

# Structure of light nuclei with continuum within an *ab initio* framework

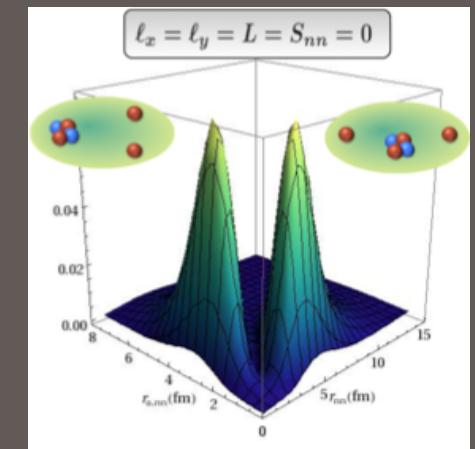


Hirschegg 2015

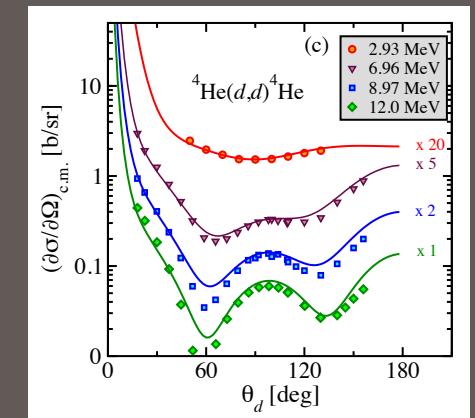
Nuclear Structure and Reactions: Weak, Strange and Exotic

International Workshop XLIII on Gross Properties of Nuclei and Nuclear Excitations

Hirschegg, Kleinwalsertal, Austria, January 11 - 17, 2015

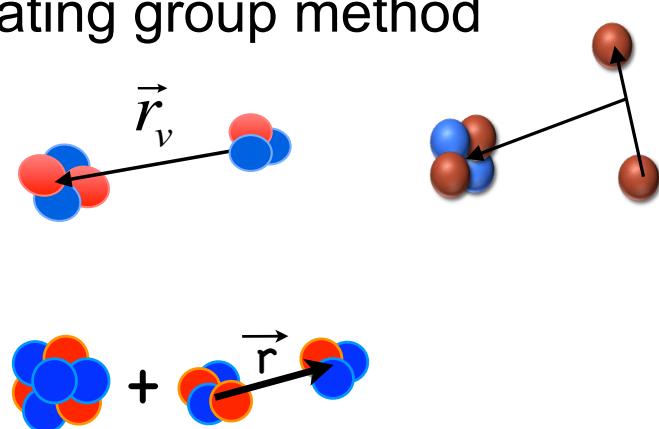
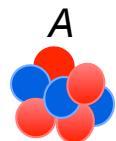


Petr Navratil | TRIUMF



# Outline

- What is meant by *ab initio* in nuclear physics
- Chiral nuclear forces
- Bound-state calculations: No-core shell model (NCSM)
- Including the continuum with the resonating group method
  - NCSM/RGM
  - NCSM with continuum
- Outlook



# What is meant by *ab initio* in nuclear physics?

- **First principles for Nuclear Physics:**

## QCD

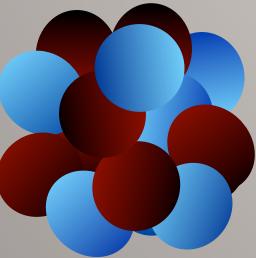
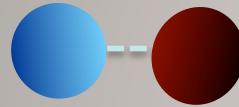
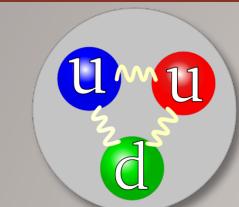
- Non-perturbative at low energies
- Lattice QCD in the future

- **Degrees of freedom: NUCLEONS**

- Nuclei made of nucleons
- Interacting by nucleon-nucleon and three-nucleon potentials

- *Ab initio*
  - ❖ All nucleons are active
  - ❖ Exact Pauli principle
  - ❖ Realistic inter-nucleon interactions
    - ❖ Accurate description of NN (and 3N) data
  - ❖ Controllable approximations

# From QCD to nuclei



Low-energy QCD



NN+3N interactions  
from chiral EFT

...or accurate  
meson-exchange  
potentials

Nuclear structure and reactions

# Chiral Effective Field Theory

- **First principles for Nuclear Physics:**

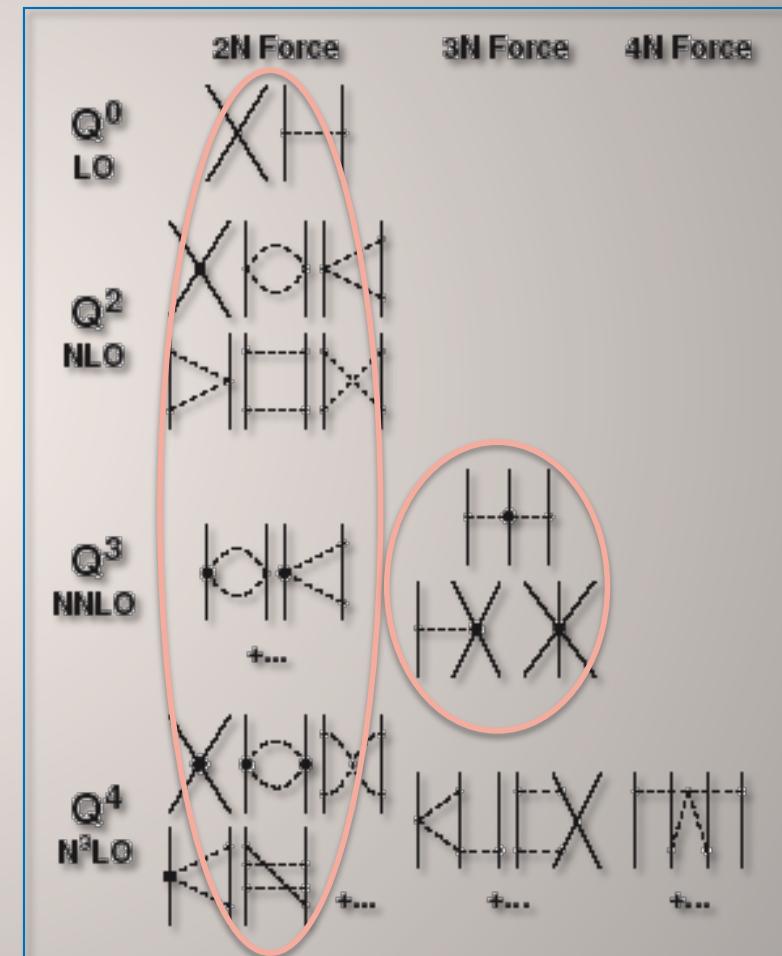
## **QCD**

- Non-perturbative at low energies
- Lattice QCD in the future

- **For now a good place to start:**

- Inter-nucleon forces from chiral effective field theory

- Based on the symmetries of QCD
  - Chiral symmetry of QCD ( $m_u \approx m_d \approx 0$ ), spontaneously broken with pion as the Goldstone boson
  - Degrees of freedom: nucleons + pions
- Systematic low-momentum expansion to a given order ( $Q/\Lambda_\chi$ )
- Hierarchy
- Consistency
- Low energy constants (LEC)
  - Fitted to data
  - Can be calculated by lattice QCD



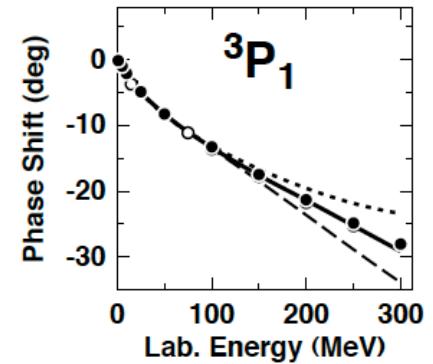
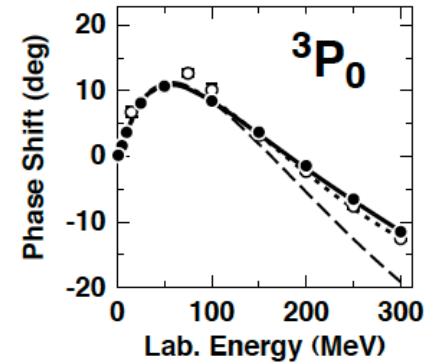
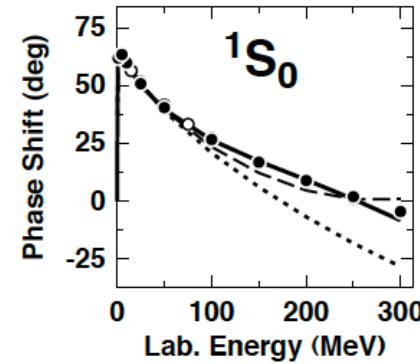
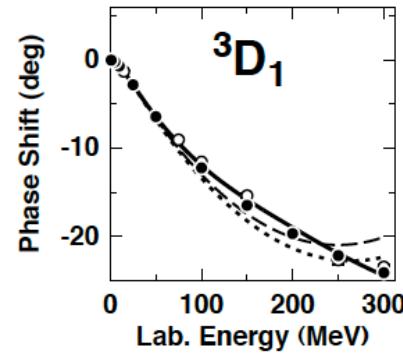
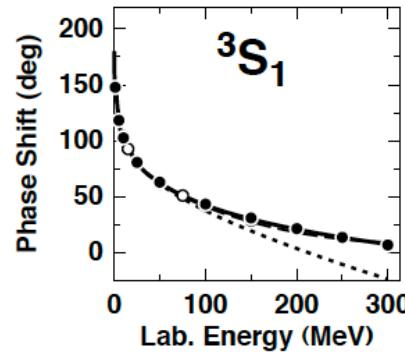
$\Lambda_\chi \sim 1 \text{ GeV}$  :  
Chiral symmetry breaking scale

# The NN interaction from chiral EFT

PHYSICAL REVIEW C 68, 041001(R) (2003)

## Accurate charge-dependent nucleon-nucleon potential at fourth order of chiral perturbation theory

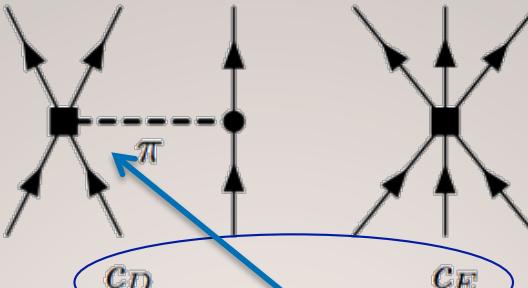
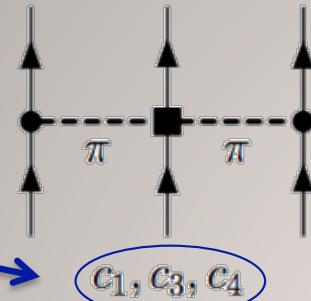
D. R. Entem<sup>1,2,\*</sup> and R. Machleidt<sup>1,†</sup>



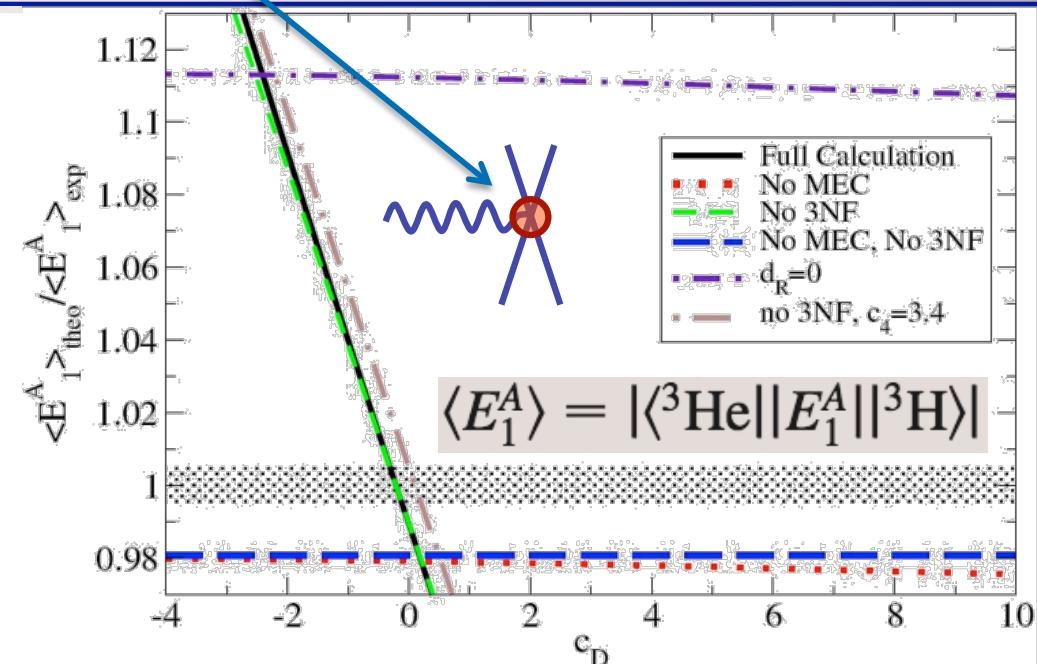
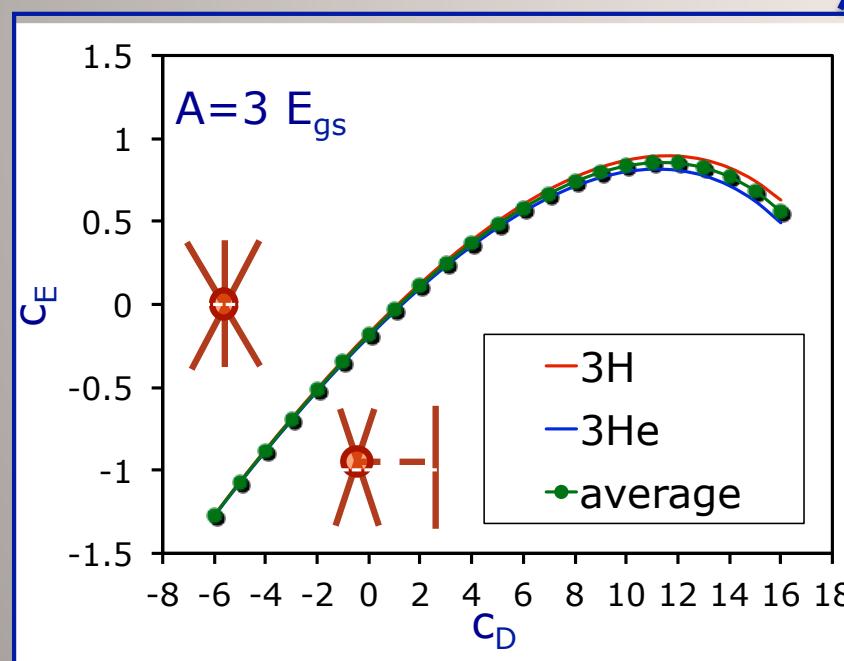
- 24 LECs fitted to the  $np$  scattering data and the deuteron properties
  - Including  $c_i$  LECs ( $i=1-4$ ) from pion-nucleon Lagrangian

# Leading terms of the chiral NNN force

From NN & pion-nucleon scattering

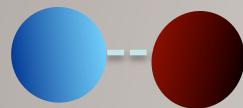


Chiral EFT provides a link between the medium-range ( $c_D$  term) NNN force and the meson-exchange current appearing in nuclear beta decay

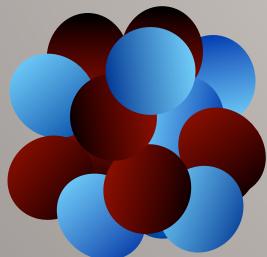


NNN parameters determined from the  ${}^3\text{H}$  binding energy and half life

# From QCD to nuclei



$$H|\Psi\rangle = E|\Psi\rangle$$



Low-energy QCD



NN+3N interactions  
from chiral EFT

...or accurate  
meson-exchange  
potentials



Many-Body methods

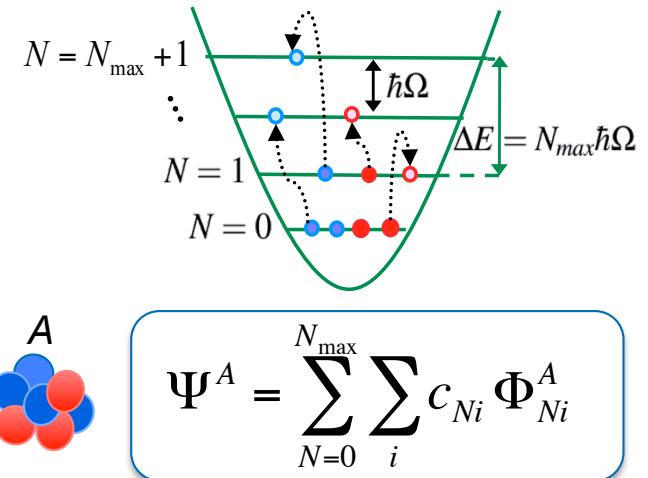
NCSM, NCSM/RGM,  
NCSMC, CCM, GFMC,  
HH, Nuclear Lattice  
EFT...



Nuclear structure and reactions

# No-core shell model

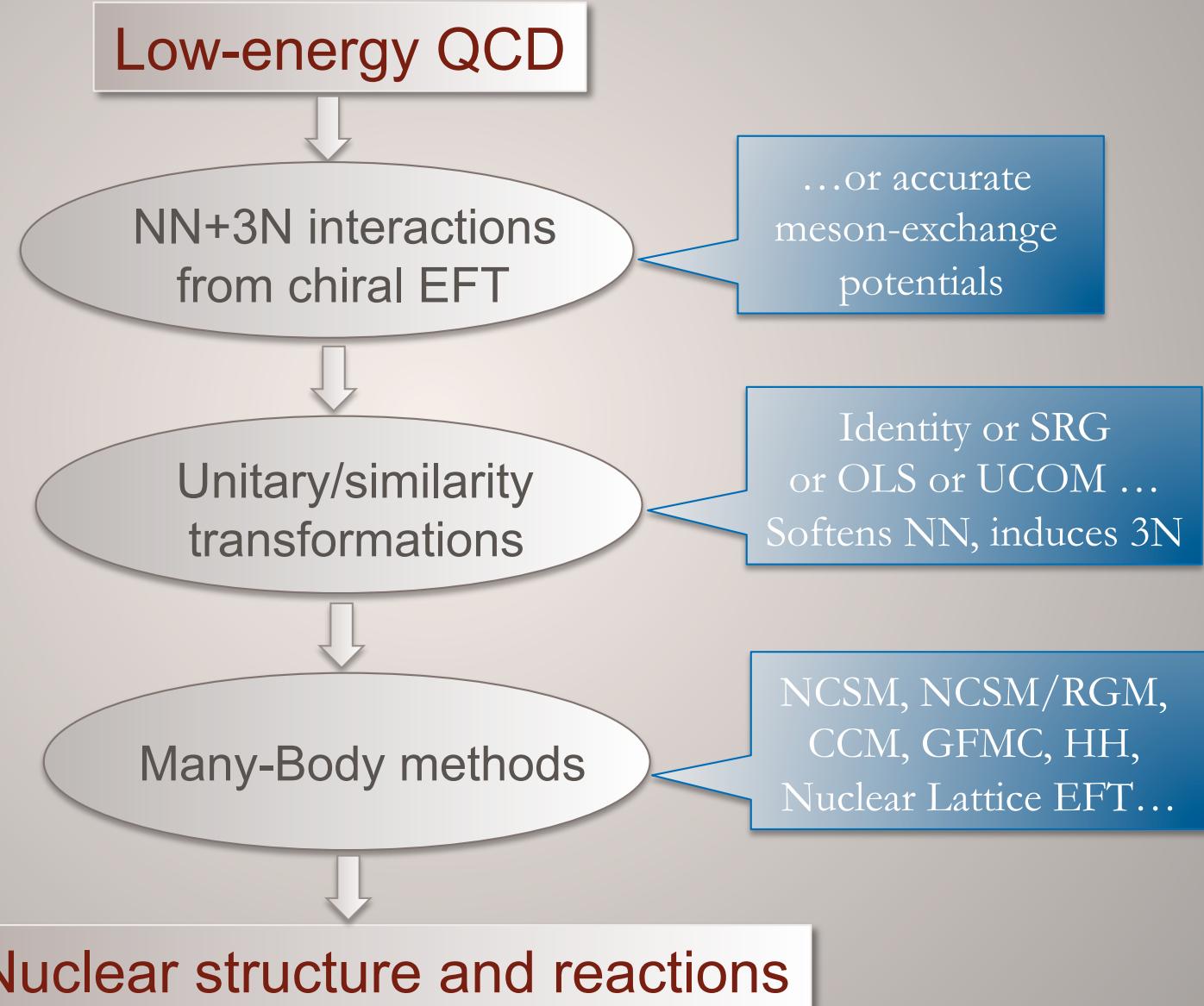
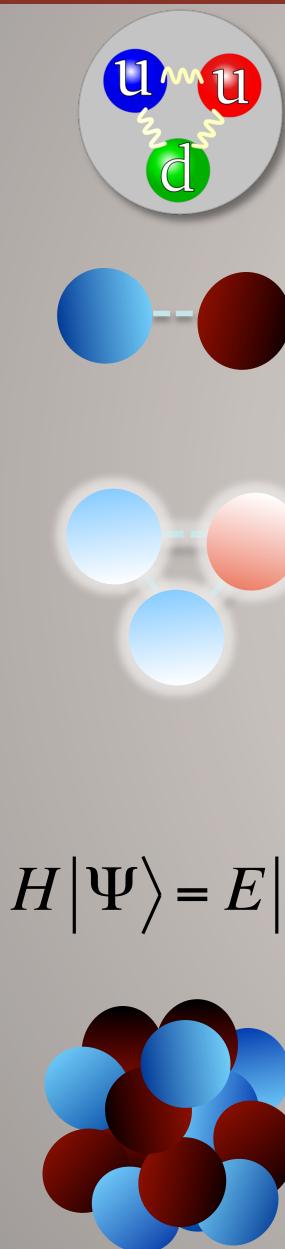
- No-core shell model (NCSM)
  - A-nucleon wave function expansion in the harmonic-oscillator (HO) basis
  - short- and medium range correlations
  - Bound-states, narrow resonances



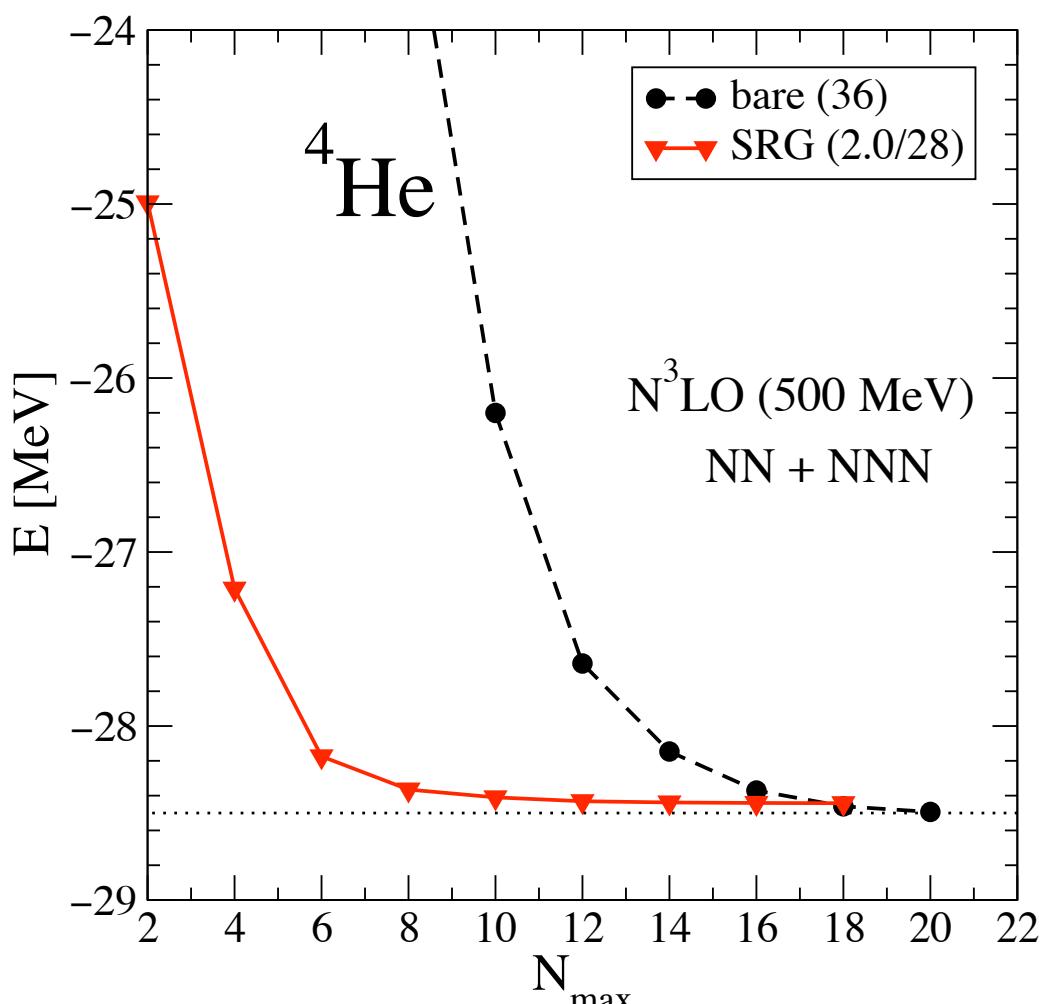
$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} |(A) \text{ } \begin{array}{c} \text{red} \\ \text{blue} \end{array}, \lambda \rangle$$

Unknowns

# From QCD to nuclei



# Calculations with chiral 3N: SRG renormalization needed



PRL 103, 082501 (2009)

PHYSICAL REVIEW LETTERS

week ending  
21 AUGUST 2009

Evolution of Nuclear Many-Body Forces with the Similarity Renormalization Group

E. D. Jurgenson,<sup>1</sup> P. Navrátil,<sup>2</sup> and R. J. Furnstahl<sup>1</sup>

A=3 binding energy and half life constraint  
 $c_D = -0.2$ ,  $c_E = -0.205$ ,  $\Lambda = 500$  MeV

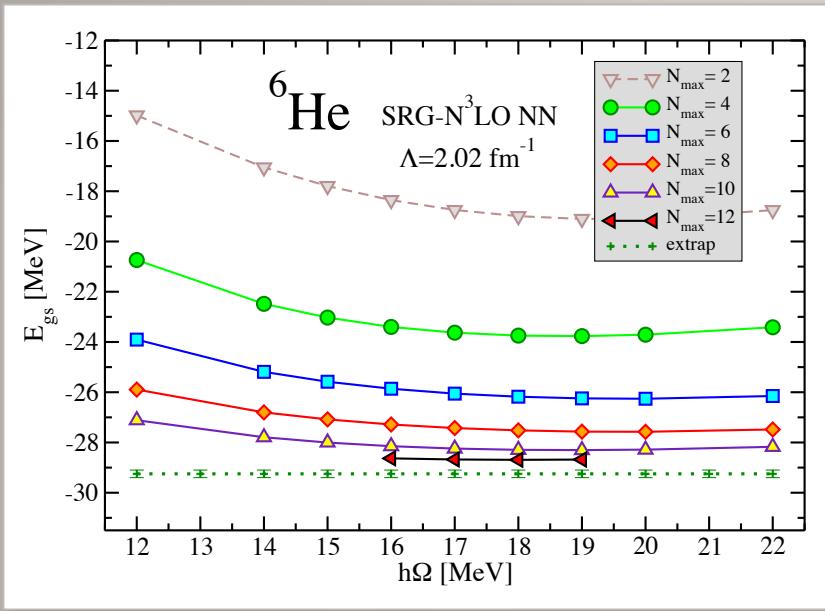
## Chiral $N^3\text{LO}$ NN plus $N^2\text{LO}$ NNN potential

- Bare interaction (black line)
  - Strong short-range correlations
    - Large basis needed
- SRG evolved effective interaction (red line)
  - Unitary transformation

$$H_\alpha = U_\alpha H U_\alpha^+ \Rightarrow \frac{dH_\alpha}{d\alpha} = [[T, H_\alpha], H_\alpha] \quad (\alpha = 1/\lambda^4)$$

- Two- plus *three*-body components, *four*-body omitted
- Softens the interaction
  - Smaller basis sufficient

# NCSM calculations of ${}^6\text{He}$ g.s. energy

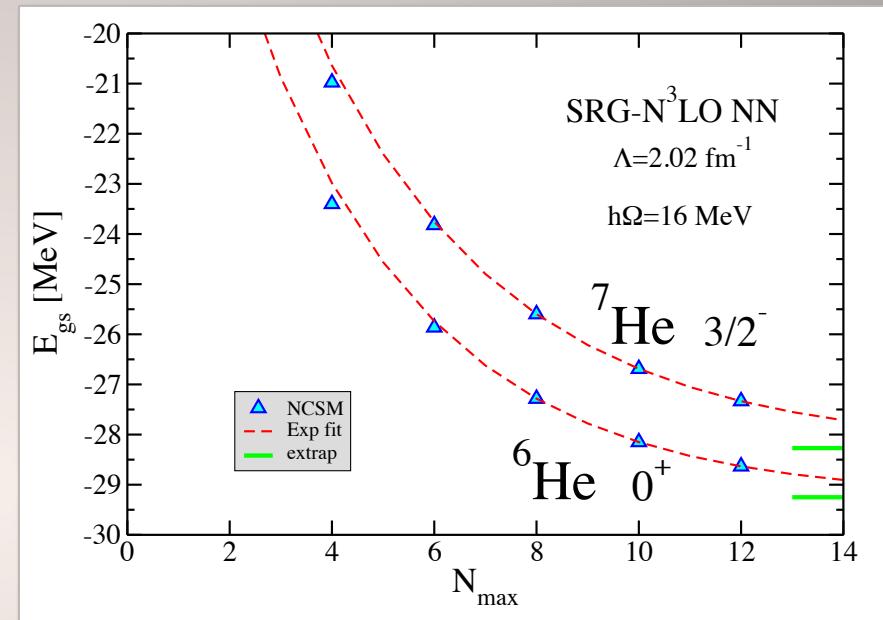
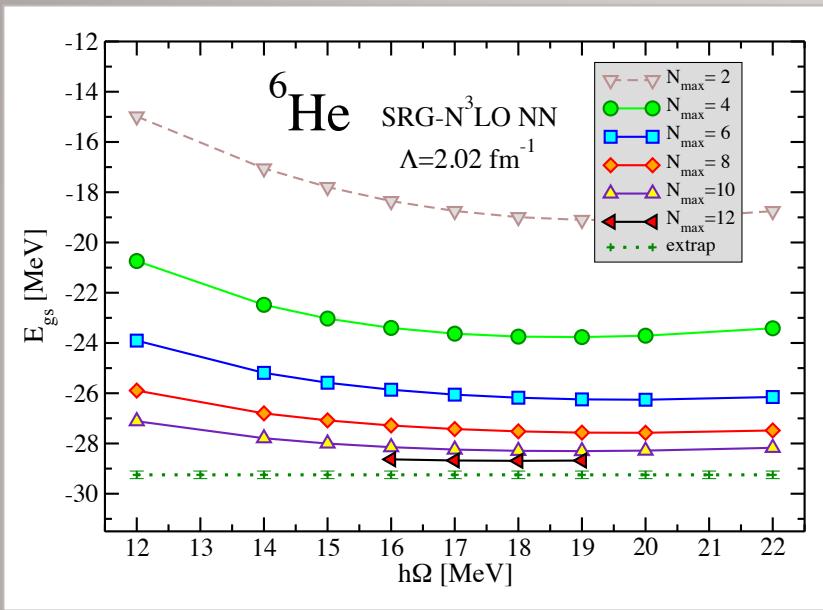


Dependence on:  
 Basis size –  $N_{\text{max}}$   
 HO frequency –  $h\Omega$

- Soft SRG evolved NN potential
- ✓  $N_{\text{max}}$  convergence OK
- ✓ Extrapolation feasible

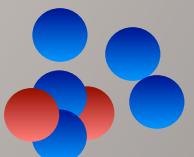
$E_{\text{g.s.}}$ [MeV]	${}^4\text{He}$	${}^6\text{He}$
NCSM $N_{\text{max}}=12$	-28.05	-28.63
NCSM extrap.	-28.22(1)	-29.25(15)
Expt.	-28.30	-29.27

# NCSM calculations of $^6\text{He}$ and $^7\text{He}$ g.s. energies



- Soft SRG evolved NN potential
- ✓  $N_{\text{max}}$  convergence OK
- ✓ Extrapolation feasible
- $^7\text{He}$  unbound
  - Expt.  $E_{\text{th}}=+0.430(3) \text{ MeV}$ : NCSM  $E_{\text{th}} \approx +1 \text{ MeV}$
  - Expt. width  $0.182(5) \text{ MeV}$ : **NCSM no information about the width**

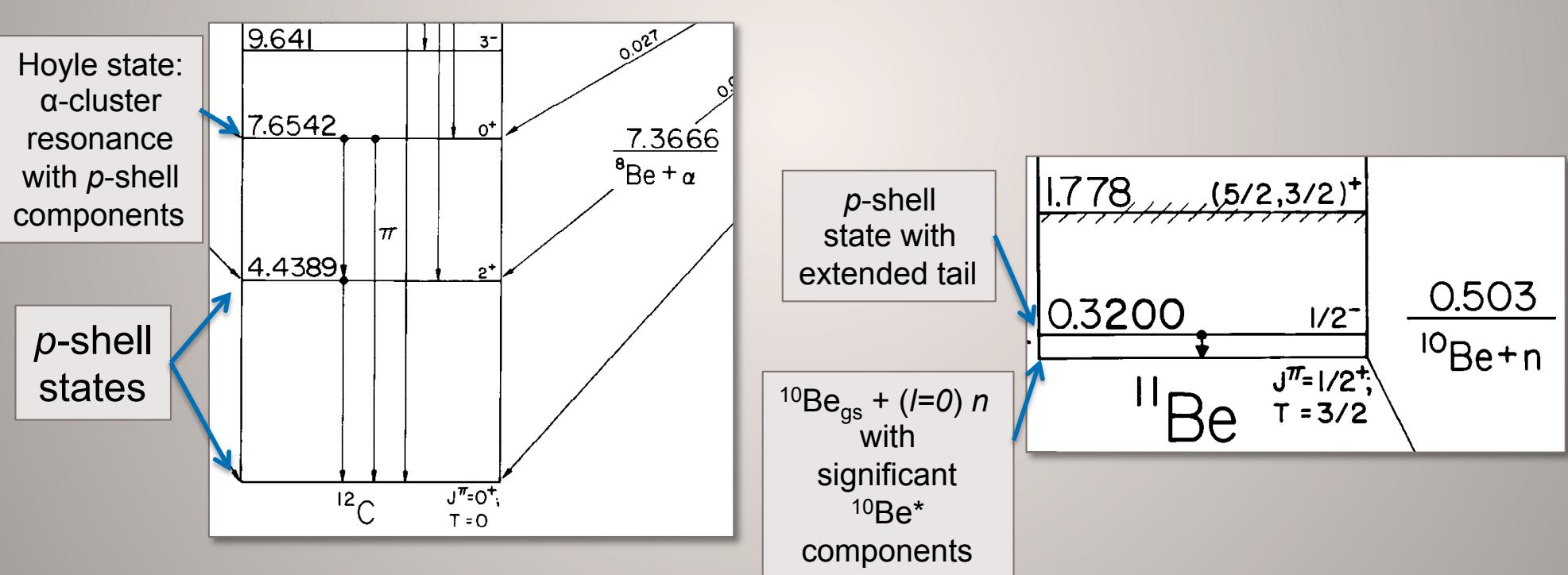
$E_{\text{g.s.}} [\text{MeV}]$	$^4\text{He}$	$^6\text{He}$	$^7\text{He}$
NCSM $N_{\text{max}}=12$	-28.05	-28.63	-27.33
NCSM extrap.	-28.22(1)	-29.25(15)	-28.27(25)
Expt.	-28.30	-29.27	-28.84



$^7\text{He}$  unbound

# Light & medium mass nuclei from first principles

- Nuclear **structure** and **reaction theory** for light nuclei cannot be uncoupled
  - Well-bound nuclei, e.g.  $^{12}\text{C}$ , have low-lying **cluster-dominated resonances**
  - Bound states of exotic nuclei, e.g.  $^{11}\text{Be}$ , manifest **many-nucleon correlations**



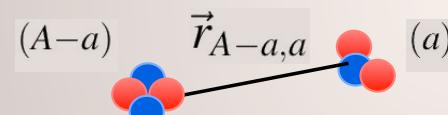
# Extending no-core shell model beyond bound states

Include more many nucleon correlations...

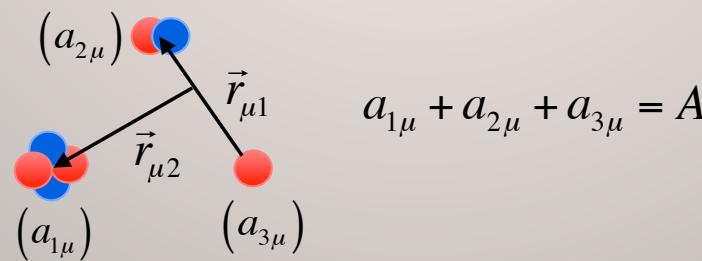
NCSM  $\longrightarrow$  

$$\Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^A$$

+



+



+

...

...using the Resonating Group Method (RGM)  
ideas

# Trial function: generalized cluster wave function

$$\psi^{(A)} = \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \longrightarrow \begin{array}{c} (a_{1\kappa} = A) \\ \text{ } \\ \text{ } \end{array} \phi_{1\kappa}$$

$$+ \sum_{\nu} \hat{A}_{\nu} \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) g_{\nu}(\vec{r}_{\nu}) \longrightarrow \begin{array}{c} \phi_{1\nu} \quad \vec{r}_{\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad \quad \quad (a_{2\nu}) \\ a_{1\nu} + a_{2\nu} = A \end{array}$$

$$+ \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) G_{\mu}(\vec{r}_{\mu 1}, \vec{r}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{1\mu} \quad \vec{r}_{\mu 2} \quad \phi_{2\mu} \\ (a_{1\mu}) \quad \quad \quad (a_{2\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array}$$

*+ ...*

# Trial function: generalized cluster wave function

$$\psi^{(A)} = \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \longrightarrow \begin{array}{c} (a_{1\kappa} = A) \\ \phi_{1\kappa} \\ \text{Diagram: two red spheres (electrons) and one blue sphere (nucleus) clustered together} \end{array}$$

$$+ \sum_{\nu} \hat{A}_{\nu} \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) g_{\nu}(\vec{r}_{\nu}) \longrightarrow \begin{array}{c} \phi_{1\nu} \quad \vec{r}_{\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad \quad \quad (a_{2\nu}) \\ a_{1\nu} + a_{2\nu} = A \end{array}$$

$$+ \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) G_{\mu}(\vec{r}_{\mu 1}, \vec{r}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{1\mu} \quad \vec{r}_{\mu 2} \quad \phi_{2\mu} \\ (a_{1\mu}) \quad \quad \quad (a_{2\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array}$$

$$+ \dots$$

- $\phi$ : antisymmetric cluster wave functions

- $\{\vec{\xi}\}$ : Translationally invariant internal coordinates  
(Jacobi relative coordinates)
  - These are known, they are an input

# Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} &= \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \longrightarrow \begin{array}{c} (a_{1\kappa} = A) \\ \text{ } \\ \text{ } \end{array} \phi_{1\kappa} \\
 &+ \sum_v \boxed{\hat{A}_v} \phi_{1v} \left( \left\{ \vec{\xi}_{1v} \right\} \right) \phi_{2v} \left( \left\{ \vec{\xi}_{2v} \right\} \right) g_v(\vec{r}_v) \longrightarrow \begin{array}{c} \phi_{1v} \quad (\vec{r}_v) \quad \phi_{2v} \\ (a_{1v}) \quad (a_{2v}) \\ a_{1v} + a_{2v} = A \end{array} \\
 &+ \sum_{\mu} \boxed{\hat{A}_{\mu}} \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) G_{\mu}(\vec{R}_{\mu 1}, \vec{R}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{1\mu} \quad (\vec{r}_{\mu 1}) \quad \phi_{2\mu} \\ (a_{1\mu}) \quad (a_{2\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array} \\
 &+ \dots
 \end{aligned}$$

- $\hat{A}_v, \hat{A}_{\mu}$ : intercluster antisymmetrizers
    - Antisymmetrize the wave function for exchanges of nucleons between clusters
    - Example:
- $$a_{1v} = A - 1, \quad a_{2v} = 1 \quad \Rightarrow \quad \hat{A}_v = \frac{1}{\sqrt{A}} \left[ 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \right]$$

# Trial function: generalized cluster wave function

$$\psi^{(A)} = \sum_{\kappa} \boxed{c_{\kappa}} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \xrightarrow{(a_{1\kappa} = A)} \begin{array}{c} \text{blue and red spheres} \\ \phi_{1\kappa} \end{array}$$

$$+ \sum_{\nu} \int \boxed{g_{\nu}(\vec{r})} \hat{A}_{\nu} \left[ \phi_{1\nu} \left( \left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left( \left\{ \vec{\xi}_{2\nu} \right\} \right) \delta(\vec{r} - \vec{r}_{\nu}) \right] d\vec{r} \xrightarrow{a_{1\nu} + a_{2\nu} = A} \begin{array}{c} \text{blue and red spheres} \\ \phi_{1\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \end{array}$$

$$+ \sum_{\mu} \iint \boxed{G_{\mu}(\vec{R}_1, \vec{R}_2)} \hat{A}_{\mu} \left[ \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2$$

$$+ \dots$$

• ***c, g*** and ***G***: discrete and continuous linear variational amplitudes

- Unknowns to be determined

$$\xrightarrow{a_{1\mu} + a_{2\mu} + a_{3\mu} = A} \begin{array}{c} \text{blue and red spheres} \\ \phi_{1\mu} \quad \phi_{2\mu} \quad \phi_{3\mu} \\ (a_{1\mu}) \quad (a_{2\mu}) \quad (a_{3\mu}) \end{array}$$

# Trial function: generalized cluster wave function

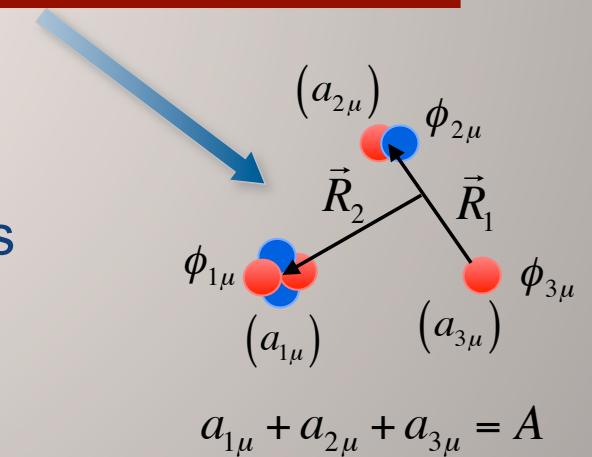
$$\psi^{(A)} = \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left( \left\{ \vec{\xi}_{1\kappa} \right\} \right) \xrightarrow{(a_{1\kappa} = A)} \begin{array}{c} \text{blue and red spheres} \\ \phi_{1\kappa} \end{array}$$

$$+ \sum_v \int g_v(\vec{r}) \hat{A}_v \left[ \phi_{1v} \left( \left\{ \vec{\xi}_{1v} \right\} \right) \phi_{2v} \left( \left\{ \vec{\xi}_{2v} \right\} \right) \delta(\vec{r} - \vec{r}_v) \right] d\vec{r} \xrightarrow{a_{1v} + a_{2v} = A} \begin{array}{c} \text{blue and red spheres} \\ \phi_{1v} \quad \phi_{2v} \\ (a_{1v}) \quad (a_{2v}) \end{array}$$

$$+ \sum_{\mu} \iint G_{\mu}(\vec{R}_1, \vec{R}_2) \hat{A}_{\mu} \left[ \phi_{1\mu} \left( \left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left( \left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left( \left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2$$

+ ...

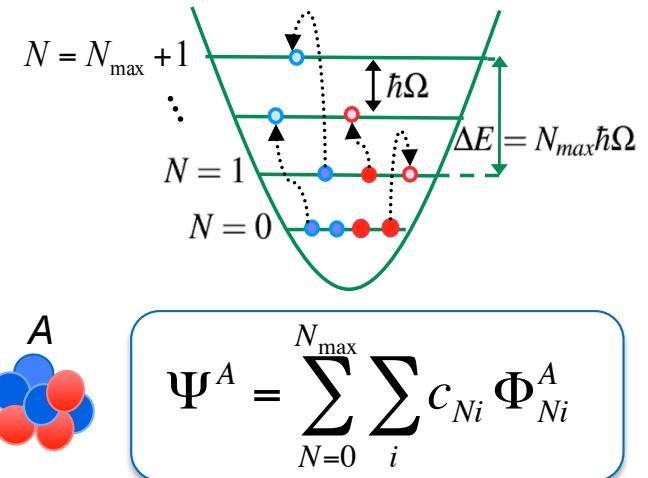
- Discrete and continuous set of basis functions
  - Non-orthogonal
  - Over-complete



$$a_{1\mu} + a_{2\mu} + a_{3\mu} = A$$

# No-core shell model

- No-core shell model (NCSM)
  - A-nucleon wave function expansion in the harmonic-oscillator (HO) basis
  - short- and medium range correlations
  - Bound-states, narrow resonances

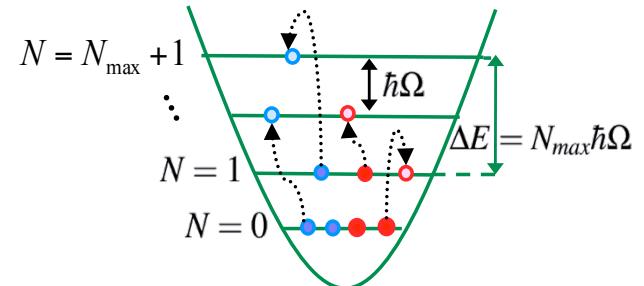


$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} |(A) \text{ } \begin{array}{c} \text{red} \\ \text{blue} \end{array}, \lambda \rangle$$

Unknowns

# No-core shell model with RGM

- No-core shell model (NCSM)
  - $A$ -nucleon wave function expansion in the harmonic-oscillator (HO) basis
  - short- and medium range correlations
  - Bound-states, narrow resonances
- NCSM with Resonating Group Method (NCSM/RGM)
  - cluster expansion
  - proper asymptotic behavior
  - long-range correlations

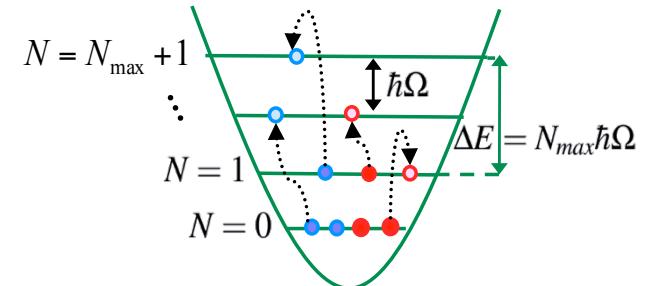


$$\Psi^{(A)} = \sum_v \int d\vec{r} \gamma_v(\vec{r}) \hat{A}_v \left|_{(A-a)}^{\vec{r}} (a), v \right\rangle$$

Unknowns

# No-core shell model with continuum

- No-core shell model (NCSM)
  - A-nucleon wave function expansion in the harmonic-oscillator (HO) basis
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- NCSM with Resonating Group Method (NCSM/RGM)
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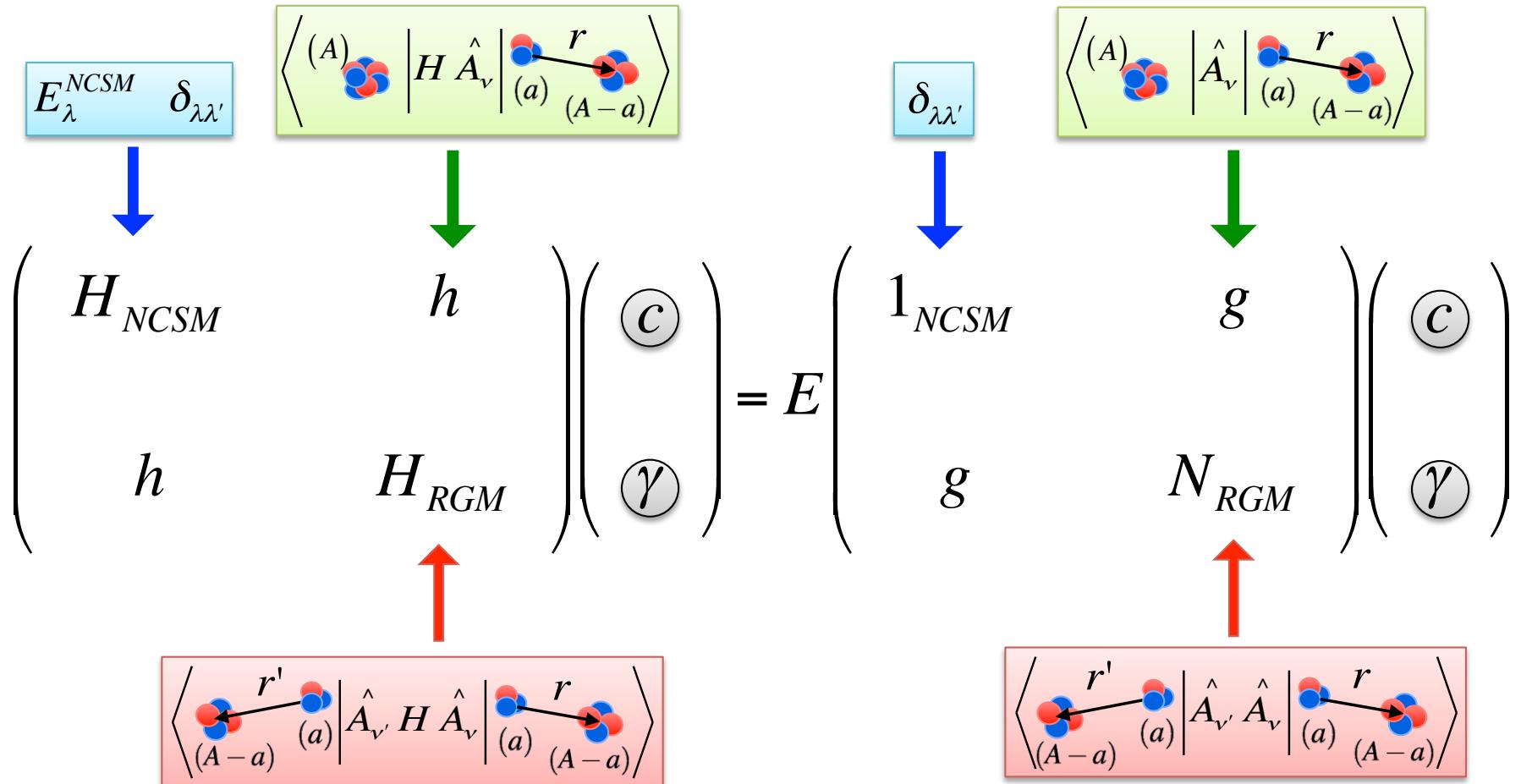
S. Baroni, P. N., and S. Quaglioni,  
PRL **110**, 022505 (2013); PRC **87**, 034326 (2013).

The most efficient:  
**No-Core Shell Model with Continuum (NCSMC)**

$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| (A) \begin{array}{c} \text{NCSM eigenstates} \\ \text{blue/red spheres} \end{array}, \lambda \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{array}{c} \text{NCSM/RGM} \\ \text{channel states} \\ \text{blue/red spheres} \\ \vec{r} \\ (A-a) \end{array}, \nu \right\rangle$$

Unknowns

# Coupled NCSMC equations



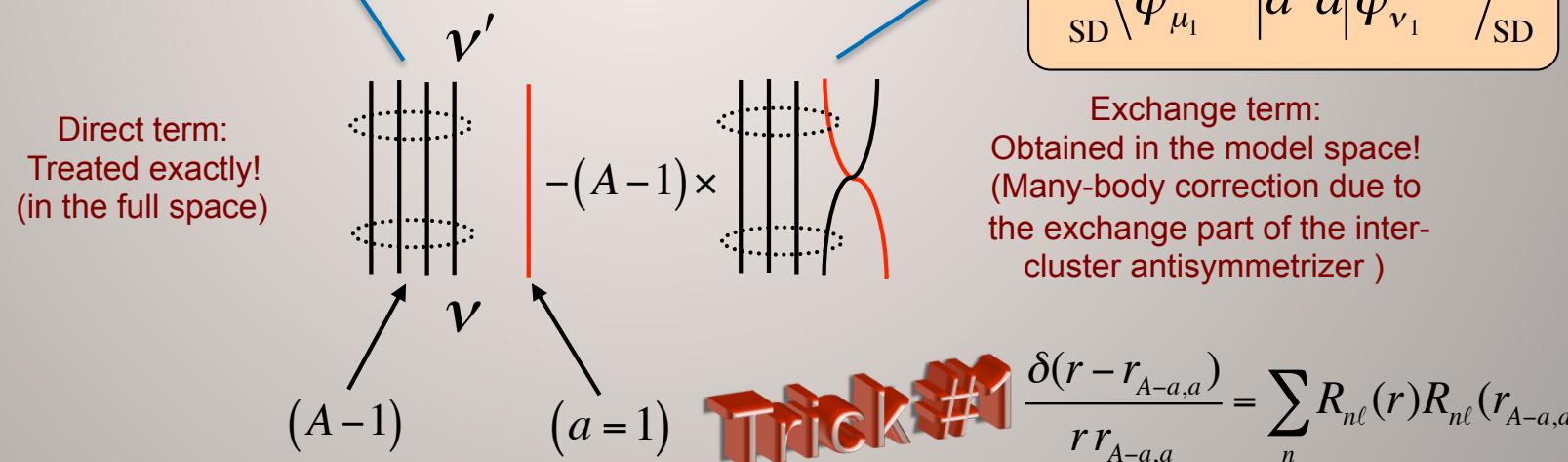
Scattering matrix (and observables) from matching solutions to known asymptotic with microscopic  $R$ -matrix on Lagrange mesh

# Norm kernel (Pauli principle)

## Single-nucleon projectile

$$\left\langle \Phi_{v'v'}^{J^{\pi T}} \left| \hat{A}_v \hat{A}_{v'} \right| \Phi_{vr}^{J^{\pi T}} \right\rangle = \left\langle \begin{array}{c} (A-1) \\ \text{ } \\ \text{ } \end{array} \middle| r' \middle| (a'=1) \right\rangle \left| 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \right| \left( a=1 \right) \begin{array}{c} (A-1) \\ \text{ } \\ \text{ } \end{array} \middle| r \middle| \right\rangle$$

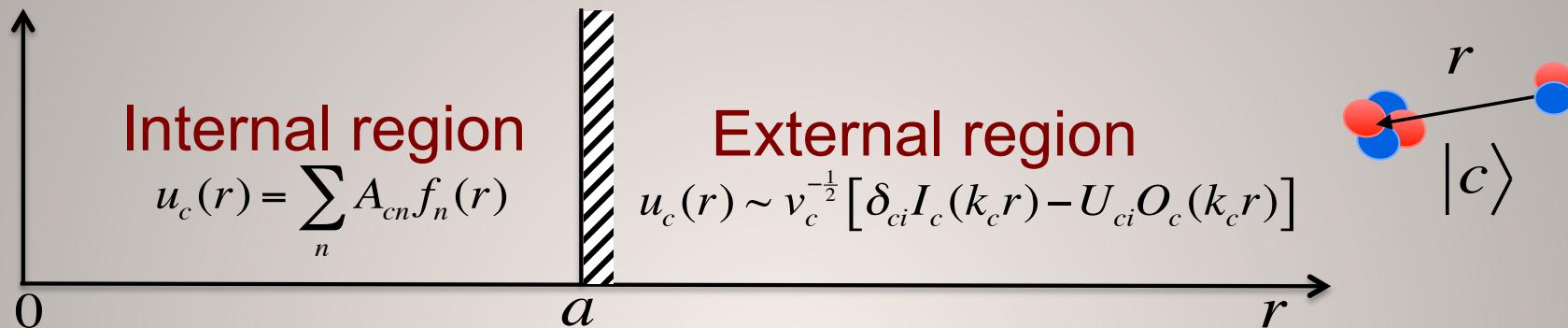
$$N_{v'v}^{J^{\pi T}}(r', r) = \underbrace{\delta_{v'v} \frac{\delta(r' - r)}{r'r}}_{\text{Direct term: Treated exactly! (in the full space)}} - (A-1) \sum_{n'n} R_{n'\ell'}(r') R_{n\ell}(r) \left\langle \Phi_{v'n'}^{J^{\pi T}} \left| \hat{P}_{A-1,A} \right| \Phi_{vn}^{J^{\pi T}} \right\rangle$$


**Trick #2**

Target wave functions expanded in the SD basis,  
the CM motion exactly removed

# Microscopic $R$ -matrix on a Lagrange mesh

Separation into “internal” and “external” regions at the channel radius  $a$



- This is achieved through the Bloch operator:
  - System of Bloch-Schrödinger equations:

$$L_c = \frac{\hbar^2}{2\mu_c} \delta(r - a) \left( \frac{d}{dr} - \frac{B_c}{r} \right)$$

$$\left[ \hat{T}_{rel}(r) + L_c + \bar{V}_{Coul}(r) - (E - E_c) \right] u_c(r) + \sum_{c'} \int dr' r' W_{cc'}(r, r') u_{c'}(r') = L_c u_c(r)$$

- Internal region: expansion on square-integrable Lagrange mesh basis
  - External region: asymptotic form for large  $r$

$$u_c(r) = \sum_n A_{cn} f_n(r)$$

$$\{ax_n \in [0,a]\}$$

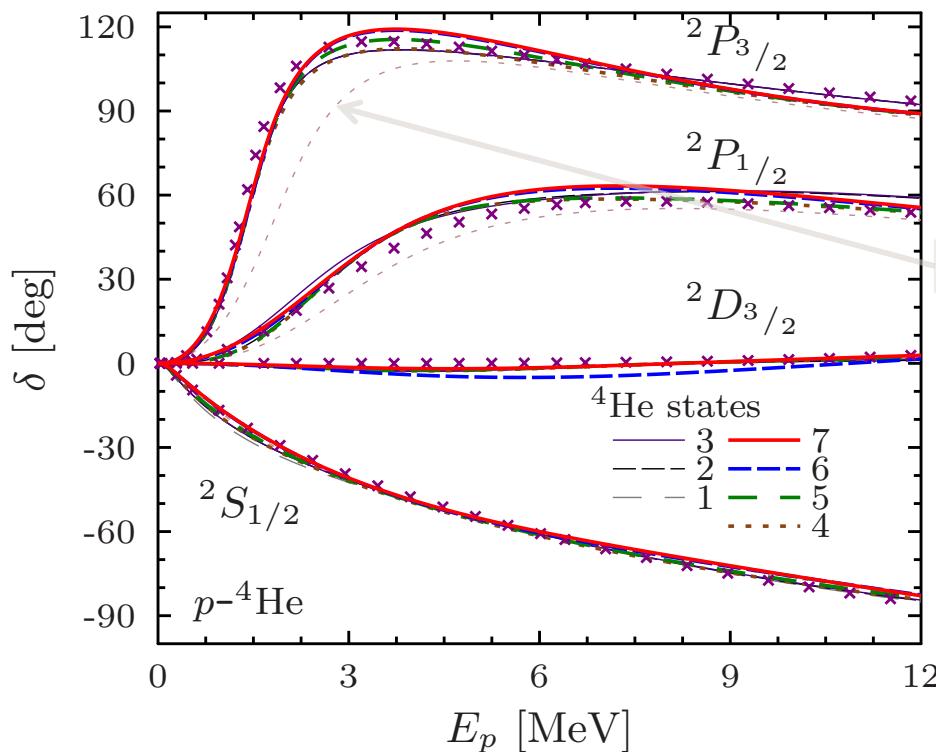
$$\int_0^1 g(x) dx \approx \sum_{n=1}^N \lambda_n g(x_n)$$

$$\int_0^a f_n(r) f_{n'}(r) dr \approx \delta_{nn'}$$

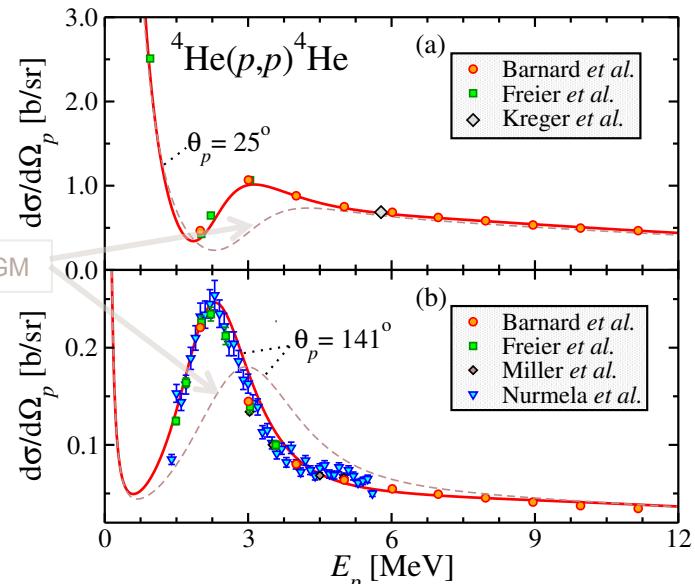


# $p\text{-}{}^4\text{He}$ scattering within NCSMC

$p\text{-}{}^4\text{He}$  scattering phase-shifts for NN+3N potential:  
Convergence



Differential  $p\text{-}{}^4\text{He}$  cross section with NN+3N potentials

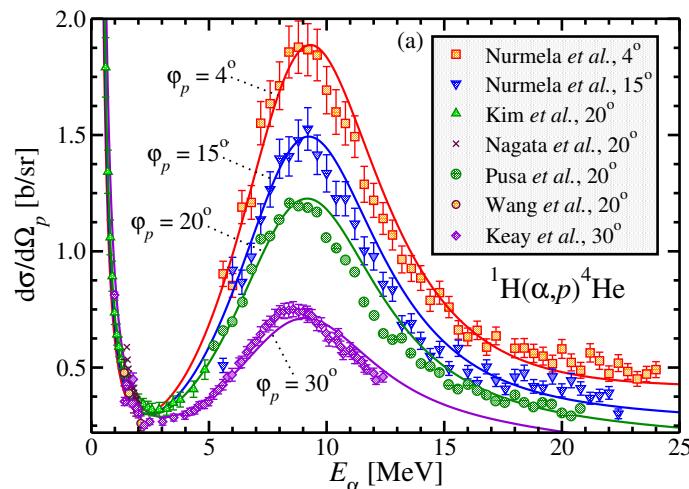


Predictive power in the 3/2<sup>-</sup> resonance region:  
Applications to material science

PHYSICAL REVIEW C **90**, 061601(R) (2014)

Predictive theory for elastic scattering and recoil of protons from  ${}^4\text{He}$

Guillaume Hupin,<sup>1,\*</sup> Sofia Quaglioni,<sup>1,†</sup> and Petr Navrátil<sup>2,‡</sup>

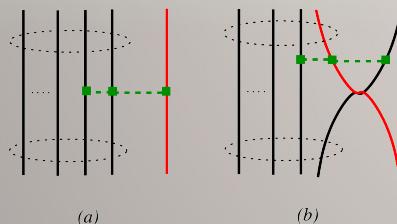


# Including 3N interaction in the NCSM/RGM Single-nucleon projectile:

$$\left\langle \Phi_{\nu' r'}^{J^{\pi}T} \left| \hat{A}_{\nu'} V^{NNN} \hat{A}_{\nu} \right| \Phi_{\nu r}^{J^{\pi}T} \right\rangle = \left\langle \begin{array}{c} (A-1) \\ \text{blue} \text{ and red} \\ \text{spheres} \end{array} \middle| r' \quad (a' = 1) \right| V^{NNN} \left( 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \right) \left| (a = 1) \quad r \quad \begin{array}{c} (A-1) \\ \text{red} \text{ and blue} \\ \text{spheres} \end{array} \right\rangle$$

$$\mathcal{V}_{\nu' \nu}^{NNN}(r, r') = \sum R_{n'l'}(r') R_{nl}(r) \left[ \frac{(A-1)(A-2)}{2} \left\langle \Phi_{\nu' n'}^{J^{\pi}T} \left| V_{A-2A-1A} (1 - 2P_{A-1A}) \right| \Phi_{\nu n}^{J^{\pi}T} \right\rangle \right. \\ \left. - \frac{(A-1)(A-2)(A-3)}{2} \left\langle \Phi_{\nu' n'}^{J^{\pi}T} \left| P_{A-1A} V_{A-3A-2A-1} \right| \Phi_{\nu n}^{J^{\pi}T} \right\rangle \right].$$

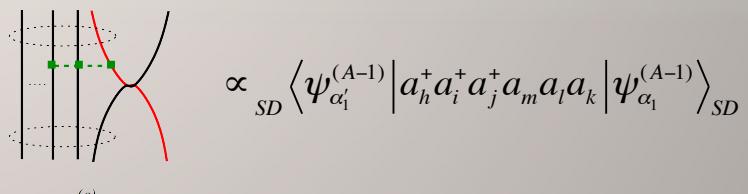
Direct potential: in the model space  
(interaction is localized!)



$$\propto_{SD} \left\langle \psi_{\alpha'_1}^{(A-1)} \left| a_i^+ a_j^+ a_l a_k \right| \psi_{\alpha_1}^{(A-1)} \right\rangle_{SD}$$

(a)

Exchange potential: in the model space  
(interaction is localized!)



(c)

Including 3N interaction challenging: more than 2 body density required

PHYSICAL REVIEW C 88, 054622 (2013)

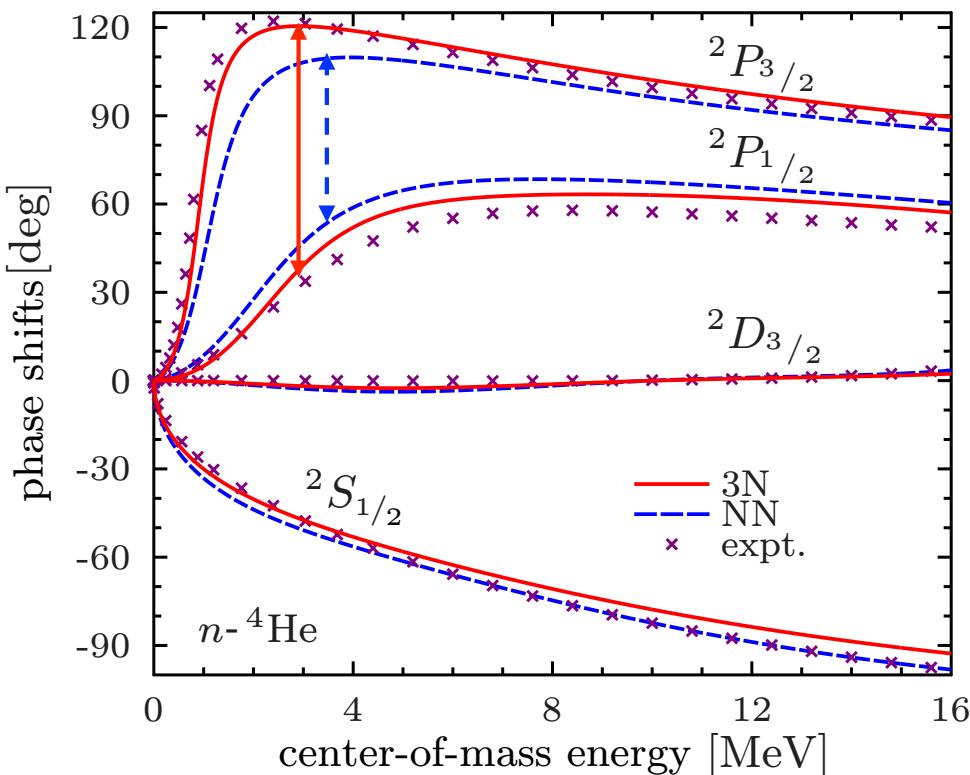
***Ab initio* many-body calculations of nucleon-<sup>4</sup>He scattering with three-nucleon forces**

Guillaume Hupin,<sup>1,\*</sup> Joachim Langhammer,<sup>2,†</sup> Petr Navrátil,<sup>3,‡</sup> Sofia Quaglioni,<sup>1,§</sup> Angelo Calci,<sup>2,||</sup> and Robert Roth<sup>2,¶</sup>

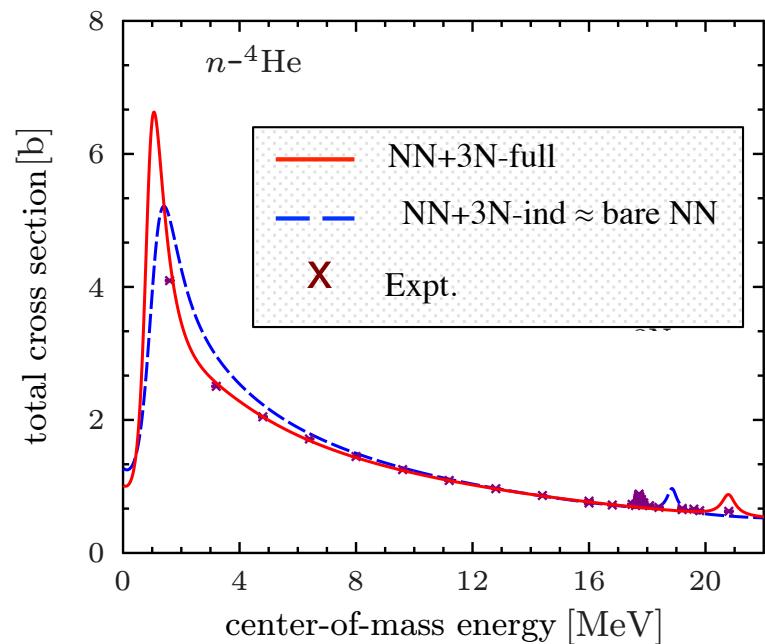


# $n\text{-}{}^4\text{He}$ scattering within NCSMC

$n\text{-}{}^4\text{He}$  scattering phase-shifts for chiral NN and NN+3N potential

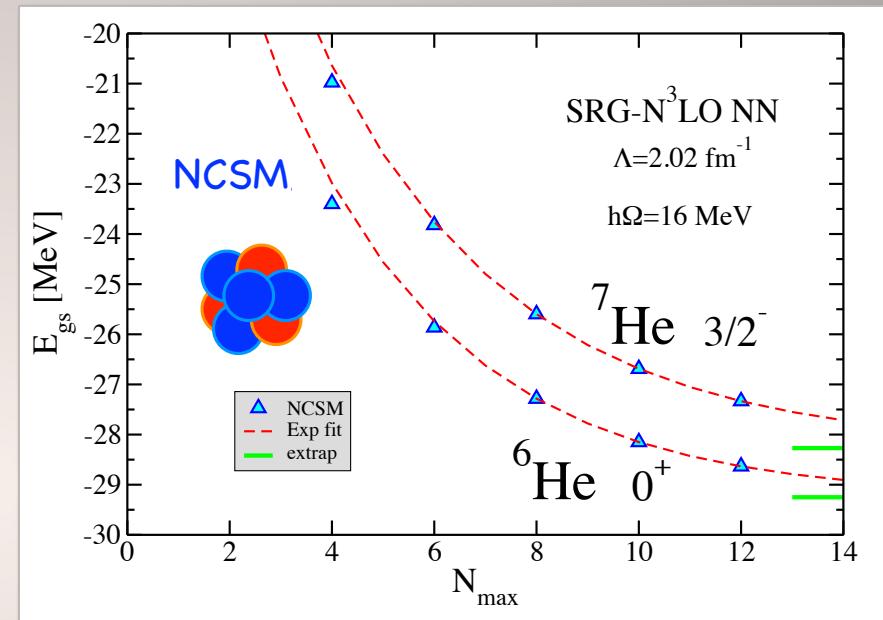
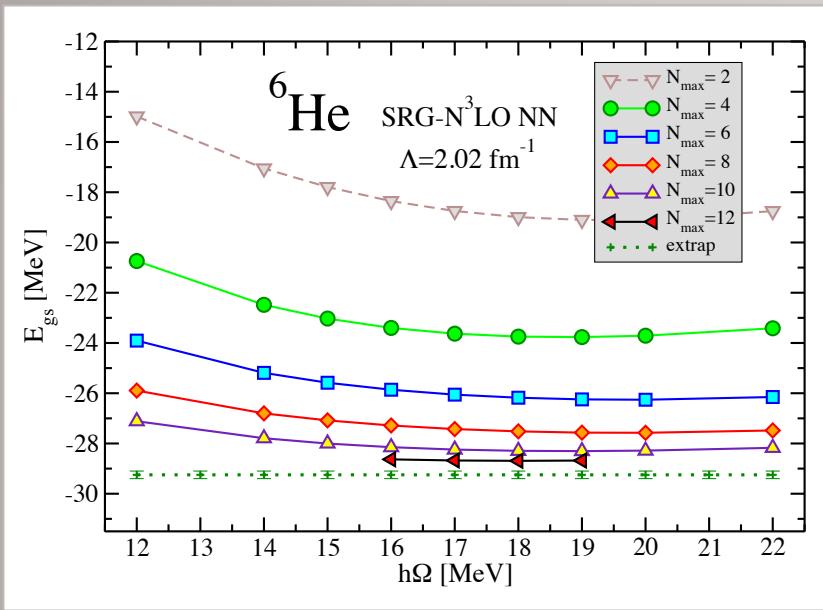


Total  $n\text{-}{}^4\text{He}$  cross section with NN and NN+3N potentials



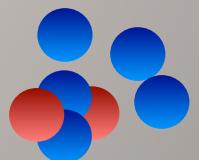
3N force enhances  $1/2^- \leftrightarrow 3/2^-$  splitting: Essential at low energies!

# NCSM calculations of $^6\text{He}$ and $^7\text{He}$ g.s. energies



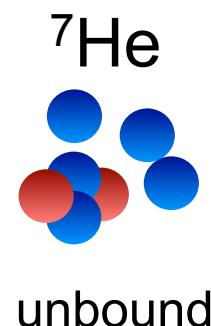
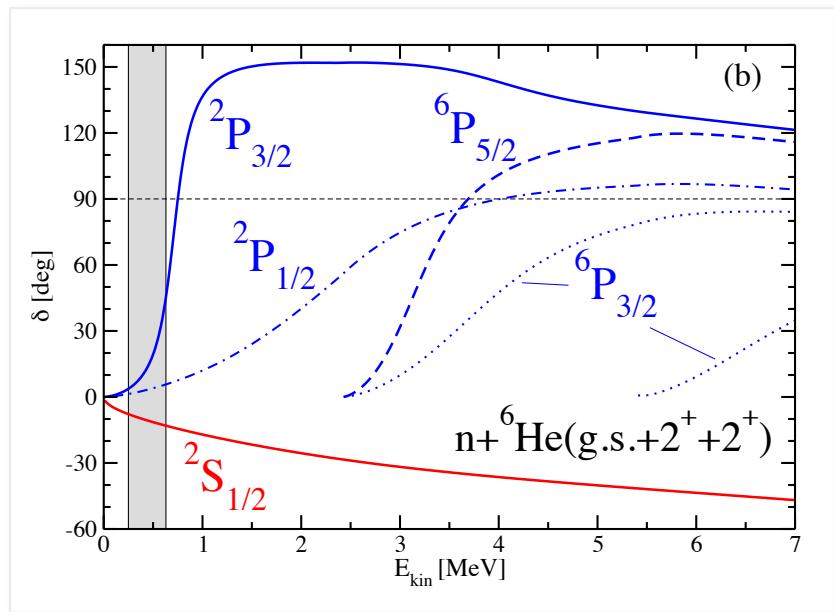
- Soft SRG evolved NN potential
- ✓  $N_{\text{max}}$  convergence OK
- ✓ Extrapolation feasible
- $^7\text{He}$  unbound
  - Expt.  $E_{\text{th}}=+0.430(3) \text{ MeV}$ : NCSM  $E_{\text{th}} \approx +1 \text{ MeV}$
  - Expt. width  $0.182(5) \text{ MeV}$ : **NCSM no information about the width**

$E_{\text{g.s.}} [\text{MeV}]$	$^4\text{He}$	$^6\text{He}$	$^7\text{He}$
NCSM $N_{\text{max}}=12$	-28.05	-28.63	-27.33
NCSM extrap.	-28.22(1)	-29.25(15)	-28.27(25)
Expt.	-28.30	-29.27	-28.84



$^7\text{He}$  unbound

# NCSM with continuum: ${}^7\text{He} \leftrightarrow {}^6\text{He} + n$



$$\Gamma = \frac{2}{\partial \delta(E_{kin}) / \partial E_{kin}} \Big|_{E_{kin}=E_R}$$

NCSMC  
 with three  ${}^6\text{He}$  states  
*and* ten  ${}^7\text{He}$  eigenstates  
**More 7-nucleon correlations**  
 Fewer  ${}^6\text{He}$ -core states needed

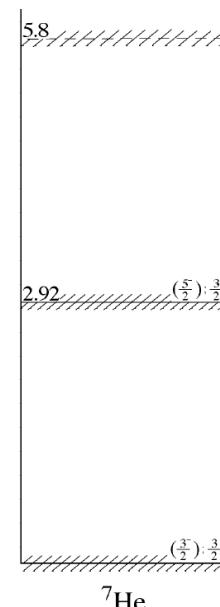
NCSMC



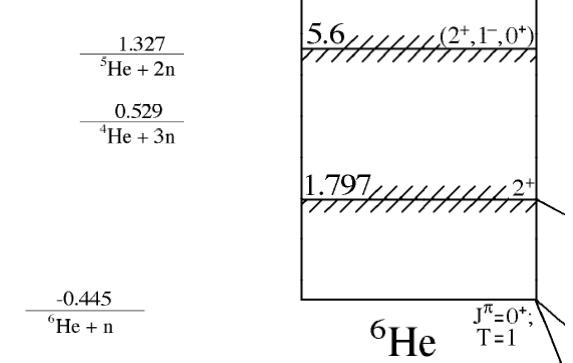
S. Baroni, P. N., and S. Quaglioni,  
 PRL **110**, 022505 (2013); PRC **87**, 034326 (2013).

$J^\pi$	experiment			NCSMC	
	$E_R$	$\Gamma$	Ref.	$E_R$	$\Gamma$
$3/2^-$	0.430(3)	0.182(5)	[2]	0.71	0.30
$5/2^-$	3.35(10)	1.99(17)	[40]	3.13	1.07
$1/2^-$	3.03(10)	2	[11]	2.39	2.89
	3.53	10	[15]		
	1.0(1)	0.75(8)	[5]		

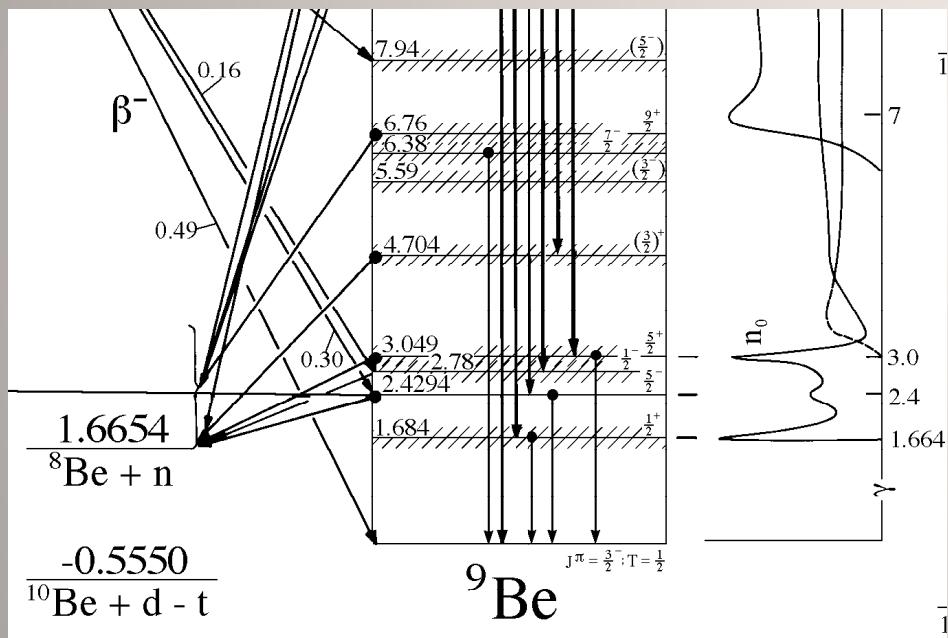
[11] A. H. Wuosmaa *et al.*, Phys. Rev. C **72**, 061301 (2005).



**Experimental controversy:**  
**Existence of low-lying  $1/2^-$  state**  
*... not seen in these calculations*



# Structure of ${}^9\text{Be}$



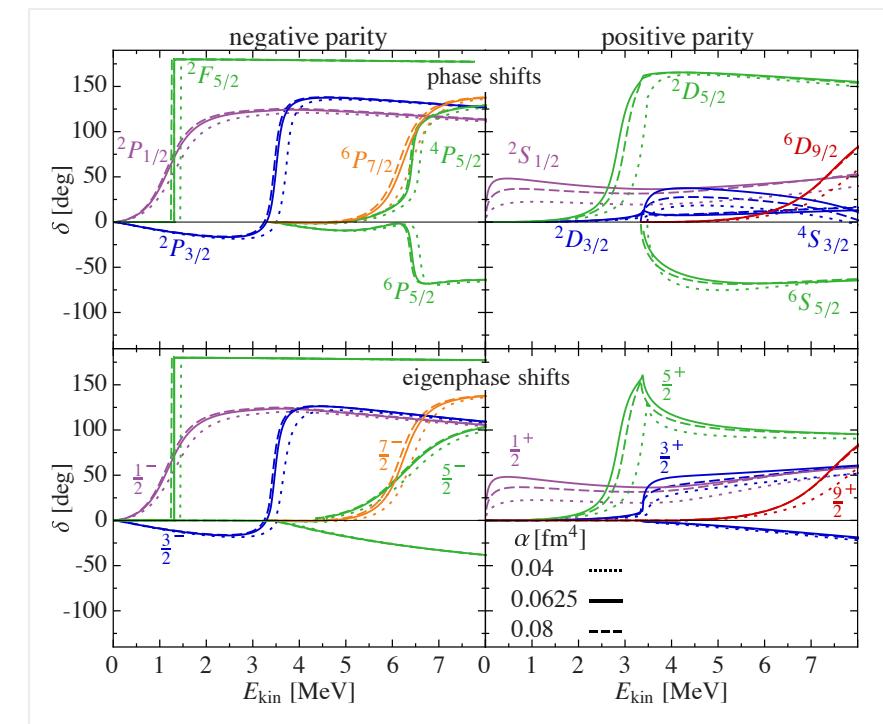
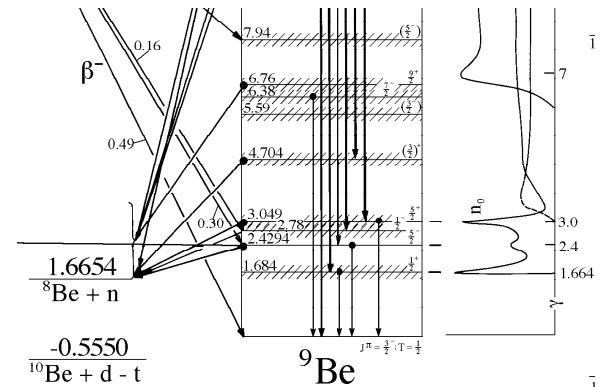
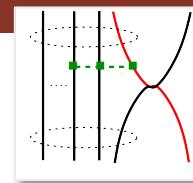
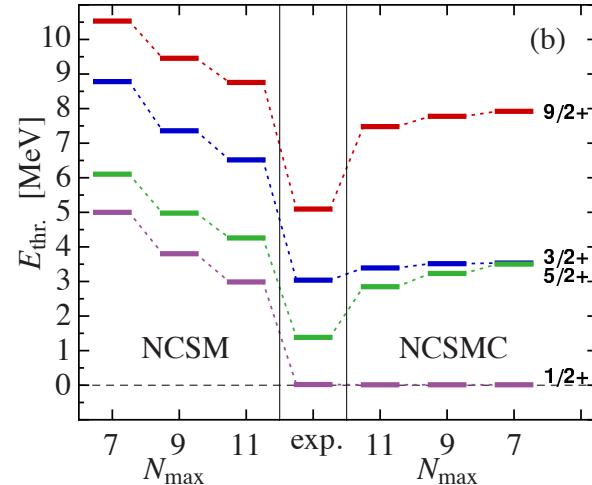
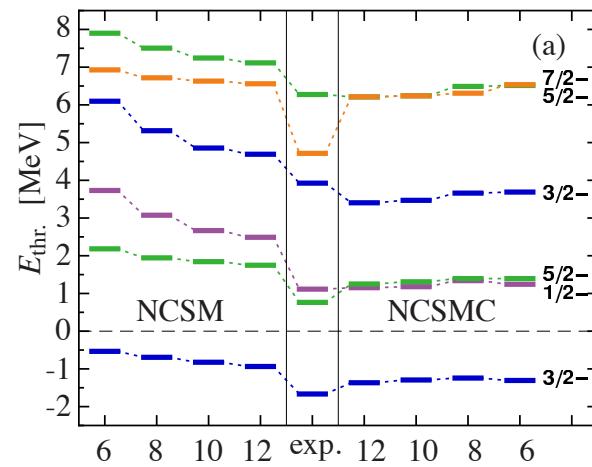
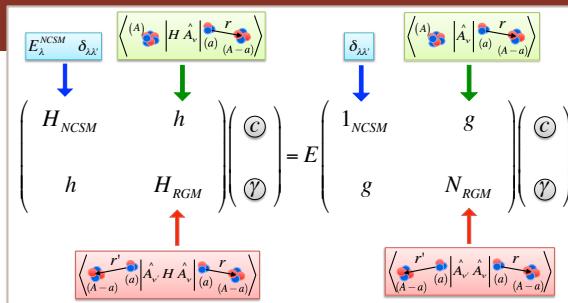
${}^9\text{Be}$  is a stable nucleus  
... but all its excited states unbound  
A proper description requires to include  
effects of continuum

The lowest threshold:  $n-{}^8\text{Be}$  ( $n-\alpha-\alpha$ )

Optimal description:  
Square-integrable  ${}^9\text{Be}$  basis +  $n-{}^8\text{Be}$  clusters

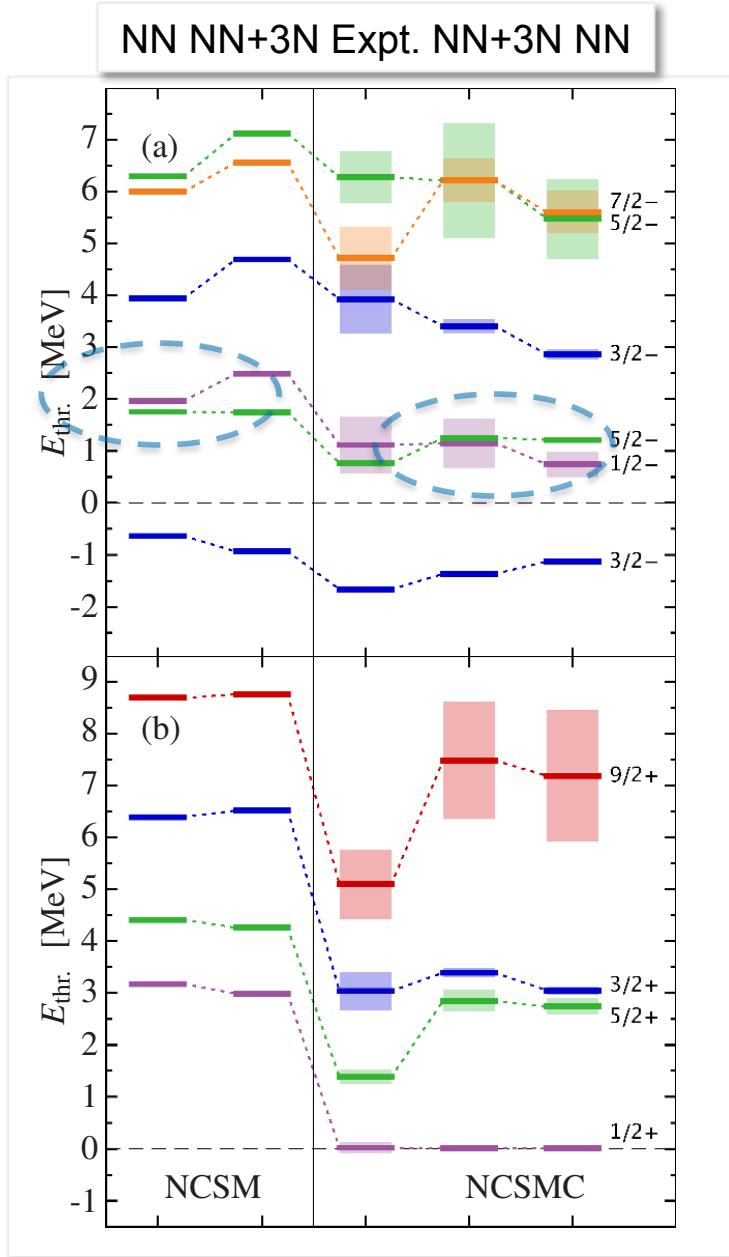


# NCSMC with chiral NN+3N: Structure of ${}^9\text{Be}$



J. Langhammer, P. N., G. Hupin, S. Quaglioni, A. Calci, R. Roth,  
arXiv:1411.2541 [nucl-th]

# NCSMC with chiral NN+3N: Structure of ${}^9\text{Be}$

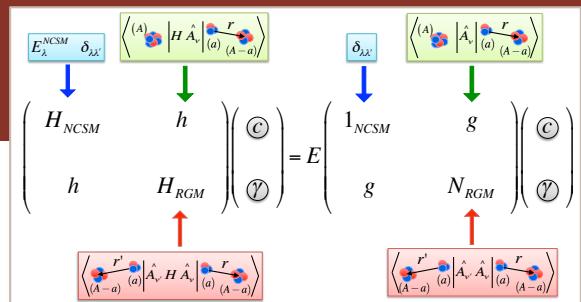


${}^9\text{Be}$  is a stable nucleus  
... but all its excited states unbound  
A proper description requires to include  
effects of continuum

Three-nucleon interaction *and* continuum  
improve agreement with experiment for  
negative parity states

Continuum crucial for the description of  
positive-parity states

# NCSMC wave function



$$\Psi^{(A)} = \sum_{\lambda} c_{\lambda} \left| (A) \text{ (three-body system)}, \lambda \right\rangle + \sum_{\nu} \int d\vec{r} \gamma_{\nu}(\vec{r}) \hat{A}_{\nu} \left| \begin{array}{c} \text{ (two-body system)} \\ (A-a) \end{array}, \nu \right\rangle$$

$$\begin{aligned} |\Psi_A^{J^\pi T}\rangle &= \sum_{\lambda} |A\lambda J^\pi T\rangle \left[ \sum_{\lambda'} (N^{-\frac{1}{2}})^{\lambda\lambda'} \bar{c}_{\lambda'} + \sum_{\nu'} \int dr' r'^2 (N^{-\frac{1}{2}})_{\nu'r'}^{\lambda} \frac{\tilde{\chi}_{\nu'}(r')}{r'} \right] \\ &\quad + \sum_{\nu\nu'} \int dr r^2 \int dr' r'^2 \hat{A}_{\nu} |\Phi_{\nu r}^{J^\pi T}\rangle \mathcal{N}_{\nu\nu'}^{-\frac{1}{2}}(r, r') \left[ \sum_{\lambda'} (N^{-\frac{1}{2}})_{\nu'r'}^{\lambda'} \bar{c}_{\lambda'} + \sum_{\nu''} \int dr'' r''^2 (N^{-\frac{1}{2}})_{\nu'r'\nu''r''} \frac{\tilde{\chi}_{\nu''}(r'')}{r''} \right]. \end{aligned}$$

Asymptotic behavior  $r \rightarrow \infty$ :

$$\bar{\chi}_{\nu}(r) \sim C_{\nu} W(k_{\nu} r)$$

Bound state

$$\bar{\chi}_{\nu}(r) \sim v_{\nu}^{-\frac{1}{2}} [\delta_{vi} I_{\nu}(k_{\nu} r) - U_{vi} O_{\nu}(k_{\nu} r)]$$

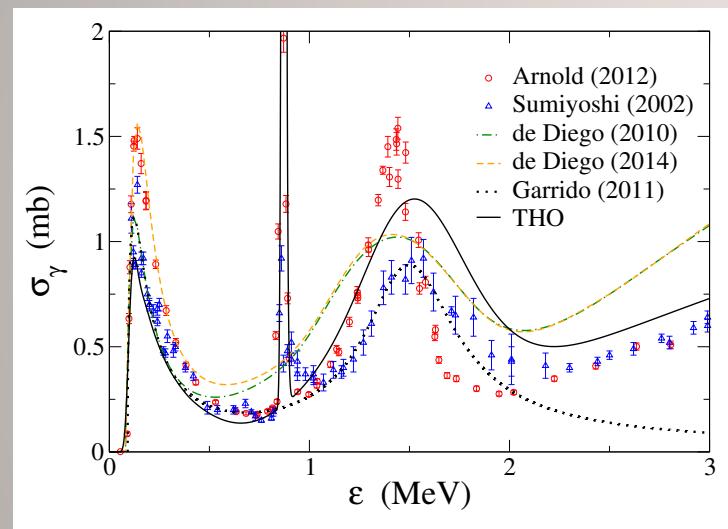
Scattering state

Scattering matrix

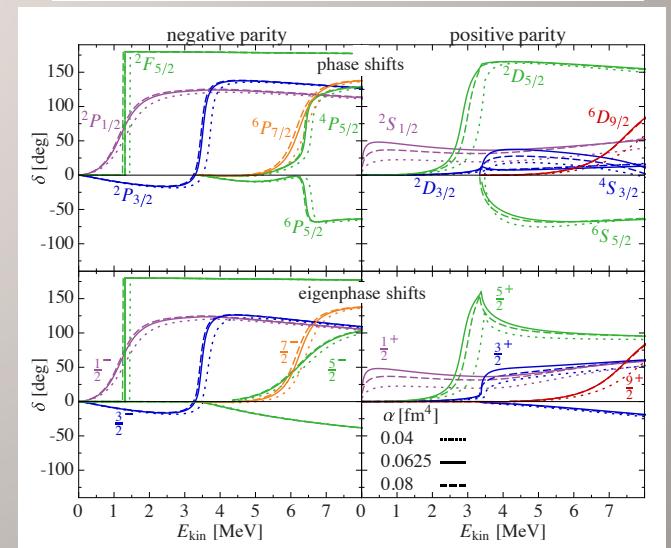
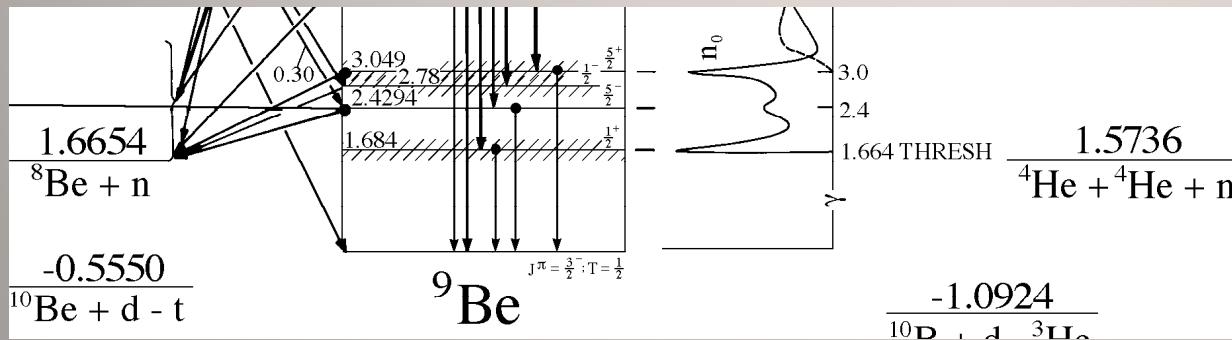
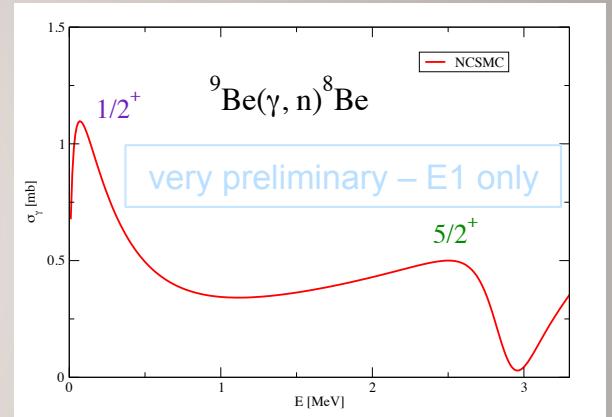
# Photo-disassocation of ${}^9\text{Be}$

Reaction  $\alpha(\alpha n, \gamma){}^9\text{Be}$  relevant for astrophysics: beginning of r-process

Inverse process  ${}^9\text{Be}(\gamma, \alpha n)\alpha$  measured in laboratory

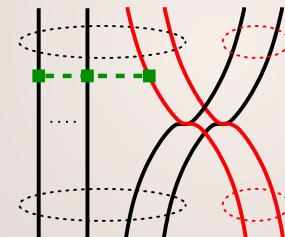


**NCSMC**



# The deuteron-projectile formalism: Three-nucleon interaction

$$\begin{array}{c}
E_{\lambda}^{\text{NCSM}} \delta_{\lambda\lambda'} \left\langle \begin{array}{c} (A) \\ \bullet \bullet \end{array} \middle| H \hat{A}_r \middle| \begin{array}{c} (a) \\ (A-a) \end{array} \right\rangle \\
\downarrow \\
H_{\text{NCSM}} \quad h \\
\left( \begin{array}{cc} h & H_{\text{RGM}} \\ H_{\text{RGM}} & \end{array} \right) \left( \begin{array}{c} \textcircled{C} \\ \textcircled{Y} \end{array} \right) = E \left( \begin{array}{cc} 1_{\text{NCSM}} & g \\ g & N_{\text{RGM}} \end{array} \right) \left( \begin{array}{c} \textcircled{C} \\ \textcircled{Y} \end{array} \right) \\
\uparrow \\
\left\langle \begin{array}{c} (A-a) \\ (A-a) \end{array} \middle| \hat{A}_r H \hat{A}_r \middle| \begin{array}{c} (a) \\ (A-a) \end{array} \right\rangle \quad \left\langle \begin{array}{c} r' \\ (A-a) \end{array} \middle| \hat{A}_{r'} \hat{A}_r \middle| \begin{array}{c} (a) \\ (A-a) \end{array} \right\rangle
\end{array}$$



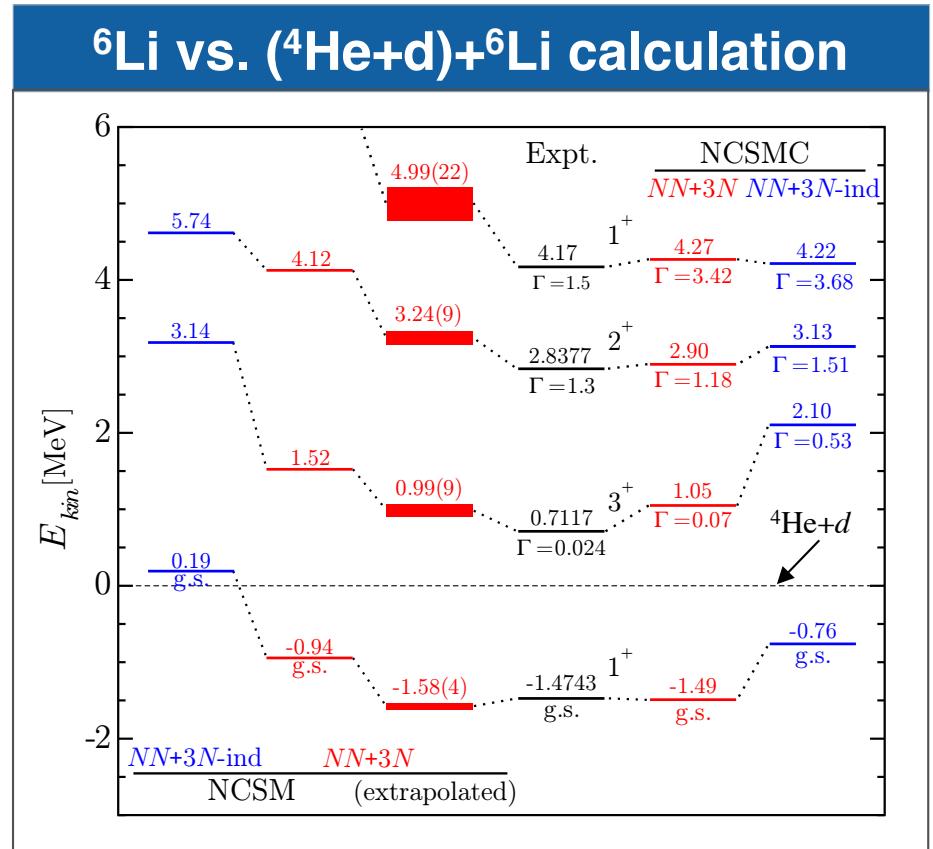
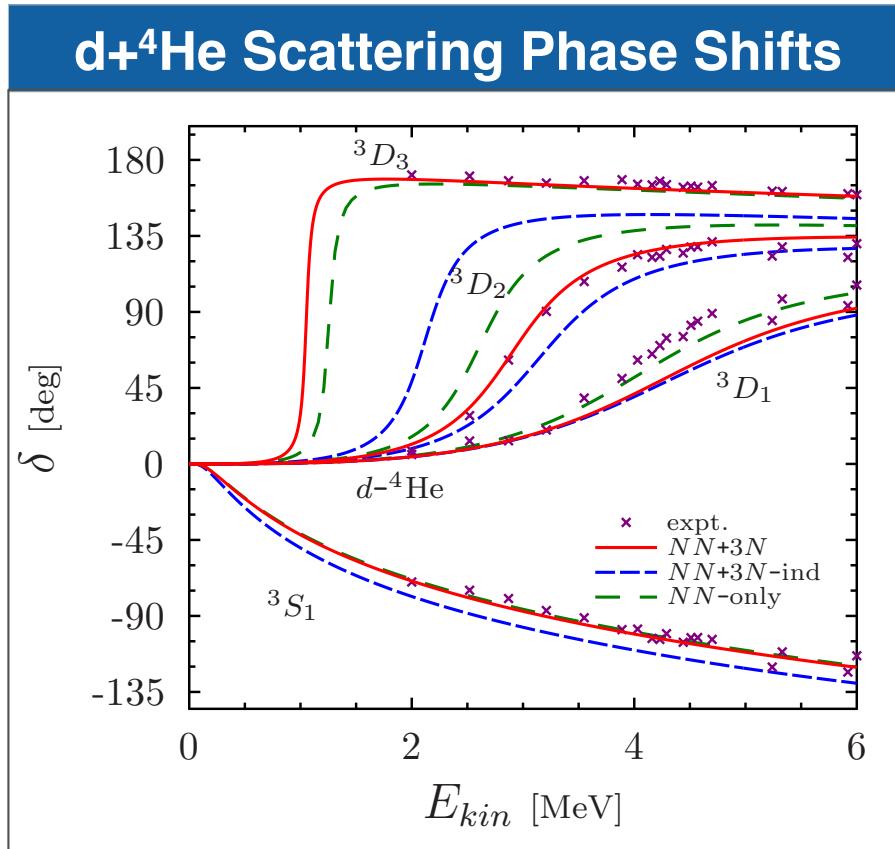
$${}_{\text{SD}} \left\langle \psi_{\mu_1}^{(A-2)} \middle| a^+ a^+ a^+ a^+ a a a a \middle| \psi_{\nu_1}^{(A-2)} \right\rangle_{\text{SD}}$$



For  $A=6$  use completeness

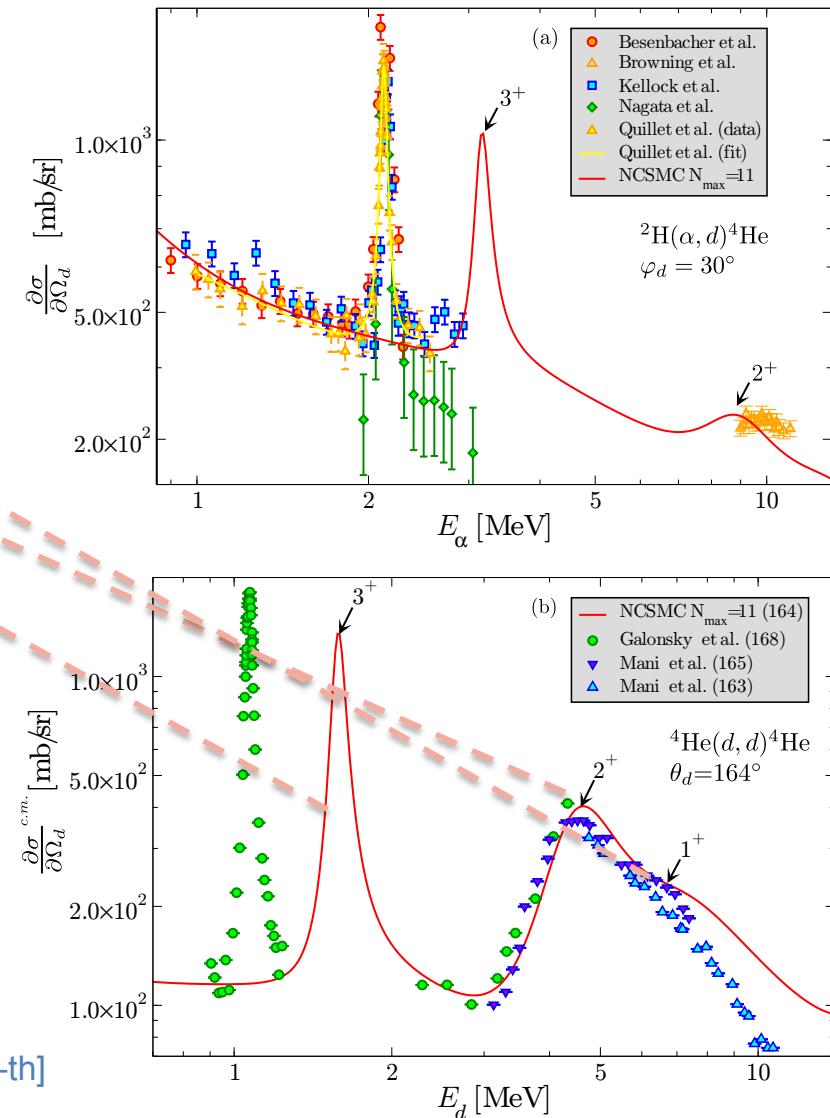
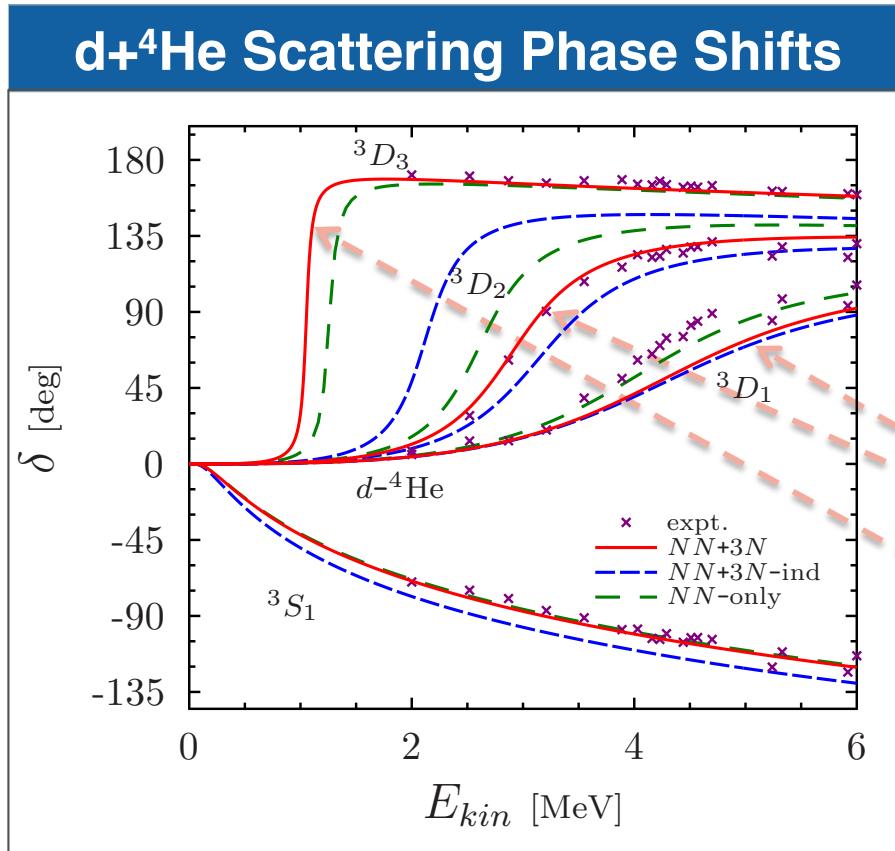
# Unified description of ${}^6\text{Li}$ structure and d+ ${}^4\text{He}$ dynamics

- Continuum and three-nucleon force effects on d+ ${}^4\text{He}$  and  ${}^6\text{Li}$



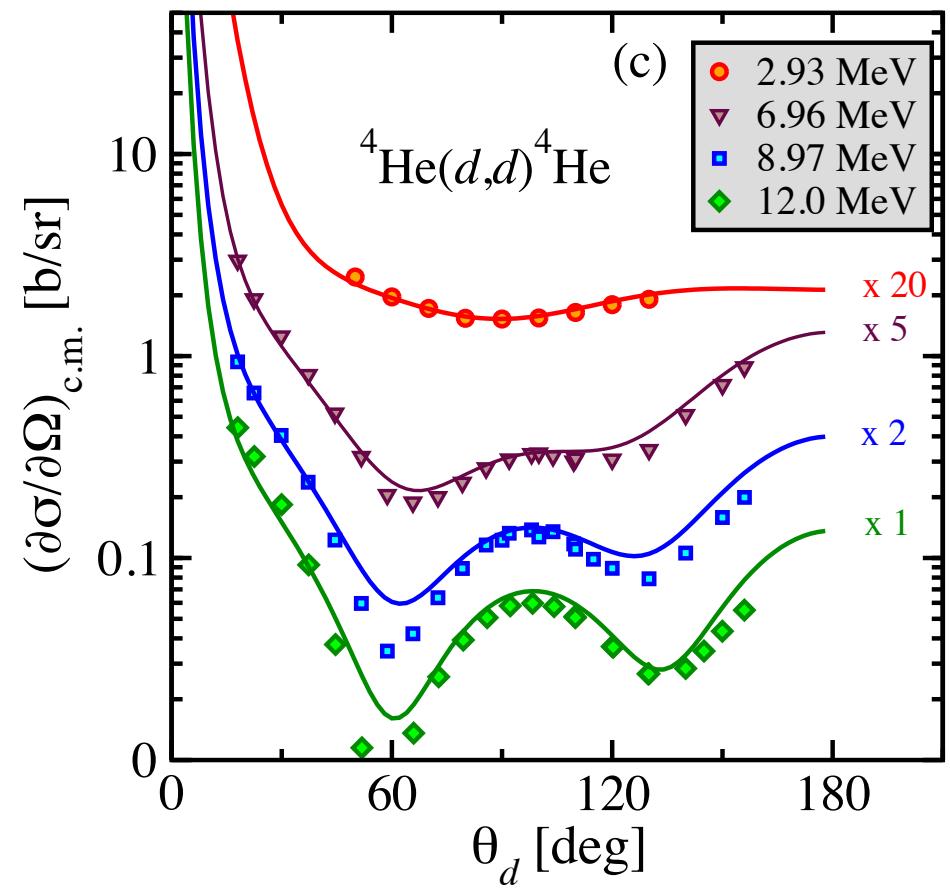
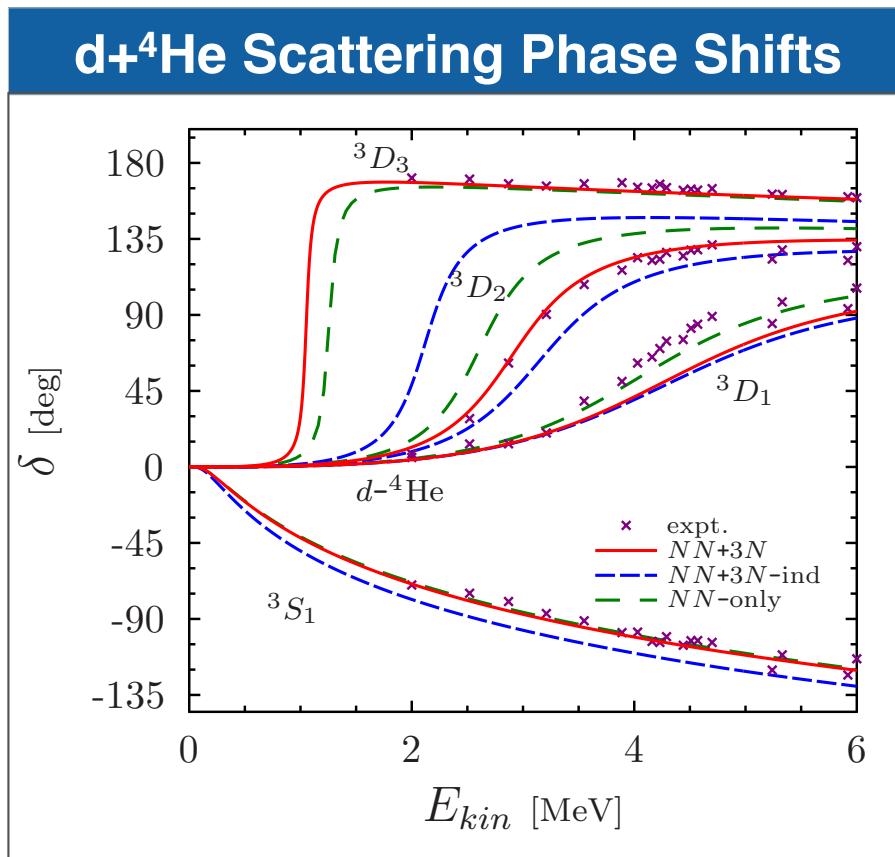
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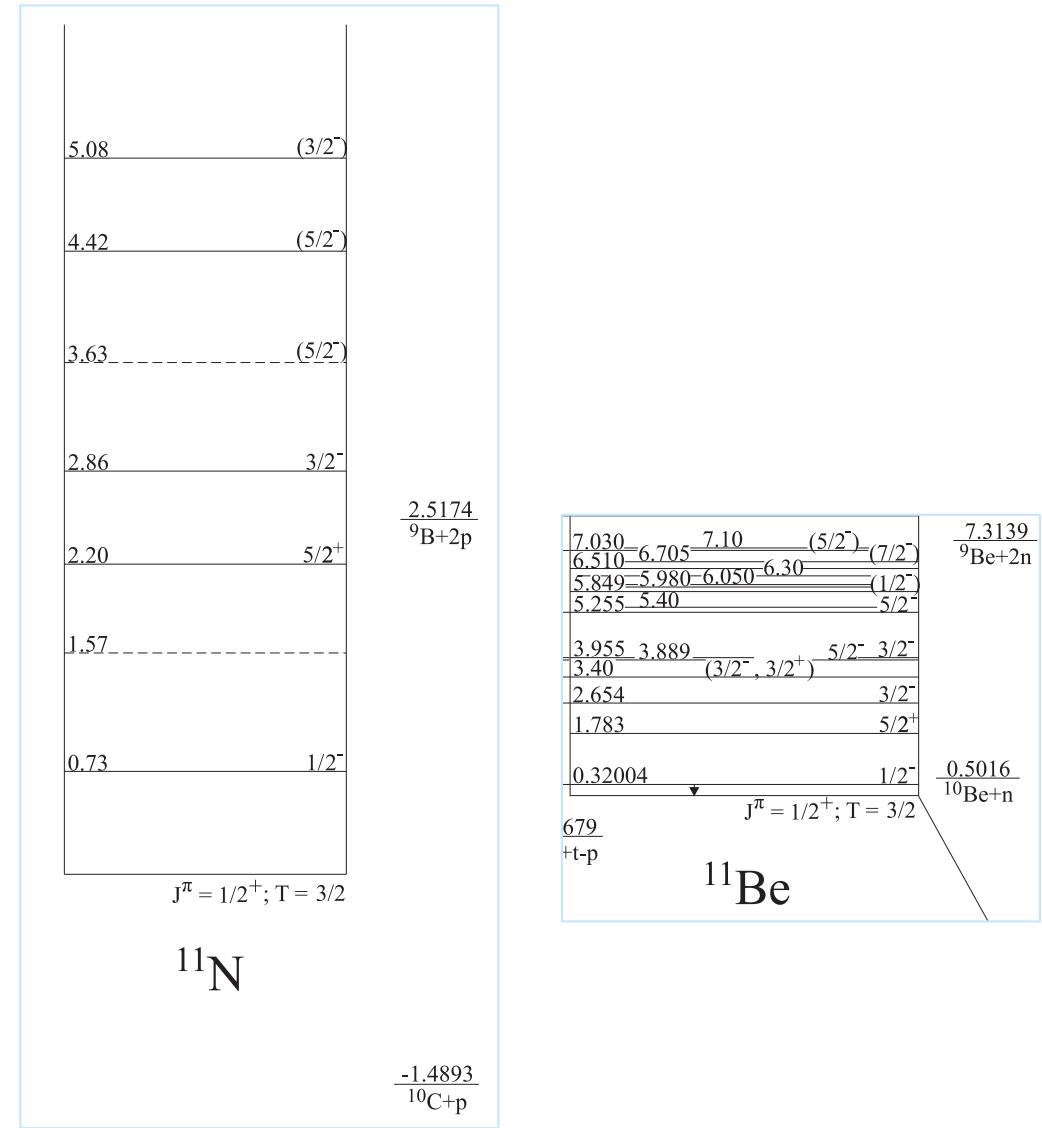
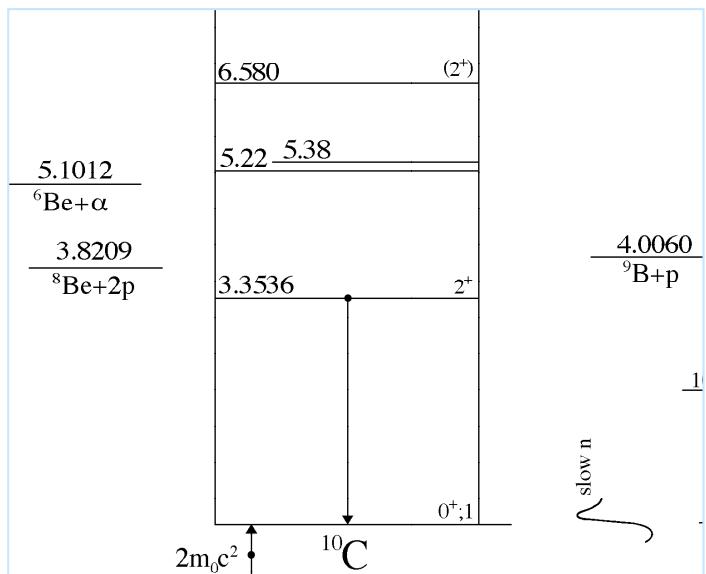
# Unified description of ${}^6\text{Li}$ structure and d+ ${}^4\text{He}$ dynamics

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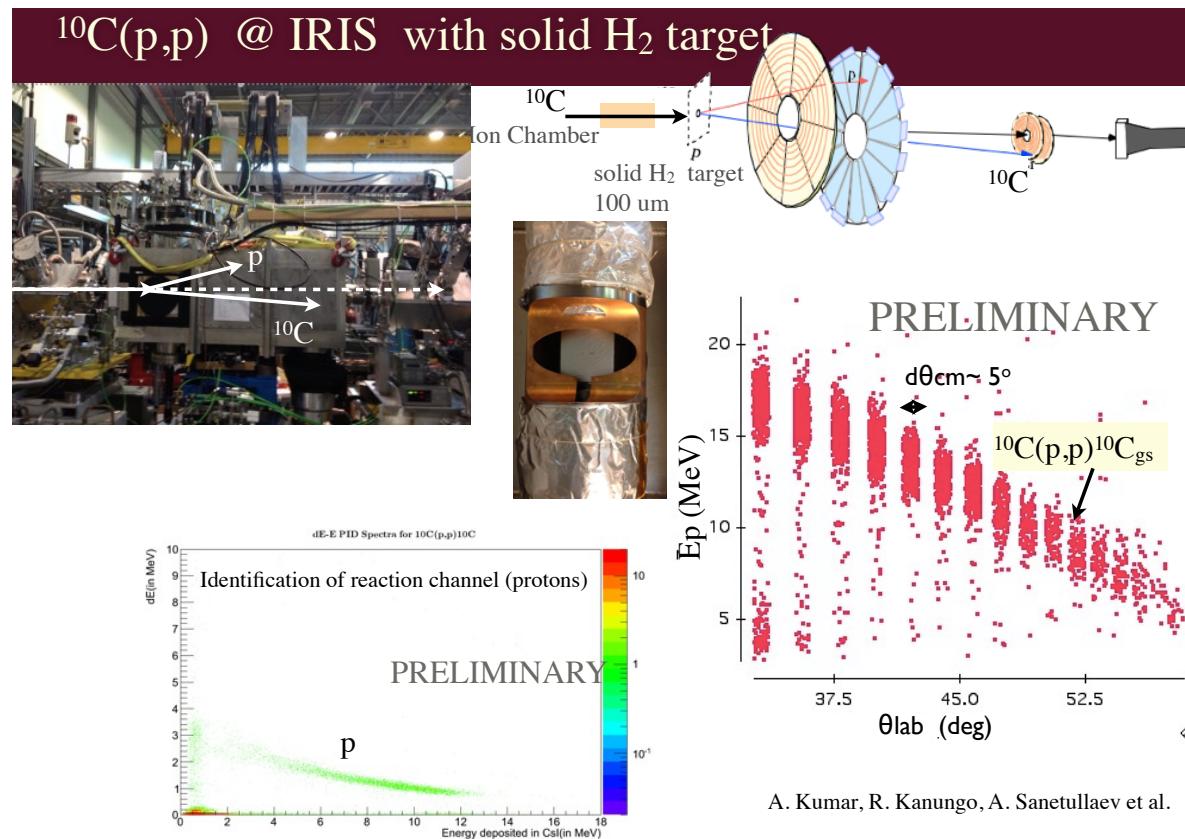
# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

- Limited information about the structure of proton rich <sup>11</sup>N – mirror nucleus of <sup>11</sup>Be halo nucleus
- Incomplete knowledge of <sup>10</sup>C unbound excited states
- Importance of 3N force effects and continuum



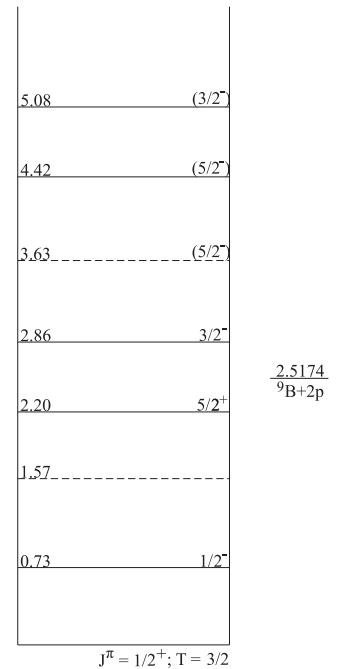
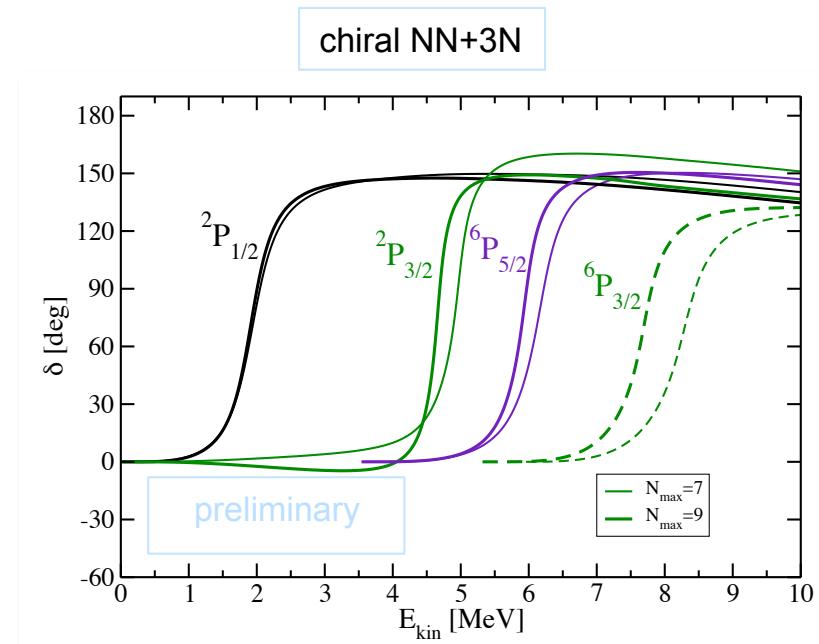
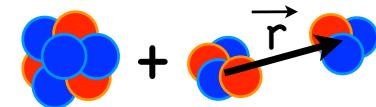
# $^{10}\text{C}(\text{p},\text{p})$ @ IRIS with solid $\text{H}_2$ target

- New experiment at ISAC TRIUMF with reaccelerated  $^{10}\text{C}$ 
  - The first ever  $^{10}\text{C}$  beam at TRIUMF
  - Angular distributions measured at  $E_{\text{CM}} \sim 4.1$  MeV and 4.4 MeV
  - Data analysis under way



# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

- NCSMC calculations including chiral 3N (N<sup>3</sup>LO NN+N<sup>2</sup>LO 3NF400)
  - p-<sup>10</sup>C + <sup>11</sup>N
    - <sup>10</sup>C: 0<sup>+</sup>, 2<sup>+</sup>, 2<sup>+</sup> NCSM eigenstates
    - <sup>11</sup>N: 6 π = -1 and 3 π = +1 NCSM eigenstates



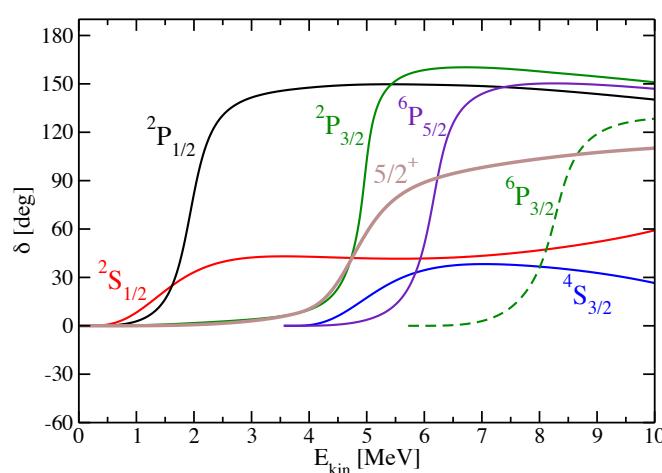
-1.4893  
<sup>10</sup>C+p

# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

<sup>11</sup>N from chiral NN+3N within NCSMC

– Preliminary

J <sup>π</sup>	T	E <sub>res</sub> [MeV]	E <sub>x</sub> [MeV]	Γ [keV]
1/2 <sup>+</sup>	3/2	1.35	0	“4100”
✓ 1/2 <sup>-</sup>	3/2	1.94	0.59	580
✓ 3/2 <sup>-</sup>	3/2	4.69	3.34	280
5/2 <sup>+</sup>	3/2	4.75	3.40	1790
3/2 <sup>+</sup>	3/2	4.95	3.60	“4760”
5/2 <sup>-</sup>	3/2	5.95	4.60	470
3/2 <sup>-</sup>	3/2	7.68	6.33	620



$$\Gamma = \frac{2}{\partial \delta(E_{kin}) / \partial E_{kin}} \Big|_{E_{kin}=E_R}$$

Negative parity 1/2<sup>-</sup> and 3/2<sup>-</sup> resonances in a good agreement with the current evaluation

Positive parity resonances too broad  
– N<sub>max</sub> convergence

<sup>11</sup>N Expt. (TUNL evaluation)

E <sub>res</sub> (MeV ± keV)	E <sub>x</sub> (MeV ± keV)	J <sup>π</sup> ; T	Γ (keV)
1.49 ± 60	0	1/2 <sup>+</sup> ; 3/2	830 ± 30
2.22 ± 30	0.73 ± 70	1/2 <sup>-</sup>	600 ± 100
3.06 ± 80	(1.57 ± 80)	< 100	
3.69 ± 30	2.20 ± 70	5/2 <sup>+</sup>	540 ± 40
4.35 ± 30	2.86 ± 70	3/2 <sup>-</sup>	340 ± 40
5.12 ± 80	(3.63 ± 100)	(5/2 <sup>-</sup> )	< 220
5.91 ± 30	4.42 ± 70	(5/2 <sup>-</sup> )	
6.57 ± 100	5.08 ± 120	(3/2 <sup>-</sup> )	100 ± 60

# p+<sup>10</sup>C scattering: structure of <sup>11</sup>N resonances

## <sup>11</sup>N from chiral NN+3N within NCSMC

– Preliminary

J <sup>π</sup>	T	E <sub>res</sub> [MeV]	E <sub>x</sub> [MeV]	Γ [keV]
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5/2 <sup>-</sup>	3/2	5.95	4.60	470
3/2 <sup>-</sup>	3/2	7.68	6.33	620

No candidate for 3.06 MeV resonance

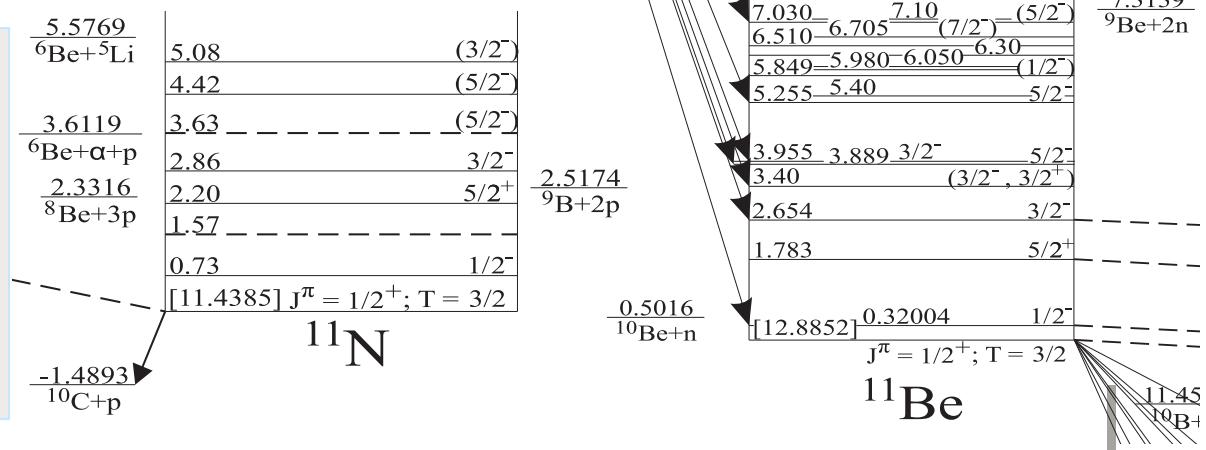
We predict only one 5/2<sup>-</sup> resonance below the 3/2<sub>-2</sub>

Calculations suggest that either 5.12 MeV or 5.91 MeV resonance might be 3/2<sup>+</sup> instead

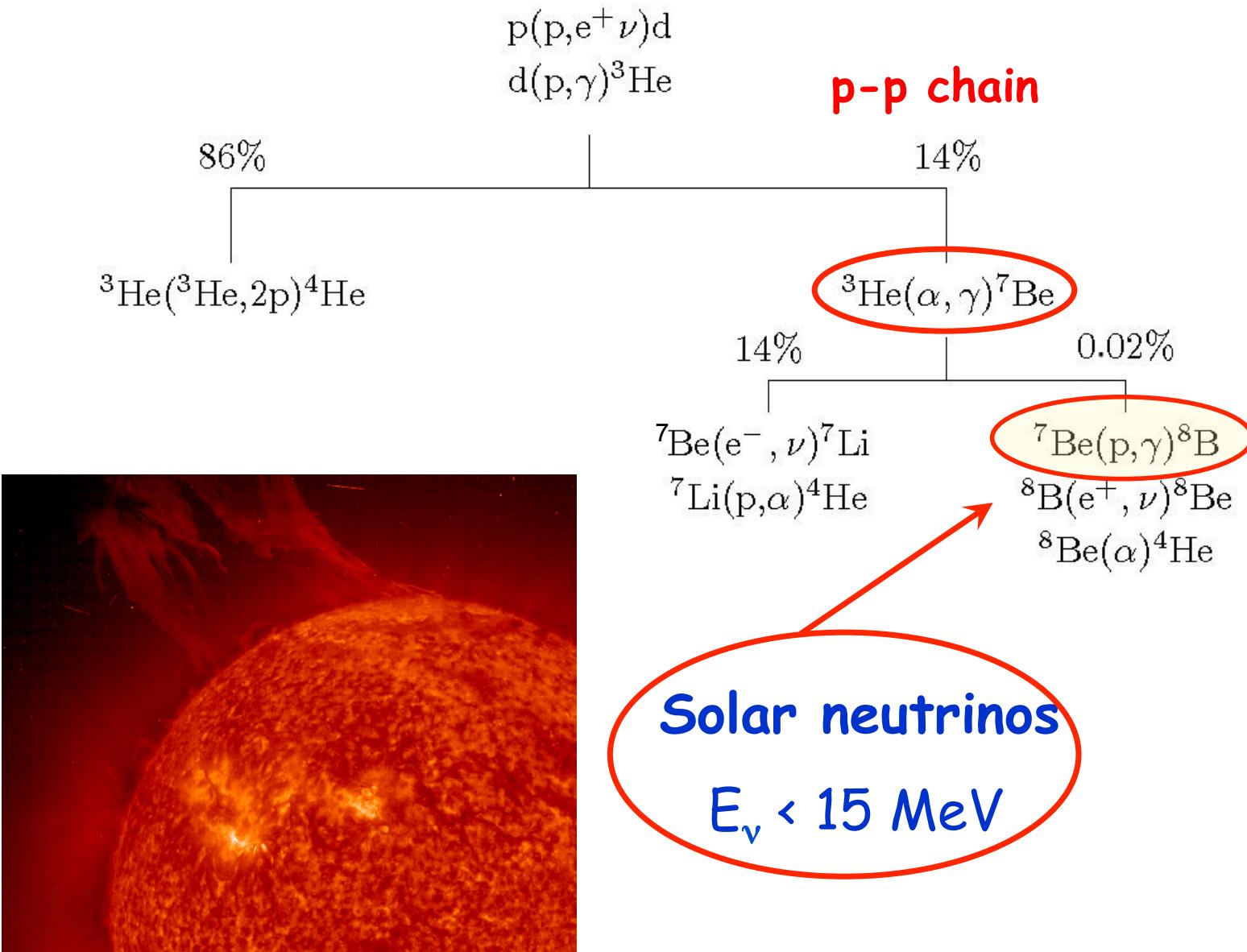
NCSMC resonance predictions more in line with assignments in <sup>11</sup>Be

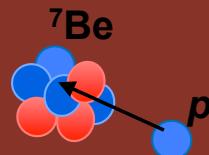
## <sup>11</sup>N Expt. (TUNL evaluation)

E <sub>res</sub> (MeV ± keV)	E <sub>x</sub> (MeV ± keV)	J <sup>π</sup> ; T	Γ (keV)
1.49 ± 60	0	1/2 <sup>+</sup> ; 3/2	830 ± 30
2.22 ± 30	0.73 ± 70	1/2 <sup>-</sup>	600 ± 100
→ 3.06 ± 80	(1.57 ± 80)	< 100	
3.69 ± 30	2.20 ± 70	5/2 <sup>+</sup>	540 ± 40
4.35 ± 30	2.86 ± 70	3/2 <sup>-</sup>	340 ± 40
→ 5.12 ± 80	(3.63 ± 100)	(5/2 <sup>-</sup> )	< 220
→ 5.91 ± 30	4.42 ± 70	(5/2 <sup>-</sup> )	
6.57 ± 100	5.08 ± 120	(3/2 <sup>-</sup> )	100 ± 60



# Solar $p$ - $p$ chain

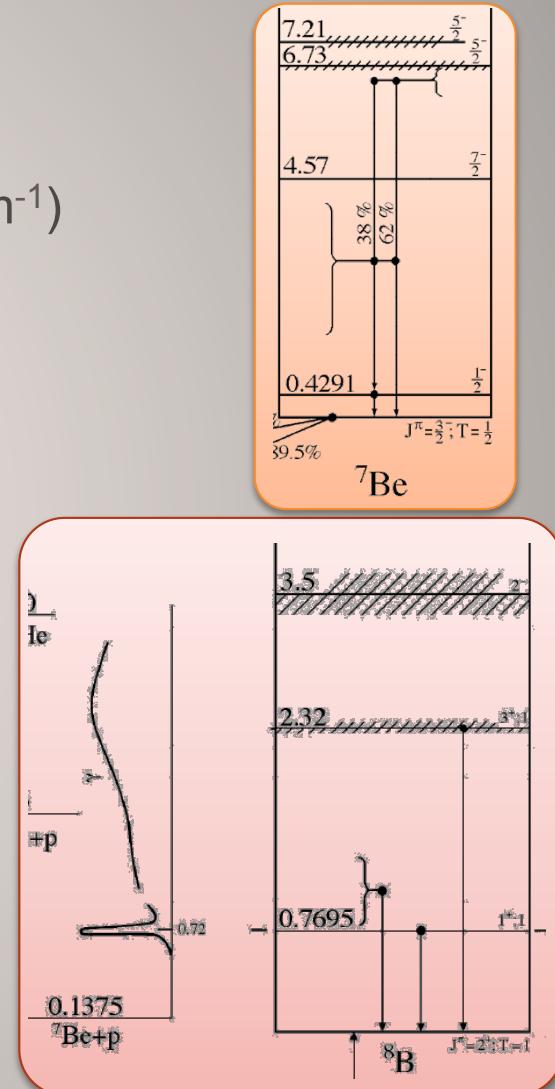
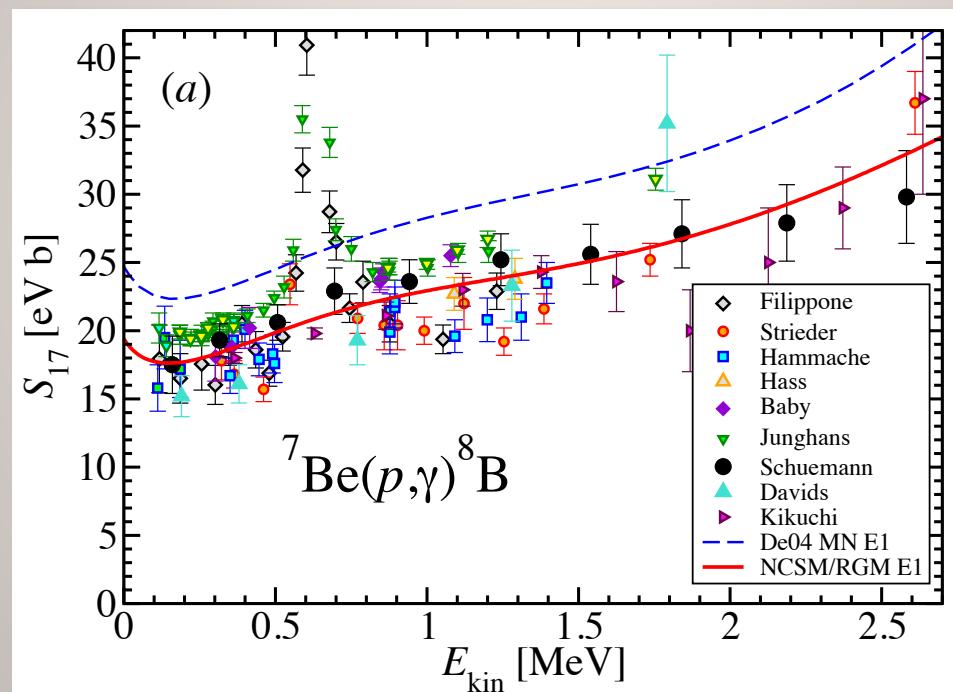




# ${}^7\text{Be}(p,\gamma){}^8\text{B}$ radiative capture

- NCSM/RGM calculation
  - ${}^7\text{Be}$  states  $3/2^-$ ,  $1/2^-$ ,  $7/2^-$ ,  $5/2^-_1$ ,  $5/2^-_2$
  - Soft NN potential (chiral SRG-N<sup>3</sup>LO with  $\lambda = 1.86 \text{ fm}^{-1}$ )

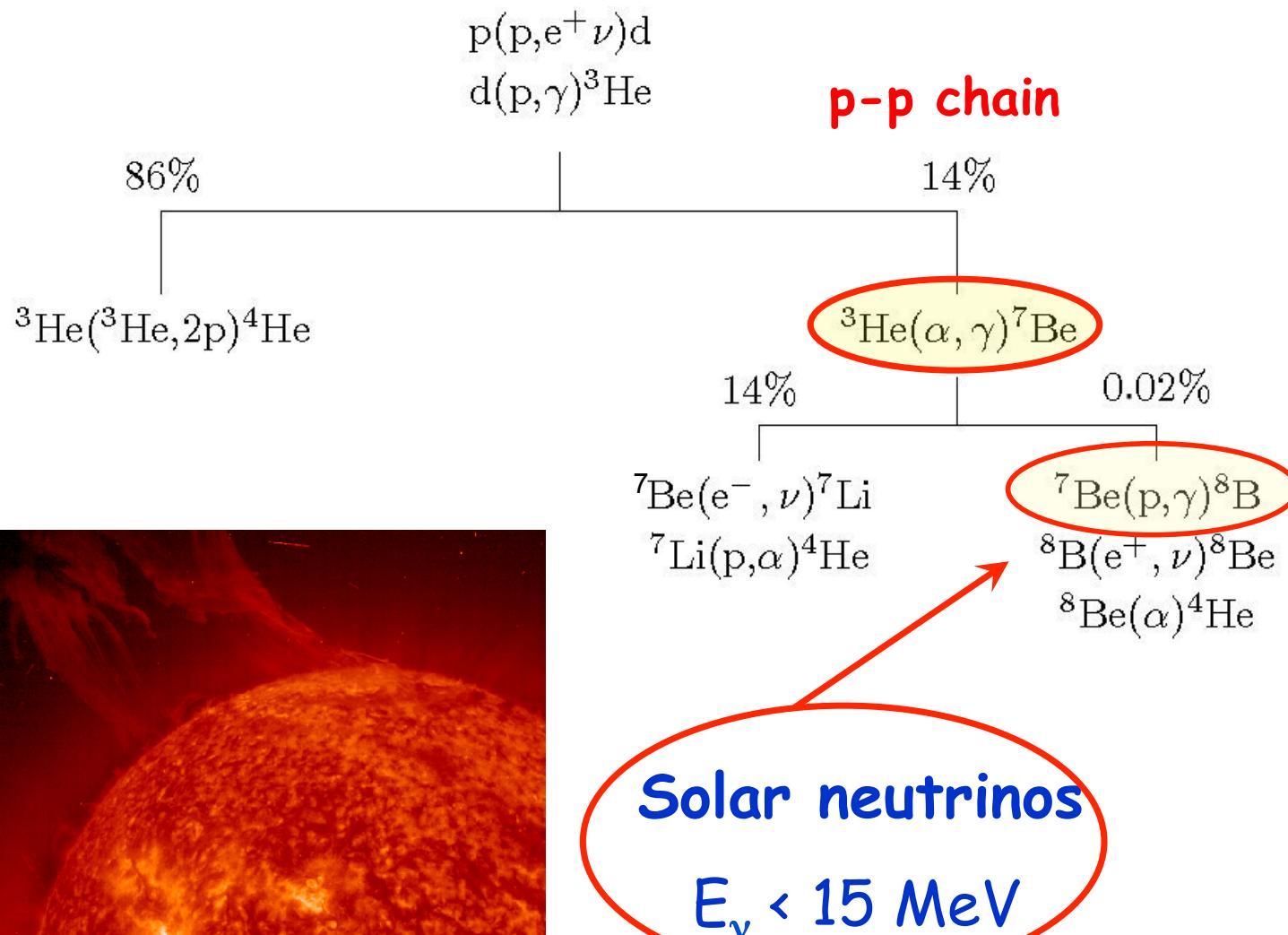
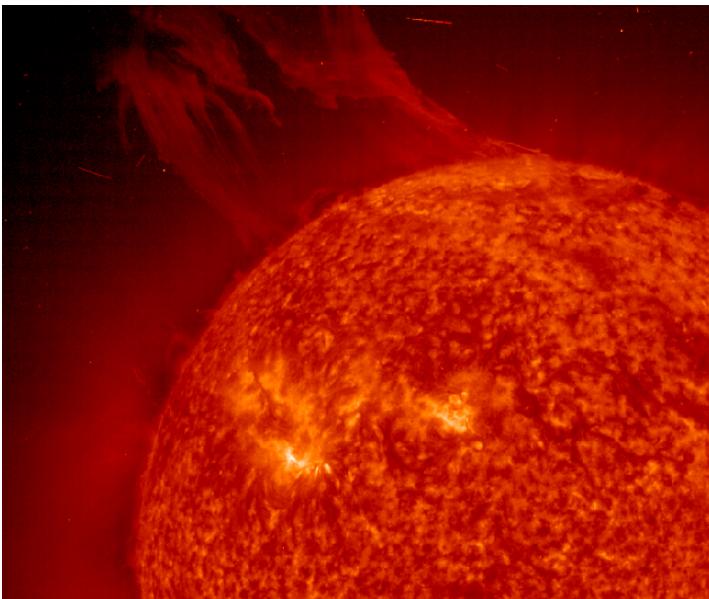
${}^8\text{B}$   $2^+$  g.s. bound by  
136 keV  
(expt. 137 keV)  
 $S(0) \sim 19.4(0.7) \text{ eV b}$   
Data evaluation:  
 $S(0)=20.8(2.1) \text{ eV b}$



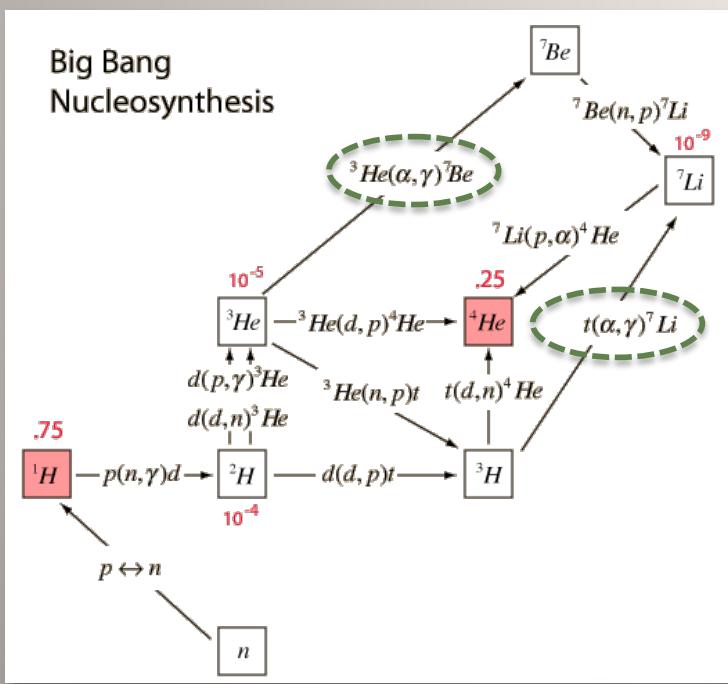
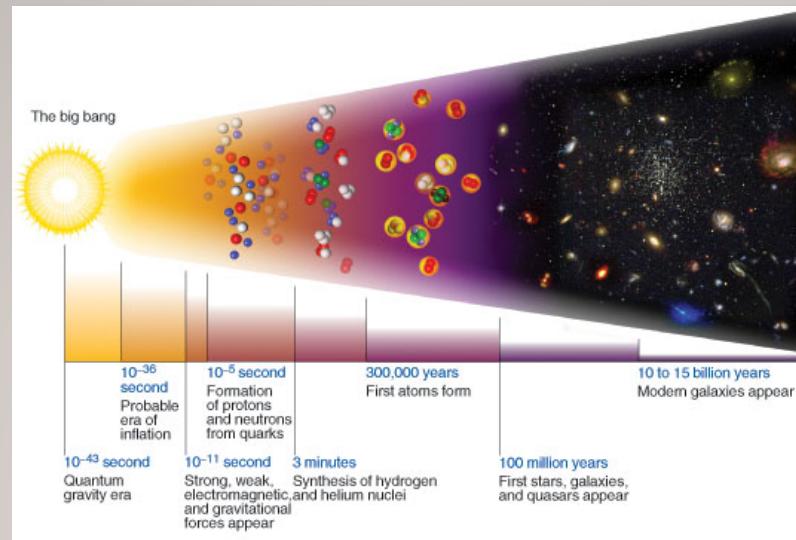
$$S(E) = E \sigma(E) \exp[2\pi\eta(E)]$$

$$\eta(E) = Z_{A-a} Z_a e^2 / \hbar v_{A-a,a}$$

# Solar $p$ - $p$ chain

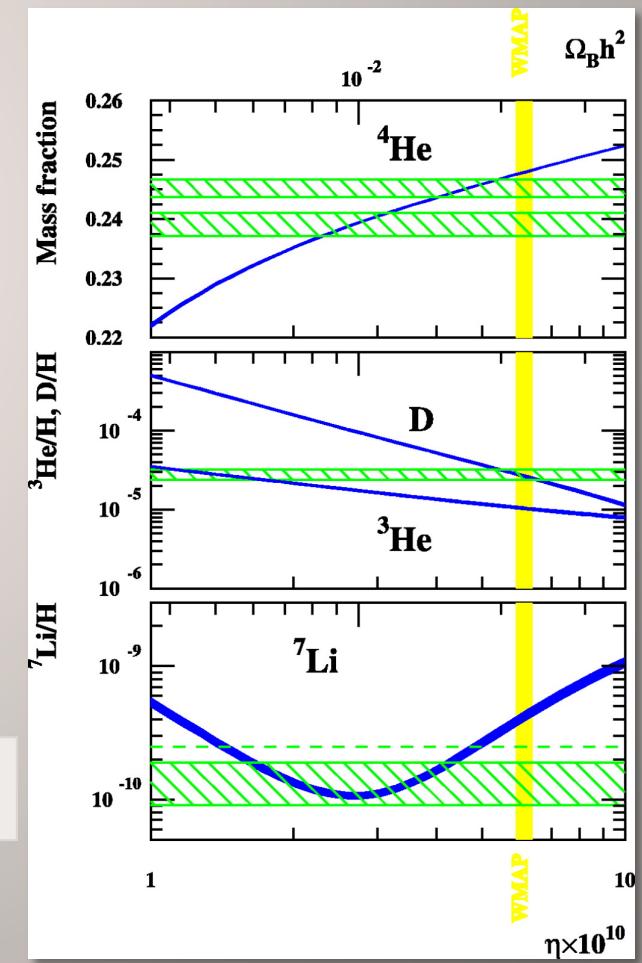


# Big Bang nucleosynthesis



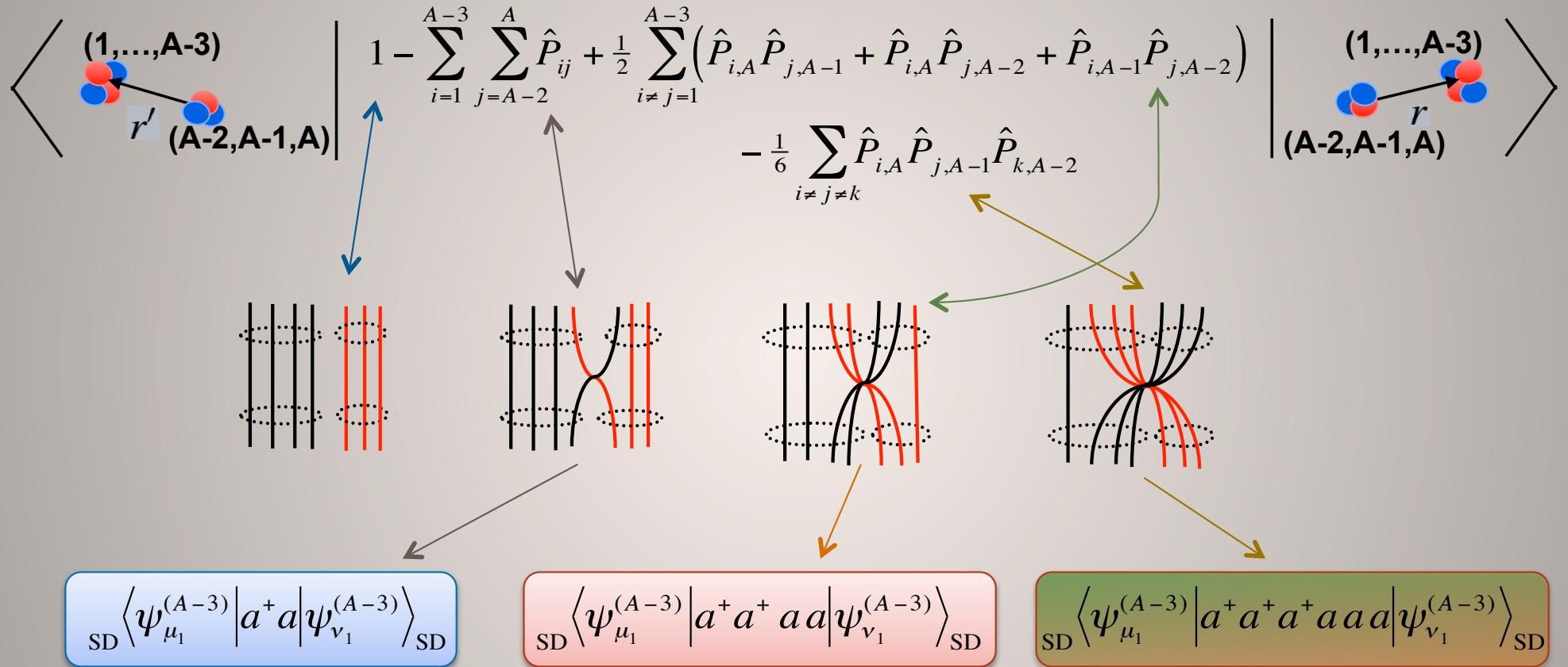
## Key reactions

## $^7Li$ puzzle





# $^3\text{He}$ - $^4\text{He}$ and $^3\text{H}$ - $^4\text{He}$ scattering

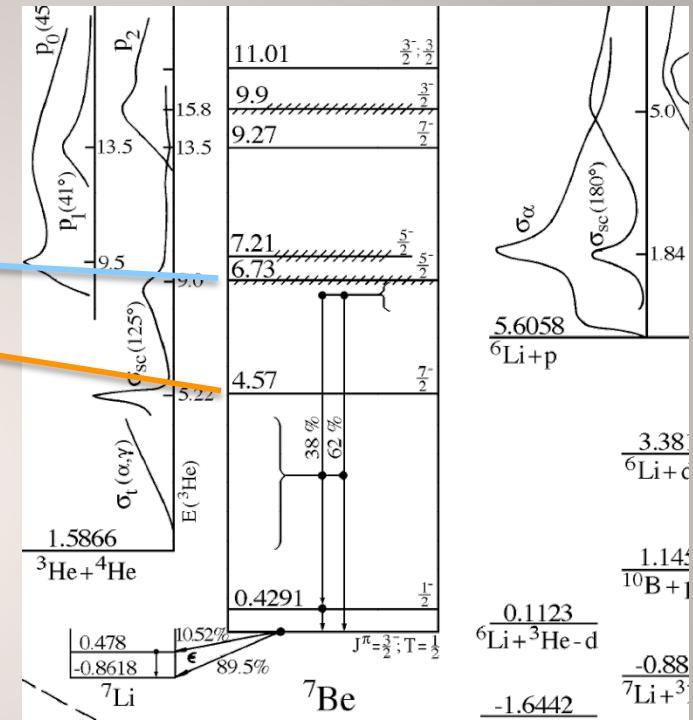
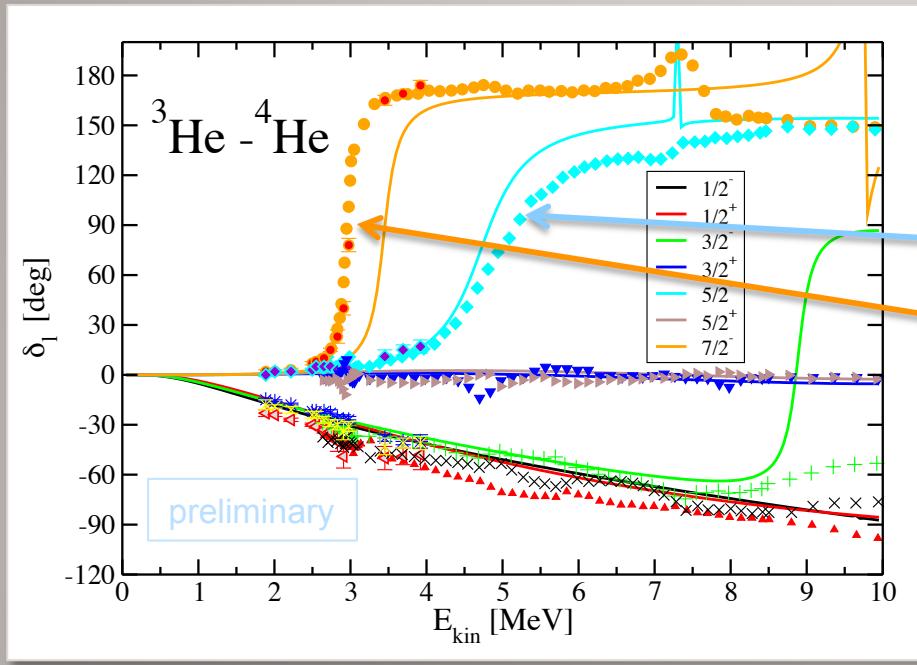


$$\begin{array}{c} E_\lambda^{\text{NCSM}} \delta_{\lambda\lambda'} \left\langle \begin{array}{c} (A) \\ \bullet \bullet \end{array} \middle| H \hat{A}_r \middle| \begin{array}{c} (a) \\ (A-a) \end{array} \right\rangle \\ \downarrow h \quad \downarrow g \\ \begin{pmatrix} H_{\text{NCSM}} & H_{\text{RGM}} \\ h & H_{\text{RGM}} \end{pmatrix} \begin{pmatrix} (\mathcal{C}) \\ (\mathcal{Y}) \end{pmatrix} = E \begin{pmatrix} 1_{\text{NCSM}} & N_{\text{RGM}} \\ g & N_{\text{RGM}} \end{pmatrix} \begin{pmatrix} (\mathcal{C}) \\ (\mathcal{Y}) \end{pmatrix} \\ \uparrow h \quad \uparrow g \\ \left\langle \begin{array}{c} (A-a) \\ \bullet \bullet \end{array} \middle| \hat{A}_r H \hat{A}_r \middle| \begin{array}{c} (a) \\ (A-a) \end{array} \right\rangle \quad \left\langle \begin{array}{c} (A-a) \\ \bullet \bullet \end{array} \middle| \hat{A}_{r'} \hat{A}_r \middle| \begin{array}{c} (a) \\ (A-a) \end{array} \right\rangle \end{array}$$

For  $A=7$  use completeness



# $^3\text{He}$ - $^4\text{He}$ and $^3\text{H}$ - $^4\text{He}$ scattering



NCSMC calculations with chiral SRG-N<sup>3</sup>LO  $NN$  potential ( $\lambda=2.1 \text{ fm}^{-1}$ )  
 $^3\text{He}$ ,  $^3\text{H}$ ,  $^4\text{He}$  ground state, 8( $\pi^-$ ) + 6( $\pi^+$ ) eigenstates of  $^7\text{Be}$  and  $^7\text{Li}$

Preliminary:  $N_{\max}=12$ ,  $h\Omega=20 \text{ MeV}$

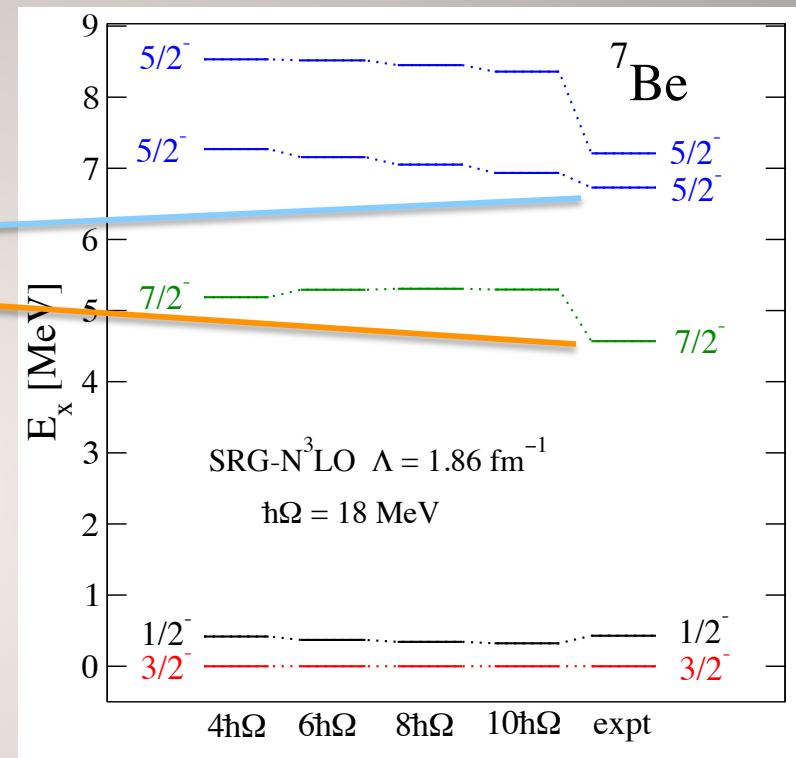
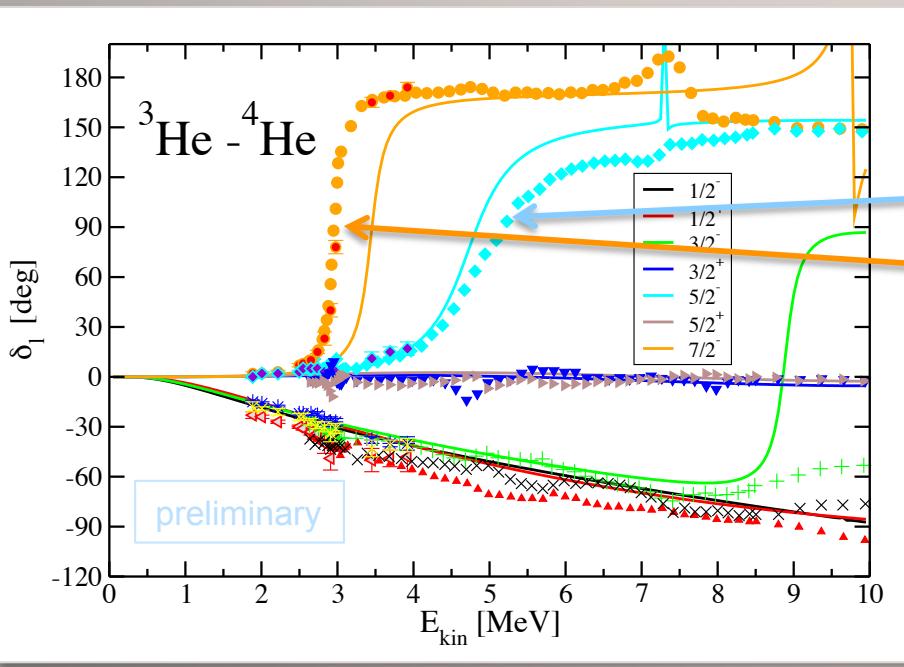
$E_{\text{th}}(^7\text{Be})=-1.70 \text{ MeV}$  (Expt.  $-1.59 \text{ MeV}$ )

$E_{\text{th}}(^7\text{Li}) = -2.62 \text{ MeV}$  (Expt.  $-2.47 \text{ MeV}$ )

Goal: Calculations of  $^3\text{He}(^4\text{He},\gamma)^7\text{Be}$  &  $^3\text{H}(^4\text{He},\gamma)^7\text{Li}$  capture



# $^3\text{He}$ - $^4\text{He}$ and $^3\text{H}$ - $^4\text{He}$ scattering



NCSMC calculations with chiral SRG-N<sup>3</sup>LO  $NN$  potential ( $\lambda=2.1 \text{ fm}^{-1}$ )  
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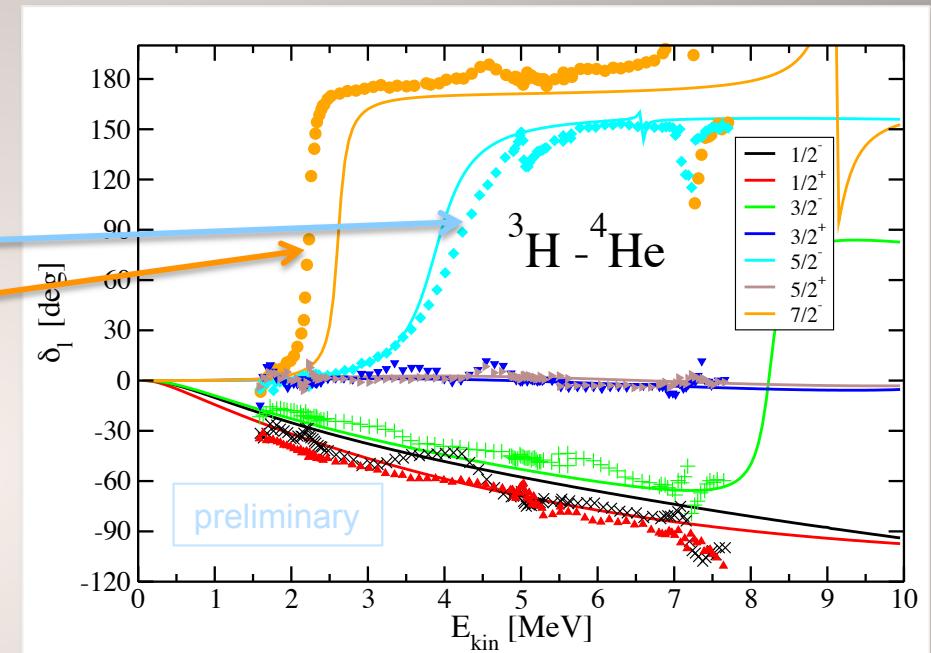
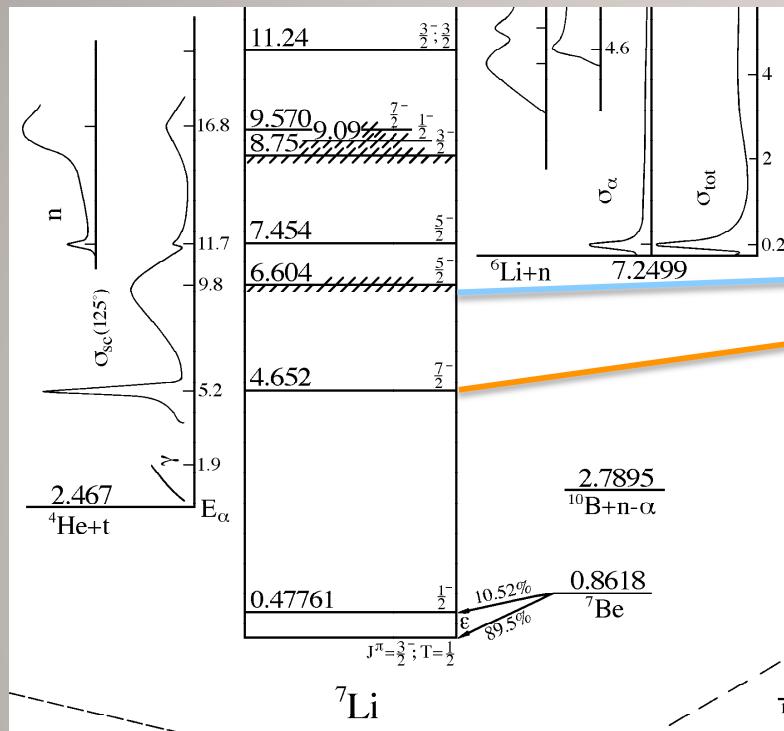
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# $^3\text{He}$ - $^4\text{He}$ and $^3\text{H}$ - $^4\text{He}$ scattering



NCSMC calculations with chiral SRG- $N^3\text{LO}$   $NN$  potential ( $\lambda=2.1$  fm $^{-1}$ )  
 $^3\text{He}$ ,  $^3\text{H}$ ,  $^4\text{He}$  ground state, 8( $\pi^-$ ) + 6( $\pi^+$ ) eigenstates of  $^7\text{Be}$  and  $^7\text{Li}$

Preliminary:  $N_{max}=12$ ,  $h\Omega=20$  MeV

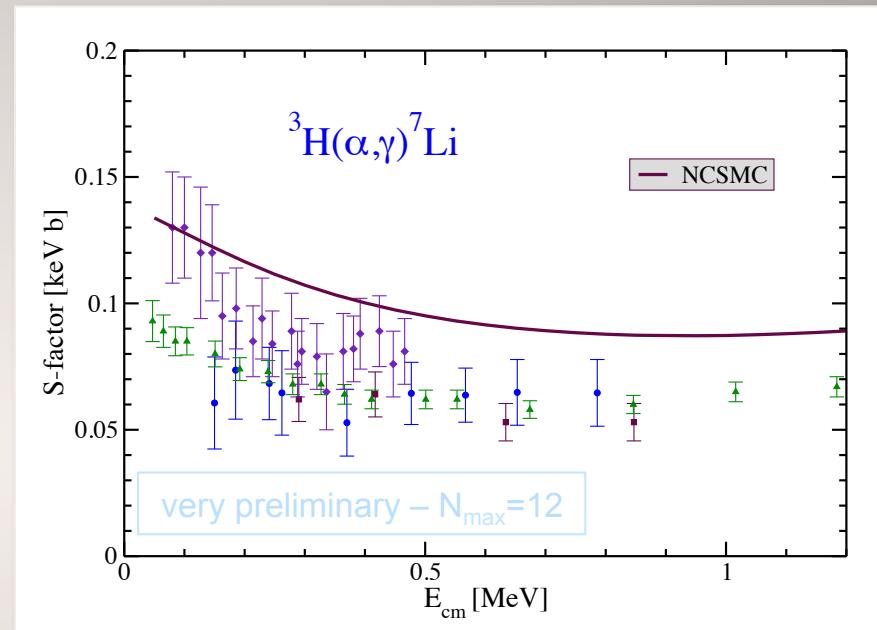
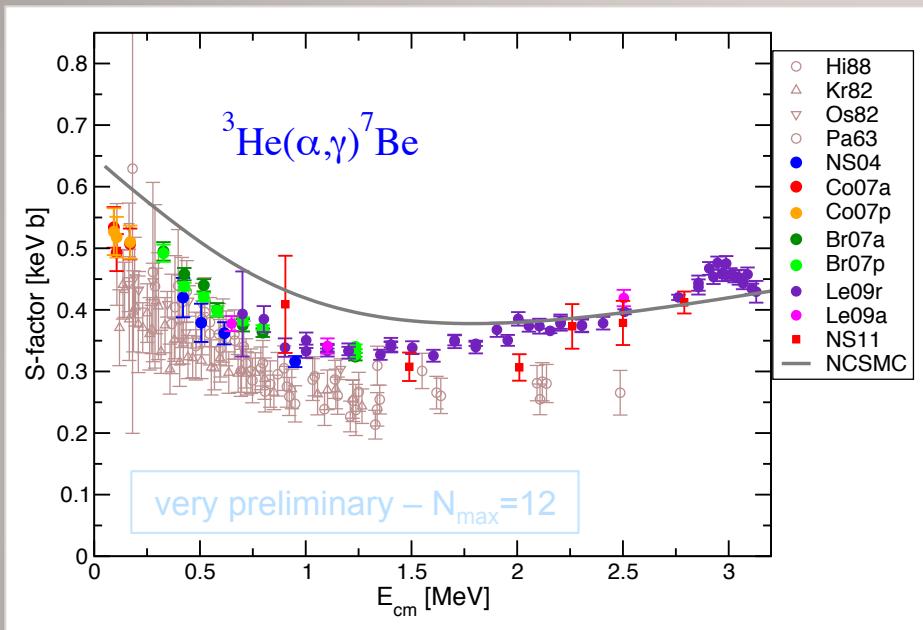
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# $^3\text{He}$ - $^4\text{He}$ and $^3\text{H}$ - $^4\text{He}$ capture



In progress

J. Dohet-Eraly, P.N., S. Quaglioni, W. Horiuchi, G. Hupin

NCSMC calculations with chiral SRG-N<sup>3</sup>LO  $NN$  potential ( $\lambda=2.1$  fm<sup>-1</sup>)  
 $^3\text{He}$ ,  $^3\text{H}$ ,  $^4\text{He}$  ground state, 8( $\pi^-$ ) + 6( $\pi^+$ ) eigenstates of  $^7\text{Be}$  and  $^7\text{Li}$

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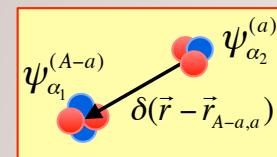
Goal: Calculations of  $^3\text{He}(^4\text{He}, \gamma)^7\text{Be}$  &  $^3\text{H}(^4\text{He}, \gamma)^7\text{Li}$  capture

# Three-body clusters in *ab initio* NCSM/RGM

- Starts from:

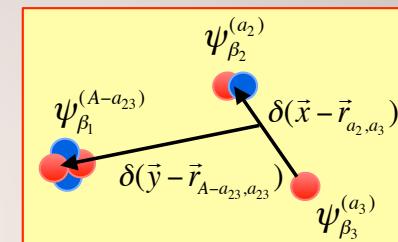
$$\Psi_{RGM}^{(A)} = \sum_{\nu_2} \int g_{\nu_2}(\vec{r}) \hat{A}_{\nu_2} \left| \phi_{\nu_2 \vec{r}} \right\rangle d\vec{r} + \sum_{\nu_3} \iint G_{\nu_3}(\vec{x}, \vec{y}) \hat{A}_{\nu_3} \left| \Phi_{\nu_3 \vec{x} \vec{y}} \right\rangle d\vec{x} d\vec{y}$$

**2-body channels**

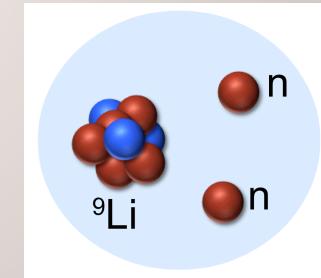
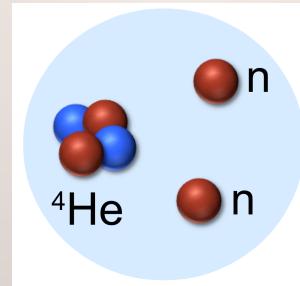


**plus**

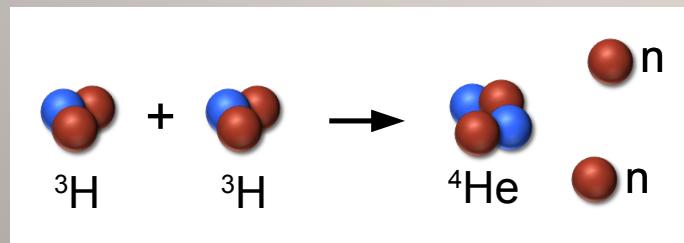
**3-body channels**



- Two-neutron halo nuclei



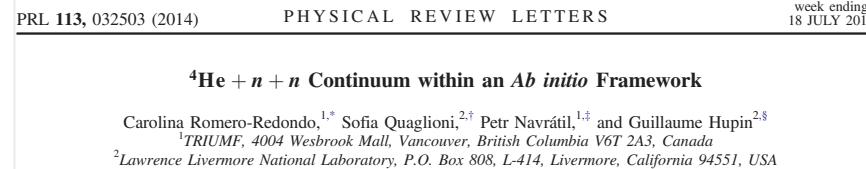
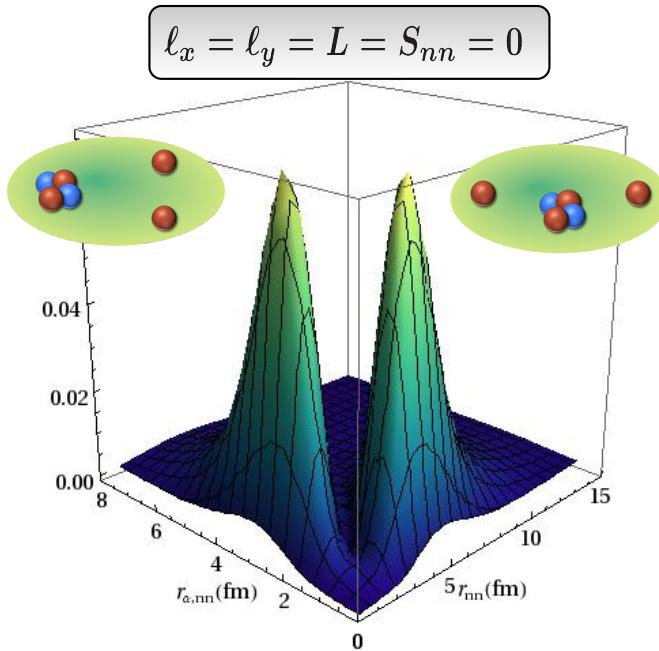
- Transfer reactions with three-body continuum final states



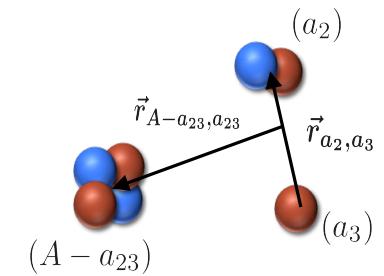
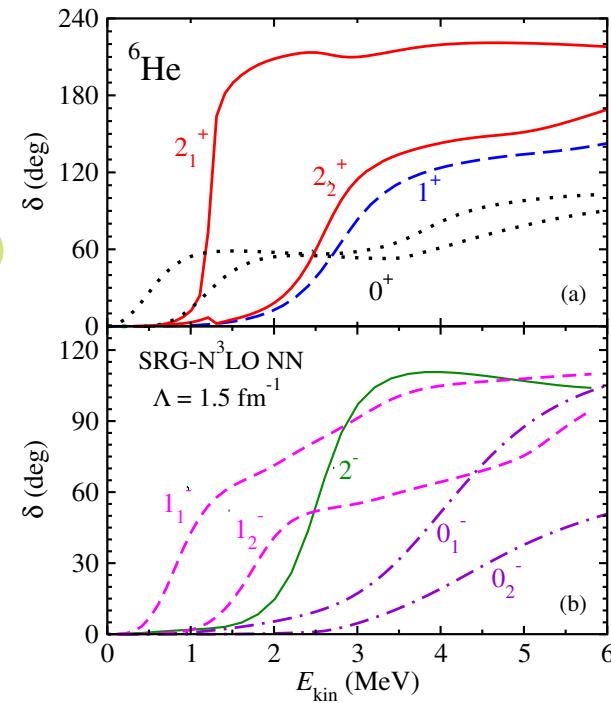
# NCSM/RGM for three-body clusters: Structure of ${}^6\text{He}$

${}^4\text{He} + n + n$

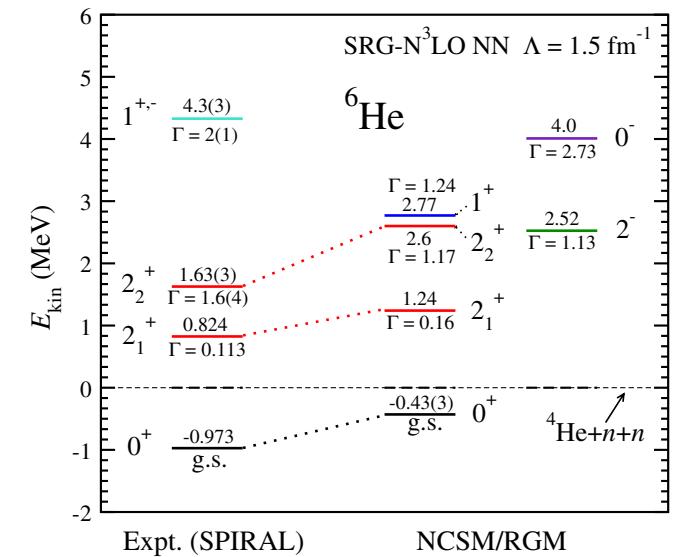
${}^6\text{He}$  bound  $0^+$  ground state



${}^6\text{He}$  resonances and continuum



Comparison to recent experiment



${}^5\text{H} \approx {}^4\text{He} + n + n$  in progress

# Conclusions and Outlook

- *Ab initio* calculations of nuclear structure and reactions is a dynamic field with significant advances
- We developed a new unified approach to nuclear bound and unbound states
  - Merging of the NCSM and the NCSM/RGM = **NCSMC**
  - Inclusion of three-nucleon interactions in reaction calculations for  $A>5$  systems
  - Extension to three-body clusters ( ${}^6\text{He} \sim {}^4\text{He}+n+n$ )
  - Applications to capture reactions important for astrophysics
- Outlook:
  - Extension to composite projectiles (deuteron,  ${}^3\text{H}$ ,  ${}^3\text{He}$ )
  - Transfer reactions
  - Bremsstrahlung
  - Alpha-clustering ( ${}^4\text{He}$  projectile)
    - ${}^{12}\text{C}$  and Hoyle state:  ${}^8\text{Be}+{}^4\text{He}$
    - ${}^{16}\text{O}$ :  ${}^{12}\text{C}+{}^4\text{He}$

# NCSMC and NCSM/RGM collaborators

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Guillaume Hupin (Notre Dame)

Simone Baroni (ULB)

Wataru Horiuchi (Hokkaido)