

Goldstone bosons in crystalline chiral phases



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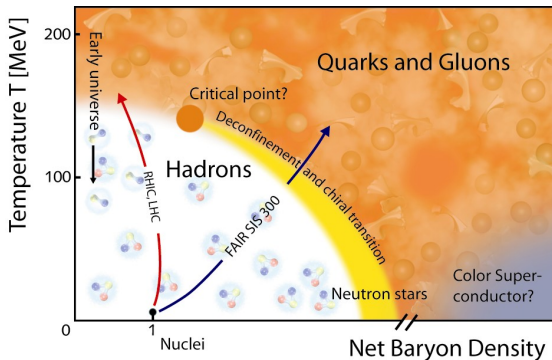
Hirschegg 2016

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Helmholtz Graduate School for Hadron and Ion Research

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The QCD Phase Diagram

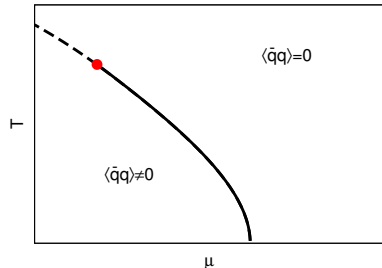


(GSI)

Simpler Phase Diagram

Focus on chiral symmetry

- ▶ Spontaneously broken in vacuum
- ▶ Order parameter:
chiral condensate $\langle \bar{q}q \rangle$
- ▶ Believed to have first-order phase transition for low temperatures
- ▶ Critical endpoint

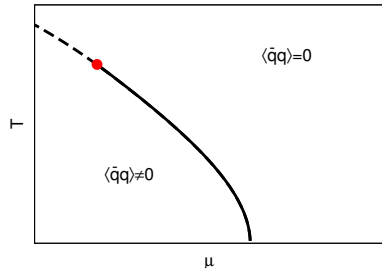


Most calculations: order parameter constant in space

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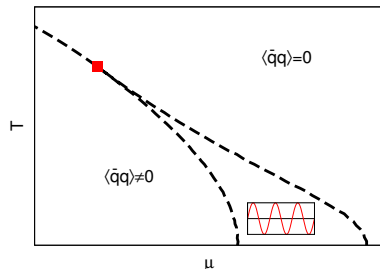


Most calculations: order parameter constant in space

What happens if we allow space dependence?

Inhomogeneous Phase

- ▶ Space dependent order parameter
- ▶ Popular for some time
 - ▶ Pion Condensation
 - ▶ (Color-) superconductivity
- ▶ Studied more recently in lower dimensional models (1+1 D Gross-Neveu model)
[Schön, Thies, PRD (2000)]

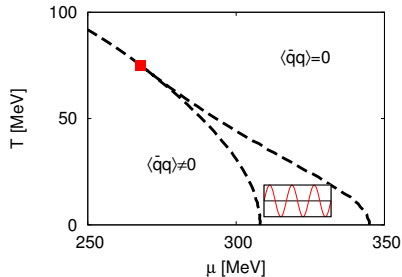


Inhomogeneous Phase in Nambu–Jona-Lasinio Model

Nambu–Jona-Lasinio model

[Nickel, PRD (2009)]

- ▶ Critical endpoint replaced by Lifschitz point
- ▶ first order phase transition replaced by inhomogeneous region



Inhomogeneous Phase in Nambu–Jona-Lasinio Model

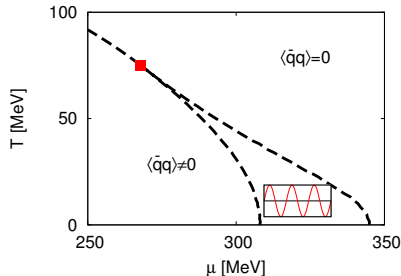
Nambu–Jona-Lasinio model

[Nickel, PRD (2009)]

- ▶ Critical endpoint replaced by Lifschitz point
- ▶ first order phase transition replaced by inhomogeneous region

Here:

- ▶ Consider fluctuations around mean fields
- ▶ Goldstone bosons from spontaneous broken symmetries (chiral and spatial)
- ▶ Important for transport properties (e.g. cooling in neutron stars)
- ▶ Could lead to instabilities (Landau-Peierls instability)



- ▶ NJL Lagrangian

$$\mathcal{L} = \bar{\psi} (i\cancel{\partial} - m) \psi + G_S \left((\bar{\psi}\psi)^2 + (\bar{\psi}i\gamma_5\tau^a\psi)^2 \right)$$

- ▶ Derive thermodynamic properties from grand potential Ω
- ▶ Mean-field approximation

$$S(\vec{x}) = \langle \bar{\psi}\psi \rangle, \quad P(\vec{x}) = \langle \bar{\psi}i\gamma_5\tau^3\psi \rangle$$

- ▶ keep space dependence, but neglect time dependence

$$\mathcal{L}_{MF} = \bar{\psi} S^{-1} \psi + V(\vec{x})$$

$$S^{-1} = i\cancel{\partial} - \underbrace{\left(m - 2G_S(S(\vec{x}) + i\gamma_5\tau^3 P(\vec{x})) \right)}_{=:M(\vec{x})}, \quad V(\vec{x}) = -G_S [S^2(\vec{x}) + P^2(\vec{x})]$$

- ▶ Space dependent mass

$$M(\vec{x}) = m - 2G_S (S(\vec{x}) + iP(\vec{x}))$$

- ▶ Crystal with unit cell vectors \vec{n}_i , $i = 1, 2, 3$
- ▶ Periodicity in mass

$$M(\vec{x}) = M(\vec{x} + \vec{n}_i)$$

- ▶ Fourier transformation

$$M(\vec{x}) = \sum_{\vec{q}_k} M_{\vec{q}_k} e^{i\vec{q}_k \vec{x}}$$

- ▶ Wave vector \vec{q}_k spans reciprocal lattice: $\vec{q}_k \vec{n}_i = 2\pi N_{ki}$, $N_{ki} \in \mathbb{Z}$



Arrive at grand potential

$$\Omega = -N_C N_F \frac{1}{V} \sum_{E_\lambda} T \ln \left[2 \cosh \left(\frac{E_\lambda - \mu}{2T} \right) \right] + \frac{1}{V} \int d^3x \frac{|M(\vec{x}) - m|^2}{4G_S}$$

with eigenvalues E_λ of H in momentum space

$$H(\vec{p}_m, \vec{p}_{m'}) = \begin{pmatrix} -\vec{\sigma} \vec{p}_m \delta_{\vec{p}_m, \vec{p}_{m'}} & -\sum_{\vec{q}_k} M_{\vec{q}_k} \delta_{\vec{q}_k, (\vec{p}_m - \vec{p}_{m'})} \\ -\sum_{\vec{q}_k} M_{\vec{q}_k} \delta_{\vec{q}_k, (\vec{p}_{m'} - \vec{p}_m)} & \vec{\sigma} \vec{p}_{m'} \delta_{\vec{p}_m, \vec{p}_{m'}} \end{pmatrix}$$

→ Matrix in momentum space

Arrive at grand potential

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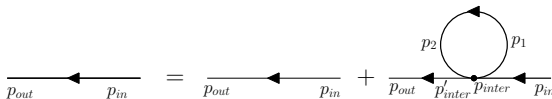
$$H(\vec{p}_m, \vec{p}_{m'}) = \begin{pmatrix} -\vec{\sigma} \vec{p}_m \delta_{\vec{p}_m, \vec{p}_{m'}} & -\sum_{\vec{q}_k} M_{\vec{q}_k} \delta_{\vec{q}_k, (\vec{p}_m - \vec{p}_{m'})} \\ -\sum_{\vec{q}_k} M_{\vec{q}_k} \delta_{\vec{q}_k, (\vec{p}_{m'} - \vec{p}_m)} & \vec{\sigma} \vec{p}_{m'} \delta_{\vec{p}_m, \vec{p}_{m'}} \end{pmatrix}$$

→ Matrix in momentum space

Propagator has different incoming and outgoing momenta



$$S^{-1}(p_{out}, p_{in}) = \gamma^0 (p_0 \delta_{p_{out}, p_{in}} - H(p_{out}, p_{in}))$$



Gap equation

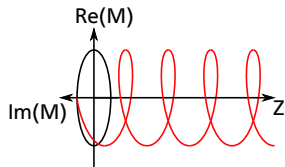
$$S^{-1}(p_{out}, p_{in}) = S_0^{-1}(p_{out}, p_{in}) - \Sigma(p_{out}, p_{in})$$

Self energy

$$\Sigma(p_{out}, p_{in}) = 2G_S \int \frac{d^4 p_1}{(2\pi)^4} \frac{d^4 p_2}{(2\pi)^4} \left[\mathbb{1} \text{Tr}(\mathbb{1} iS(p_1, p_2)) + i\gamma^5 \tau_3 \text{Tr}(i\gamma_5 \tau^3 iS(p_1, p_2)) \right] \delta(p_{out} + p_2 - p_{in} - p_1)$$

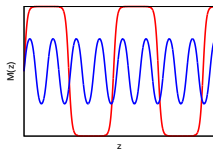
Different (periodical) modulations:

Chiral Density Wave



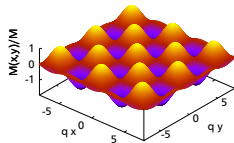
$$M(z) = M \exp(iqz)$$

Solitonic modulation



$$M(z) = \Delta\nu \operatorname{sn}(\Delta z|\nu)$$

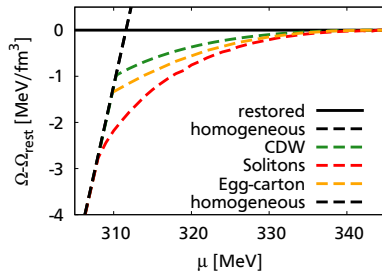
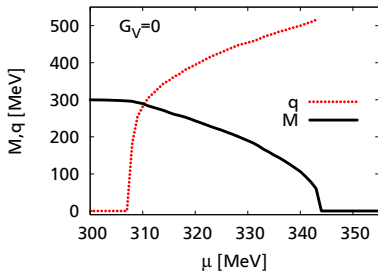
2D 'Egg' carton



$$M(x, y) = M \cos(qx) \cos(qy)$$

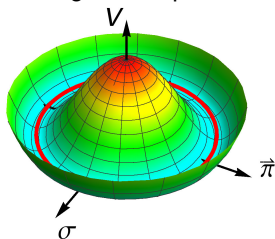
[Carignano, Buballa, PRD (2012)]

Results



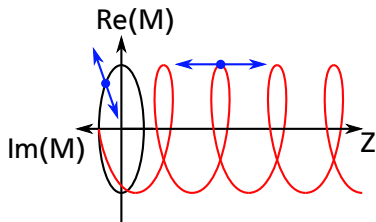
[Carignano, Buballa, PRD (2012)]

Homogeneous phase



Chiral symmetry broken
Pions as Goldstone modes

Inhomogeneous Phase



Rotational and translational
symmetry broken

- ▶ fluctuations in amplitude
- ▶ fluctuations in space

Details in: [Lee, Nakano, Tsue, Tatsumi, Friman, PRD (2015)]

Long range correlations

[Lee, Nakano, Tsue, Tatsumi, Friman, PRD (2015)]



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In Ginzburg-Landau Model (valid for $M \approx 0$)

Long range correlations ($\phi = M/2G_S$)

$$f_{ij}(\mathbf{x}) = \langle \phi_i(\mathbf{x}) \phi_j^*(0) \rangle$$

in z-direction

$$\langle \phi(z\vec{e}_z) \cdot \phi^*(0) \rangle \propto \frac{1}{2} \Delta^2 \cos(qz) \left(\frac{z}{z_0} \right)^{-T/T_0}$$

and longitudinal

$$\langle \phi(x_\perp \vec{e}_\perp) \cdot \phi^*(0) \rangle \propto \frac{1}{2} \Delta^2 \left(\frac{x_\perp}{x_0} \right)^{-2T/T_0}$$

Algebraic decay

Inverse propagator

$$S^{-1} = i\gamma^\mu \partial_\mu - \frac{1}{2} \left[(1 + \gamma_5 \tau^3) M(\vec{x}) + (1 - \gamma_5 \tau^3) M^*(\vec{x}) \right]$$

Allow small perturbations in space with bosonic field $\vec{u}(x)$ up to quadratic order

$$\begin{aligned} M(\vec{x}) &\rightarrow M_u(\vec{x}) = M(\vec{x} + \vec{u}(x)) \\ &\approx \sum_{\{\vec{q}\}} M_{\vec{q}} e^{i\vec{q}\vec{x}} \left(1 + i\vec{q} \cdot \vec{u}(x) - \frac{1}{2} (\vec{q} \cdot \vec{u}(x))^2 \right) \end{aligned}$$

Partition function

$$Z = \int i\mathcal{D}\psi^\dagger \int \mathcal{D}\psi \int \mathcal{D}\vec{u} \exp \left(\int_{x_E} \bar{\psi} S^{-1}[\vec{u}] \psi + V[\vec{u}] \right), \quad V[\vec{u}] = \frac{|M_u(\vec{x})|^2}{4G_S}$$

$$\begin{aligned} Z &= \int i\mathcal{D}\psi^\dagger \int \mathcal{D}\psi \int \mathcal{D}\vec{u} \exp\left(\int_{x_E} \bar{\psi} S^{-1}[\vec{u}]\psi + V[\vec{u}]\right) \\ &= \int \mathcal{D}\vec{u} \exp\left(\text{Tr} \ln (S_{MF}^{-1} + \Sigma_1[\vec{u}] + \Sigma_2[\vec{u}^2]) + \int_{x_E} V_{MF}(\vec{x}) + V_1[\vec{u}] + V_2[\vec{u}^2]\right) \end{aligned}$$

expand logarithm

$$\begin{aligned} \ln (S_{MF}^{-1} + \Sigma) &= \ln (S_{MF}^{-1}(1 + S_{MF}\Sigma)) \\ &= \ln S_{MF}^{-1} + S_{MF}\Sigma_1[\vec{u}] + S_{MF}\Sigma_2[\vec{u}^2] - \frac{1}{2}S_{MF}\Sigma_1[\vec{u}]S_{MF}\Sigma_1[\vec{u}] + \mathcal{O}(u^3) \end{aligned}$$

Separate different orders of u in the partition function

$$Z = \int \mathcal{D}u \exp(\omega_{MF} + \omega_1[\vec{u}] + \omega_2[\vec{u}^2])$$

$\omega_1[u] = 0$ from gap equation

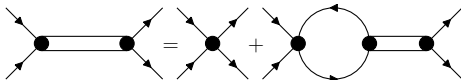
$$\omega_2[u^2] = \underbrace{\text{Tr} [S_{MF} \Sigma_2[u^2]] + \int_{x_E} \frac{1}{2} \frac{M(\partial_z^2 M^*) u^{*2} + M^*(\partial_z^2 M) u^2}{4G_S}}_{=0 \text{ gap equation}} - \frac{1}{2} \text{Tr} (S_{MF} \Sigma_1[u] S_{MF} \Sigma_1[u]) + \int_{x_E} \frac{|(\partial_z M) u|^2}{4G_S}$$

second part needs calculation of

$$\text{Tr} (S_{MF} \Sigma_1[u] S_{MF} \Sigma_1[u])$$

similar object to polarization loops for meson calculations

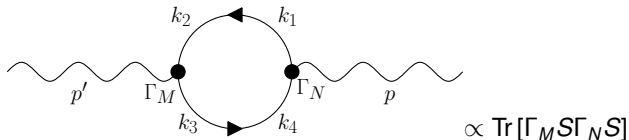
Start from Bethe-Salpeter equation



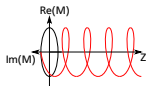
one can get the meson propagator

$$D_{MN}(p, p') = \frac{2G_S}{1 - 2G_S J_{MN}(p, p')}$$

with polarization loop $J_{MN}(p, p')$



Chiral transformation for CDW

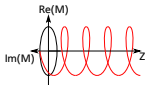


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Hamiltonian Chiral Density Wave

$$H(p, p') = -\gamma_0 \gamma^k p_k \delta(p - p') \\ + \gamma_0 \frac{M}{2} \left[(1 + \gamma_5 \tau^3) \delta(p - p' + q) + (1 - \gamma_5 \tau^3) \delta(p - p' - q) \right]$$

Chiral transformation for CDW



Hamiltonian Chiral Density Wave

$$H(p, p') = -\gamma_0 \gamma^k p_k \delta(p - p') + \gamma_0 \frac{M}{2} [(\mathbb{1} + \gamma_5 \tau^3) \delta(p - p' + q) + (\mathbb{1} - \gamma_5 \tau^3) \delta(p - p' - q)]$$

Apply a rotation in chiral space [Dautry, Nyman, Nucl. Phys. (1979)]

$$U(k, k') = \frac{1}{2} [(\mathbb{1} + \gamma_5 \tau^3) \delta(k - k' - q/2) + (\mathbb{1} - \gamma_5 \tau^3) \delta(k - k' + q/2)]$$

to the Hamiltonian

$$H'(p, p') = U^\dagger H U = \gamma_0 [-\gamma^k p_k - \gamma^k \gamma_5 \tau^3 q_k / 2 + M] \delta(p - p')$$

From this get eigenvalues

$$E_{\pm}(\vec{p}) = \sqrt{\vec{p}^2 + M^2 + \vec{q}^2 / 4} \pm \sqrt{(\vec{p} \cdot \vec{q})^2 + \vec{q}^2 M^2}$$

CDW: analytic expression for propagator
Inverse propagator

$$S^{-1}(p, p') = \gamma^0 (p_0 \delta(p - p') - H(p, p'))$$

apply chiral transformation

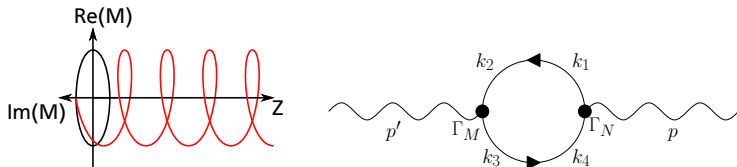
$$S = US'U$$

with

$$S'(k) = \frac{1}{N(k)} [A(k) + \gamma_5 \tau^3 B(k) + \gamma_\mu C^\mu(k) + \gamma_5 \tau^3 \gamma_\mu D^\mu(k) + \gamma_5 \tau^3 \gamma_\mu \gamma_\nu E^{\mu\nu}(k)]$$

diagonal in momentum space

Pions in the inhomogeneous phase



For the CDW the π_3 (with $\Gamma_{\pi_3} = i\gamma_5\tau^3$) is no NG boson

Instead

$$\Gamma_{\pi_1} = i\gamma_5\tau^1, \quad \Gamma_{\pi_2} = i\gamma_5\tau^2, \quad \Gamma_{\vec{\pi}}(z) = -\mathbb{1} \sin qz + i\gamma_5\tau^3 \cos qz$$

$$J_{\vec{\pi}\vec{\pi}}(p, p') = i \left(\prod_{j=1}^4 \int \frac{d^4 k_j}{(2\pi)^4} \right) \text{Tr} \left[\Gamma_{\vec{\pi}}(p + k_4 - k_1) S(k_1, k_2) \Gamma_{\vec{\pi}}(k_2 - k_3 - p') S(k_3, k_4) \right]$$

Fourier transformation

$$\Gamma_{\bar{\pi}}(p) = \frac{i}{2} [(-1 + \gamma_5 \tau^3) \delta(p - q) + (1 + \gamma_5 \tau^3) \delta(p + q)]$$

Inserting $S = US'U$

Integrating over internal momenta

$$J_{\bar{\pi}\bar{\pi}}(p, p') = i \int \frac{d^4 k}{(2\pi)^4} \text{Tr} [i\gamma_5 \tau^3 S'(k) i\gamma_5 \tau^3 S'(k - p)] \delta(p - p')$$

yields NG Boson via gap equation

$$\lim_{p=p' \rightarrow 0} (1 - 2G_S J_{\bar{\pi}\bar{\pi}}(p, p')) = 0$$
$$\Rightarrow p_0 = m_{\bar{\pi}} = 0$$

Mean-Field Calculations:

- ▶ Crystalline phase replaces first order phase transition and critical endpoint in phase diagram
- ▶ One dimensional modulations favored over two dimensional

Bosonic excitations

- ▶ Explicit construction of Goldstone modes in CDW
- ▶ Conceptual difficult due to non-diagonal structure of propagator
- ▶ Calculations simplified by chiral transformations
- ▶ Goldstone mode identified

Outlook

- ▶ Calculate dispersion relations of Goldstone Bosons
- ▶ Derive transport properties
- ▶ Applications for beyond mean-field calculations



Thank you



Of course more complicated for full CDW propagators

$$S' = \frac{1}{N} \left[A + \gamma^5 \tau^3 B + \gamma_\mu C^\mu + \gamma^5 \tau^3 \gamma_\mu D^\mu + \gamma^5 \tau^3 \gamma_\mu \gamma_\nu E^{\mu\nu} \right]$$

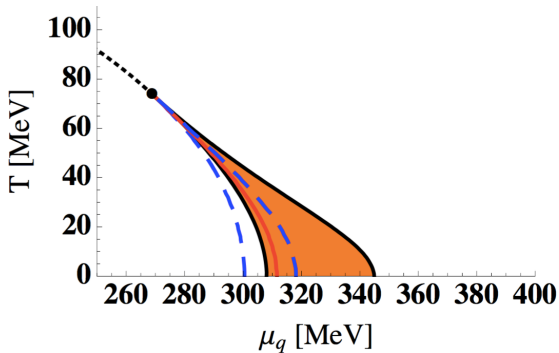
$$A = M \left(k^2 - M^2 - \frac{1}{4} q^2 \right), \quad B = -M q \cdot k$$

$$C_\mu = k_\mu \left(k^2 - M^2 + \frac{1}{4} q^2 \right) - \frac{1}{2} q_\mu q \cdot k$$

$$D_\mu = -k_\mu q \cdot k + \frac{1}{2} q_\mu \left(k^2 + M^2 + \frac{1}{4} q^2 \right)$$

$$E_{\mu\nu} = q_\mu k_\nu M$$

$$N = \left(k^2 - M^2 - \frac{1}{4} q^2 \right)^2 + q^2 k^2 - (q \cdot k)^2$$



[Nickel, PRD (2009)]