

- ▶ (Anti-) symmetrization operator: $S_{\pm}|i_1, \dots, i_N\rangle \equiv \frac{1}{\sqrt{N!}} \sum_{P \in S_N} (\pm 1)^P P|i_1, \dots, i_N\rangle$
- ▶ normalized basis states for N identical fermions:

$$\begin{aligned} S_-|i_1, \dots, i_N\rangle &= \frac{1}{\sqrt{N!}} \sum_{P \in S_N} (-1)^P P|i_1, \dots, i_N\rangle \\ &= \frac{1}{\sqrt{N!}} \begin{vmatrix} |i_1\rangle_1 & \dots & |i_1\rangle_N \\ \vdots & & \vdots \\ |i_N\rangle_1 & \dots & |i_N\rangle_N \end{vmatrix} \end{aligned}$$

“Slater determinant”

In particular the Pauli principle holds:

$$S_-|i_1, \dots, i_N\rangle = 0 \text{ if } |i_i\rangle = |i_j\rangle \text{ for any } i \neq j$$

- ▶ example $N = 2$: $S_-|i, j\rangle = \frac{1}{\sqrt{2}}(|i, j\rangle - |j, i\rangle)$



- ▶ normalized basis states for N identical bosons:

$$\frac{1}{\sqrt{n_1!n_2!\dots}} \mathcal{S}_+ |i_1, \dots, i_N\rangle = \frac{1}{\sqrt{N!n_1!n_2!\dots}} \sum_{P \in \mathcal{S}_N} P |i_1, \dots, i_N\rangle$$

- ▶ n_i = number of particles in the single-particle state $|i\rangle$
- ▶ reason for the extra normalization factor:

For n_{j_1} particles in the state $|j_1\rangle$, n_{j_2} particles in the state $|j_2\rangle$, etc., the $N!$ permutations yield only $\frac{N!}{n_{j_1}!n_{j_2}!\dots}$ different states, each with multiplicity $n_{j_1}!n_{j_2}!\dots$

- ▶ example:

$$\begin{aligned} \frac{1}{\sqrt{n_i!n_j!}} \mathcal{S}_+ |i, i, j\rangle &= \frac{1}{\sqrt{2!1!}} \frac{1}{\sqrt{3!}} (2|i, i, j\rangle + 2|i, j, i\rangle + 2|j, i, i\rangle) \\ &= \frac{1}{\sqrt{3}} (|i, i, j\rangle + |i, j, i\rangle + |j, i, i\rangle) \quad \checkmark \end{aligned}$$

4.2 Occupation-number representation

- ▶ N identical particles: only totally (anti-) symmetric states
 - ⇒ basis of product states $\{|i_1, \dots, i_N\rangle\}$
(much) larger than the dimension of the space of allowed states
- take (anti-) symmetrized basis states only
- ▶ **occupation-number representation:** $|n_1, n_2, \dots\rangle$
 - ▶ n_i = number of particles in the single-particle state $|i\rangle$
 - ▶ bosons: $n_i \in \mathbb{N}_0 = \{0, 1, 2, \dots\}$
 - ▶ fermions: $n_i \in \{0, 1\}$
 - ▶ vacuum state: $|0\rangle \equiv |0, 0, 0, \dots\rangle$
 - ▶ single-particle states: $|1, 0, 0, 0, \dots\rangle, |0, 1, 0, 0, \dots\rangle, \dots$
 - ▶ total particle number: $\sum_i n_i = N$



- ▶ **example:** spin- $\frac{1}{2}$ fermions (with no other quantum numbers)
 - ▶ $N = 0$: $n_{\uparrow} = n_{\downarrow} = 0 \rightarrow |0, 0\rangle \equiv |0\rangle$
 - ▶ $N = 1$: $\begin{cases} n_{\uparrow} = 1, n_{\downarrow} = 0 \rightarrow |1, 0\rangle \equiv |\uparrow\rangle \\ n_{\uparrow} = 0, n_{\downarrow} = 1 \rightarrow |0, 1\rangle \equiv |\downarrow\rangle \end{cases}$
 - ▶ $N = 2$: $n_{\uparrow} = n_{\downarrow} = 1 \rightarrow |1, 1\rangle \equiv \frac{1}{\sqrt{2}}(|\uparrow, \downarrow\rangle - |\downarrow, \uparrow\rangle)$
 - ▶ There are no states with $N > 2$.
- ▶ **more realistic systems:**
infinitely many states, but only a finite number of $n_i \neq 0$ ($\Rightarrow N$ finite)

- ▶ The space spanned by the states $|n_1, n_2, \dots\rangle$ is called **Fock space**.
- ▶ **preliminary simplification:** only discrete spectra
 - ▶ e.g., finite volume V with periodic boundary conditions
→ discrete momenta
At the end one can take the limit $V \rightarrow \infty$.
- ▶ **Orthonormality and completeness:**
 - ▶ $\langle n_1, n_2, \dots | n'_1, n'_2, \dots \rangle = \delta_{n_1, n'_1} \delta_{n_2, n'_2} \dots$
⇒ States with different particle numbers are orthogonal.
 - ▶ $\sum_{n_1, n_2, \dots} |n_1, n_2, \dots\rangle \langle n_1, n_2, \dots| = \mathbb{1}$

1. Bosons

- ▶ $|n_1, n_2, \dots\rangle = \frac{1}{\sqrt{n_1!n_2!\dots}} S_+ |i_1, \dots, i_N\rangle$ (see section 4.1)
 - ▶ $|i_1, \dots, i_N\rangle$: N -particle state ($N = \sum n_i$)
with n_i particles in the single-particle state $|i\rangle$
- ▶ **creation operator**: $a_i^\dagger |\dots, n_i, \dots\rangle = \sqrt{n_i + 1} |\dots, n_i + 1, \dots\rangle$
 - ▶ raises the occupation number of the single-particle state $|i\rangle$ by 1
- ▶ $\langle \dots n'_i \dots | a_i |\dots n_i \dots\rangle = \langle \dots n_i \dots | a_i^\dagger |\dots n'_i \dots\rangle^* = \sqrt{n'_i + 1} \langle \dots n_i \dots | \dots n'_i + 1 \dots\rangle^*$
 $= \sqrt{n'_i + 1} \dots \delta_{n_i, n'_i + 1} \dots = \sqrt{n_i} \dots \delta_{n_i - 1, n'_i} \dots = \sqrt{n_i} \langle \dots n'_i \dots | \dots n_i - 1 \dots\rangle$
 $\Rightarrow a_i |\dots, n_i, \dots\rangle = \sqrt{n_i} |\dots, n_i - 1, \dots\rangle$
 - ▶ a_i **destroys** a particle in the state $|i\rangle$
 - ▶ Also: $a_i |\dots, n_i = 0, \dots\rangle = 0$



- ▶ **creation operator:** $a_i^\dagger |\dots, n_i, \dots\rangle = \sqrt{n_i + 1} |\dots, n_i + 1, \dots\rangle$
annihilation operator: $a_i |\dots, n_i, \dots\rangle = \sqrt{n_i} |\dots, n_i - 1, \dots\rangle$
analogous to ladder operators of the harmonic oscillator!
- ▶ $a_i^\dagger a_i |\dots, n_i, \dots\rangle = \sqrt{n_i} a_i^\dagger |\dots, n_i - 1, \dots\rangle = n_i |\dots, n_i, \dots\rangle$
→ **particle-number operator:** $\hat{n}_i \equiv a_i^\dagger a_i \Rightarrow \hat{n}_i |\dots, n_i, \dots\rangle = n_i |\dots, n_i, \dots\rangle$
→ **total particle number:** $\hat{N} \equiv \sum_i \hat{n}_i = \sum_i a_i^\dagger a_i$
 $\Rightarrow \hat{N} |n_1, n_2, \dots\rangle = (n_1 + n_2 + \dots) |n_1, n_2, \dots\rangle = N |n_1, n_2, \dots\rangle$
- ▶ $a_i a_i^\dagger |\dots, n_i, \dots\rangle = \sqrt{n_i + 1} a_i |\dots, n_i + 1, \dots\rangle = (n_i + 1) |\dots, n_i, \dots\rangle$
 $\Rightarrow [a_i, a_i^\dagger] |\dots, n_i, \dots\rangle = |\dots, n_i, \dots\rangle \Rightarrow \boxed{[a_i, a_i^\dagger] = 1}$



- ▶ $a_i^\dagger |\dots, n_i, \dots\rangle = \sqrt{n_i + 1} |\dots, n_i + 1, \dots\rangle, \quad a_i |\dots, n_i, \dots\rangle = \sqrt{n_i} |\dots, n_i - 1, \dots\rangle$
 $\Rightarrow a_i a_j^\dagger |\dots, n_i, \dots, n_j, \dots\rangle \stackrel{i \neq j}{=} \sqrt{n_i(n_j + 1)} |\dots, n_i - 1, \dots, n_j + 1, \dots\rangle$
 $= a_j^\dagger a_i |\dots, n_i, \dots, n_j, \dots\rangle$
- ▶ similar for $a_i a_j$ or $a_i^\dagger a_j^\dagger$ (both for $i = j$ and $i \neq j$)

→ commutation relations for bosons:

$$[a_i, a_j] = [a_i^\dagger, a_j^\dagger] = 0, \quad [a_i, a_j^\dagger] = \delta_{ij}$$

- ▶ normalized basis states of the Fock space:

$$|n_1, n_2, \dots\rangle = \frac{1}{\sqrt{n_1! n_2! \dots}} \left(a_1^\dagger\right)^{n_1} \left(a_2^\dagger\right)^{n_2} \dots |0\rangle$$

2. Fermions

- ▶ Antisymmetrized N -fermion states (Slater determinant):

$$S_- |i_1, \dots, i_N\rangle = \frac{1}{\sqrt{N!}} \sum_{P \in S_N} (-1)^P P |i_1, \dots, i_N\rangle = \frac{1}{\sqrt{N!}} \begin{vmatrix} |i_1\rangle_1 & \dots & |i_1\rangle_N \\ \vdots & & \vdots \\ |i_N\rangle_1 & \dots & |i_N\rangle_N \end{vmatrix}$$

- ▶ The order of the particles matters:

$$S_- P |i_1, \dots, i_N\rangle = (-1)^P S_- |i_1, \dots, i_N\rangle,$$

$$\text{e.g., } S_- |i, j\rangle = \frac{1}{\sqrt{2}} (|i, j\rangle - |j, i\rangle) = -S_- |j, i\rangle$$

- ▶ Define **creation operators** by:

$$S_- |i_1, \dots, i_N\rangle = a_{i_N}^\dagger \dots a_{i_1}^\dagger |0\rangle \quad \text{in this order!}$$

- ▶ first create a particle in the state $|i_1\rangle$ and last a particle in the state $|i_N\rangle$.
- ▶ convention: some authors write it the other way around!



- ▶ $S_- |i_1, \dots, i_N\rangle = a_{i_N}^\dagger \dots a_{i_1}^\dagger |0\rangle \Rightarrow a_i^\dagger a_j^\dagger = -a_j^\dagger a_i^\dagger$
e.g., $a_i^\dagger a_j^\dagger |0\rangle = S_- |j, i\rangle = -S_- |i, j\rangle = -a_j^\dagger a_i^\dagger |0\rangle$
 $\Rightarrow (a_i^\dagger)^2 = 0$ (Pauli principle)

- ▶ basis states of the Fock space:

$$|n_1, n_2, \dots\rangle = \dots (a_2^\dagger)^{n_2} (a_1^\dagger)^{n_1} |0\rangle \quad (\text{in this order})$$

- ▶ example:

$$|1, 1, 0, 0, \dots\rangle = a_2^\dagger a_1^\dagger |0\rangle = S_- |1, 2\rangle = \frac{1}{\sqrt{2}} (|1, 2\rangle - |2, 1\rangle)$$



$$\blacktriangleright |n_1, n_2, \dots\rangle = \dots \left(a_2^\dagger\right)^{n_2} \left(a_1^\dagger\right)^{n_1} |0\rangle$$

$$\Rightarrow a_i^\dagger |\dots, n_i, \dots\rangle = (-1)^{\sum_{j>i} n_j} (1 - n_i) |\dots, n_i + 1, \dots\rangle$$

$\blacktriangleright \sum_{j>i} n_j =$ number of transpositions to move a_i^\dagger to the “correct” place.

$$\blacktriangleright (1 - n_i) = \begin{cases} 1 & \text{if } n_i = 0, \text{ i.e., if } |i\rangle \text{ was not occupied} \\ 0, & \text{if } n_i = 1, \text{ i.e., if } |i\rangle \text{ was occupied} \end{cases}$$

\blacktriangleright analogous to the boson case:

$$\langle \dots n'_i \dots | a_i | \dots n_i \dots \rangle = \langle \dots n_i \dots | a_i^\dagger | \dots n'_i \dots \rangle^* = \dots$$

$$\Rightarrow a_i |\dots, n_i, \dots\rangle = (-1)^{\sum_{j>i} n_j} n_i |\dots, n_i - 1, \dots\rangle \quad \Rightarrow a_i |\dots, n_i = 0, \dots\rangle = 0$$



$$\blacktriangleright a_i^\dagger |\dots, n_i, \dots\rangle = (-1)^{\sum_{j>i} n_j} (1 - n_i) |\dots, n_i + 1, \dots\rangle$$

$$a_i |\dots, n_i, \dots\rangle = (-1)^{\sum_{j>i} n_j} n_i |\dots, n_i - 1, \dots\rangle$$

$$\Rightarrow a_i^\dagger a_i |\dots, n_i, \dots\rangle = (1 - (n_i - 1)) n_i |\dots, n_i, \dots\rangle \stackrel{n_i \in \{0,1\}}{=} n_i |\dots, n_i, \dots\rangle$$

→ particle-number operator: $\hat{n}_i \equiv a_i^\dagger a_i$ (as for bosons)

$$\blacktriangleright a_i a_i^\dagger |\dots, n_i, \dots\rangle = (n_i + 1)(1 - n_i) |\dots, n_i, \dots\rangle \stackrel{n_i \in \{0,1\}}{=} (1 - n_i) |\dots, n_i, \dots\rangle$$

$$\Rightarrow \{a_i, a_i^\dagger\} = a_i a_i^\dagger + a_i^\dagger a_i = 1$$

→ anti-commutator relations for fermions:

$$\boxed{\{a_i, a_j\} = \{a_i^\dagger, a_j^\dagger\} = 0, \quad \{a_i, a_j^\dagger\} = \delta_{ij}}$$