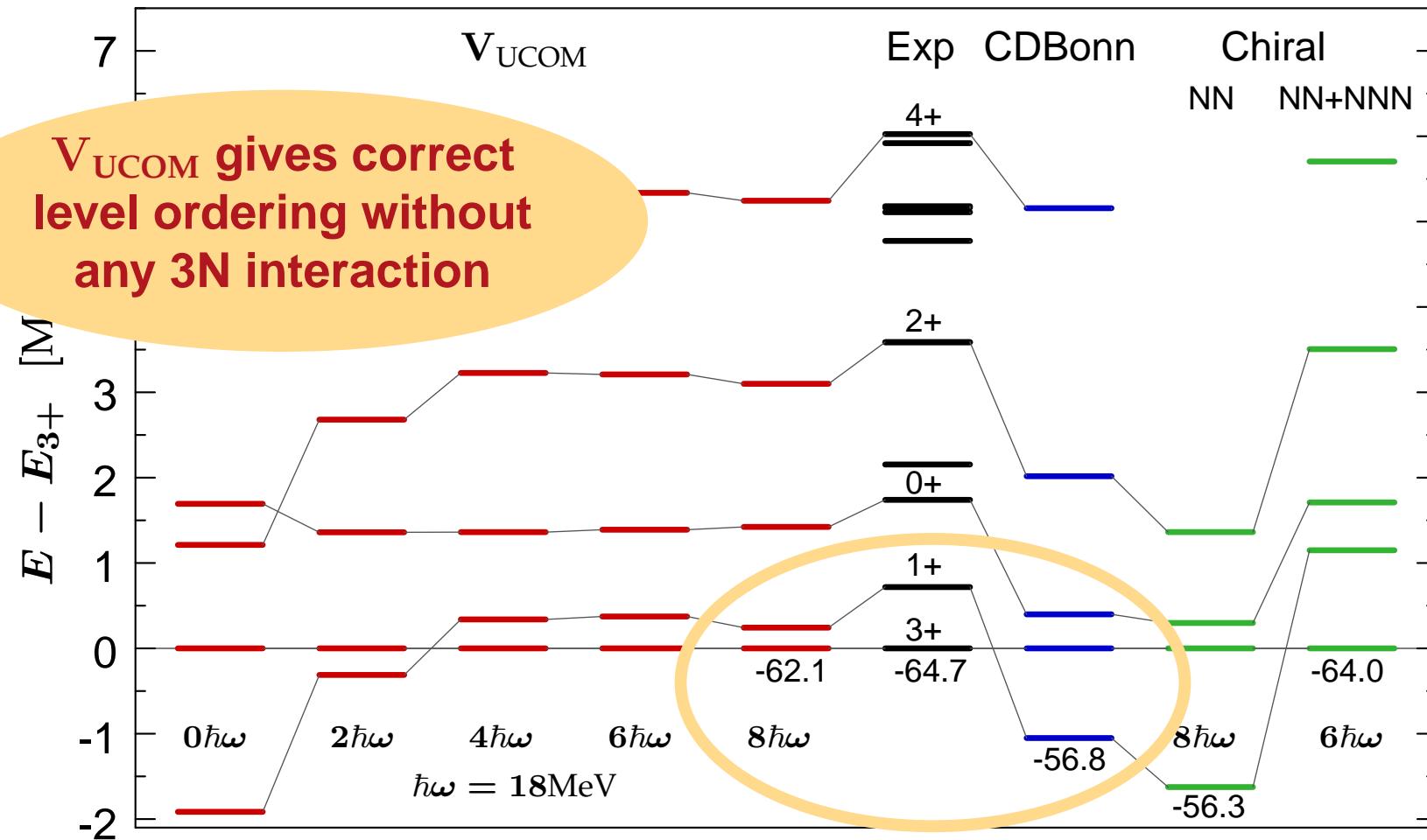
- **Tjon-line:**  $E(^4\text{He})$  vs.  $E(^3\text{H})$  for phase-shift equivalent NN-interactions
- change of  $C_\Omega$ -correlator range results in shift along Tjon-line

**minimize net  
three-body force**  
by choosing correlator  
with energies close to  
experimental value

# $^6\text{Li}$ : NCSM throughout the p-Shell



# $^{10}\text{B}$ : Hallmark of a 3N Interaction?

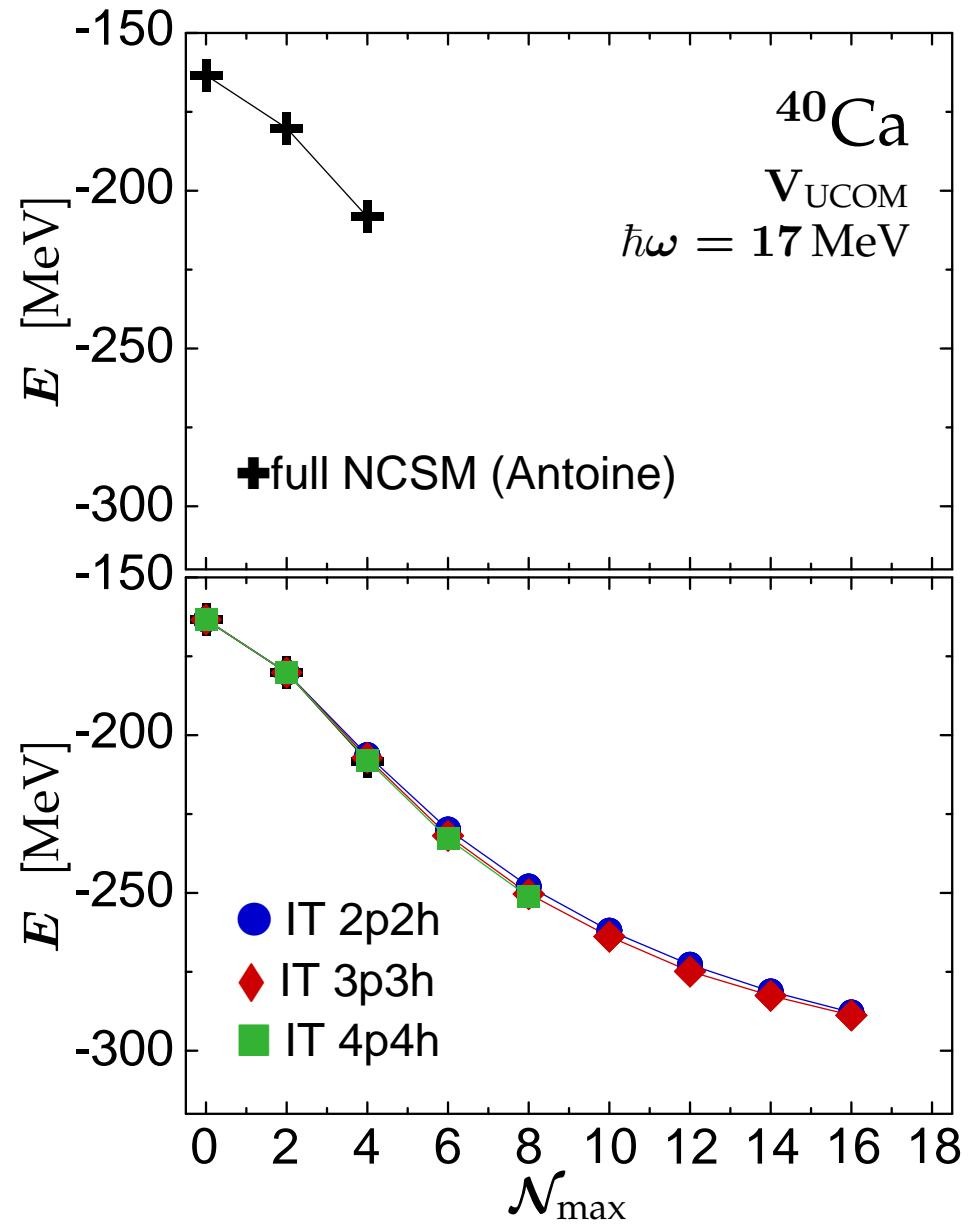
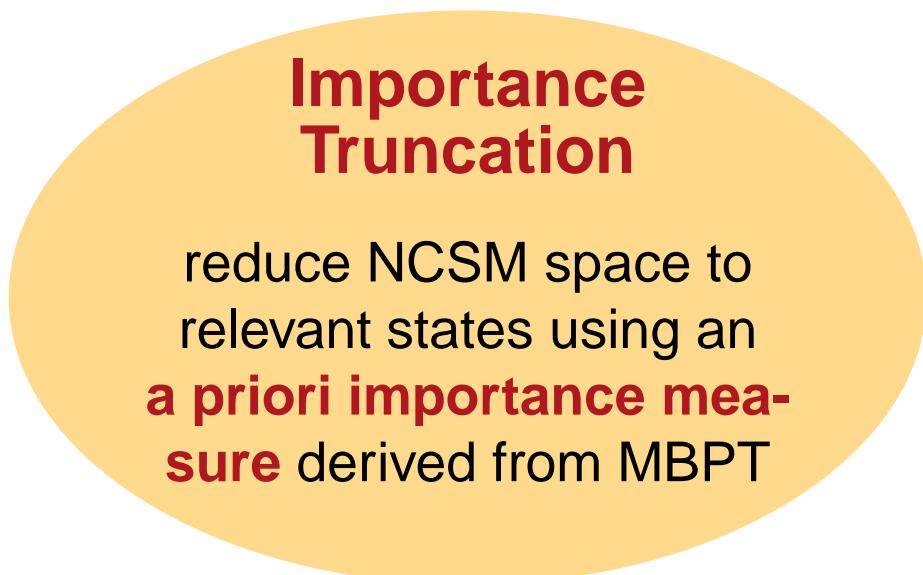


# Importance Truncated No-Core Shell Model

Roth & Navrátil — arXiv: 0705.4069

# Importance Truncated NCSM

- converged NCSM calculations essentially restricted to p-shell
- full  $6\hbar\omega$  calculation for  $^{40}\text{Ca}$  presently not feasible (basis dimension  $\sim 10^{10}$ )



# General Idea

- given an intrinsic Hamiltonian

$$H_{\text{int}} = T - T_{\text{cm}} + V = H_0 + H'$$

and an unperturbed Hamiltonian  $H_0$  with eigenstates  $|\Phi_\nu\rangle$

- consider lowest-order **perturbation theory** to construct a correction  $|\Psi^{(1)}\rangle$  to the unperturbed reference state  $|\Psi^{(0)}\rangle$

$$|\Psi^{(0)}\rangle = |\Psi_{\text{ref}}\rangle = |\Phi_0\rangle \quad |\Psi^{(1)}\rangle = \sum_{\nu \neq \text{ref}} \kappa_\nu |\Phi_\nu\rangle$$

- perturbative estimate of amplitudes serves as **measure for importance of individual basis states**  $|\Phi_\nu\rangle$

$$\kappa_\nu = -\frac{\langle \Phi_\nu | H' | \Psi_{\text{ref}} \rangle}{E_\nu^{(0)} - E_{\text{ref}}^{(0)}}$$

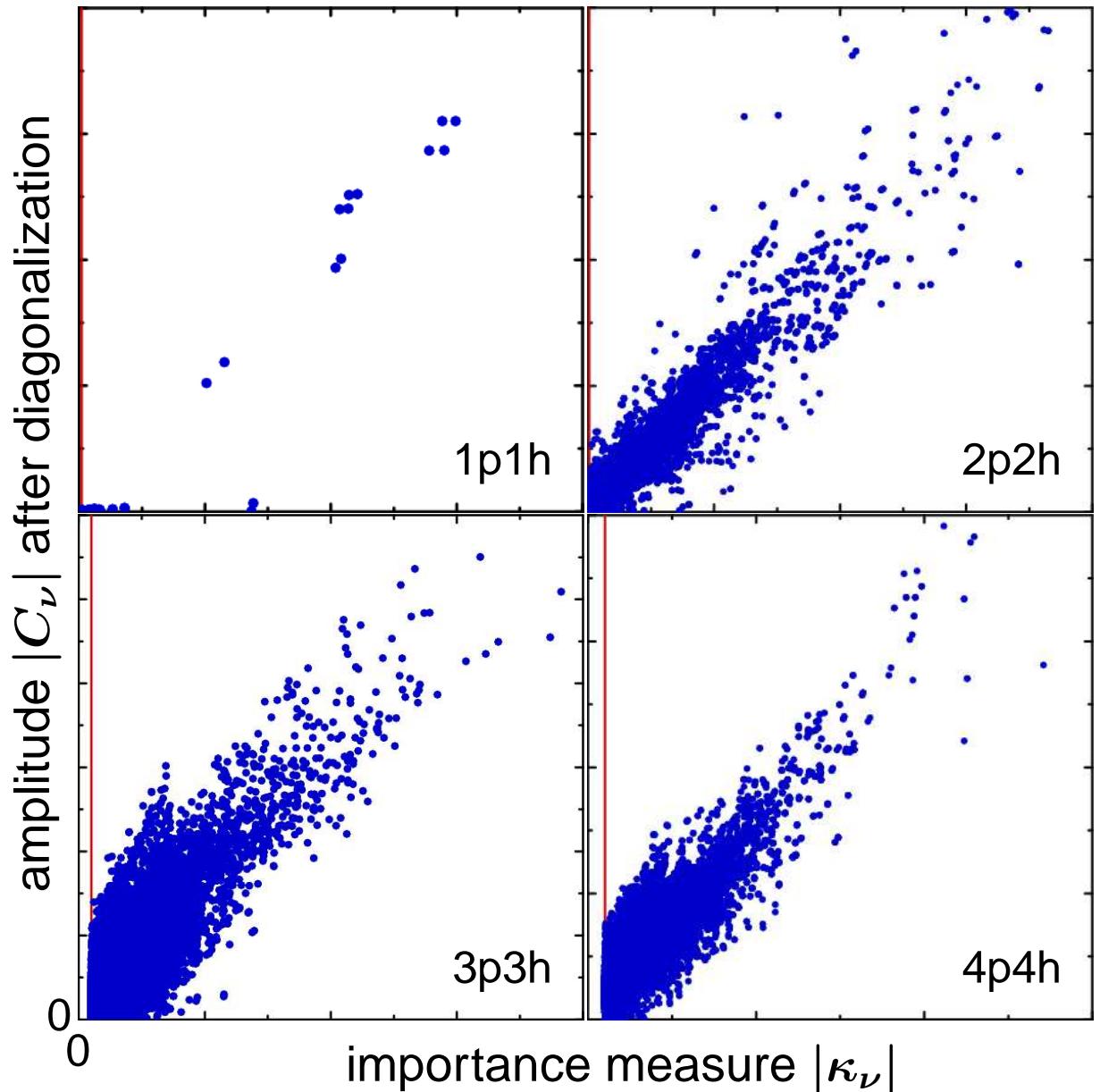
- restrict model space to **important configurations** with  $|\kappa_\nu| \geq \kappa_{\min}$  and solve eigenvalue problem

# Iterative Construction of Model Space

- ① start with reference state  $|\Psi_{\text{ref}}\rangle = |\Phi_0\rangle$  (simplest case)
- ② create 1p1h and 2p2h excitations of  $|\Psi_{\text{ref}}\rangle$  and keep important basis states  $|\Phi_\nu\rangle$  with  $|\kappa_\nu| \geq \kappa_{\min}$
- ③ solve the eigenvalue problem of  $\mathbf{H}_{\text{int}}$  in this model space (up to 2p2h); ground state yields new reference state (dominant components)
- ④ create 1p1h and 2p2h excitations of new  $|\Psi_{\text{ref}}\rangle$  and keep the important basis states with  $|\kappa_\nu| \geq \kappa_{\min}$
- ⑤ solve the eigenvalue problem of  $\mathbf{H}_{\text{int}}$  in resulting model space (up to 4p4h)

...and so on...

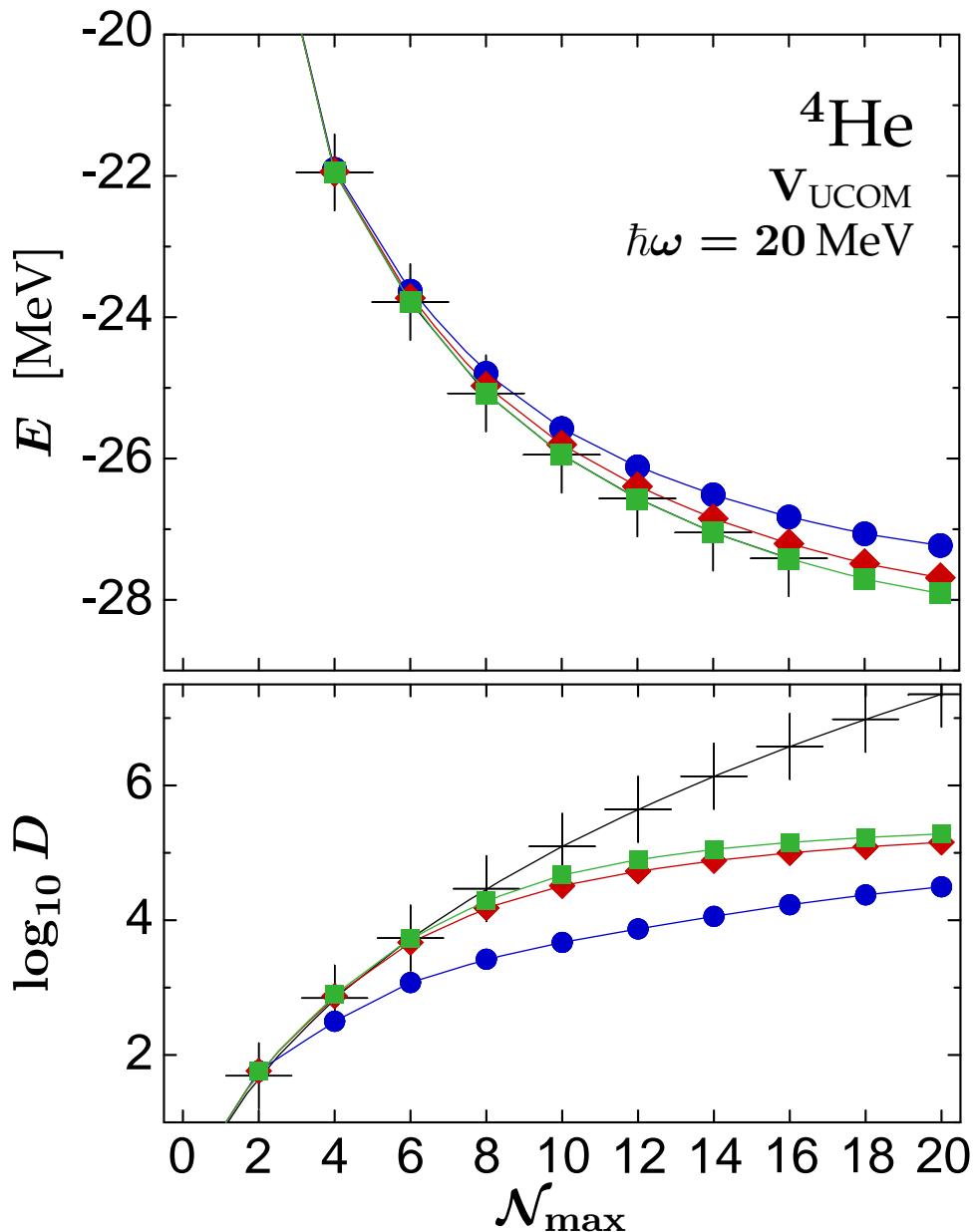
# Importance Measure



- importance measure  $\kappa_\nu$  provides **reliable a priori estimate** of the a posteriori amplitude  $C_\nu$  obtained from diagonalization

$^{16}\text{O}$   
 $\mathbf{V}_{\text{UCOM}}$   
 $\hbar\omega = 20 \text{ MeV}$   
 $\mathcal{N}_{\text{max}} = 6$

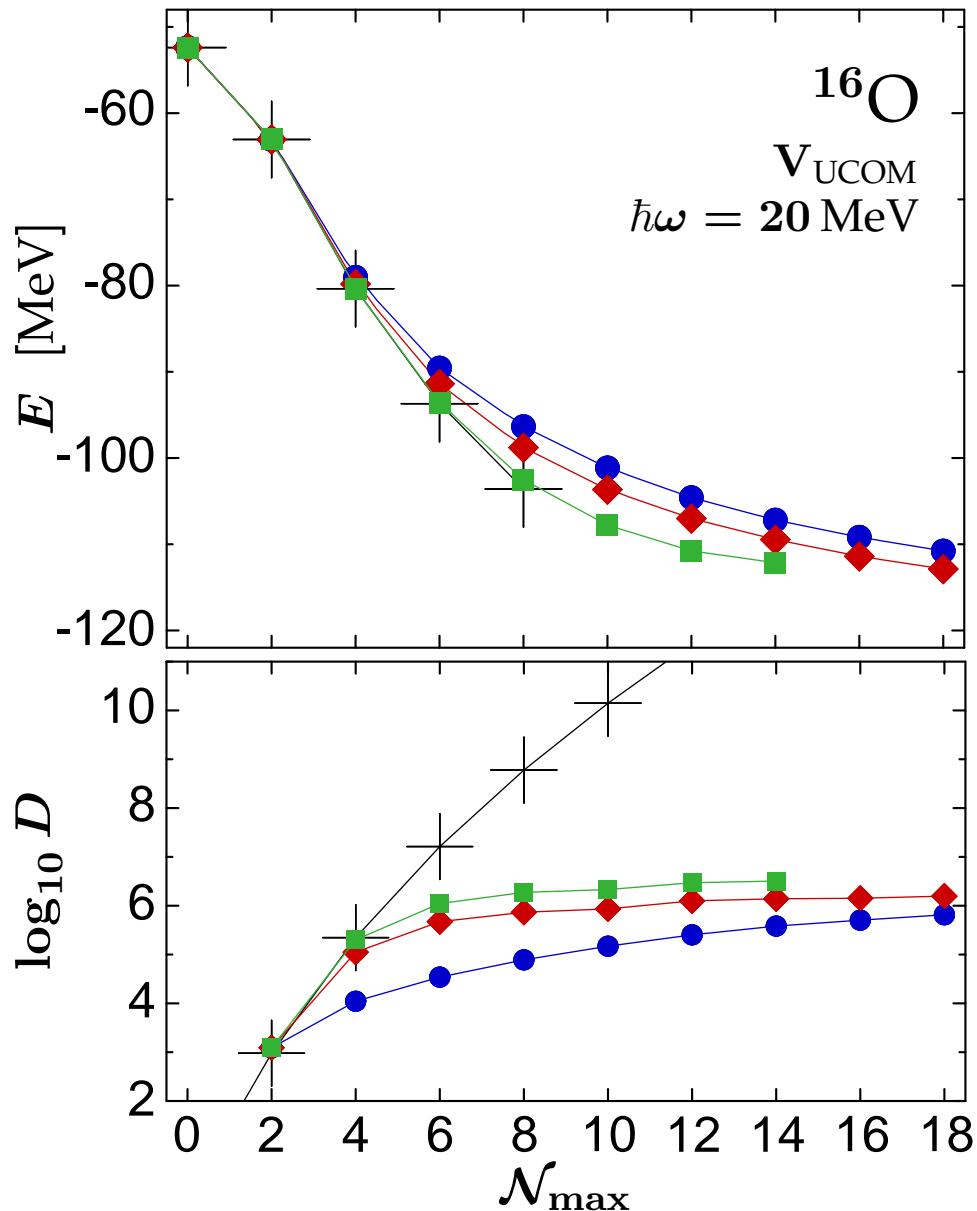
# Benchmark: ${}^4\text{He}$



- **reproduces exact NCSM result**  
with an importance truncated  
basis that is 2 orders of magni-  
tude smaller than the full  $\mathcal{N}_{\max}\hbar\omega$   
space

- + full NCSM (Antoine)
- IT-NCSM 2p2h
- ◆ IT-NCSM 3p3h
- IT-NCSM 4p4h

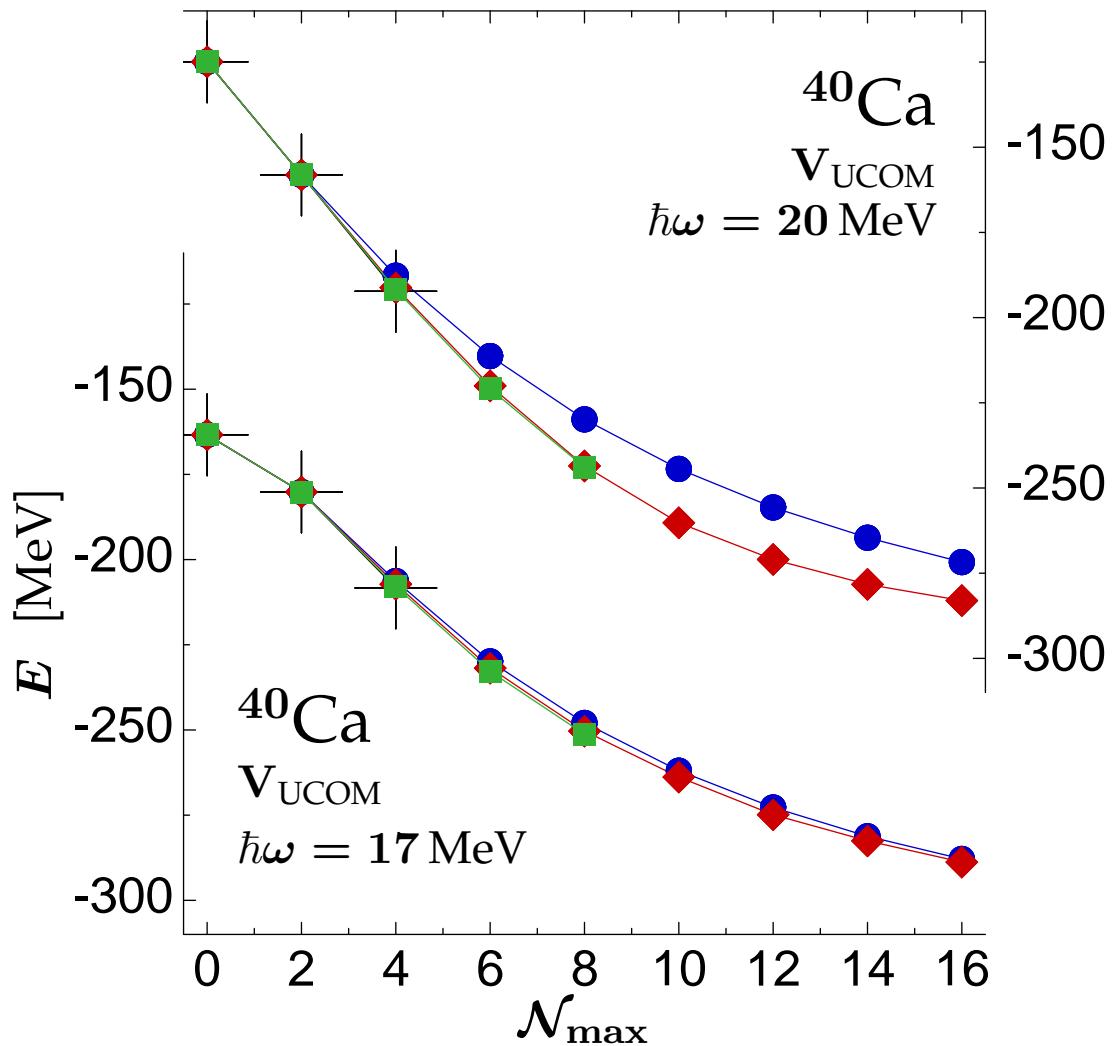
# Benchmark: $^{16}\text{O}$



- excellent agreement with full NCSM calculation although configurations beyond 4p4h are not included
- dimension reduced by several orders of magnitude; possibility to go way beyond the domain of the full NCSM

- + full NCSM (Antoine)
- IT-NCSM 2p2h
- ◆ IT-NCSM 3p3h
- IT-NCSM 4p4h

# Benchmark: $^{40}\text{Ca}$



- 16 $\hbar\omega$  calculations for  $^{40}\text{Ca}$  are feasible

- extrapolation of ground state energy (3p3h,  $\hbar\omega = 17 \text{ MeV}$ ) yields

$$E_\infty \approx -316 \text{ MeV}$$

$$E_{\text{exp}} = -342.05 \text{ MeV}$$

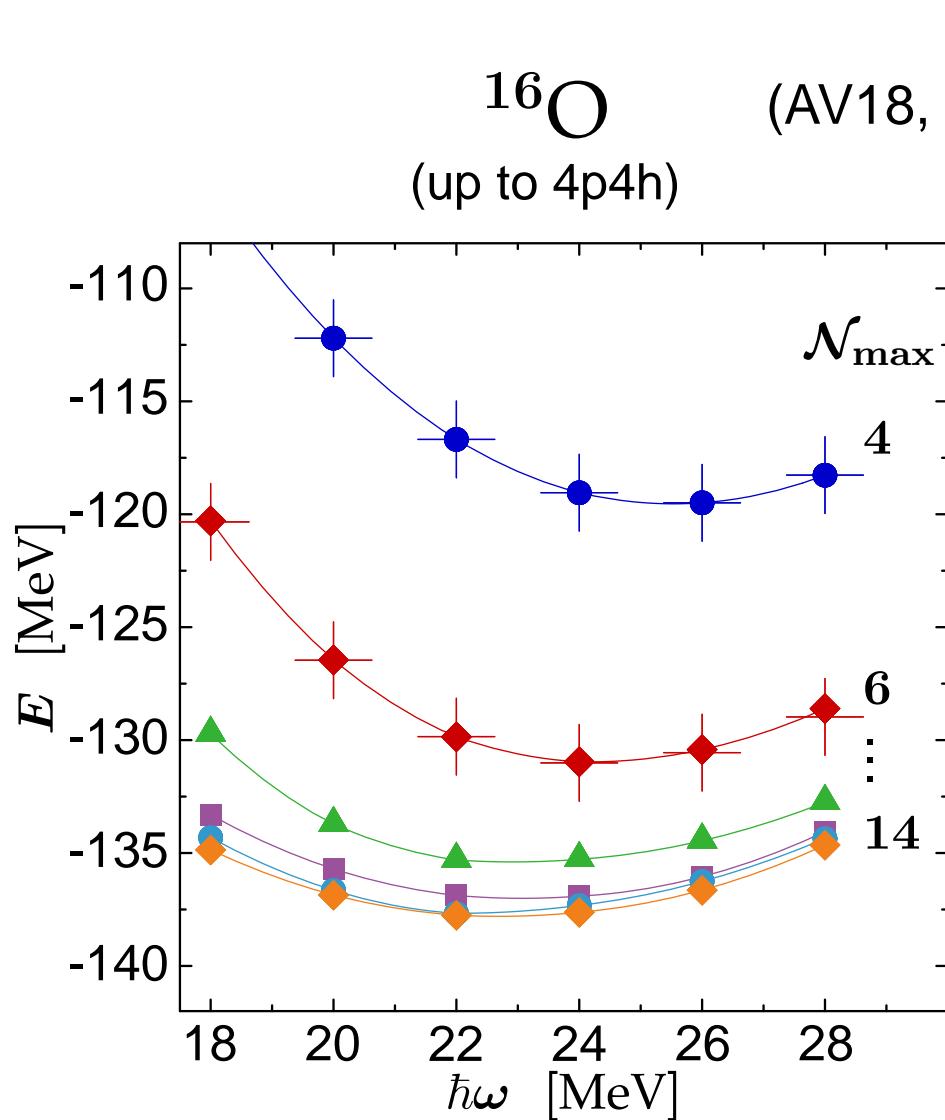
- + full NCSM (Antoine)

- IT-NCSM 2p2h

- ◆ IT-NCSM 3p3h

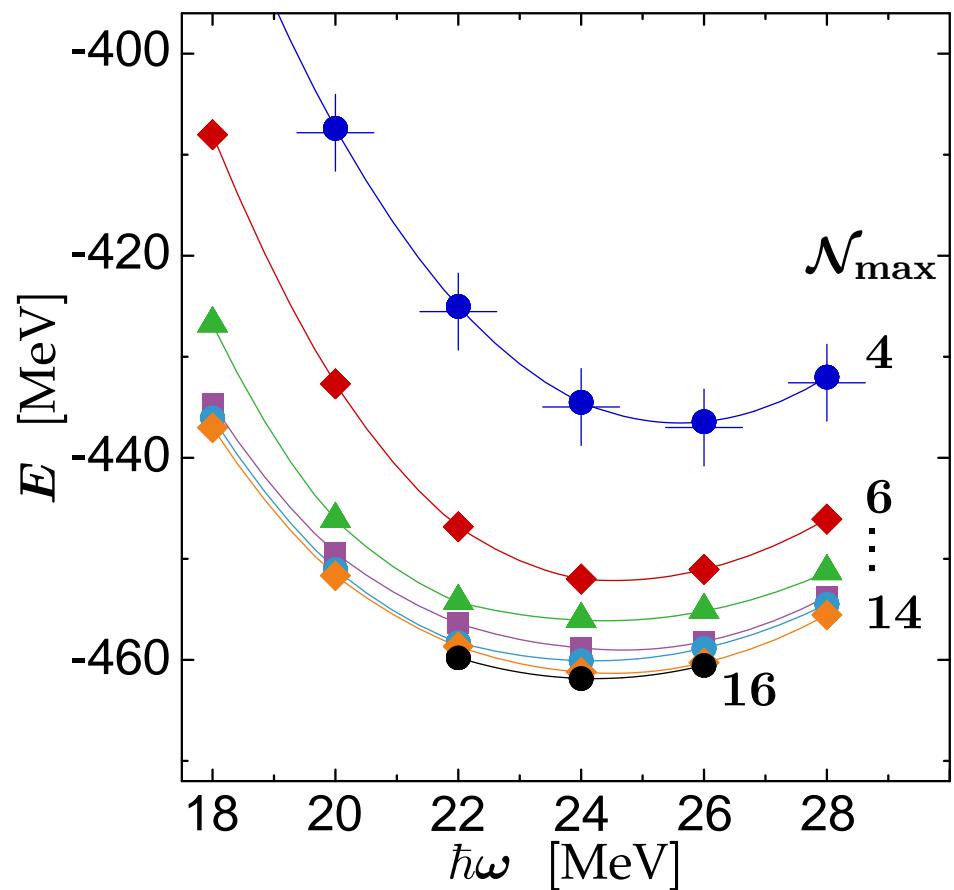
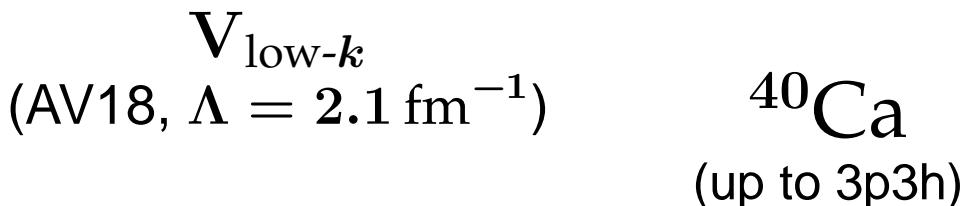
- IT-NCSM 4p4h

# Benchmark Results for $V_{\text{low}k}$



$$E_\infty(4\text{p}4\text{h}) \approx -138 \text{ MeV}$$

$$R_{\text{rms}}(4\text{p}4\text{h}) = 2.03 \text{ fm}$$



$$E_\infty(3\text{p}3\text{h}) \approx -463 \text{ MeV}$$

$$R_{\text{rms}}(3\text{p}3\text{h}) = 2.27 \text{ fm}$$

# Conclusions

- importance truncation provides a **conceptually simple and universal tool** for large-scale eigenvalue problems
- **fully variational**: can be viewed as a variational calculation with an adaptive trial state
- very efficient in **reducing the model space** (by several orders of magnitude) to relevant states without loosing precision
- **no center-of-mass contaminations** ( $< 100 \text{ keV}$ ): importance truncation preserves properties of full  $N_{\max} \hbar\omega$  space
- eigenstates in **shell-model representation** for free: convenient starting point for calculation of densities, form factors, etc.
- **computationally efficient** (need only a few processors)

# Perspectives

- explicit inclusion of **configurations beyond 4p4h**
- **perturbative estimate** for contribution of configurations beyond 4p4h
- **alternative schemes** for construction of importance truncated space
- study of **excited states** with generalized reference states
- use of **Hartree-Fock single-particle states**
- use of **Lee-Suzuki transformed interactions**

exciting new tool  
for ab initio calculations  
beyond the p-shell

# Epilogue

## ■ thanks to my group & my collaborators

- S. Binder, P. Hefeld, H. Hergert, M. Hild, P. Papakonstantinou, S. Reinhardt, F. Schmitt, I. Türschmann, A. Zapp

Institut für Kernphysik, TU Darmstadt

- P. Navrátil

Lawrence Livermore National Laboratory, USA

- N. Paar

University of Zagreb, Croatia

- H. Feldmeier, T. Neff, C. Barbieri,...

Gesellschaft für Schwerionenforschung (GSI)



supported by the DFG through SFB 634  
“Nuclear Structure, Nuclear Astrophysics and  
Fundamental Experiments...”