In-Medium SRG for Medium-Mass and Heavy Nuclei

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Why Ab Initio Nuclear Structure?



- Nuclear Many-Body Shell Model: oxygen drip line depends on interaction
- empirical interactions not interchangeable between many-body methods

- systematic improvements ?
- theoretical uncertainties ?
- systematic link to QCD ?



Outline



- Ingredients
 - Nuclear Interactions from Chiral EFT
 - (Free-Space) Similarity Renormalization Group
- In-Medium SRG and Applications
 - Ground-State Results
 - IM-SRG + Shell Model for Excited States
- Next Steps
- Conclusions

Ingredients: Nuclear Interactions from Chiral Effective Field Theory

E. Epelbaum, H.-W. Hammer, and U.-G. Meissner, Rev. Mod. Phys. 81 (2009), 1773

Scales of the Strong Interaction



chiral symmetry

momentum transfer (resolution)

QCD

Π

Chiral



• quarks, gluons

- pions, nucleons, ...
 - nuclear interactions
 - few-nucleon systems

Interactions from Chiral EFT





- organization in powers $(Q/\Lambda_{\chi})^{\nu}$ allows systematic improvement
- low-energy constants fit to NN, 3N data (future: from Lattice QCD (?))
- consistent NN, 3N, ... interactions & operators (electromagnetic & weak transitions, etc.)

Ingredients: Similarity Renormalization Group

Review:

S. Bogner, R. Furnstahl, and A. Schwenk, Prog. Part. Nucl. Phys. 65 (2010), 94

E. Anderson, S. Bogner, R. Furnstahl, and R. Perry, Phys. Rev. C82 (2011), 054001
E. Jurgenson, P. Navratil, and R. Furnstahl, Phys. Rev. C83 (2011), 034301
R. Roth, S. Reinhardt, and H. H., Phys. Rev. C77 (2008), 064003
H. H. and R. Roth, Phys. Rev. C75 (2007), 051001

Scales of the Strong Interaction



momentum transfer (resolution)

QCD

Chiral



quarks, gluons

- pions, nucleons, ...
 - nuclear interactions
 - few-nucleon systems

(Which) Details necessary?

chiral symmetry

- finite nuclei
 - nuclear structure & reactions

Similarity Renormalization Group

Basic Concept

continuous unitary transformation of the Hamiltonian to banddiagonal form w.r.t. a given "uncorrelated" many-body basis

• evolved Hamiltonian

$$H(\mathbf{s}) = U(\mathbf{s})HU^{\dagger}(\mathbf{s}) \equiv T + V(\mathbf{s})$$

• flow equation:

$$\frac{d}{ds}H(s) = \left[\eta(s), H(s)\right], \quad \eta(s) = \frac{dU(s)}{ds}U^{\dagger}(s) = -\eta^{\dagger}(s)$$

- choose η(s) to achieve desired behavior, e.g. decoupling of momentum or energy scales
- consistently evolve observables of interest

SRG in Two-Body Space

momentum space matrix elements



H. Hergert - "Nuclear Structure and Reactions: Weak, Strange, and Exotic", Hirschegg, Austria, 01/14/2015

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In-Medium SRG

S. K. Bogner, H. H., T. Morris, A. Schwenk, and K. Tuskiyama, to appear in Phys. Rept. H. H., S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk, Phys. Rev. C 87, 034307 (2013)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. Lett. 106, 222502 (2011)

Decoupling in A-Body Space



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Decoupling in A-Body Space



aim: decouple reference state $|\Phi\rangle$ (0p-0h) from excitations

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Normal Ordering



- second quantization: $A_{I_1...I_N}^{k_1...k_N} = a_{k_1}^{\dagger} \dots a_{k_N}^{\dagger} a_{I_N} \dots a_{I_1}$
- particle- and hole density matrices:

$$\lambda_{l}^{k} = \left\langle \Phi \middle| A_{l}^{k} \middle| \Phi \right\rangle \longrightarrow n_{k} \delta_{l}^{k}, \quad n_{k} \in \{0, 1\}$$

$$\xi_{l}^{k} = \lambda_{l}^{k} - \delta_{l}^{k} \longrightarrow -\overline{n}_{k} \delta_{l}^{k} \equiv -(1 - n_{k}) \delta_{l}^{k}$$

• define normal-ordered operators recursively:

$$\begin{aligned} A_{l_1...l_N}^{k_1...k_N} &=: A_{l_1...l_N}^{k_1...k_N} :+ \lambda_{l_1}^{k_1} :A_{l_2...l_N}^{k_2...k_N} :+ \text{singles} \\ &+ \left(\lambda_{l_1}^{k_1} \lambda_{l_2}^{k_2} - \lambda_{l_2}^{k_1} \lambda_{l_1}^{k_2}\right) :A_{l_3...l_N}^{k_3...k_N} :+ \text{doubles} + \ldots \end{aligned}$$

• algebra is simplified significantly because

$$\langle \Phi | : A_{I_1...I_N}^{k_1...k_N} : | \Phi \rangle = 0$$

 Wick's theorem gives simplified expansions (fewer terms!) for products of normal-ordered operators

Normal-Ordered Hamiltonian



Normal-Ordered Hamiltonian

f

W

 $\Gamma = +$



two-body formalism with in-medium contributions from three-body interactions

Choice of Generator



• define off-diagonal Hamiltonian (suppressed by IM-SRG flow):

$$H^{od} \equiv f^{od} + \Gamma^{od}, \quad f^{od} \equiv \sum_{ph} f^{p}_{h} : A^{p}_{h} : + \text{H.c.}, \quad \Gamma^{od} \equiv \sum_{pp'hh'} \Gamma^{pp'}_{hh'} : A^{pp'}_{hh'} : + \text{H.c.}$$

• construct generator, e.g., $\eta' = [H^d, H^{od}]$ (Wegner-type)

IM-SRG(2) Flow Equations





IM-SRG(2): truncate ops. at two-body level

IM-SRG(2) Flow Equations





Decoupling





Applications: Ground-State Results

H. H., in preparation

H. H., S. Bogner, T. Morris, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. C 90, 041302 (2014)

H. H., S. Binder, A. Calci, J. Langhammer, and R. Roth, Phys. Rev. Lett 110, 242501 (2013)

H. H., S. K. Bogner, S. Binder, A. Calci, J. Langhammer, R. Roth, and A. Schwenk, Phys. Rev. C 87, 034307 (2013)



Initial Hamiltonian

- NN: chiral interaction at N³LO (Entern & Machleidt)
- 3N: chiral interaction at N²LO (c_D,c_E fit to ³H energy & beta decay)

SRG-Evolved Hamiltonians

- NN + 3N-induced: start with initial NN Hamiltonian, keep two- and three-body terms
- NN + 3N-full: start with initial NN + 3N Hamiltonian, keep two- and three-body terms

Results: Oxygen Chain





- Multi-Reference IM-SRG with number-projected http://www.sections.org/linearized http://www.sections.org/linearized/linearized/http://www.sections.org/linearized/linearize
- consistent results from different many-body methods

Variation of Scales





Phys. Rev. Lett. **110**, 242501 (2013)

- variation of initial 3N cutoff only
- diagnostics for chiral interactions
- dripline at A=24 is robust under variations
- (leading) continuum effects too small to bind
 ²⁶O

Two-Neutron Separation Energies



- differential observables (S_{2n}, spectra,...) filter out interaction components that cause overbinding
- predict flat trends for g.s.
 energies/S_{2n} beyond ⁵⁴Ca
 await experimental data
- ⁵²Ca, ⁵⁴Ca robustly magic due to 3N interaction
- no continuum coupling yet, other S_{2n} uncertainties < 1MeV

Two-Neutron Separation Energies



- flat trends for g. s. energies and S_{2n} (similar to Ca)
- deformation instability in ^{64,66}Ni calculations - issue with "shell" structure
- further evidence from 3N cutoff variation
- no continuum coupling yet, other S_{2n} uncertainties < 1MeV

The Ab Initio Mass Frontier: Tin



- systematics of overbinding similar to Ca/Ni
- not converged with respect to 3N matrix element truncation:

$$e_1 + e_2 + e_3 \leq E_{3\max}$$

(e_{1,2,3} : SHO energy quantum numbers)

need technical improvements to go further

Applications:

IM-SRG + Shell Model for Excited States

S. K. Bogner, H. H., J. D. Holt, A. Schwenk, in preparation

S. K. Bogner, H. H., J. D. Holt, A. Schwenk, S. Binder, A. Calci, J. Langhammer, R. Roth, Phys. Rev. Lett. 113, 142501 (2014)

K. Tsukiyama, S. K. Bogner, and A. Schwenk, Phys. Rev. C 85, 061304(R) (2012)

Valence Space Decoupling



H. Hergert - "Nuclear Structure and Reactions: Weak, Strange, and Exotic", Hirschegg, Austria, 01/14/2015

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Valence Space Decoupling



• construct generator from off-diagonal Hamiltonian $\left\{H^{od}\right\} = \{f_{h'}^h, f_{p'}^p, f_h^p, f_v^p, \Gamma_{hh'}^{pp'}, \Gamma_{hv'}^{pp'}, \Gamma_{vv'}^{pq}\} \& H.c.$

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From Oxygen...





- 3N forces crucial
- IM-SRG improves on finite-order MBPT effective interaction
- competitive with phenomenological calculations

... Into the sd-Shell





Heavier Cores





experimental data: A. Gade et al., Phys. Rev. Lett. 112, 112503 (2014) and NNDC

theoretical level scheme similar to empirical interactions (LNPS, GXPF1A)

Next Steps

Reach of Ab Initio Methods







• describe "excited states" based on reference state:

$$\left|\Psi_{k}
ight
angle\equiv R_{k}\left|\Psi_{0}
ight
angle$$

• (MR-)IM-SRG effective Hamiltonian in EOM approach:

$$[H(\infty), \mathbf{R}_k] = \omega_k \mathbf{R}_k, \quad \omega_k = \mathbf{E}_k - \mathbf{E}_0$$

- computational effort scales polynomially, vs. factorial scaling of Shell Model
- can exploit Multi-Reference capabilities (commutator formulation identical to flow equations)
- complementary to Shell Model



• particle-hole excitations (TDA, RPA, Second RPA, ...)

$$R_{k} = \sum_{ph} R_{ph}^{(k)} : a_{p}^{\dagger} a_{h} : + \sum_{pp'hh'} R_{pp'hh'}^{(k)} : a_{p}^{\dagger} a_{p'}^{\dagger} a_{h'} a_{h} : + \dots$$

giant resonances

• particle attachment (analogous for removal):

$$R_{k} = \sum_{ph} R_{p}^{(k)} : a_{p}^{\dagger} : + \sum_{pp'h} R_{pp'h}^{(k)} : a_{p}^{\dagger} a_{p'}^{\dagger} a_{h} : + \dots$$

ground and excited states in odd nuclei

Continuum Effects





(with G. Papadimitriou, ISU)

- Gamow Shell Model: use Berggren s.p. basis containing bound, resonance, and scattering states
- first step: GSM calculations with IM-SRG interaction
- **future:** include continuum in IM-SRG evolution

Effective Operators





- small radii: interaction issue (power counting, regulators, LECs, ...)? importance of currents?
- implementation of electromagnetic & weak transition operators in progress; aim for consistent treatment: chiral EFT, SRG, IM-SRG (& Shell Model code !)

Conclusions

Conclusions



- enormous progress in *ab initio* nuclear structure and reactions, driven by (S)RG and (chiral) EFT
 - stringent link to QCD
 - enhanced control over many-body methods, uncertainty quantification
- IM-SRG is a powerful *ab initio* framework for closed- and open-shell, medium-mass & (heavy) nuclei
 - allows derivation of Shell-Model interactions
 - immediate access to spectra, odd nuclei, intrinsic deformation (at Shell Model numerical cost)
- new perspectives for old (?) problems: evolution of longrange correlations, construction of density functionals...

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G. Papadimitriou

Iowa State University



Supplements



- SRG is a unitary transformation in A-body space
- up to A-body interactions are induced during the flow:

$$\frac{dH}{d\lambda} = \left[\left[\sum a^{\dagger}a, \sum \underbrace{a^{\dagger}a^{\dagger}aa}_{2\text{-body}} \right], \sum \underbrace{a^{\dagger}a^{\dagger}aa}_{2\text{-body}} \right] = \dots + \sum \underbrace{a^{\dagger}a^{\dagger}a^{\dagger}aaa}_{3\text{-body}} + \dots$$

- state-of-the-art: evolve in three-body space, truncate induced four- and higher many-body forces (Jurgenson, Furnstahl, Navratil, PRL 103, 082501; Hebeler, PRC 85, 021002; Wendt, PRC 87, 061001)
- λ-dependence of eigenvalues is a diagnostic for size of omitted induced interactions



Choice of Generator



• Wegner:
$$\eta' = [H^d, H^{od}]$$

• White: (J. Chem. Phys. 117, 7472)

$$\eta'' = \sum_{ph} \frac{f_h^p}{\Delta_h^p} : A_h^p : + \sum_{pp'hh'} \frac{\Gamma_{hh'}^{pp'}}{\Delta_{hh'}^{pp'}} : A_{hh'}^{pp'} : + \text{H.c.}$$

 $\Delta_h^p, \Delta_{hh'}^{pp'}$: approx. 1p1h, 2p2h excitation energies

• "imaginary time": (Morris, Bogner)

$$\eta^{III} = \sum_{ph} \operatorname{sgn}(\Delta_h^p) f_h^p : A_h^p : + \sum_{pp'hh'} \operatorname{sgn}(\Delta_{hh'}^{pp'}) \Gamma_{hh'}^{pp'} : A_{hh'}^{pp'} : + \text{H.c.}$$

- off-diagonal matrix elements are suppressed like $e^{-\Delta^2 s}$ (Wegner), e^{-s} (White), and $e^{-|\Delta|s}$ (imaginary time)
- g.s. energies (s $\rightarrow \infty$) differ by $\ll 1\%$

In-Medium SRG Flow Equations



0-body Flow

$$\frac{dE}{ds} = \sum_{ab} (n_a - n_b) \left(\eta_b^a f_a^b - f_b^a \eta_a^b \right) + \frac{1}{4} \sum_{abcd} \left(\eta_{cd}^{ab} \Gamma_{ab}^{cd} - \Gamma_{cd}^{ab} \eta_{ab}^{cd} \right) n_a n_b \bar{n}_c \bar{n}_d$$

$$\sim 2nd \text{ order MBPT for } H(s)$$

1-body Flow

$$\frac{d}{ds}f_{2}^{1} = \sum_{a} \left(\eta_{a}^{1}f_{2}^{a} - f_{a}^{1}\eta_{2}^{a}\right) + \sum_{ab} \left(\eta_{b}^{a}\Gamma_{a2}^{b1} - f_{b}^{a}\eta_{a2}^{b1}\right) (n_{a} - n_{b}) + \frac{1}{2}\sum_{abcdef} \left(\eta_{bc}^{1a}\Gamma_{2a}^{bc} - \Gamma_{bc}^{1a}\eta_{2a}^{bc}\right) (n_{a}\bar{n}_{b}\bar{n}_{c} + \bar{n}_{a}n_{b}n_{c})$$

In-Medium SRG Flow Equations



2-body Flow



In-Medium SRG Flow: Diagrams





In-Medium SRG Flow: Diagrams





Generalized Normal Ordering

- generalized Wick's theorem for arbitrary reference states(Kutzelnigg & Mukherjee)
- define irreducible n-body density matrices of reference state:

$$\rho_{mn}^{kl} = \lambda_{mn}^{kl} + \lambda_m^k \lambda_n^l - \lambda_n^k \lambda_m^l$$
$$\rho_{lmn}^{ijk} = \lambda_{lmn}^{ijk} + \lambda_l^i \lambda_{mn}^{jk} + \lambda_l^i \lambda_m^{jk} \lambda_n^k + \text{permutations}$$

• irreducible densities give rise to additional contractions:

$$: A_{cd...}^{ab...} :: A_{mn...}^{kl...} : \longrightarrow \lambda_{mn}^{ab}$$
$$: A_{cd...}^{ab...} :: A_{mn...}^{kl...} : - \underbrace{\text{two-body flow unchanged,}}_{O(N^6) \text{ scaling preserved}}$$

Decoupling

- truncation in irreducible density matrices
 - number of correlated vs. total pairs, triples, ... (caveat: highly collective reference states)
 - perturbative analysis (e.g. for shell-model like states)
- verify for chosen multi-reference state when possible

Particle-Number Projected HFB State

 HFB ground state is a superposition of states with different particle number:

$$\left|\Psi\right.
ight
angle = \sum_{A=N,N\pm2,...} c_A \left|\Psi_A\right.
ight
angle, \quad \left|\Psi_N\right.
ight
angle \equiv P_N \left|\Psi\right.
ight
angle \equiv rac{1}{2\pi} \int_0^{2\pi} d\phi \, e^{i\phi(\hat{N}-N)} \left|\Psi
ight
angle$$

• calculate one- and two-body densities (project only once):

$$\lambda_{l}^{k} = \frac{\left\langle \Psi \middle| A_{l}^{k} P_{N} \middle| \Psi \right\rangle}{\left\langle \Psi \middle| \Psi \right\rangle}, \quad \lambda_{mn}^{kl} = \frac{\left\langle \Psi \middle| A_{mn}^{kl} P_{N} \middle| \Psi \right\rangle}{\left\langle \Psi \middle| \Psi \right\rangle} - \lambda_{m}^{k} \lambda_{m}^{l} + \lambda_{n}^{k} \lambda_{m}^{l}$$

• work in natural orbitals (= HFB canonical basis):

$$\lambda_l^k = n_k \delta_l^k \left(= v_k^2 \delta_l^k \right) , \quad 0 \le n_k \le 1$$

Results: Closed-Shell Nuclei

Results: Closed-Shell Nuclei

Phys. Rev. C 87, 034307 (2013), arXiv: 1212.1190 [nucl-th]

Extrapolation

simultaneous ultraviolet & infrared extrapolation:

$$E(\Lambda_{\rm UV}, L) = E_{\infty} + A_0 \exp\left(-2\Lambda_{\rm UV}^2/A_1^2\right) + A_2 \exp\left(-2k_{\infty}L\right)$$

(R. Furnstahl, G. Hagen & T. Papenbrock, PRC 86,031301 (2012))

Multi-Reference Flow Equations

0-body flow:

$$\begin{aligned} \frac{dE}{ds} &= \sum_{ab} (n_a - n_b) \left(\eta_b^a f_a^b - f_b^a \eta_a^b \right) + \frac{1}{4} \sum_{abcd} \left(\eta_{cd}^{ab} \Gamma_{ab}^{cd} - \Gamma_{cd}^{ab} \eta_{ab}^{cd} \right) n_a n_b \bar{n}_c \bar{n}_d \\ &+ \frac{1}{4} \sum_{abcd} \left(\frac{d}{ds} \Gamma_{cd}^{ab} \right) \lambda_{cd}^{ab} + \frac{1}{4} \sum_{abcdklm} \left(\eta_{cd}^{ab} \Gamma_{am}^{kl} - \Gamma_{cd}^{ab} \eta_{am}^{kl} \right) \lambda_{cdm}^{bkl} \end{aligned}$$

1-body flow:

$$\begin{split} \frac{d}{ds} f_{2}^{1} &= \sum_{a} \left(\eta_{a}^{1} f_{2}^{a} - f_{a}^{1} \eta_{2}^{a} \right) + \sum_{ab} \left(\eta_{b}^{a} \Gamma_{a2}^{b1} - f_{b}^{a} \eta_{a2}^{b1} \right) (n_{a} - n_{b}) \\ &+ \frac{1}{2} \sum_{abcdef} \left(\eta_{bc}^{1a} \Gamma_{2a}^{bc} - \Gamma_{bc}^{1a} \eta_{2a}^{bc} \right) (n_{a} \bar{n}_{b} \bar{n}_{c} + \bar{n}_{a} n_{b} n_{c}) \\ &+ \frac{1}{4} \sum_{abcde} \left(\eta_{bc}^{1a} \Gamma_{2a}^{de} - \Gamma_{bc}^{1a} \eta_{2a}^{de} \right) \lambda_{bc}^{de} + \sum_{abcde} \left(\eta_{bc}^{1a} \Gamma_{2d}^{be} - \Gamma_{bc}^{1a} \eta_{2d}^{be} \right) \lambda_{cd}^{ae} \\ &- \frac{1}{2} \sum_{abcde} \left(\eta_{2b}^{1a} \Gamma_{ae}^{cd} - \Gamma_{2b}^{1a} \eta_{ae}^{cd} \right) \lambda_{be}^{cd} + \frac{1}{2} \sum_{abcde} \left(\eta_{2b}^{1a} \Gamma_{de}^{bc} - \Gamma_{2b}^{1a} \eta_{de}^{bc} \right) \lambda_{de}^{ac} \end{split}$$

2-body flow:

$$\frac{d}{ds}\Gamma_{34}^{12} = \sum_{a} \left(\eta_{a}^{1}\Gamma_{34}^{a2} + \eta_{a}^{2}\Gamma_{34}^{1a} - \eta_{3}^{a}\Gamma_{a4}^{12} - \eta_{4}^{a}\Gamma_{3a}^{12} - f_{a}^{1}\eta_{34}^{a2} - f_{a}^{2}\eta_{34}^{1a} + f_{3}^{a}\eta_{a4}^{12} + f_{4}^{a}\eta_{3a}^{12} \right) \\
+ \frac{1}{2}\sum_{ab} \left(\eta_{ab}^{12}\Gamma_{34}^{ab} - \Gamma_{ab}^{12}\eta_{34}^{ab} \right) (1 - n_{a} - n_{b}) \\
+ \sum_{ab} (n_{a} - n_{b}) \left(\left(\eta_{3b}^{1a}\Gamma_{4a}^{2b} - \Gamma_{3b}^{1a}\eta_{4a}^{2b} \right) - \left(\eta_{3b}^{2a}\Gamma_{4a}^{1b} - \Gamma_{3b}^{2a}\eta_{4a}^{1b} \right) \right) \\$$
2-body flow unchanged

Effective Operators

from: Schuster et al., PRC90, 011301 (2014)

- derive operators from chiral EFT, including currents
- optimize LECs together with interaction
- evolve to desired resolution scale
- evaluate operator (1B+2B +...) in IM-SRG (and Shell Model)
- (most) existing ab initio & Shell model codes lack capabilities for many-body observables

³H rms matter radius