

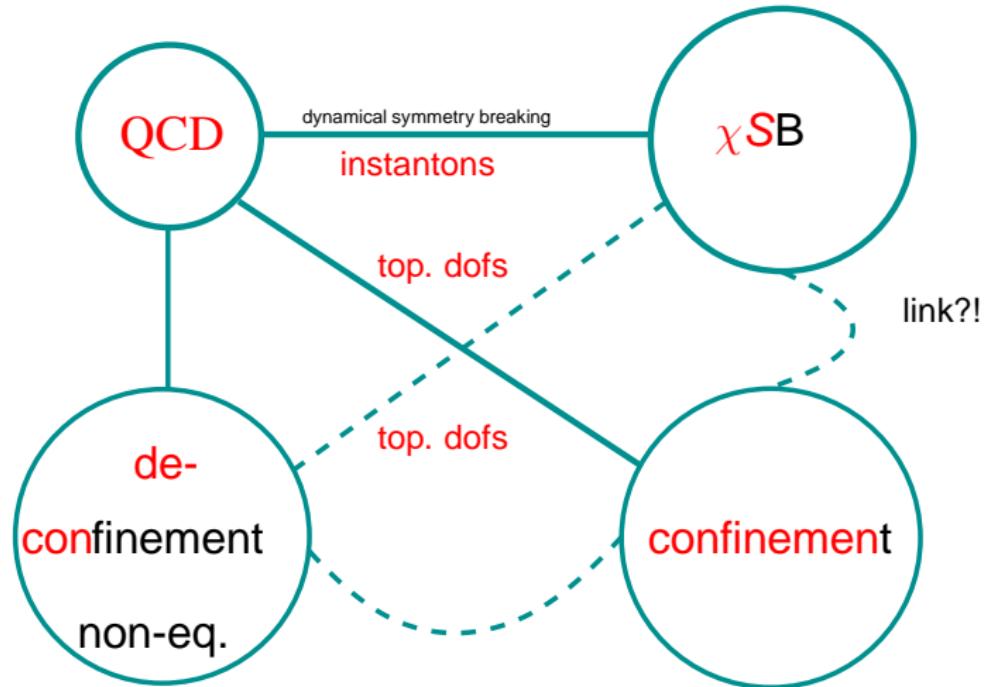
# Confinement, chiral symmetry breaking and the QCD phase diagram

**Jan Martin Pawłowski**

Institute for Theoretical Physics  
Heidelberg University

*Quarks and Hadrons in strong QCD, St Goar, March 17th, 2008*



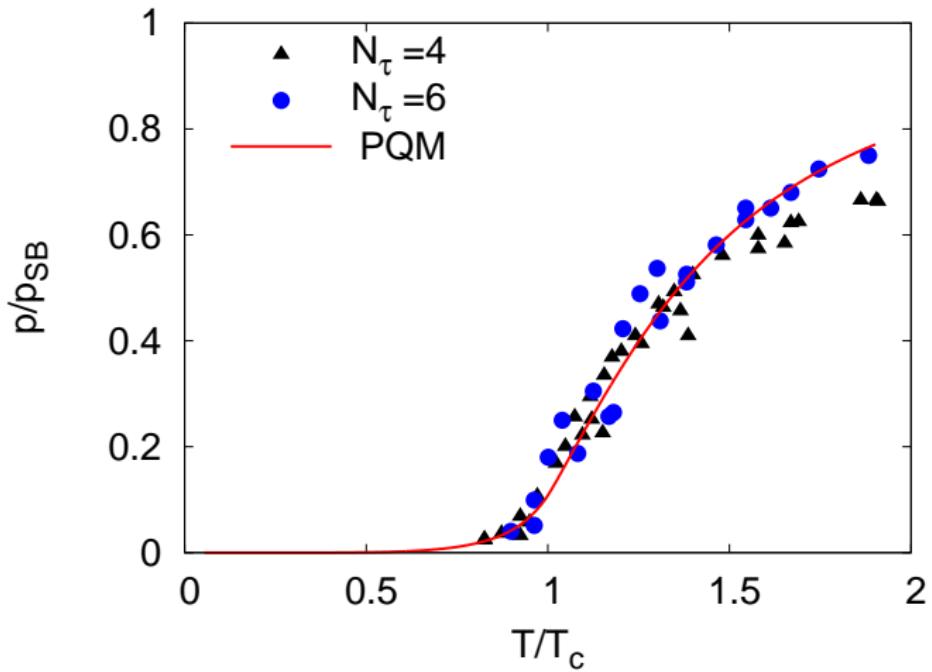


# some questions

- chiral symmetry breaking
  - mechanism & critical temperature
  - bound state spectrum
- confinement-deconfinement
  - mechanism & critical temperature
  - spectrum, mass gap
- finite density
  - phase diagram & critical point
- dynamics

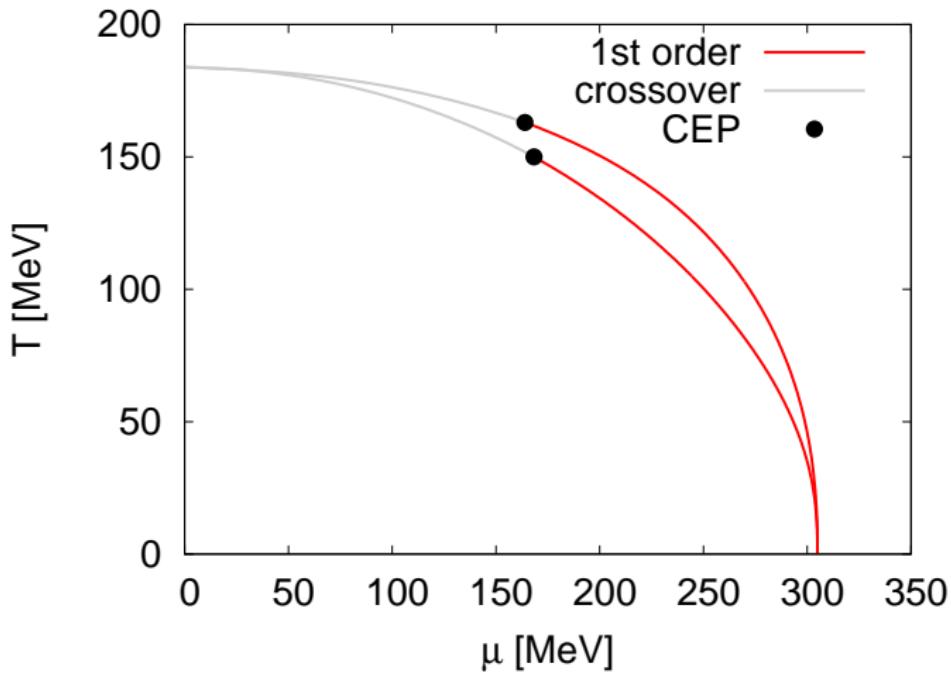
# some answers: compute Green functions

- chiral symmetry breaking
  - $\langle q(x)\bar{q}(y) \rangle, \dots$
- confinement-deconfinement
  - $\langle A(x)A(y) \rangle, \langle C(x)\bar{C}(y) \rangle, \dots$
  - $\langle 1/N_c \text{tr } \mathcal{P} \exp i \int_0^\beta dt A_0 \rangle, \dots$
- dynamics with functional methods
- gauge fixing is mostly a benefit, not a liability
  - Landau gauge & Polyakov gauge



lattice data taken from Ali Khan et al. (CP-PACS), Phys. Rev. D 64 (2001)

see talk of B.-J. Schaefer



see talk of B.-J. Schaefer

## 1 Functional RG

- properties
- topology

## 2 Landau gauge QCD

- Signatures of confinement
- Infrared asymptotics & finite volume effects

## 3 QCD at finite temperature

- confinement-deconfinement phase transition

1

## Functional RG

- properties
- topology

2

## Landau gauge QCD

- Signatures of confinement
- Infrared asymptotics & finite volume effects

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## QCD at finite temperature

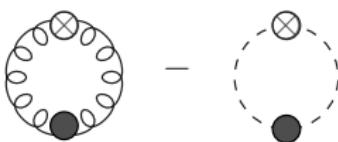
- confinement-deconfinement phase transition

$$k \partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2)}[\phi] + k^2} 2k^2$$

$$k\partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2)}[\phi] + R_k(p^2)} k\partial_k R_k(p^2)$$

$$k\partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2)}[\phi] + R_k(p^2)} k\partial_k R_k(p^2)$$

- in Yang-Mills theory

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \quad \begin{array}{c} \text{---} \\ \text{---} \end{array} - \quad \begin{array}{c} \text{---} \\ \text{---} \end{array}$$


$$k\partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2)}[\phi] + R_k(p^2)} k\partial_k R_k(p^2)$$

- self-similarity, reparameterisation & projections
- fermions straightforward though 'physically' complicated
  - no sign problem numerics as in scalar theories!
  - chiral fermions reminder: Ginsparg-Wilson fermions from RG argument!
  - bound states via (re-)bosonisation effective field theory techniques applicable!

$$k\partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2)}[\phi] + R_k(p^2)} k\partial_k R_k(p^2)$$

- self-similarity, reparameterisation & projections
- fermions straightforward
- flows in Landau gauge QCD

Ellwanger, Hirsch, Weber '96

Bergerhoff, Wetterich '97

Pawlowski, Litim, Nedelko, von Smekal '03

Kato '04

Gies, Fischer '04

Pawlowski '05

$$k\partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2)}[\phi] + R_k(p^2)} k\partial_k R_k(p^2)$$

- self-similarity, reparameterisation & projections
- fermions straightforward
- functional methods in Landau gauge QCD (IR)
  - Dyson-Schwinger equations von Smekal, Hauck, Alkofer '97
  - stochastic quantisation Zwanziger '02
  - flows in Landau gauge QCD Pawłowski, Litim, Nedelko, von Smekal '03
  - quark confinement from Landau gauge propagators Braun, Gies, Pawłowski '07

# topology ?

- tunneling in QM

Zappala, Phys. Lett. A 290 (2001) 35

- instanton-induced terms in QCD

Pawlowski, Phys. Rev. D 58 (1998) 045011

- $\mathcal{N} = 2$  susy Yang-Mills

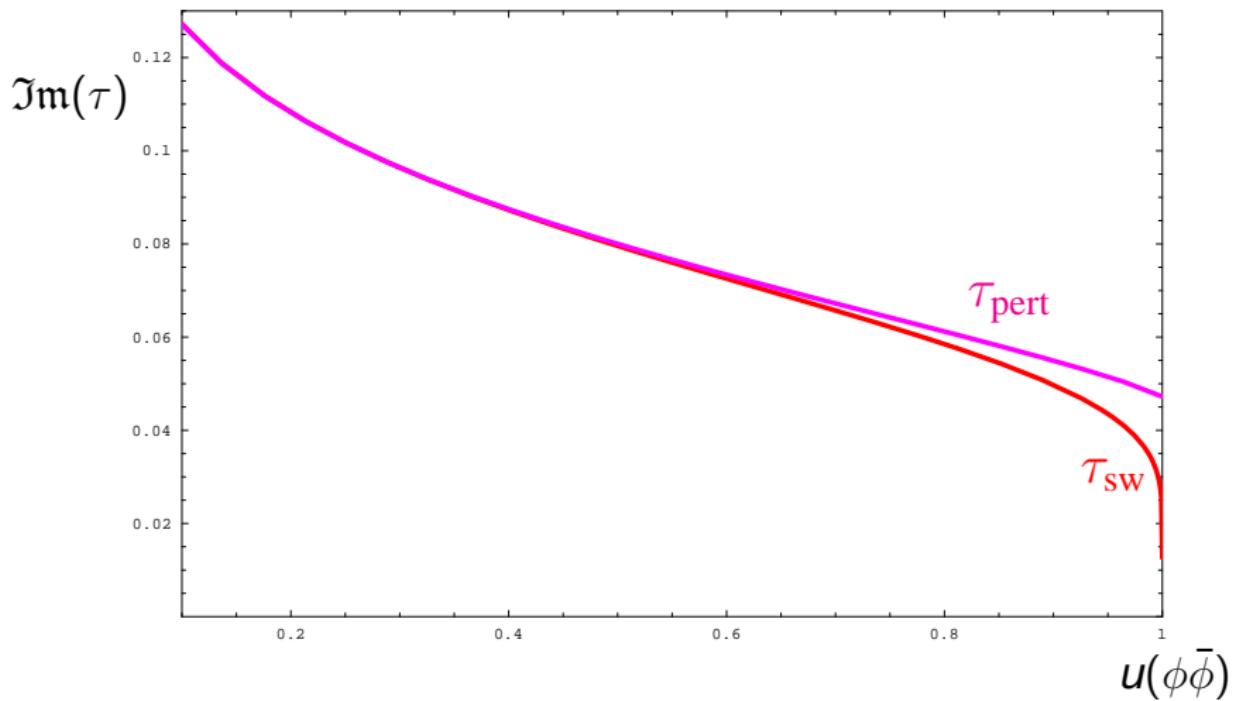
Dolan, Pawlowski, unpublished work

$$\tau = \frac{i}{g^2} + \frac{\theta}{8\pi^2} = \frac{i}{4\pi^2} \left( \ln \frac{\phi\bar{\phi}}{\Lambda_{\text{QCD}}^2} + 3 \right) + \text{top.}$$

- anomalies, solitons ...

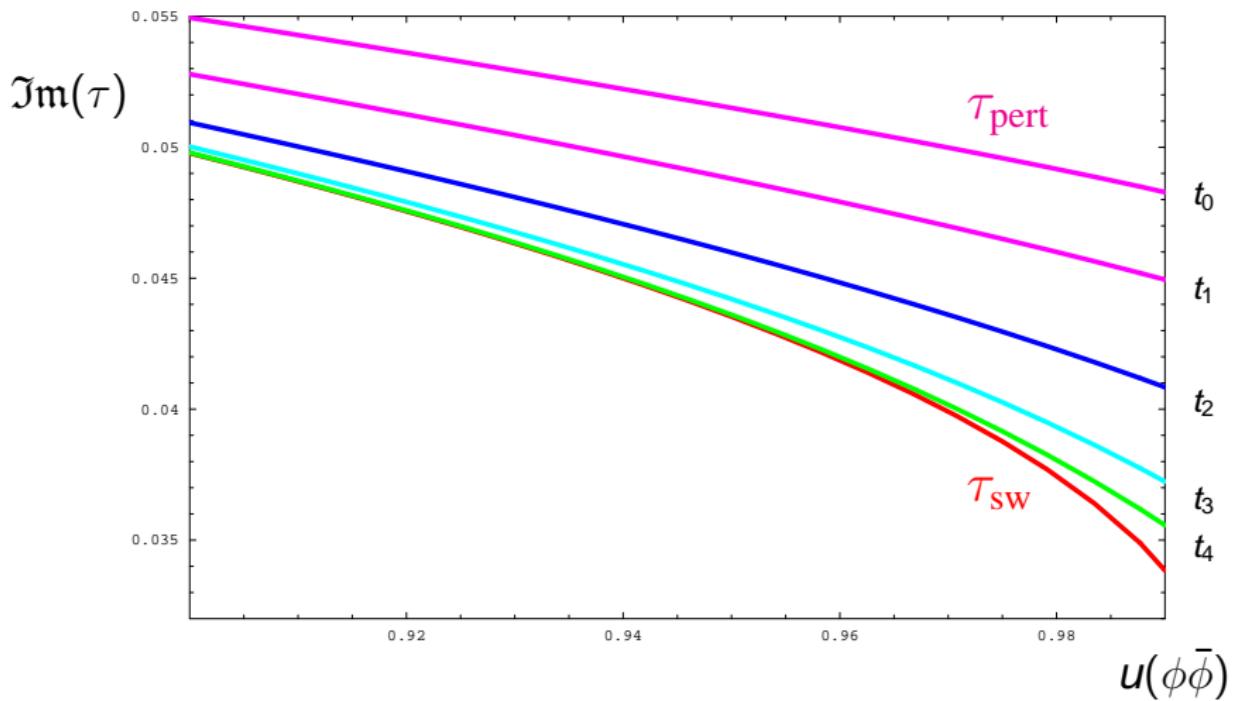
# Coupling $\tau$ in $\mathcal{N} = 2$ susy Yang-Mills (Seiberg-Witten)

Dolan, Pawłowski, unpublished work



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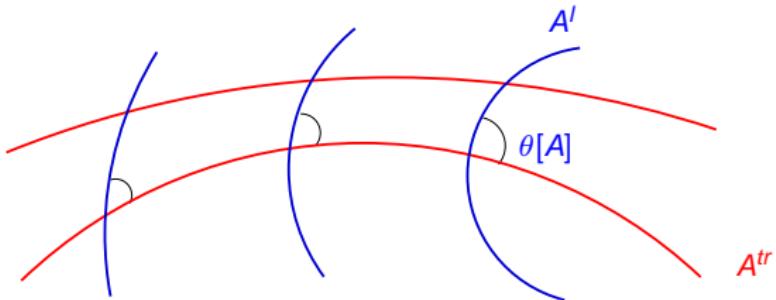
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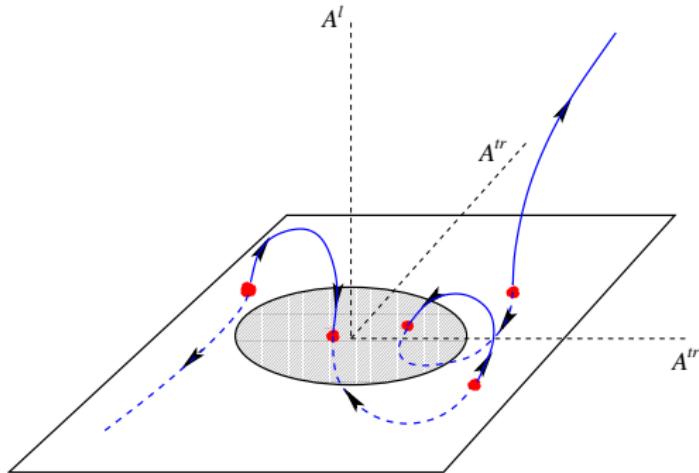
## 3 QCD at finite temperature

- confinement-deconfinement phase transition

$$S_{\text{cl}} = \frac{1}{2} \int \text{tr} F^2 = \frac{1}{2} \int A_\mu^a (p^2 \delta_{\mu\nu} - p_\mu p_\nu) A_\nu^a + \dots$$

gauge fixing ensures the existence of the gauge field propagator





## Gribov problem

# confinement scenario

$$\Omega = \{A \mid \partial_\mu A_\mu = 0, -\partial_\mu D_\mu \geq 0\}$$

- entropy

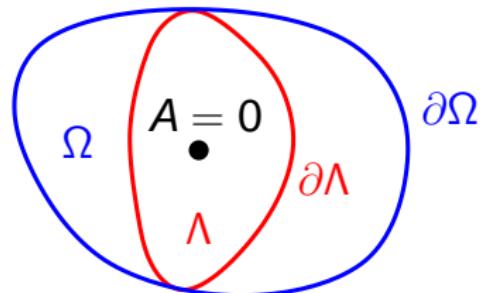
$$\int dA \det(-\partial D) e^{-S}$$

- entropy ( $\int dA$ )

- $\partial\Omega \cap \partial\Lambda$  dominates IR

- ghost IR-enhanced

- gluonic mass-gap: confined gluons



non-renormalisation of ghost-gluon vertex

# confinement scenario

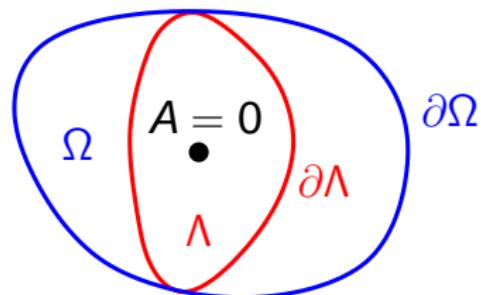
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non-renormalisation of ghost-gluon vertex

- 
- Kugo-Ojima (in BRST-extended configuration space)

- gluonic mass-gap + no Higgs mechanism

## functional RG

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{ (solid loop with } \otimes \text{ symbol)} - \text{ (dashed loop with } \otimes \text{ symbol)}$$

- mode cut-off

$$R_k(p^2) \propto \Gamma_0^{(2)}(p^2) \delta(p^2 - k^2)$$

## functional RG

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- physics unchanged
- loop integration

$$\frac{1}{\Gamma_k^{(2)} + R_k} (k \partial_k R_k) \frac{1}{\Gamma_k^{(2)} + R_k} \simeq \frac{1}{\Gamma_0^{(2)}} k^2 \delta'(p^2 - k^2)$$

## functional RG

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{ (solid loop with } \otimes \text{ symbol)} - \text{ (dashed loop with } \otimes \text{ symbol)}$$

## functional RG

$$\partial_t \Gamma_k[\phi] = \frac{1}{2} \text{ (solid loop with } \otimes \text{ symbol)} - \text{ (dashed loop with } \otimes \text{ symbol)}$$

## functional DSE

$$\frac{\delta \Gamma_k[\phi]}{\delta A} = \frac{\delta S[\phi]}{\delta A} + \text{ (dashed loop with } \phi \text{ symbol)} + \text{ (solid loop with } \phi \text{ symbol)} + \text{ (solid loop with } \phi \text{ symbol and internal solid loop)}, \quad \frac{\delta \Gamma_k[\phi]}{\delta C} = \frac{\delta S[\phi]}{\delta C} + \text{ (dashed loop with } \phi \text{ symbol)}$$

$$k \partial_k \text{---} \bullet \text{---}^{-1} = - \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---} - \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---}$$

$+ \frac{1}{2} \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---} + \frac{1}{2} \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---}$

$- \frac{1}{2} \text{---} \bullet \text{---} + \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---}$

$$k \partial_k \text{---} \bullet \text{---}^{-1} = \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---} + \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---}$$

$- \frac{1}{2} \text{---} \bullet \text{---} + \text{---} \bullet \text{---} \otimes \text{---} \bullet \text{---}$

$$\text{Diagram 1}^{-1} = \text{Diagram 2}^{-1} - \frac{1}{2} \text{Diagram 3}$$

$$-\frac{1}{2} \text{Diagram 4} - \frac{1}{6} \text{Diagram 5}$$

$$-\frac{1}{2} \text{Diagram 6} + \text{Diagram 7}$$

$$\text{Diagram 8}^{-1} = \text{Diagram 9}^{-1} + \text{Diagram 10}$$

$$\begin{aligned}
 k \partial_k \text{---} \text{---}^{-1} &= - \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} - \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} \\
 &\quad + \frac{1}{2} \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} + \frac{1}{2} \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} \\
 &\quad - \frac{1}{2} \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} \\
 k \partial_k \text{---} \text{---}^{-1} &= \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} \\
 &\quad - \frac{1}{2} \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} \\
 &\quad - \frac{1}{2} \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} \\
 &\quad - \frac{1}{2} \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} \\
 &\quad - \frac{1}{2} \text{---} \text{---} \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---} \text{---} \text{---}^{-1}
 \end{aligned}$$

## Unique infrared asymptotics in Landau gauge QCD

- conformal scaling

$$\Gamma^{(2n,m)}(\lambda p_1, \dots, \lambda p_{2n+m}) = \lambda^{\kappa_{2n,m}} \Gamma^{(2n,m)}(p_1, \dots, p_{2n+m})$$

- decoupling:  $\kappa_{n,m} = 0$  & massive gluon no confinement!

$$k \partial_k \text{---} \text{---}^{-1} = - \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$

$\frac{1}{2}$

$$+ \frac{1}{2} \text{---} \text{---} \text{---} \text{---} + \frac{1}{2} \text{---} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$-\frac{1}{2} \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$
  

$$k \partial_k \text{---} \text{---}^{-1} = \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$+ \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$

$$\text{---} \text{---} \text{---} \text{---}^{-1} = \text{---} \text{---} \text{---} \text{---}^{-1} - \frac{1}{2} \text{---} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$- \frac{1}{2} \text{---} \text{---} \text{---} \text{---} - \frac{1}{6} \text{---} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$- \frac{1}{2} \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$
  

$$\text{---} \text{---} \text{---} \text{---}^{-1} = \text{---} \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---} \text{---}$$

## Unique infrared asymptotics in Landau gauge QCD

$$\Gamma(2n,m) \sim p^{2(n-m)\kappa_c} \quad \text{with} \quad \kappa_c \geq 0$$

$\Gamma(2n,m)$ : vertex with  $n$  ghost and anti-ghost lines,  $m$  gluons

confirms Alkofer, Fischer, Llanes-Estrada, Phys. Lett. B611 (2005) 279–288  
see also Alkofer, Huber, Schwenzer '08

$$k \partial_k \text{---} \text{---}^{-1} = - \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$

$\frac{1}{2}$

$$+ \frac{1}{2} \text{---} \text{---} \text{---} \text{---} + \frac{1}{2} \text{---} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$-\frac{1}{2} \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$
  

$$k \partial_k \text{---} \text{---}^{-1} = \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$+ \text{---} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} \text{---}$$

$$\text{---} \text{---} \text{---}^{-1} = \text{---} \text{---} \text{---}^{-1} - \frac{1}{2} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$- \frac{1}{2} \text{---} \text{---} \text{---} + \frac{1}{6} \text{---} \text{---} \text{---}$$

$-\frac{1}{2}$

$$- \frac{1}{2} \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---}$$
  

$$\text{---} \text{---} \text{---}^{-1} = \text{---} \text{---} \text{---}^{-1} + \text{---} \text{---} \text{---}$$

## Unique infrared asymptotics in Landau gauge QCD

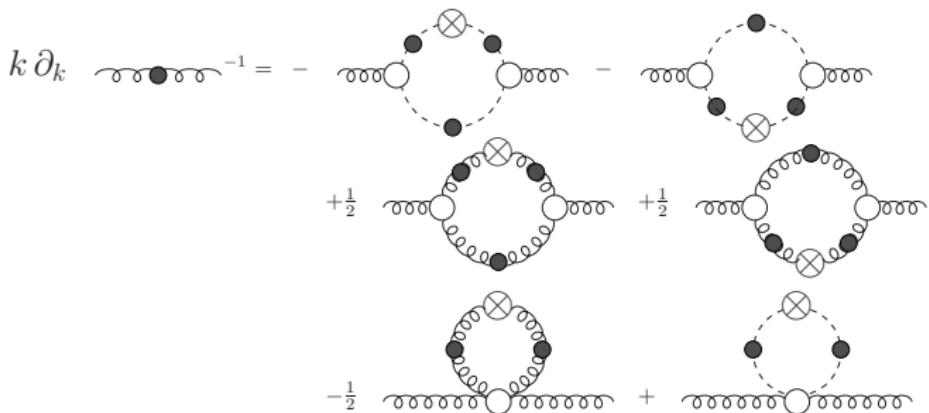
$$\Gamma(2n,m,\text{quarks}) \sim p^{2(n-m)\kappa_C + \text{quarks}}$$

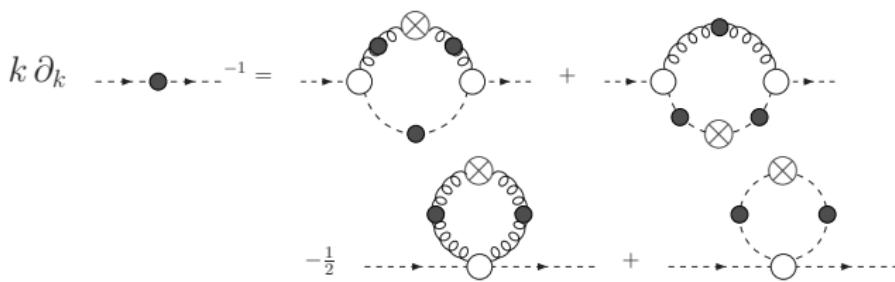
QCD: work in progress;  $QED_3$ : Nedelko,Pawłowski, in preparation

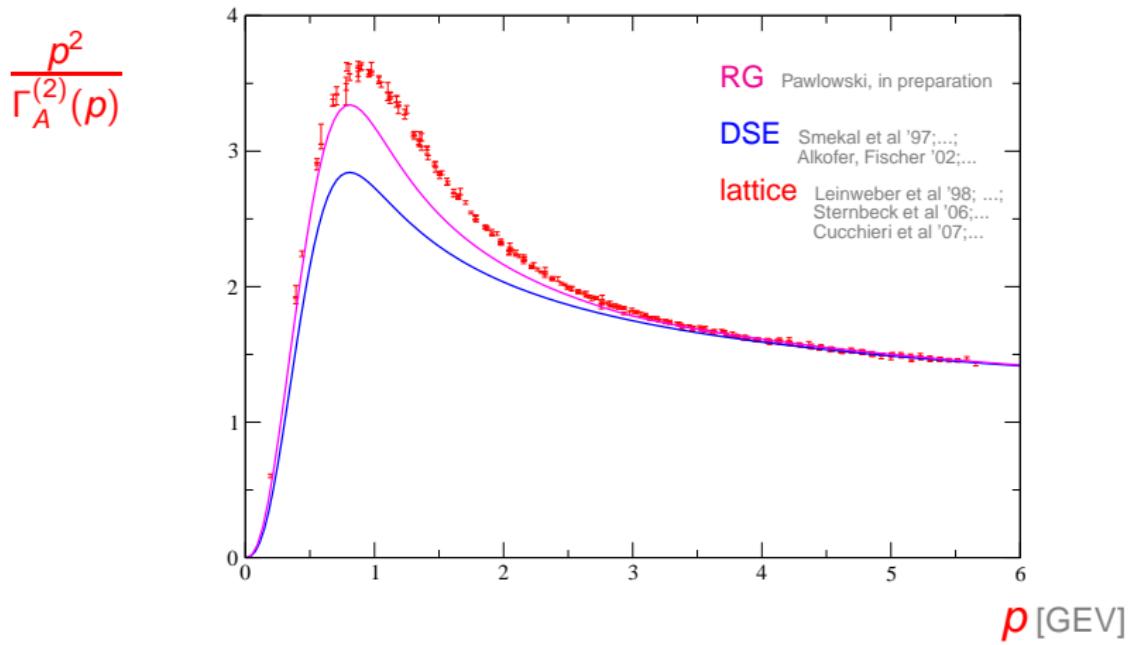
# UV-IR flow

- full momentum dependence of propagators
- vertices momentum-dependent RG-dressing
- optimisation
- functional relations between diagrams

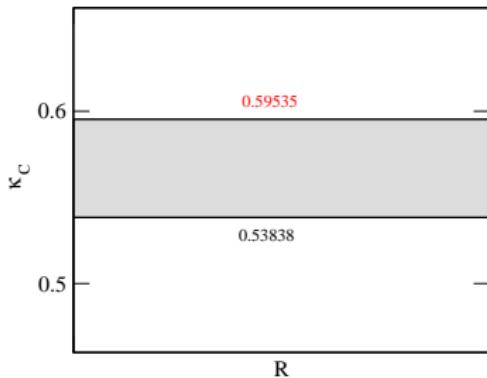
# UV-IR flow

$$k \partial_k \text{---}^{-1} = - \text{---} \otimes \text{---} + \text{---} \otimes \text{---} - \text{---} \otimes \text{---}$$
$$+ \frac{1}{2} \text{---} \otimes \text{---} + \frac{1}{2} \text{---} \otimes \text{---}$$
$$- \frac{1}{2} \text{---} + \text{---} \otimes \text{---}$$


$$k \partial_k \text{---}^{-1} = \text{---} \otimes \text{---} + \text{---} \otimes \text{---}$$
$$- \frac{1}{2} \text{---} + \text{---} \otimes \text{---}$$




$$p^2 \langle A(p) A(-p) \rangle = \frac{p^2}{\Gamma_A^{(2)}(p)} \xrightarrow{p \rightarrow 0} (p^2)^{-2\kappa_c} \stackrel{\text{DSE}}{=} \frac{D(p^2)}{p^2}$$

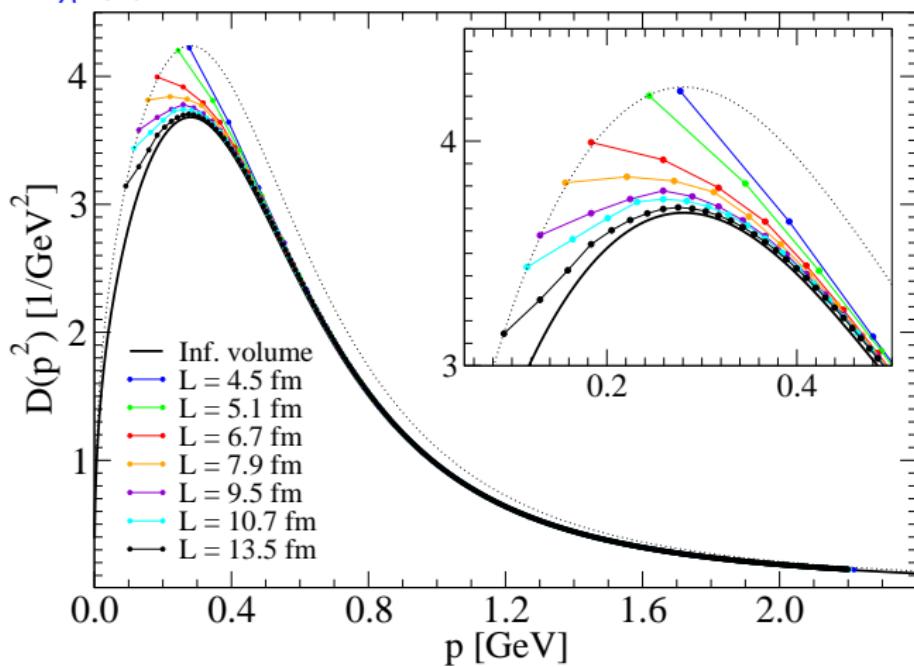


Pawlowski, Litim, Nedelko, von Smekal, Phys. Rev. Lett. **93** (2004) 152002

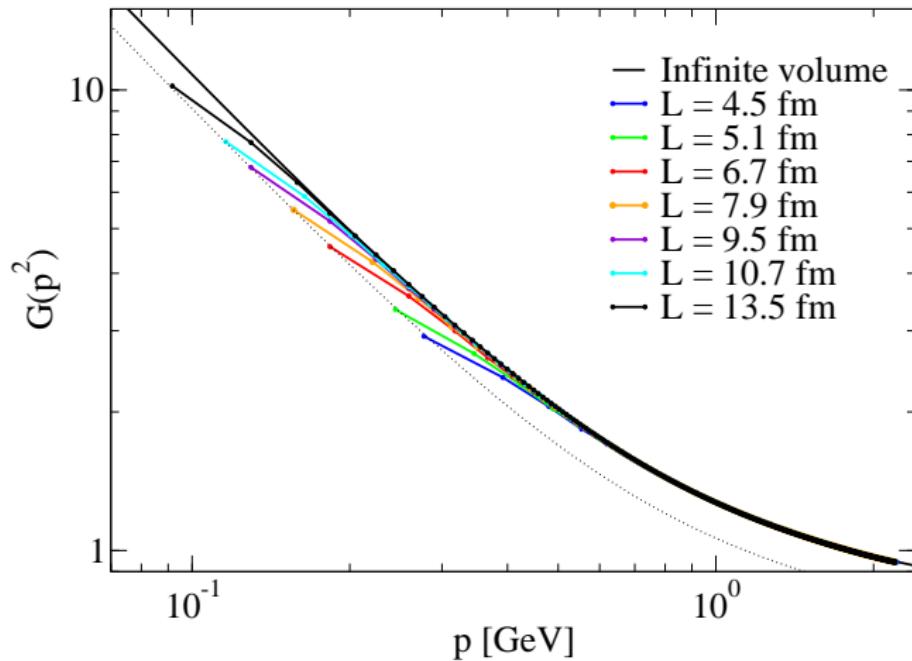
- optimisation:  $\kappa_C = 0.59535\dots$ ,  $\alpha_s = 2.9717\dots$

equals DS/StochQuant-result: Lerche, von Smekal, Phys. Rev. D **65** (2002) '02  
 D. Zwanziger, Phys. Rev. D **65** (2002)  
 RG-confirmation: C. S. Fischer and H. Gies, JHEP **0410** (2004)

$$D(p^2) = \frac{1}{\Gamma_A^{(2)}(p)}$$



$$G(p^2) = \frac{p^2}{\Gamma_C^{(2)}(p)}$$



# Functional methods–lattice puzzle

- lower dimensions
  - quantitative agreement in  $d = 2$  Maas '07
  - qualitative agreement in  $d = 3$  talk of A. Maas
- large volumes on the lattice
  - in  $d = 4$  up to  $128^4$  at  $\beta = 2.2$  Cucchieri et al '07
- gauge fixings
  - improved gauge fixing procedures Bogolubsky et al '07, von Smekal et al '07, talk of A. Maas
  - stochastic quantisation with D. Spielmann, I.O. Stamatescu
- $SU(2)$  versus  $SU(3)$  Cucchieri et al '07, Sternbeck et al '07
- $\beta = 0$  : evidence for gauge fixing/finite size problems talk of L. von Smekal

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## 3 QCD at finite temperature

- confinement-deconfinement phase transition

# Order parameter

- Polyakov loop  $\Phi(\vec{x}) = \langle L[A_0] \rangle$

$$L[A_0](\vec{x}) = \frac{1}{N_c} \text{tr} \mathcal{P} e^{i \int_0^\beta dt A_0}$$

with  $\langle \Phi \rangle \simeq e^{-F_q}$

- confinement:  $F_q = \infty$
- deconfinement:  $F_q$  finite

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with  $\langle \Phi \rangle \simeq e^{-F_q}$

- confinement:  $F_q = \infty$
- deconfinement:  $F_q$  finite
- string tension

$$\langle L(\vec{x}) L^\dagger(\vec{y}) \rangle \simeq e^{-F_{q\bar{q}}(\vec{x} - \vec{y})}$$

- $\lim_{|\vec{x} - \vec{y}| \rightarrow \infty} F_{q\bar{q}}(\vec{x} - \vec{y}) \simeq \beta \sigma |\vec{x} - \vec{y}|$

- background field flow

$$k\partial_k \Gamma_k[\phi, A] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2,0)}[\phi, A] + R_k(\Gamma_k^{(2,0)}[0, A])} k\partial_k R_k(\Gamma_k^{(2,0)}[0, A])$$

- fluctuation fields  $\phi = (a, C, \bar{C})$
- background field  $A$
- Landau-DeWitt gauge:  $D_\mu(A)a_\mu = 0$

- background field flow for effective potential  $V_{\text{eff}}[A_0] = \Gamma_k[0, A_0]$

$$k\partial_k V_{\text{eff}}[A_0] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2,0)}[0, A_0] + R_k(\Gamma_k^{(2,0)}[0, A_0])} k\partial_k R_k(\Gamma_k^{(2,0)}[0, A_0])$$

- vanishing fluctuation fields  $\phi = 0$

$$\Gamma_{k,A}^{(2,0)} = \frac{\delta^2 \Gamma_k}{\delta a^2} \neq \frac{\delta^2 \Gamma_k}{\delta A^2}$$

- background field flow for effective potential  $V_{\text{eff}}[A_0] = \Gamma_k[0, A_0]$

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- determination of propagator

$$\Gamma_k^{(2,0)}[0, A] = \Gamma_{k, \text{Landau}}^{(2)}(\mathbf{p}^2 \rightarrow -D^2) + O(F)$$

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$$L[A_0] = \frac{1}{N_c} \text{tr} \mathcal{P} e^{i \int_0^\beta dt A_0}$$

- background field flow for effective potential  $V_{\text{eff}}[A_0] = \Gamma_k[0, A_0]$

$$k\partial_k V_{\text{eff}}[A_0] = \frac{1}{2} \text{Tr} \frac{1}{\Gamma_k^{(2,0)}[0, A_0] + R_k(\Gamma_k^{(2,0)}[0, A_0])} k\partial_k R_k(\Gamma_k^{(2,0)}[0, A_0])$$

- determination of propagator

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$$L[\langle A_0 \rangle] \quad \text{from} \quad \left. \frac{\partial V_{\text{eff}}[A_0]}{\partial A_0} \right|_{A_0=\langle A_0 \rangle} = 0$$

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$$L[\langle A_0 \rangle] \geq \langle L[A_0] \rangle$$

- full effective action

$$\Gamma_0[0, A] = \frac{1}{2} \text{Tr} \ln \Gamma_0^{(2,0)}[0, A] + O(\partial_t \Gamma_k^{(2,0)}) + c.t.$$

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$$\Gamma_0[0, A] = \frac{1}{2} \text{Tr} \ln \Gamma_0^{(2,0)}[0, A] + O(\partial_t \Gamma_k^{(2,0)}) + c.t.$$

- full effective potential in the deep infrared,  $\Gamma_{0,A/C}^{(2,0)} \sim (-D^2)^{1+\kappa_A/c}$

$$V^{\text{IR}}[\beta A_0] \simeq \left\{ \frac{d-1}{2}(1 + \kappa_A) + \frac{1}{2} - (1 + \kappa_C) \right\} \frac{1}{\Omega} \text{Tr} \ln (-D^2[A_0])$$

- full effective action

$$\Gamma_0[0, A] = \frac{1}{2} \text{Tr} \ln \Gamma_0^{(2,0)}[0, A] + O(\partial_t \Gamma_k^{(2,0)}) + c.t.$$

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$$V^{\text{IR}}[\beta A_0] \simeq \left\{ 1 + \frac{(d-1)\kappa_A - 2\kappa_C}{d-2} \right\} V^{\text{UV}}[\beta A_0]$$

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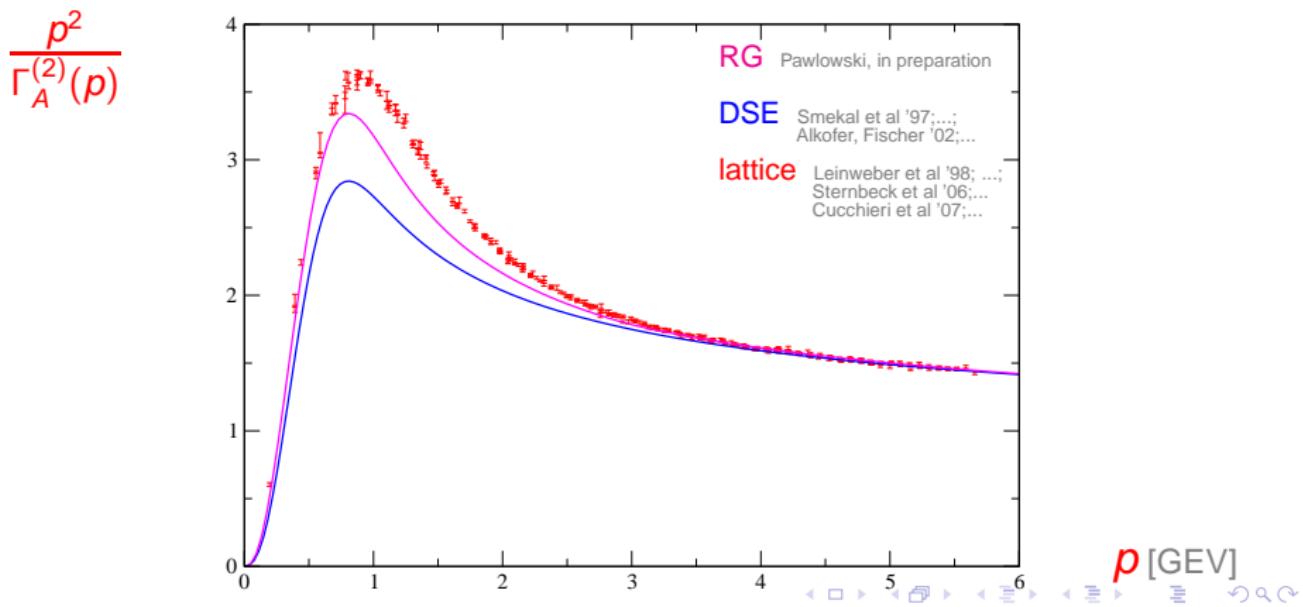
- confinement criterion with sum rule  $\kappa_A = -2\kappa_C - \frac{4-d}{2}$

$$\kappa_C > \frac{d-3}{4}$$

no confinement with background field propagators  $\delta^2 \Gamma_k / \delta A^2$

- determination of  $L(\langle A_0 \rangle)$

$$\Gamma_0[0, A] = \frac{1}{2} \text{Tr} \ln \Gamma_0^{(2,0)}[0, A] + O(\partial_t \Gamma_k^{(2,0)}) + c.t.$$



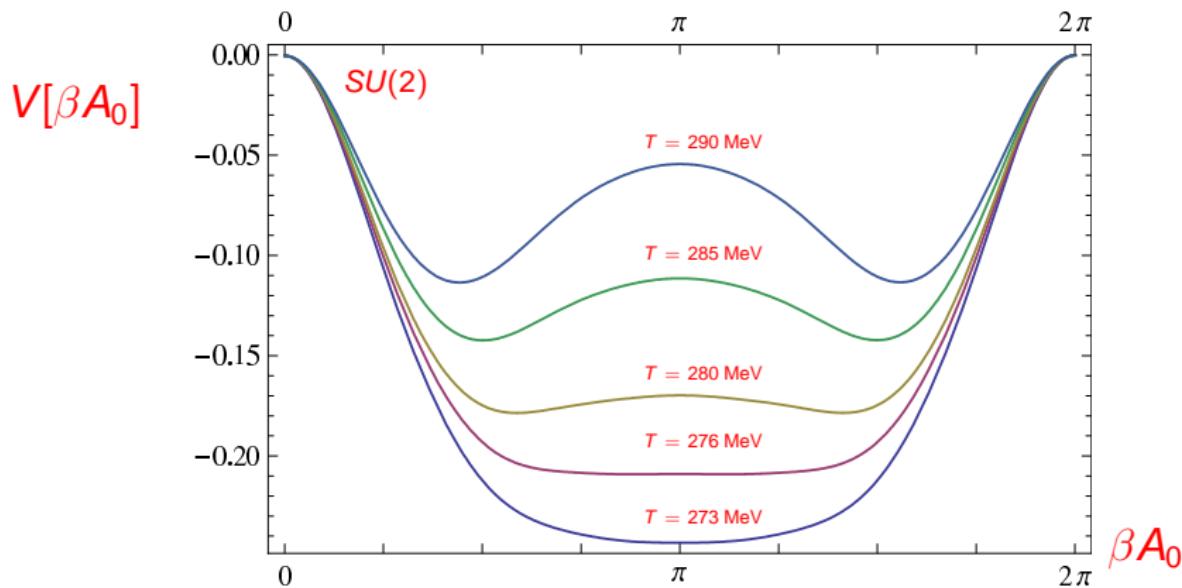
# Polyakov loop potential, $SU(2)$

Braun, Gies, Pawłowski, arXiv:0708.2413 [hep-th]

$$T_c \simeq 276 \pm 10 \text{ MeV}$$

$$T_c/\sqrt{\sigma} = 0.627 \pm 0.023$$

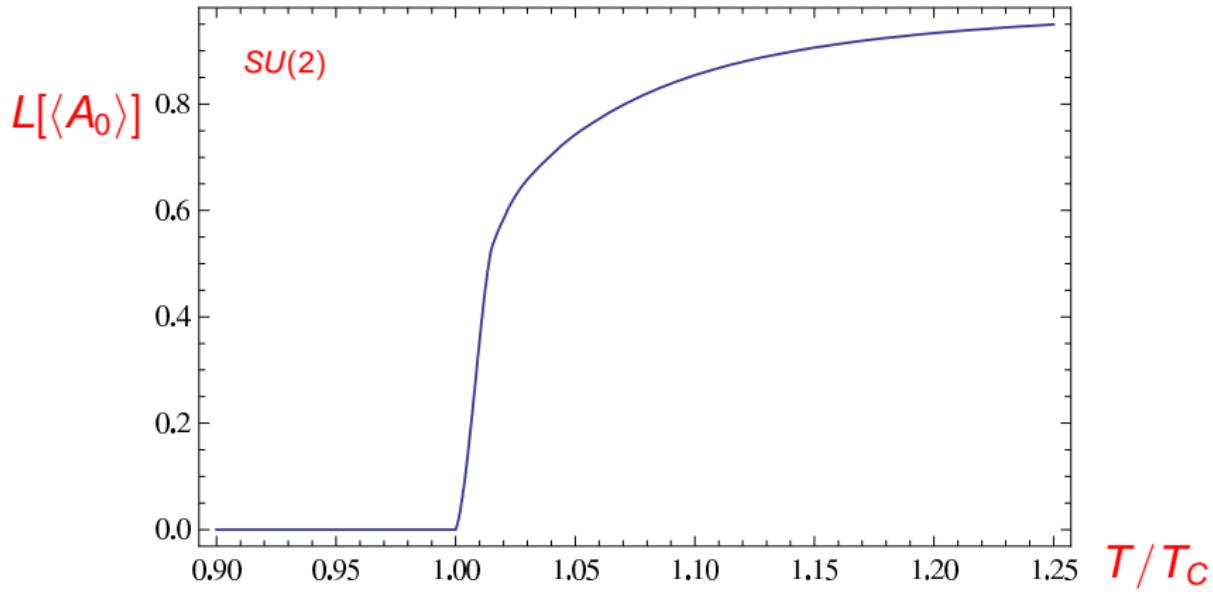
lattice:  $T_c/\sqrt{\sigma} = .709$



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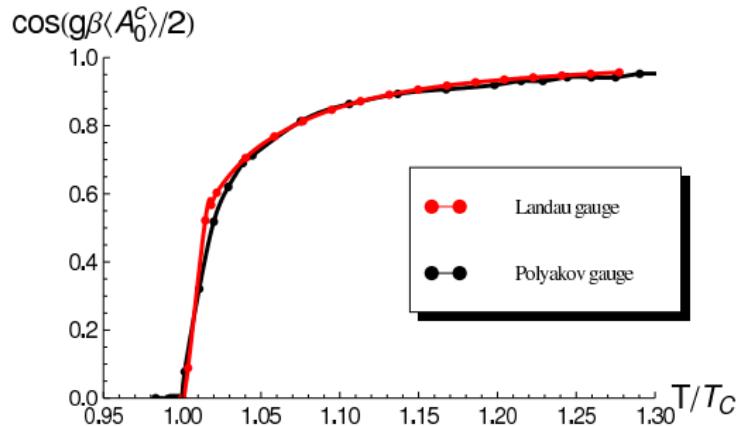
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see talk of F. Marhauser

flow in Polyakov gauge:  $A_0 = A_0(\vec{x})\sigma_3$



- —: Polyakov gauge
- —: Landau gauge propagators

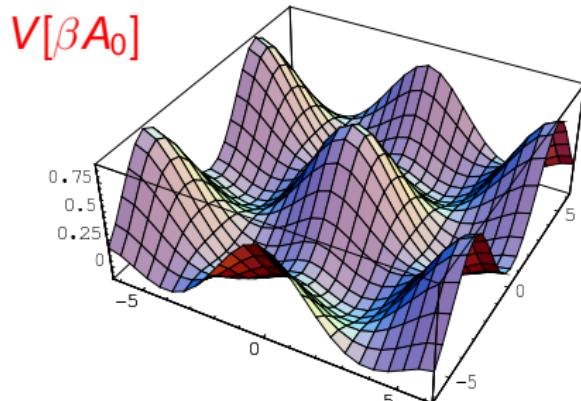
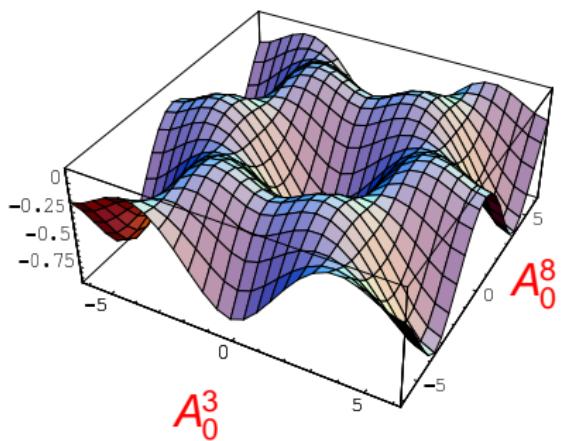
# Polyakov loop potential, $SU(3)$

Braun, Gies, Pawłowski, arXiv:0708.2413 [hep-th]

$$T_c \simeq 284 \pm 10 \text{ MeV}$$

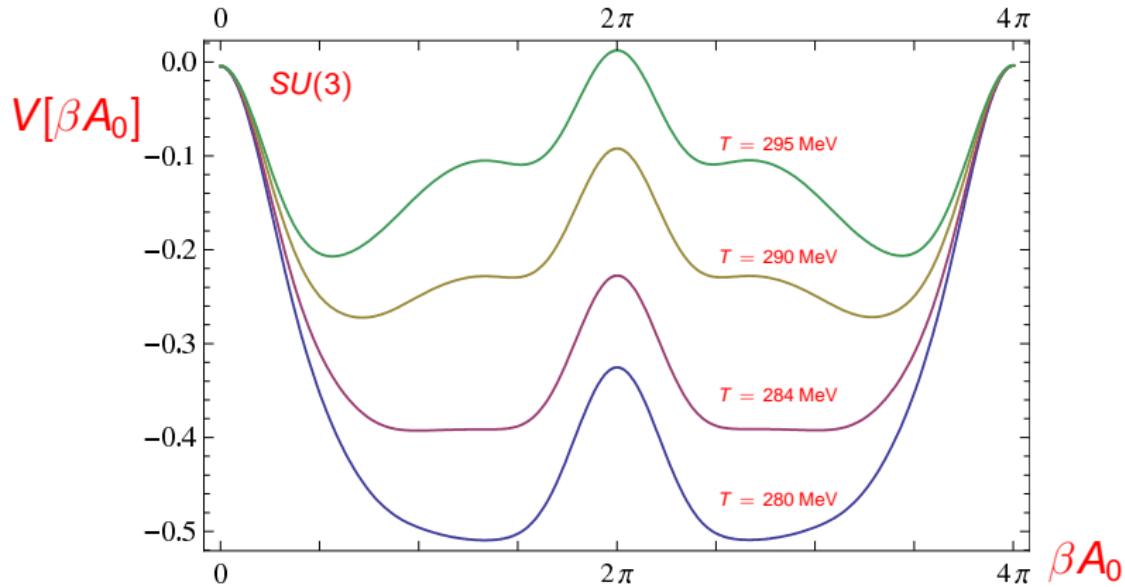
$$T_c/\sqrt{\sigma} = 0.646 \pm 0.023$$

lattice:  $T_c/\sqrt{\sigma} = .646$



$$T_c \simeq 284 \pm 10 \text{ MeV}$$

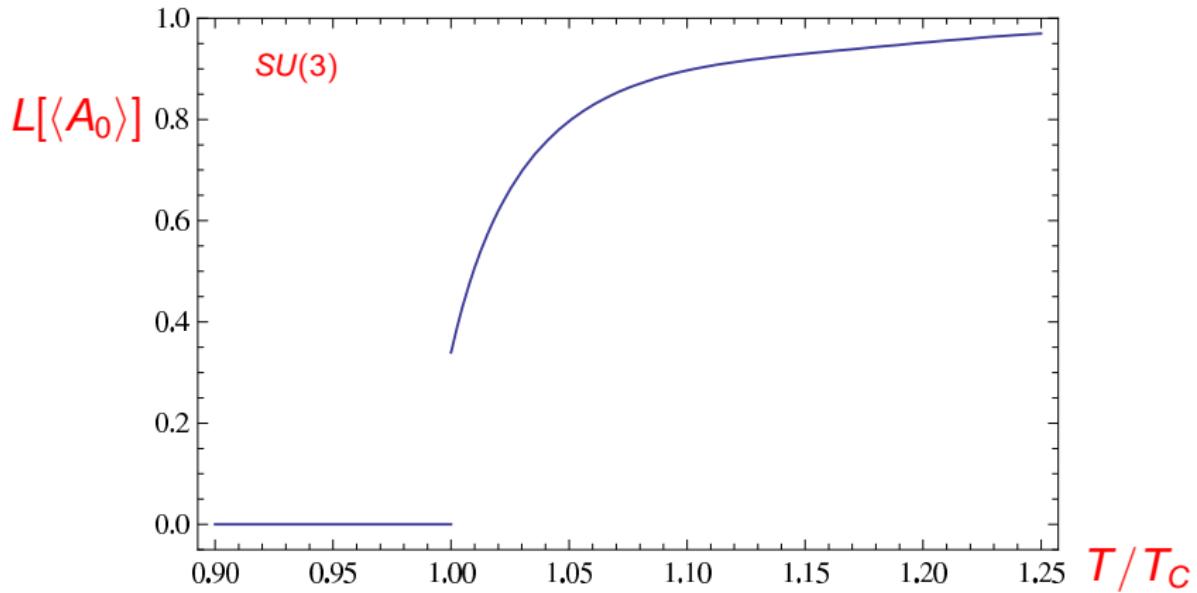
$$T_c/\sqrt{\sigma} = 0.646 \pm 0.023$$

lattice:  $T_c/\sqrt{\sigma} = .646$ 

$$T_c \simeq 284 \pm 10 \text{ MeV}$$

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lattice:  $T_c/\sqrt{\sigma} = .646$



- results

- support for Kugo-Ojima/Gribov-Zwanziger scenario
- confinement-decofinement phase transition from KO/GZ
- dynamical chiral symmetry breaking see talks of H. Gies, B.-J. Schaefer
- 'QCD phase diagram' from models see talk of B.-J. Schaefer

- challenges

- full QCD
- QCD at finite temperature & density
- flow of Wilson loops & Polyakov loops: area law

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