

# Nuclear Structure based on Correlated Realistic NN Potentials

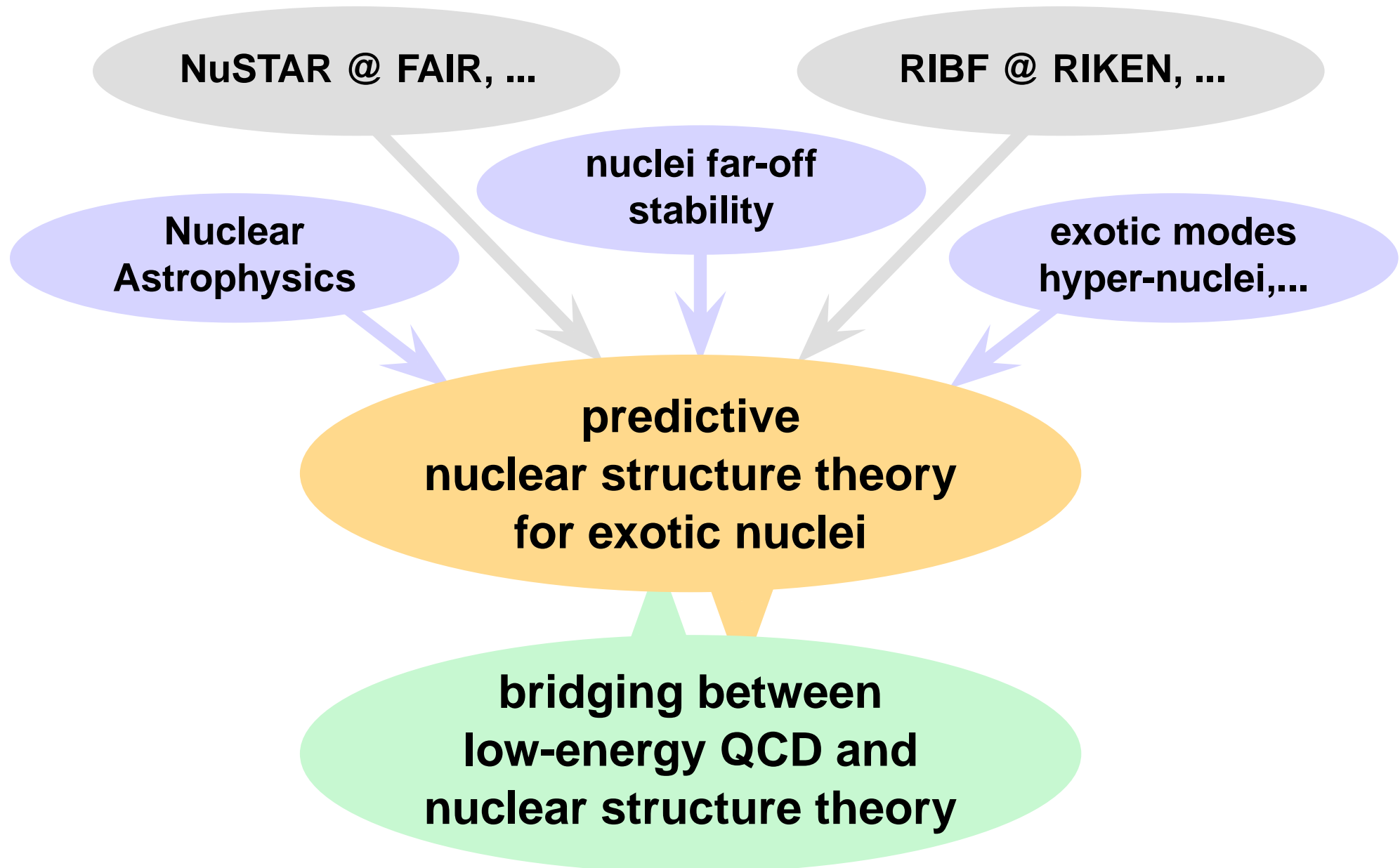


Robert Roth

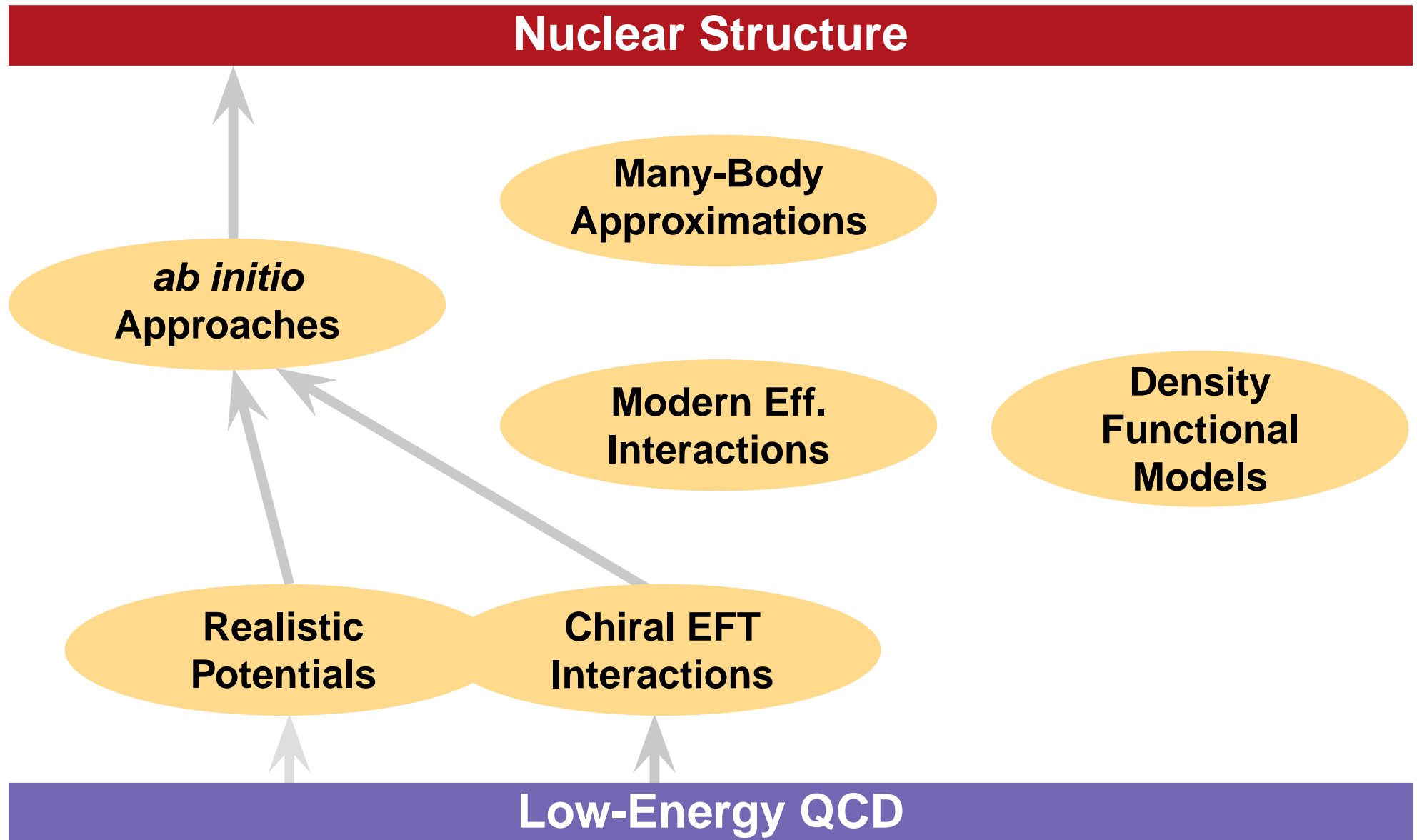
Institut für Kernphysik  
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- Motivation
- Correlations & Modern Effective Interactions
  - Unitary Correlation Operator Method
  - Similarity Renormalization Group
- Innovative Many-Body Methods
  - No-Core Shell Model
  - Importance Truncated NCSM
  - Hartree-Fock & Beyond
- Perspectives

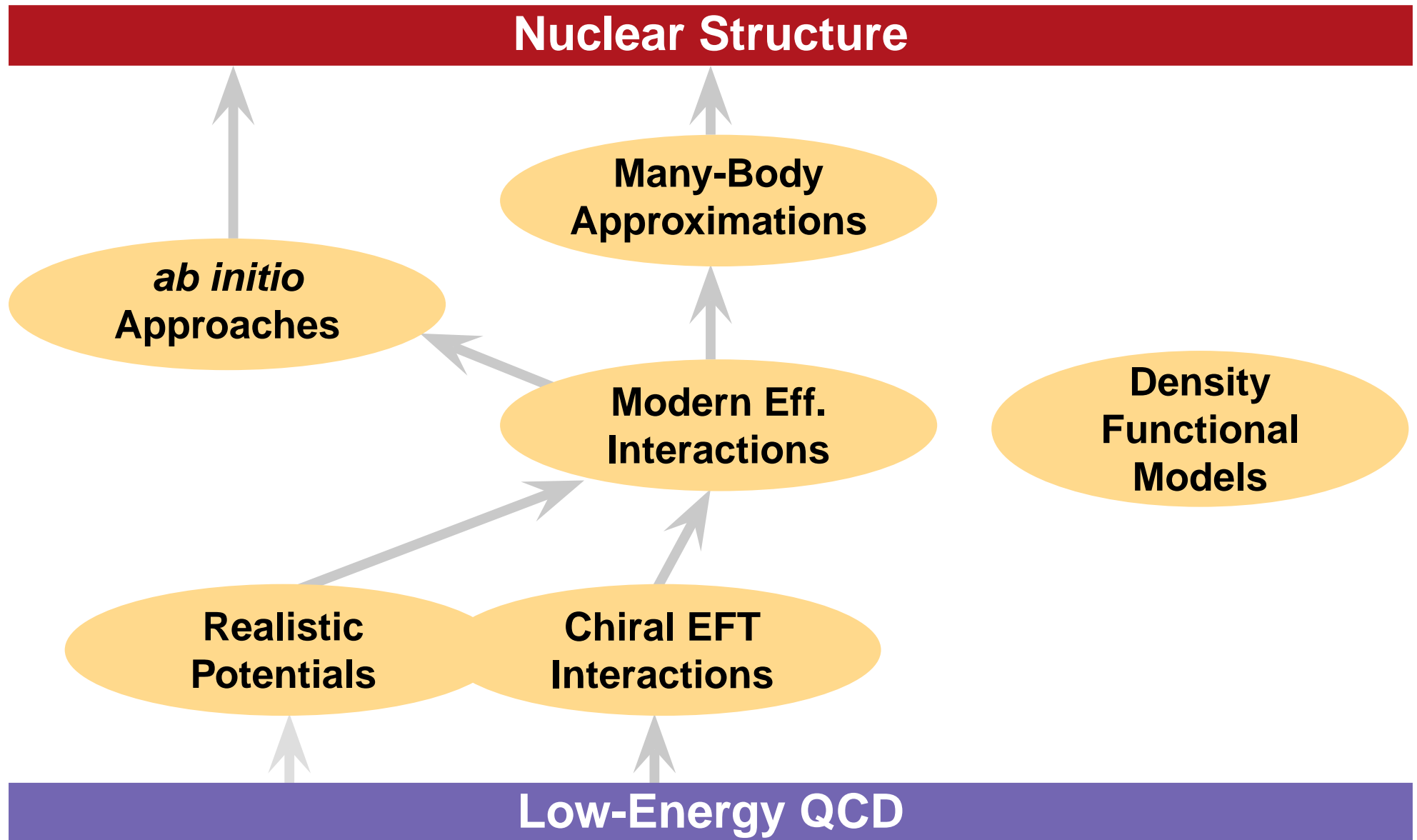
# Nuclear Structure in the 21<sup>st</sup> Century



# Modern Nuclear Structure Theory



# Modern Nuclear Structure Theory



# Why Effective Interactions?

## Realistic Potentials

- generate strong correlations in many-body states
- short-range central & tensor correlations most important

## Many-Body Approximations

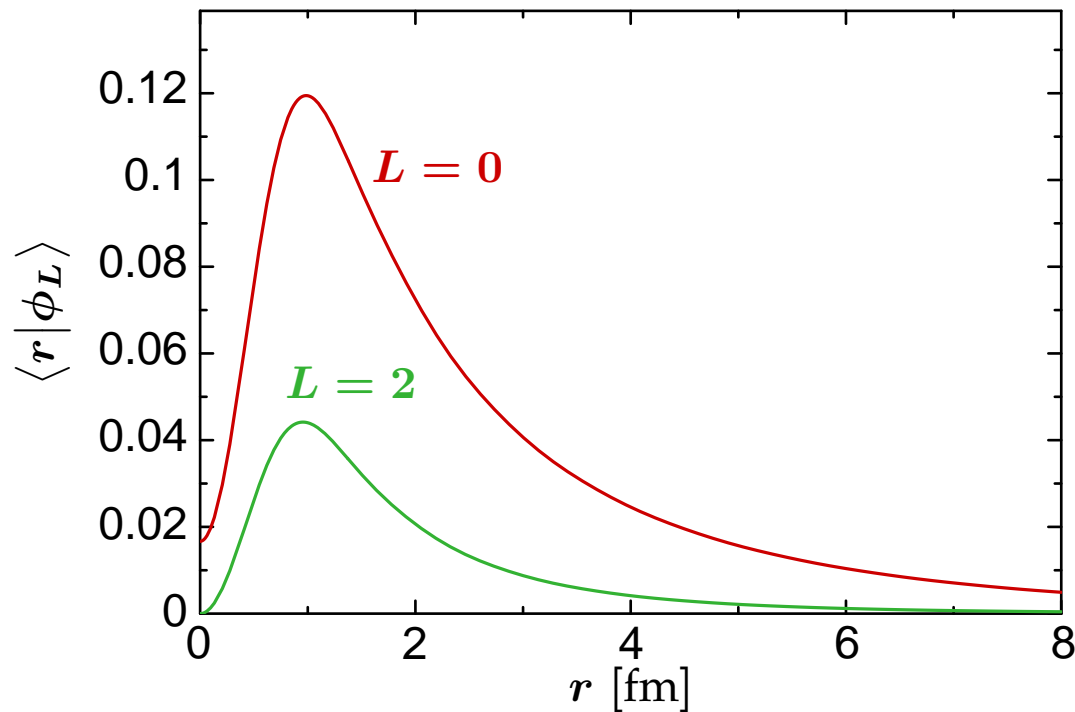
- rely on truncated many-nucleon Hilbert spaces (model space)
- not capable of describing short-range correlations
- extreme: Hartree-Fock based on single Slater determinant

## Modern Effective Interactions

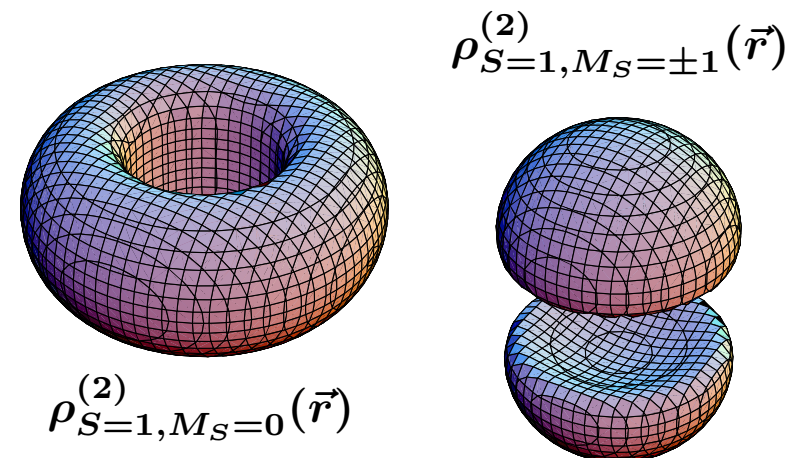
- adapt realistic potential to the available model space
- conserve experimentally constrained properties (phase shifts)

**can be viewed  
as realistic  
interactions**

# Deuteron: Manifestation of Correlations



■ **exact deuteron solution**  
for Argonne V18 potential



short-range repulsion  
suppresses wavefunction at  
small distances  $r$

**central correlations**

tensor interaction  
generates D-wave admixture  
in the ground state

**tensor correlations**

Modern Effective Interactions

# Unitary Correlation Operator Method (UCOM)

H. Feldmeier et al. — Nucl. Phys. A 632 (1998) 61

T. Neff et al. — Nucl. Phys. A713 (2003) 311

R. Roth et al. — Nucl. Phys. A 745 (2004) 3

R. Roth et al. — Phys. Rev. C 72, 034002 (2005)



# Unitary Correlation Operator Method

## Correlation Operator

define an unitary operator  $\mathbf{C}$  to describe the effect of short-range correlations

$$\mathbf{C} = \exp[-i \mathbf{G}] = \exp\left[-i \sum_{i < j} g_{ij}\right]$$

## Correlated States

imprint short-range correlations onto uncorrelated many-body states

$$|\tilde{\psi}\rangle = \mathbf{C} |\psi\rangle$$

## Correlated Operators

adapt Hamiltonian and all other observables to uncorrelated many-body space

$$\tilde{\mathbf{O}} = \mathbf{C}^\dagger \mathbf{O} \mathbf{C}$$

$$\langle \tilde{\psi} | \mathbf{O} | \tilde{\psi}' \rangle = \langle \psi | \mathbf{C}^\dagger \mathbf{O} \mathbf{C} | \psi' \rangle = \langle \psi | \tilde{\mathbf{O}} | \psi' \rangle$$

# Unitary Correlation Operator Method

explicit ansatz for the correlation operator  
motivated by the **physics of short-range  
central and tensor correlations**

## Central Correlator $C_r$

- radial distance-dependent shift in the relative coordinate of a nucleon pair

$$g_r = \frac{1}{2} [s(r) \mathbf{q}_r + \mathbf{q}_r s(r)]$$

$$\mathbf{q}_r = \frac{1}{2} \left[ \frac{\vec{r}}{r} \cdot \vec{q} + \vec{q} \cdot \frac{\vec{r}}{r} \right]$$

## Tensor Correlator $C_\Omega$

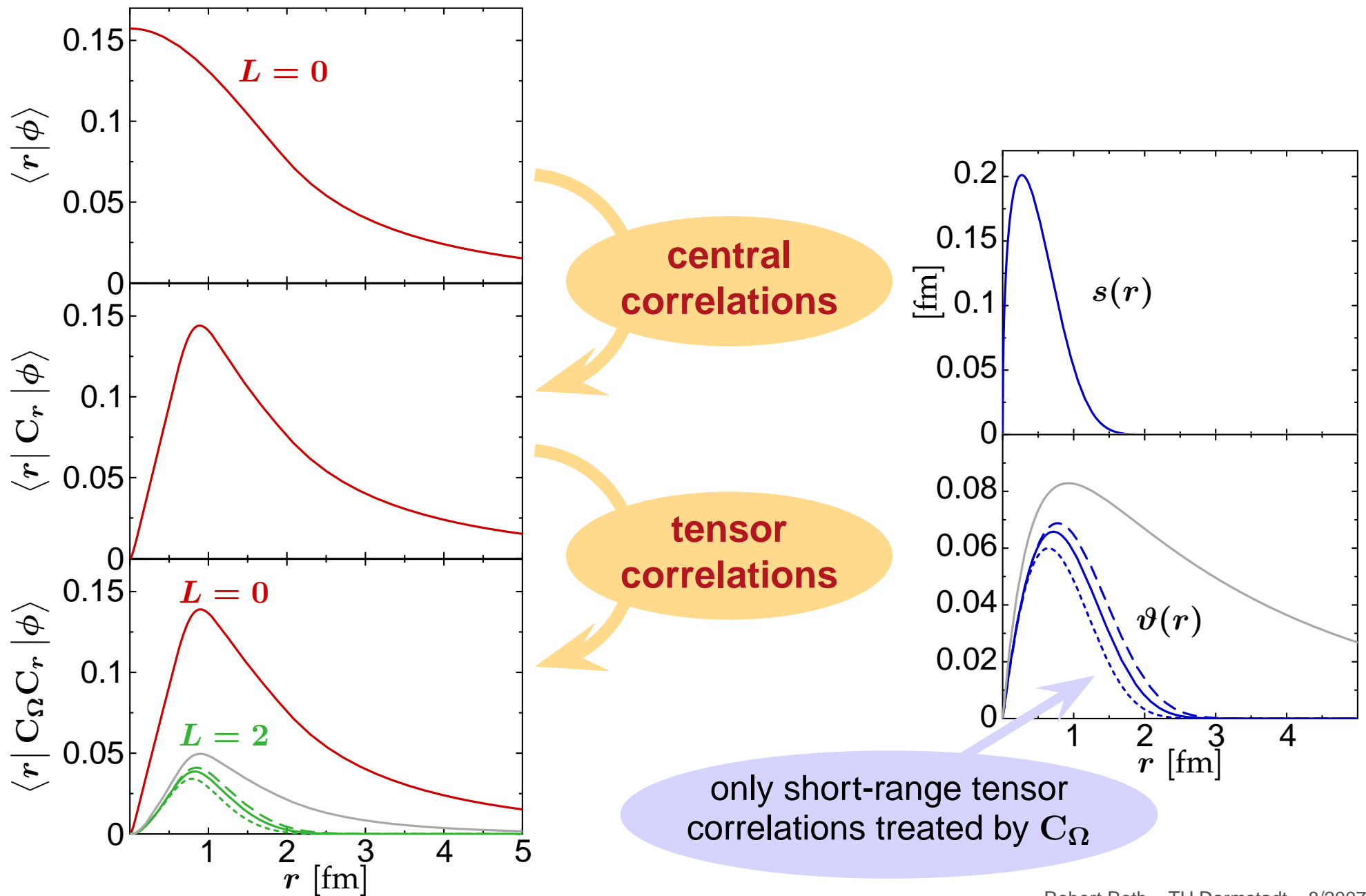
- angular shift depending on the orientation of spin and relative coordinate of a nucleon pair

$$g_\Omega = \frac{3}{2} \vartheta(r) [(\vec{\sigma}_1 \cdot \vec{q}_\Omega)(\vec{\sigma}_2 \cdot \vec{r}) + (\vec{r} \leftrightarrow \vec{q}_\Omega)]$$

$$\vec{q}_\Omega = \vec{q} - \frac{\vec{r}}{r} \mathbf{q}_r$$

- $s(r)$  and  $\vartheta(r)$  for given potential determined by energy minimization in the two-body system (for each  $S, T$ )

# Correlated States: The Deuteron

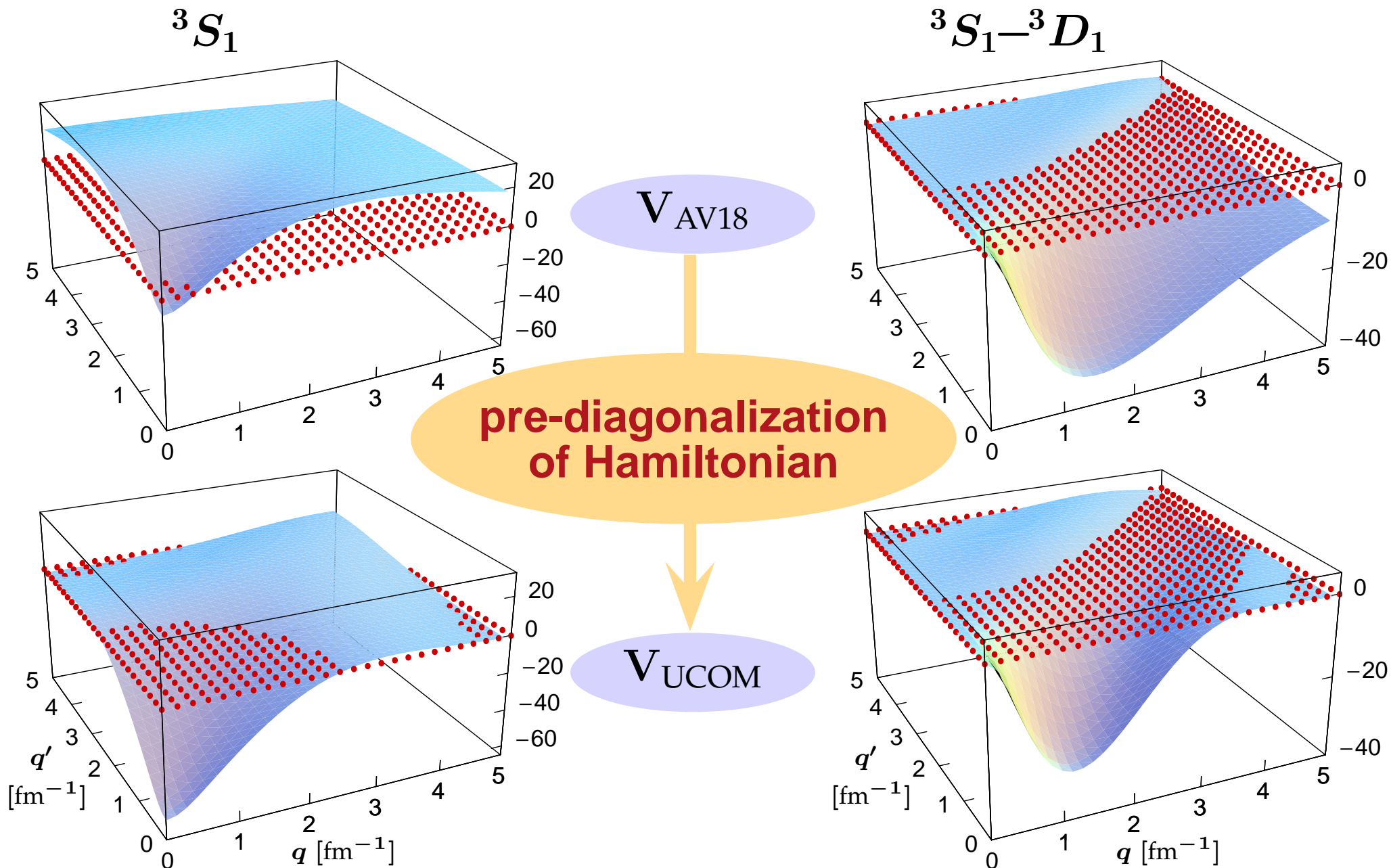


# Correlated Interaction: $V_{\text{UCOM}}$

$$\tilde{H} = T + V_{\text{UCOM}} + V_{\text{UCOM}}^{[3]} + \dots$$

- **closed operator expression** for the correlated interaction  $V_{\text{UCOM}}$  in two-body approximation
- correlated interaction and original NN-potential are **phase shift equivalent** by construction
- unitary transformation results in a **pre-diagonalization** of Hamiltonian (similar to renormalization group methods)
- operators of **all observables** (densities, transitions) have to be and can be **transformed consistently**

# Correlated Interaction: $V_{\text{UCOM}}$



Modern Effective Interactions

# Similarity Renormalization Group (SRG)

Hergert & Roth — Phys. Rev. C 75, 051001(R) (2007)

Bogner et al. — Phys. Rev. C 75, 061001(R) (2007)

# Similarity Renormalization Group

unitary transformation of the **Hamiltonian to a band-diagonal form** with respect to a given uncorrelated many-body basis

## Flow Equation for Hamiltonian

- evolution equation for Hamiltonian

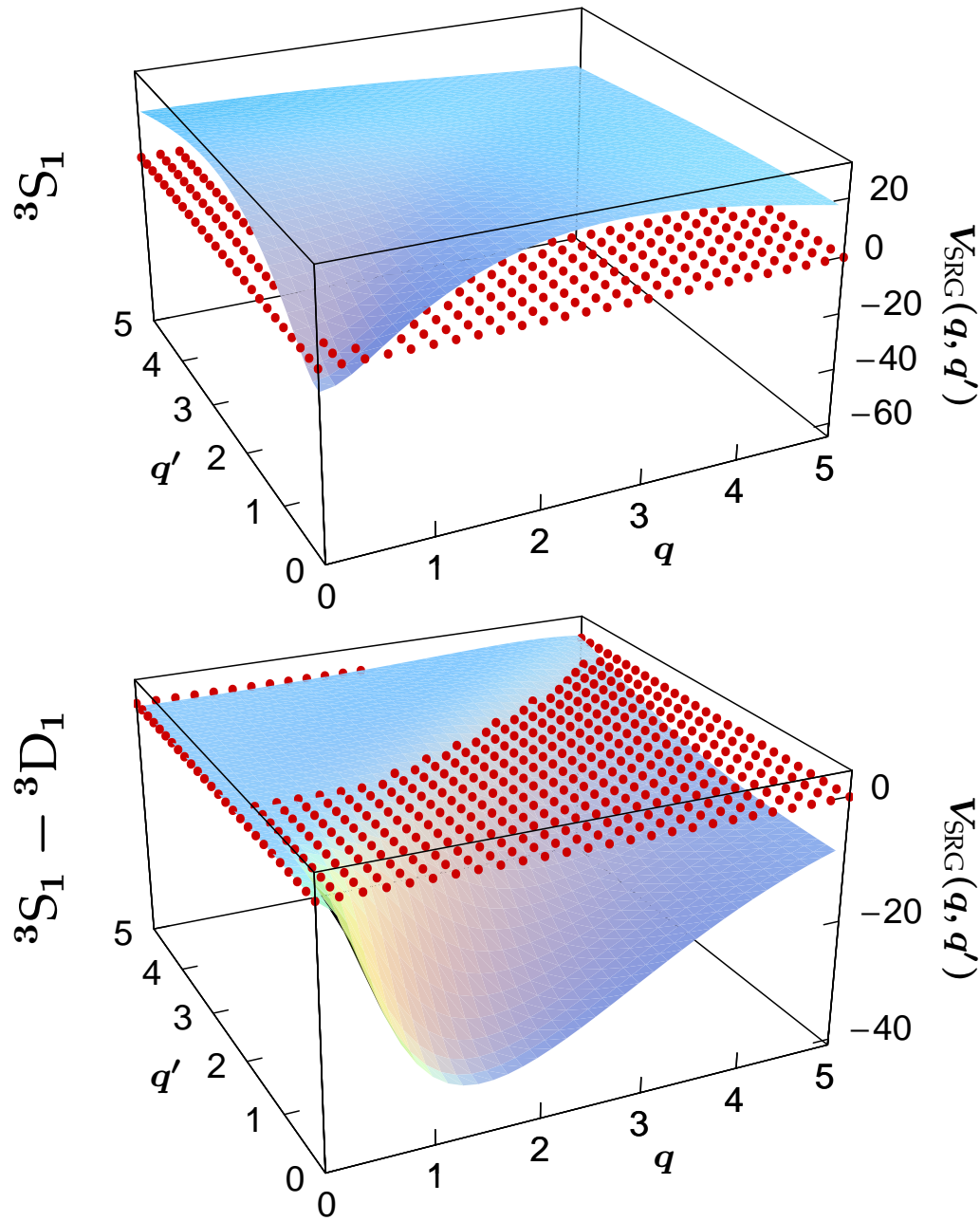
$$\tilde{H}(\alpha) = C^\dagger(\alpha) H C(\alpha) \quad \rightarrow \quad \frac{d}{d\alpha} \tilde{H}(\alpha) = [\eta(\alpha), \tilde{H}(\alpha)]$$

- dynamical generator defined as commutator with the operator in whose eigenbasis  $H$  shall be diagonalized

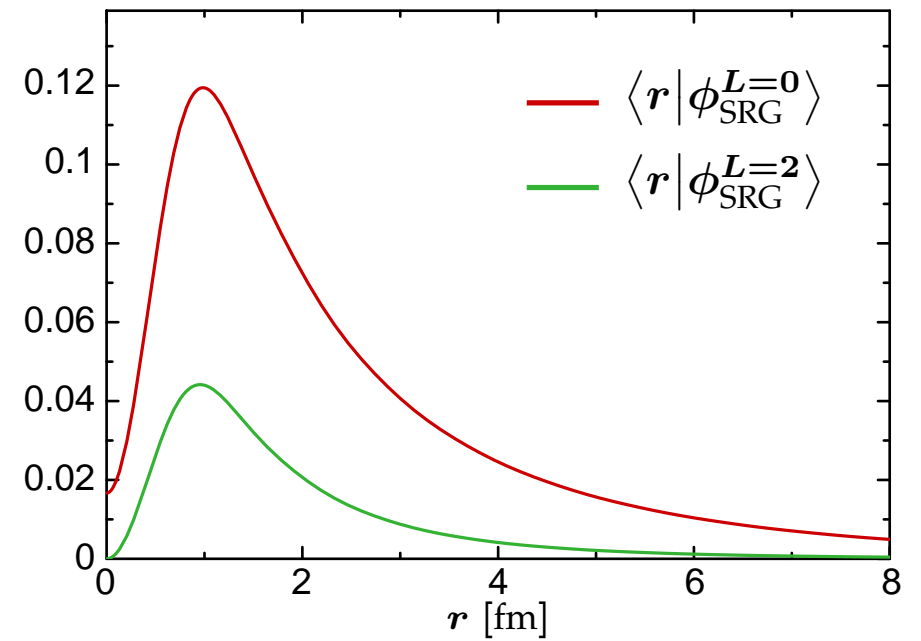
$$\eta(\alpha) = [T_{\text{int}}, \tilde{H}(\alpha)] \stackrel{2B}{=} \frac{1}{2\mu} [\vec{q}^2, \tilde{H}(\alpha)]$$

- $\eta(0)$  has the same structure as the UCOM generators  $g_r$  and  $g_\Omega$

# SRG Evolution: The Deuteron

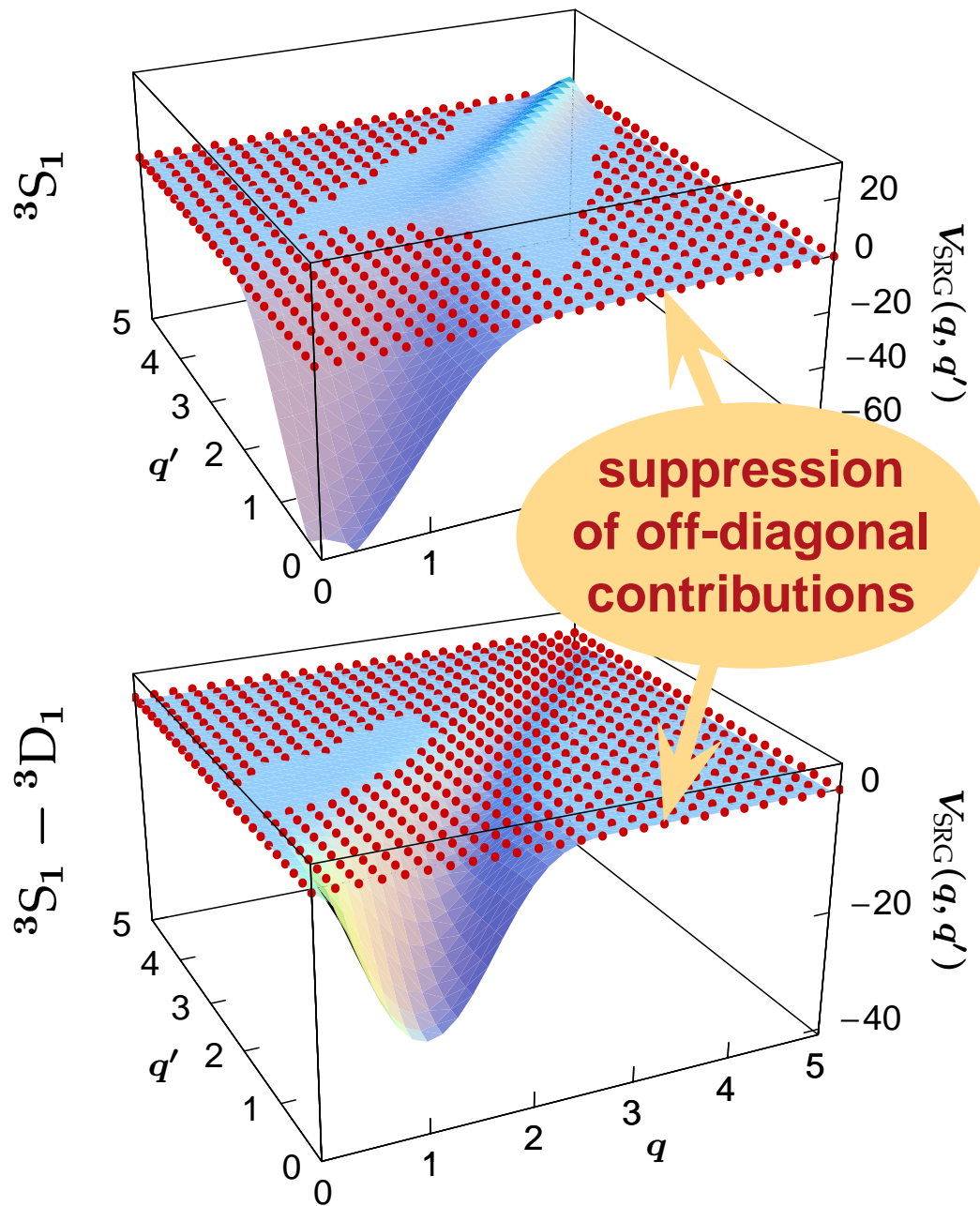


Argonne V18

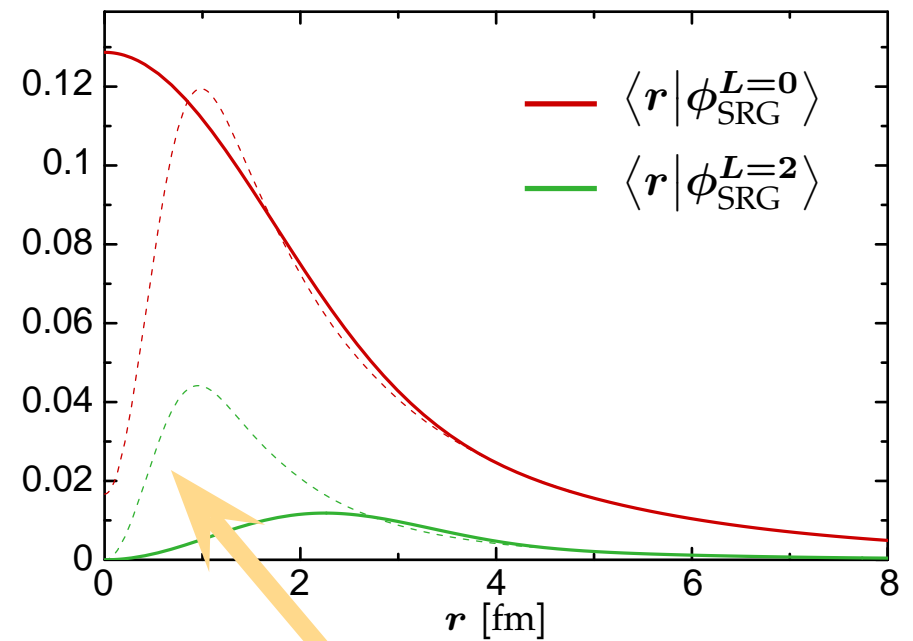




# SRG Evolution: The Deuteron



$$\alpha = 0.1000 \text{ fm}^4$$



Many-Body Methods

# No-Core Shell Model

Roth et al. — Phys. Rev. C 72, 034002 (2005)

Roth & Navrátil — in preparation

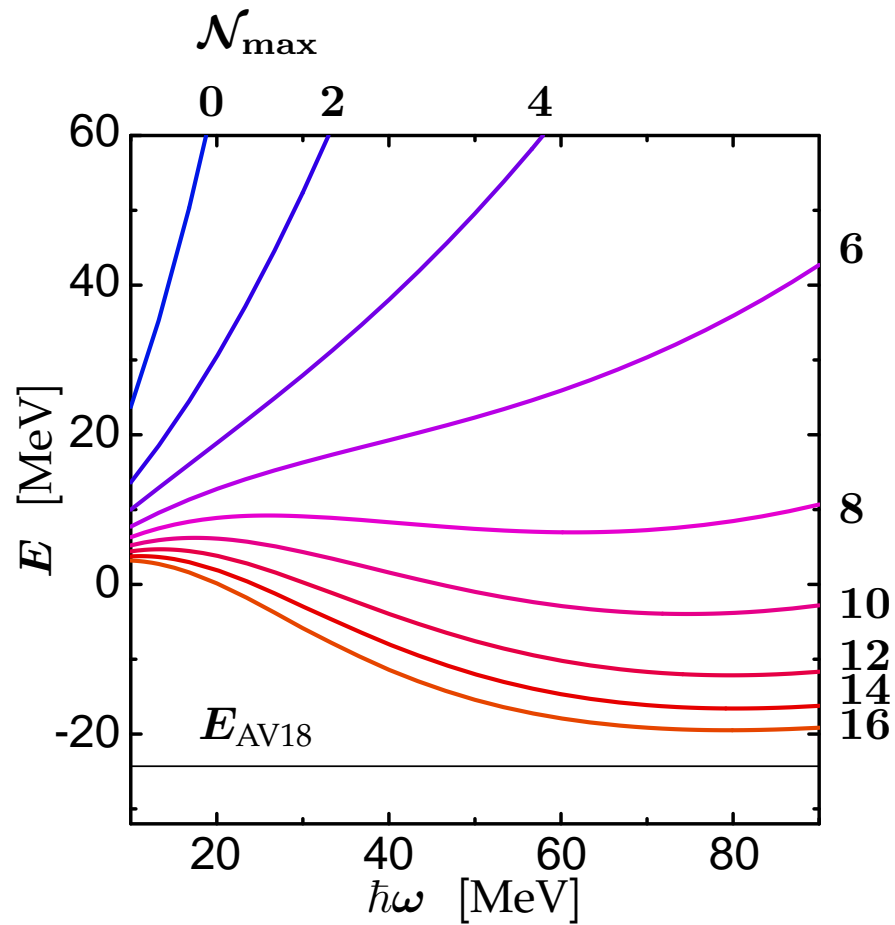
# NCSM + Correlated Interactions

**No-Core Shell Model**  
+  
**Matrix Elements of Correlated  
Realistic Interaction  $V_{\text{UCOM}}$**

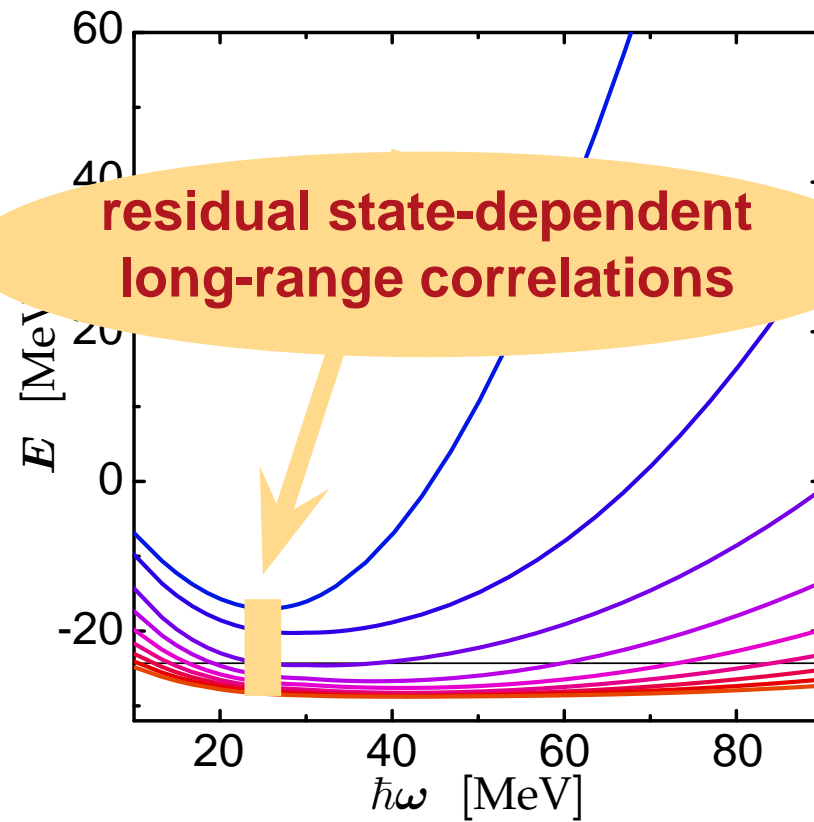
- many-body state is expanded in Slater determinants of harmonic oscillator single-particle states
- large-scale diagonalization of Hamiltonian within a **truncated model space** ( $\mathcal{N}\hbar\omega$  truncation)
- assessment of **short- and long-range correlations**
- role of **three-nucleon interactions**

# $^4\text{He}$ : Convergence

$V_{\text{AV18}}$

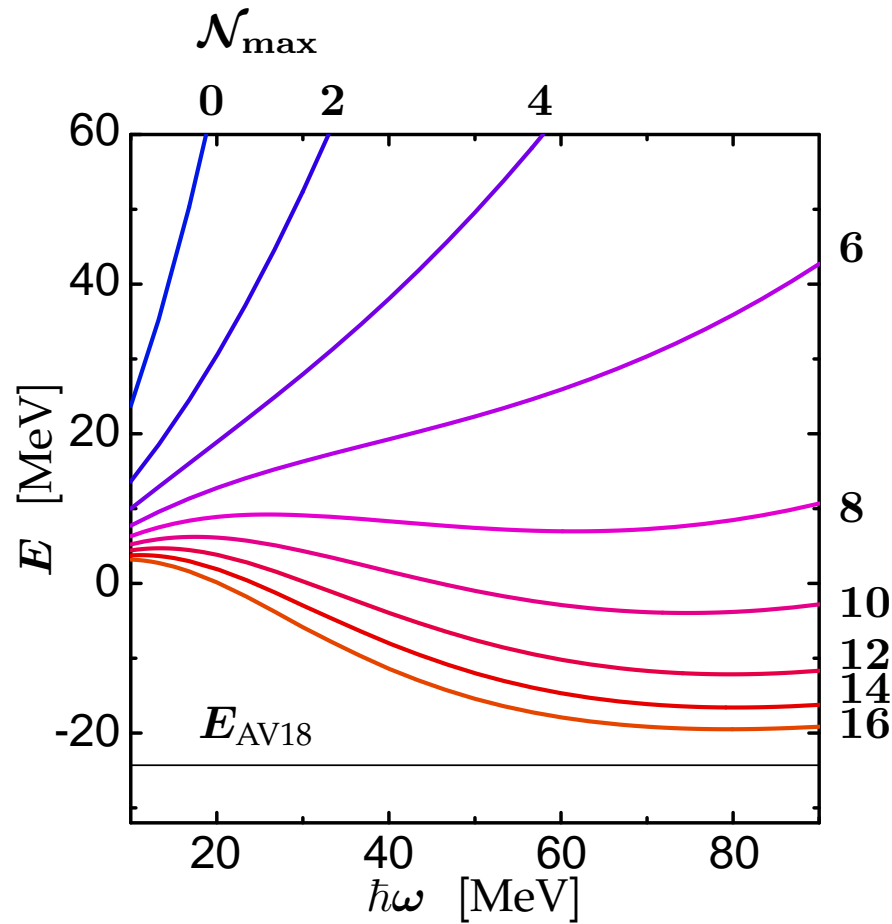


$V_{\text{UCOM}}$

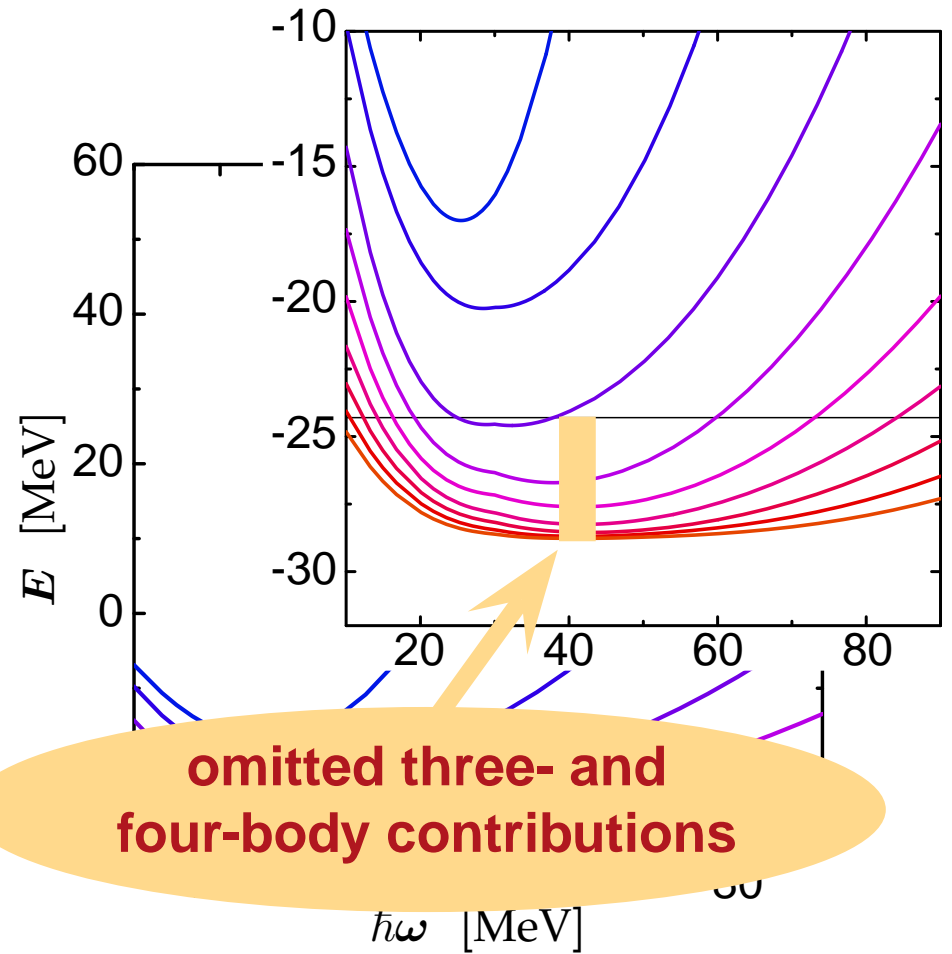


# $^4\text{He}$ : Convergence

$V_{\text{AV18}}$



$V_{\text{UCOM}}$



# Three-Body Interactions — Strategies

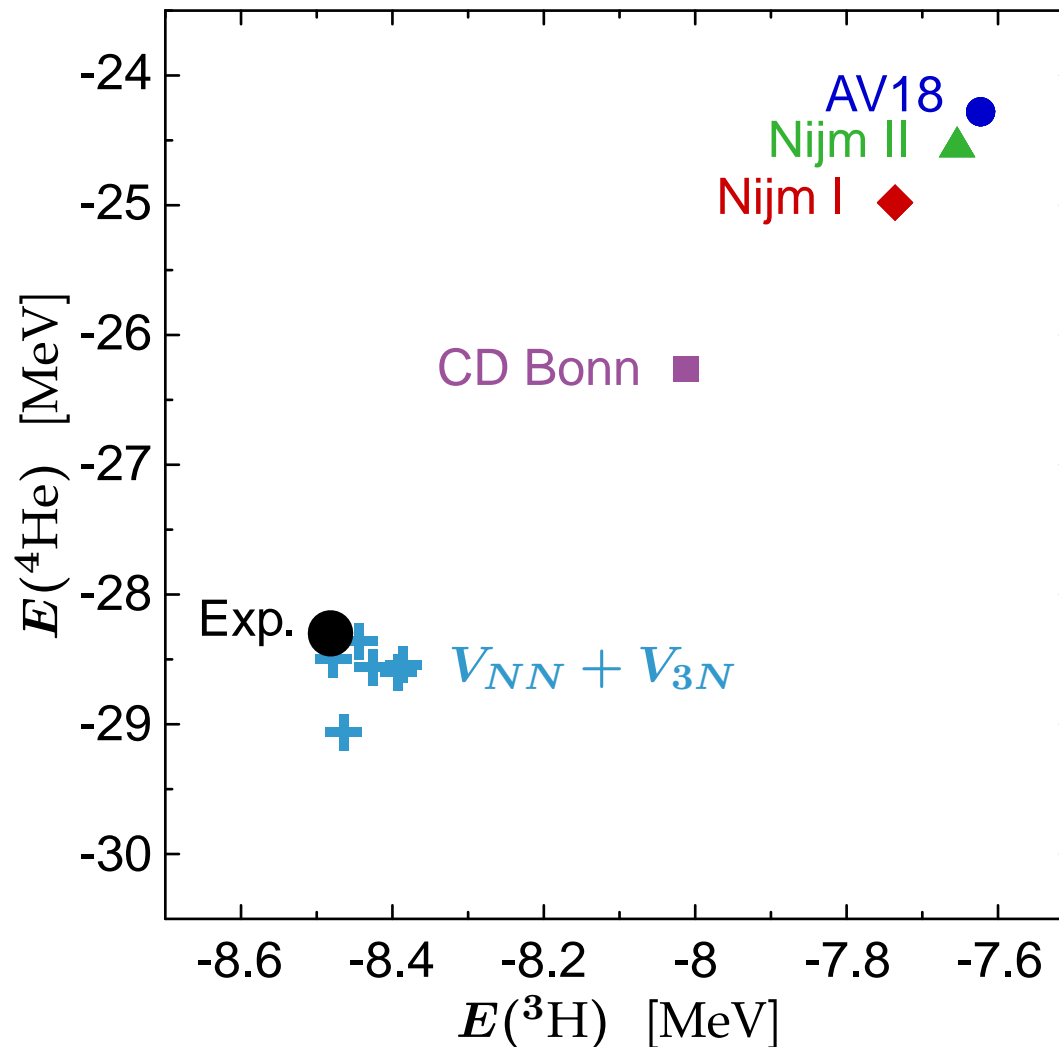
## Correlated Hamiltonian in Many-Body Space

$$\begin{aligned}\tilde{H} &= C^\dagger (\mathbf{T} + \mathbf{V}_{NN} + \mathbf{V}_{3N}) C \\ &= \tilde{\mathbf{T}}^{[1]} + (\tilde{\mathbf{T}}^{[2]} + \tilde{\mathbf{V}}_{NN}^{[2]}) + (\tilde{\mathbf{T}}^{[3]} + \tilde{\mathbf{V}}_{NN}^{[3]} + \tilde{\mathbf{V}}_{3N}^{[3]}) + \dots \\ &= \mathbf{T} + \mathbf{V}_{UCOM} + \mathbf{V}_{UCOM}^{[3]} + \dots\end{aligned}$$

■ strategies for treating the three-body contributions:

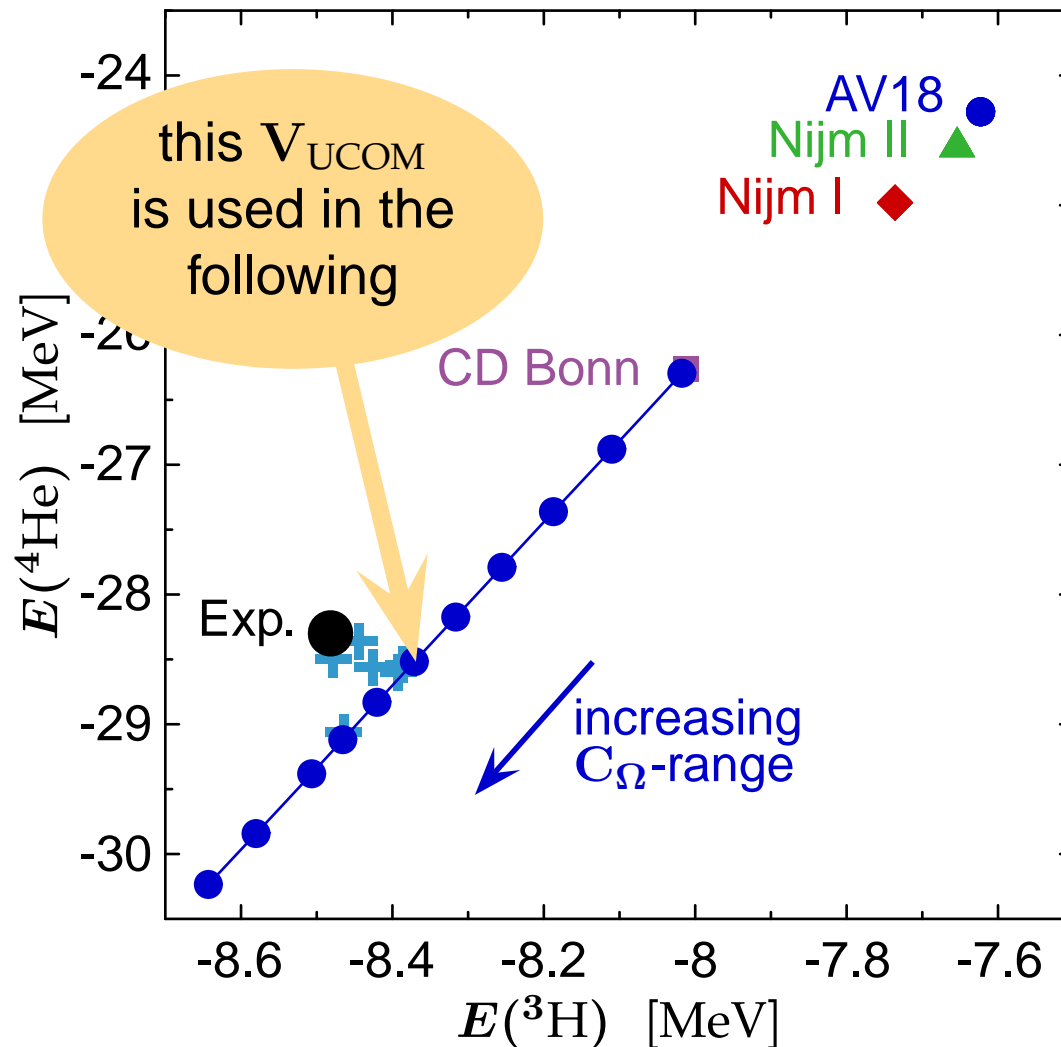
- ① **include full**  $\mathbf{V}_{UCOM}^{[3]}$  consisting of genuine and induced 3N terms
- ② **replace**  $\mathbf{V}_{UCOM}^{[3]}$  by phenomenological three-body force
- ③ **minimize**  $\mathbf{V}_{UCOM}^{[3]}$  by proper choice of unitary transformation

# Three-Body Interactions — Tjon Line



- **Tjon-line:**  $E({}^4\text{He})$  vs.  $E({}^3\text{H})$  for phase-shift equivalent NN-interactions

# Three-Body Interactions — Tjon Line



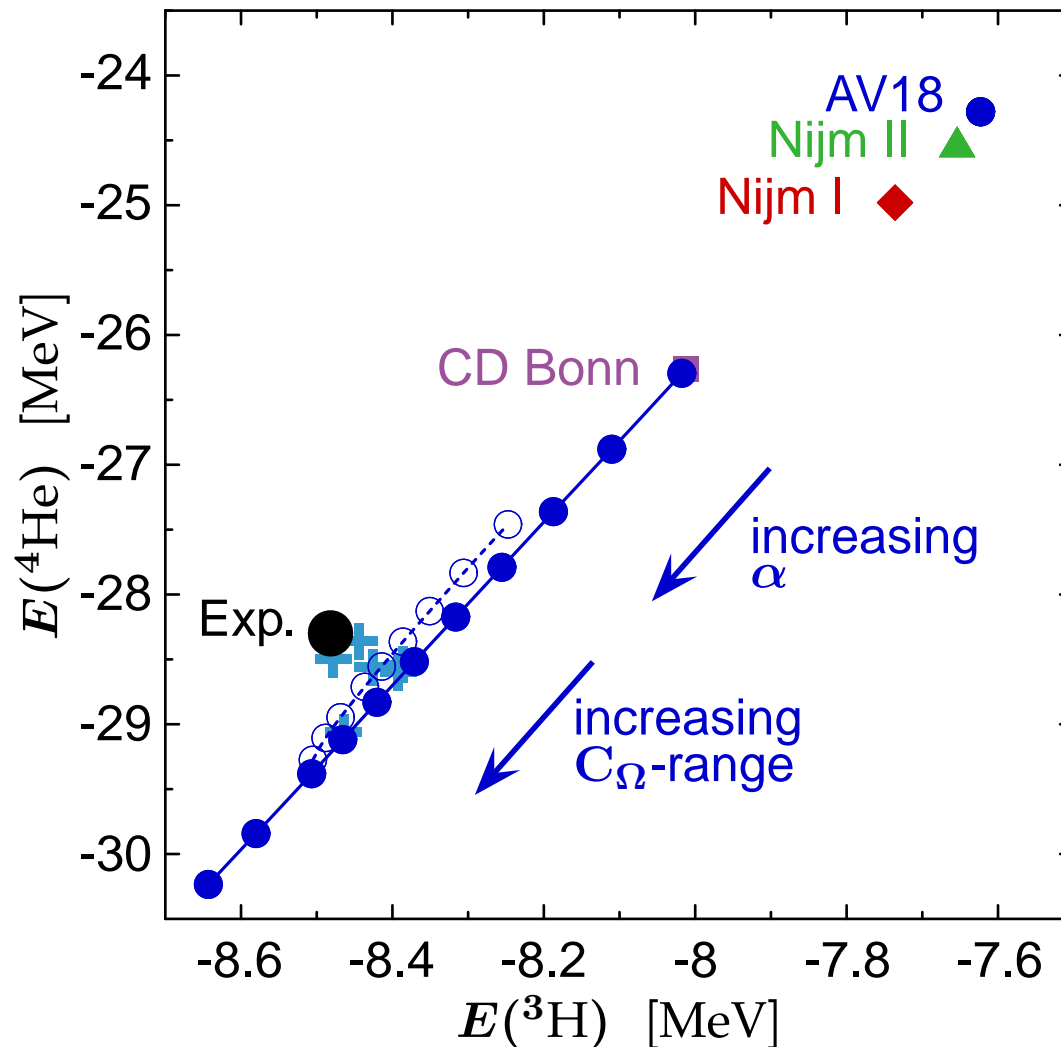
- **Tjon-line:**  $E(^4\text{He})$  vs.  $E(^3\text{H})$  for phase-shift equivalent NN-interactions

- change of  $C_\Omega$ -correlator range results in shift along Tjon-line

**minimize net three-body force** by choosing correlator with energies close to experimental value



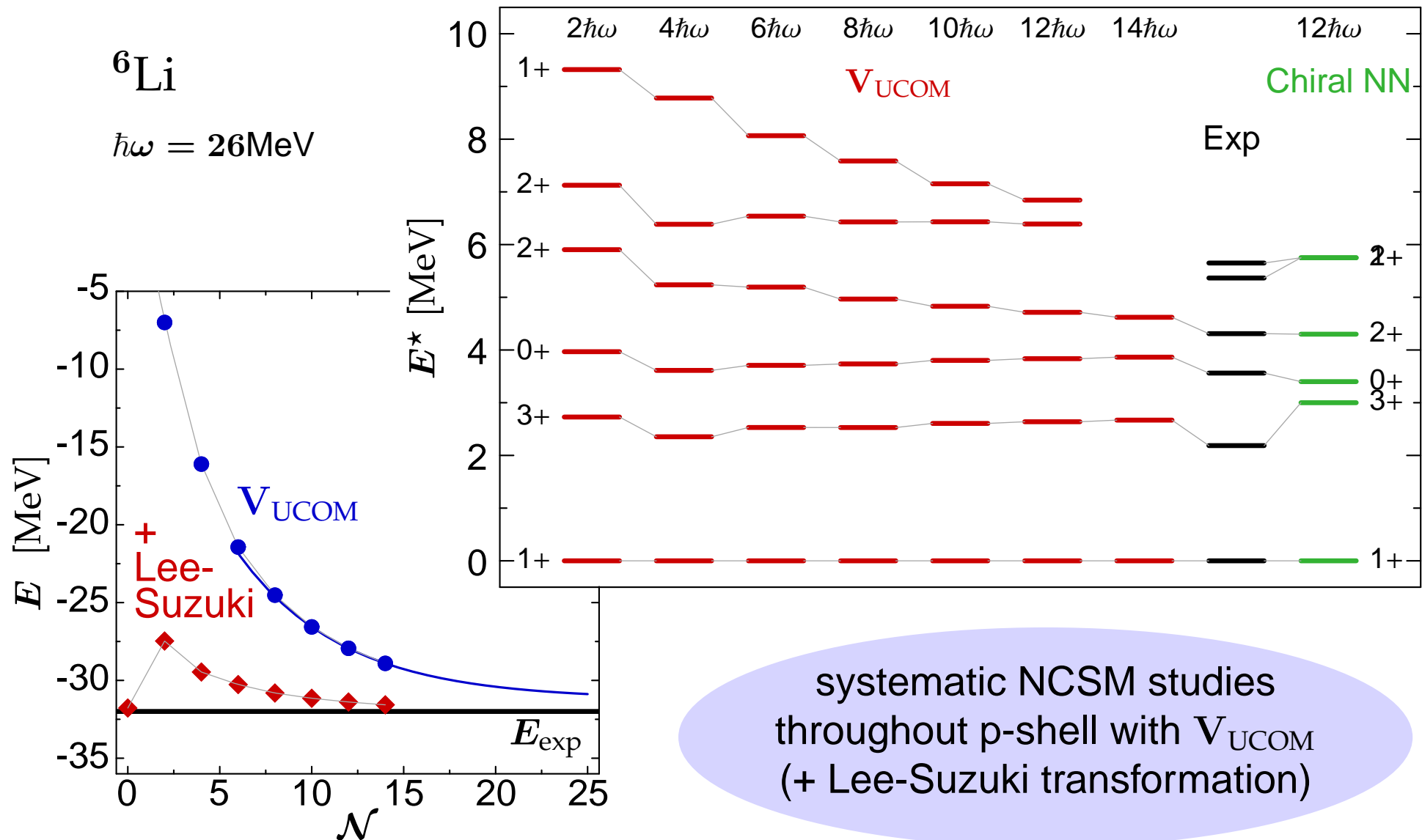
# Three-Body Interactions — Tjon Line



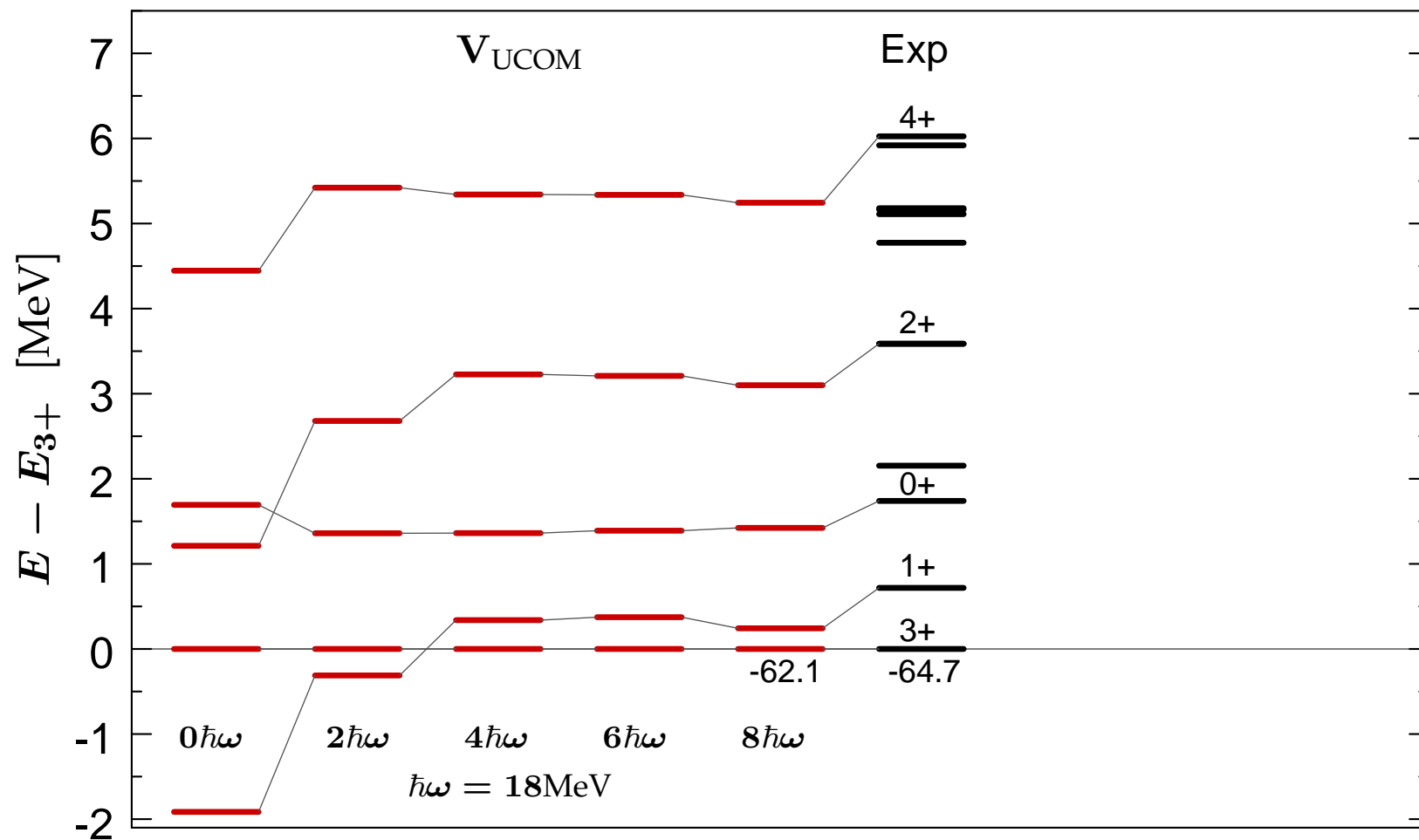
- **Tjon-line:**  $E(^4\text{He})$  vs.  $E(^3\text{H})$  for phase-shift equivalent NN-interactions
- same behavior for the SRG interaction as function of  $\alpha$

**minimize net  
three-body force**  
by choosing correlator  
with energies close to  
experimental value

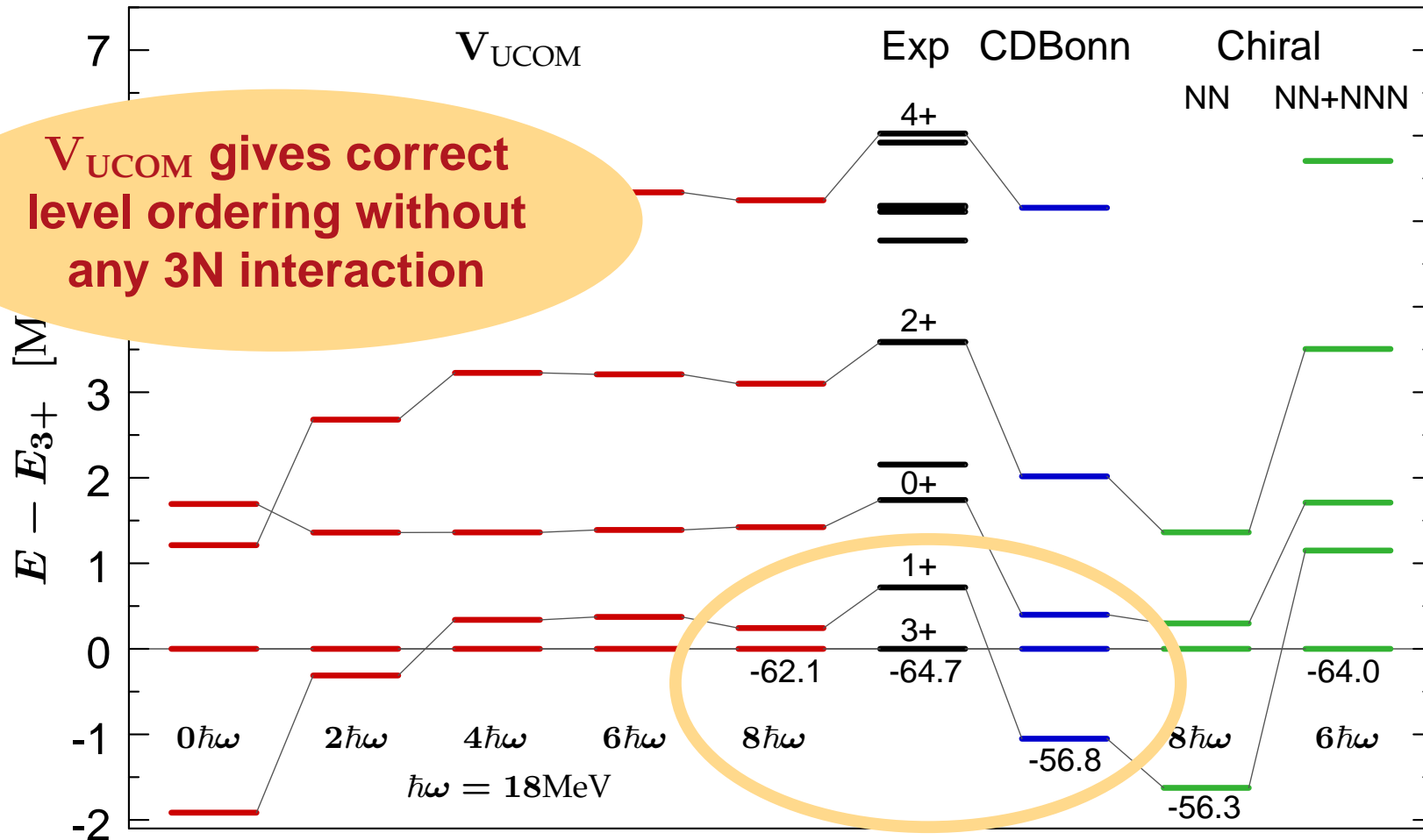
# ${}^6\text{Li}$ : NCSM throughout the p-Shell



# $^{10}\text{B}$ : Hallmark of a 3N Interaction?



# $^{10}\text{B}$ : Hallmark of a 3N Interaction?



# Importance Truncated No-Core Shell Model

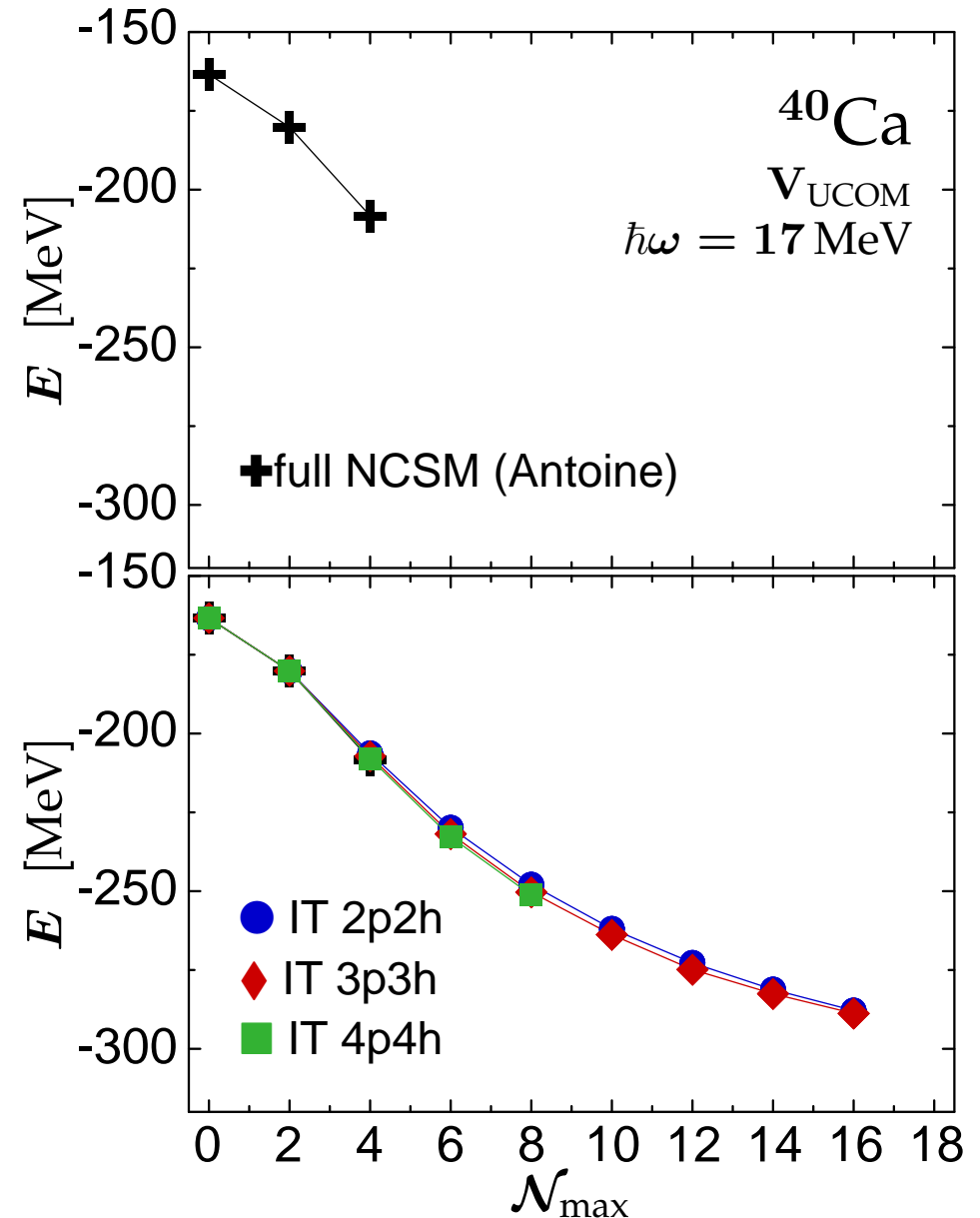
Roth & Navrátil — arXiv: 0705.4069

# Importance Truncated NCSM

- converged NCSM calculations essentially restricted to p-shell
- full  $6\hbar\omega$  calculation for  $^{40}\text{Ca}$  presently not feasible (basis dimension  $\sim 10^{10}$ )

## Importance Truncation

reduce NCSM space to relevant states using an **a priori importance measure** derived from MBPT



# General Idea

- given an intrinsic Hamiltonian

$$\mathbf{H}_{\text{int}} = \mathbf{T} - \mathbf{T}_{\text{cm}} + \mathbf{V} = \mathbf{H}_0 + \mathbf{H}'$$

and an unperturbed Hamiltonian  $\mathbf{H}_0$  with eigenstates  $|\Phi_\nu\rangle$

- consider lowest-order **perturbation theory** to construct a correction  $|\Psi^{(1)}\rangle$  to the unperturbed reference state  $|\Psi^{(0)}\rangle$

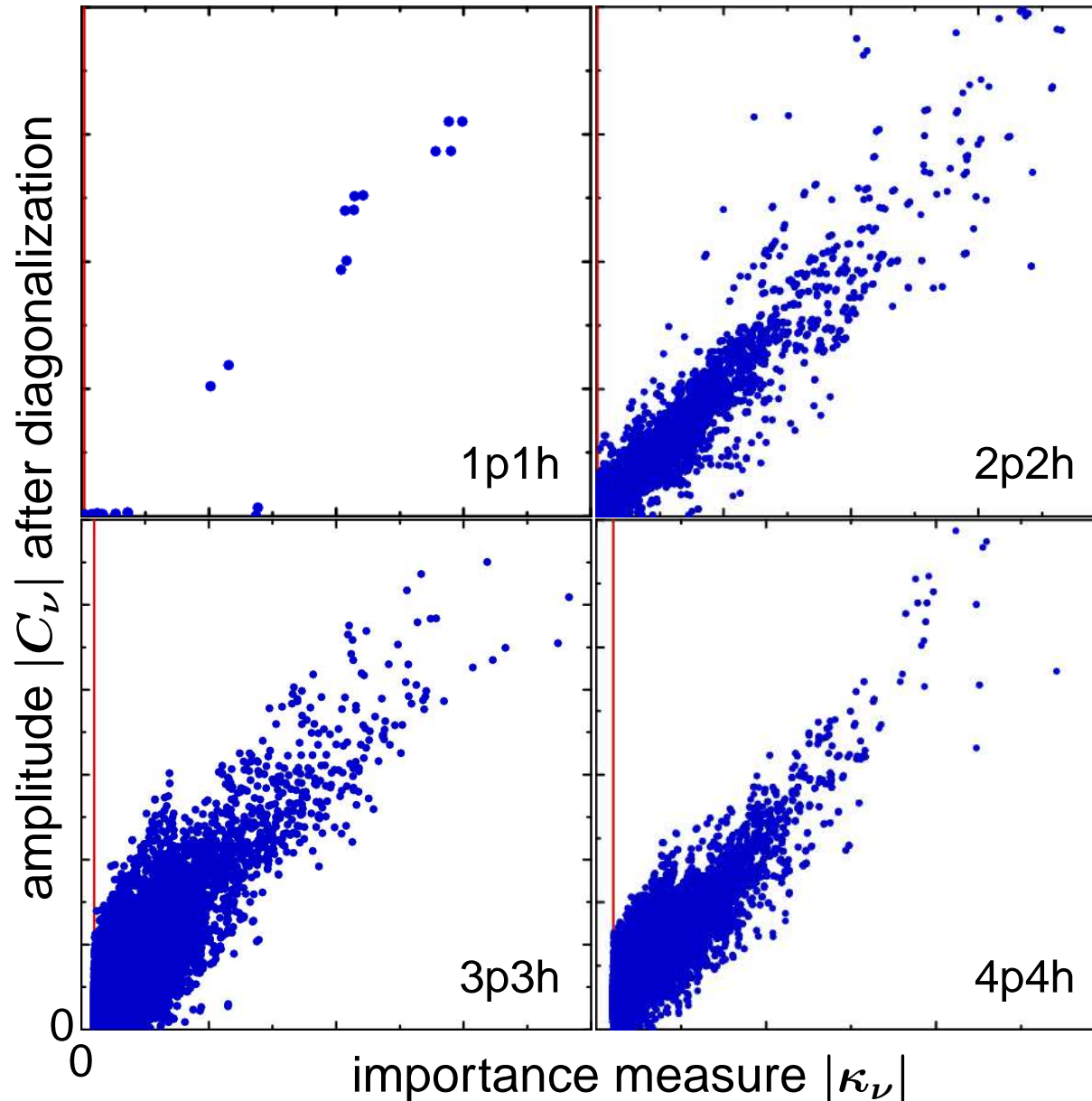
$$|\Psi^{(0)}\rangle = |\Psi_{\text{ref}}\rangle = |\Phi_0\rangle \qquad |\Psi^{(1)}\rangle = \sum_{\nu \neq \text{ref}} \kappa_\nu |\Phi_\nu\rangle$$

- perturbative estimate of amplitudes serves as **measure for importance of individual basis states**  $|\Phi_\nu\rangle$

$$\kappa_\nu = - \frac{\langle \Phi_\nu | \mathbf{H}' | \Psi_{\text{ref}} \rangle}{E_\nu^{(0)} - E_{\text{ref}}^{(0)}}$$

- restrict model space to **important configurations with**  $|\kappa_\nu| \geq \kappa_{\text{min}}$  and solve eigenvalue problem

# Importance Measure



- importance measure  $\kappa_\nu$  provides **reliable a priori estimate** of the a posteriori amplitude  $C_\nu$  obtained from diagonalization

$^{16}\text{O}$

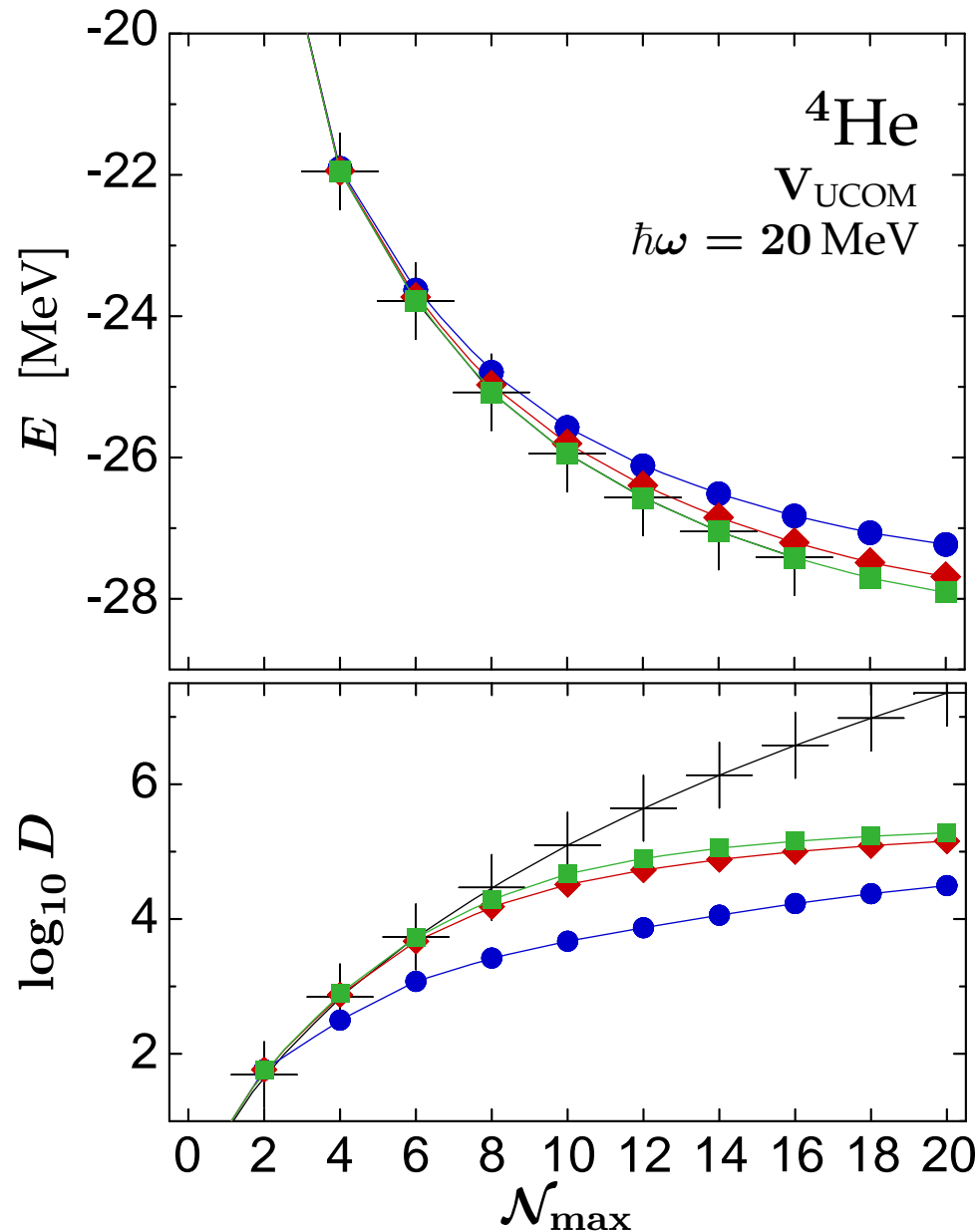
$V_{\text{UCOM}}$

$\hbar\omega = 20 \text{ MeV}$

$\mathcal{N}_{\text{max}} = 6$



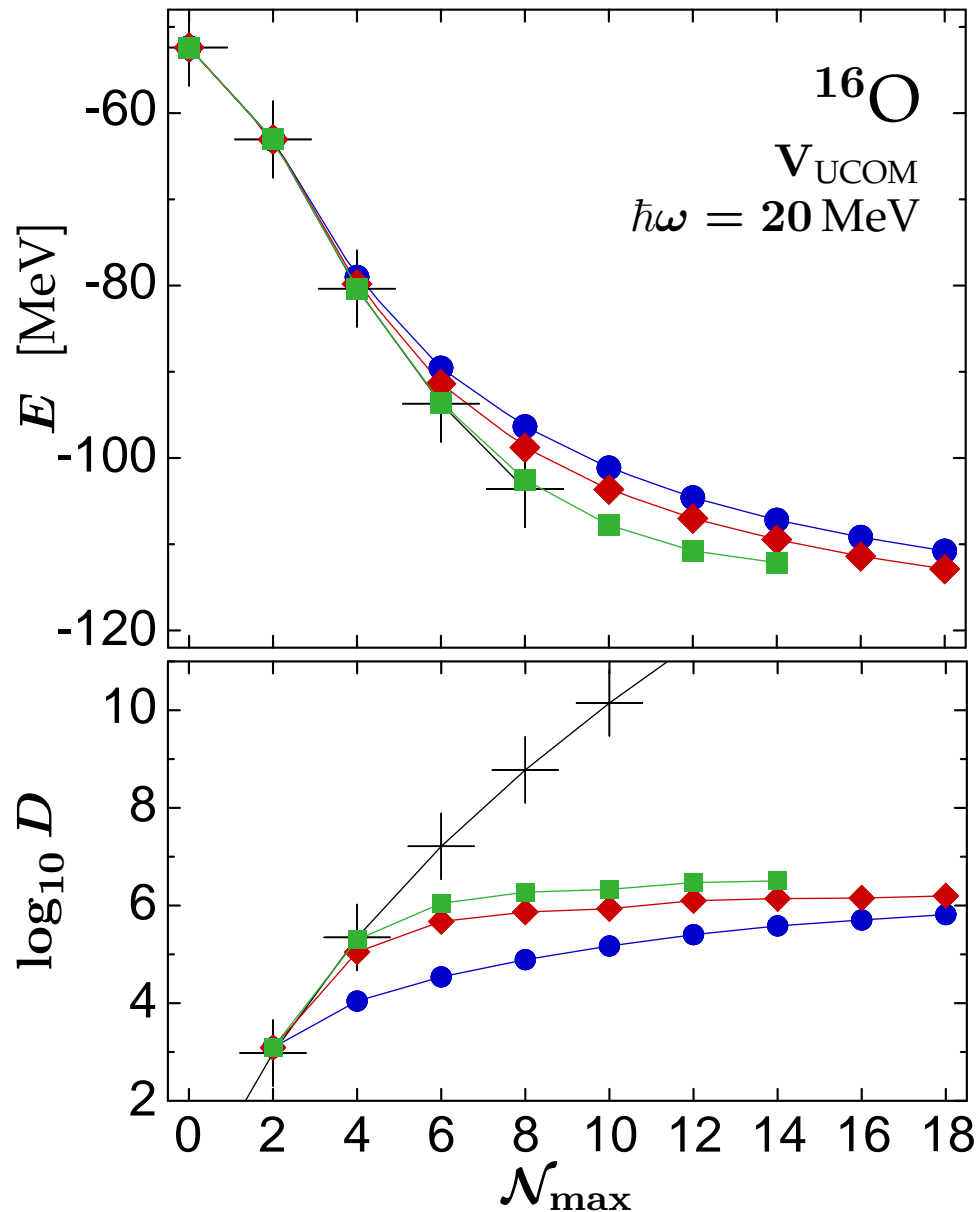
# Benchmark: ${}^4\text{He}$



■ reproduces exact NCSM result with an importance truncated basis that is 2 orders of magnitude smaller than the full  $\mathcal{N}_{\text{max}}\hbar\omega$  space

+ full NCSM (Antoine)  
● IT-NCSM 2p2h  
◆ IT-NCSM 3p3h  
■ IT-NCSM 4p4h

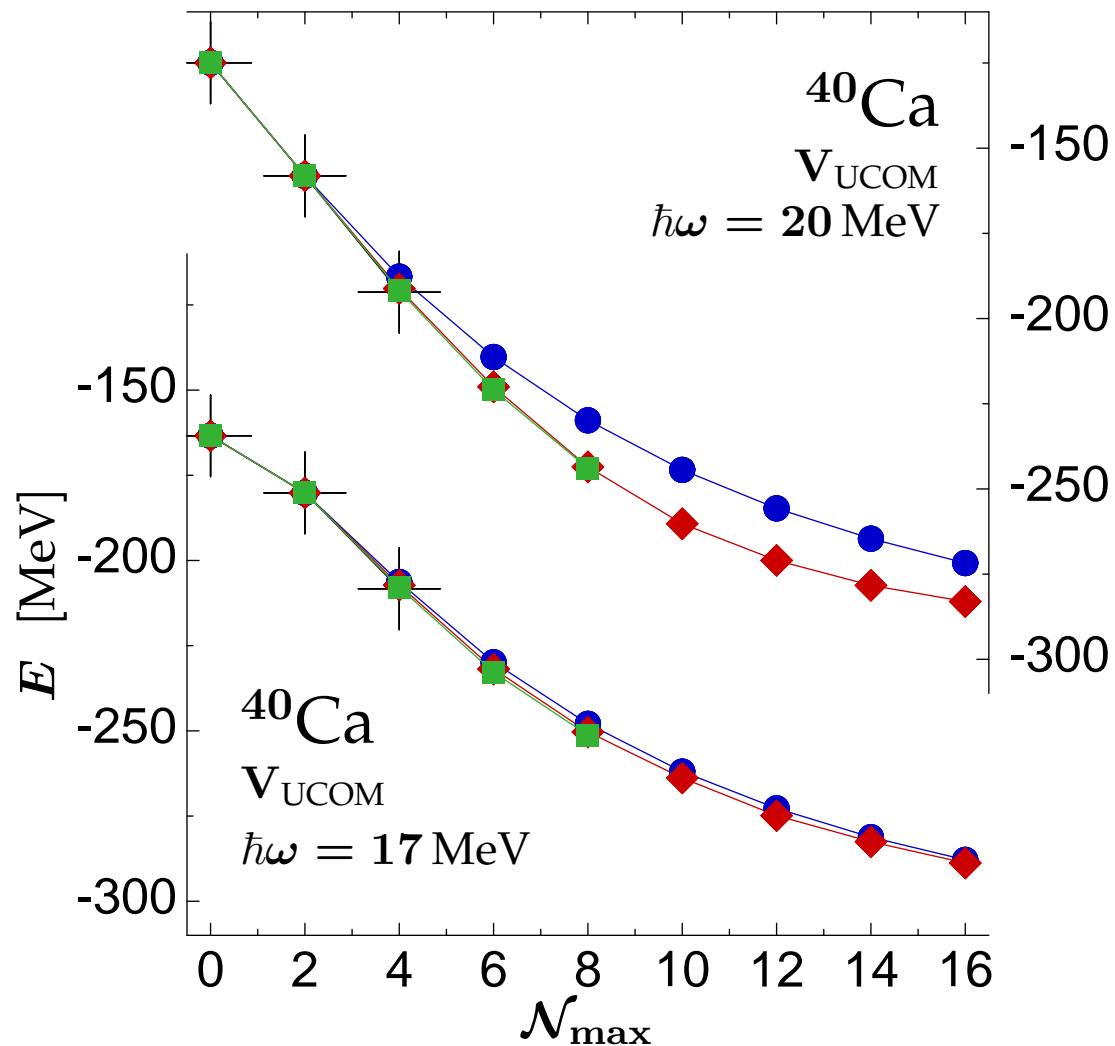
# Benchmark: $^{16}\text{O}$



- **excellent agreement with full NCSM** calculation although configurations beyond 4p4h are not included
- dimension reduced by **several orders of magnitude**; possibility to go way beyond the domain of the full NCSM

- + full NCSM (Antoine)
- IT-NCSM 2p2h
- ◆ IT-NCSM 3p3h
- IT-NCSM 4p4h

# Benchmark: $^{40}\text{Ca}$



■  $16\hbar\omega$  calculations for  $^{40}\text{Ca}$  are feasible

■ extrapolation of ground state energy (3p3h,  $\hbar\omega = 17 \text{ MeV}$ ) yields

$$E_{\infty} \approx -316 \text{ MeV}$$

$$E_{\text{exp}} = -342.05 \text{ MeV}$$

+ full NCSM (Antoine)

● IT-NCSM 2p2h

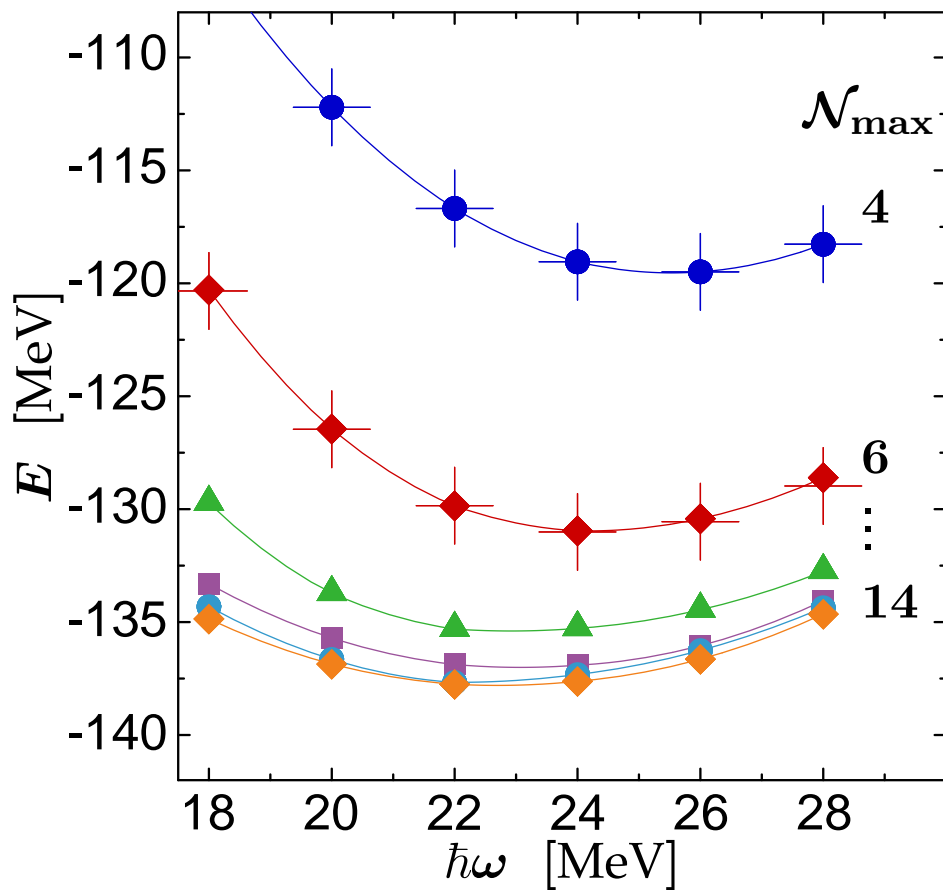
◆ IT-NCSM 3p3h

■ IT-NCSM 4p4h

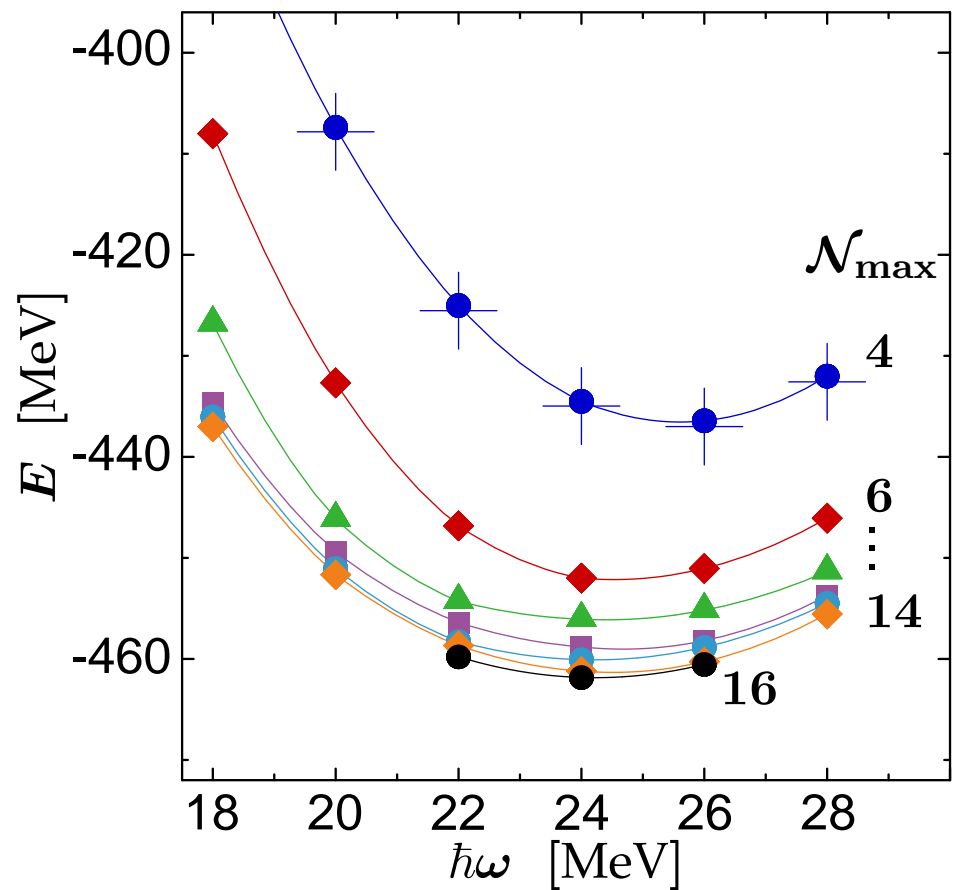
# Benchmark Results for $V_{\text{low}k}$

$^{16}\text{O}$  (up to 4p4h)  $V_{\text{low}k}$  (AV18,  $\Lambda = 2.1 \text{ fm}^{-1}$ )

$^{40}\text{Ca}$  (up to 3p3h)



$E_{\infty}(4p4h) \approx -138 \text{ MeV}$   
 $R_{\text{rms}}(4p4h) = 2.03 \text{ fm}$



$E_{\infty}(3p3h) \approx -463 \text{ MeV}$   
 $R_{\text{rms}}(3p3h) = 2.27 \text{ fm}$

Many-Body Methods

# Hartree-Fock & Beyond

R. Roth et al. — Phys. Rev. C 73, 044312 (2006)

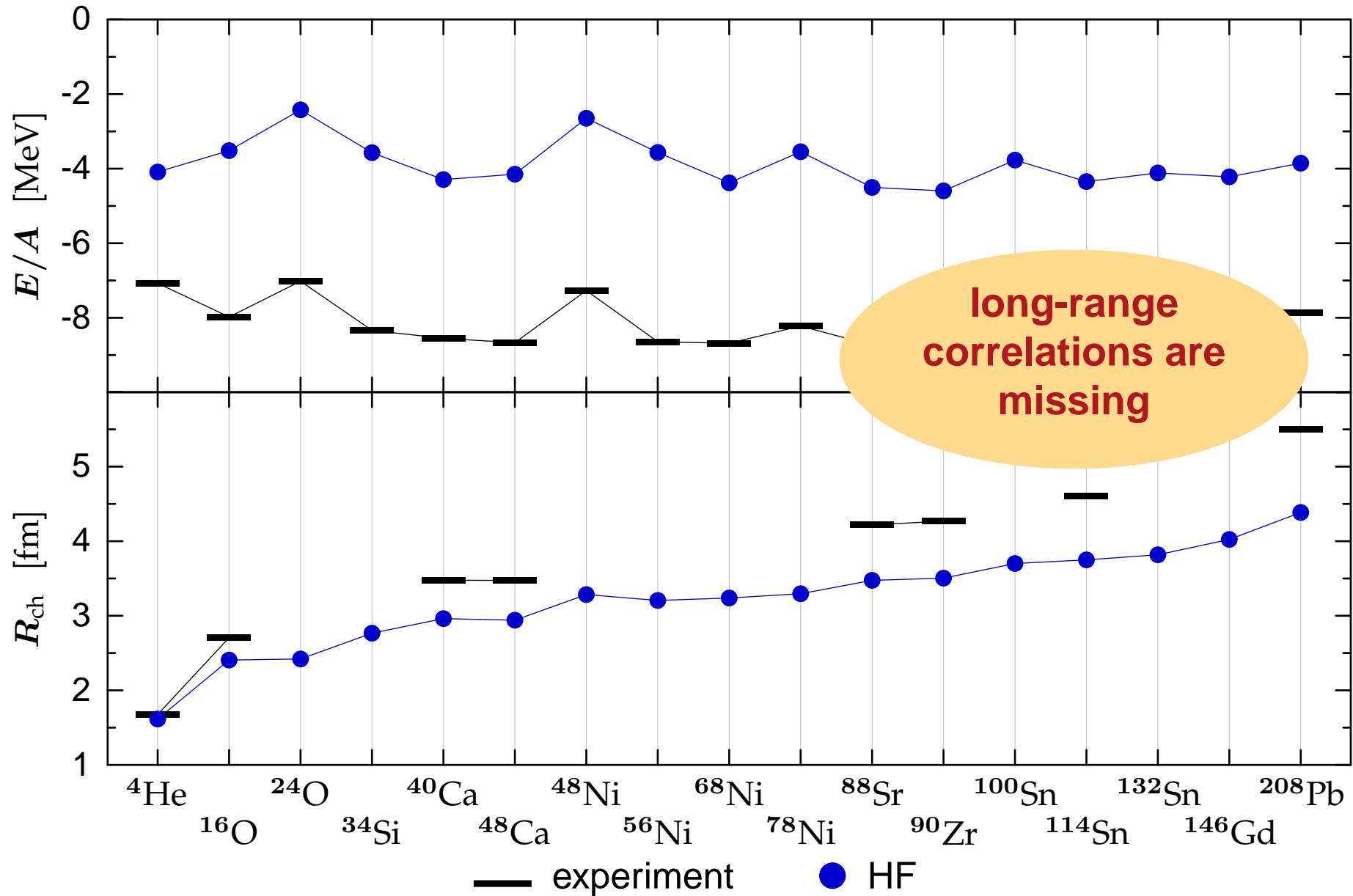
C. Barbieri et al. — arXiv: nucl-th/0608011

# HF + Correlated Interactions

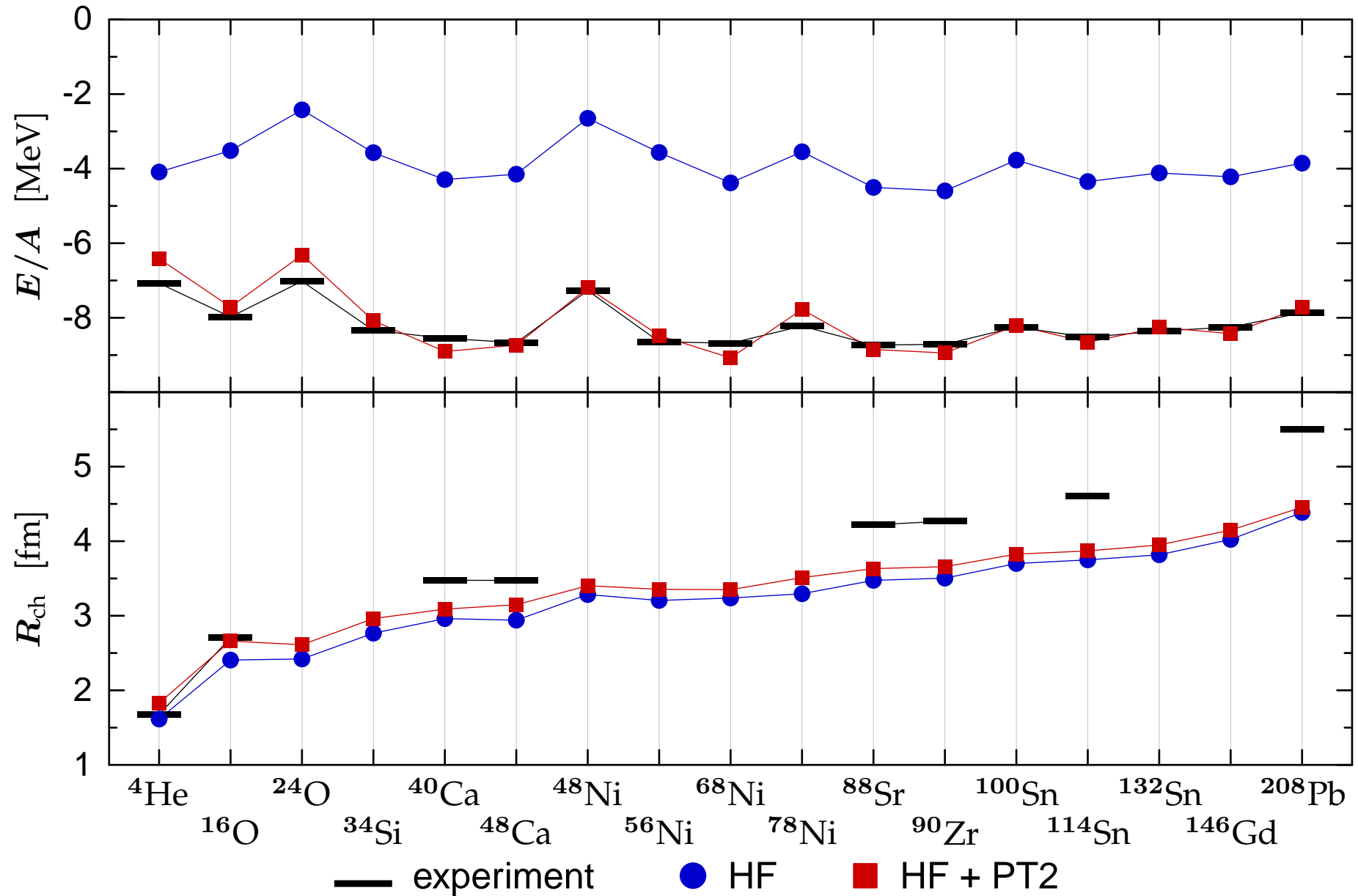
**Standard Hartree-Fock**  
+  
**Matrix Elements of Correlated  
Realistic Interaction  $V_{UCOM}$**

- many-body state is a **Slater determinant** of single-particle states expanded in oscillator basis ( $\sim 13$  major shells)
- **correlations cannot be described** by Hartree-Fock states
- starting point for **improved many-body calculations**: MBPT, RPA, SM/CI, CC,...

# Hartree-Fock with $V_{UCOM}$

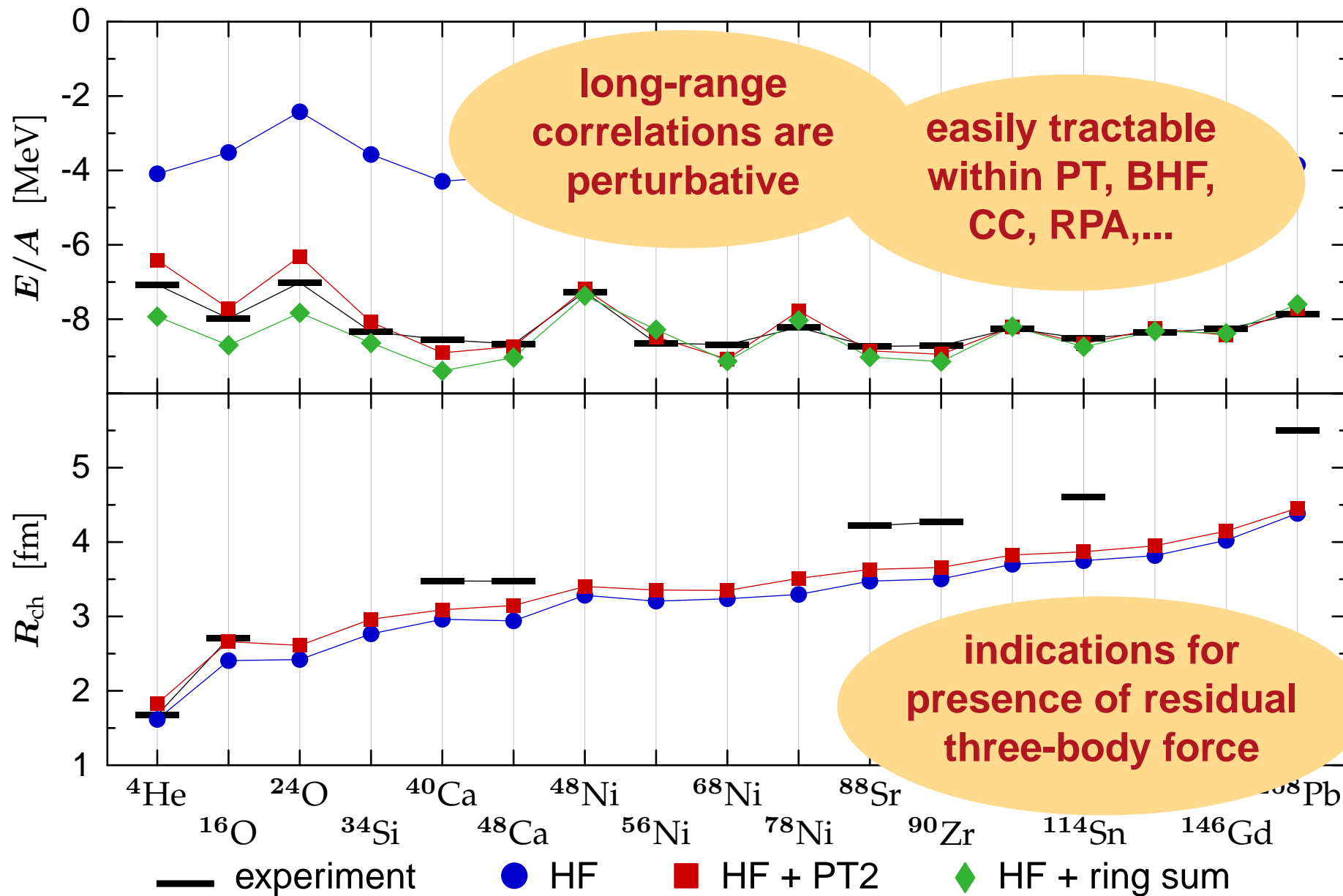


# Perturbation Theory with $V_{UCOM}$





# RPA Ring Summation with $V_{UCOM}$



## ■ Modern Effective Interactions

- treatment of short-range central and tensor correlations by unitary transformations: UCOM, SRG, Lee-Suzuki,...
- universal phase-shift equivalent correlated interaction  $V_{\text{UCOM}}$

## ■ Innovative Many-Body Methods

- No-Core Shell Model,...
- Importance Truncated CI, Coupled Cluster Method,...
- Hartree-Fock plus MBPT, Padé Resummed MBPT, BHF, RPA,...

**unified description of nuclear  
structure across the whole  
nuclear chart is within reach**

## ■ thanks to my group & my collaborators

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- N. Paar

University of Zagreb, Croatia

- H. Feldmeier, T. Neff, C. Barbieri,...

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