

3.7 Solutions of the free Dirac equation



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▶ linear independent solutions:

$$\psi^{(1)}(t) = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} e^{-\frac{i}{\hbar}mc^2t}, \quad \psi^{(2)}(t) = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} e^{-\frac{i}{\hbar}mc^2t},$$

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$$E \psi = i\hbar \frac{\partial}{\partial t} \psi$$



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(But Dirac found a way out, see later ...)



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i) positive energies

$$\text{Ansatz: } \psi(x) = \psi_p^{(+)}(x) \equiv \begin{pmatrix} \varphi(p) \\ \chi(p) \end{pmatrix} e^{-\frac{i}{\hbar}p \cdot x}, \quad E \equiv p^0 > 0,$$
$$\varphi = \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix}, \quad \chi = \begin{pmatrix} \chi_1 \\ \chi_2 \end{pmatrix}$$



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$$i\cancel{\partial} e^{-\frac{i}{\hbar}p \cdot x} = i\gamma^\mu \partial_\mu e^{-\frac{i}{\hbar}p_\nu x^\nu} = i\gamma^\mu \left(-\frac{i}{\hbar}p_\nu \delta_\mu^\nu\right) e^{-\frac{i}{\hbar}p \cdot x} = \frac{1}{\hbar} \cancel{p} e^{-\frac{i}{\hbar}p \cdot x}$$



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$$\Rightarrow 0 = \left(i\cancel{\partial} - \frac{mc}{\hbar}\right) \psi_p^{(+)}(x) = \frac{1}{\hbar} (\cancel{p} - mc) \psi_p^{(+)}(x)$$



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$$\text{limit } \vec{p} \rightarrow 0: (E - mc^2) \varphi(E, \vec{0}) = (E + mc^2) \chi(E, \vec{0}) = 0$$

$$E > 0 \Rightarrow E = mc^2, \chi = 0 \quad \checkmark$$



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$$\Rightarrow \left(E - mc^2 - \frac{(\vec{\sigma} \cdot \vec{p})^2 c^2}{E + mc^2} \right) \varphi(p) = 0$$



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→ two linear independent solutions:

$$\varphi_{\uparrow} = \mathcal{N} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \varphi_{\downarrow} = \mathcal{N} \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad \mathcal{N} = \text{normalization factor}$$



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▶ corresponding lower components of the Dirac spinor: $\chi(p) = \frac{\vec{\sigma} \cdot \vec{p} c}{E + mc^2} \varphi(p)$
(nonrelativistically suppressed → “small components”)



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- ▶ alternative ansatz: $\psi(x) = \psi_p^{(-)}(x) \equiv \begin{pmatrix} \varphi(p) \\ \chi(p) \end{pmatrix} e^{+\frac{i}{\hbar}p \cdot x}$

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▶ we still write: $p \cdot x = Et - \vec{p} \cdot \vec{x}$

$$\Rightarrow i\hbar \frac{\partial}{\partial t} \psi_p^{(-)}(x) = -E \psi_p^{(-)}(x) \quad \rightarrow \quad \text{energy} = -E$$

$$\frac{\hbar}{i} \vec{\nabla} \psi_p^{(-)}(x) = -\vec{p} \psi_p^{(-)}(x) \quad \rightarrow \quad \text{momentum} = -\vec{p}$$



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$$\Rightarrow \text{lin. indep. solutions: } \chi_{\uparrow} = \mathcal{N} \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad \chi_{\downarrow} = \mathcal{N} \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \quad \varphi(p) = \frac{\vec{\sigma} \cdot \vec{p} c}{E + mc^2} \chi(p)$$

Summary of the solutions:

▶ positive energy:

$$\psi_{p,s}^{(+)}(x) = u_s(\vec{p}) e^{-\frac{i}{\hbar} p \cdot x}$$

$$u_{1,2}(\vec{p}) = \begin{pmatrix} \varphi_{\uparrow,\downarrow}(\vec{p}) \\ \frac{\vec{\sigma} \cdot \vec{p} c}{E + mc^2} \varphi_{\uparrow,\downarrow}(\vec{p}) \end{pmatrix}, \quad \varphi_{\uparrow}(\vec{p}) = \mathcal{N}(\vec{p}) \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \varphi_{\downarrow}(\vec{p}) = \mathcal{N}(\vec{p}) \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

▶ negative energy:

$$\psi_{p,s}^{(-)}(x) = v_s(\vec{p}) e^{\frac{i}{\hbar} p \cdot x}$$

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▶ in both cases: $p \cdot x = Et - \vec{p} \cdot \vec{x}$ mit $E = +\sqrt{\vec{p}^2 c^2 + m^2 c^4}$



► explicitly:

$$\vec{\sigma} \cdot \vec{p} = \sigma^1 p_x + \sigma^2 p_y + \sigma^3 p_z = \begin{pmatrix} p_z & p_x - ip_y \\ p_x + ip_y & -p_z \end{pmatrix}$$

$$\Rightarrow u_1(\vec{p}) = \mathcal{N}(\vec{p}) \begin{pmatrix} 1 \\ 0 \\ \frac{p_z c}{E+mc^2} \\ \frac{(p_x+ip_y)c}{E+mc^2} \end{pmatrix}, \quad u_2(\vec{p}) = \mathcal{N}(\vec{p}) \begin{pmatrix} 0 \\ 1 \\ \frac{(p_x-ip_y)c}{E+mc^2} \\ \frac{-p_z c}{E+mc^2} \end{pmatrix}$$

$$v_1(\vec{p}) = \mathcal{N}(\vec{p}) \begin{pmatrix} \frac{(p_x-ip_y)c}{E+mc^2} \\ \frac{-p_z c}{E+mc^2} \\ 0 \\ 1 \end{pmatrix}, \quad v_2(\vec{p}) = \mathcal{N}(\vec{p}) \begin{pmatrix} \frac{p_z c}{E+mc^2} \\ \frac{(p_x+ip_y)c}{E+mc^2} \\ 1 \\ 0 \end{pmatrix}$$



- ▶ Probability density:

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- ▶ requirement: $u_s^\dagger(\vec{p}) u_s(\vec{p}) = v_s^\dagger(\vec{p}) v_s(\vec{p}) \stackrel{!}{=} \frac{E}{mc^2} \Rightarrow \mathcal{N}(\vec{p}) = \sqrt{\frac{E + mc^2}{2mc^2}}$

With the normalization

$$\blacktriangleright u_s(\vec{p})^\dagger u_s(\vec{p}) = v_s^\dagger(\vec{p}) v_s(\vec{p}) = \frac{E}{mc^2}$$

one finds the **orthonormalization relations**

$$\blacktriangleright \bar{u}_r(\vec{p}) u_s(\vec{p}) = -\bar{v}_r(\vec{p}) v_s(\vec{p}) = \delta_{rs} \Rightarrow$$

$$\bar{u}_s(\vec{p}) u_s(\vec{p}) = 1, \quad \bar{v}_s(\vec{p}) v_s(\vec{p}) = -1$$

$$\blacktriangleright \bar{u}_r(\vec{p}) v_s(\vec{p}) = \bar{v}_r(\vec{p}) u_s(\vec{p}) = 0$$

$$\blacktriangleright u_r(\vec{p})^\dagger u_s(\vec{p}) = v_r(\vec{p})^\dagger v_s(\vec{p}) = \frac{E}{mc^2} \delta_{rs}$$

$$\blacktriangleright u_r(\vec{p})^\dagger v_s(-\vec{p}) = v_r(\vec{p})^\dagger u_s(-\vec{p}) = 0$$

Energy projectors



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▶ $(i\cancel{\partial} - \frac{mc}{\hbar})\psi(x) = 0, \quad \psi(x) = \psi_{p,s}^{(+)}(x) = u_s(\vec{p}) e^{-\frac{i}{\hbar}p \cdot x} \Rightarrow \cancel{\partial} u_s(\vec{p}) = mc u_s(\vec{p})$
 $\psi(x) = \psi_{p,s}^{(-)}(x) = v_s(\vec{p}) e^{+\frac{i}{\hbar}p \cdot x} \Rightarrow \cancel{\partial} v_s(\vec{p}) = -mc v_s(\vec{p})$

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→ Projectors onto positive / negative energies: $\Lambda_{\pm} = \frac{\pm \hat{\not{D}} + mc}{2mc}$

$$\Rightarrow \Lambda_+(\vec{p}) u_s(\vec{p}) = u_s(\vec{p}), \quad \Lambda_+(\vec{p}) v_s(\vec{p}) = 0$$

$$\Lambda_-(\vec{p}) u_s(\vec{p}) = 0, \quad \Lambda_-(\vec{p}) v_s(\vec{p}) = v_s(\vec{p})$$

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▶ On the other hand we obtain from the orthonormalization relations:

$$\sum_{r=1}^2 u_r(\vec{p}) \underbrace{\bar{u}_r(\vec{p}) u_s(\vec{p})}_{=\delta_{rs}} = u_s(\vec{p}), \quad \sum_{r=1}^2 u_r(\vec{p}) \underbrace{\bar{u}_r(\vec{p}) v_s(\vec{p})}_{=0} = 0 \Rightarrow \Lambda_+(\vec{p}) = \sum_{r=1}^2 u_r(\vec{p}) \bar{u}_r(\vec{p})$$

$$\text{analogously: } \Lambda_-(\vec{p}) = -\sum_{r=1}^2 v_r(\vec{p}) \bar{v}_r(\vec{p})$$

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Remarks



► massless particles: $m = 0 \Rightarrow \frac{E}{mc^2}$ diverges

→ choose a different normalization, e.g., $u_s^\dagger(\vec{p})u_s(\vec{p}) = v_s^\dagger(\vec{p})v_s(\vec{p}) \stackrel{!}{=} 2E$
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- ▶ Often one still needs to normalize the probability to find a particle within a certain volume (or the entire space) in a given frame:

$$\int_V d^3x \psi^\dagger \psi \stackrel{!}{=} 1$$

In this case one still keeps the normalization of the u_s and v_s and introduces additional normalization factors.

Wave packets



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- ▶ Dirac equation: $(i\hat{\not{D}} - \frac{mc}{\hbar})\psi = 0$ linear and homogeneous
⇒ Superpositions of solutions are also solutions.

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→ Wave packet:

$$\psi(\mathbf{x}) = \int \frac{d^3p}{(2\pi\hbar)^3} \frac{mc^2}{E} \sum_{s=1}^2 (b(\vec{p}, s) u_s(\vec{p}) e^{-\frac{i}{\hbar}p \cdot x} + d^*(\vec{p}, s) v_s(\vec{p}) e^{\frac{i}{\hbar}p \cdot x})$$

- ▶ Fourier coefficients $b(\vec{p}, s)$, $d(\vec{p}, s)$
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