

# Spectral sums for Dirac operator and Polyakov loops

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- 1 Spectral Sums vs. Polyakov Loop on the Lattice
- 2 Spectral sums for the continuum theory
- 3 Numerical investigations for SU(2)
- 4 Conclusions



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related results by:

Bilgici, Bruckmann, Gattringer, Hagen, Soldner, ...

cp. Christof Gattringer talk

cp. poster Synatschke and Wozar

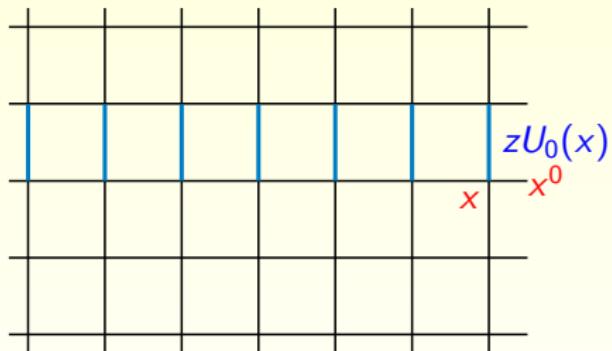


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# Center transformations, twisting

multiply all  $U_0(x^0, x)$  in **one time-slice** with center elements  $z$

$\{U_\mu(x)\} \longrightarrow \{{}^z U_\mu(x)\}$  **twisted configuration on**  $V = N_\tau \cdot N_s^3$



$\mathcal{C}_{xx}$  winds  $n$ -times around periodic time direction:

$$\mathcal{W}_{\mathcal{C}_{xx}} \longrightarrow z^n \mathcal{W}_{\mathcal{C}_{xx}}$$

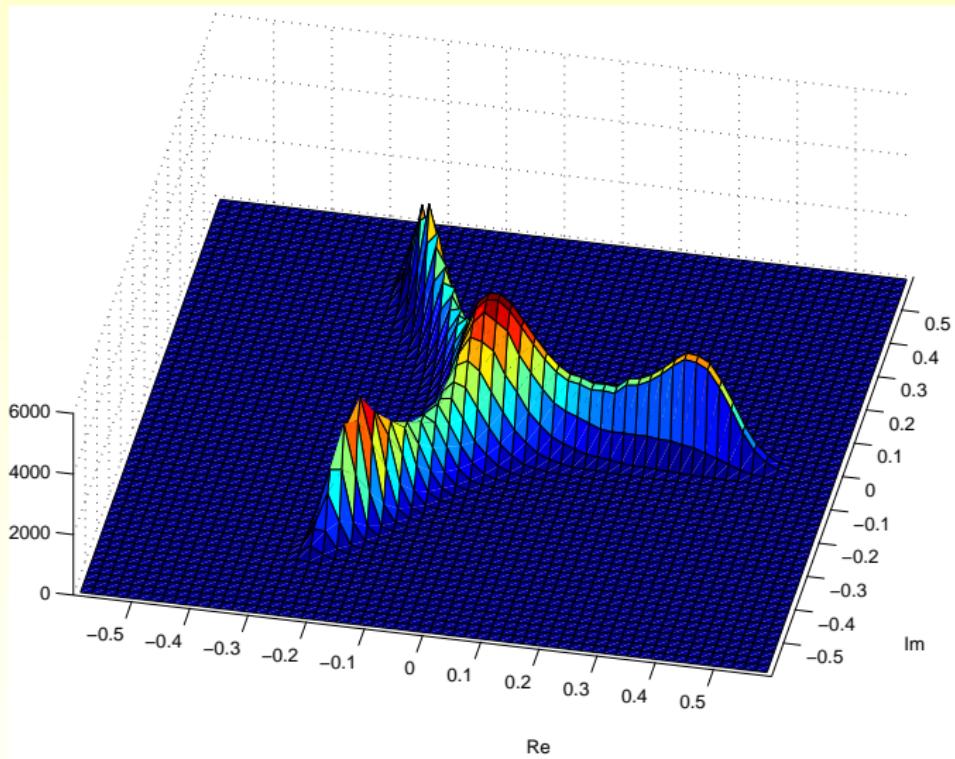
Polyakov loop:

$$cP_x \longrightarrow z \mathcal{P}_x$$

Yang-Mills action invariant



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histogram of  $P = \text{tr } \mathcal{P}$



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# Spectral sums for Dirac operator

- $\mathcal{D}$  with nearest neighbour interaction: Wilson, staggered, ...  
 $\gamma_5$ -hermiticity  $\Rightarrow$  non-real eigenvalues come in pairs  $\lambda_p, \lambda_p^*$
- spectral problem

$$\mathcal{D}\psi_{p,\ell} = \lambda_p \psi_{p,\ell}, \quad \psi_{p,\ell} \text{ normalized}$$

- matrix functions  $f(\mathcal{D})$ , spectral resolution in position basis

$$\langle x | \text{tr}_{\textcolor{red}{c,s}} f(\mathcal{D}) | x \rangle = \sum_{p=1}^{n_D} f(\lambda_p) \varrho_p(x), \quad \varrho_p(x) = \sum_{\ell} |\psi_{p,\ell}(x)|^2$$

- $\varrho_p(x)$  spectral space-time density

$$\varrho_p(x) = \sum_{x^0} \varrho_p(x^0, x), \quad \varrho_p \equiv \sum_x \varrho_p(x) = \deg(\lambda_p)$$



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- **twisting:**  $U \rightarrow {}^z U \implies \mathcal{D} \rightarrow {}^z \mathcal{D}$ ,  $\lambda_p \rightarrow {}^z \lambda_p$ ,  $\varrho_p(x) \rightarrow {}^z \varrho_p(x)$
- spectral problems for twisted  ${}^z \mathcal{D} \rightarrow$  center averaged sums

$$\mathcal{S}_f(x) = \sum_k z_k^* \sum_p {}^{z_k} \varrho_p(x) f({}^{z_k} \lambda_p)$$

$$\mathcal{S}_f = \sum_x \mathcal{S}_f(x) = \sum_k z_k^* \text{Tr}({}^{z_k} \mathcal{D})$$

- Gattringer formula for choice  $f(\lambda) = \lambda^{N_\tau}$ :

$$\mathcal{S}(x) = \sum_k z_k^* \sum_p {}^{z_k} \varrho_p(x) ({}^{z_k} \lambda_p)^{N_\tau} = \kappa' P(x)$$

$$\Rightarrow \mathcal{S} = \sum_k z_k^* \text{Tr} ({}^{z_k} \mathcal{D})^{N_\tau} = \kappa L \quad \text{any } \{U_\mu(x)\}$$

$L$  spatial average of  $P(x) = \text{tr} \mathcal{P}(x)$



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Polyakov loop  $\leftrightarrow$  spectral data of  $\mathcal{D}$   
Confinement  $\leftrightarrow$  chiral symmetry breaking

- but:  $\mathcal{S}(x)$  dominated by large eigenvalues: partial sum

$$\mathcal{S}_n = \sum_k z_k^* \sum_p {}^{z_k} \lambda_p^{\textcolor{red}{n}} \quad (\text{assume } \varrho_p = 1)$$

- related problem: sick continuum limit  $N_\tau \rightarrow \infty$
- generalized partial sums

$$\mathcal{S}_{f,n}(x) = \sum_k z_k^* \sum_{p=1}^{\textcolor{red}{n}} {}^{z_k} \varrho_p(x) f({}^{z_k} \lambda_p) \xrightarrow{n \rightarrow n_D} \mathcal{S}_f(x)$$

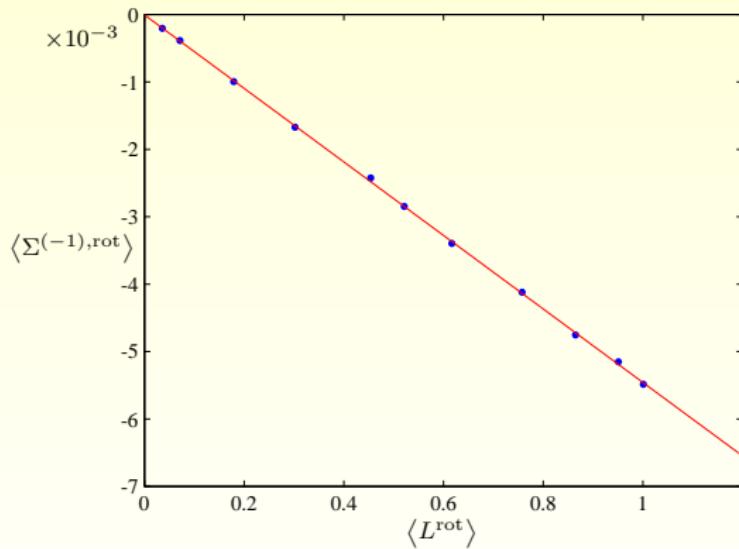
space-averages  $\mathcal{S}_{f,n}$



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## Propagator spectral sums

$$f(\lambda) = \lambda^{-s} \implies \Sigma^{(-s)} \quad \text{Zeta-function, } \Sigma^{-N_t} = S$$



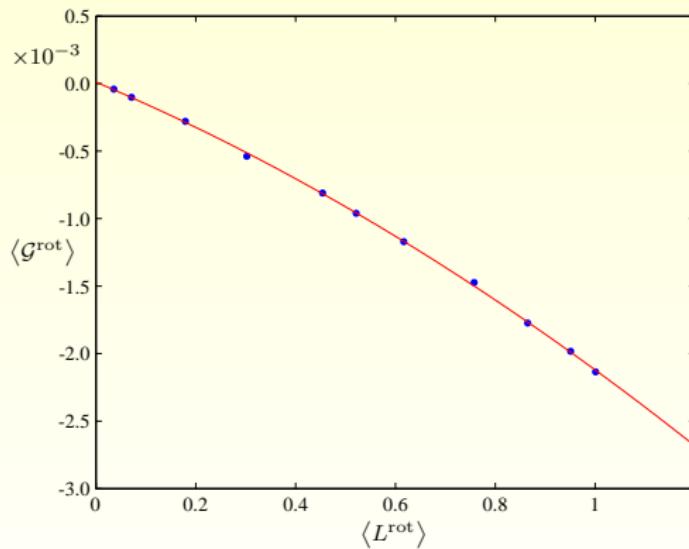
The expectation value of  $\Sigma^{-1,\text{rot}}$  as function of  $L^{\text{rot}}$



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## Gaussian spectral sums

$$f(\lambda) = e^{-\lambda^2} \implies \mathcal{G} \text{ heat kernel}$$

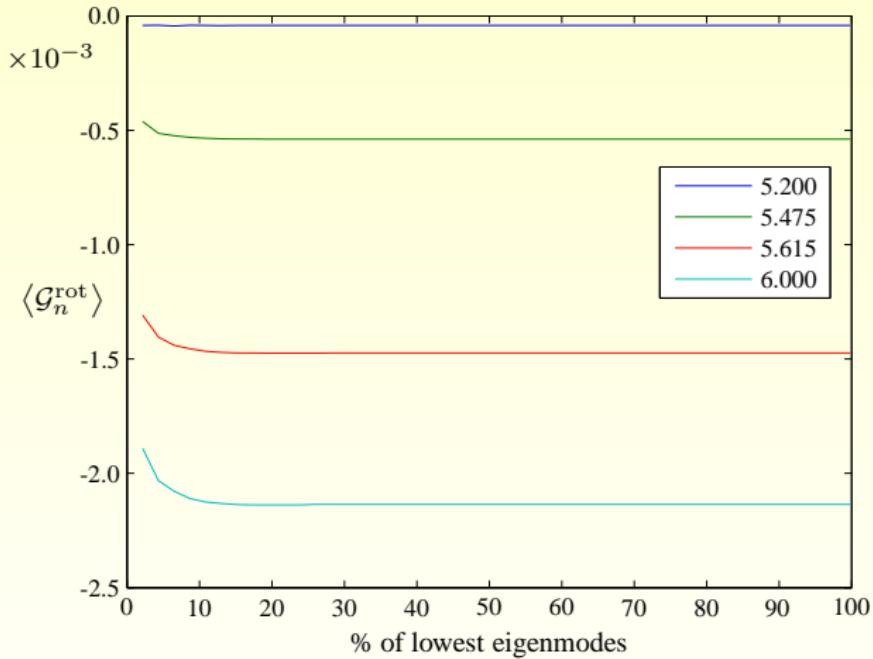


The expectation value of  $\mathcal{G}^{\text{rot}}$  as function of  $L^{\text{rot}}$



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partial Gaussian sums  $\mathcal{G}_n$  converge quickly  $\rightarrow \mathcal{G}$ , lowest 3% sufficient



small  $4^3 \times 3$  lattice,  $n_D = 2304$ ,  $\beta_c = 5.49$



# Spectral sums for the continuum theory

- Euclidean theory on  $4d$  torus  $T^4$ , volume  $V = \beta \cdot L^3$   
(anti)periodic fields in time direction with period  $\beta = 1/k_B T$   
periodic (mod gauge transformations) in spatial directions
- Dirac operator

$$\mathcal{D} = i\gamma^\mu D_\mu + im, \quad D_\mu = \partial_\mu - iA_\mu, \quad A_\mu = A_\mu^a \lambda_a$$

- spectral problem

$$\mathcal{D}\psi_{p,\ell} = \lambda_p \psi_{p,\ell}, \quad \psi_{p,\ell}(x) \text{ normalized on torus}$$

- gauge transformation

$${}^g A_\mu = g(A_\mu + i\partial_\mu)g^{-1}, \quad {}^g \psi(x) = g(x)\psi(x)$$



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- $g$  periodic  $\Rightarrow$  invariant  $\lambda_p$  and spectral density

$$\varrho_p(x) = \sum_{\ell} |\psi_{p,\ell}(x)|^2, \quad \varrho_p(x) = \int_0^\beta dx^0 \varrho(x^0, x)$$

- center transformation = transformation with non-periodic  $g(x)$ :  
 $g(x_0 + \beta, x) = z g(x_0, x), z \in \mathcal{Z} \Rightarrow {}^g A_\mu$  still periodic, but

$${}^g \psi(x_0 + \beta, x) = -z {}^g \psi(x_0, x)$$

- twisted Dirac operator  ${}^z \mathcal{D}_A \equiv \mathcal{D}_{^g A} = g \mathcal{D}_A g^{-1}$   
 ${}^g \psi$  not eigenfunctions of  ${}^z \mathcal{D}$  for center transformation  
spectrum changes if  $z \neq 1$
- twisting:  $A \rightarrow {}^g A \implies \mathcal{D} \rightarrow {}^z \mathcal{D}, \lambda_p \rightarrow {}^z \lambda_p, \varrho_p(x) \rightarrow {}^z \varrho_p(x)$



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- spectral sums in continuum: weighted center average of  $\langle x|f(\mathcal{D})|x\rangle$

$$\mathcal{S}_f(x) = \lim_{n \rightarrow \infty} \mathcal{S}_{f,n}(x), \quad \mathcal{S}_{f,n}(x) = \sum_{p=1}^n \sum_k z_k^* {}^{z_k} \varrho_p(x) f({}^{z_k} \lambda_p)$$

$$\mathcal{S}_f = \int d^d x \mathcal{S}_f(x) = \sum_k z_k^* \operatorname{Tr} f({}^{z_k} \mathcal{D})$$

- all spectral sums are order parameters for center symmetry

$$\begin{aligned} \mathcal{S}_f &= \sum_k z_k^* \operatorname{Tr} f({}^{z_k} \mathcal{D}) \\ &\xrightarrow{A \rightarrow {}^g A} \sum_k z_k^* \operatorname{Tr} f({}^{z_k} {}^z \mathcal{D}) \stackrel{z_k z = z'_k}{=} {}^z \sum_k z'_k{}^* \operatorname{Tr} f({}^{z_k} \mathcal{D}) = {}^z \mathcal{S}_f \end{aligned}$$

- similarly  $\mathcal{S}_f(x) \rightarrow z \mathcal{S}_f(x)$



## Spectral sums and Polyakov loops

- $S_f(x)$  gauge invariant  $\Rightarrow$  function of  $W_{C_x}$   
 $L \gg \beta \Rightarrow$  neglect loops winding around the spatial directions  
 $S_f(x)$  transforms under twists as **dressed Polyakov loops**  $P_{C_a} \Rightarrow$

$$S_f(x) = \sum_{C_a} P_{C_a} \cdot F_{C_a}(W_{C_a})$$

$F_{C_a}$  gauge- and center invariant

center  $U(1) \Rightarrow$  contractable  $W_{C_a}$  and combinations  $P_{C_a}^* P_{C'_a}$ .

- on **lattice** or 't Hooft's **constant field strength configurations** on torus:

$$S_f(x) \xrightarrow{L \gg \beta} \text{const} \cdot P(x)$$

lattice: hopping parameter expansion; continuum: explicit calculation



# Constant field strength configurations

- Here: [Schwinger model](#)  
for SU(2) on  $T^4$  see arXiv:0803.0271 [hep-lat]
- 'boundary conditions'

$$\psi(x_0 + \beta, x_1) = -\psi(x_0, x_1) \quad , \quad \psi(x_0, x_1 + L) = e^{i\gamma(x)}\psi(x_0, x_1)$$
$$\gamma = -2\pi q x_0/\beta \quad , \quad q \text{ instanton number}$$

- minimal action [instanton configuration](#) ( $A_1 = 0$ )

$$A_0 = -Bx_1 + \frac{2\pi}{\beta}h \implies F_{01} \equiv B, \quad P(x) = e^{2\pi ih - i\Phi x_1/L}$$

- $U(1)$  [twist](#) with

$$g = e^{2\pi i\alpha x_0/\beta} \implies z = e^{2\pi i\alpha}, \quad {}^g A_0 = -Bx_1 + \frac{2\pi}{\beta}(h + \alpha)$$



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- spectral problem for  $\mathcal{D}_{m=0}^2$  reduces to harmonic oscillator on 'circle'  
 $\Rightarrow$  elliptic functions  
 groundstate:  $\theta$ -function; excited states ( $y = x_1 + \frac{L}{q}(\ell - h - \frac{1}{2})$ )

$$\psi_{p,\ell}(x) \propto e^{2\pi i \ell x_0 / \beta} \sum_{s=-\infty}^{\infty} H_p\left(\sqrt{B}(y + sL)\right) \xi_0(y + sL) e^{2\pi i sqx_0 / \beta}$$

- eigenvalues of  $-\mathcal{D}^2$  twist-independent

$$\mu_p = \begin{cases} 0 & \text{degeneracy: } q \\ 2pB & \text{degeneracy: } 2q. \end{cases}$$

- center average  $\Rightarrow$

$$\bar{\varrho}_p(x) = \int_0^1 d\alpha e^{-2\pi i \alpha} \varrho_p(x) \quad (z^* = e^{-2\pi i \alpha})$$



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- $\bar{\varrho}_p(x)$  proportional to  $P(x)$ :

$$\bar{\varrho}_p(x) \equiv \int dx_0 \bar{\varrho}_p(x) = -\frac{q}{L} P(x) L_p(\pi q \tau) e^{-\pi q \tau / 2}, \quad \tau = \frac{\beta}{L}$$

- general spectral sum for Schwinger-model instanton ( $L_{-1} \equiv 0$ )

$$S_f(x) = -\frac{q}{L} P(x) \sum_{p=0}^{\infty} f(\mu_p) \{ L_p(\pi q \tau) + L_{p-1}(\pi q \tau) \} e^{-\pi q \tau / 2}$$

- all spectral sums are proportional to  $P(x)$   
convergence for which  $f$ ? (cp. Banks-Casher  $f(\mu) \sim \mu^{-1/2}$ )  
speed of convergence depends on  $f$



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- Gaussian spectral sum  $\mathcal{G}$  for  $f(\mathcal{D}) = \exp(t\mathcal{D}^2)$ :

$$\mathcal{G}_t(x) = -\frac{q}{L} \coth(tB) \exp\left(-\frac{\pi q \tau}{2} \coth(tB)\right) P(x)$$

- large volumes:  $2\pi q = BV = \Phi$  fixed  $\Rightarrow$

$$\mathcal{G}_t(x) \longrightarrow -\frac{\beta}{2\pi t} e^{-\beta^2/4t} P(x) \quad \beta L \gg tq$$

- small-t and large-t asymptotics

$$\begin{aligned} \mathcal{G}_t(x) &\longrightarrow -\frac{1}{L} \frac{q}{tB} e^{-\pi q \tau / (2tB)} P(x) && \text{for } t \rightarrow 0 \\ &\quad -\frac{1}{L} (q - 2qe^{-2tB}) e^{-\pi q \tau / 2} P(x) && \text{for } t \rightarrow \infty \end{aligned}$$

- asymptotic expansion for small  $t$ : all  $a_n = 0$   
large  $t$ : zero-mode contribution +  $O(e^{-\mu_1 t})$



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- zero-mode subtracted heat kernel

$$\mathcal{G}'_t(x) = \sum_{p: \mu_p > 0} \sum_k z_k^* z_k \varrho_p(x) e^{-t^{z_k} \mu_p} = \mathcal{G}_t(x) - \bar{\varrho}_0(x)$$

exponentiell fall-off, vanishing asymptotic expansion

- Mellin transform exist for all  $s$

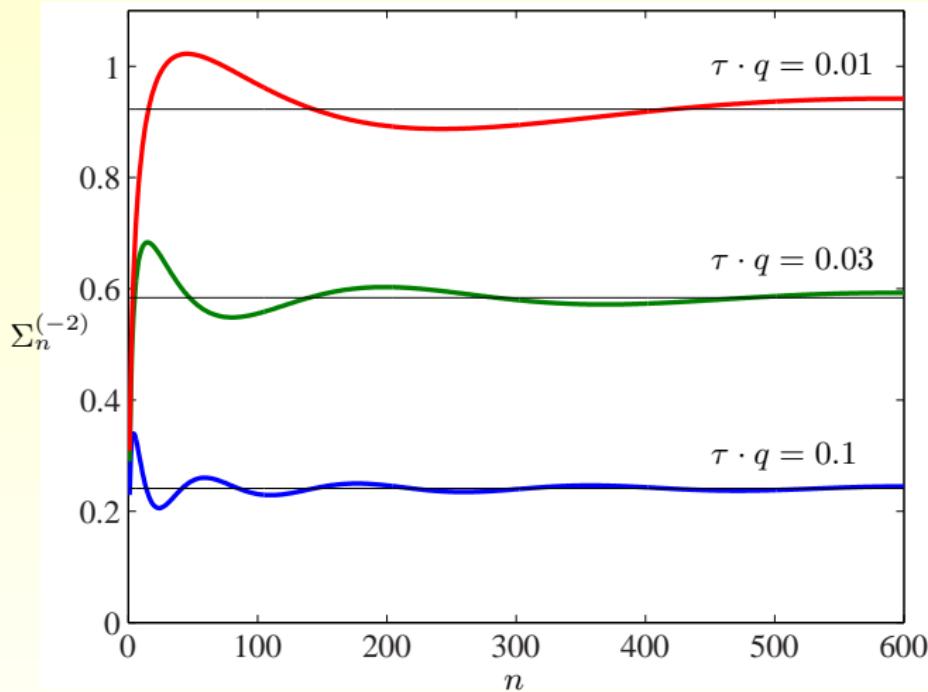
$$\Sigma^{(-2s)}(x) = \frac{1}{\Gamma(s)} \int_0^\infty dt t^{s-1} \mathcal{G}'_t(x)$$

- spectral sum for  $f(\mathcal{D}) = 1/\mathcal{D}^2$

$$\begin{aligned} \Sigma^{(-2)}(x) &= \sum_{p, \mu_p > 0} \left( \sum_k z_k^* \frac{1}{z_k \mu_p} \varrho_p(x) \right) \\ &= \frac{\beta}{4\pi} \left\{ \gamma + \log(\pi q \tau) - e^{\pi q \tau} \Gamma(0, \pi q \tau) \right\} e^{-\pi q \tau / 2} P(x) \end{aligned}$$



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The partial sums  $\Sigma_n^{-2}$  as function of  $n$



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## On the convergence of spectral sums

- zero-mode subtracted heat kernel

$$K'(t, x) = K(t, x) - \varrho_0(x), \quad K(t, x) = \langle x | e^{t\mathcal{D}^2} | x \rangle = \sum_p e^{-t\mu_p} \varrho_p(x)$$

- large and small- $t$  asymptotics

$$K'(t, x) \rightarrow e^{-t\mu_1} \varrho_1(x) \quad \text{for } t \rightarrow \infty$$

$$K(t, x) \rightarrow t^{-d/2} \left\{ \sum_{n=0}^N a_n(x) t^n + \mathcal{O}(t^{N+1}) \right\} \quad \text{for } t \rightarrow 0$$

- $a_n$  gauge-invariant function of  $F_{\mu\nu}$  and its covariant derivatives  
 $\Rightarrow a_n$  center-invariant,



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- Mellin transform

$$\zeta'_{\mathcal{D}}(s, x) = \langle x | \frac{1}{(\mathcal{D}^2)^s} | x \rangle = \frac{1}{\Gamma(s)} \int dt t^{s-1} K'(t, x)$$

$d$  even: simple poles at  $s = \frac{d}{2}, \dots, 1$ , residues  $a_0(x), \dots, a_{\frac{d}{2}-1}(x)$

- $a_k$  center-invariant  $\Rightarrow$

$$\Sigma^{(-2s)}(x) = \frac{1}{\Gamma(s)} \int dt t^{s-1} \mathcal{G}'(t, x) = \sum_k z_k^* \zeta'_{z_k \mathcal{D}}(s, x)$$

no poles in  $s \Rightarrow$  spectral sums  $\Sigma^{-2s}$  convergent  $\forall s$

important: first sum over  $k$  and only afterwards over  $p$ !

- $\gamma_5$  symmetry for  $m = 0 \Rightarrow$

$$\mathcal{S}_{\mathcal{D}^{-2s}}(x) = \frac{1}{2} (1 + (-1)^{2s}) \mathcal{S}_{(\mathcal{D}^2)^{-s}}(x)$$



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# Numerical investigations for SU(2)

- static quark potential

$$V(r) = -T \log C(r), \quad C(r) = \langle P(x)P(x + r e_3) \rangle$$

insert Gattringers result  $P(x) = S(x) \Rightarrow$  cancellation of huge contributions (convergence proof)  
⇒ must include all eigenfunction

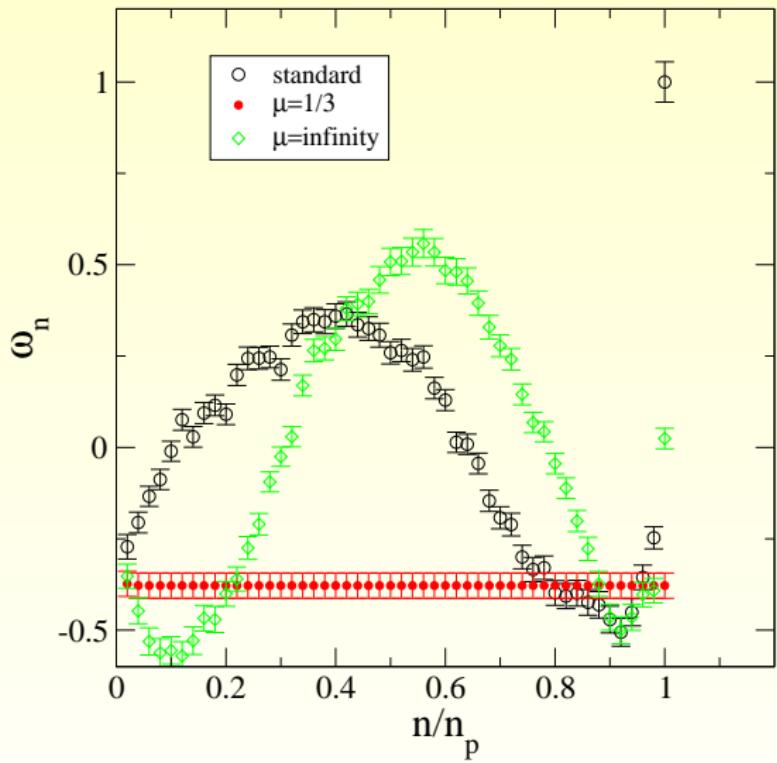
- use IR-dominated spectral sum, e.g.  $\mathcal{G}_n$
- correlation measure

$$\omega_n = \frac{\langle P(x) S_{f,n}(x) \rangle_x}{\sqrt{\langle P^2(x) \rangle_x \langle S_{f,n}^2(x) \rangle_x}}$$

average over  $x$  for fixed configuration



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$\omega_n$  for  $\mathcal{S}_n(x), \mathcal{G}_n(x)$  as function of  $n/n_D$ ,  $6^4$  lattice



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- use  $\mathcal{G}_n(x)$  instead of  $\mathcal{S}_n(x)$ : measure  $\omega_n$  almost constant
- simulations: staggered fermions,  $SU(2)$

$$\mathcal{G}_n(x) := \frac{1}{8} \sum_{p=1}^n \left( \varrho_p(x) e^{-\lambda_p^2/\mu^2} - {}^z\varrho_p(x) e^{-{}^z\lambda_p^2/\mu^2} \right)$$

- improved action (rotational invariance, scaling)

$$S = \beta \sum_{\mu>\nu,x} \left[ \gamma_1 P_{\mu\nu}(x) + \gamma_2 P_{\mu\nu}^{(2)}(x) \right]$$

$$\beta = 1.35, \gamma_1 = .0348, \gamma_2 = -0.10121, \sigma a^2 = 0.1244(7)$$



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- compare  $V(r)$  with

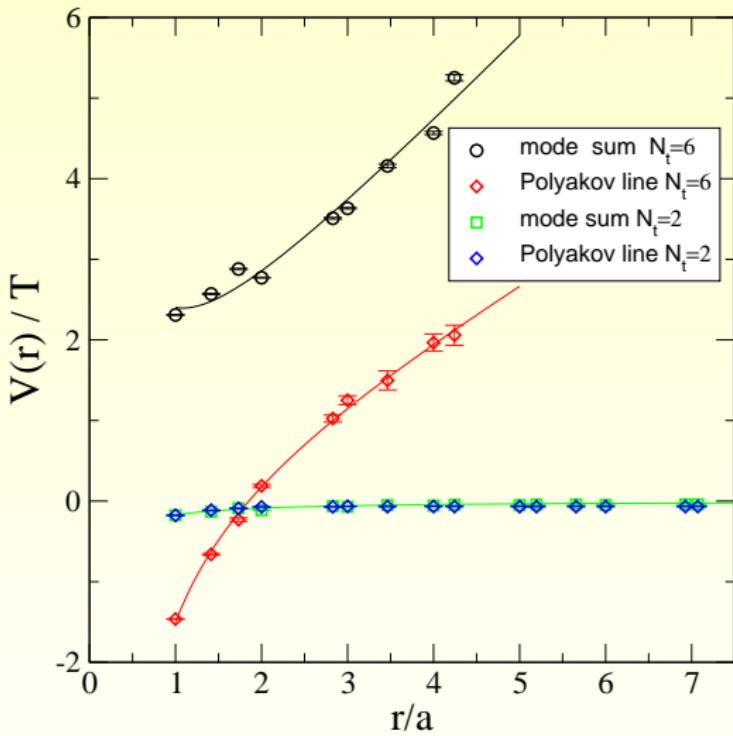
$$V_n^{\mathcal{G}}(r) = -T \log C_n^{\mathcal{G}}(r), \quad C_n^{\mathcal{G}}(r) = \langle \mathcal{G}_n(x) \mathcal{G}_n(x + r e_3) \rangle$$

- simulation parameters

$\beta$	$\sigma a^2$	lattice	$T/T_c$	configurations
1.35	0.1244(7)	$12^3 6$	0.7	8658
1.35	0.1244(7)	$12^3 4$	1.0	12000
1.35	0.1244(7)	$12^3 2$	2.1	12000



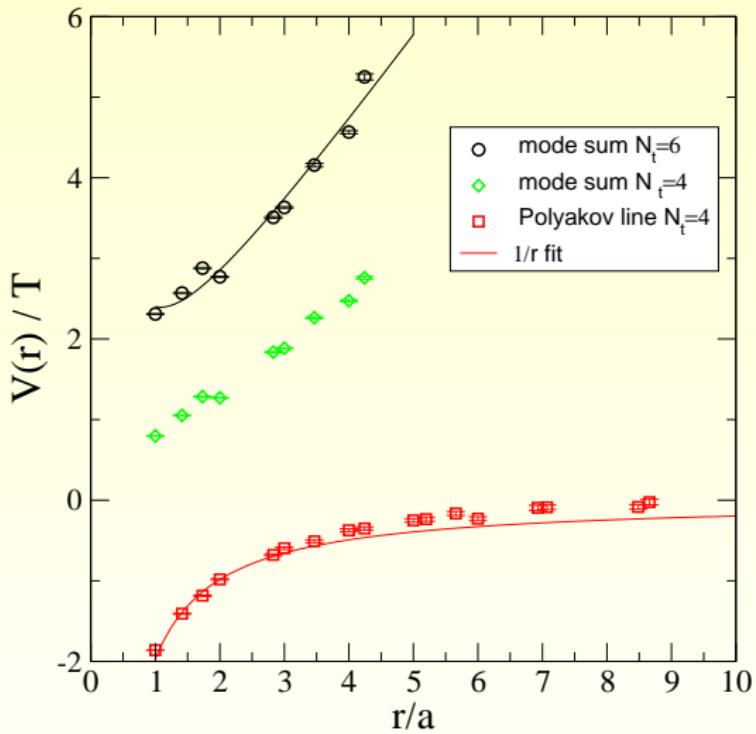
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potential from  $P$  and  $\mathcal{G}_n$ ,  $N_t = 6$  confined,  $N_t = 2$  deconfined



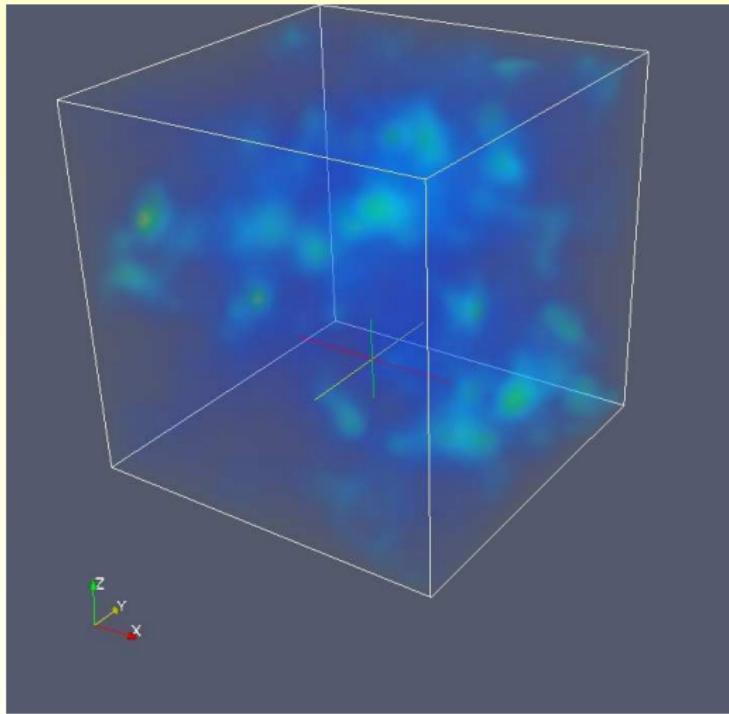
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potential from  $P$  and  $\mathcal{G}_n$  for  $T \approx T_c(N_t = 4)$



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mode sum  $|\mathcal{G}_n(x)|$  in a  $20^3$  spatial hypercube.  $L = 3.2$  fm  
smooth texture at scale 0.3 fm



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## Conclusions, remarks

- all spectral sums define **order parameters** for center symmetry
- spectral sum approach can be formulated for **continuum theories**
- **first** sum over center elements and **afterwards** over the eigenvalues  
⇒ spectral sums exist for almost all  $f(\mathcal{D})$
- reconstructed Polyakov loop **locally** from spectral sums on lattice
- same construction for **continuum theory** and constant  $F_{\mu\nu}$
- beyond constant field strength?  
relation to Banks-Casher in continuum (CSB  $\leftrightarrow$  confinement)



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