



Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# Thermal lattice QCD as a spin model

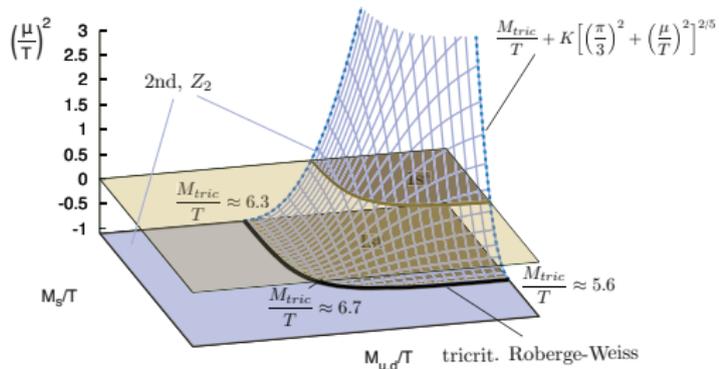
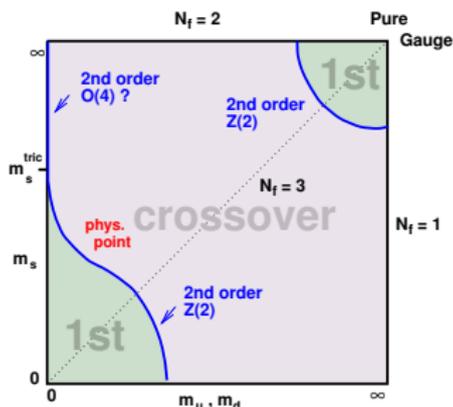
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Owe Philipsen, Wolfgang Unger

# Phase diagram in the quark mass plane



# Outline

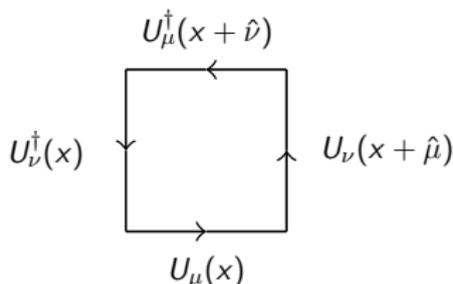
- Define effective theory by integrating out spatial degrees of freedom
- Effective theory can be simulated very fast by different algorithms
- No solution to the sign problem, but a huge reduction of its severity
- Disadvantage: Expansion starts from the unphysical strong coupling and infinite quark mass region

# Starting point: QCD with Wilson's Action

- Partition function

$$Z = \int [dU_0][dU_k] \exp \left[ \frac{\beta}{3} \sum_p \text{Re Tr } U_p \right] \quad \beta = \frac{6}{g^2}$$

- Plaquettes consist of 4 links:  $U_\mu(x) = \exp [iagA_\mu(x)]$



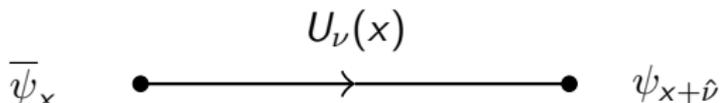
- Expansion in  $\beta \hat{=} \text{Strong coupling expansion}$

# Starting point: QCD with Wilson's Action

- Quark part after Grassmann integration (per flavor and omitting spin and color indices):

$$e^{S_q} = \det \left[ \delta_{xy} - \kappa \sum_{\pm\nu} (1 + \gamma_\nu) U_\nu(x) \delta_{x,y-\hat{\nu}} \right] \quad \kappa = \frac{1}{8 + 2am}$$

- Expansion in  $\kappa \hat{=}$  Hopping parameter expansion



→ Everything is expressed in link variables  $\in SU(3)$

# Series expansion

- Physical observables are functions of  $(\beta, \kappa)$ . Here we expand around  $(\beta = 0, \kappa = 0)$ , i.e. infinite coupling and quark mass
- Graphical expansion: Build graphs of plaquette and link variables
- Plaquettes contribute a factor of  $\beta$
- Quark hops contribute a factor of  $\kappa$
- Integrate over all link variables

# Thermal lattice QCD

- Finite temperature:
  - Compactified time direction
  - Periodic boundary conditions for bosons
  - Antiperiodic boundary conditions for fermions
  - Order parameter: Polyakov loop

$$\text{Tr}W(\vec{x}_i) = \text{Tr} \prod_{\tau=1}^{N_\tau} U_0(\tau, \vec{x}_i) = L(\vec{x}_i) = L_i$$

- Finite chemical potential:
  - Modified temporal hopping parameter

$$\begin{array}{lll} \kappa & \rightarrow & \kappa e^{a\mu} & \text{positive direction} \\ \kappa & \rightarrow & \kappa e^{-a\mu} & \text{negative direction} \end{array}$$

# The effective action

- Integrating out spatial link variables: Defines effective action

$$Z = \int [dU_0][dU_k] e^{S_g + S_q} \equiv \int [dU_0] e^{S_{\text{eff}}}$$

- Advantages:
  - $S_{\text{eff}}$  depends only on Polyakov loops; (3+1)d theory can be reduced to effective 3d theory
  - Complex numbers instead of group elements
- Disadvantages:
  - Infinite number of effective interaction terms and couplings
  - Couplings only known to some order from strong coupling and hopping parameter expansion

# Leading order effective theory

## Quark part

- Neglect spatial plaquettes and spatial quark hops  
→ The spatial integrations can be calculated exactly
- The quark part has no spatial link dependence at all

$$e^{S_q} = \prod_i \det \left[ 1 + h_1 W_i \right]^2 \left[ 1 + \bar{h}_1 W_i^\dagger \right]^2$$

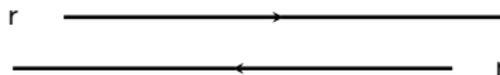
- Effective coupling:  $h_1(\kappa, \mu) = (2\kappa e^\mu)^{N_\tau} = \bar{h}_1(\kappa, -\mu)$
- Further simplification (in case of  $SU(3)$ ):

$$\det \left[ 1 + h_1 W \right] = 1 + h_1 L + h_1^2 L^* + h_1^3$$

# Leading order effective theory

## Gauge part

- Chain of  $N_\tau$  plaquettes in the same representation



- Nearest neighbor Polyakov Loop interaction

# Leading order effective theory: Remarks

$$Z = \int [dW] \prod_i \det \left[ 1 + h_1 W_i \right]^2 \left[ 1 + \bar{h}_1 W_i^\dagger \right]^2 \prod_{\langle ij \rangle} \left[ 1 + 2\lambda_1 \text{Re} L_i L_j^* \right]$$

- Simulation yields critical  $h_1^c$  and  $\lambda_1^c \rightarrow \beta^c$  and  $\kappa^c$
- Can be done for each  $N_\tau$ , need  $h_1^c$  and  $\lambda_1^c$  only once
- The well-known  $SU(3)$  spin model is the first order approximation to this
- Spatial plaquettes and quark hops contribute higher orders to the leading couplings and introduce new interaction terms

# Solving the effective theory: Pure gauge theory

- Solve effective partition function for  $\lambda_1^c$

$$Z = \int [dL] e^{V(L)} \prod_{\langle ij \rangle} \left[ 1 + \lambda_1 (L_i L_j^* + L_i^* L_j) \right]$$

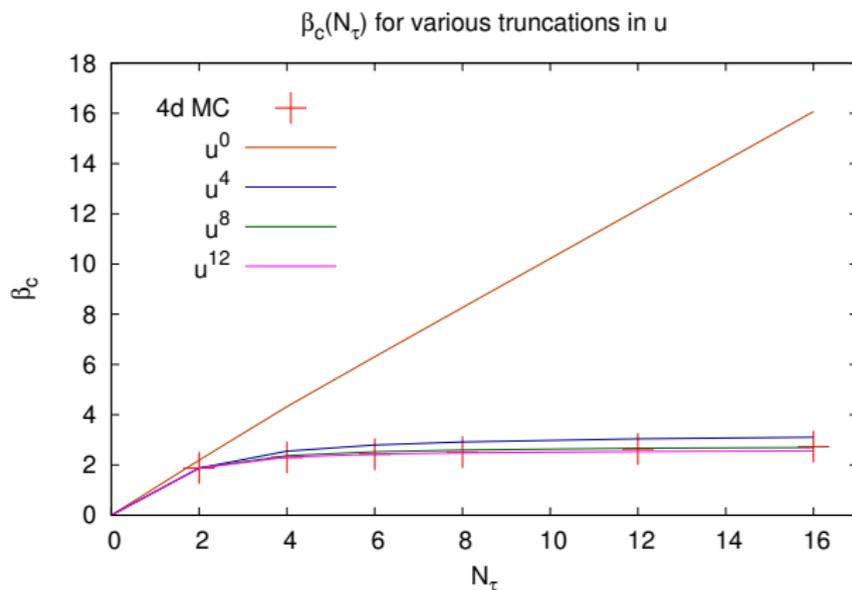
- We get  $\lambda_1^c = 0.18805(2)$
- Use this value to convert to  $\beta_c(N_\tau)$

$$\lambda_1(\beta, N_\tau) = \left( \frac{\beta}{2N_c^2} \right)^{N_\tau} \exp \left[ N_\tau (P(\beta)) \right]$$

- Crucial point: Knowledge of  $\lambda_1$  as a function of  $\beta$  and  $N_\tau$
- Polynomial  $P(\beta)$  known up to  $\mathcal{O}(\beta^{12})$

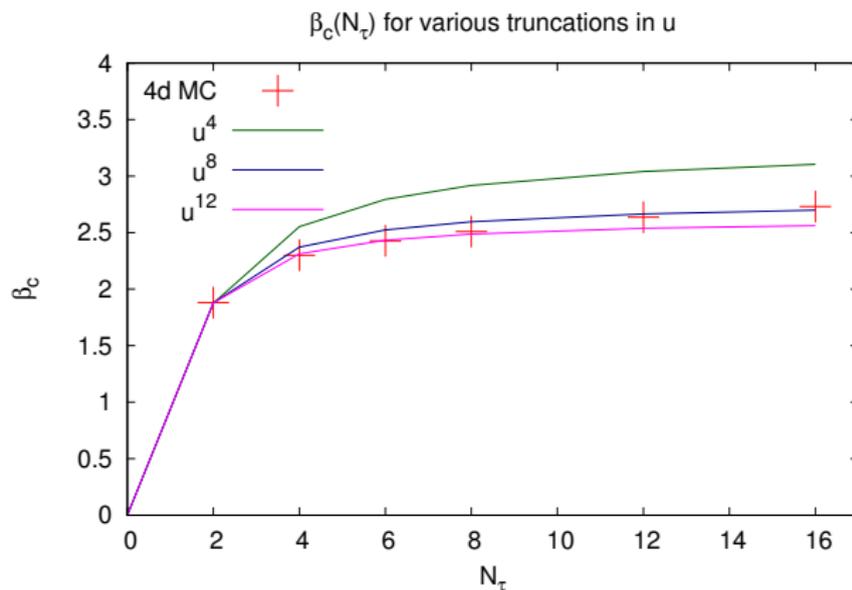
# Deconfinement transition

Evolution of  $\beta^c(N_\tau)$  for different truncations and  $SU(2)$



# Deconfinement transition

Evolution of  $\beta^c(N_\tau)$  for different truncations and  $SU(2)$



# Comparison with full simulations

$SU(2)$

$N_\tau$	3d Eff. Th.	4d YM
2	2.1929(13)	2.1768(30)
4	2.3102(08)	2.2991(02)
6	2.4297(05)	2.4265(30)
8	2.4836(03)	2.5104(02)
12	2.5341(02)	2.6355(10)
16	2.5582(02)	2.7310(20)

4d Monte Carlo results taken from [Fingberg et al. (1992),  
Bogolubsky et al. (2004) and Velytsky (2007)]

# Comparison with full simulations

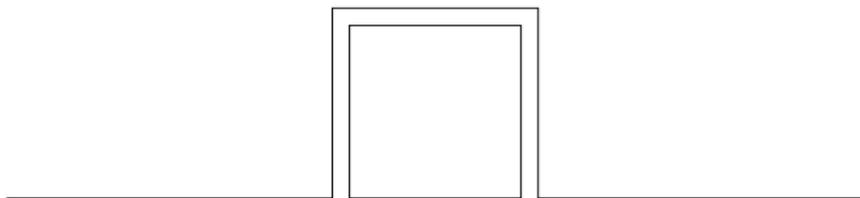
$SU(3)$

$N_\tau$	3d Eff. Th	4d YM
2	5.1839(2)	5.10(5)
4	6.09871(7)	5.6925(2)
6	6.32625(4)	5.8941(5)
8	6.43045(3)	6.001(25)
12	6.52875(2)	6.268(12)
16	6.57588(1)	6.45(5)

4d Monte Carlo results taken from [Fingberg et al. (1992)]

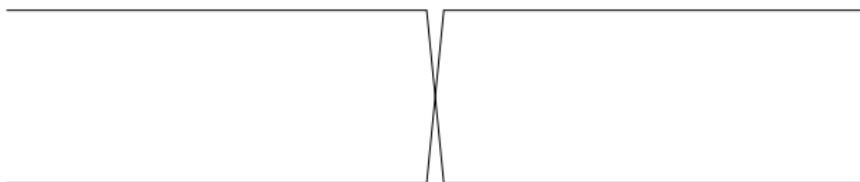
# Fermionic corrections: Examples

- Corrections to the leading coupling:  $\mathcal{O}(\kappa^{N_\tau+2}u)$



→ Deconfinement transition

- New interaction terms:  $\mathcal{O}(\kappa^{2N_\tau+2})$



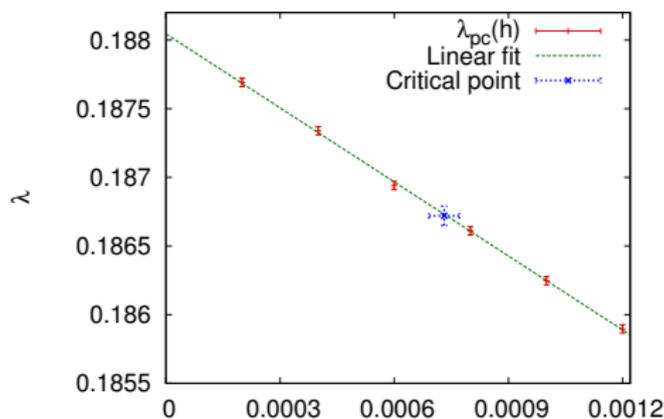
→ Cold, dense matter

# Deconfinement transition: $\mu = 0$

- Use the leading order effective theory and  $\bar{h}_1 = h_1$

$$Z = \int [dW] \prod_i \det \left[ 1 + h_1 W_i \right]^2 \left[ 1 + h_1 W_i^\dagger \right]^2 \prod_{\langle ij \rangle} \left[ 1 + 2\lambda_1 \text{Re} L_i L_j^* \right]$$

- With increasing  $h_1$ , the transition turns from first order to crossover at a second order endpoint
- Corrections of higher interaction terms negligible



# Deconfinement transition: $\mu = 0$

## Comparison with other approaches

- Comparison with 4d simulations
- Conversion to quark masses via  $\kappa = \frac{1}{2}e^{-aM_q}$

$N_f$	$M_c/T$	$\kappa_c(N_\tau = 4)$	$\kappa_c(4)$ , Ref. [1]	$\kappa_c(4)$ , Ref. [2]
1	7.22(5)	0.0822(11)	0.0783(4)	$\sim 0.08$
2	7.91(5)	0.0691( 9)	0.0658(3)	–
3	8.32(5)	0.0625( 9)	0.0595(3)	–

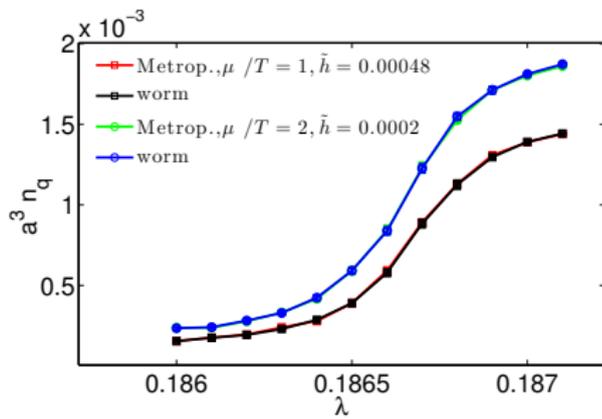
**Table :** Location of the critical point for  $\mu = 0$  and  $N_\tau = 4$ . Existing literature: [1] Saito et al. (2011), [2] Alexandrou et al. (1998)

# Deconfinement transition: $\mu \neq 0$

$$Z = \int [dW] \prod_i \left[ 1 + h_1 L_i \right]^2 \left[ 1 + \bar{h}_1 L_i^* \right]^2 \prod_{\langle ij \rangle} \left[ 1 + 2\lambda_1 \text{Re} L_i L_j^* \right]$$

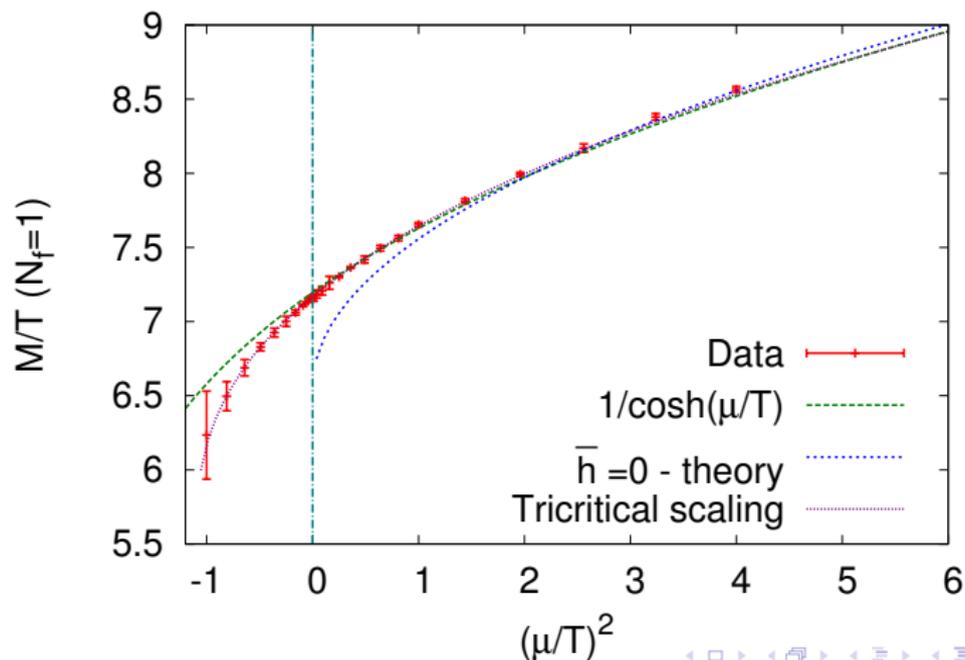
- Metropolis algorithm: Mild sign problem
- Worm algorithm: No sign problem

Comparison of the two algorithms: Quark number density for  $\frac{\mu}{T} = 1; 2$



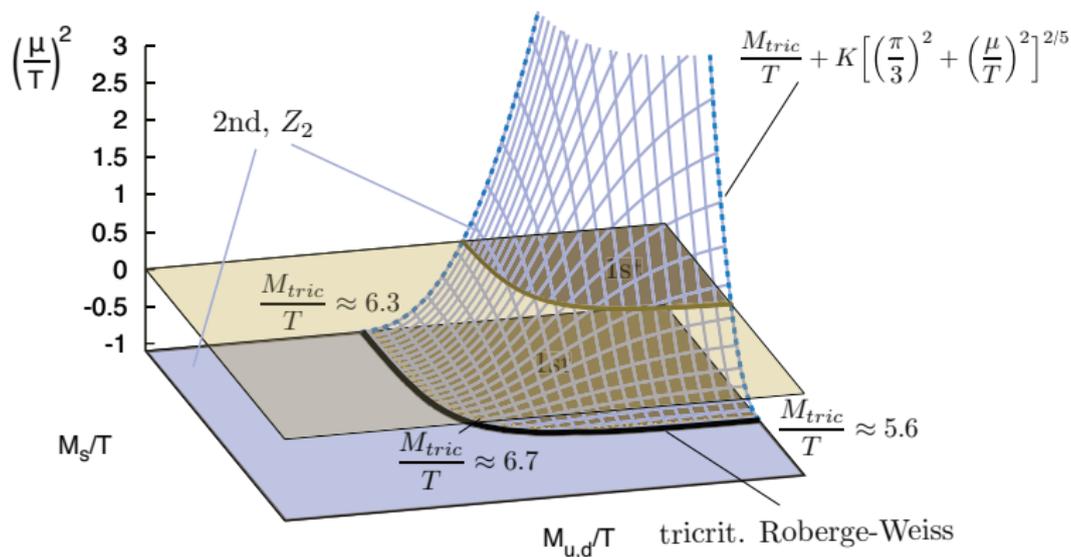
# Deconfinement transition: $\mu \neq 0$

Critical  $\frac{M}{T}$  for all chemical potentials



# Deconfinement transition: $\mu \neq 0$

## 3d columbia plot



# Cold and dense matter

- $T \simeq 0$  is at finite  $a$  realized by large  $N_\tau$

$$\lambda_1(\beta = 5.7, N_\tau = 115) \sim 10^{-27}$$

- $\Rightarrow$  Effective gauge part can be neglected
- Not to be confused with strong coupling limit:  
 $\lambda_1$  is small, not  $\beta$
- Effective theory then reads:

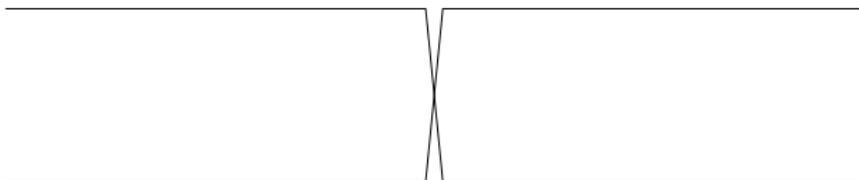
$$Z = \int [dW] \prod_i \det \left[ 1 + h_1 W_i \right]^2 \left[ 1 + \bar{h}_1 W_i^\dagger \right]^2$$

- No interactions, single-site problem: Can be solved analytically

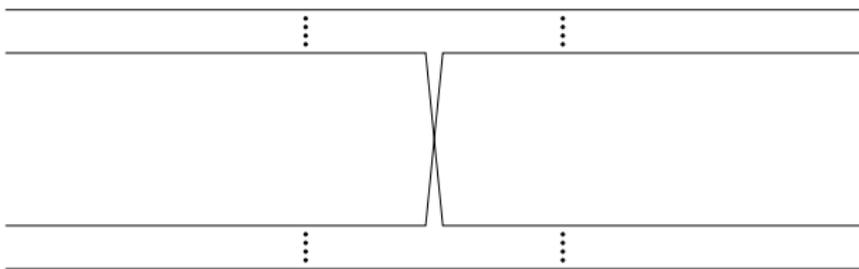
# Cold and dense matter

## Interactions

- Leading interaction term:



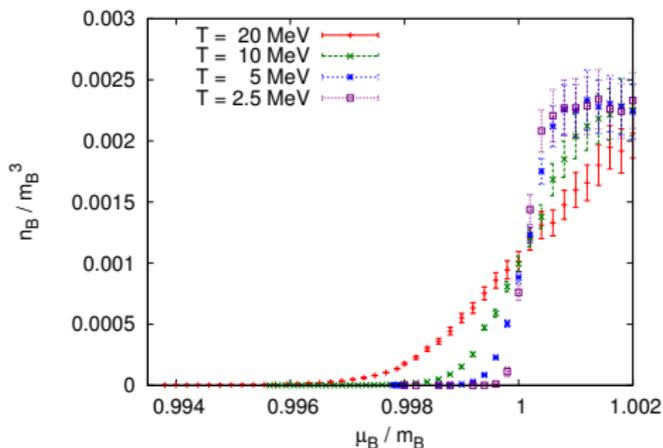
- This graph alone spoils baryon saturation at large densities:  
Need to resum all winding numbers



# Cold and dense matter

## Results:

- Transition to nuclear matter:



- Not yet clear, if this happens at  $T = 0$  or  $T > 0$  (as in nature)
- Binding energy exponentially suppressed with pion mass ( $\sim 20\text{GeV}$  in our truncation)

## Recent developments:

- Measure effective couplings nonperturbatively
- Ansatz for full effective action after spatial link integration:

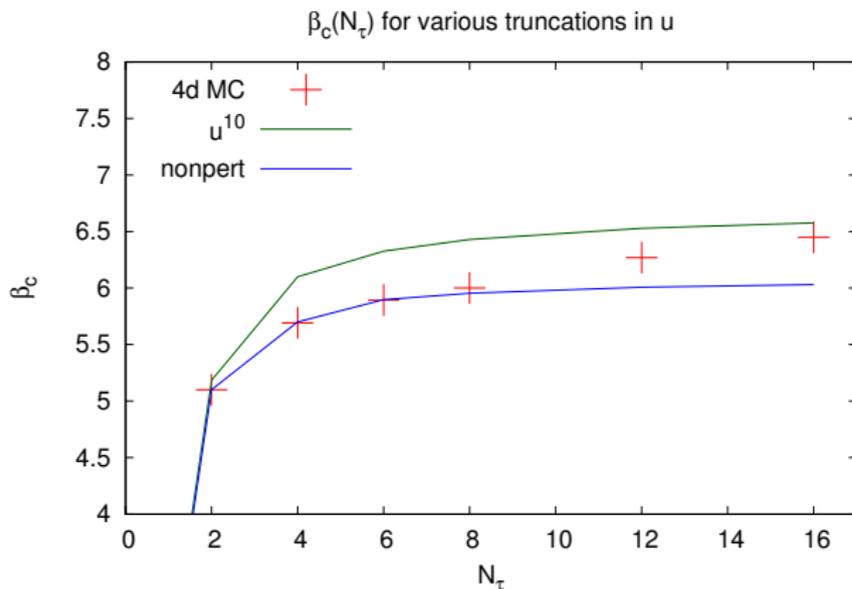
$$\begin{aligned}
 e^{S_{\text{eff}}} &= \sum_{\{r_i\}} c(\{r_i\}) \prod_i \chi_{r_i}(W_i) \\
 &= c(\{0\}) \left[ 1 + \sum_{\{r_i\}} \lambda(\{r_i\}) \prod_i \chi_{r_i}(W_i) \right]
 \end{aligned}$$

- Using character orthogonality  $\lambda_i$  are obtainable, e.g

$$\begin{aligned}
 c(\{0\}) &= \int [dW] e^{S_{\text{eff}}} = \int [dW][dU_i] e^S = Z \\
 \lambda_1 &= \frac{1}{Z} \int [dW] e^{S_{\text{eff}}} \chi_f(W_i) \chi_{\bar{f}}(W_j) = \langle L_i L_j^* \rangle
 \end{aligned}$$

# Recent developments:

- Neglect higher order interaction terms
- Goal: Reduce remaining uncertainty for  $SU(3)$



# Conclusions

- Constructed effective theory with much milder sign problem
- In good agreement with full simulations, where comparison is possible (heavy quarks)
- Gauge part seems to be under control especially with nonperturbatively extracted effective couplings
- Fermionic sector more complicated (as always) due to the 4d simulations involved
- Main advantage: Dependence of the couplings on chemical potential is trivial, determination at  $\mu = 0$  suffices

# Thank you for your attention

# Backup slides

# Gauge corrections

Corrections to the leading coupling  $\lambda_1$

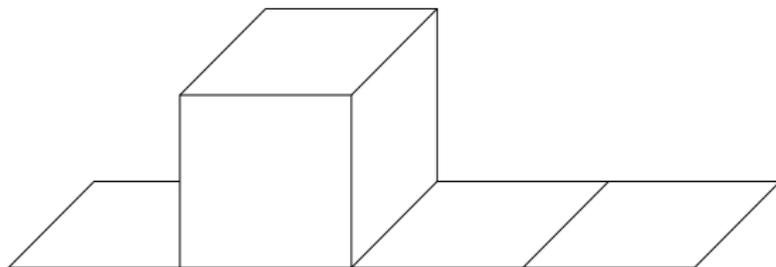
- Essence: Starting with leading order graph and attach an increasing number of plaquettes
- Example  $\sim u^{N_\tau}$



# Gauge corrections

Corrections to the leading coupling  $\lambda_1$

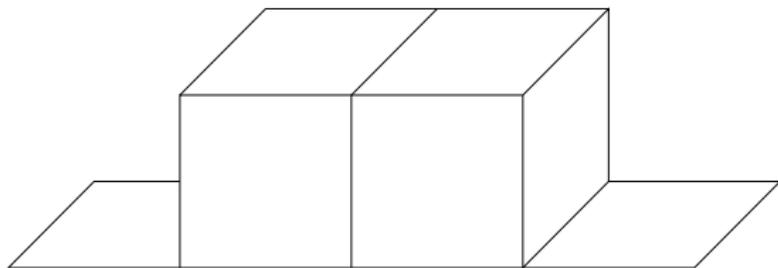
- Essence: Starting with leading order graph and attach an increasing number of plaquettes
- Example  $\sim N_\tau u^{N_\tau+4}$



# Gauge corrections

Corrections to the leading coupling  $\lambda_1$

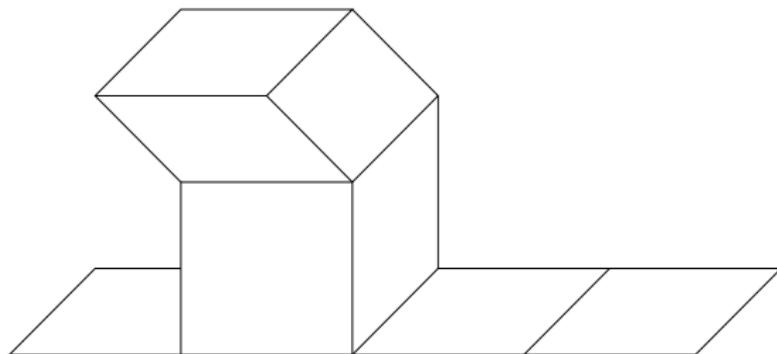
- Essence: Starting with leading order graph and attach an increasing number of plaquettes
- Example  $\sim N_\tau u^{N_\tau+6}$



# Gauge corrections

Corrections to the leading coupling  $\lambda_1$

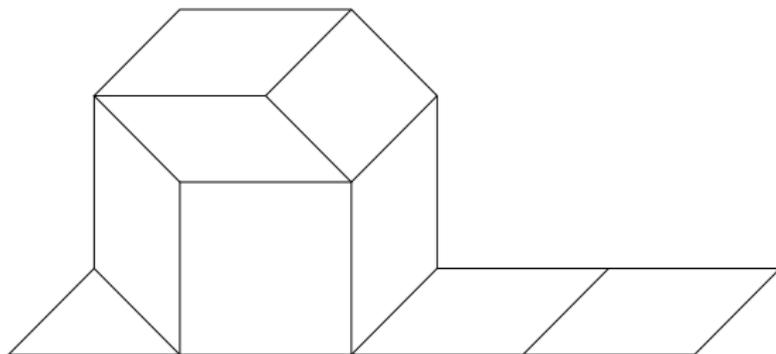
- Essence: Starting with leading order graph and attach an increasing number of plaquettes
- Example  $\sim N_\tau u^{N_\tau+8}$



# Gauge corrections

Corrections to the leading coupling  $\lambda_1$

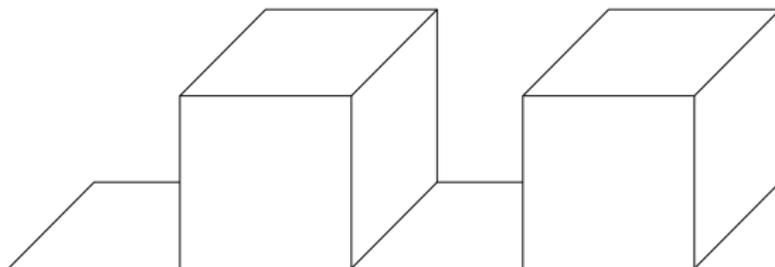
- Essence: Starting with leading order graph and attach an increasing number of plaquettes
- Example  $\sim N_\tau u^{N_\tau+10}$



# Gauge corrections

Corrections to the leading coupling  $\lambda_1$

- Essence: Starting with leading order graph and attach an increasing number of plaquettes
- Example  $\sim \frac{1}{2} N_\tau^2 u^{N_\tau+8}$



# Gauge corrections

Corrections to the leading coupling  $\lambda_1$

- Repetitions of these decorations exponentiate

$$\lambda_1(u, N_\tau) = u^{N_\tau} \exp \left[ N_\tau \left( P_{N_\tau}(u) \right) \right]$$

- E.g.  $SU(2)$  up to  $\mathcal{O}(u^{12})$  and  $N_\tau \geq 6$ :

$$P(u) = 4u^4 - 4u^6 + \frac{140}{3}u^8 - \frac{37664}{405}u^{10} + \frac{863524}{1215}u^{12}$$

- $P(u, N_\tau < 6)$  also known to this order