

Quantum Phases of Bosonic Atoms in Optical Lattices

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Overview

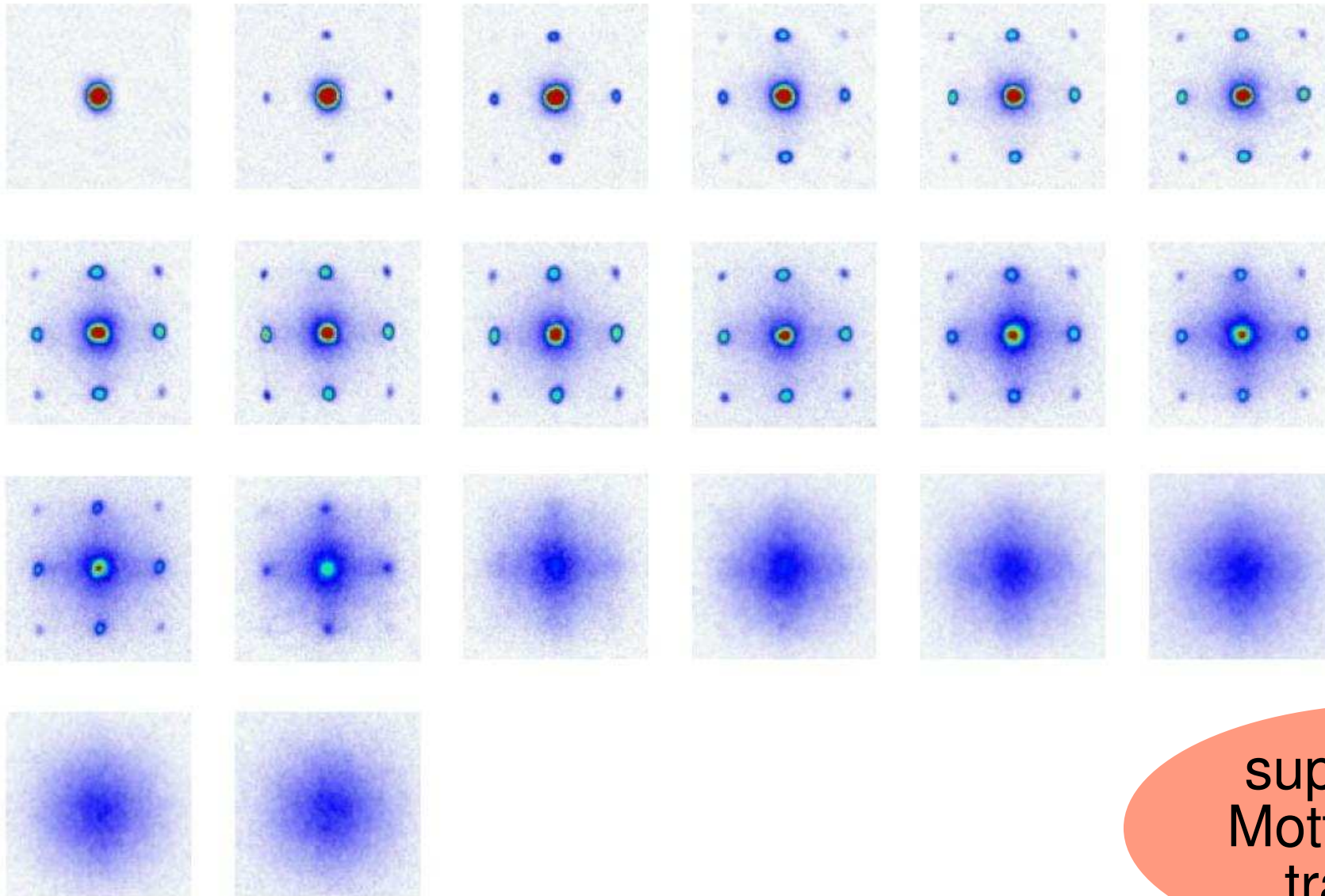
- The Experiment
- Bose-Hubbard Model
- Condensate & Superfluid
- Matter-Wave Interference Pattern
- Superfluid to Mott-Insulator Transition
- Two-Colour Superlattices

A Theoreticians' View of The Lattice Experiment

- produce a **Bose-Einstein condensate** of atoms in a magnetic trap
- load the condensate into an **optical standing-wave lattice** created by counter-propagating laser beams by slowly increasing the laser intensity
- in a 3D lattice one ends up with **few atoms per lattice site** (favourable to study quantum phase transitions) in a 1D lattice one can have thousands of atoms
- by varying the **lattice depth** and the **interaction strength** one can probe different physical regimes
- switch off the lattice and let the gas expand for some time and observe the **matter-wave interference pattern**

Munich Experiment Interference Pattern

increasing lattice depth \longrightarrow



characteristic
interference
pattern of an ar-
ray of coherent
BECs emerges

incoherent
background
appears and
peaks vanish
slowly

superfluid to
Mott-insulator
transition

[M. Greiner, *et al.*, Nature 415 (2002) 39]

Questions...

- How to describe ultracold bosons in a lattice?
- What is the **superfluid to Mott-insulator transition**?
- How to define **superfluid** and **condensate**?
- What does the **interference pattern** tell?
- Are there **other quantum-phases** one can investigate?
- What happens if the lattice is **irregular**?

Bose-Hubbard Model

Bose-Hubbard Model

- one-dimensional lattice with N particles and I lattice sites at $T = 0\text{K}$
- restrict Hilbert space to the **lowest energy band**
- localised Wannier wavefunctions $w_i(x)$ with associated **occupation numbers** n_i for the individual sites $i = 1 \dots I$
- represent N -boson state in complete basis of **Fock states** $|\{n_1, \dots, n_I\}_\alpha\rangle$

$$|\Psi\rangle = \sum_{\alpha=1}^D C_\alpha |\{n_1, \dots, n_I\}_\alpha\rangle$$

- basis dimension D **grows dramatically** with I and N

I	6	8	10	12	for $N/I = 1$
D	462	6435	92 378	1 352 078	

Bose-Hubbard Hamiltonian

- second quantised Hamiltonian in terms of the associated creation, annihilation, and number operators [Fisher et al. (1989); Jaksch et al. (1998)]

$$\hat{H}_0 = -J \sum_{i=1}^I (\hat{a}_{i+1}^\dagger \hat{a}_i + \text{h.a.}) + \sum_{i=1}^I \epsilon_i \hat{n}_i + \frac{V}{2} \sum_{i=1}^I \hat{n}_i (\hat{n}_i - 1)$$

tunnelling between
adjacent lattice sites

single-particle
energy

on-site two-body
interaction

- assumptions: (a) only lowest band, (b) constant nearest-neighbour hopping, (c) only short-range interactions
- ▶ Bose-Hubbard model is able to describe **strongly correlated systems** as well as **pure condensates**
- ▶ **exact solution**: compute the lowest eigenstates of \hat{H}_0 using iterative Lanczos algorithms

Simple Physical Quantities

- consider a regular lattice $\rightarrow \epsilon_i = 0$
- solve eigenproblem for various V/J

- **mean occupation numbers**

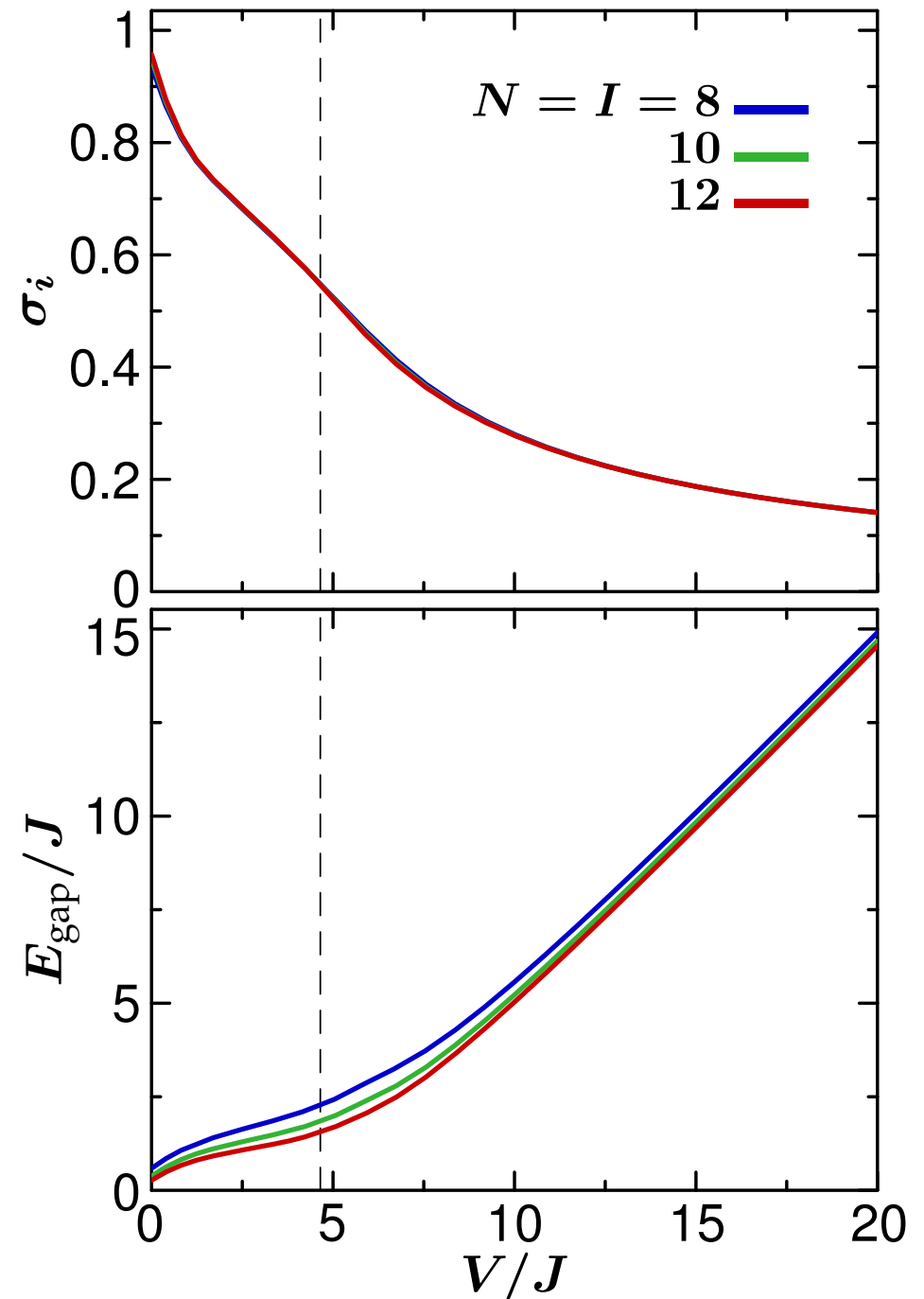
$$\bar{n}_i = \langle \Psi_0 | \hat{n}_i | \Psi_0 \rangle$$

- **number fluctuations**

$$\sigma_i = \sqrt{\langle \Psi_0 | \hat{n}_i^2 | \Psi_0 \rangle - \langle \Psi_0 | \hat{n}_i | \Psi_0 \rangle^2}$$

- **energy gap**

$$E_{\text{gap}} = E_{\text{1st excited}} - E_0$$



Condensate & Superfluidity

General Definition of the Bose-Einstein Condensate

- eigensystem of the **one-body density matrix**

$$\rho_{ij}^{(1)} = \langle \Psi_0 | \hat{a}_i^\dagger \hat{a}_j | \Psi_0 \rangle$$

defines natural orbitals and the corresponding occupation numbers

- Onsager-Penrose criterion: **Bose-Einstein condensate** is present if one of the eigenvalues of $\rho_{ij}^{(1)}$ is of order N (in the thermodynamic limit)

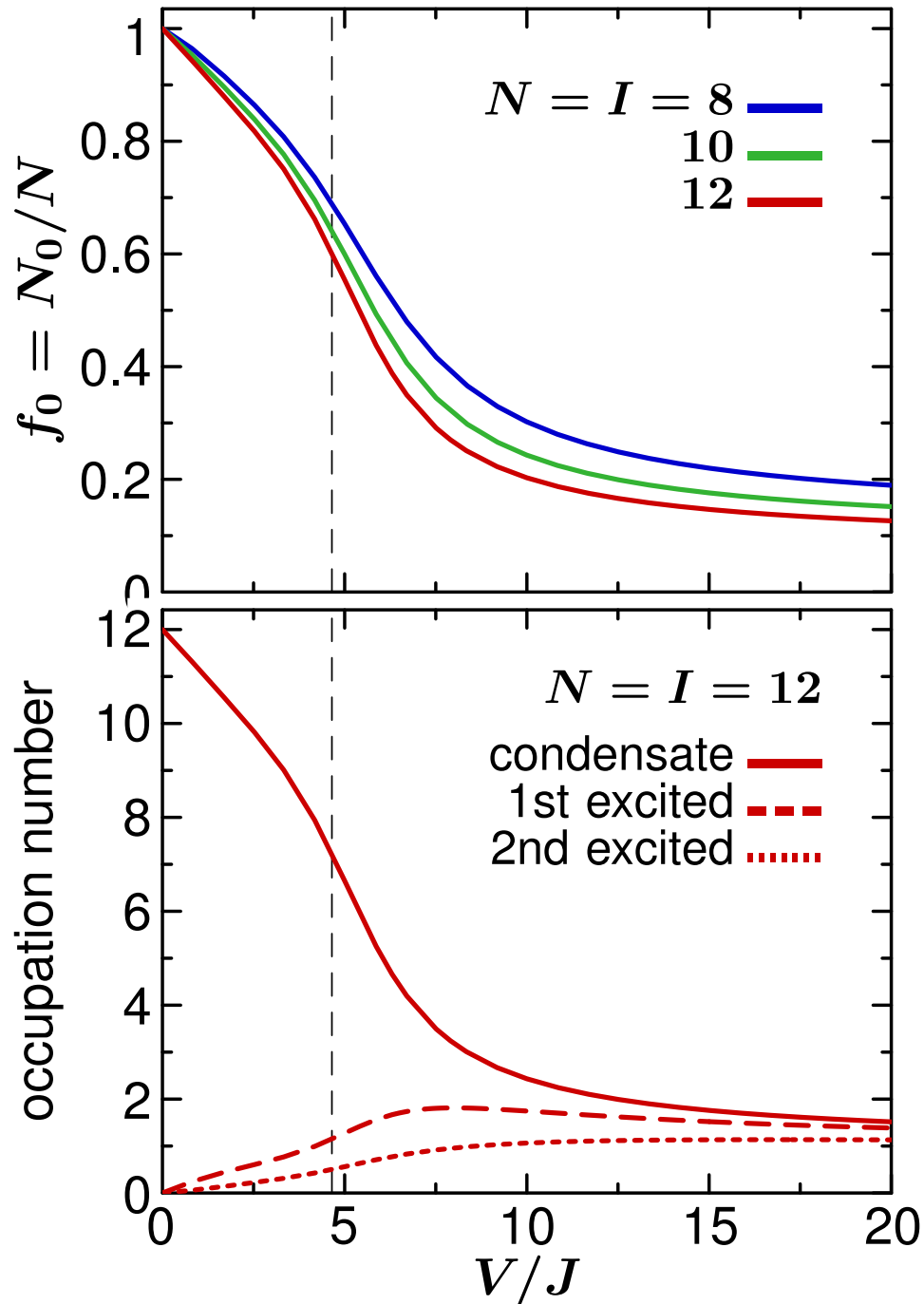
eigenvalue $\rightarrow N_0$: number of condensed particles
eigenvector $\rightarrow \phi_{0,i}$: condensate wave function

- existence of a condensate implies **off-diagonal long range order**

$$\rho_{ij}^{(1)} \not\rightarrow 0 \quad \text{as} \quad |i - j| \rightarrow \infty$$

- in a regular lattice the natural orbitals are **quasi-momentum eigenstates**

Condensate & Quasimomentum Distribution



- pure condensate for $V/J = 0$
- rapid depletion of the condensate with increasing V/J
- finite size effect: condensate fraction in a finite lattice always $\geq 1/I$
- states with larger quasimomentum are populated successively
- homogeneous occupation of the band in the limit of large V/J

What is Superfluidity?

- macroscopically the superfluid flow is **non-dissipative** and **irrotational**, i.e., it is described by the gradient of a scalar field

$$\vec{v}_{\text{SF}} \propto \vec{\nabla} \theta(\vec{x})$$

- classical two-fluid picture: only normal component responds to an imposed velocity field \vec{v} (moving walls), the superfluid stays at rest
- energy in the comoving frame differs from ground state energy in the rest frame by the **kinetic energy of the superflow**

$$E(\text{imposed } \vec{v}, \text{ comoving frame}) = E(\text{at rest}) + \frac{1}{2} M_{\text{SF}} \vec{v}^2$$

- ▶ these two ideas are basis for the **microscopic definition of superfluidity**

Definition of Superfluidity

- the velocity field of the superfluid is defined by the **gradient of the phase** of the condensate wavefunction $\phi_0(\vec{x})$

$$\vec{v}_{\text{SF}} = \frac{\hbar}{m} \vec{\nabla} \theta(\vec{x}) \quad \phi_0(\vec{x}) = e^{i\theta(\vec{x})} |\phi_0(\vec{x})|$$

- employ **twisted boundary conditions** to impose a linear phase variation

$$\Psi(\vec{x}_1, \dots, \vec{x}_i + L\vec{e}_1, \dots, \vec{x}_N) = e^{i\Theta} \Psi(\vec{x}_1, \dots, \vec{x}_i, \dots, \vec{x}_N) \quad \forall i$$

- the change in energy $E_\Theta - E_0$ due to the phase twist is for small Θ identified with the **kinetic energy of the superflow**

$$E_\Theta - E_0 = \frac{1}{2} M_{\text{SF}} v_{\text{SF}}^2 = \frac{1}{2} m N_{\text{SF}} v_{\text{SF}}^2$$

- superfluid fraction** = rigidity with respect to phase variations

$$F_{\text{SF}} = \frac{N_{\text{SF}}}{N} = \frac{2m L^2}{\hbar^2 N} \frac{E_\Theta - E_0}{\Theta^2} \quad \Theta \ll \pi$$

Superfluidity on the Lattice

- express F_{SF} in terms of the parameters of the Bose-Hubbard model

$$F_{\text{SF}} = \frac{m}{m^*} f_{\text{SF}}$$

$$f_{\text{SF}} = \frac{I^2}{JN} \frac{E_{\Theta} - E_0}{\Theta^2}$$

$$I = L/a$$

$$J = \hbar^2 / (2m^* a^2)$$

- ▶ $\frac{m}{m^*}$: reduction of flow by the lattice itself
 - ▶ f_{SF} : interaction-induced depletion relevant for quantum phase transitions
- map phase twist onto Hamiltonian \rightarrow **twisted Hamiltonian** containing additional phase factors

$$\hat{H}_{\Theta} = -J \sum_{i=1}^I (e^{-i\Theta/I} \hat{a}_{i+1}^{\dagger} \hat{a}_i + \text{h.a.}) + \dots$$

- solve the eigenvalue problem of \hat{H}_{Θ} and \hat{H}_0 (with periodic BCs) and directly compute $E_{\Theta} - E_0$ and f_{SF}

Perturbative Calculation of the Superfluid Fraction

- calculate $E_\Theta - E_0$ in a perturbative expansion for small Θ around the untwisted Hamiltonian \hat{H}_0
- exact expression for f_{SF} in the limit $\Theta \rightarrow 0$

$$f_{\text{SF}} = f_{\text{SF}}^{(1)} - f_{\text{SF}}^{(2)}$$

$$f_{\text{SF}}^{(1)} = -\frac{1}{2NJ} \langle \Psi_0 | \hat{T} | \Psi_0 \rangle$$

$$f_{\text{SF}}^{(2)} = \frac{1}{NJ} \sum_{\nu \neq 0} \frac{|\langle \Psi_\nu | \hat{J} | \Psi_0 \rangle|^2}{E_\nu - E_0}$$

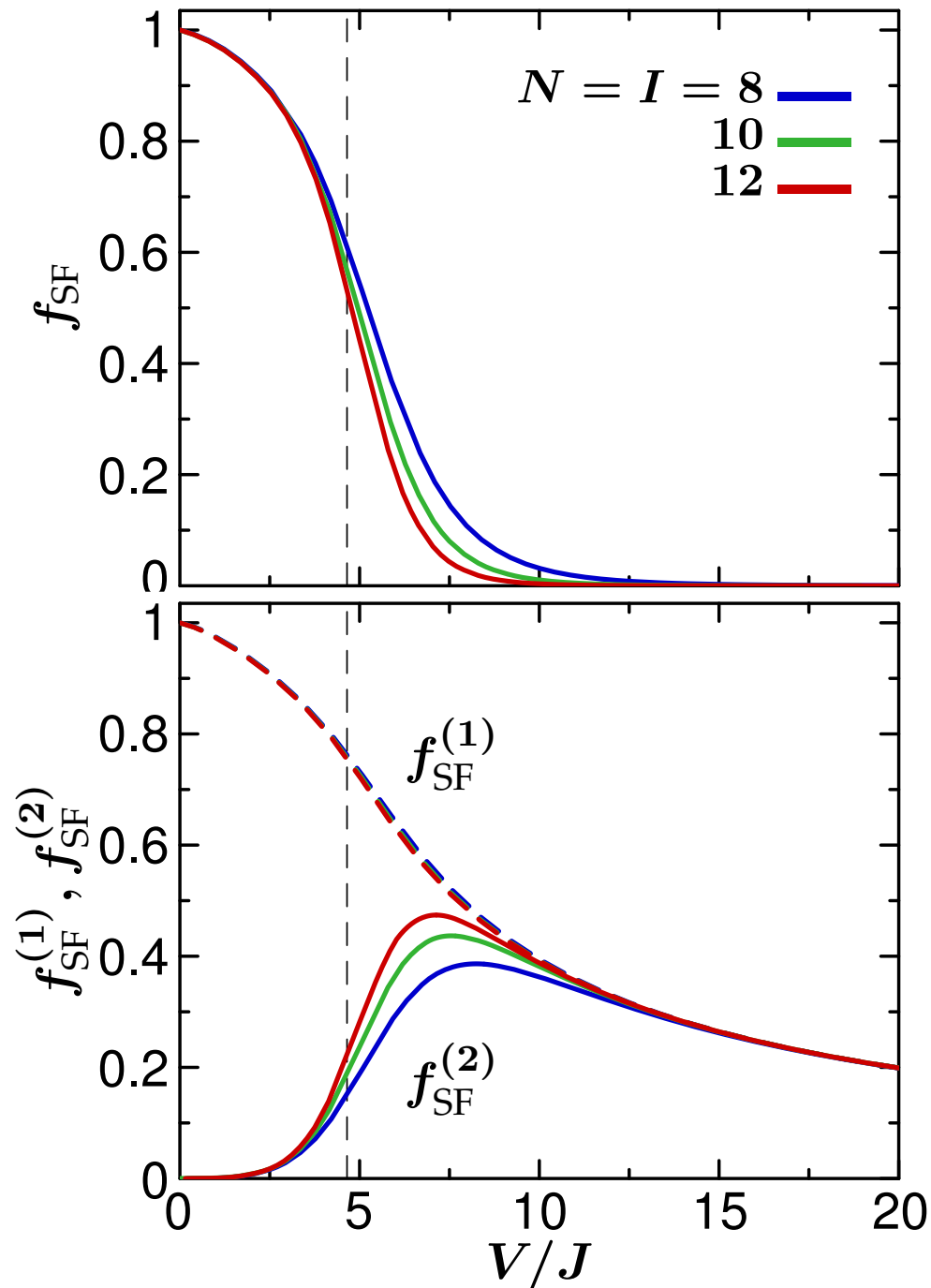
$$\hat{T} = -J \sum_i (\hat{a}_{i+1}^\dagger \hat{a}_i + \text{h.a.})$$

$$\hat{J} = iJ \sum_i (\hat{a}_{i+1}^\dagger \hat{a}_i - \text{h.a.})$$

- ▶ **1st order term** depends only on the ground state expectation value of \hat{T}
- ▶ **2nd order term** couples to the **whole excitation spectrum of \hat{H}_0**
- ▶ the superfluid fraction measures the **response** of the system to an external perturbation (phase twist)

Mott-Insulator Transition

Superfluid Fraction



- superfluid fraction is the natural **order parameter** for the superfluid-insulator transition
- rapid decrease of f_{SF} in a narrow window in V/J already for small systems
- $f_{\text{SF}}^{(1)}$ decreases only very slowly
- vanishing of f_{SF} is due to a cancellation between $f_{\text{SF}}^{(1)}$ and $f_{\text{SF}}^{(2)}$
- coupling to **excited states** is crucial for the vanishing of f_{SF} in the insulating phase

Condensate -vs- Superfluid

Condensate

- largest eigenvalue of the one-body density matrix
- involves only the ground state
- measure for off-diagonal long-range order / coherence

$$f_0 < f_{\text{SF}}$$

- non-condensed particles are dragged along with condensate
- liquid ^4He at $T = 0\text{K}$:

$$f_0 \approx 0.1, \quad f_{\text{SF}} = 1$$

≠

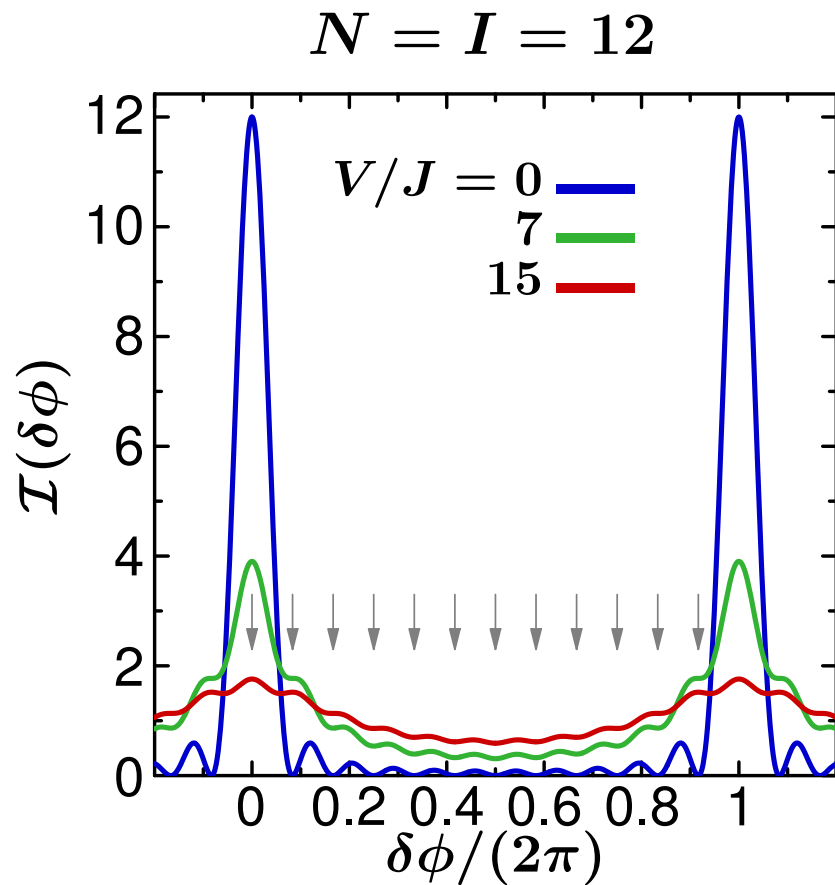
Superfluid

- response of the system to an external perturbation
- depends crucially on the excited states of the system
- measures a flow property

$$f_0 > f_{\text{SF}}$$

- part of the condensate has a reduced rigidity against phase variations
- seems to occur in systems with defects or disorder

What about the Interference Pattern?

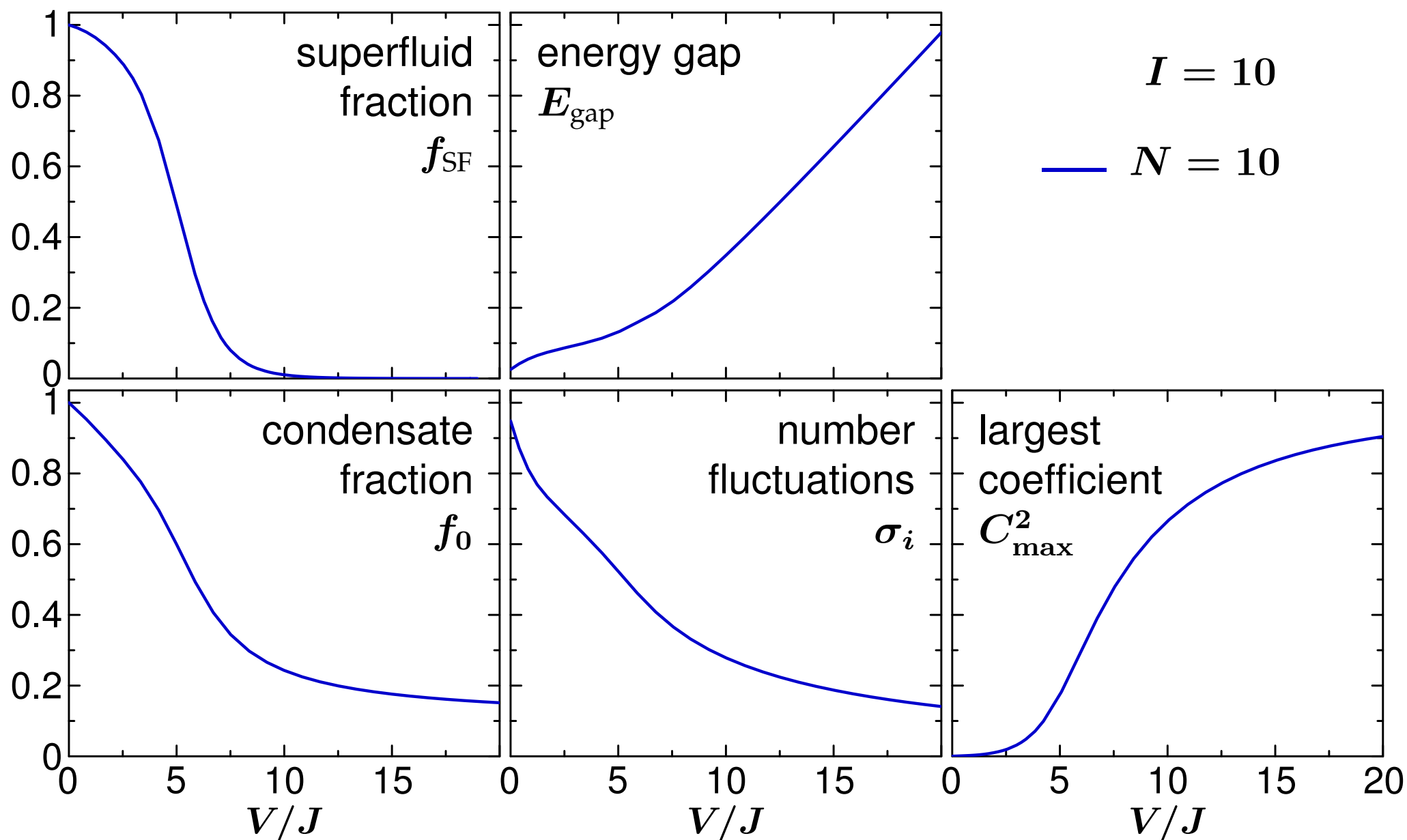


- interference fringes are a measure for the coherence properties
- intensity in the far-field as function of phase difference $\delta\phi$

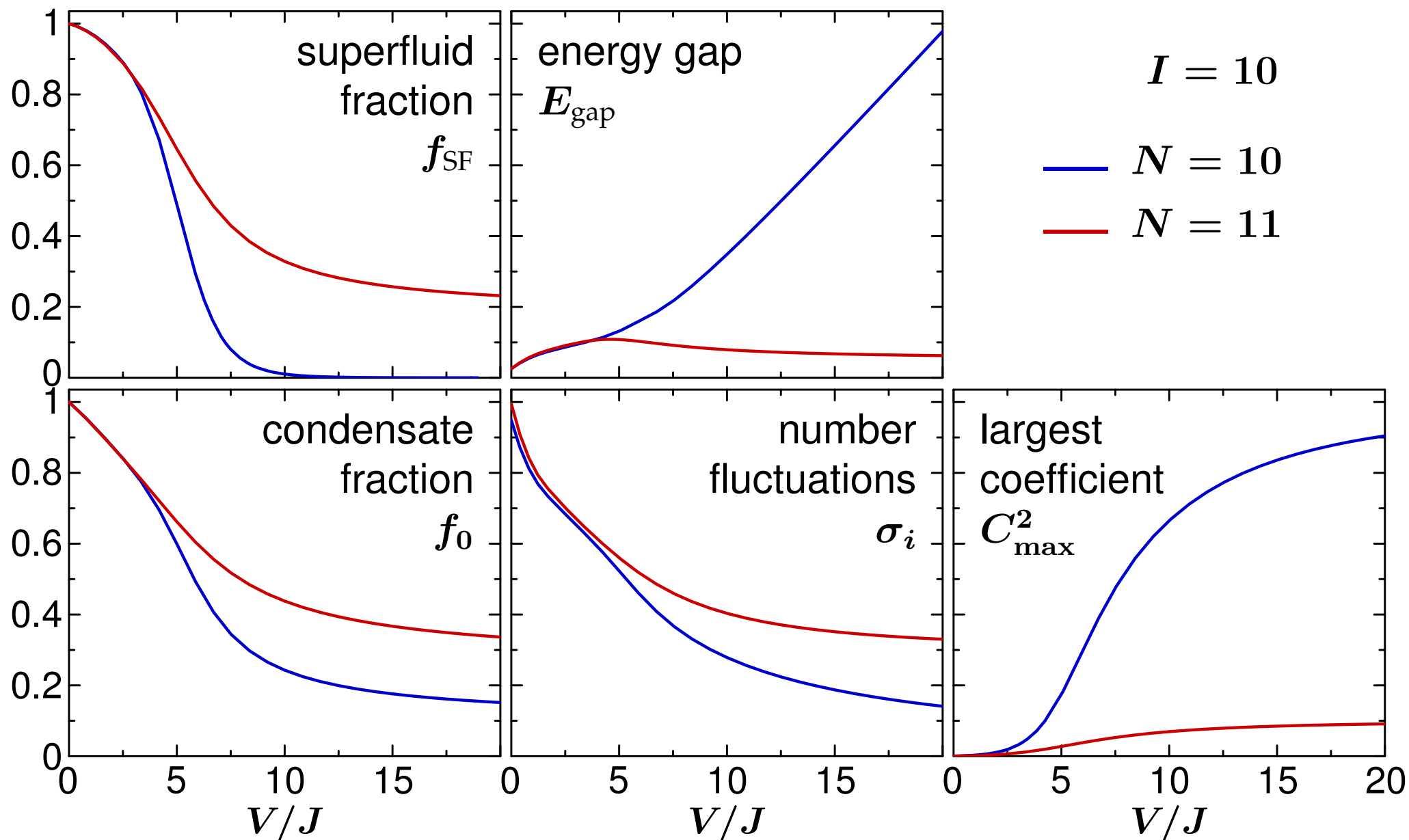
$$\mathcal{I}(\delta\phi) = \frac{1}{I} \sum_{i,j=1}^I e^{i\delta\phi(j-i)} \underbrace{\langle \Psi_0 | \hat{a}_i^\dagger \hat{a}_j | \Psi_0 \rangle}_{\rho_{ij}^{(1)}}$$

- determined entirely by the one-body density matrix of the ground state
- ▶ fringes tell something about **condensate** and quasimomentum distribution but **not about superfluidity**

Superfluid to Mott-Insulator Transition

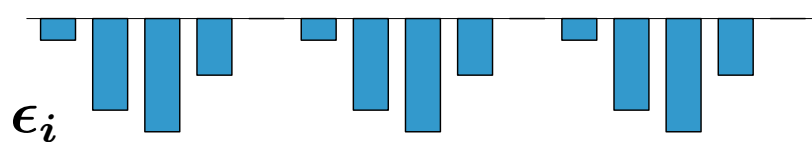
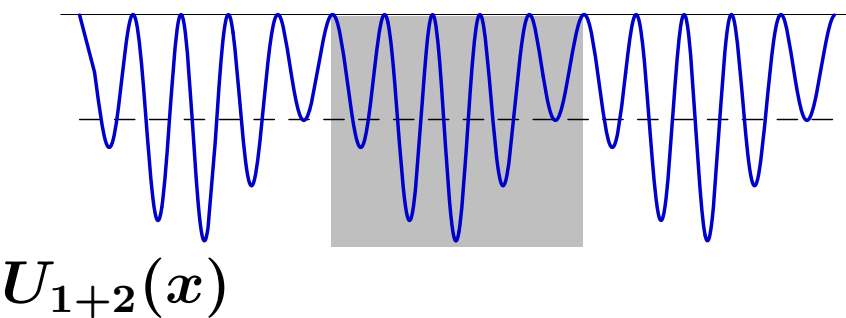
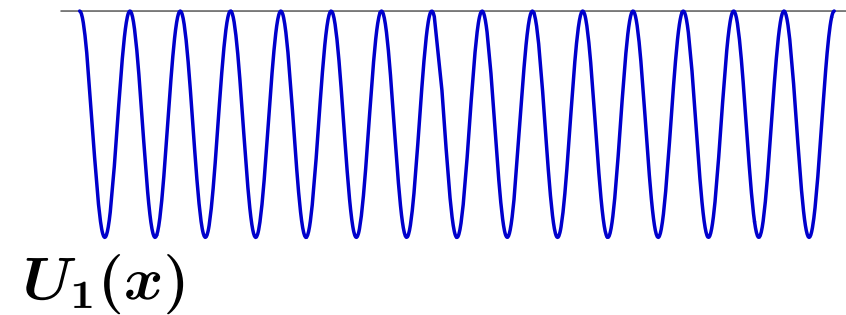


Superfluid to Mott-Insulator Transition



Two-Colour Superlattices

Two-Colour Superlattices

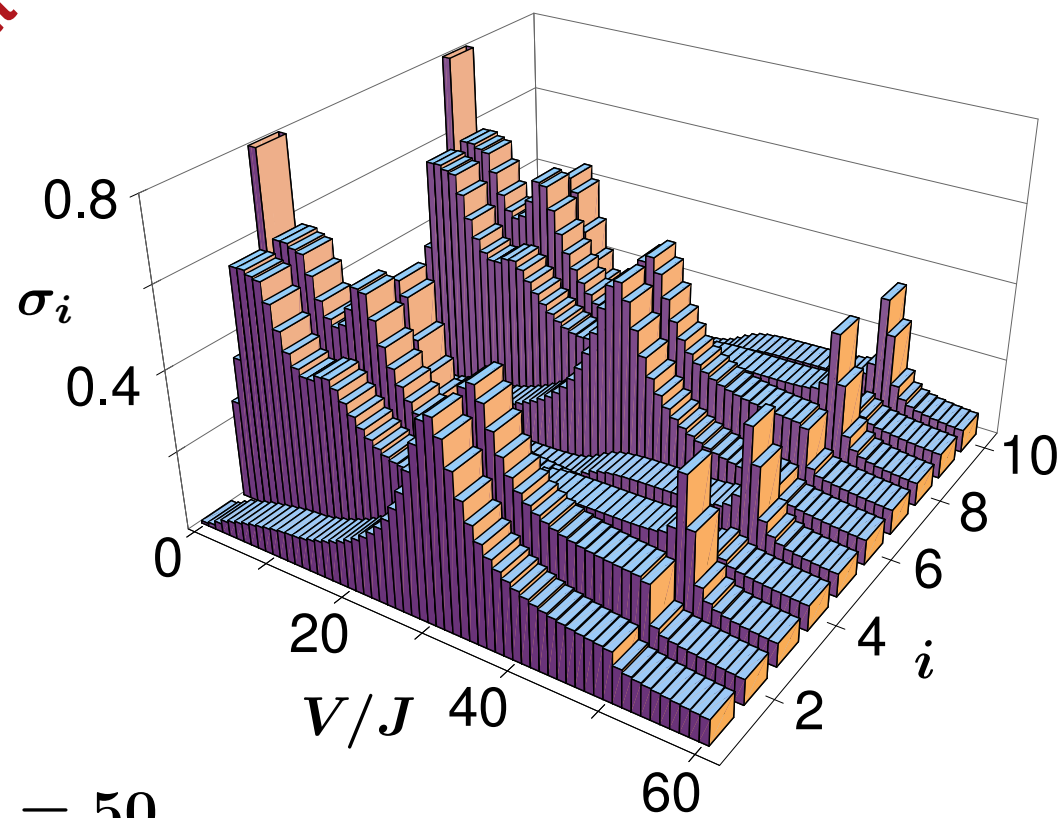
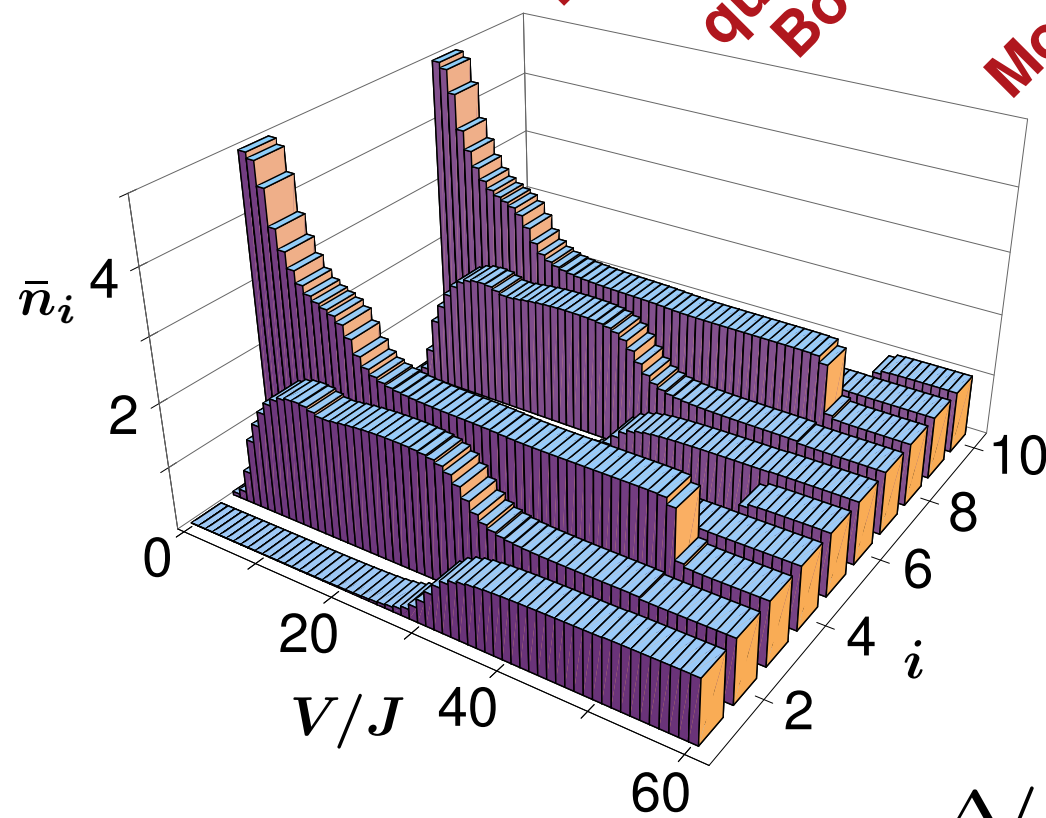


- start with a standing wave created by a laser with wavelength λ_1
- add a second standing wave created by a laser with wavelength $\lambda_2 = \frac{5}{7}\lambda_1$ and much smaller intensity (here 4%)
- potential exhibits a periodic modulation of the well-depth with a period of 5 sites
- Bose-Hubbard model: varying on-site energies $\epsilon_i \in [0, -\Delta]$

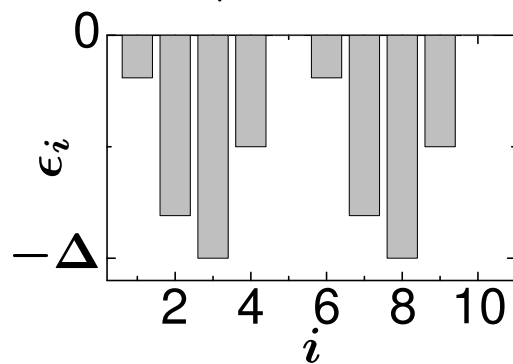
► **controlled lattice irregularities** open novel possibilities to study “disorder” related effects; more complex topologies easily possible

Interaction -vs- Lattice Irregularity

localised
quasi
Bose glass
Mott insulator

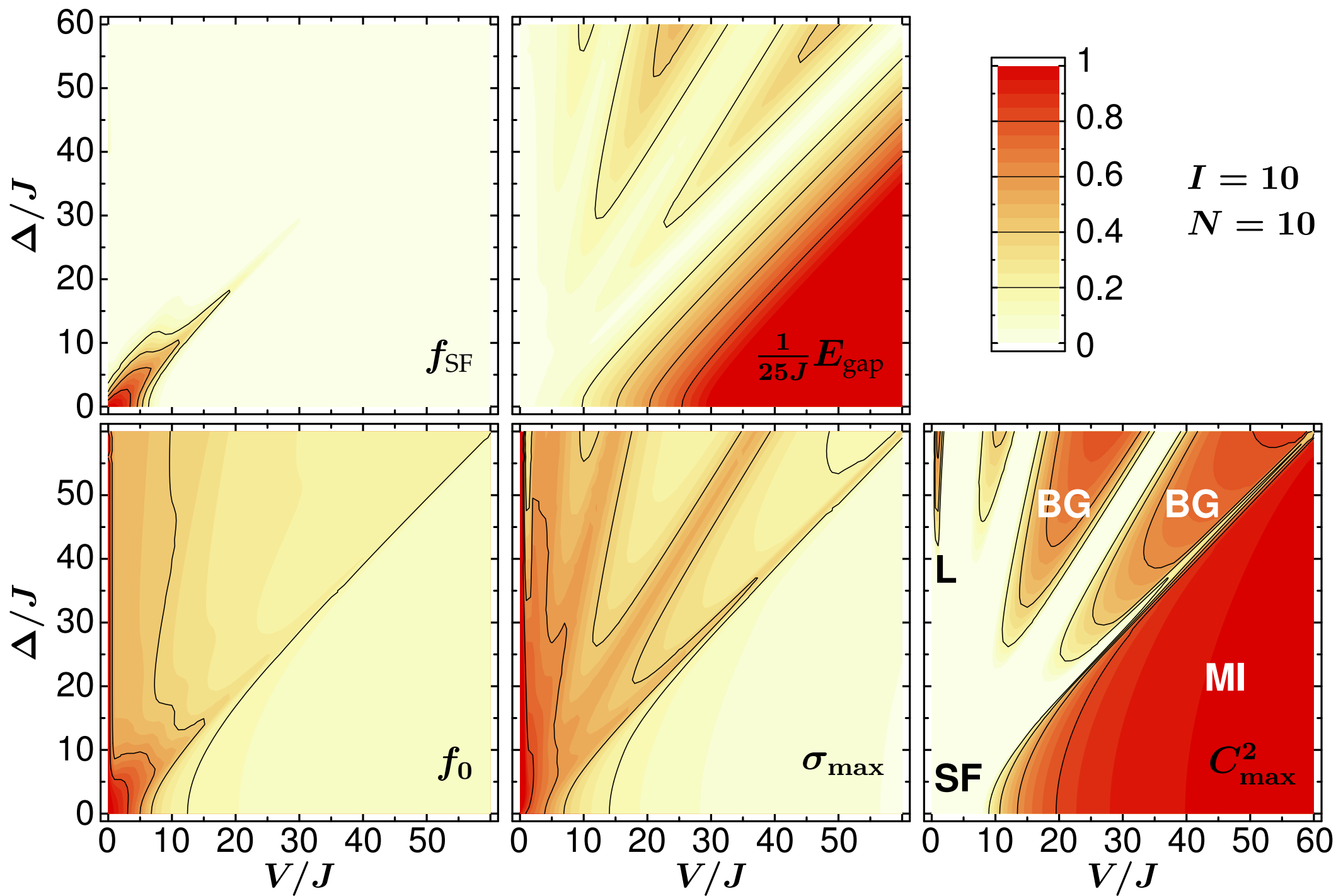


$\Delta/J = 50$

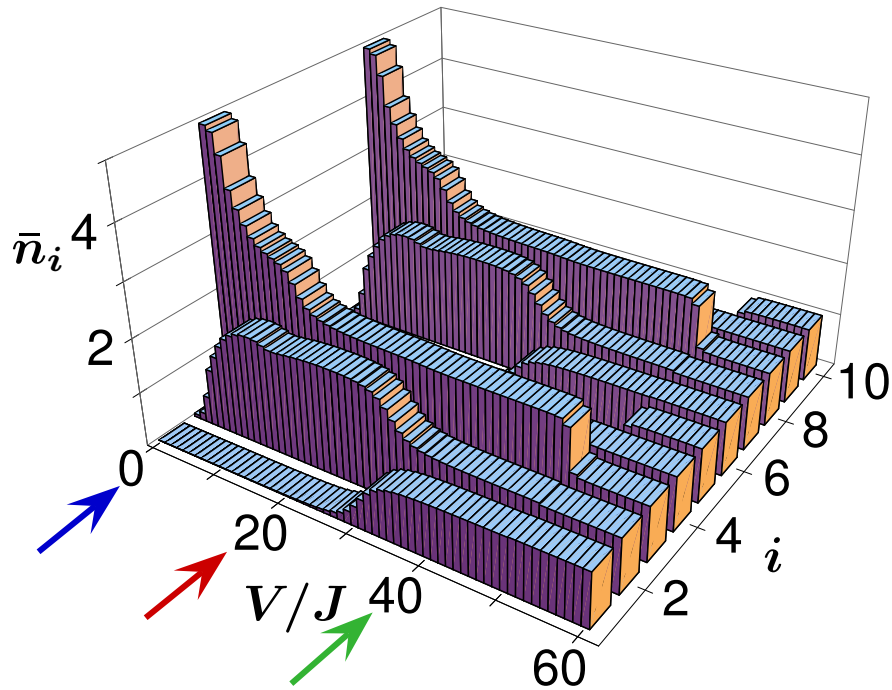


Two-Colour Superlattices

V - Δ Phase Diagrams

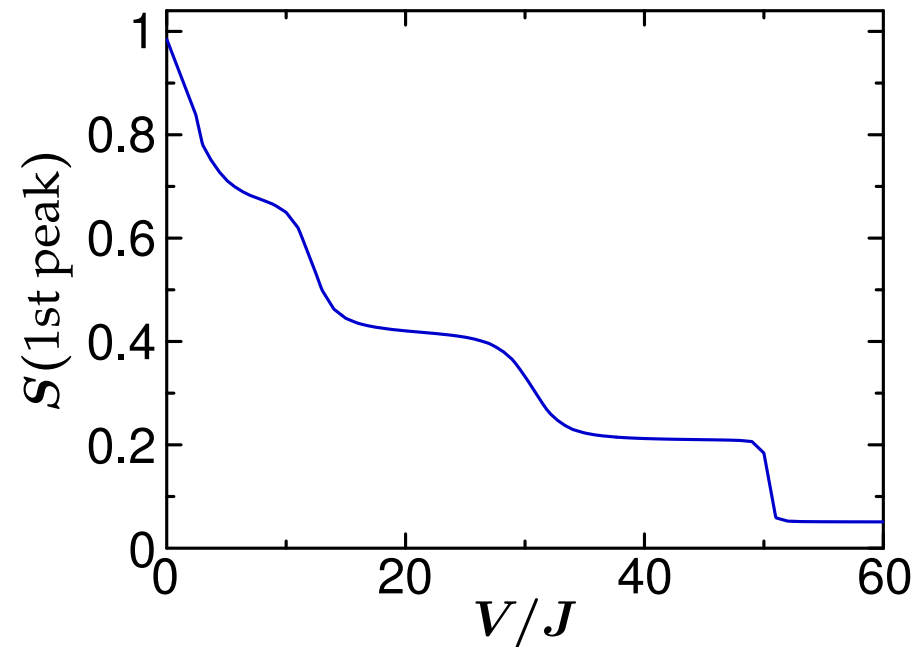
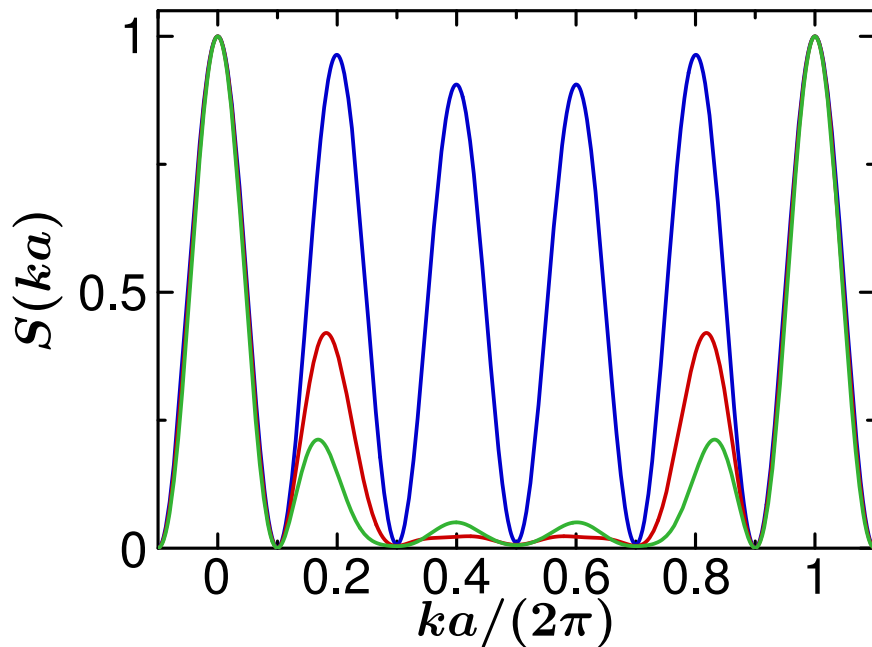


Experimental Signatures: Structure Factor



- Can one detect the structural changes?
- Bragg diffraction of light from trapped atoms provides information on the **static structure factor**

$$S(ka) = \frac{1}{I^2} \sum_{i,j=1}^I e^{ika(i-j)} \langle \Psi_0 | \hat{n}_i \hat{n}_j | \Psi_0 \rangle$$



Conclusions

■ Superfluidity

- response of the system to a perturbation (phase variation)
- depends crucially on the excitation spectrum

■ Condensate & Coherence

- properties of the one-body density matrix of the ground state
- ground state quantities (interference pattern, fluctuations, etc.) cannot give direct information on the superfluid fraction or the phase transition

■ Two-Colour Superlattices

- rich phase diagram with several insulating phases: localised, quasi Bose-glass, Mott-insulator
- distinct signatures in interference pattern and structure factor

Epilogue

- unique degree of **experimental control** makes ultracold atomic gases in optical lattices...
 - ideal model systems to study strong correlation effects (quantum phase transitions) and other solid-state questions
 - promising “hardware” for quantum information processing
- many **fascinating questions** still open...
 - fermions and boson-fermion mixtures in lattices, spinor Bose gases
 - long-range interactions, molecules, dynamics,...

■ References

- R. Roth, K. Burnett; Phys. Rev. A 68 (2003), cond-mat/0304063
- R. Roth, K. Burnett; Phys. Rev. A 67 (2003) 031692(R)
- A. M. Rey, et al.; J. Phys. B 36 (2003) 825
- R. Roth, K. Burnett; J. Opt. B 5 (2003) S50

■ € / £

- DFG, UK EPSRC, EU

Supplements

Commensurate / Incommensurate Filling V - Δ Phase Diagrams

