

# Thermalization of Hawking Radiation in $AdS_5$

Derek Teaney

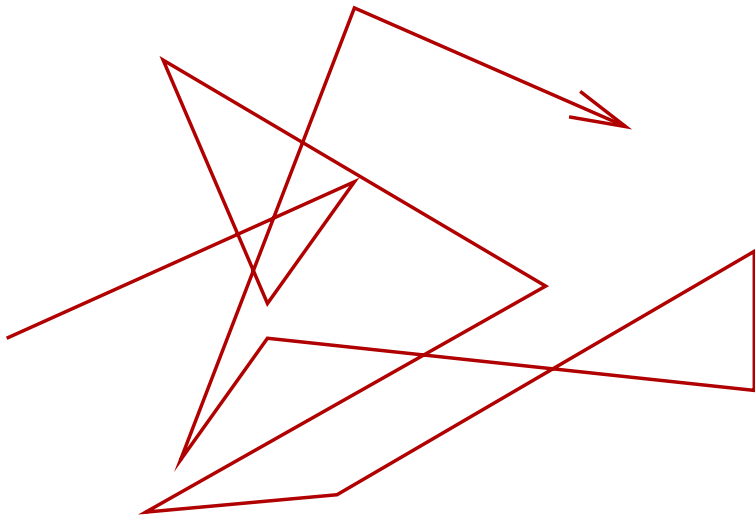
SUNY Stonybrook and RBRC Fellow



- Dam T. Son, DT; JHEP. [arXiv:0901.2338](https://arxiv.org/abs/0901.2338)
- Simon Caron-Huot, DT, Paul Chesler; [arXiv:1102.1073](https://arxiv.org/abs/1102.1073)

## Brownian Motion and Equilibrium

$$M \frac{d^2 \mathbf{x}}{dt^2} = \underbrace{-\eta \dot{\mathbf{x}}}_{\text{Drag}} + \underbrace{\xi}_{\text{Noise}}$$



“Artist’s” conception  
of Brownian Motion

1. Equilibrium is a state constant fluctuations
2. Equilibrium is a perpetual competition between drag and noise

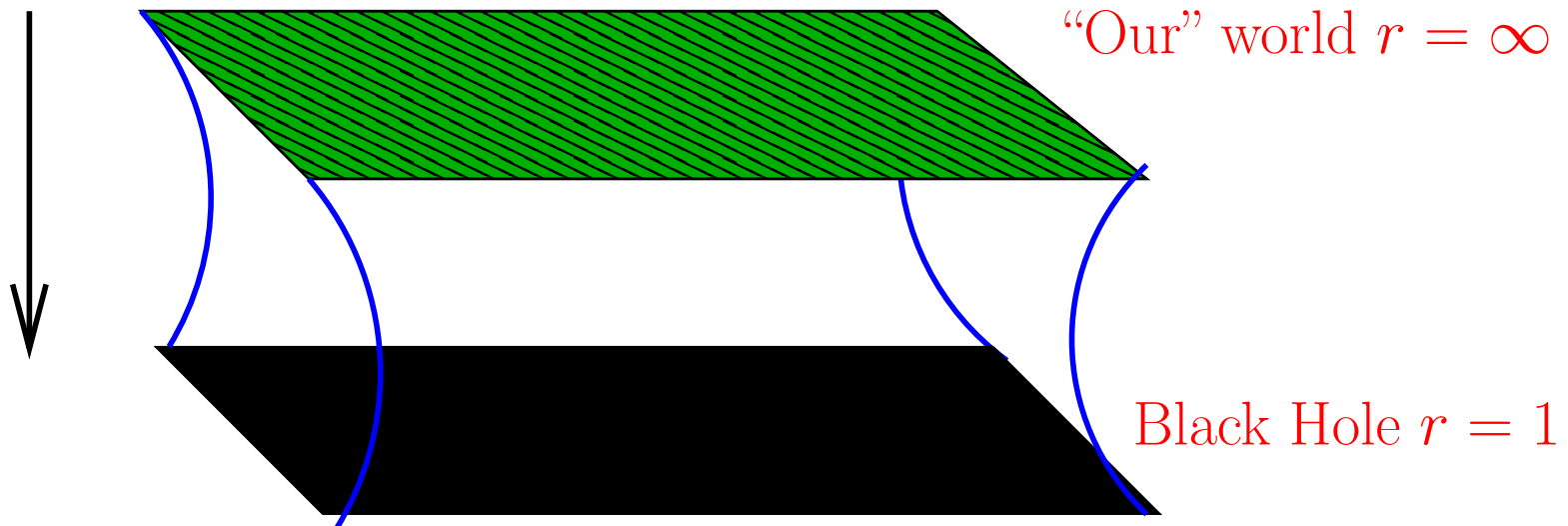
$$\langle \xi(t) \xi(t') \rangle = 2T\eta \delta(t - t') \quad \text{to reach equilibrium} \quad P(\mathbf{p}) \propto e^{-\frac{\mathbf{p}^2}{2MT}}$$

## AdS/CFT

- Classical solutions in curved spacetime = CFT for nonzero temperature

$$ds^2 = (\pi T)^2 r^2 \left[ -f(r) dt^2 + dx^2 \right] + \frac{dr^2}{r^2 f(r)} \quad f(r) = 1 - \frac{1}{r^4}$$

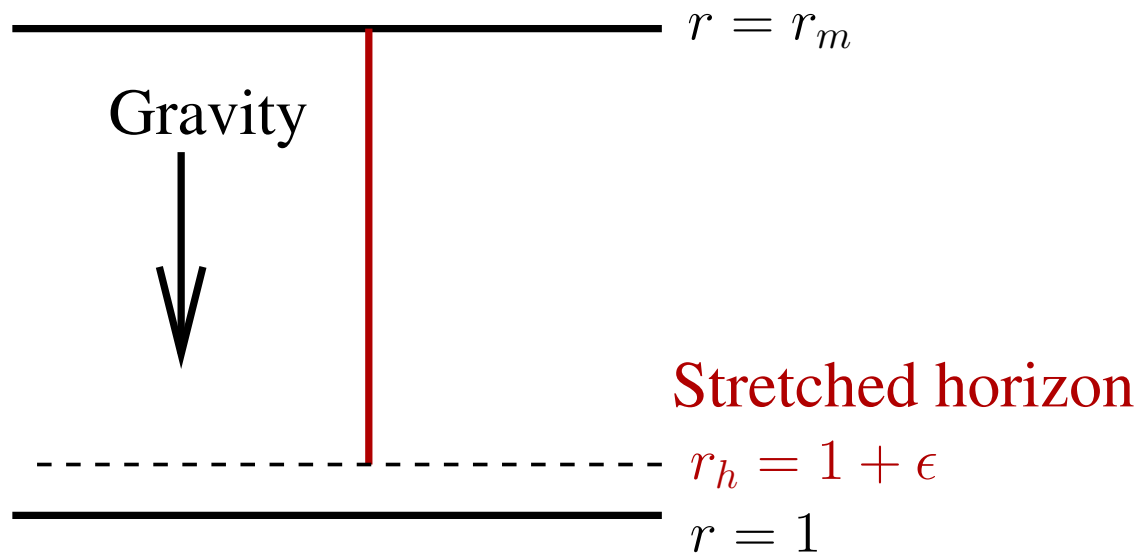
Gravity



How can a static metric be dual to equilibrium=constant fluctuations ?

## A heavy quark in AdS/CFT

- Solve classical string (Nambu-Goto) EOM and find:



Not the dual of an equilibrated quark!

## Dissipation in classical black hole dynamics

Herzog et al; DT J. Casalderrey-Solana; Gubser

$$M \frac{d^2 x^o}{dt^2} = \underbrace{-\eta}_{\text{Drag}} \dot{x}^o$$

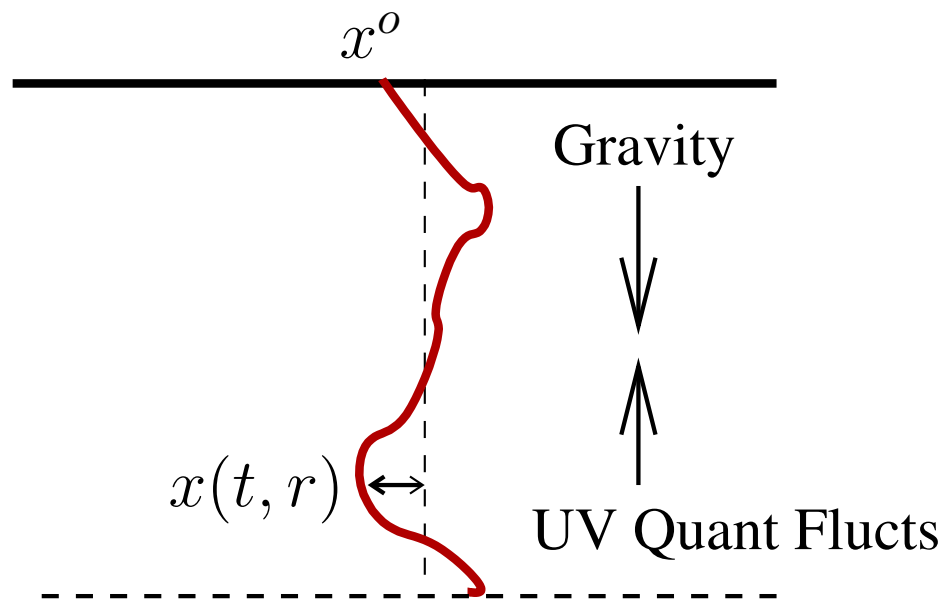
$$\eta = \frac{\sqrt{\lambda}}{2\pi} g_{xx}(r_h) = \frac{\sqrt{\lambda}}{2\pi} (\pi T)^2$$

Coupling of string to near horizon metric

Classical dissipation determines drag

## Detailed Balance and Hawking Radiation:

$$M \frac{d^2 x^o}{dt^2} = \underbrace{-\eta \dot{x}^o}_{\text{Drag}} + \underbrace{\xi}_{\text{Noise}}$$

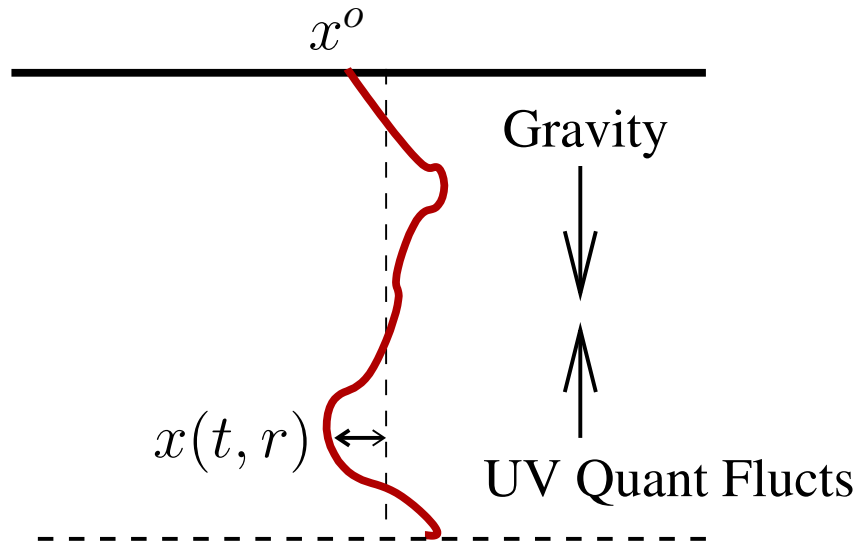


Evolves to Classical  
Prob. Dist ?:

$$P[x, \pi_x] \propto e^{-\beta H[x, \pi_x]}$$

Hawking Radiation Balanced by Gravity?

## Detailed Balance and Hawking Radiation (Technical Discussion)



1. Fluctuations:

$$G_{rr} \equiv \frac{1}{2} \langle \{ \hat{x}(t_1, r_1), \hat{x}(t_2, r_2) \} \rangle ,$$

2. Dissipation (Spectral Density)

$$\rho_{ra-ar} \equiv \langle [ \hat{x}(t_1, r_1), \hat{x}(t_2, r_2) ] \rangle .$$

• Equilibrium  $\equiv$  Fluctuation Dissipation Theorem

$$G_{rr}(\omega, r_1, r_2) = \left( \frac{1}{2} + n_B(\omega) \right) \rho_{ra-ar}(\omega, r_1, r_2) \quad n(\omega) \equiv \frac{1}{e^{\omega/T} - 1}$$

Establish in FDT with Hawking Radiation ? Non-equilibrium ?

## Outline

1. Give a different derivation of Hawking radiation
  - Similar to 2PI formalism (collisions not needed)
2. Show how Hawking radiation gives Brownian motion in 5D.
3. Study non-equilibrium and how FDT is established
4. Unusual features of thermalization in AdS



## Hawking Radiation

1. Fluctuations
2. Dissipation

## Formulas

- Action for string fluctuations,  $h^{\mu\nu}$  = string metric

$$S = \frac{\sqrt{\lambda}}{2\pi} \int dt dr g_{xx} \left[ -\frac{1}{2} \sqrt{h} h^{\mu\nu} \partial_\mu x \partial_\nu x \right],$$

- $h^{\mu\nu}$  is the string metric

$$h_{\mu\nu} d\sigma^\mu d\sigma^\nu = -(\pi T)^2 r^2 f(r) dt^2 + \frac{dr^2}{f(r)r^2},$$

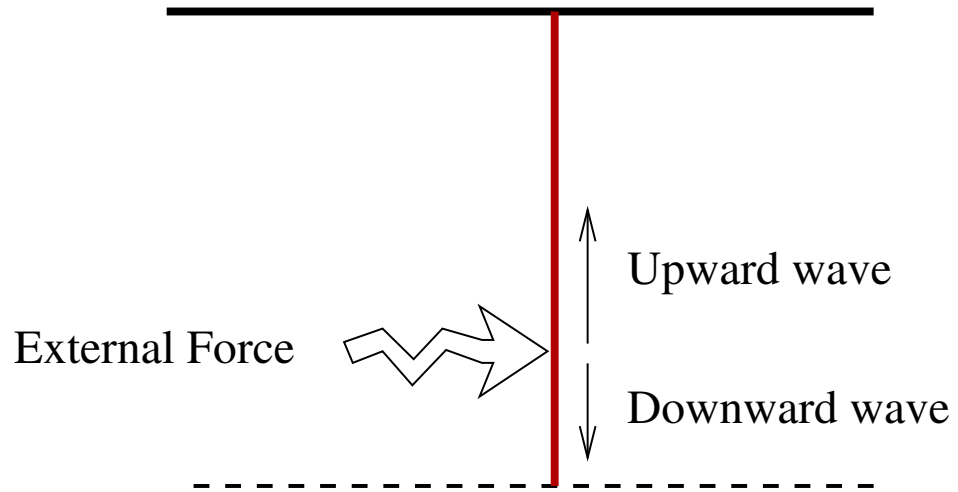
- Retarded Green Function

$$iG_{ra}(t_1 r_1 | t_2 r_2) \equiv \theta(t - t') \langle [\hat{x}(t_1, r_1), \hat{x}(t_2, r_2)] \rangle,$$

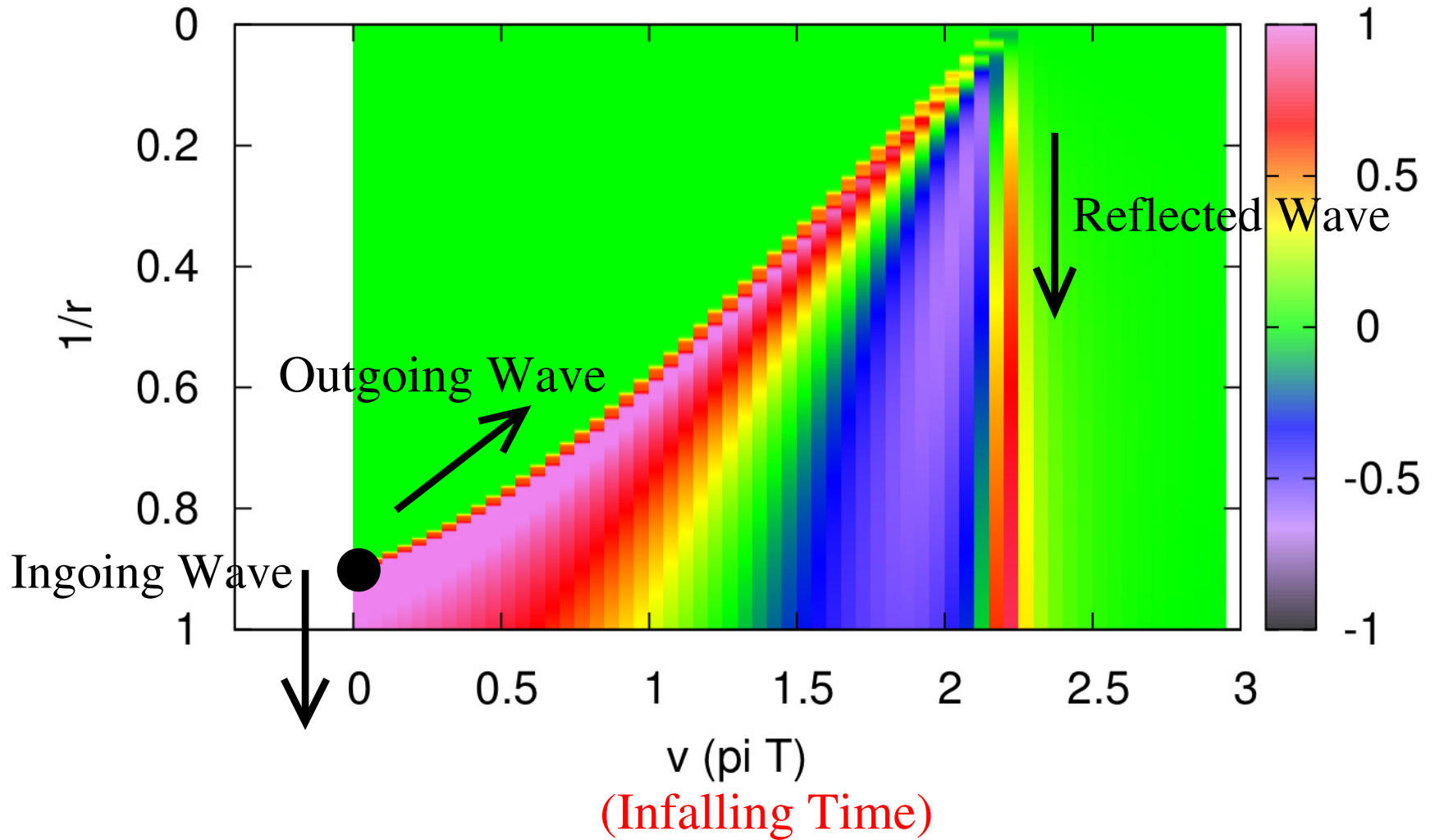
$G_{ra}(t_1 r_1 | t_2 r_2)$  is the classical response to a force at  $t_2 r_2$

$$\frac{\sqrt{\lambda}}{2\pi} \left[ \partial_\mu g_{xx} \sqrt{h} h^{\mu\nu} \partial_\nu \right] G_{ra}(t_1 r_1 | t_2 r_2) = \delta(t_1 - t_2) \delta(r_1 - r_2),$$

## Classical Green Function (Typical of AdS)

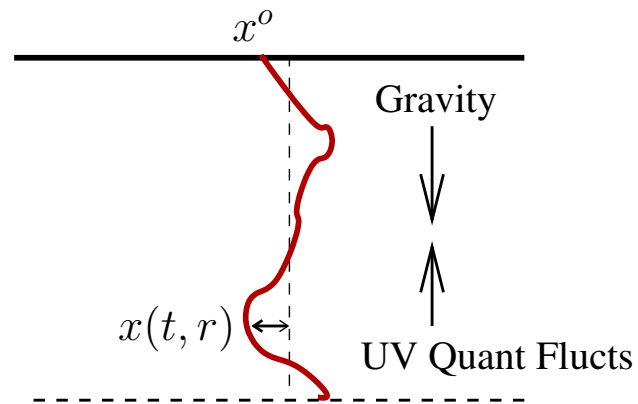


## Retarded Response function



$$v = t - \frac{1}{2\pi T} \left[ \tan^{-1}(r) + \tanh^{-1}(r) \right] \quad v = \text{Eddington time}$$

## Statistical Correlator



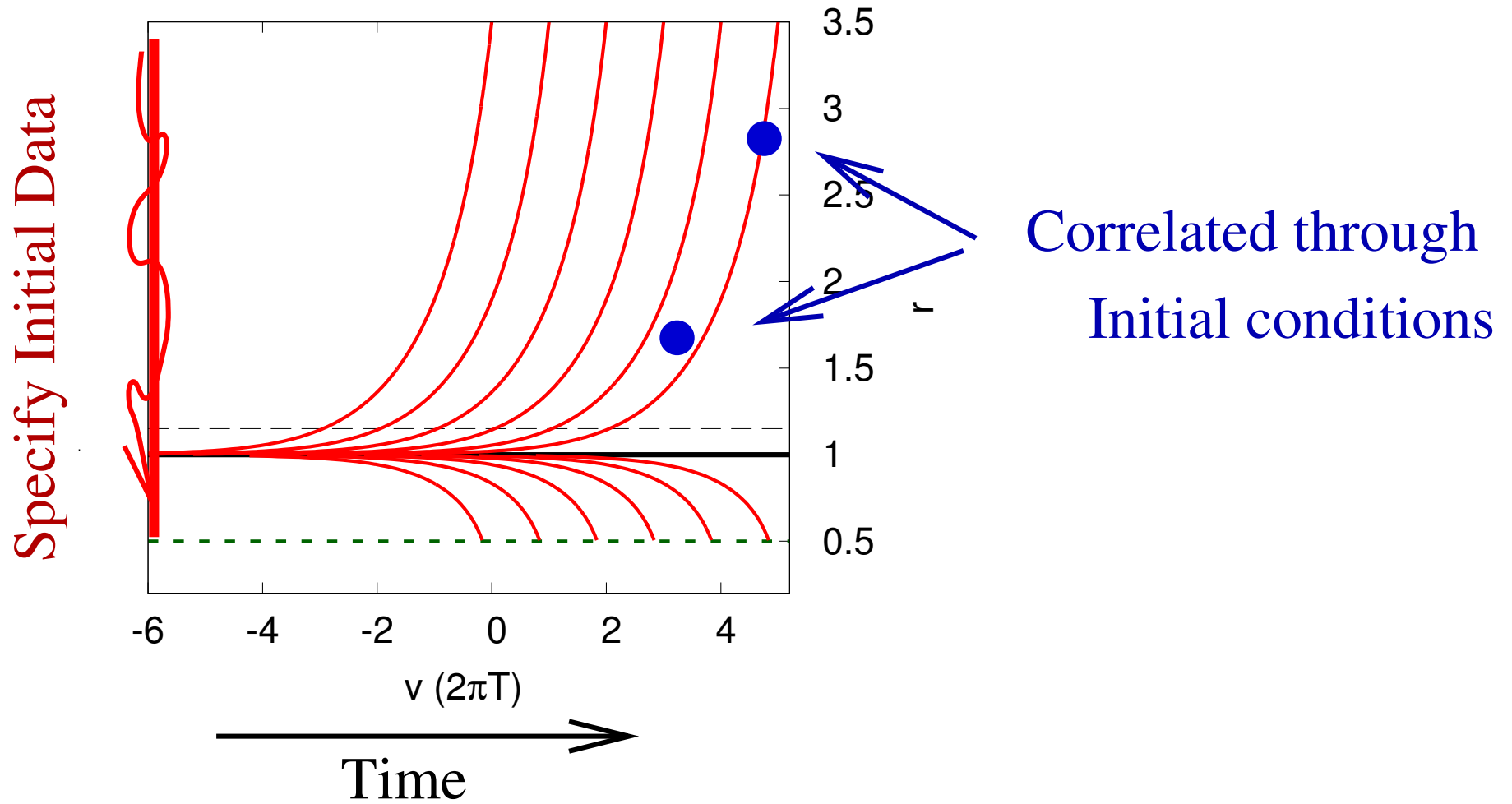
$$G_{rr} = \frac{1}{2} \langle \{x(t_1, r_1), x(t_2, r_2)\} \rangle$$

- The statistical correlator obeys the homogeneous EOM

$$\frac{\sqrt{\lambda}}{2\pi} \left[ \partial_\mu g_{xx} \sqrt{h} h^{\mu\nu} \partial_\nu \right] G_{rr}(t_1 r_1 | t_2 r_2) = 0$$

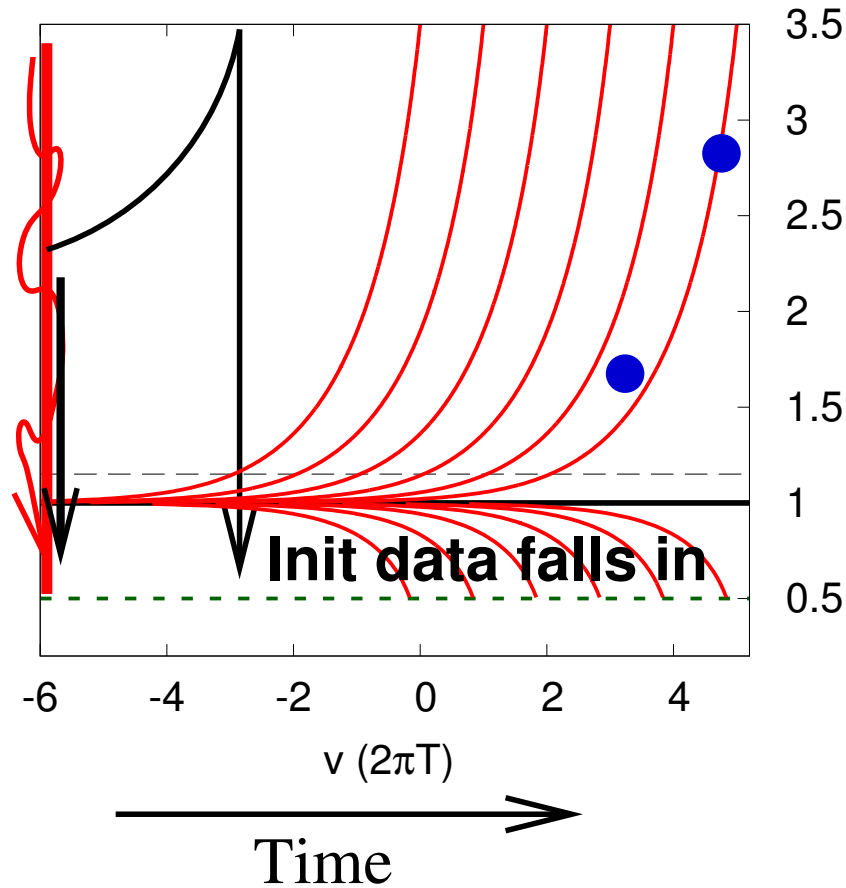
- So:
  1. Specify the correlations (density matrix) in the past
  2. Final state fluctuations are correlated only through initial conditions

# Correlations through Initial conditions



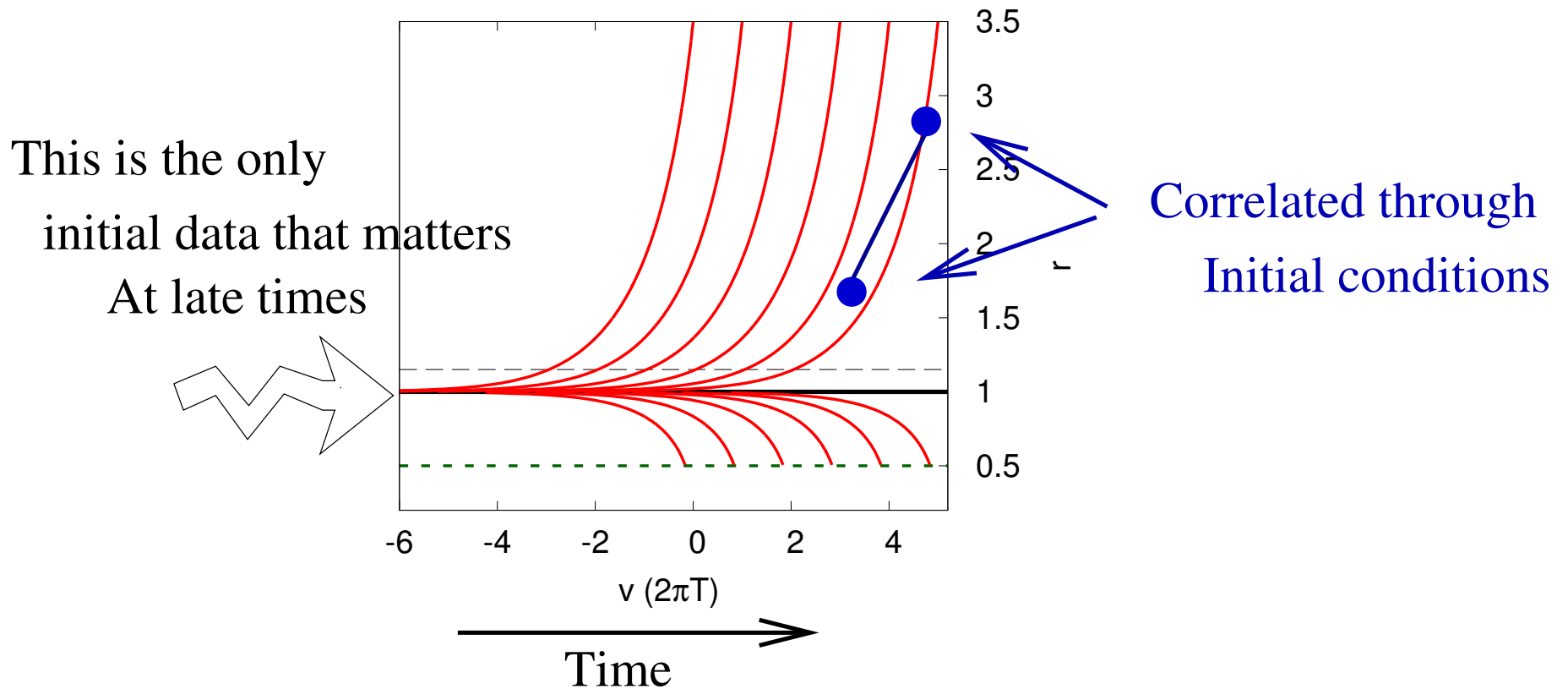
## Correlations through Initial conditions

Consider Init  
Data Here



Points uncorrelated  
by this Init data

## Correlations through Initial conditions

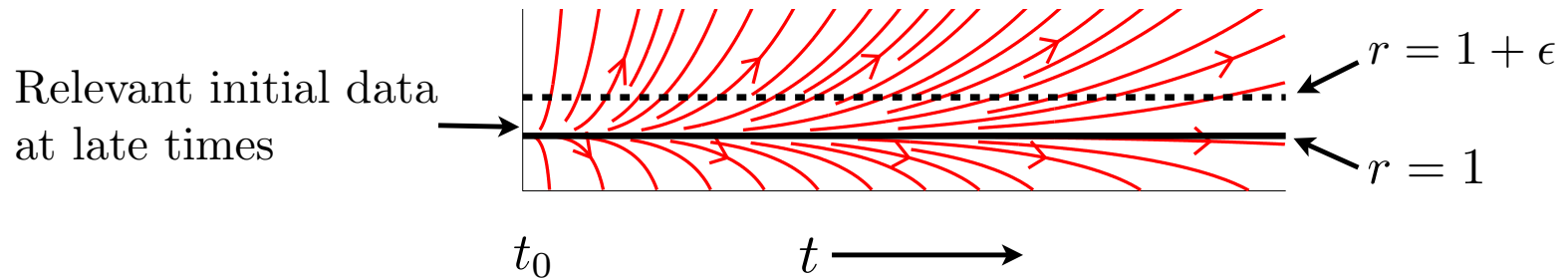


1. Final correlation come from correlated initial data near horizon
2. Initial data is inflated by near horizon geometry



## Initial Data from Quantum Fluctuations

1. Initial data is determined at short distance = Flat Space Physics



2. Scalar Field in 1+1D flat space

$$\frac{1}{2} \langle \{ \phi(X_1), \phi(X_2) \} \rangle = -\frac{1}{4\pi K} \log |\mu \eta_{\mu\nu} \Delta X^\mu \Delta X^\nu| \quad K = \text{norm of action}$$

3. String flucfs in near horizon geometry

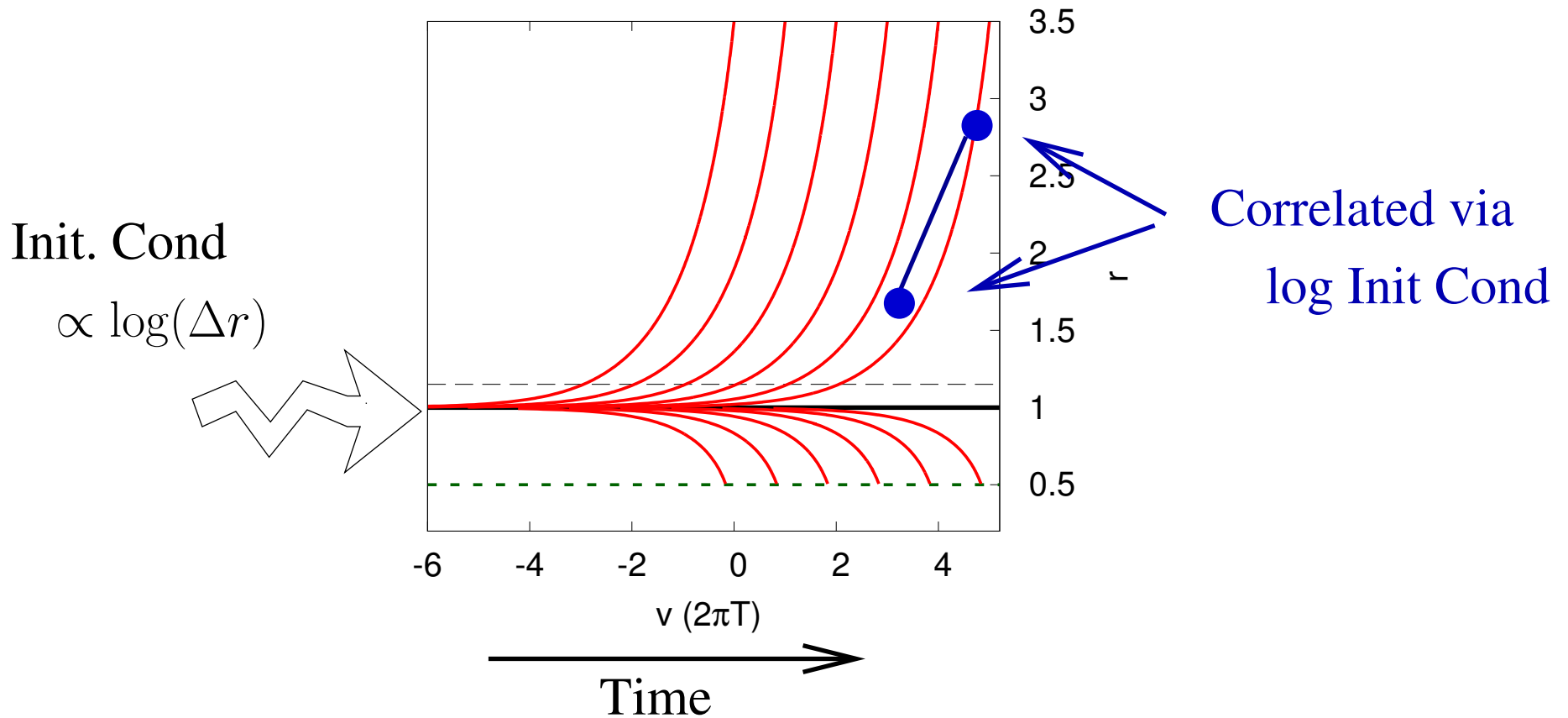
$$S^{\text{near-horizon}} = \eta \int dt dr \left[ -\frac{1}{2} \sqrt{h} h^{\mu\nu} \partial_\mu x \partial_\nu x \right] \quad \eta = \text{Drag Coefficient}$$

The near horizon initial condition is

$$G_{rr}(v_1 r_1 | v_2 r_2) \rightarrow -\frac{1}{4\pi\eta} \log \left| \mu \overbrace{2\Delta v \Delta r}^{\text{local } \Delta s^2} \right|$$

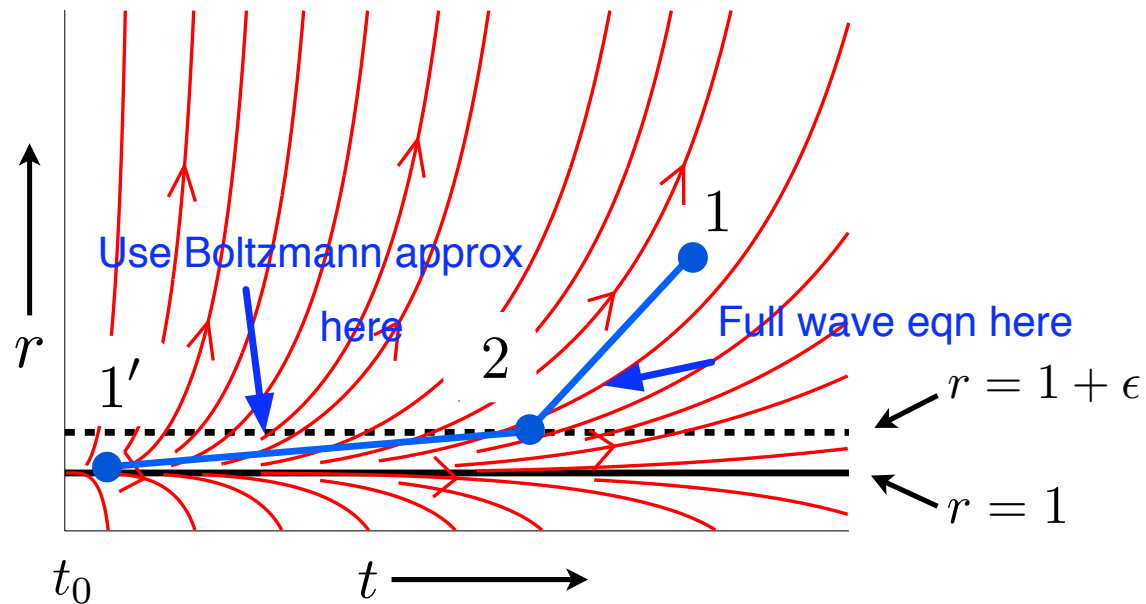
## Summary: Specify IC and Solve Equations of Motion

$$\frac{\sqrt{\lambda}}{2\pi} \left[ \partial_\mu g_{xx} \sqrt{h} h^{\mu\nu} \partial_\nu \right] G_{rr}(t_1 r_1 | t_2 r_2) = 0$$



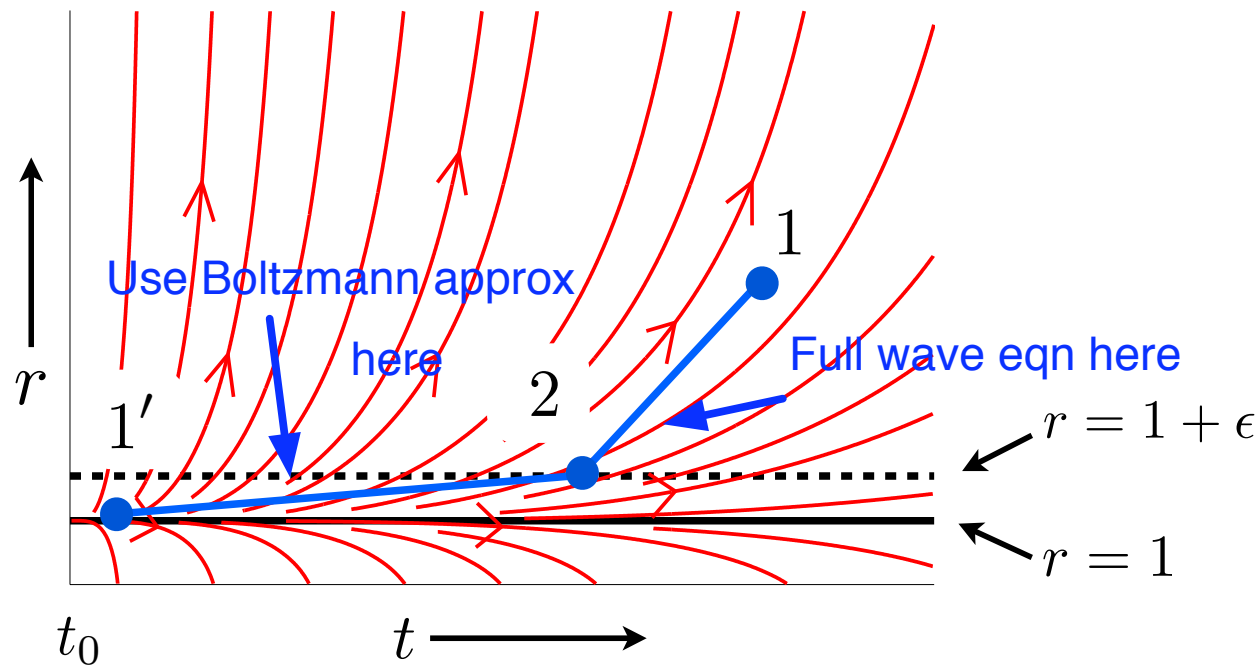
Logarithmic initial data is inflated by near horizon geometry

From initial data to final correlations in two steps:



- (a) From horizon to stretched horizon – Waves are very short wavelength
  - Use collisionless Boltzmann approximation (geodesic/WKB/eikonal approx)
- (b) The stretched horizon to boundary – Waves are longer wavelength
  - Use full wave equation

Two step evolution:

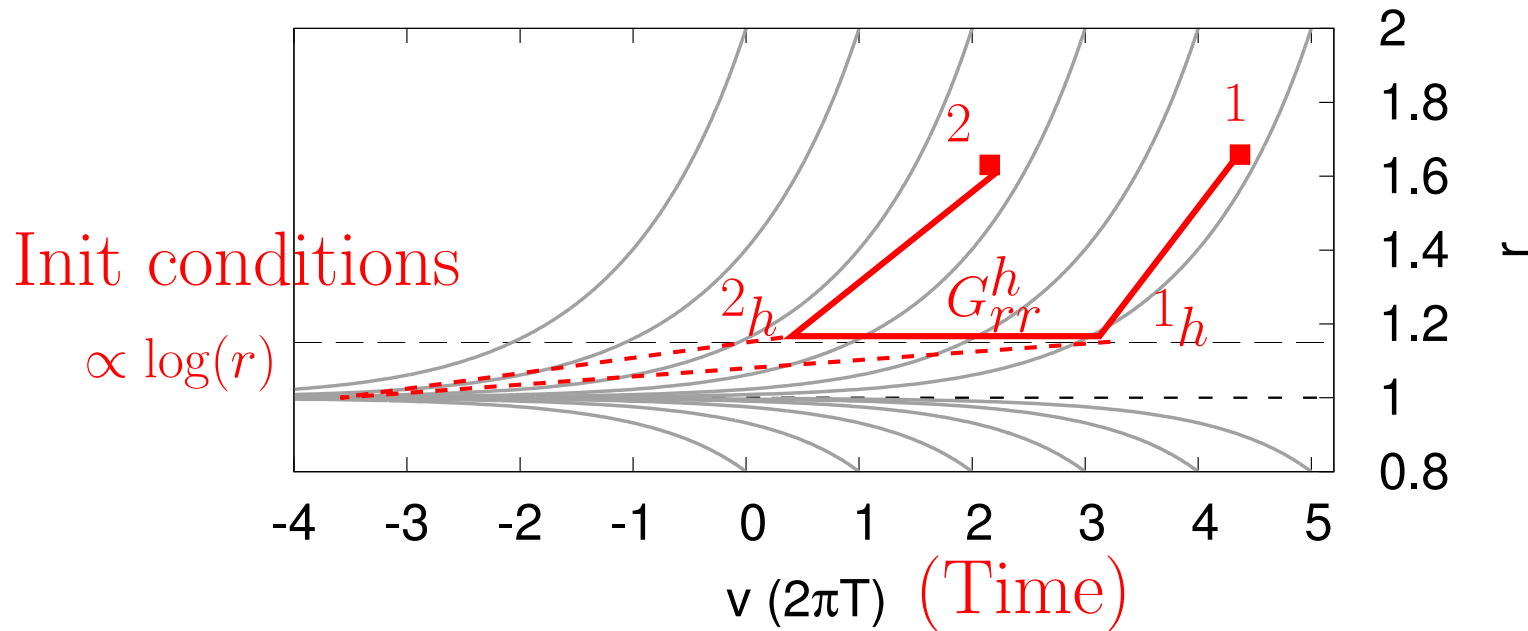


Study the Wronskian find a Green Fcn Composition Rule

$$G_{ra}(1|1') = \int dt_2 \underbrace{G_{ra}(1|2)}_{\text{response to Force at 2}} \times \underbrace{\left[ \eta \sqrt{h} h^{rr}(r_2) \partial_{r_2} \right]_{r_2=r_h} G_{ra}(2|1')}_{\text{Force at 2 from 1'}}$$

## Fluctuations from Equations of Motion

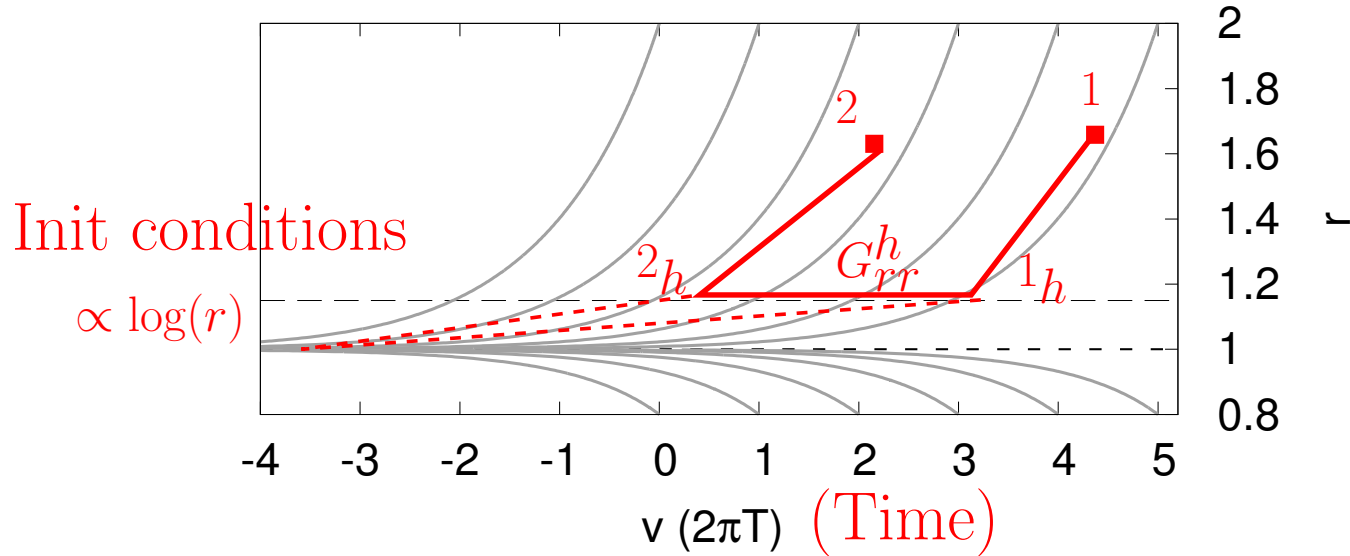
$$\underbrace{G_{rr}(1|2)}_{\text{bulk fluc}} = \int dt_{1h} dt_{2h} \underbrace{G_R(1|1_h) G_R(2|2_h)}_{\text{outgoing Green fcn}} \underbrace{G_{rr}^h(1_h|2_h)}_{\text{horizon fluc}},$$



Where the horizon force-force correl. summarizes UV vacuum fluc in past

$$\begin{aligned} G_{rr}^h(t_1|t_2) &= \text{Blow-up of initial data } \propto \log(r) \\ &= -\frac{\eta}{\pi} \partial_{t_1} \partial_{t_2} \log |1 - e^{-2\pi T(t_1 - t_2)}|. \end{aligned}$$

## The horizon fluctuations and the Lyapunov exponent



### 1. Thermal looking:

$$G_{rr}^h(\omega) = \text{Fourier-Trans of } -\frac{\eta}{\pi} \partial_{t_1} \partial_{t_2} \log |1 - e^{-2\pi T(t_1 - t_2)}|$$

$$= \left(\frac{1}{2} + n(\omega)\right) 2\omega\eta \quad n(\omega) \equiv \frac{1}{e^{\omega/T} - 1}$$

### 2. Temperature $\propto$ inflation rate

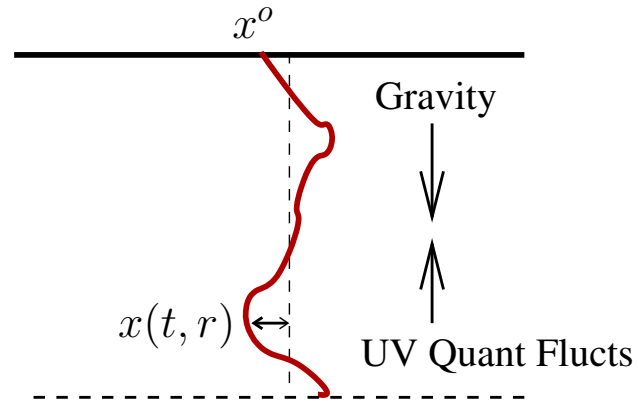
$$2\pi T = \text{Lyapunov exponent of diverging geodesics}$$

## Hawking Radiation

✓ Fluctuations

1. Dissipation

## Dissipation - Spectral Density



$$\rho_{ra-ar} = \langle [\hat{x}(t_1, r_1), \hat{x}(t_2, r_2)] \rangle$$

- The spectral density also obeys the EOM

$$\frac{\sqrt{\lambda}}{2\pi} \left[ \partial_\mu g_{xx} \sqrt{h} h^{\mu\nu} \partial_\nu \right] \rho_{ra-ar}(t_1 r_1 | t_2 r_2) = 0$$

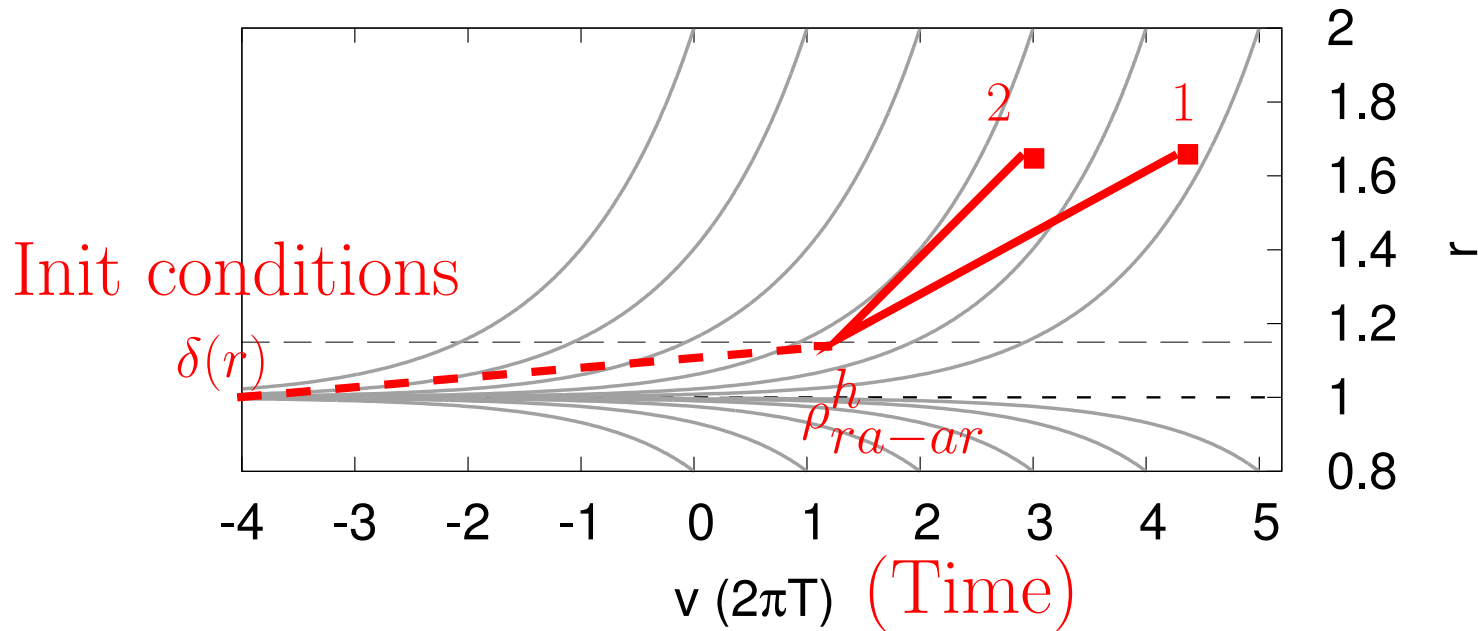
- But initial conditions are given by the canonical commutation relations

$$\eta \sqrt{h} h^{tt}(r_1) \lim_{t_2 \rightarrow t_1} \partial_{t_1} \rho_{ra-ar}(t_1 r_1 | t_2 r_2) = i\delta(r_1 - r_2).$$



## Spectral Density

$$\underbrace{\rho_{ra-ar}(1|2)}_{\text{bulk spectral fcn}} = \int dt_{1h} dt_{2h} \underbrace{G_R(1|1_h) G_R(2|2_h)}_{\text{outgoing Green fcn}} \underbrace{\rho_{ra-ar}^h(1_h|2_h)}_{\text{horizon spectral fcn}},$$



Where the horizon spectral density

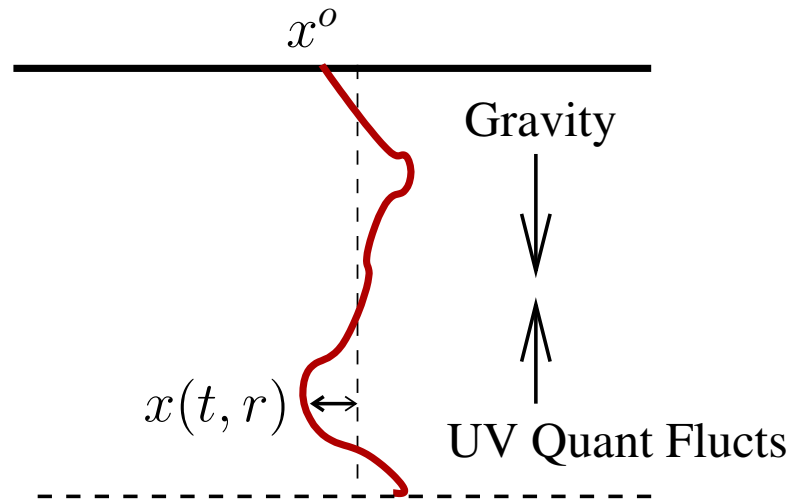
$$\begin{aligned} \rho_{ra-ar}^h(t_1, t_2) &= \text{local due to canonical commutation relations} \\ &= 2\eta \left[ -i\delta'(t_1 - t_2) \right] \quad (2\omega\eta \text{ in Fourier space}) \end{aligned}$$

## Hawking Radiation

- ✓ Fluctuations
- ✓ Dissipation

## Conclusion: Detailed Balance

$$G_{rr}(\omega, r_1, r_2) = \left(\frac{1}{2} + n(\omega)\right) \rho(\omega, r_1, r_2)$$



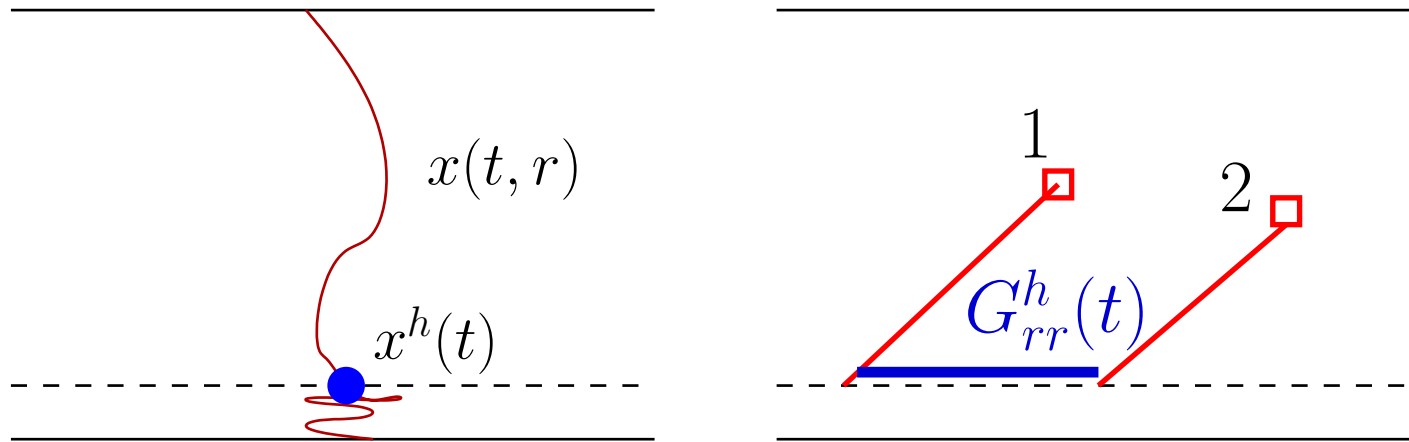
### 1. Dissipation

$$\underbrace{\rho_{ra-ar}(\omega, r_1, r_2)}_{\text{bulk spec dense}} = \underbrace{G_R(\omega, r_1|r_h) G_R(\omega, r_2|r_h)}_{\text{outgoing Green fcn}} \underbrace{2\omega\eta}_{\text{Horizon spec dense}}$$

### 2. Fluctuations

$$\underbrace{G_{rr}(\omega, r_1, r_2)}_{\text{bulk fluc}} = \underbrace{G_R(\omega, r_1|r_h) G_R(\omega, r_2|r_h)}_{\text{outgoing Green fcn}} \underbrace{\left(\frac{1}{2} + n(\omega)\right) 2\omega\eta}_{\text{Horizon-fluc}}$$

## Horizon Effective Action



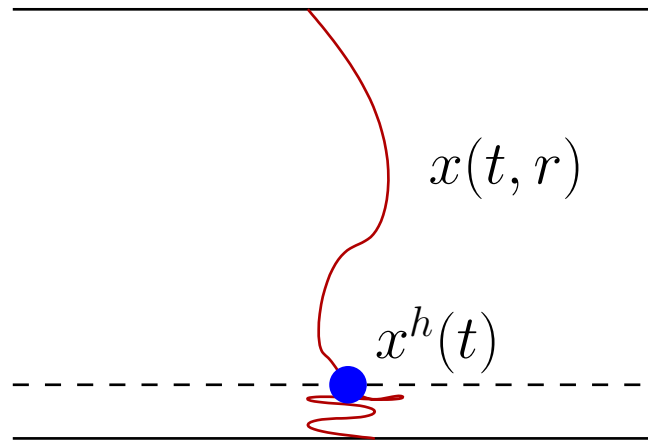
1.  $G_{rr}^h$  and  $G_{ra}^h$  summarize the dynamics for  $r$  below  $r_h$  on  $x^h(t)$

$$G_{rr}^h(t) = \langle x_r^h(t) x_r^h(0) \rangle$$

2. The complete set of these correlators determine a Horizon Effective Action

3. The full action is

$$S = \underbrace{S_{\text{out}}}_{\text{String action for } r > r_h} + \underbrace{S_{\text{eff}}^h}_{\text{Horizon effect action}}$$



$$S_{\text{eff}}^h = - \int_{\omega} x_a^h \left[ \underbrace{-i\omega\eta}_{\text{Horizon dissipation}} \right] x_r^h + \frac{i}{2} \int_{\omega} x_a^h \left[ \underbrace{(1 + 2n)\omega\eta}_{\text{Horizon flcts}} \right] x_a^h$$

The effective action provides a horizon boundary condition

# Classical Boundary Conditions(No fluctuations)

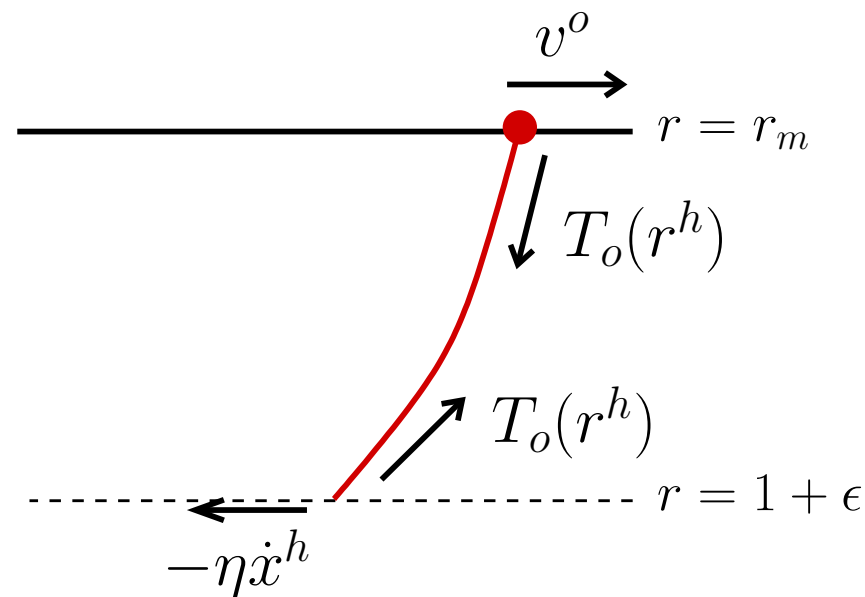
Herzog et al; DT, Casalderrey-Solana; Gubser

- Classical Boundary condition

$$\underbrace{T_o(r_h)}_{\text{Tension}} \partial_r x(t, r_h) = \underbrace{\eta \dot{x}^h(t)}_{\text{Drag}} \quad T_o(r_h) \equiv \frac{\sqrt{\lambda}}{2\pi} g_{xx}(r_h) \sqrt{\bar{h}} h^{rr}$$

$\underbrace{T_o(r_h)}_{\text{Tension}}$

- Tension is opposed by drag and horizon motion is over-damped



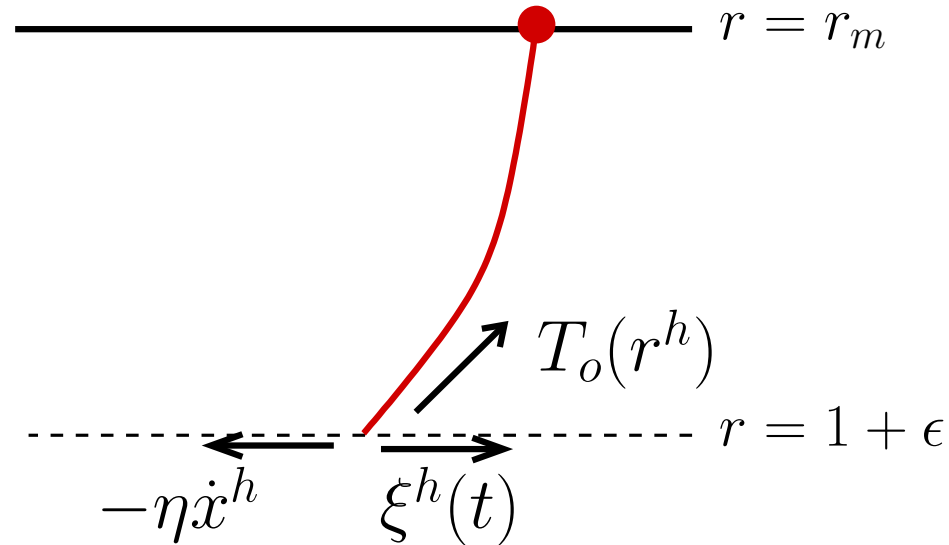
$$F_{\text{quark}} = -\eta v^o$$

## Boundary conditions with fluctuations

- Still overdamped horizon motion with a random horizon force

$$\overbrace{T_o(r_h)\partial_r x(t, r_h)}^{\text{Tension}} + \overbrace{\xi^h(t)}^{\text{Random force}} = \overbrace{\eta \dot{x}^h(t)}^{\text{Drag}}$$

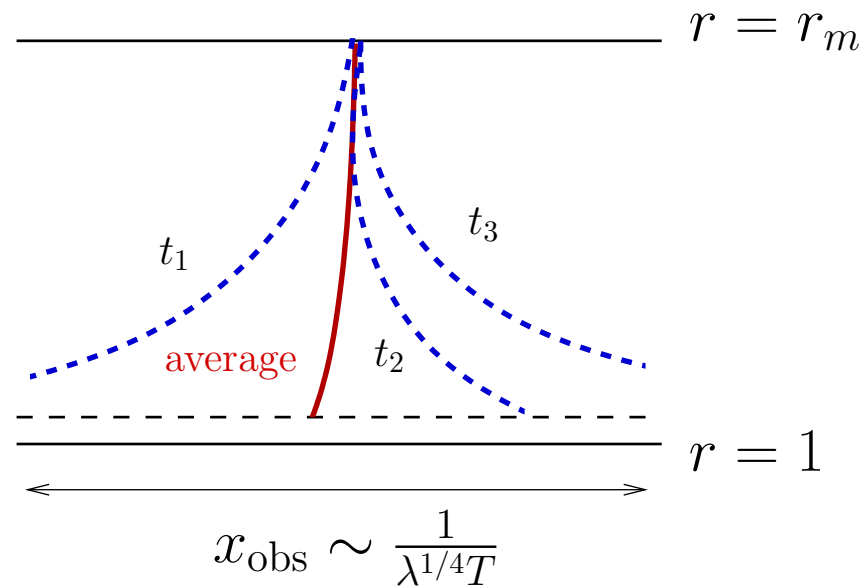
- Picture



- Random horizon force satisfies a horizon fluctuation dissipation theorem

$$\langle \xi^h(t) \xi^h(t') \rangle = 2T\eta \delta(t - t')$$

What happens when noise is included:



1. Every step  $t_1, t_2, t_3$  fluctuates to a new trailing string –  $\rightarrow$  random force
2. The *average* of the trailing strings gives the drag – average string  $\rightarrow$  drag
3. Boundary endpoint satisfies Langevin equation of motion

$$M \frac{d^2 \mathbf{x}^o}{dt^2} = \underbrace{-\eta \dot{\mathbf{x}}^o}_{\text{Drag}} + \underbrace{\xi}_{\text{Noise}}$$



Non-equilibrium

Non-equilibrium:

(Chesler-Yaffe)



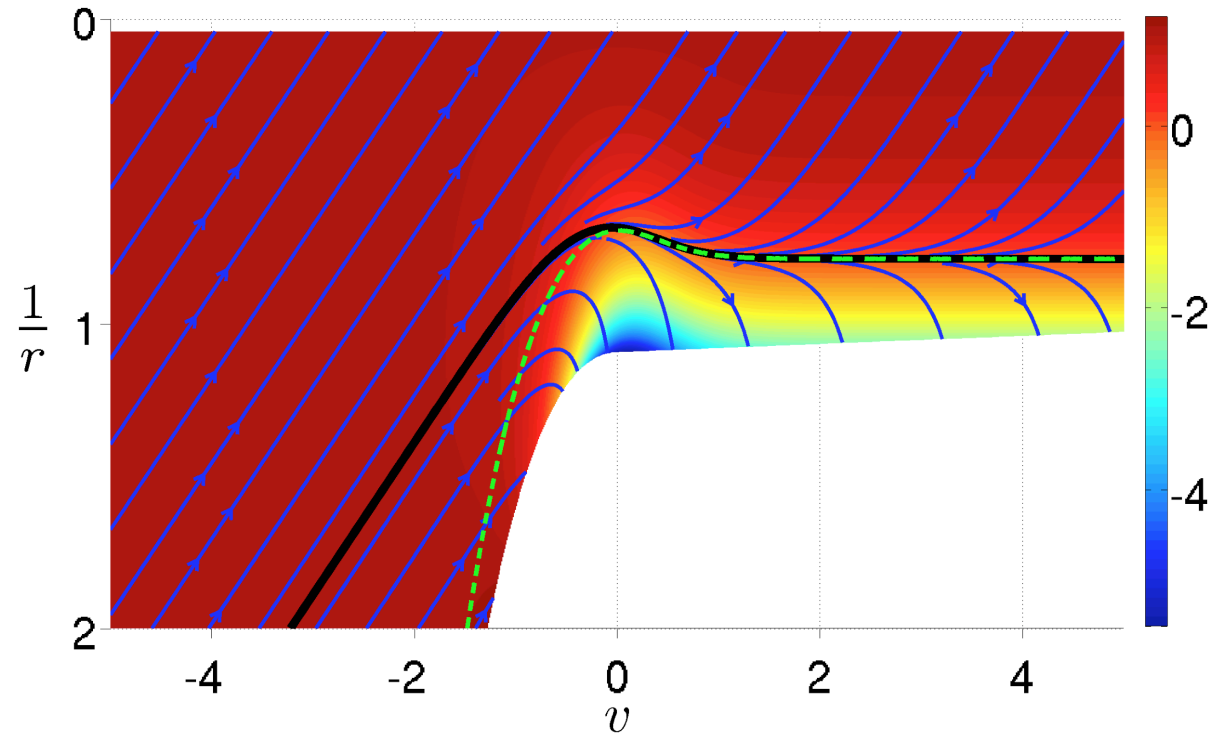
- God&Devil turn on a strong gravitational pulse in “Our” world

$$ds^2 = -dt^2 + e^{B_o(t)} dx_{\perp}^2 + e^{-2B_o(t)} dx_{\parallel}^2$$



# Non-equilibrium

Chesler Yaffe



- Surface Properties

$$\underbrace{2\pi T_{\text{eff}}(v)}_{\text{Lyapunov exponent}} \equiv \frac{\overbrace{\frac{1}{2} \frac{\partial A(r, v)}{\partial r}}^{\text{Metric-coeff}}}{\Big|_{r=r_h(v)}} \quad \underbrace{\eta(v) \equiv \frac{\sqrt{\lambda}}{2\pi} g_{xx}(r_h(v), v)}_{\text{coupling to horizon metric}} .$$

## Results

### 1. Anti-commutator

$$G_{rr}(1|2) = \int dv_{1h} dv_{2h} G_R(1|1_h) G_R(2|2_h) G_{rr}^h(1_h|2_h).$$

where

$$G_{rr}^h(v_1|v_2) = -\frac{\sqrt{\eta(v_1)\eta(v_2)}}{\pi} \partial_{v_1} \partial_{v_2} \log \left| 1 - e^{-\int_{v_1}^{v_2} 2\pi T_{\text{eff}}(v') dv'} \right|.$$

### 2. Commutator – initial conditions from canonical commutation relations

$$\rho(1|2) = \int dv_{1h} dv_{2h} G_R(1|1_h) G_R(2|2_h) \underbrace{\rho^h(v_{1h}|v_{2h})}_{\text{from commutation rel.}},$$

where

$$\rho^h(v_{1h}|v_{2h}) = 2\sqrt{\eta(v_{1h})\eta(v_{2h})} i\delta'(v_1 - v_2).$$

## Equilibration

- Take Wigner transforms of horizon correlator. Dissipation is local

$$\begin{aligned}\rho^h(\bar{v}, \omega) &= \int_{-\infty}^{\infty} d(v_1 - v_2) e^{+i\omega(v_1 - v_2)} \rho^h(v_1, v_2), \\ &= 2\eta(\bar{v}) \omega.\end{aligned}$$

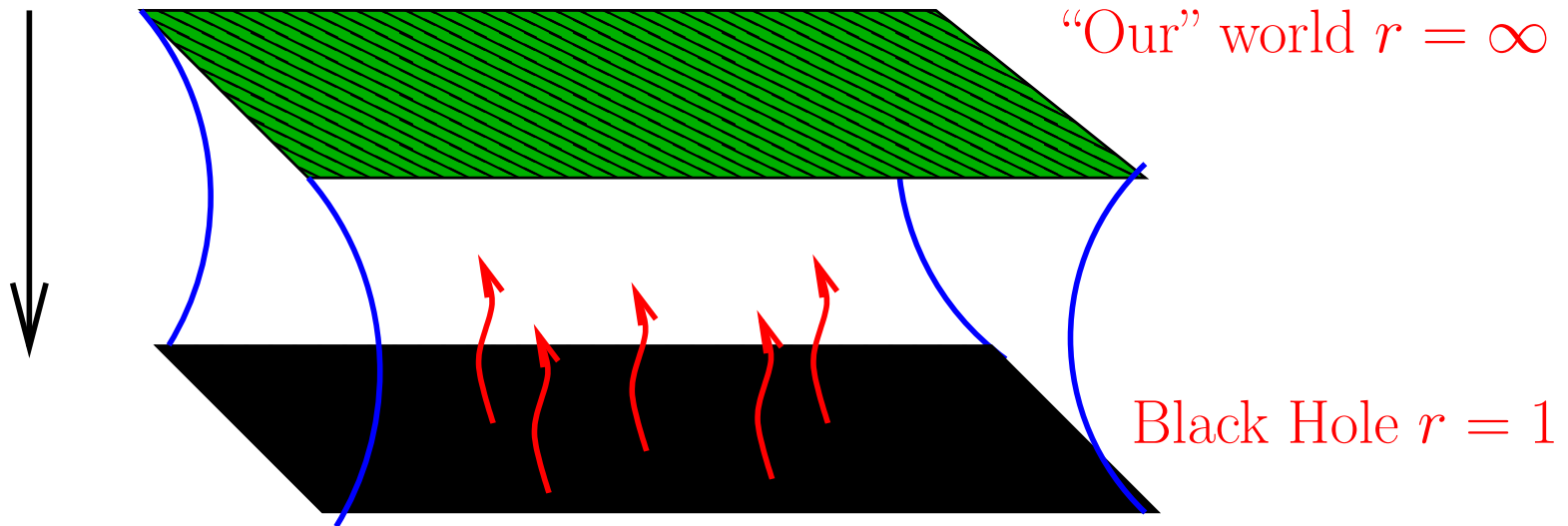
- For a non equilibrium timescale  $\tau$  we have

$$G_{rr}^h(\bar{v}, \omega) \simeq \left( \frac{1}{2} + n(\omega) \right) \rho^h(\bar{v}, \omega) + O\left( \frac{1}{\tau^2 \omega^2} \right).$$

High frequencies are born into equilibrium on the event horizon

Not conclusions, but answer:

Gravity



Gravity pulls down, but quantum fields fluctuate, reaching equilibrium