# QCD phase diagram and the equation of state from lattice QCD

Frithjof Karsch Bielefeld University



Faculty of Physics

#### Outline

- Exploring the phase diagram of QCD: Critical behavior in the limit of vanishing light quark masses
- Calculating the equation of QCD state at non-zero temperature and chemical potentials: Taylor expansions & Conserved charge fluctuations
- Constraining the location of the critical point resumming Taylor expansions



## Strongly interacting matter in the '70s and early '80s

HRG~1964



**Rolf Hagedorn**: Hadron resonance gas, ultimate temperature?



 – understanding highly non-perturbative/collective effects like phase transitions requires the application of numerical techniques – lattice QCD

Phase diagram of QCD

N. Cabibbo, G. Parisi,

Phys. Lett. 59B (1975) 67



#### **Mike Creutz**

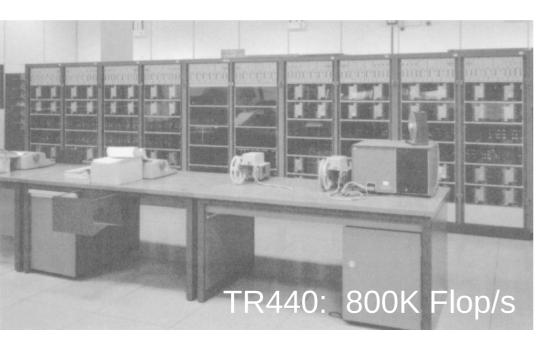
# 40 years of lattice QCD thermodynamics:

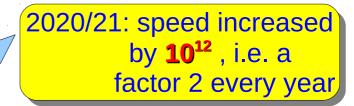


the first direct evidence for the existence of a thermal phase transition in SU(Nc) gauge theories from lattice calculations has been presented at a conference in Bielefeld

Statistical Mechanics of Quarks and Hadrons ZIF, Bielefeld, August 1980 (organizer H. Satz)

B. Svetitsky and L. McLerran, PLB 98 (1981) J. Kuti, J. Polonyi and K. Szlachanyi PLB 98 (1981)

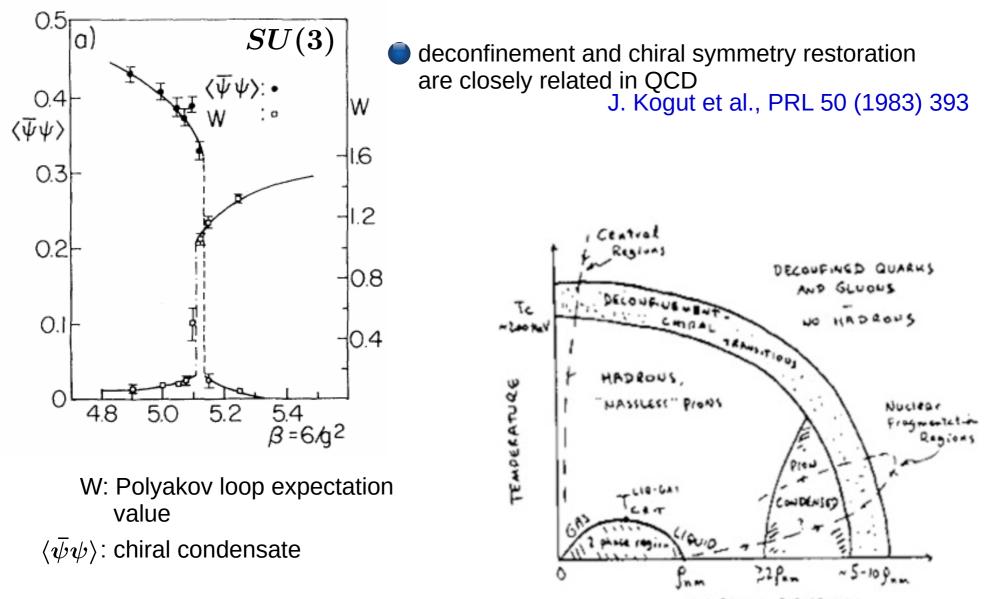




1980/81:

first lattice calculations of the EoS J. Engels, FK, I. Montvay, H. Satz, PLB 101 (1981)

## **Deconfinement & Chiral Symmetry Restoration**



Gordon Baym: Long Range Plan 1983

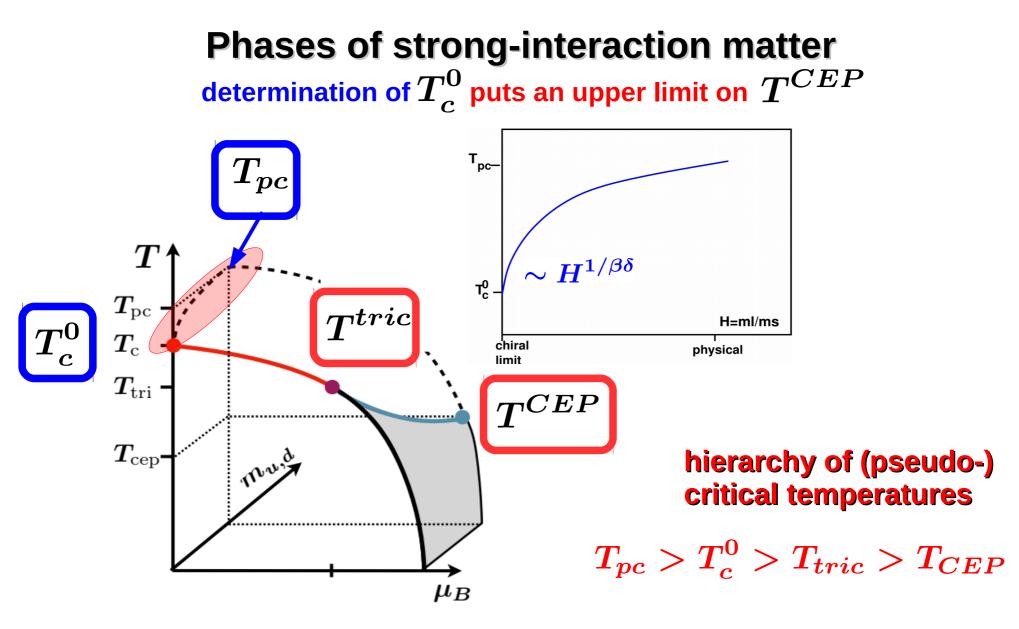
### The chiral PHASE TRANSITION temperature

R. D. Pisarski, F. Wilczek, Remarks on the chiral phase transition in chromodynamics, Phys. Rev. D 29 (1984) 338(R)

Abstract:

The phase transition restoring chiral symmetry at finite temperatures is considered in a linear  $\sigma$  model. For three or more massless flavors, the perturbative  $\epsilon$  expansion predicts the phase transition is of first order. At high temperatures, the UA(1) symmetry will also be effectively restored.

- since 35 years it is understood that critical behavior in strong-interaction matter is due to chiral symmetry restoration
- the chiral phase transition of QCD at vanishing values of the light quark masses puts severe constraints on the structure of the phase diagram at physical values of the quark masses
- deconfinement transition ?

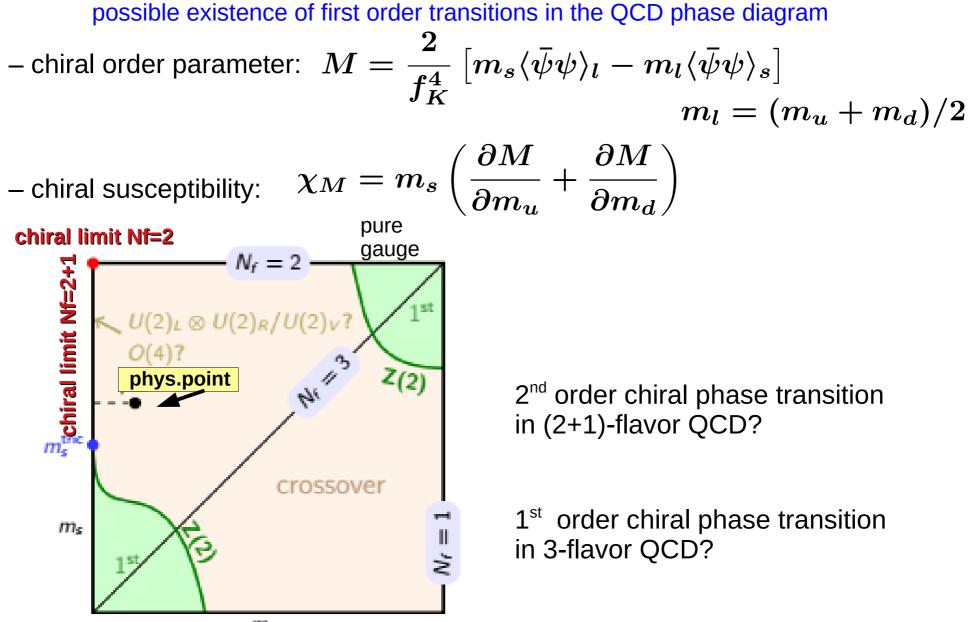


Random Matrix A. Halasz, A.D. Jackson, R.E. Shrock, M.A. Stephanov,ModelJ.J.,M. Verbaarschot, Phys. Rev. D58 (1998) 096007QCDM. Stephanov, Phys. Rev. D73 (2006) 094508

NJL M. Buballa, S. Carignano, Phys. Lett. B791 (2019) 361

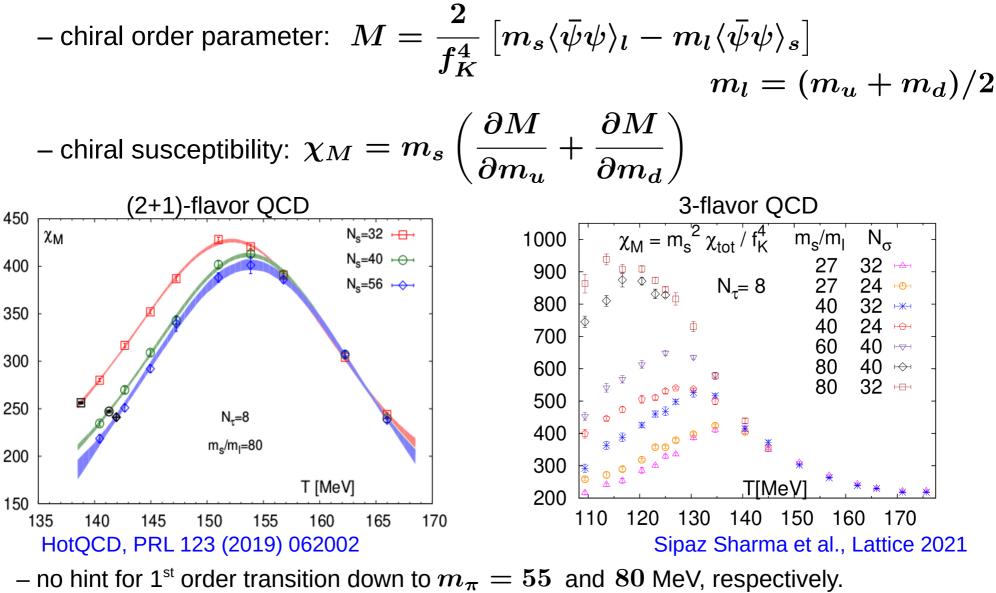
## **Phases of strong-interaction matter**

 volume dependence of chiral susceptibility puts strong bounds on the possible existence of first order transitions in the QCD phase diagram



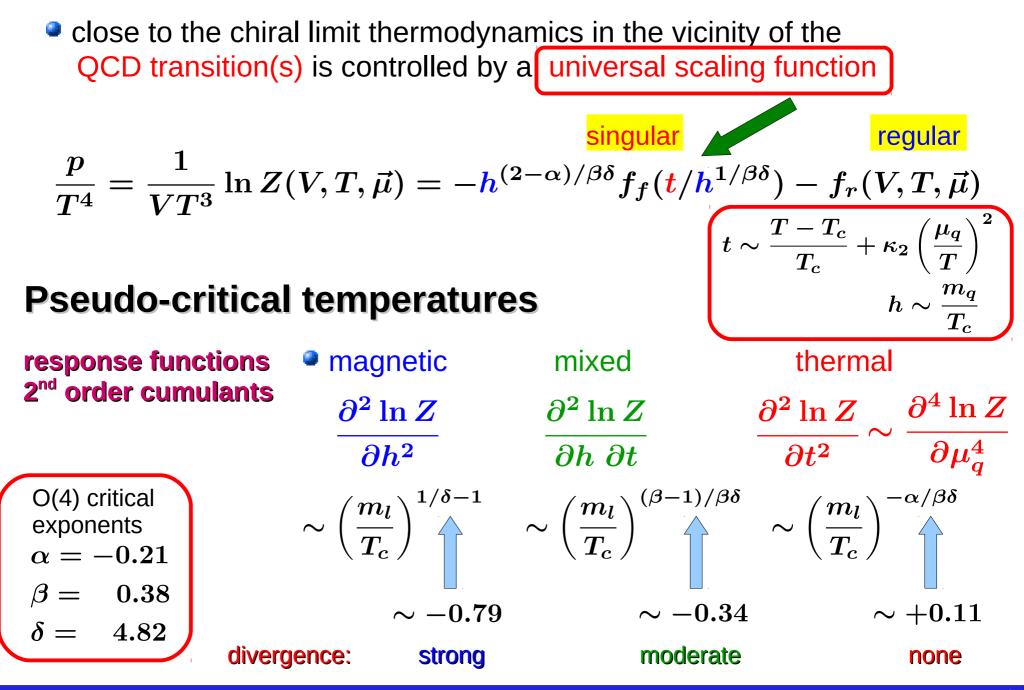
## **Phases of strong-interaction matter**

 volume dependence of chiral susceptibility puts strong bounds on the possible existence of first order transitions in the QCD phase diagram



– no 1<sup>st</sup> order phase transition for :  $N_f < 6\,$  F. Cuteri et al., arXiv:2107.12739

# **Critical behavior in QCD**



#### Scaling in the thermodynamic (infinite volume) limit - approaching the chiral limit - some d

- order parameter M and its susceptibility

$$M = h^{1/\delta} f_G(z) + f_{sub}(T,H)$$
  
 $\chi_M = h_0^{-1} h^{1/\delta - 1} f_\chi(z) + \tilde{f}_{sub}(T,H)$ 

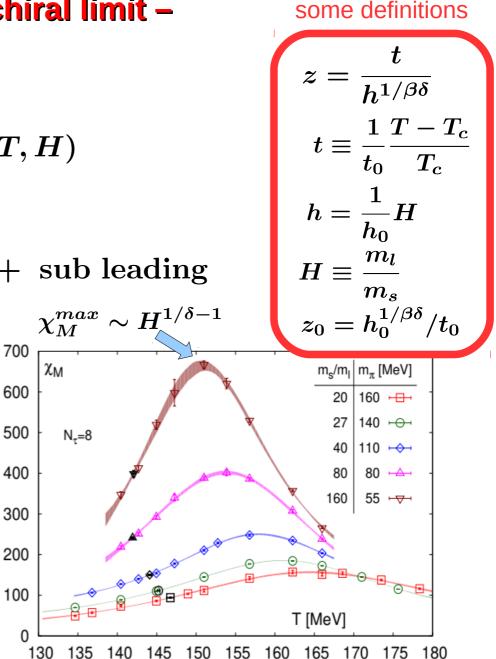
for ANY fixed z:

$$T_{pc}(H) = T_c^0 \left(1 + rac{z}{z_0} H^{1/eta\delta}
ight) +$$

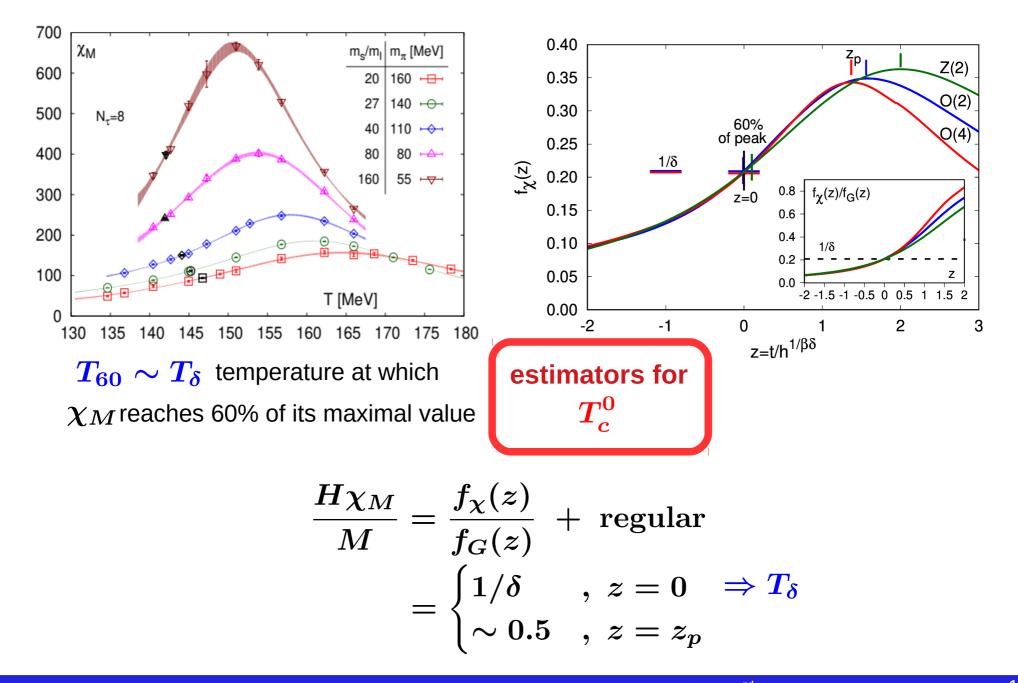
conventional steps to determine  $T_c^0$ 

- choose a characteristic feature of  $\chi_M$   $_{
  ightarrow}$  the maximum  $\chi_M^{max}$
- in the scaling regime this is located at  $z_{
  m p} \simeq 1.5$
- using the scaling ansatz for  $T_{pc}(H)$  allows to extract  $T_c^0$

A. Lahiri et al, QM 2018, arXiv:1807.05727 H.T. Ding et al, arXiv:1903.04801



#### **Chiral PHASE TRANSITION temperature**



#### Finite size scaling functions of the 3-d, O(4) spin model

$$M = h^{1/\delta} f_G(z, z_L) + f_{sub}(T, H, L)$$
  

$$\chi_M = h_0^{-1} h^{1/\delta - 1} f_{\chi}(z, z_L) + \tilde{f}_{sub}(T, H, L)$$
  
a universal  
ratio for each z:  

$$\frac{H\chi_M}{M} = \frac{f_{\chi}(z, z_L)}{f_G(z, z_L)} + \text{sub leading}$$
  

$$\lim_{L \to \infty} \left(\frac{H\chi_M}{M}\right)_{z=0} = \frac{1}{\delta}$$

volume dependence controlled by  $\ z_L \sim 1/(m_\pi^{2
u_c}L) \ , \ 2
u_c \simeq 1$ 

define 
$$z_{\delta}(z_{L})$$
 as the value  $z$  for given  $z_{L}$  at which  $\left(\frac{H\chi_{M}}{M}\right)_{z_{\delta}(z_{L})} = \frac{1}{\delta}$ 

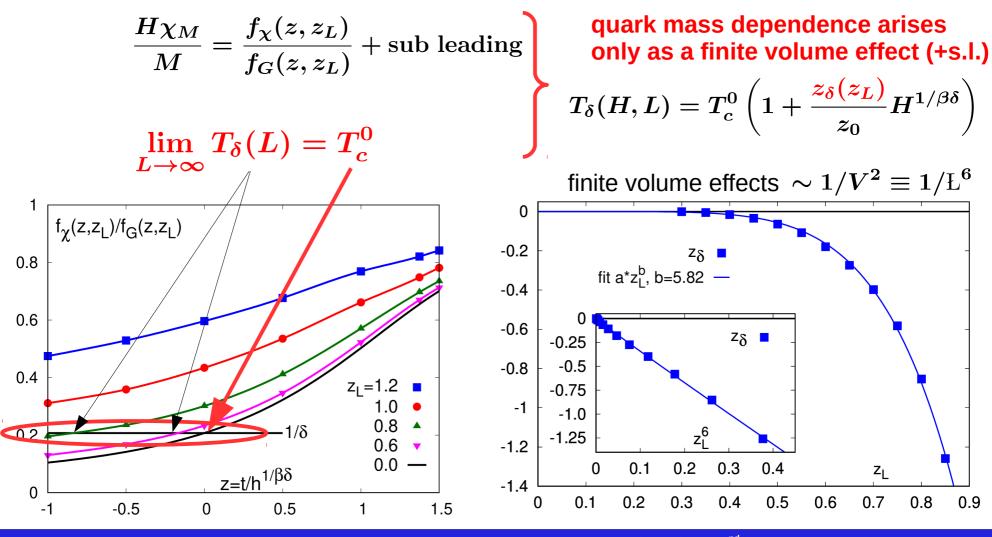
$$T_{\delta}(H,L) = T_c^0 \left( 1 + rac{z_{\delta}(z_L)}{z_0} H^{1/eta\delta} 
ight) + ext{ sub leading}$$

$$z_\delta(0)=0$$

 $z_\delta \simeq 0 \quad \Rightarrow \quad$  weak H-dependence of  $T_\delta$  even at finite H and/or L - almost perfect estimator for  $T_c$  in the limit  $H \to 0$ ,  $L \to \infty$ 

#### Finite size scaling functions of the 3-d, O(4) spin model $V \equiv L^3$

$$M = h^{1/\delta} f_G(z, z_L) + f_{sub}(T, H, L)$$
  
$$\chi_M = h_0^{-1} h^{1/\delta - 1} f_{\chi}(z, z_L) + \tilde{f}_{sub}(T, H, L)$$



0.8

0.6

0.4

0

F. Karsch, Erice, 42<sup>nd</sup> course, September 2021

 $\equiv (N_{\sigma}a)^3$ 

# Chiral PHASE TRANSITION in (2+1)&3-flavor QCD

use a novel observable for the determination of the chiral PHASE TRANSITION TEMPERATURE, which in the infinite volume limit correspond to  $z \simeq 0$ , i.e. in the scaling regime they have almost no quark mass dependence

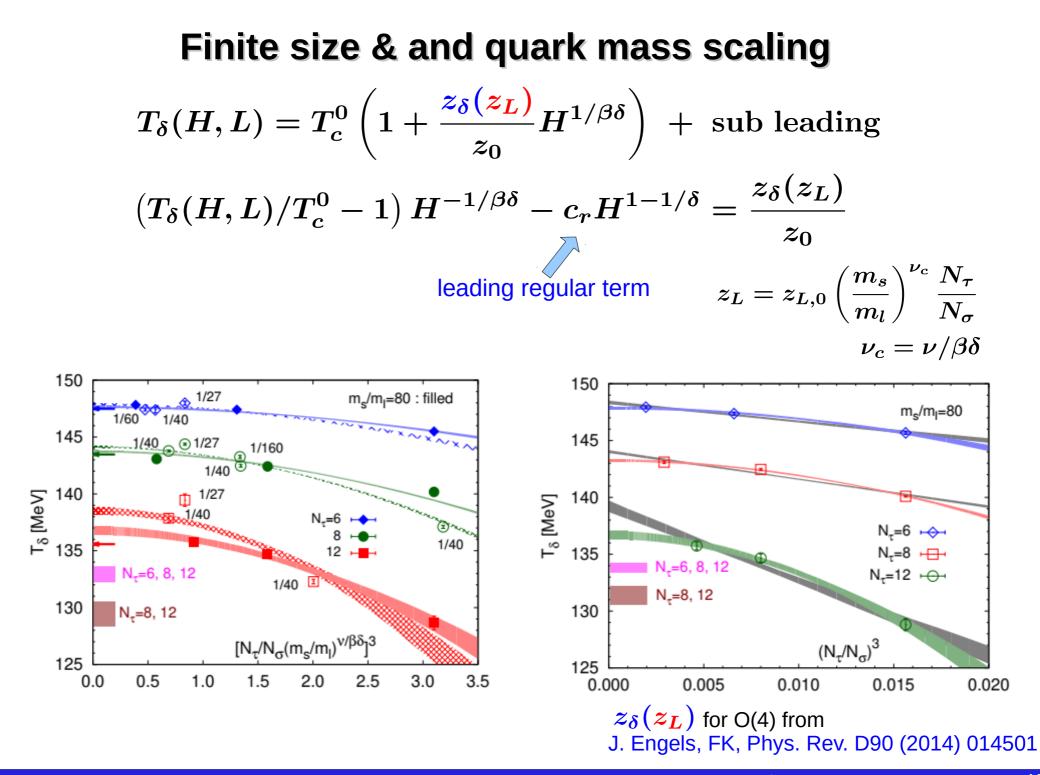
$$T_{\delta}(H,L) = T_{c}^{0} \left(1 + \frac{z_{\delta}(z_{L})}{z_{0}} H^{1/\beta\delta}\right) + \text{ sub leading}$$

$$\frac{H\chi_{M}}{M} = \frac{1}{\delta} \Rightarrow T_{\delta}$$

$$(2+1)\text{-flavor QCD}$$

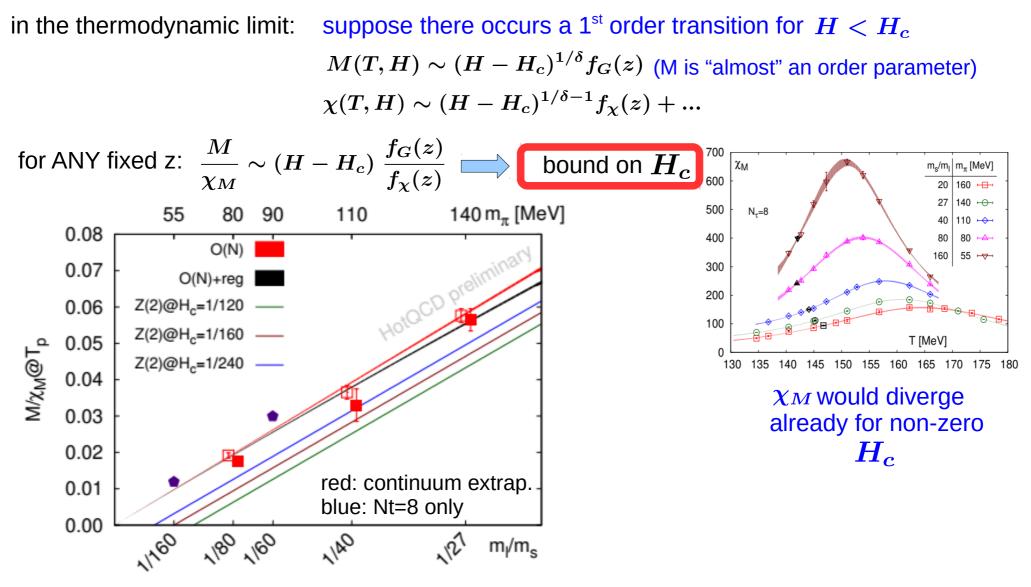
$$(3-flavor QCD)$$

$$(3-$$



#### **Chiral PHASE TRANSITION temperature** (2+1)-flavor QCD 160 T [MeV] 155 聶 HotQCD preliminary 150 145 $\Delta T\simeq 25~{ m MeV}$ 140 \$ 135 本 ₹ 130 A. Lahiri et al. $H=m_l/m_s$ arXiv:2010:15593 125 0.01 0.04 0.03 0.02 O chiral limit extrapolations physical masses $T^{phys}_{pc}$ $T_c^0 = 132^{+3}_{-6} { m MeV}$ $= (156.5 \pm 1.5) \text{ MeV}$ A. Bazavov et al [HotQCD], H.-T. Ding et al [HotQCD], arXiv:1812.08235 arXiv:1903.04801

#### The chiral PHASE TRANSITION temperature – evidence for a 2<sup>nd</sup> order transition in the chiral limit–



A. Lahiri et al, QCD&HRG, Wroclaw 2020, arXiv:2010.15593

### **Chiral observables in QCD**

- chiral condensate: 
$$\langle \bar{\psi}\psi \rangle_q = rac{\partial P/T}{\partial m_q/T}$$
,  $\langle \bar{\psi}\psi \rangle_l = (\langle \bar{\psi}\psi \rangle_u + \langle \bar{\psi}\psi \rangle_d)/2$ 

– chiral order parameter: 
$$M=rac{2}{f_K^4}\left[m_s\langlear\psi\psi
angle_l-m_l\langlear\psi\psi
angle_s
ight]$$

 $m_l = (m_u + m_d)/2$ 

– chiral susceptibility: 
$$\chi_M = m_s \left( rac{\partial M}{\partial m_u} + rac{\partial M}{\partial m_d} 
ight)$$
 magnetic

– mixed chiral susceptibility: 
$$\chi_t = T \frac{\partial M}{\partial T} \sim T \frac{\partial^2 P/T^4}{\partial T \partial m_q}$$
 mixed  $\sim T^2 \frac{\partial^3 P/T^4}{\partial m_q \partial \mu_X^2}\Big|_{\mu_X=0}$ 

– conserved charge fluctuations:  $\chi_{X}=T^{2}rac{\partial^{2}P/T^{4}}{\partial\mu_{X}^{2}}\Big|_{\mu_{X}=0}$  thermal  $X=B,\ S,...$ 18 F. Karsch, Erice, 42<sup>nd</sup> course, September 2021

# Pseudo-critical temperature at non-zero $\mu_B$

universal scaling relations determine curvature of the crossover line

 $-\mu_B$ -dependent shift of maxima in susceptibilities

$$M(T,\mu_B) = M(T,0) + \frac{\partial M}{\partial T}(T-T_c) + \frac{1}{2} \frac{\partial^2 M}{\partial (\mu_B/T)^2} \Big|_{\mu_B=0} \left(\frac{\mu_B}{T}\right)^2 + \dots$$

$$\frac{\partial^2 M(T,\mu_B)}{\partial T^2} = 0 : T_{pc}(\mu_B) = T_{pc} \left( 1 - \kappa_2 \left( \frac{\mu_B}{T_c} \right)^2 - \kappa_4 \left( \frac{\mu_B}{T_c} \right)^4 + \dots \right)$$

– universality relations also relate derivatives with respect to  $\,T\,$  and  $\mu_B\,$ 

regular terms drop out

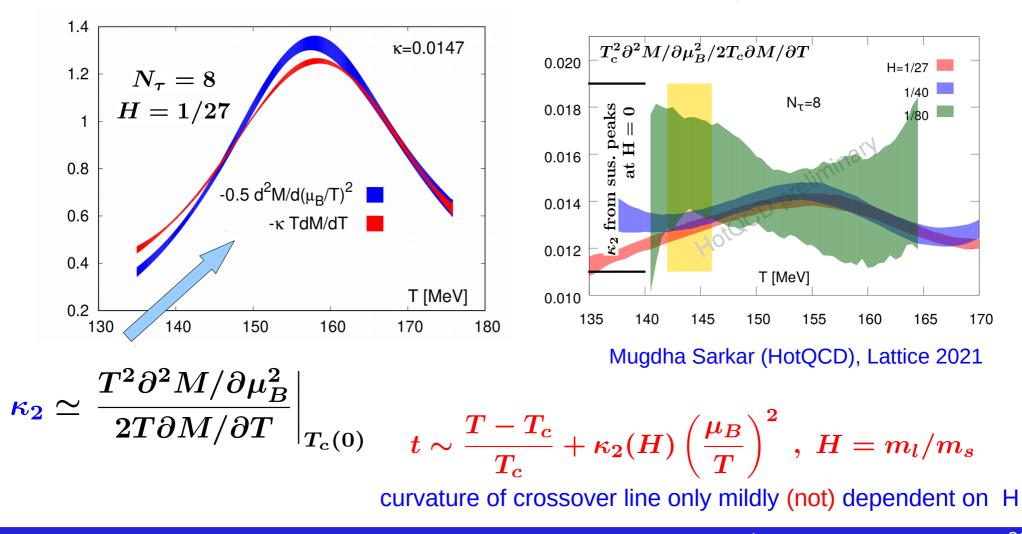
#### **Phases of strong-interaction matter**

$$T_{pc}(\mu_B) = T_{pc} \left(1 - \kappa_2 \left(\frac{\mu_B}{T_c}\right)^2 - \kappa_4 \left(\frac{\mu_B}{T_c}\right)^4 + \dots\right)$$
obtase diagram at obysical values of the quark masses
$$T_{pc}[MeV] \xrightarrow{\text{crossover line: } \mathcal{O}(\mu_B^4) \xrightarrow{\text{crossover line:$$

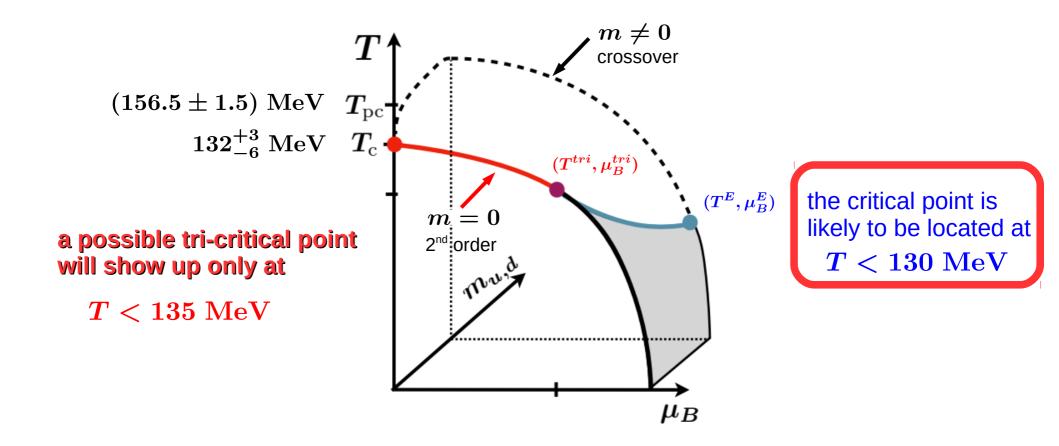
#### Critical behavior and higher order cumulants – towards the chiral limit –

critical behavior in chiral observables: derivatives of the chiral condensate

$$M(T,\mu_B) = M(T,0) + \frac{\partial M}{\partial T}(T-T_c) + \frac{1}{2} \frac{\partial^2 M}{\partial (\mu_B/T)^2} \Big|_{\mu_B=0} \left(\frac{\mu_B}{T}\right)^2 + \dots$$



#### Crossover, chiral phase transition at $\mu_B = 0$ and the (tri)-critical point at $\mu_B > 0$



Note:  $T_c(\mu_B)$  drops by about 10 MeV between  $\mu_B=0 ext{ and } 400 ext{ MeV}$ 

#### **Energy-like observables in the chiral limit**

– does the Polyakov loop play any role in characterizing deconfinement in the chiral limit ?

– heavy quark free energy  $\ F_q(T,H)=-T\ln\langle L
angle=-rac{T}{2}\lim_{ert ec x-ec yert
angle
ightarrow}\ln\langle P_{ec x}^\dagger P_{ec y}
angle$ 

- Polyakov loop is blind to chiral rotations  $\implies$  energy like observable
- expect:  $F_q(T,0) \sim a_\pm |(T-T_c)/T_c)|^{1-lpha} + reg$

$$egin{aligned} T_c rac{\partial F_q(T,H)/T}{\partial T} &= T_c rac{1}{\langle P 
angle} rac{\partial \langle P 
angle}{\partial T} \ &\sim -A H^{(-lpha)/eta \delta} f_f''(z) + reg \end{aligned}$$

 $lpha < 0 \; \Rightarrow \;$  not divergent; cusp

$$\begin{array}{l} \displaystyle \frac{\partial F_q(T,H)/T}{\partial H} = \\ \\ \displaystyle -\frac{1}{\langle L\rangle} \frac{\partial \langle P\rangle}{\partial H} \sim -A H^{(\beta-1)/\beta\delta} f_G'(z) + reg \qquad \mbox{divergent} \end{array}$$

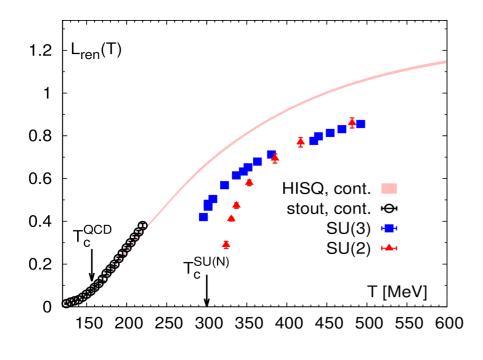
#### **Energy-like observables in the chiral limit**

– does the Polyakov loop play any role in characterizing deconfinement in the chiral limit ?

In the chiral limit ? – heavy quark free energy  $F_q(T,H)=-T\ln\langle L
angle=-rac{T}{2}\lim_{ert \vec{x}-ec{y}ert
ightarrow\infty}\ln\langle P_{ec{x}}^\dagger P_{ec{y}}
angle$ 

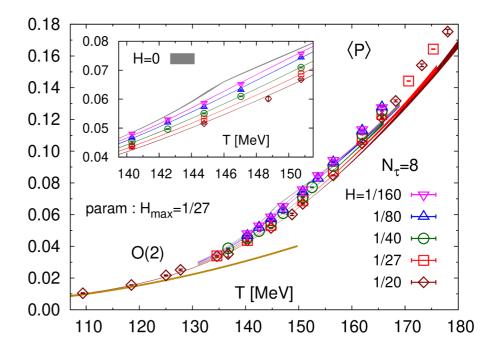
- Polyakov loop is blind to chiral rotations is energy like observable

$$F_q(T,0)\sim a_\pm |(T-T_c)/T_c)|^{1-lpha}+reg$$



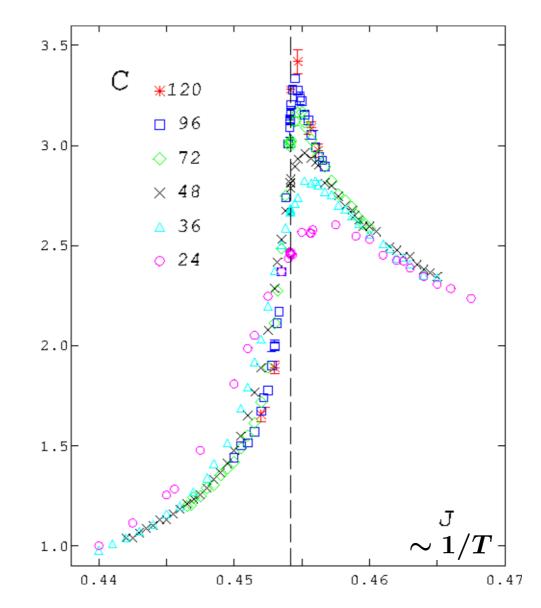
- expect:

P. Petreczky, arXiv: 2011.01466



D.A. Clarke et al, PRD 103 (2021) L011501

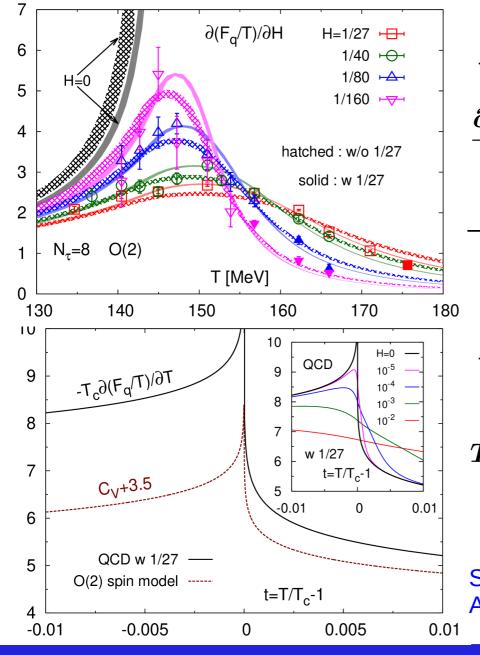
#### **Energy-like observables in O(N) spin models**



Specific heat in the 3d, O(2) spin model, A. Cucchieri et al, J. Phys A 35 (2002) 6517

## **Energy-like observables in the chiral limit**

– Polyakov loop is blind to chiral rotations in energy like observable



D.A. Clarke et al, arXiv:2008.11678

- H-derivative is a mixed susceptibility

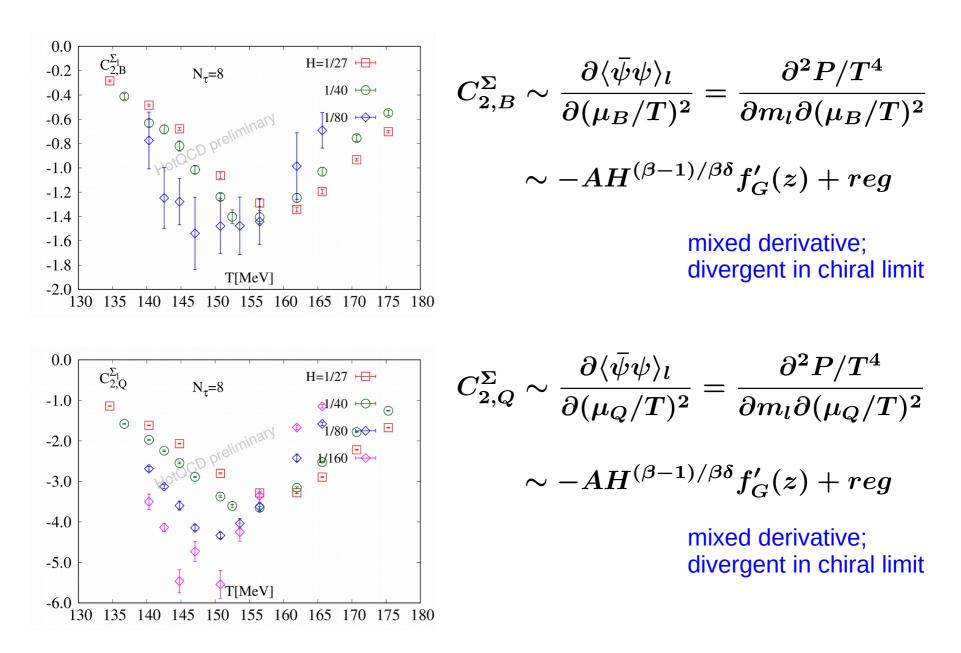
$$egin{aligned} &rac{\partial F_q(T,H)/T}{\partial H} = \ &-rac{1}{\langle P 
angle} rac{\partial \langle P 
angle}{\partial H} \sim -A H^{(eta-1)/eta \delta} f_G'(z) + reg \end{aligned}$$

 T-derivative behaves like specific heat in O(N) spin models

$$T_c rac{\partial F_q(T,H)/T}{\partial T} \ \sim -A H^{(-lpha)/eta\delta} f_f^{\prime\prime}(z) + reg$$

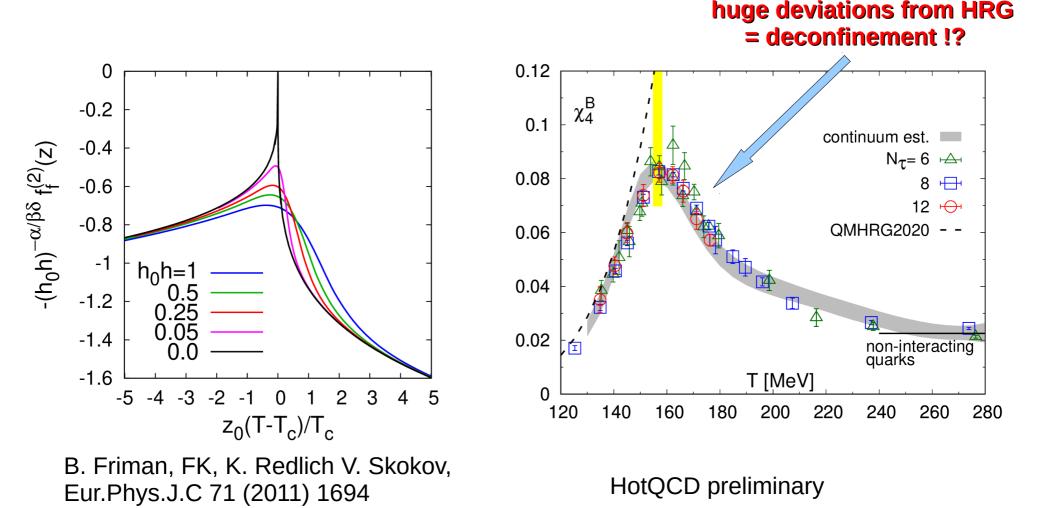
Specific heat in the 3d, O(2) spin model, A. Cucchieri et al, J. Phys A 35 (2002) 6517

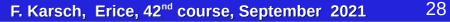
#### Critical behavior in conserved charge cumulants – Energy-like observables in the chiral limit –



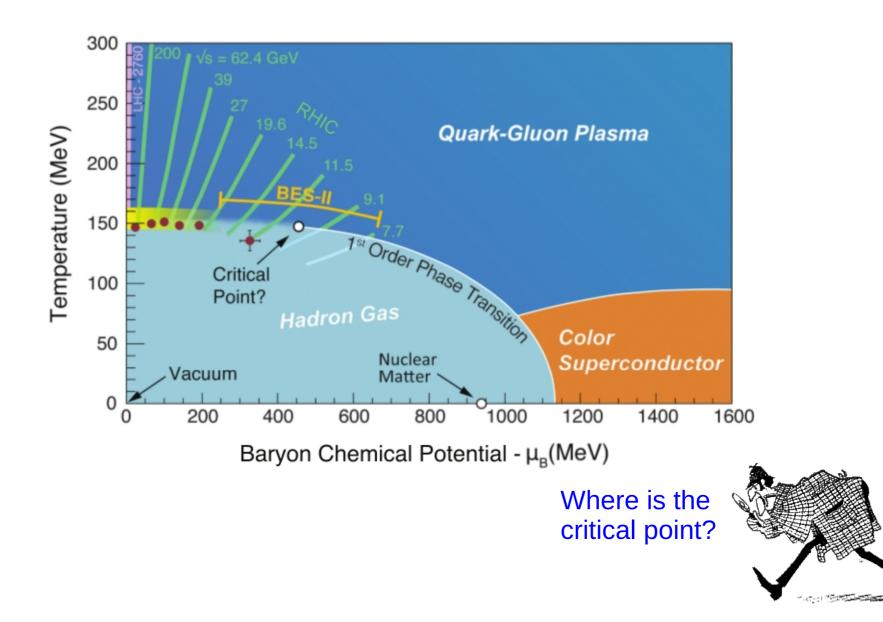
#### Critical behavior in conserved charge cumulants – Energy-like observables in the chiral limit –

- conserved charge cumulants are energy like observables
- fourth order cumulants will develop a cusp in the chiral limit, which becomes self-evident only at VERY small quark masses





#### **Exploring the phase diagram of strong-interaction matter** with Tavlor expansion of the QCD partition function



#### QCD equation of state, critical behavior and the CEP – Taylor expansion –

Taylor expansion of the QCD pressure:  $rac{P}{T^4} = rac{1}{VT^3} \ln Z(T,V,\mu_B,\mu_Q,\mu_S)$ 

$$\frac{P}{T^4} = \sum_{i,j,k=0}^{\infty} \frac{1}{i!j!k!} \chi^{BQS}_{ijk}(T) \left(\frac{\mu_B}{T}\right)^i \left(\frac{\mu_Q}{T}\right)^j \left(\frac{\mu_S}{T}\right)^k$$

cumulants of net-charge fluctuations and correlations:

$$\chi^{BQS}_{ijk} = \left. rac{\partial^{i+j+k} P/T^4}{\partial \hat{\mu}^i_B \partial \hat{\mu}^j_Q \partial \hat{\mu}^k_S} 
ight|_{\mu_{B,Q,S}=0} \quad, \quad \hat{\mu}_X \equiv rac{\mu_X}{T}$$

# Equation of state of (2+1)-flavor QCD: $\mu_B/T>0$

$$\frac{\Delta(T, \mu_B)}{T^4} = \frac{P(T, \mu_B) - P(T, 0)}{T^4} = \frac{\chi_2^B}{2} \left(\frac{\mu_B}{T}\right)^2 + \frac{\chi_4^B}{24} \left(\frac{\mu_B}{T}\right)^4 + \frac{\chi_6^B}{720} \left(\frac{\mu_B}{T}\right)^6 + \dots$$
(10-30)% contribution to total pressure at  $\mu_B/T = 2$ 

$$(10-30)\% = 0.4$$

$$\mu_B/T = 2$$

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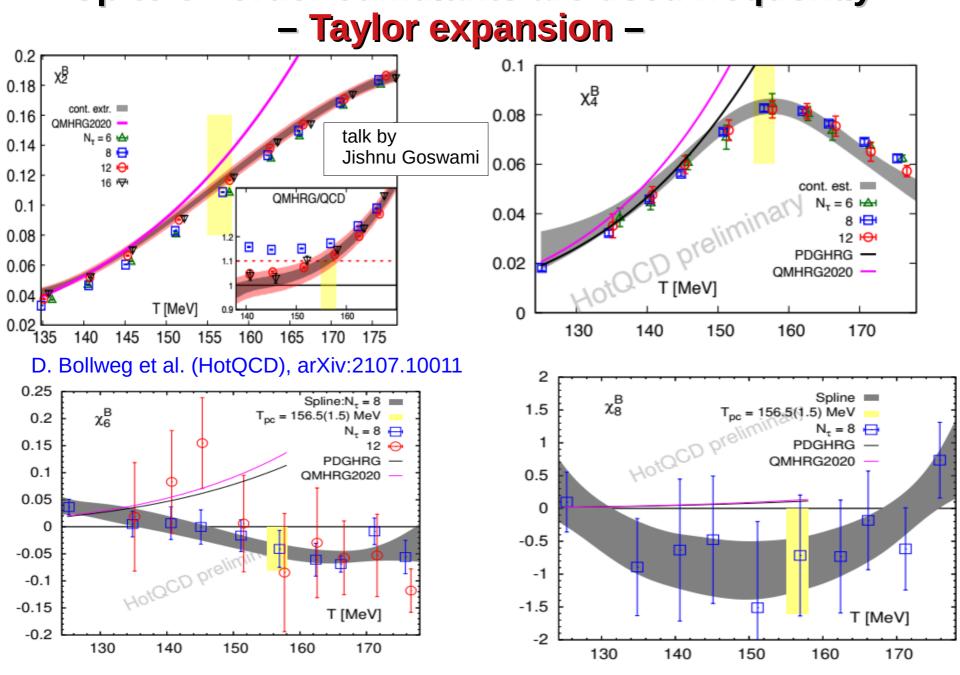
$$(10-30)\% = 0.4$$

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convergence of expansions for higher order derivatives increasingly worse

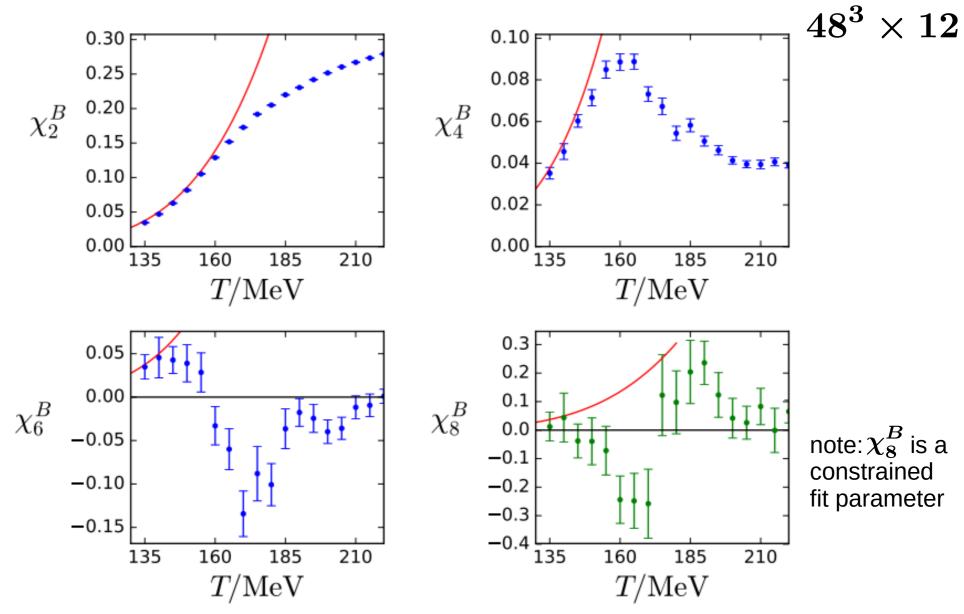


Up to 8<sup>th</sup> order cumulants are used frequently

A. Bazavov et al. (HotQCD), Phys. Rev. D 101 (2020) 074502, arXiv:2001.08530

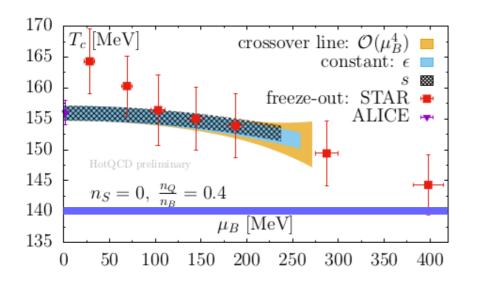
# control basic features of skewness up to hyper-kurtosis ratios

#### Up to 8<sup>th</sup> order cumulants are used frequently – imag. chem. pot. extrapolations –



S. Borsanyi et al. , JHEP 10 (2018) 205, arXiv:1805.04445

# **Critical behavior and higher order cumulants**



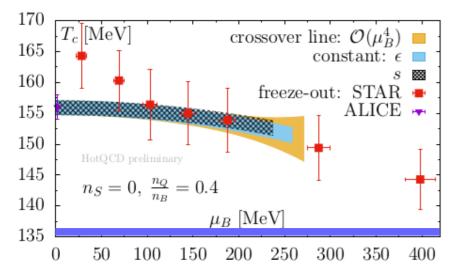
– many 8<sup>th</sup> order cumulants turn negative for

 $T^-\gtrsim (140-145)~{
m MeV}$ 

 higher order cumulants will continue to "oscillate" at even lower T, if convergence of Taylor series is limited by a complex zero

 $T_{CEP} < 140 \mathrm{MeV} ~,~ \mu_B^{CEP} > 400 \mathrm{MeV}$ 

# **Critical behavior and higher order cumulants**



– many 8<sup>th</sup> order cumulants turn negative for  $T^-\gtrsim(140-145)~{
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 higher order cumulants will continue to "oscillate" at even lower T, if convergence of Taylor series is limited by a complex zero

 $T_{CEP} < 140 \mathrm{MeV} \;, \; \mu_B^{CEP} > 400 \mathrm{MeV}$ 

#### **Exploit analytic structure of scaling functions:**

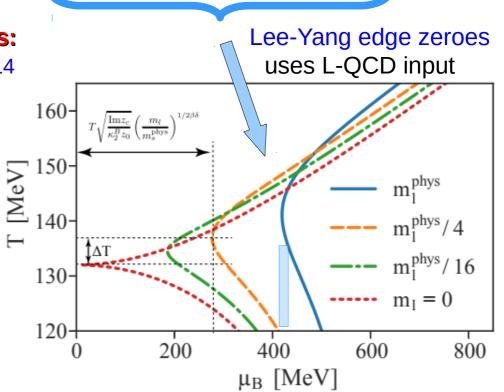
M. A. Stephanov, hep-lat/0603014

- using input on non-universal parameters  $(T_c, \kappa_2, z_0)$  allows to estimate the radius of convergence deduced from universal properties of O(4) scaling function

S. Mukherjee, V. Skokov, arXiv: 1909.04639

$$f_G(z) \sim (z-z_c)^\sigma$$
 ,  $z_c$  complex

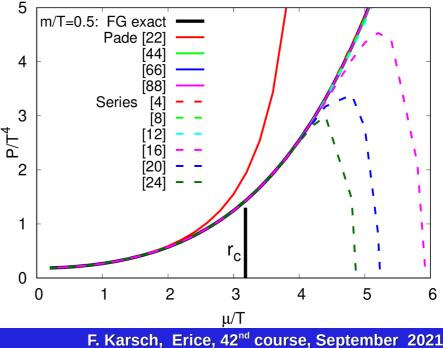
- -
- Taylor expansions will not allow to reach the CEP, if  $\mu_B^{CEP} > 400 \text{ MeV}$ Taylor series needs to be resummed



## **Resumming Taylor series**

- conformal mappings
- partial resummation
- Pade resummation

- V. Skokov, K. Morita, B. Friman, arXiv:1008.4549
- M. Giordano et al., arXiv:2004.10800
- G. Basar, arXiv:2105.08080
- S. Modal, S. Mukherjee, P. Hegde, arXiv:2106.03165
- F. Karsch, B.-J. Schaefer, M. Wagner, J. Wambach, Phys. Lett. B 698(2011) 256, arXiv:1009.5211
- a simple example:
- Taylor series for a relativistic Fermi gas as function of chemical potential
- radius of convergence controlled by an imaginary zero at  $\mu_c/T=i\pi$
- series expansions in real  $\mu$  break down at  $\mu_c$
- diagonal Pades, P[nn], have no problem avoiding this singularity
- phase transitions are signaled by (stable) zeroes in Pade approximants



#### **Resumming Taylor series**

#### **Pade resummation**

$$P(T,\mu_B)/T^4 = P(T,0)/T^4 + P_2(T,x) , \ x = \mu_B/T$$
  
 $P_2(T,x) = c_2(T)x^2 + c_4(T)x^4 + c_6(T)x^6 + c_8(T)x^8$ 

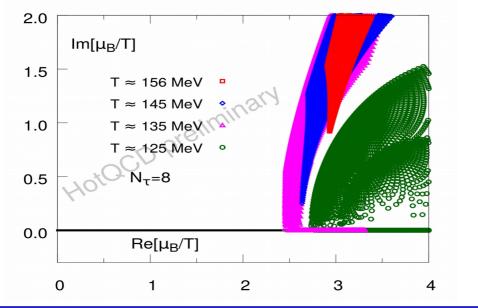
 $c_n = \chi^B_n/n! \ c_{n2} = c_n/c_2$ 

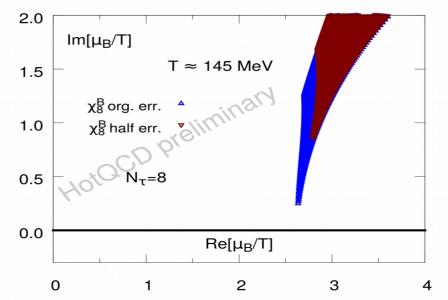
HotQCD preliminary: thanks to Jishnu Goswami, Anirban Lahiri,...

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$$P[4,4](x) = c_2 rac{(c_{42}^2-c_{62})x^2 + (c_{42}^3-2c_{42}c_{62}+c_{82})x^4}{(c_{42}^2-c_{62}) + (c_{82}-c_{42}c_{62})x^2 + (c_{62}^2-c_{42}c_{82})x^4}$$

– possible location of (positive) pole of the [4,4] Pade within current errors on  $c_6=\chi_6^B/720,\ c_8=\chi_8^B/40320$ 





### **Resumming Taylor series**

#### **Pade resummation**

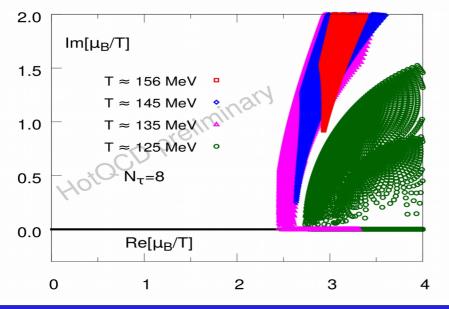
$$P(T,\mu_B)/T^4 = P(T,0)/T^4 + P_2(T,x) , \ x = \mu_B/T$$
  
 $P_2(T,x) = c_2(T)x^2 + c_4(T)x^4 + c_6(T)x^6 + c_8(T)x^8$ 

$$c_n = \chi^B_n/n! \ c_{n2} = c_n/c_2$$

HotQCD preliminary: thanks to Jishnu Goswami, Anirban Lahiri,...

$$P[4,4](x) = c_2 rac{(c_{42}^2-c_{62})x^2+ig(c_{42}^3-2c_{42}c_{62}+c_{82}ig)x^4}{(c_{42}^2-c_{62})+(c_{82}-c_{42}c_{62})x^2+(c_{62}^2-c_{42}c_{82})x^4}$$

– possible location of (positive) pole of the [4,4] Pade within current errors on  $c_6=\chi_6^B/720,\ c_8=\chi_8^B/40320$ 



within current errors poles on the real axis (critical point) are possible only for

 $T \leq 135 {
m MeV} \;, \; \mu_B/T > 2.5$ 

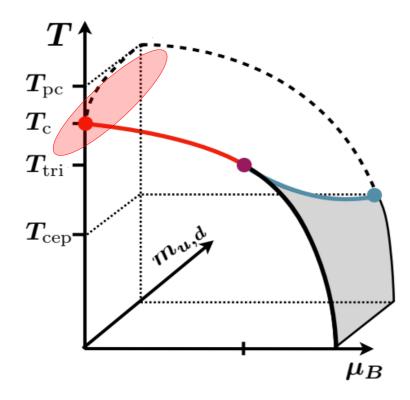
higher statistics will sharpen the constraint

NB: HRG poles of [4,4] Pade at $(\mu/T)^{poles} = \pm 5.45 \pm 2.09$ 

## Conclusions

close to the chiral limit thermodynamics in the vicinity of the QCD transition(s) is controlled by a universal scaling function

$$rac{p}{T^4} = rac{1}{VT^3} \ln Z(V,T,ec{\mu}) = -h^{(2-lpha)/eta\delta} f_f(t/h^{1/eta\delta}) - f_r(V,T,ec{\mu})$$



# What we learned so far about the CEP in QCD from lattice QCD calculations:

- I) the critical temperature is below Tc=132 MeV
- II) curvature of the chiral critical line suggests an even lower bound
- III) the corresponding critical chemical potential is likely to be above 400 MeV
  - Taylor expansions need to be resummed in order to reach CEP