

# The QCD Phase Diagram from effective models

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18<sup>th</sup> March, 2008

Quarks and Hadrons in strong QCD  
415<sup>th</sup> Wilhelm & Else Heraeus Seminar

St. Goar, Germany

- Conjectured QCD Phase Diagram
- Two Flavor Quark-Meson Model
  - ▷ Mean field approximation
  - ▷ Renormalization Group study
- Polyakov–Quark-Meson Model
- Three Flavor Quark-Meson Model

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# Conjectured QCD Phase Diagram

QCD: two phase transitions:

- 1 restoration of chiral symmetry

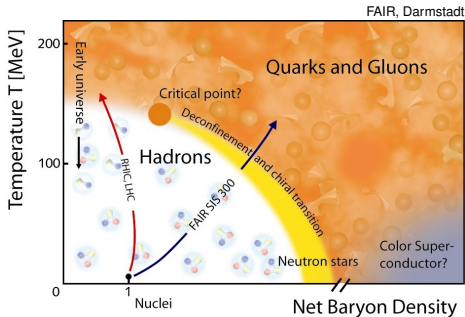
$$SU_{L+R}(N_f) \rightarrow SU_L(N_f) \times SU_R(N_f)$$

order parameter:

$$\langle \bar{q}q \rangle \begin{cases} > 0 \Leftrightarrow \text{symmetry broken, } T < T_c \\ = 0 \Leftrightarrow \text{symmetric phase, } T > T_c \end{cases}$$

associate limit:  $m_q \rightarrow 0$

chiral transition: spontaneous restoration of global  $SU_L(N_f) \times SU_R(N_f)$  at high  $T$



# Conjectured QCD Phase Diagram

QCD: two phase transitions:

- 1 restoration of chiral symmetry
- 2 de/confinement

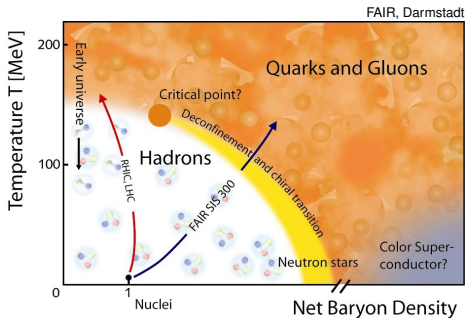
order parameter:

$$\frac{\langle \text{tr}_c \mathcal{P}(\vec{x}) \rangle}{N_c} \begin{cases} = 0 \Leftrightarrow \text{confined phase, } T < T_c \\ > 0 \Leftrightarrow \text{deconfined phase, } T > T_c \end{cases}$$

$$\mathcal{P}(\vec{x}) = \mathcal{P} e^{i \int_0^\beta d\tau A_0(\tau, \vec{x})}$$

associate limit:  $m_q \rightarrow \infty$

→ related to free energy of static quark

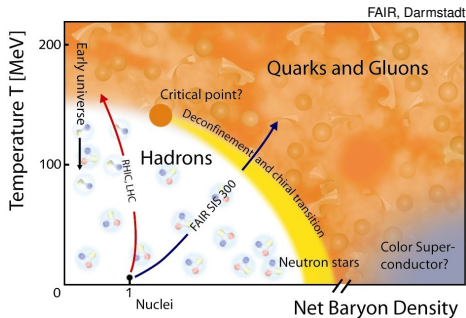


→ talk by F. Marhauser

# Conjectured QCD Phase Diagram

QCD: two phase transitions:

- 1 restoration of chiral symmetry
- 2 de/confinement



effective models:

- 1 Quark-meson model
- 2 Polyakov-quark-meson model

or other models e.g. NJL

or PNJL models

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# The chiral $N_f = 2$ quark-meson model

- Lagrangian:

$$\mathcal{L}_{\text{qm}} = \bar{q}[i\gamma_\mu\partial^\mu - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)]q + \frac{1}{2}(\partial_\mu\sigma)^2 + \frac{1}{2}(\partial_\mu\vec{\pi})^2 + \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

- Mean field analysis

→ partition function:

$$\mathcal{Z}(T, \mu) = \int \mathcal{D}\bar{q}\mathcal{D}q\mathcal{D}\sigma\mathcal{D}\vec{\pi} \exp \left\{ i \int_0^{1/T} dt d^3x (\mathcal{L}_{\text{qm}} + \mu\bar{q}\gamma_0q) \right\}.$$

$$\sigma \rightarrow \langle \sigma \rangle \equiv \phi,$$

$$\pi \rightarrow \langle \pi \rangle = 0,$$

integrate quark/antiquarks



- Lagrangian:

$$\mathcal{L}_{\text{qm}} = \bar{q}[i\gamma_\mu\partial^\mu - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)]q + \frac{1}{2}(\partial_\mu\sigma)^2 + \frac{1}{2}(\partial_\mu\vec{\pi})^2 + \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

- Mean field analysis

## Grand canonical potential

$$\Omega(T, \mu) = -\frac{T \ln \mathcal{Z}}{V} = \frac{\lambda}{4}(\langle\sigma\rangle^2 - v^2)^2 - c\langle\sigma\rangle + \Omega_{\bar{q}q}(T, \mu)$$

with

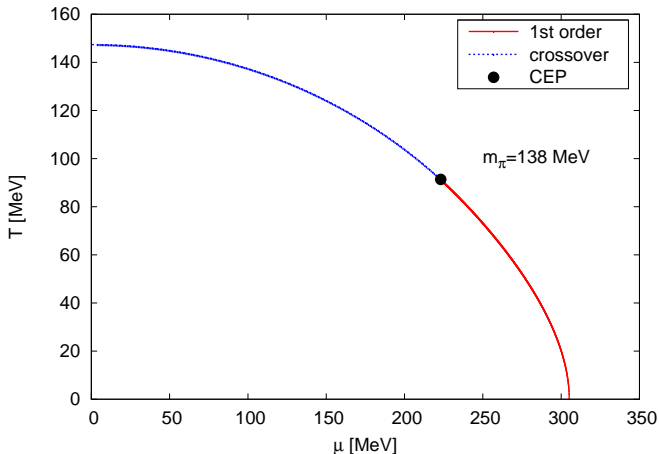
$$\Omega_{\bar{q}q}(T, \mu) = -2N_c N_f T \int \frac{d^3k}{(2\pi)^3} \left\{ \ln(1 + e^{-(E_q - \mu)/T}) + \ln(1 + e^{-(E_q + \mu)/T}) \right\}$$

[Scavenius et al. '01]

- Lagrangian:

$$\mathcal{L}_{\text{qm}} = \bar{q}[i\gamma_{\mu}\partial^{\mu} - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)]q + \frac{1}{2}(\partial_{\mu}\sigma)^2 + \frac{1}{2}(\partial_{\mu}\vec{\pi})^2 + \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

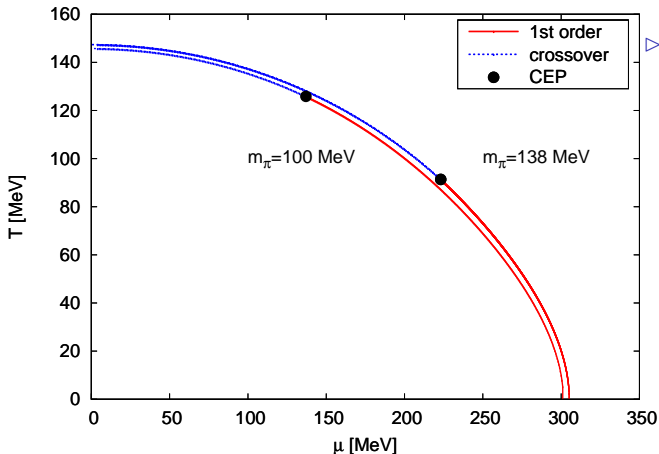
- Mean field analysis



- Lagrangian:

$$\mathcal{L}_{\text{qm}} = \bar{q}[i\gamma_{\mu}\partial^{\mu} - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)]q + \frac{1}{2}(\partial_{\mu}\sigma)^2 + \frac{1}{2}(\partial_{\mu}\vec{\pi})^2 + \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

- Mean field analysis



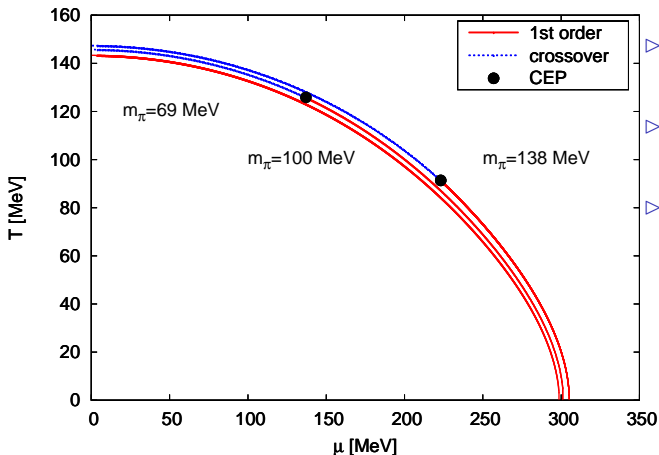
▷ as  $m_q$  decreased  
CEP  $\rightarrow$  T-axis

# Mean field analysis

- Lagrangian:

$$\mathcal{L}_{\text{qm}} = \bar{q}[i\gamma_{\mu}\partial^{\mu} - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)]q + \frac{1}{2}(\partial_{\mu}\sigma)^2 + \frac{1}{2}(\partial_{\mu}\vec{\pi})^2 + \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$

- Mean field analysis



▷ as  $m_q$  decreased  
CEP  $\rightarrow$  T-axis

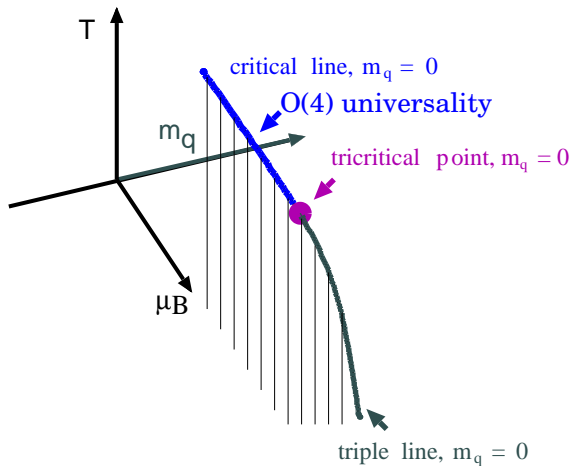
▷ chiral limit  
no CEP

▷ at  $\mu = 0$   
 $O(4)$  scaling expected  
i.e. 2nd-order PT

$\rightarrow$  truncation effect

# Phase diagram in $(T, \mu_B, m_q)$ -space

Chiral limit:  $(m_q = 0)$   $SU(2) \times SU(2) \sim O(4)$ -symmetry  $\rightarrow$  4 modes critical  $\sigma, \vec{\pi}$



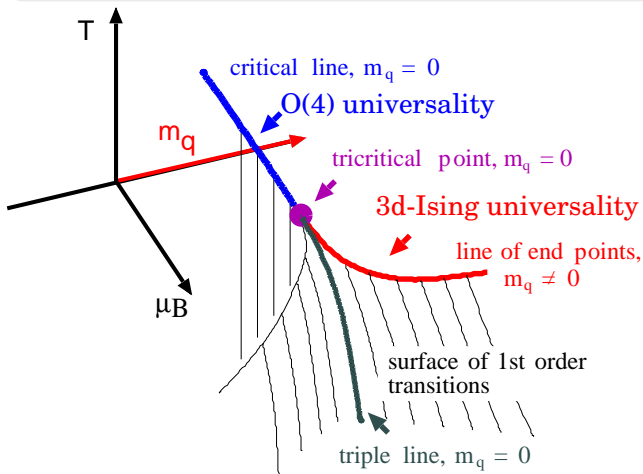
## General properties

- **chiral limit**  
tricritical point  
(Gaussian fixed point)

# Phase diagram in $(T, \mu_B, m_q)$ -space

Chiral limit: ( $m_q = 0$ )  $SU(2) \times SU(2) \sim O(4)$ -symmetry  $\rightarrow$  4 modes critical  $\sigma, \vec{\pi}$

$m_q \neq 0$ : no symmetry remains  $\rightarrow$  only one critical mode  $\sigma$  (Ising) ( $\vec{\pi}$  massive)



## General properties

- **chiral limit**  
tricritical point  
(Gaussian fixed point)
- **finite  $m_q$**   
critical endpoints  
(3D-Ising class)

$\Gamma_k[\phi]$  scale dependent effective action ;  $t = \ln(k/\Lambda)$  ;  $R_k$  regulators

FRG (average effective action)

[Wetterich]

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \partial_t R_k \left( \frac{1}{\Gamma_k^{(2)} + R_k} \right) ; \quad \Gamma_k^{(2)} = \frac{\delta^2 \Gamma_k}{\delta \phi \delta \phi}$$

talks by J.M. Pawłowski, F. Marhauser, H. Gies

- Ansatz for  $\Gamma_k$ : LO derivative expansion  $\rightarrow$  arbitrary potential  $V_k$

$$\Gamma_k = \int d^4x \bar{q} [i\gamma_\mu \partial^\mu - g(\sigma + i\vec{\tau}\vec{\pi}\gamma_5)]q + \frac{1}{2}(\partial_\mu\sigma)^2 + \frac{1}{2}(\partial_\mu\vec{\pi})^2 + V_k(\phi^2)$$

$$V_{k=\Lambda}(\phi^2) = \frac{\lambda}{4}(\sigma^2 + \vec{\pi}^2 - v^2)^2 - c\sigma$$



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flow for grand canonical potential

[BJS, J.Wambach]

$$\begin{aligned} \partial_t \Omega_k(T, \mu; \phi) = & \frac{k^4}{12\pi^2} \left[ \frac{3}{E_\pi} \coth\left(\frac{E_\pi}{2T}\right) + \frac{1}{E_\sigma} \coth\left(\frac{E_\sigma}{2T}\right) \right. \\ & \left. - \frac{2N_c N_f}{E_q} \left\{ \tanh\left(\frac{E_q - \mu}{2T}\right) + \tanh\left(\frac{E_q + \mu}{2T}\right) \right\} \right] \end{aligned}$$

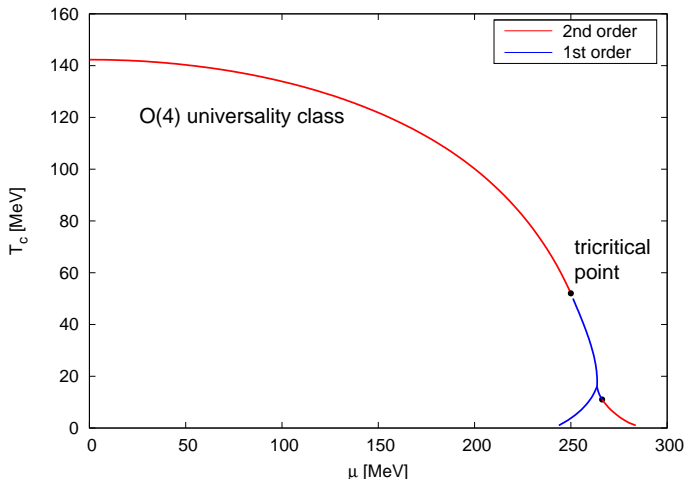
$$E_\pi^2 = 1 + 2\Omega'_k/k^2, \quad E_\sigma^2 = 1 + 2\Omega'_k/k^2 + 4\phi^2\Omega''_k/k^2, \quad E_q^2 = 1 + g^2\phi^2/k^2$$

$$\phi \sim \langle \bar{q}q \rangle, \quad \Omega'_k = \partial\Omega_k/\partial\phi \quad \text{etc}$$

- quark fluctuations: chiral symmetry breaking
- meson fluctuations: chiral symmetry restoration

# Chiral Phase Diagram $N_f = 2$ & $m_q \sim 280$ MeV

$O(4) \sim SU(2) \times SU(2)$  chiral limit

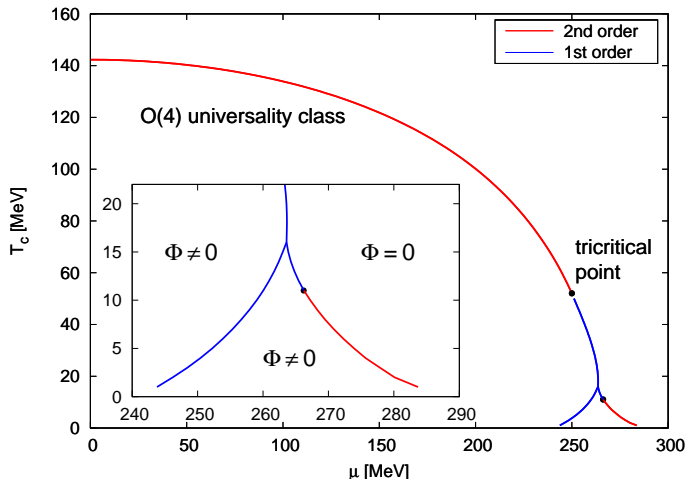


# Chiral Phase Diagram $N_f = 2$ & $m_q \sim 280$ MeV

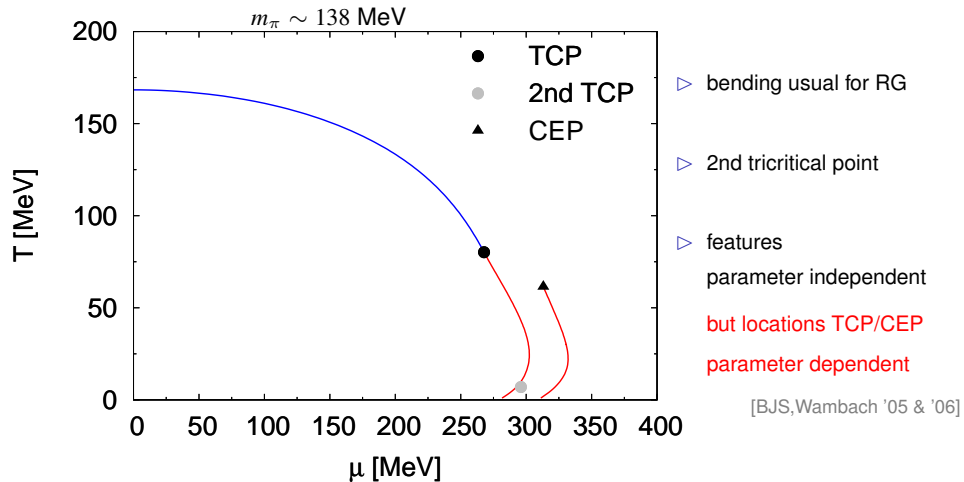
$O(4) \sim SU(2) \times SU(2)$

chiral limit

no spinodal lines!



# RG Phase Diagram



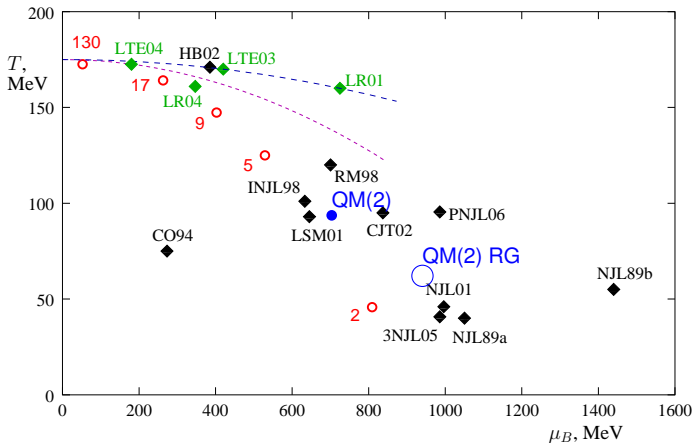
# Charts of QCD Critical End Points

model studies vs. lattice simulations

Black points: models

Lines & green points: lattice

Red points: Freezeout points for HIC



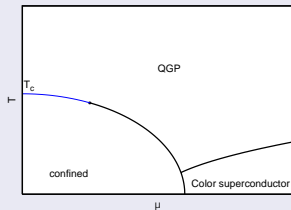
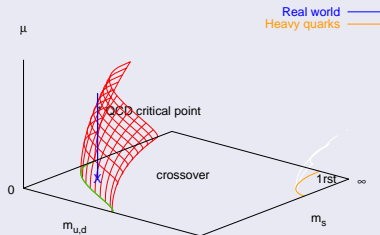
lattice methods:

- reweighting
- imaginary  $\mu_B$
- Taylor expansion around  $\mu_B = 0$

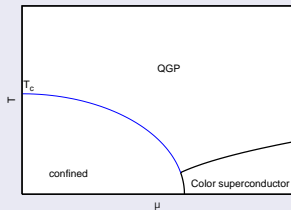
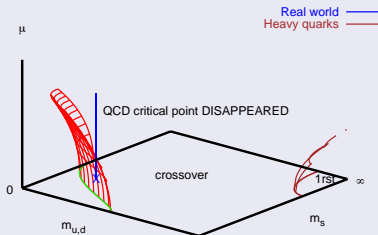
Stephanov '05 & '07

# Mass Sensitivity (lattice, $N_f = 3, \mu_B \neq 0$ )

Standard scenario:  $m_c(\mu)$  increasing



Nonstandard scenario:  $m_c(\mu)$  decr.



[de Forcrand, Philipsen: hep-lat/0611027]

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# Polyakov–quark-meson (PQM) model

- Lagrangian  $\mathcal{L}_{\text{PQM}} = \mathcal{L}_{\text{qm}} + \mathcal{L}_{\text{pol}}$
- Polyakov loop potential:

Polyakov 1978  
Pisarski 2000

$$\mathcal{L}_{\text{pol}} = -\bar{q}\gamma_0 A_0 q - \mathcal{U}(\phi, \bar{\phi})$$

polynomial version:

$$\frac{\mathcal{U}(\phi, \bar{\phi})}{T^4} = -\frac{b_2(T, T_0)}{2} \phi \bar{\phi} - \frac{b_3}{6} (\phi^3 + \bar{\phi}^3) + \frac{b_4}{16} (\phi \bar{\phi})^2$$

Ratti et al. 2004  
Dumitru, Pisarski 2004  
Friman, Redlich, Sasaki 2006

⇒ first-order transition at  $T_0 = 270$  MeV

in presence of dynamical quarks:  $T_0 = T_0(N_f)$

BJS, Pawłowski, Wambach, 2007

| $N_f$       | 0   | 1   | 2   | 2 + 1 | 3   |
|-------------|-----|-----|-----|-------|-----|
| $T_0$ [MeV] | 270 | 240 | 208 | 187   | 178 |



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Ratti et al. 2004  
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Friman, Redlich, Sasaki 2006

⇒ first-order transition at  $T_0 = 270 \text{ MeV}$

$$\mu \neq 0: \quad T_0 = T_0(N_f, \mu)$$

BJS, Pawłowski, Wambach, 2007

$$\bar{\phi} \neq \phi^*$$

- grand canonical potential:

$$\Omega(T, \mu) = \mathcal{U}(\phi, \bar{\phi}) + V_{\text{renorm}}(\langle \sigma \rangle, \vec{0}) + \Omega_{\bar{q}q}(T, \mu)$$

with fermi contribution:

$$\Omega_{\bar{q}q} = -2N_f T \int \frac{d^3 p}{(2\pi)^3} \left\{ \ln \left[ 1 + 3(\phi + \bar{\phi} e^{-(E_p - \mu)/T}) e^{-(E_p - \mu)/T} + e^{-3(E_p - \mu)/T} \right] \right. \\ \left. + \ln \left[ 1 + 3(\bar{\phi} + \phi e^{-(E_p + \mu)/T}) e^{-(E_p + \mu)/T} + e^{-3(E_p + \mu)/T} \right] \right\}$$

$$E_p = \sqrt{p^2 + m_q^2}$$

- three EoM:

$$\frac{\partial \Omega}{\partial \sigma} = 0, \quad \frac{\partial \Omega}{\partial \phi} = 0, \quad \frac{\partial \Omega}{\partial \bar{\phi}} = 0.$$

- grand canonical potential:

$$\Omega(T, \mu) = \mathcal{U}(\phi, \bar{\phi}) + V_{\text{renorm}}(\langle \sigma \rangle, \vec{0}) + \Omega_{\bar{q}q}(T, \mu)$$

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$$E_p = \sqrt{p^2 + m_q^2}$$

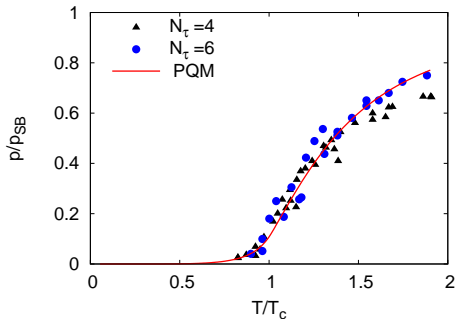
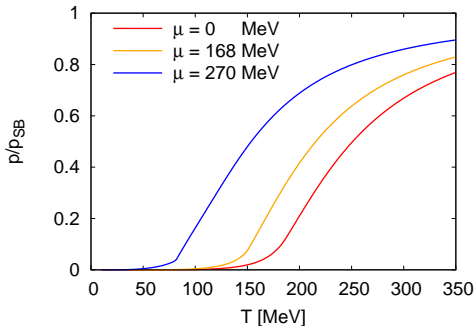
- confined phase  $\phi = 0$ : → only “3 quark states”
  - pressure for the PQM model more suppressed in broken phase compared to pure QM model

- perturbative pressure of QCD with  $N_f$  massless quarks

$$\frac{p}{T^4} = (N_c^2 - 1) \frac{\pi^2}{45} + N_f \left[ \frac{7\pi^2}{60} + \frac{1}{2} \left( \frac{\mu}{T} \right)^2 + \frac{1}{4\pi^2} \left( \frac{\mu}{T} \right)^4 \right].$$

- $N_f = 2$ :

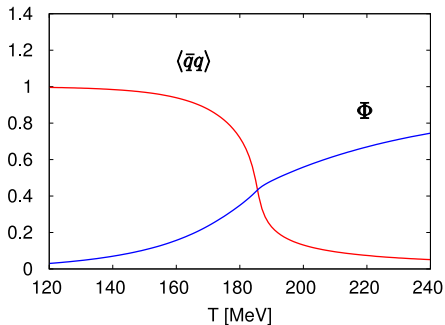
lattice:  $N_\tau = 4, 6$ ;  $\mu = 0$



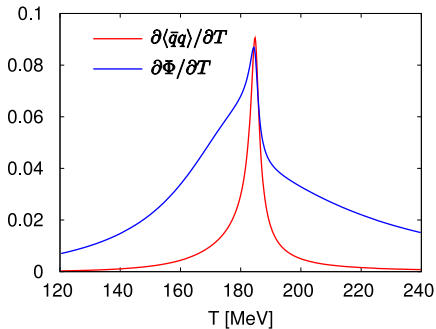
[Ali Khan et al. '01]

Numerical results:

order parameters



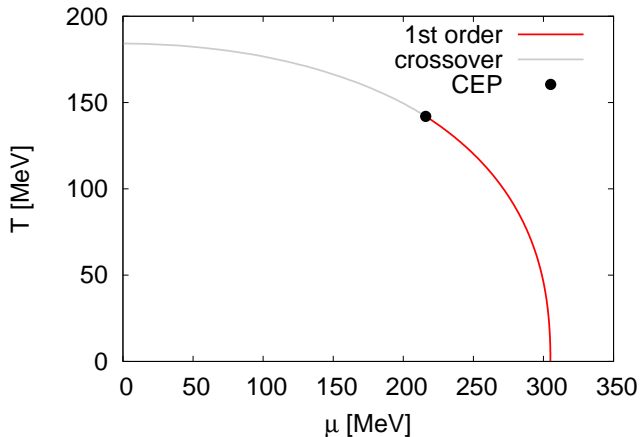
$T$ -derivatives of order parameters



in mean field approximation

• for PQM model

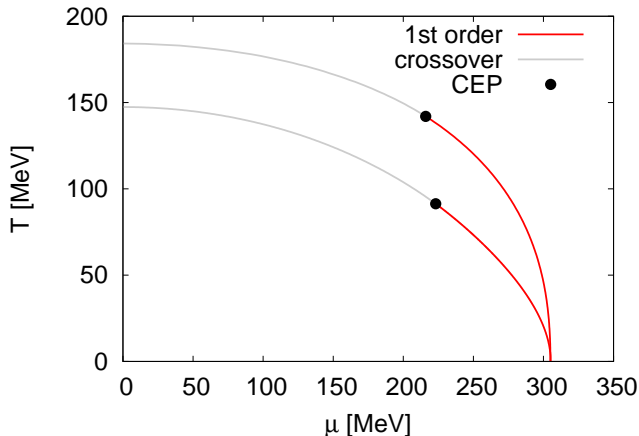
chiral transition and 'deconfinement' coincide



in mean field approximation

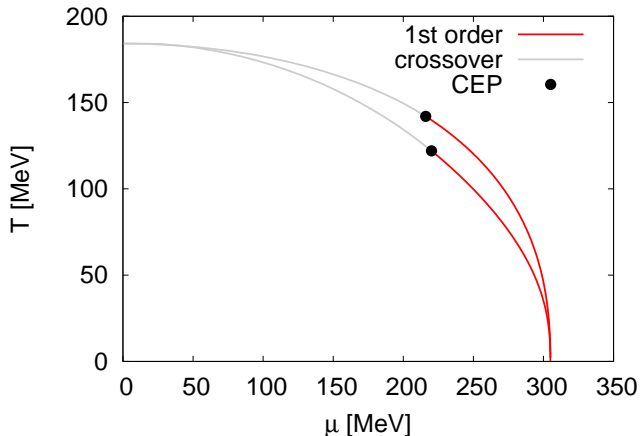
chiral transition and 'deconfinement' coincide

- for PQM model
- for QM model (lower lines)



in mean field approximation

chiral transition and 'deconfinement' coincide



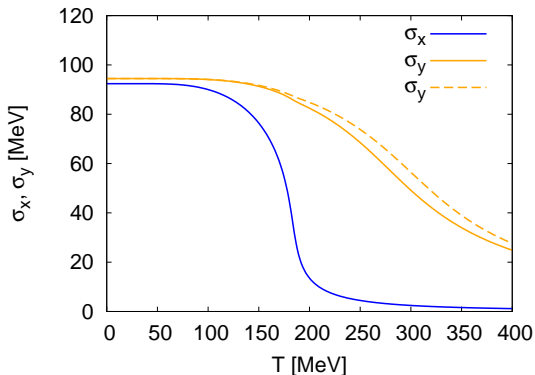
- for PQM model
- for PQM model **with**  $\mu$ -modification in Polyakov loop potential (lower lines)



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→ two condensates: nonstrange  $\sigma_x(T, \mu_f)$  and strange  $\sigma_y(T, \mu_f)$

with (solid) and without (dashed)  $U(1)_A$  anomaly

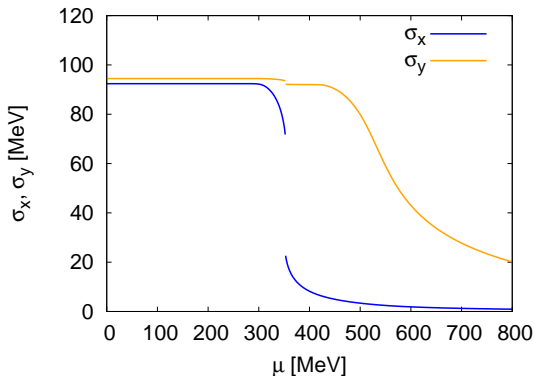


▷ almost no effect due  $U(1)_A$  anomaly

▷  $T_c$  depends on  $m_\sigma$

- two condensates: nonstrange  $\sigma_x(T, \mu_f)$  and strange  $\sigma_y(T, \mu_f)$

with (solid) and without (dashed)  $U(1)_A$  anomaly



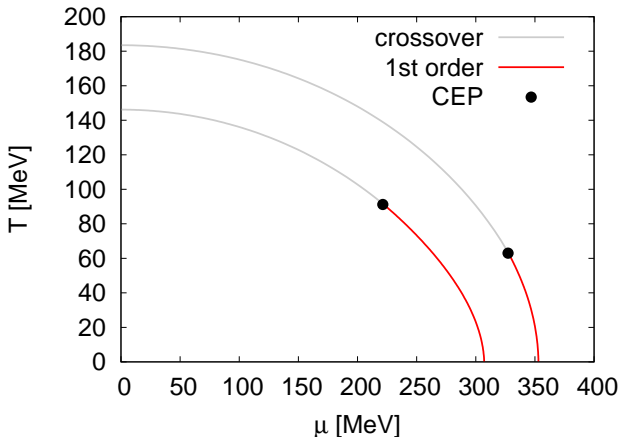
- ▷ almost no effect due  $U(1)_A$  anomaly
- ▷  $T_c$  depends on  $m_\sigma$
- ▷  $\mu \equiv \mu_q = \mu_s$
- ▷  $\mu_c$  depends on  $m_\sigma$

# Phase diagram $N_f = 3$ ( $\mu \equiv \mu_q = \mu_s$ )

$m_\sigma = 600$  MeV (lower lines) and  $m_\sigma = 800$  MeV

PDG:  $f_0(600)$  mass=(400...1200) MeV

→ influence of existence of CEP!



- ▶ genuine problem of linear sigma model (w/ and w/o quarks) finite T
  - negative meson masses
- usually: Optimised Perturbation Theory
- here: novel approximation

# In-medium meson masses

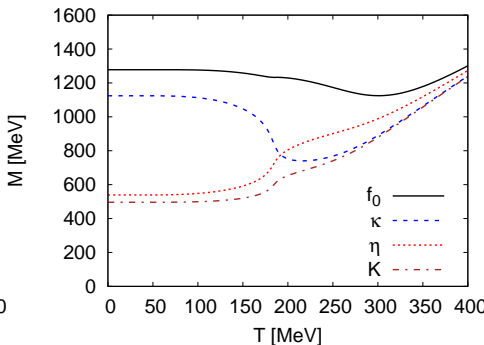
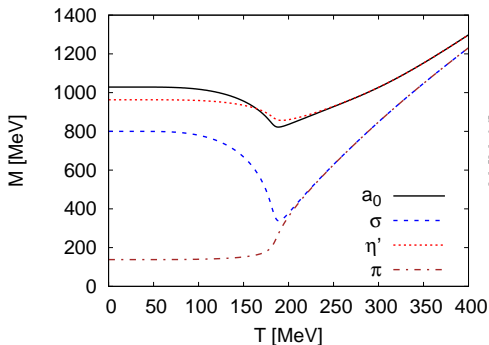
- ▷ generalize tree-level Ward identities to finite  $T, \mu_f$

$$h_x = f_\pi m_\pi^2 \quad \rightarrow \quad h_x = f_\pi(T, \mu_f) m_\pi^2(T, \mu_f)$$

similar for strange sector

$$h_y = \sqrt{2} f_K m_K^2 - \frac{1}{\sqrt{2}} f_\pi m_\pi^2$$

masses with  $U(1)_A$  anomaly



# In-medium meson masses

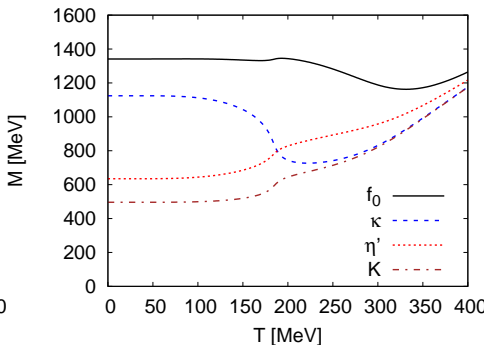
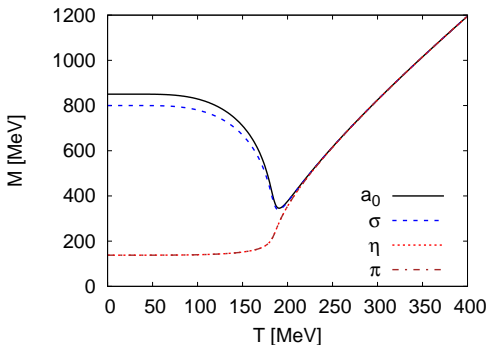
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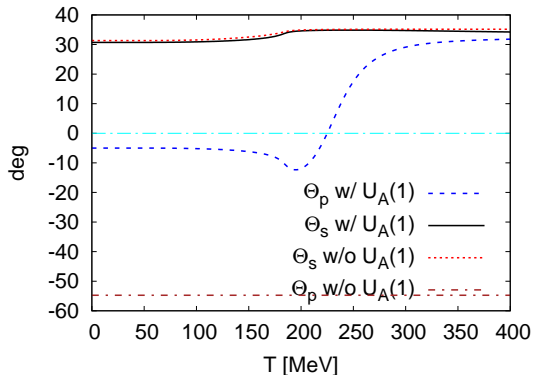
masses without  $U(1)_A$  anomaly



In vacuum: physical  $(\eta, \eta')$  close to  $(\eta_8, \eta_0)$

→ mixing angle  $\theta_p$

- pseudoscalar and scalar mixing angles  
as a function of  $T$  (for  $\mu = 0$ )  
with and without  $U(1)_A$  anomaly



▷ without  $U(1)_A$  anomaly:

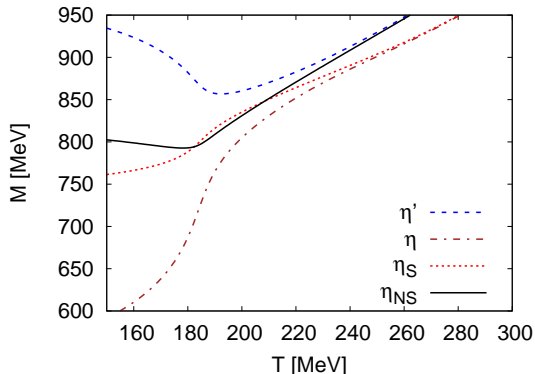
$\eta' \rightarrow \eta_S$  and  $\eta \rightarrow \eta_{NS}$



In vacuum: physical  $(\eta, \eta')$  close to  $(\eta_8, \eta_0)$

→ mixing angle  $\theta_p$

→ identity switching above  $T_c$



▷ without  $U(1)_A$  anomaly:

$$\eta' \rightarrow \eta_S \text{ and } \eta \rightarrow \eta_{NS}$$

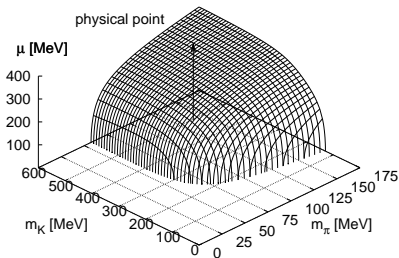
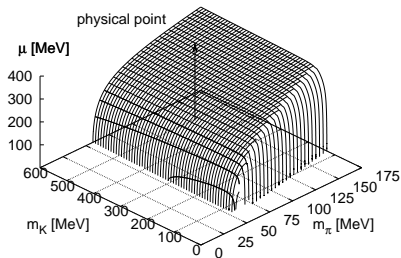
▷ with  $U(1)_A$  anomaly:

$$\eta' \rightarrow \eta_{NS}$$

$$\eta \rightarrow \eta_S \text{ for } T > 250 \text{ MeV}$$

▷ no Witten-Veneziano relation has been used

- chiral critical surface in  $(m_\pi, m_K)$  plane

with  $U(1)_A$ without  $U(1)_A$ 

## Summary & Outlook

# Summary

Quark-meson model study for  $N_F = 2$

→ Mean field versus RG

Influence of fluctuations on phase diagram

Findings:

- ▷ MF phase diagram: no TCP (in chiral limit) found
- ▷ RG phase diagram: two TCP's (in chiral limit) & CEP found

Quark-meson model study for  $N_F = 3$

→ novel approximation

no need for Optimized Perturbation Theory

with and without axial anomaly

## Polyakov–quark-meson model study for $N_F = 2$

→ so far mean-field approximation

Findings:

- ▷ Parameter in Polyakov loop potential:  $T_0 \Rightarrow T_0(N_f, \mu)$

pure gauge:  $T_0 \sim 270$  MeV

$N_f = 2$ :  $T_0 \sim 210$  MeV

- ▷ Chiral & deconfinement transition coincide
- ▷ Mean-field approximation encouraging

Quark-meson model is renormalizable

→ no UV cutoff parameter (cf. PNJL model)

## Outlook

- ▷ include quark-meson dynamics in PQM model with RG
- ▷ include glue dynamics with RG → full QCD  
(step by step)

