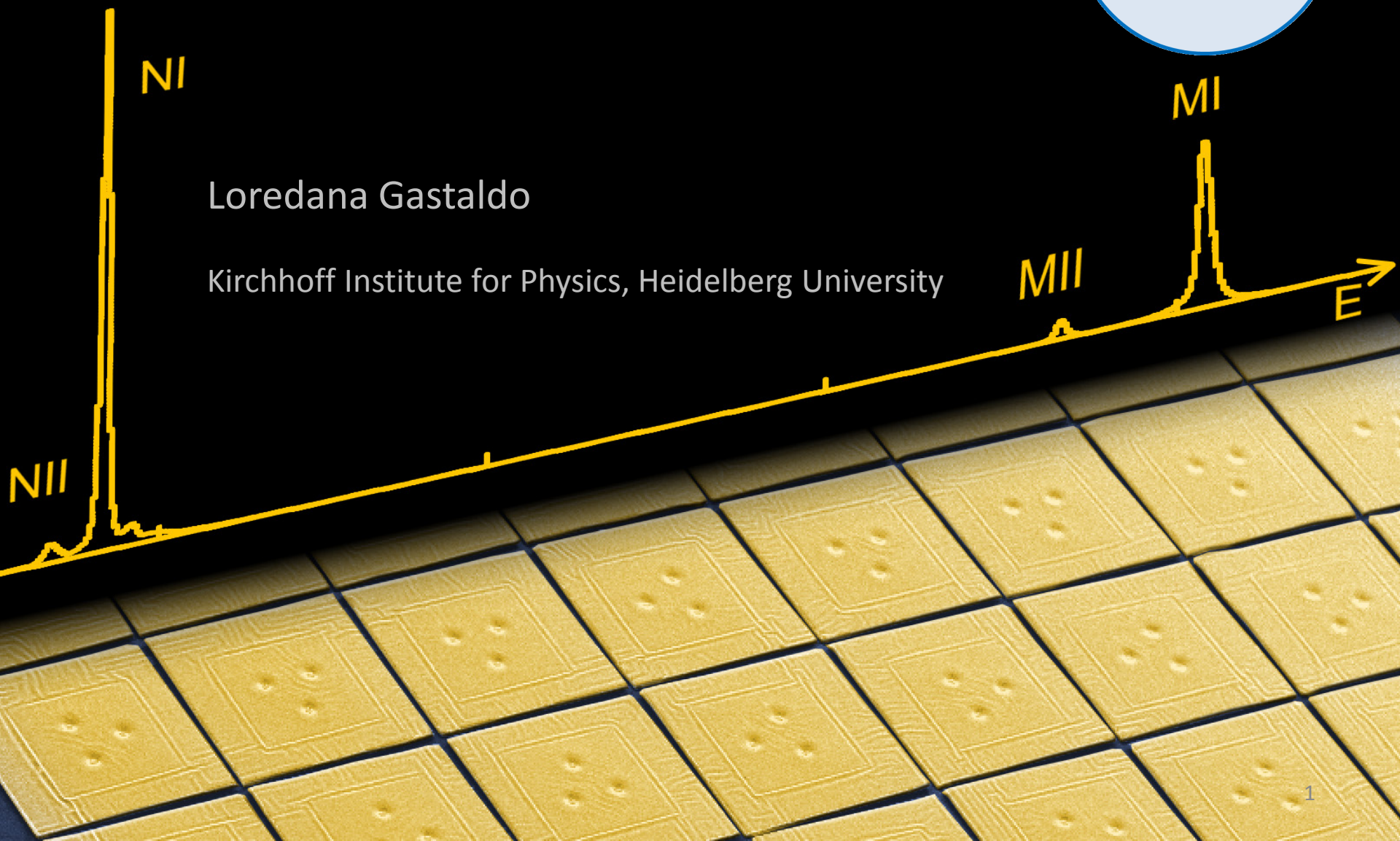


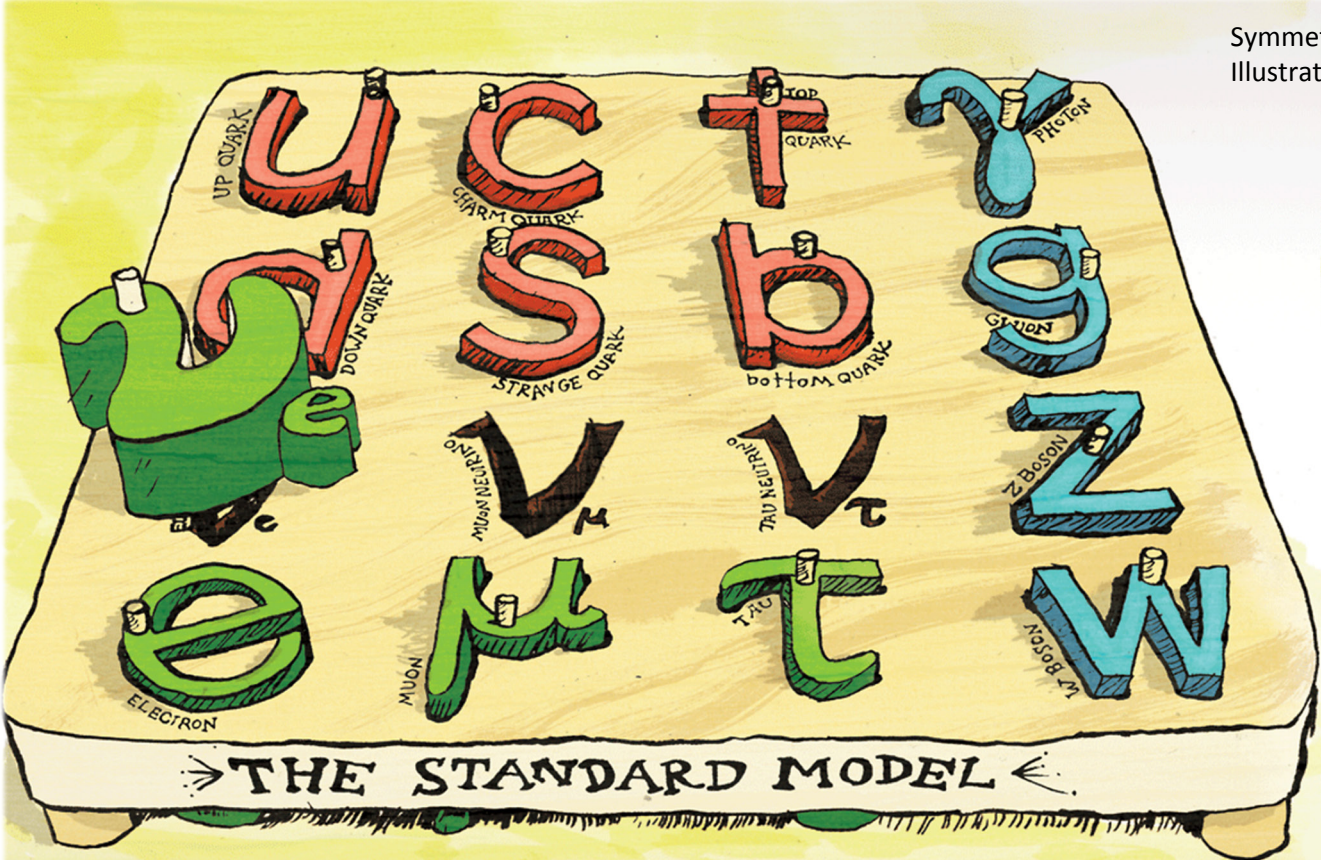
# Electron Capture in $^{163}\text{Ho}$ experiment - ECHo



Loredana Gastaldo

Kirchhoff Institute for Physics, Heidelberg University

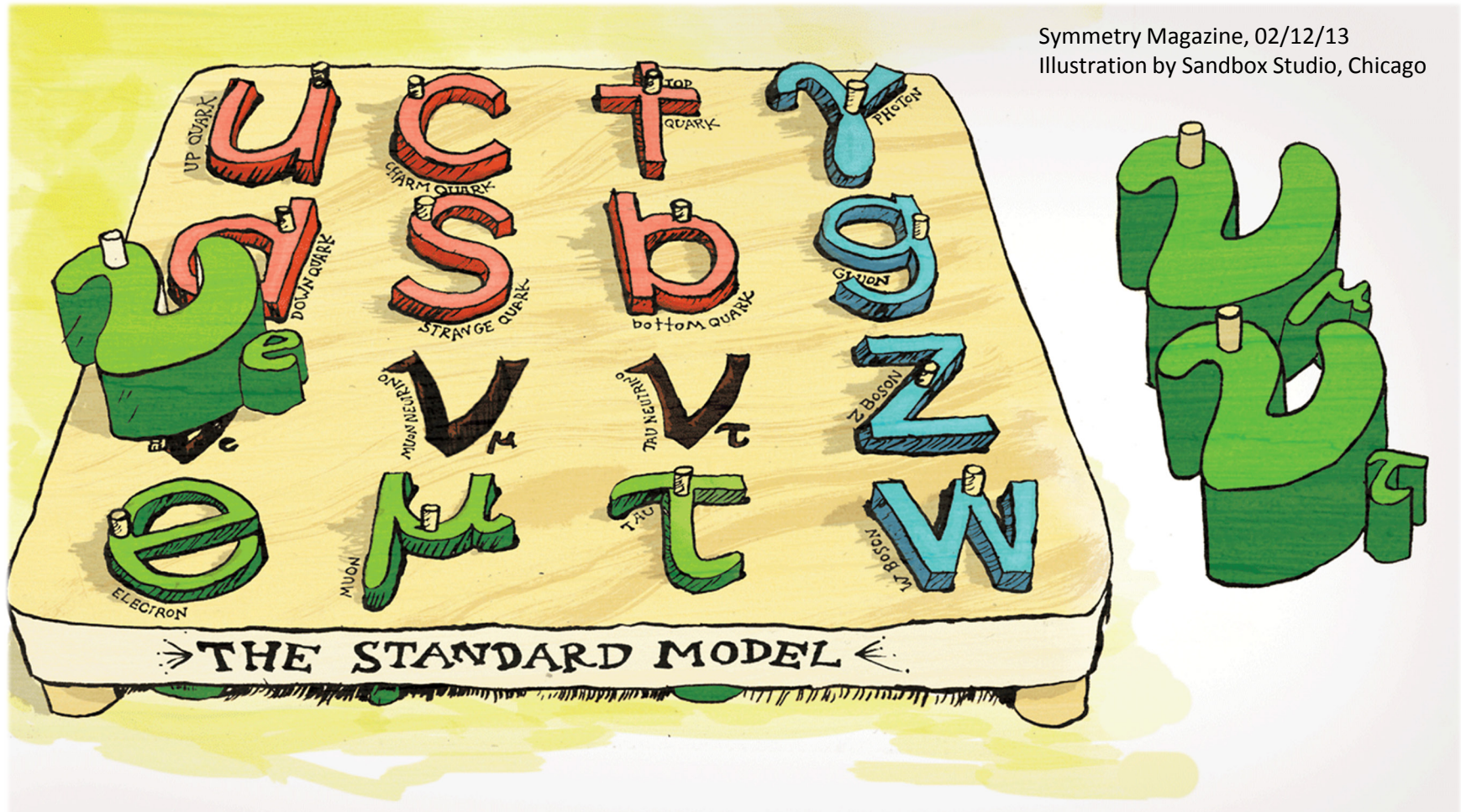
# Massive Neutrinos



Symmetry Magazine, 02/12/13  
Illustration by Sandbox Studio, Chicago



# Massive Neutrinos

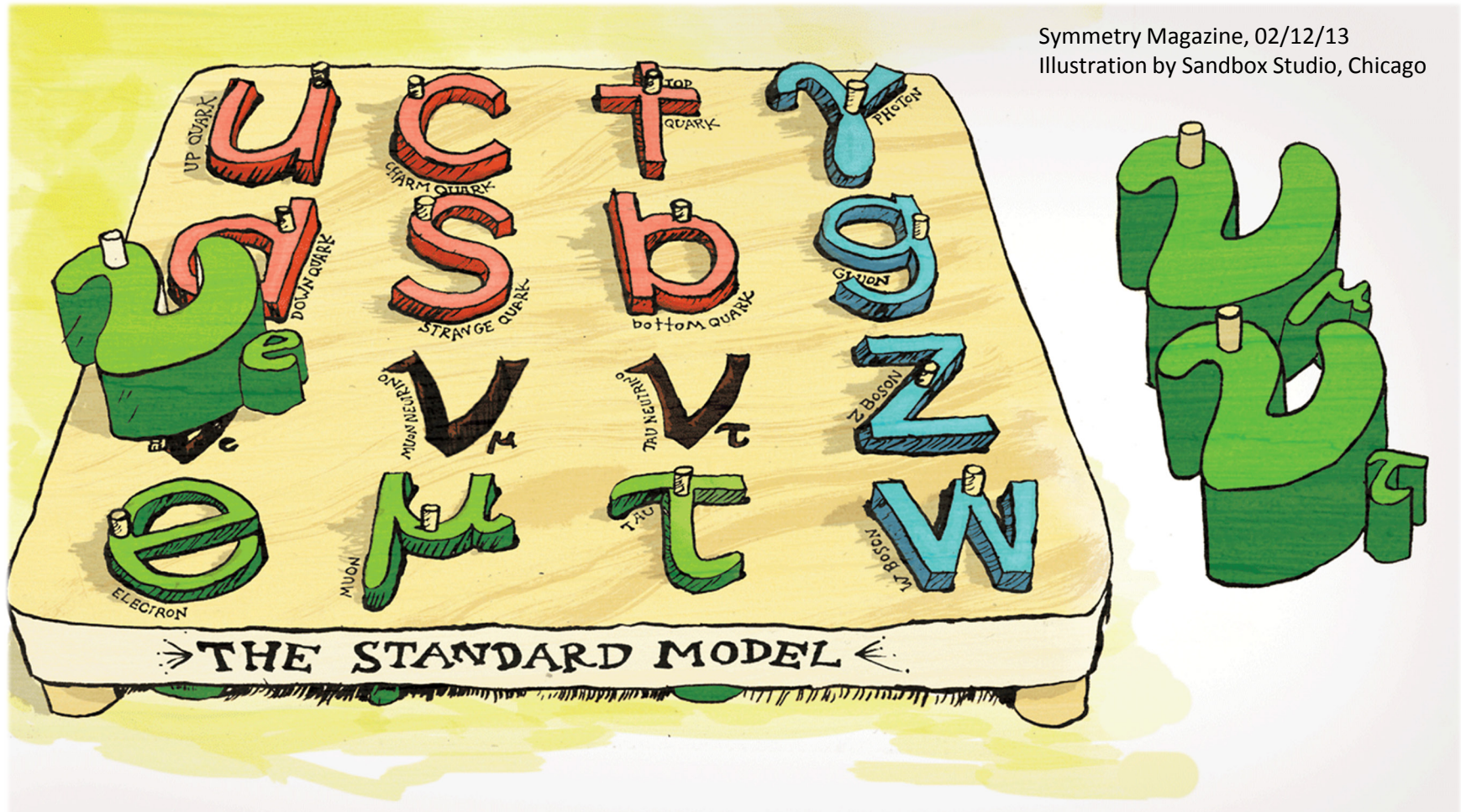


Symmetry Magazine, 02/12/13  
Illustration by Sandbox Studio, Chicago

$$m(\bar{\nu}_e) < 2.2 \text{ eV} \quad (1)$$

- (1) Ch. Kraus *et al.*, Eur. Phys. J. C **40** (2005) 447  
Ch. Weinheimer, Prog. Part. Nucl. Phys. **57** (2006) 22  
N. Aseev *et al.*, Phys. Rev D **84** (2011) 112003

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Symmetry Magazine, 02/12/13  
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Ch. Weinheimer, Prog. Part. Nucl. Phys. **57** (2006) 22  
N. Aseev *et al.*, Phys. Rev D **84** (2011) 112003

$$m(\nu_e) < 225 \text{ eV} \quad (2)$$

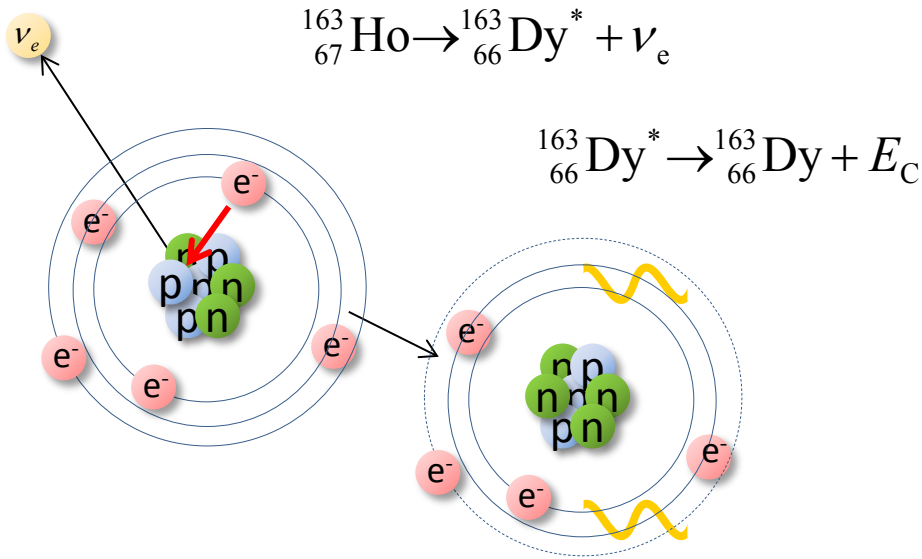
- (2) P. T. Springer, C. L. Bennett, and P. A. Baisden Phys. Rev. A **35** (1987) 679

# Outline

- Electron capture in  $^{163}\text{Ho}$  and neutrino mass
- Requirements to achieve sub-eV sensitivity on the electron neutrino mass
- The Electron Capture in  $^{163}\text{Ho}$  experiment - ECHO
- Conclusions and outlook



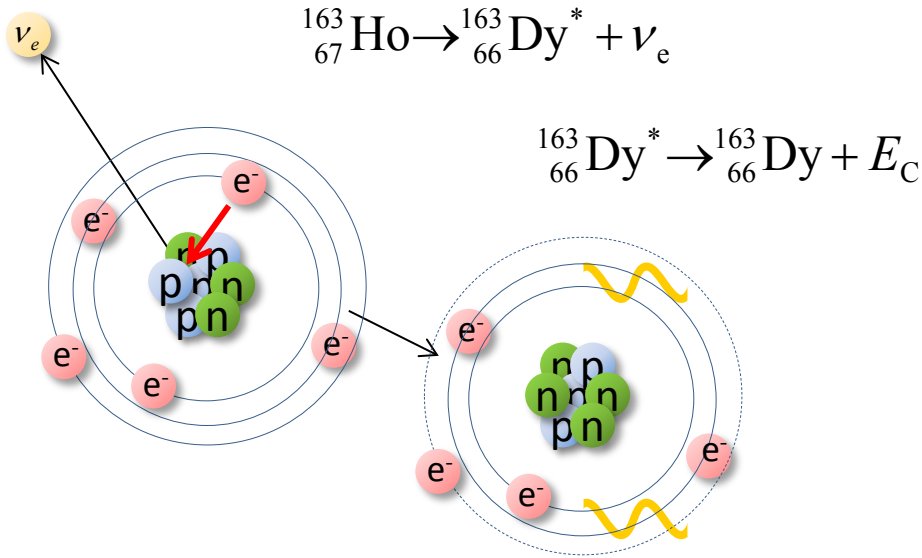
# Electron capture in $^{163}\text{Ho}$



- $\tau_{1/2} \cong 4570$  years ( $2 \cdot 10^{11}$  atoms for 1 Bq)
- $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}})$  keV \*
- Low  $Q_{\text{EC}}$ -value allows capture only for:  
3s, 3p<sub>1/2</sub>, 4s, p<sub>1/2</sub>, 5s, 5p<sub>1/2</sub>, 6s electrons

\* S. Eliseev et al., *Phys. Rev. Lett.*, 115, 062501 (2015)

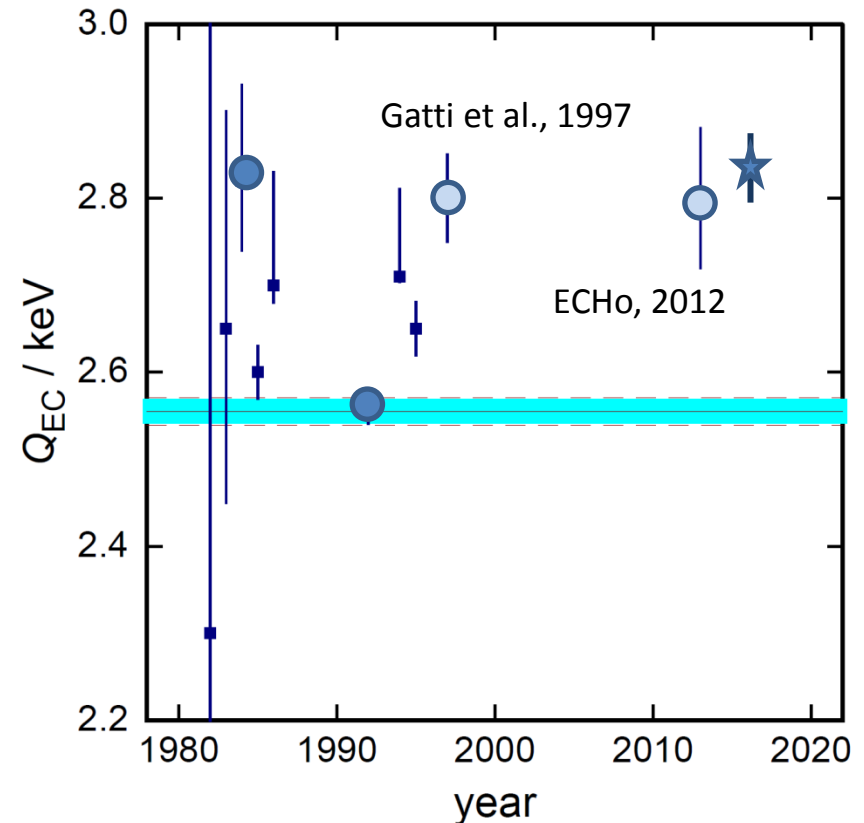
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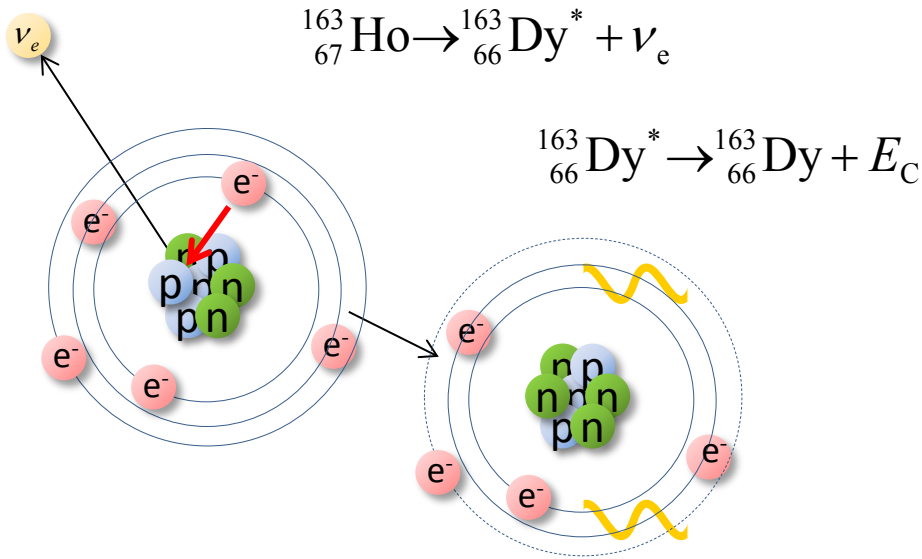
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Independent measurement by  
Penning Trap Mass Spectrometry



# Electron capture in $^{163}\text{Ho}$



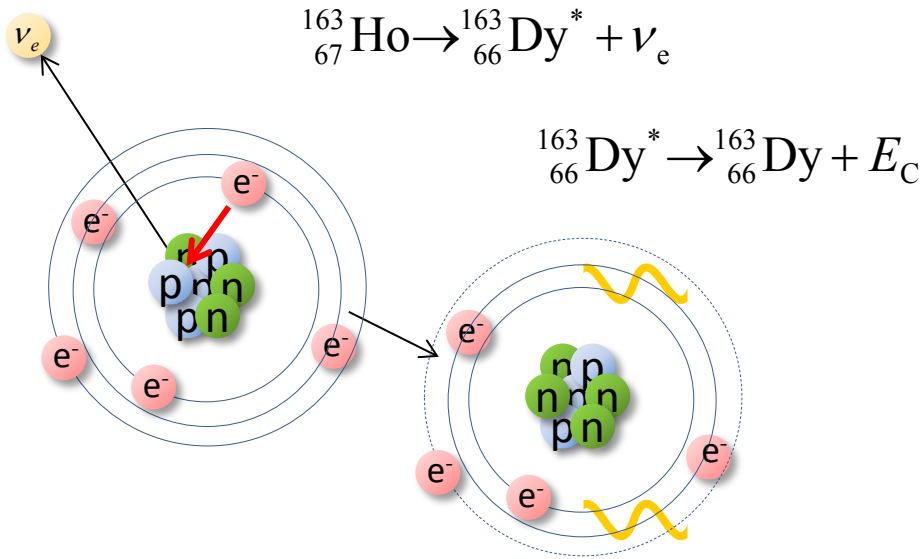
A non-zero neutrino mass affects the [de-excitation energy spectrum](#)

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# Electron capture in $^{163}\text{Ho}$



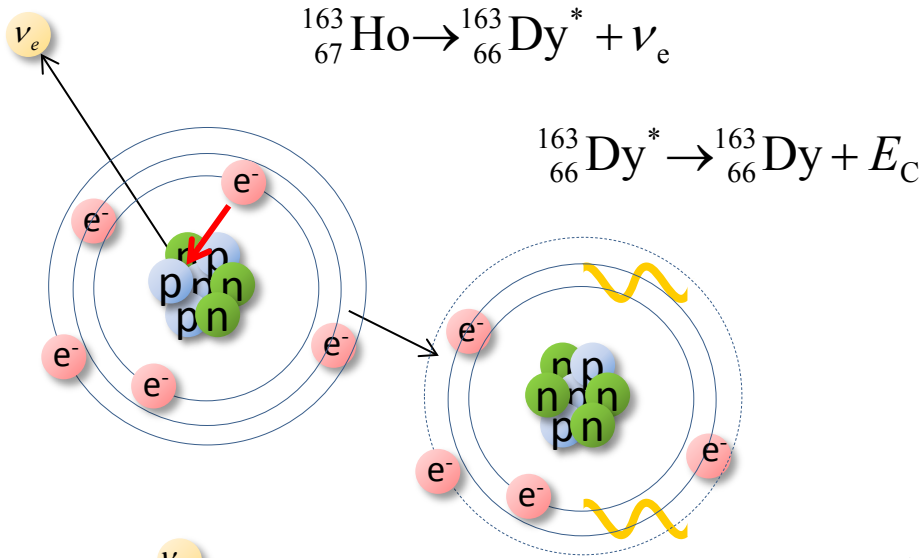
## Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

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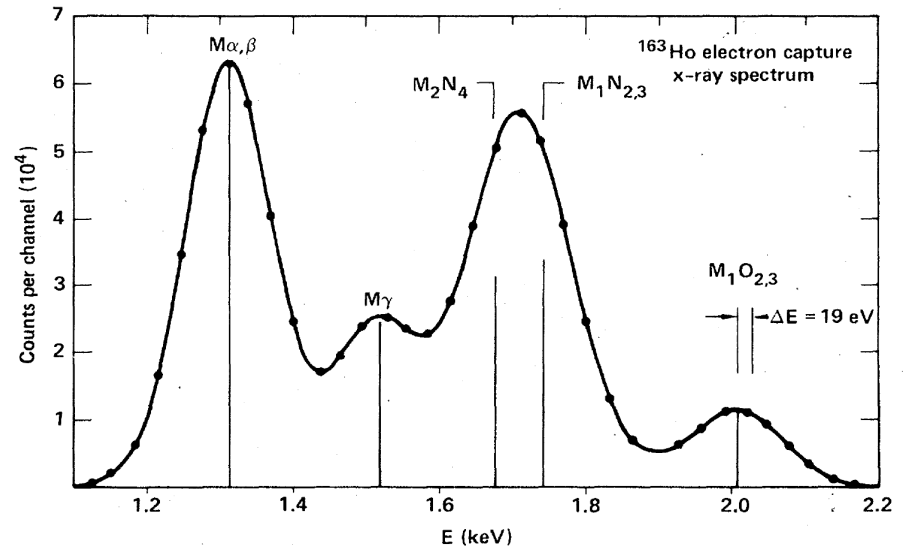
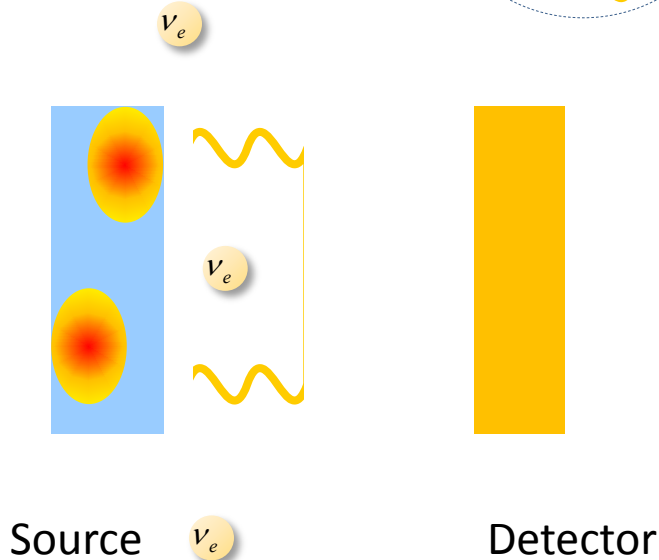
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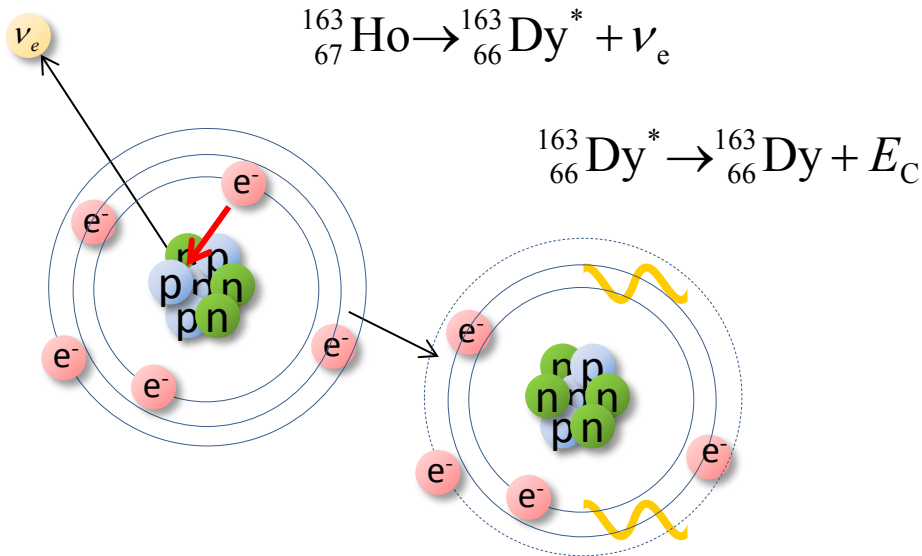


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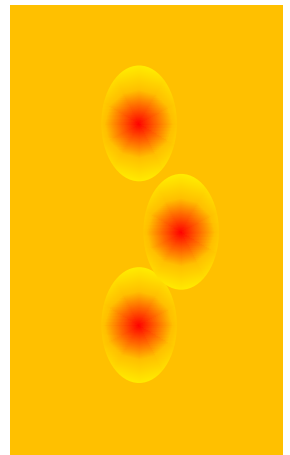
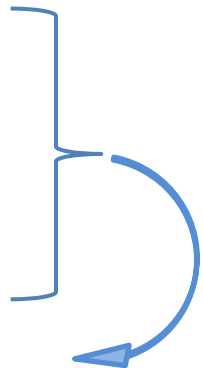


Atomic de-excitation:

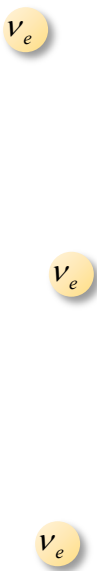
- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement

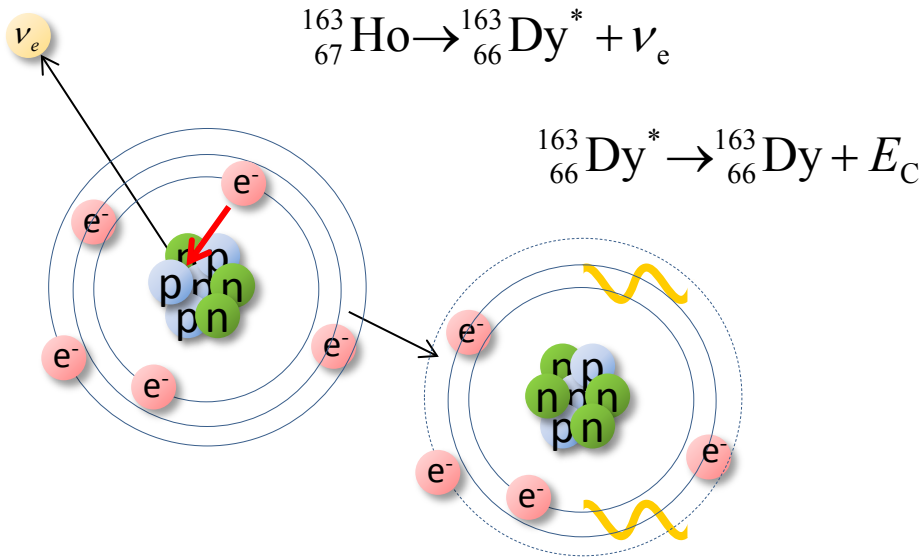
All the energy released in the electron capture process minus the one of the electron neutrino is measured by the detector



Source = Detector



# Electron capture in $^{163}\text{Ho}$

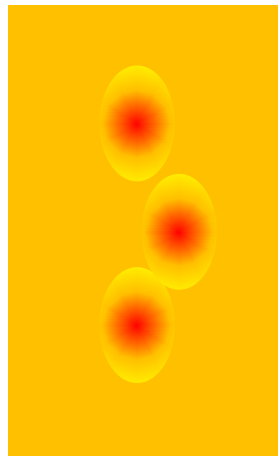


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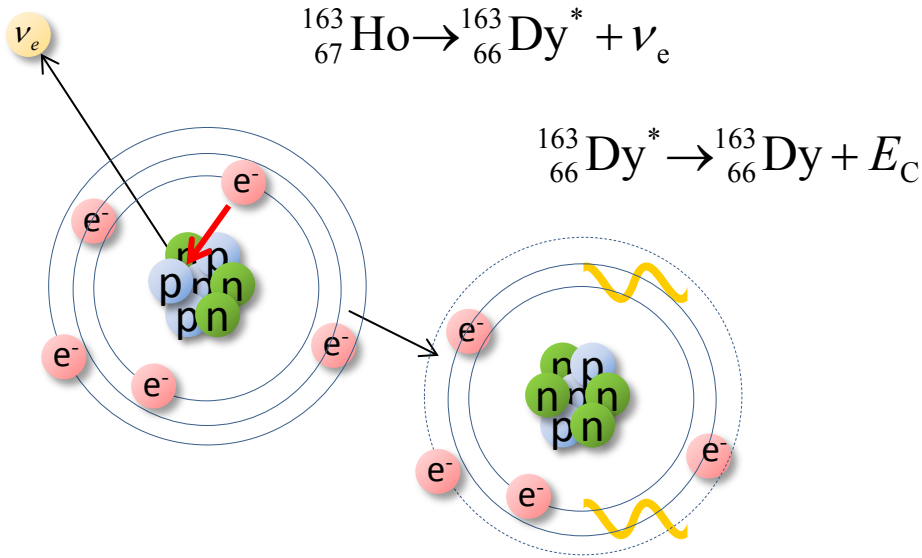
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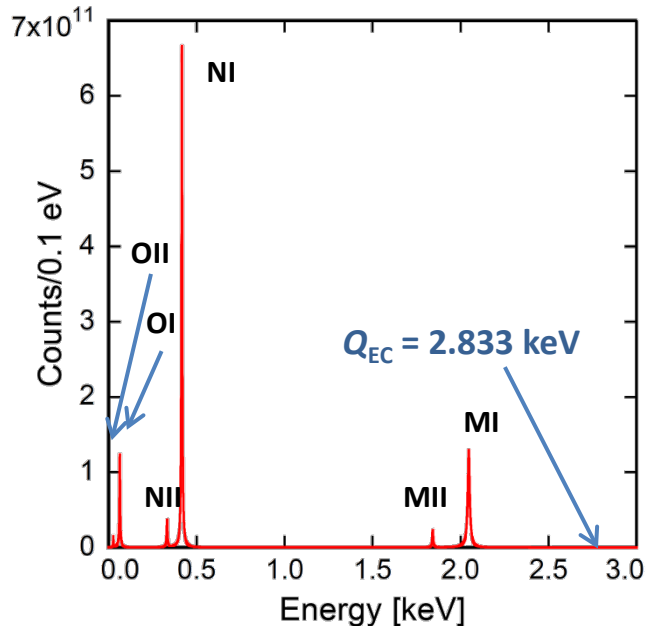
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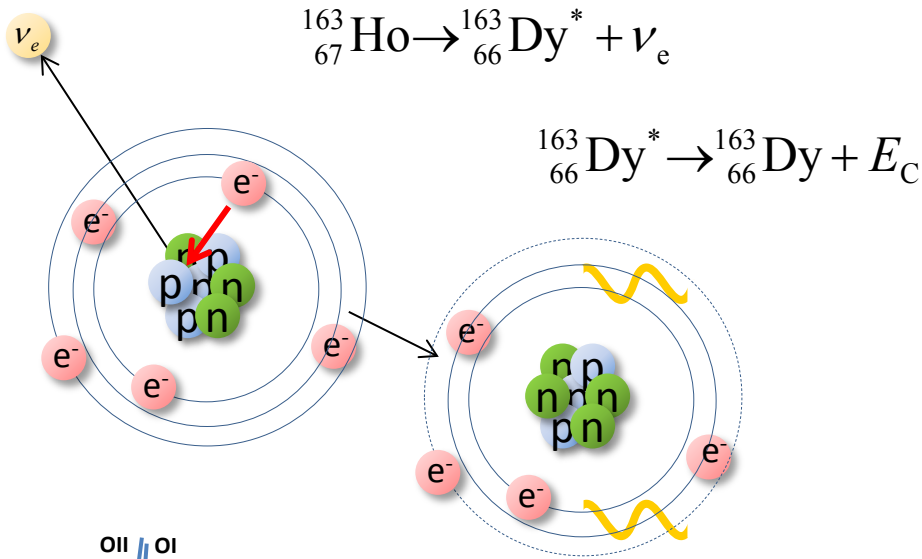
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Calorimetric measurement



$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_{\text{H}} B_{\text{H}} \phi_{\text{H}}^2(0) \frac{\frac{\Gamma_{\text{H}}}{2\pi}}{(E_C - E_{\text{H}})^2 + \frac{\Gamma_{\text{H}}^2}{4}}$$

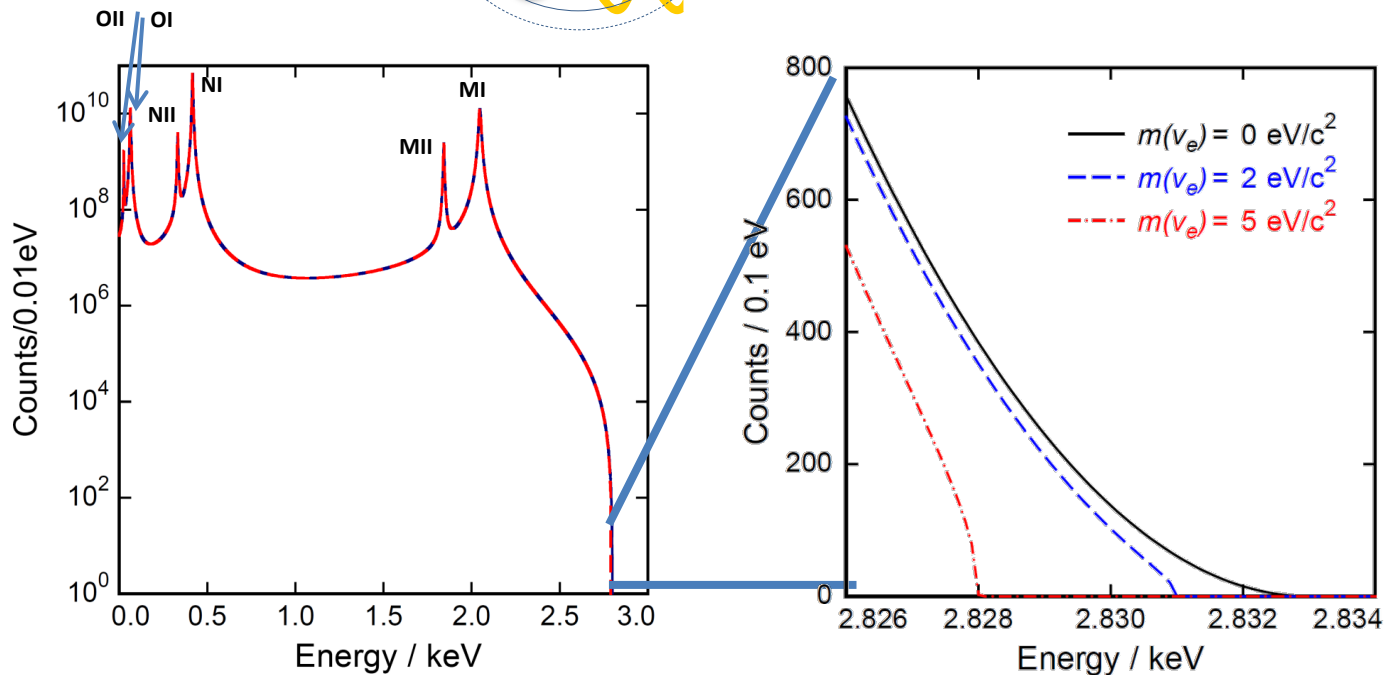
# Electron capture in $^{163}\text{Ho}$



Atomic de-excitation:

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- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement



# $^{163}\text{Ho}$ -based experiments



(1)

- Department of Nuclear Physics, Comenius University, Bratislava, Slovakia
- Goethe Universität Frankfurt am Main
- Institute for Nuclear Chemistry, Johannes Gutenberg University Mainz
- Institute of Nuclear and Particle Physics, TU Dresden, Germany
- Institute for Physics, Humboldt-Universität zu Berlin, Germany
- Institute for Physics, Johannes Gutenberg-Universität
- Institute for Theoretical Physics, University of Tübingen, Germany
- [Institut Laue-Langevin, Grenoble, France](#)
- [ISOLDE-CERN](#)
- Kirchhoff-Institute for Physics, Heidelberg University, Germany
- Max-Planck Institute for Nuclear Physics Heidelberg, Germany
- Petersburg Nuclear Physics Institute, Russia
- Physics Institute, University of Tübingen, Germany



(2)

- Milano-Bicocca University, Italy
- INFN Sez. Milano-Bicocca, Italy
- INFN Sez. Genova, LNGS, Italy
- INFN Sez. Roma, Italy
- Institut Laue-Langevin, Grenoble, France
- Lisboa University, Portugal
- Miami University, USA
- NIST, Boulder, USA
- JPL, Pasadena, USA
- PSI, Villingen, Switzerland

NuMECS (3)

- LANL, Los Alamos, USA
- NIST, Boulder, USA
- Univ. of Wisconsin, Madison, USA

(1) The ECHO Collaboration EPJ-ST 226 8 (2017) 1623

(2) B. Alpert et al, Eur. Phys. J. C 75 (2015) 112

(3) M. Croce et al., JLTP 184 3 (2016) 938

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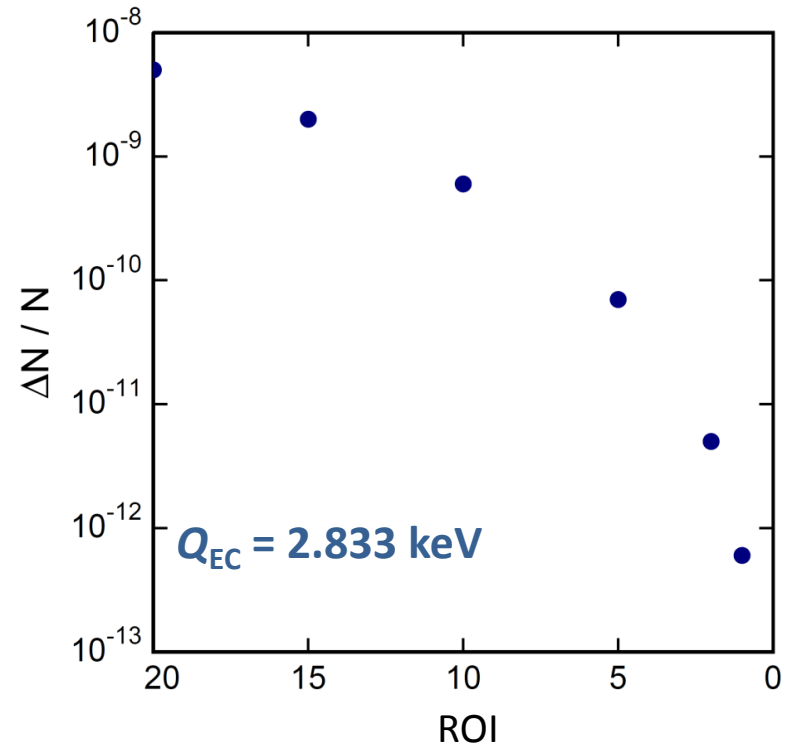
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# Requirements for sub-eV sensitivity in ECHO

Statistics in the end point region

- $N_{\text{ev}} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$



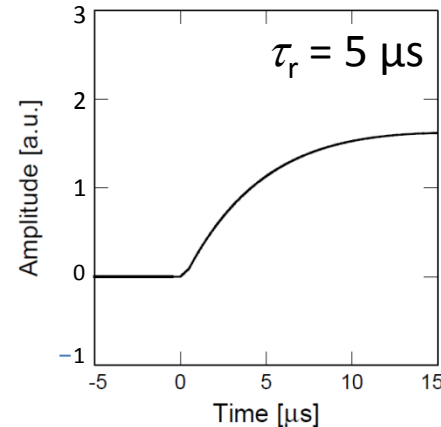
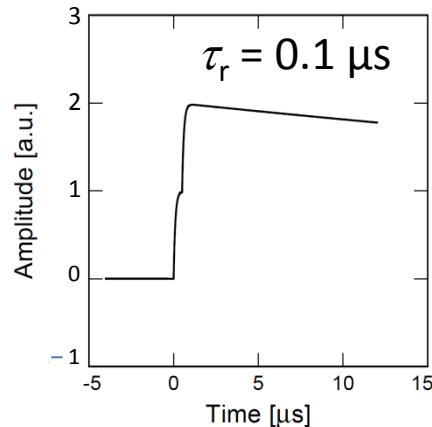
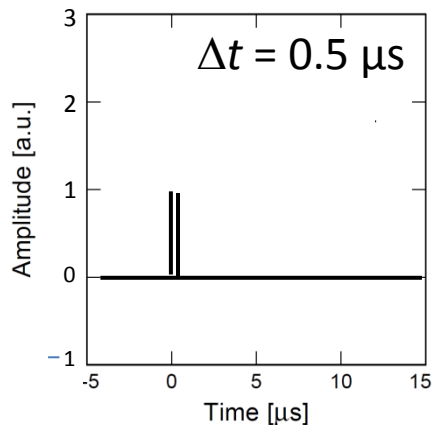
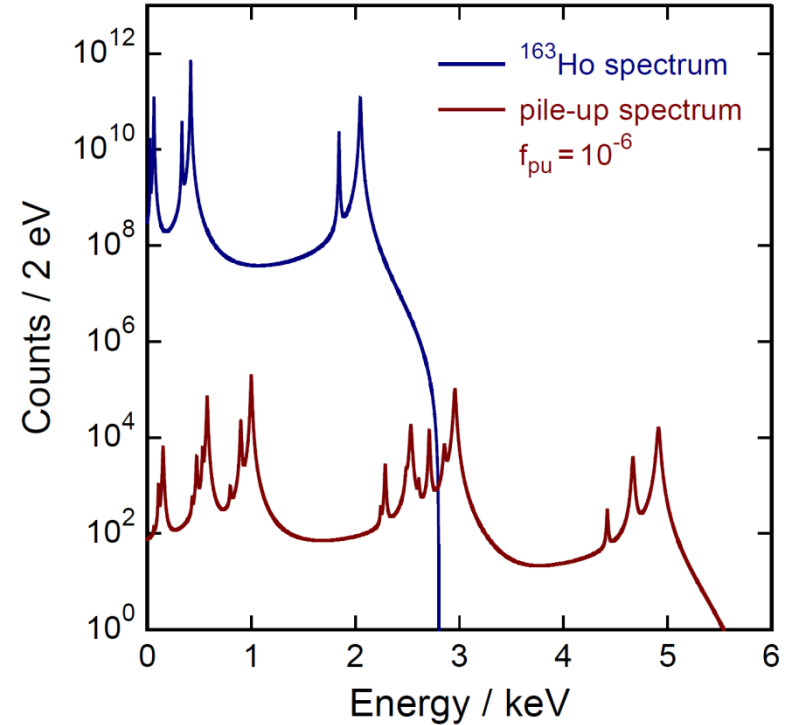
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- $f_{\text{pu}} < 10^{-5}$
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- $10^5 \text{ pixels} \rightarrow \text{multiplexing}$



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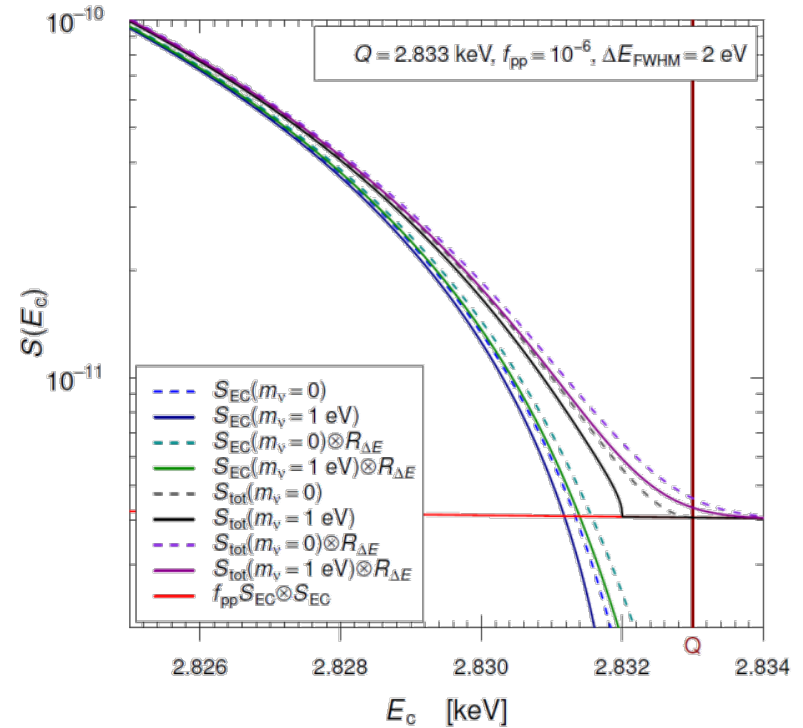
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Precision characterization of the endpoint region

- $\Delta E_{\text{FWHM}} < 3 \text{ eV}$



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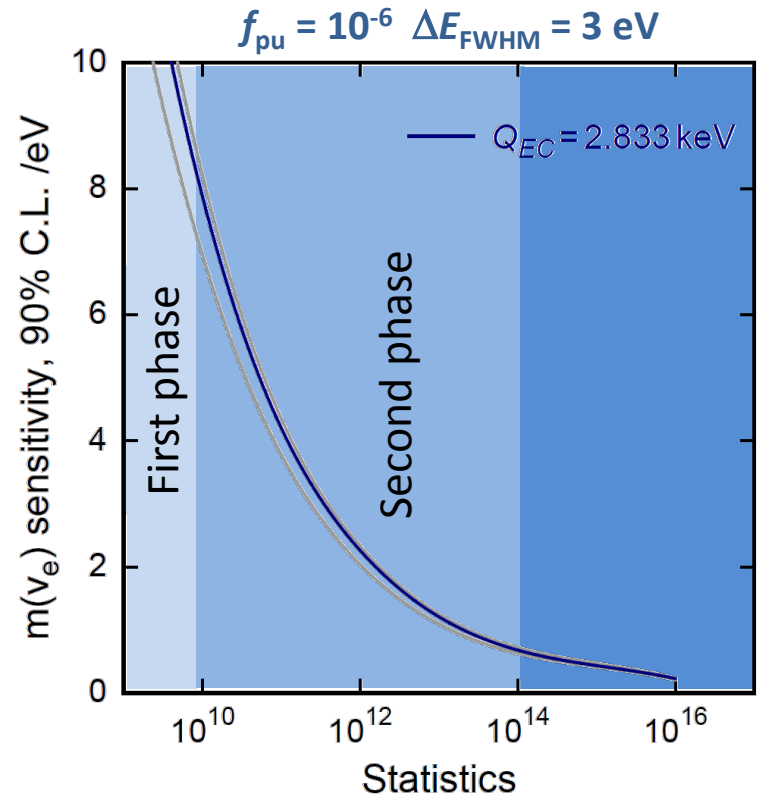
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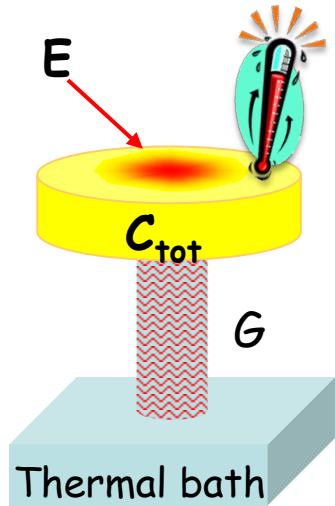
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Background level

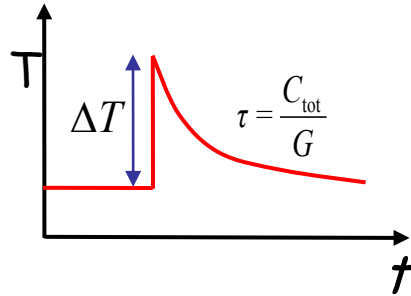
- $< 10^{-5} \text{ events/eV/det/day}$



# Low temperature micro-calorimeters



$$\Delta T \cong \frac{E}{C_{\text{tot}}}$$

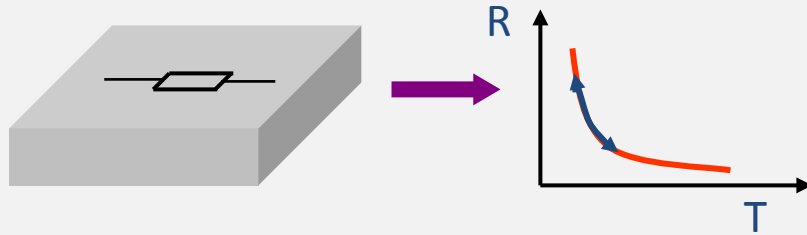


$$\left. \begin{array}{l} E = 10 \text{ keV} \\ C_{\text{tot}} = 1 \text{ pJ/K} \end{array} \right\} \rightarrow \sim 1 \text{ mK}$$

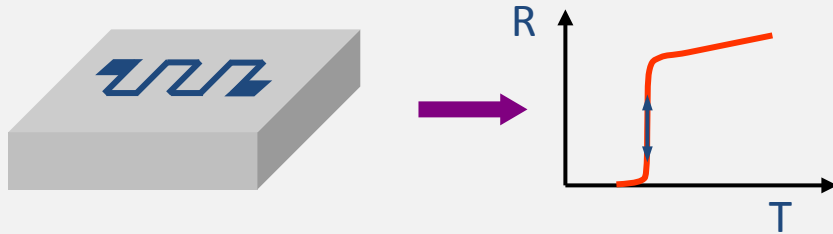
- Very small volume
- Working temperature below 100 mK  
small specific heat  
small thermal noise
- **Very sensitive temperature sensor**

# Temperature sensors

## Resistance of highly doped semiconductors



## Resistance at superconducting transition, TES

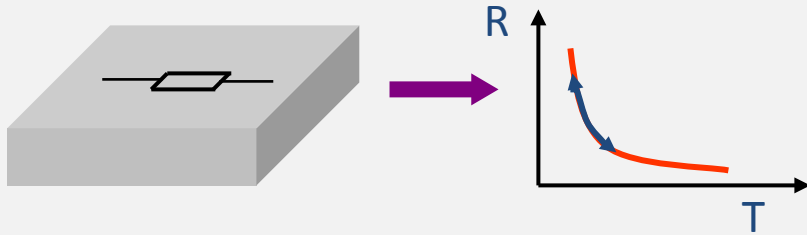


## Magnetization of paramagnetic material, MMC

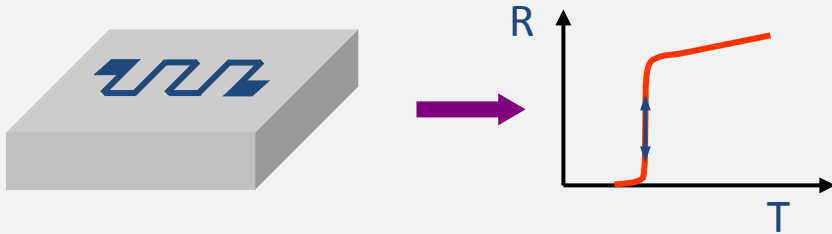


# Temperature sensors

Resistance of highly doped semiconductors



Resistance at superconducting transition, TES



Magnetization of paramagnetic material, MMC

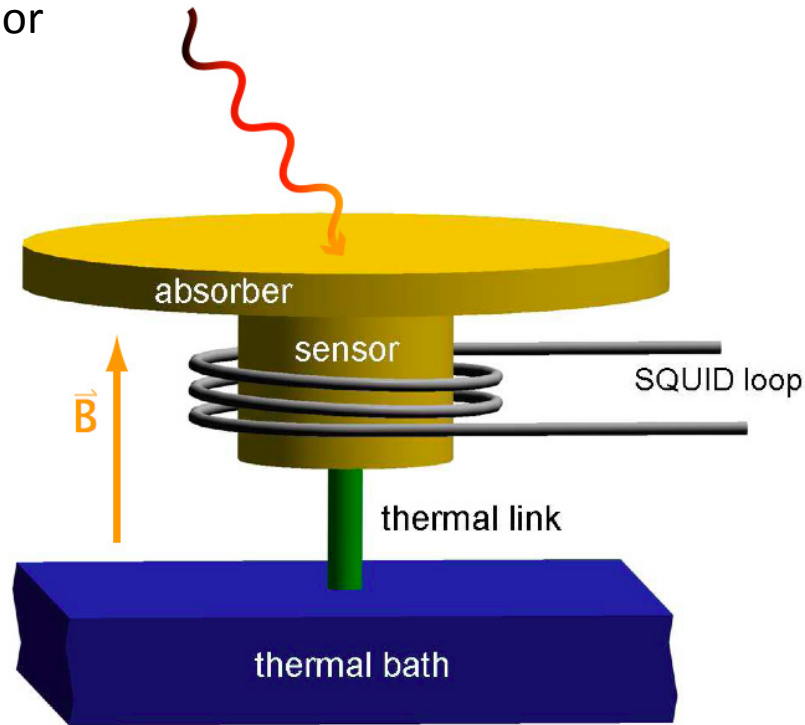


**HOLMES**  
NuMECS

EC**Ho**

# Metallic magnetic calorimeters (MMCs)

- Paramagnetic Ag:Er sensor

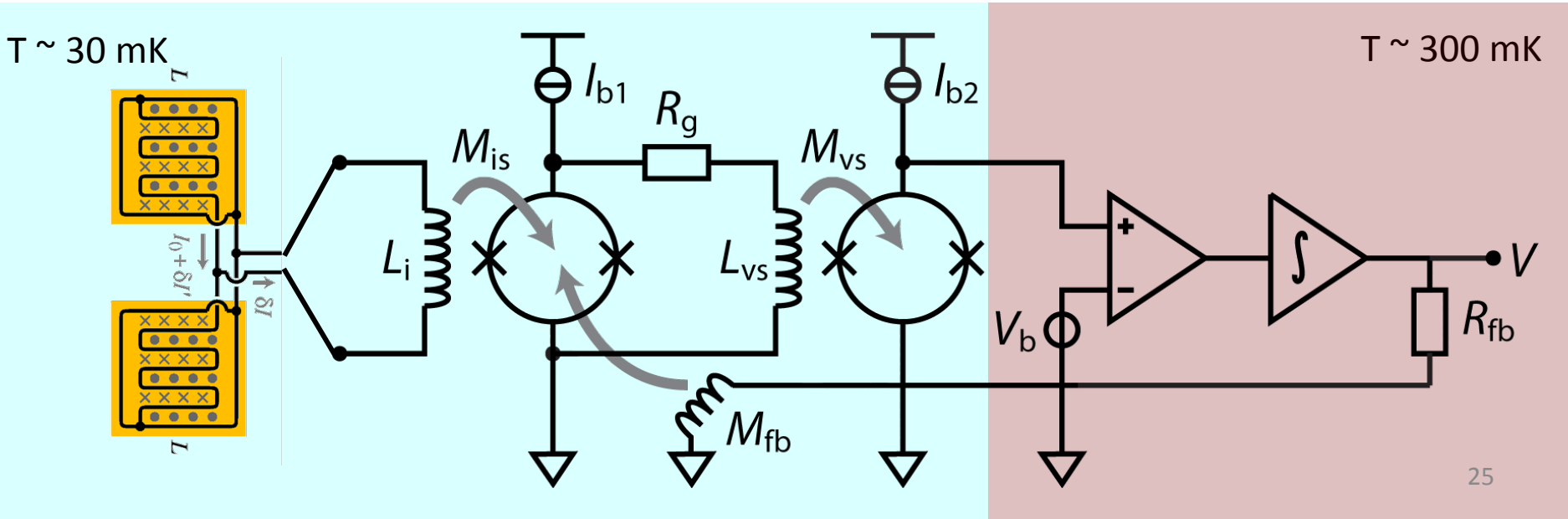
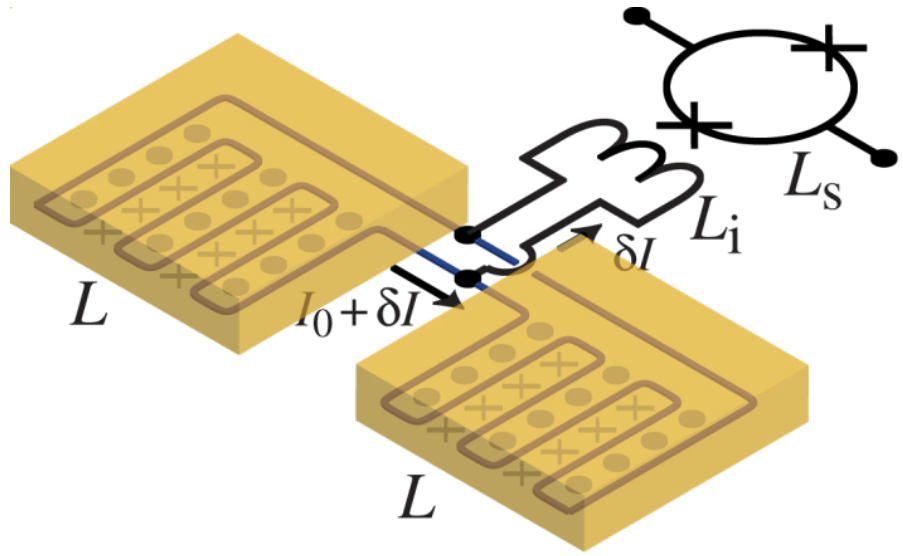


$$\Delta\Phi_s \propto \frac{\partial M}{\partial T} \Delta T \quad \rightarrow \quad \Delta\Phi_s \propto \frac{\partial M}{\partial T} \frac{E}{C_{\text{sens}} + C_{\text{abs}}}$$

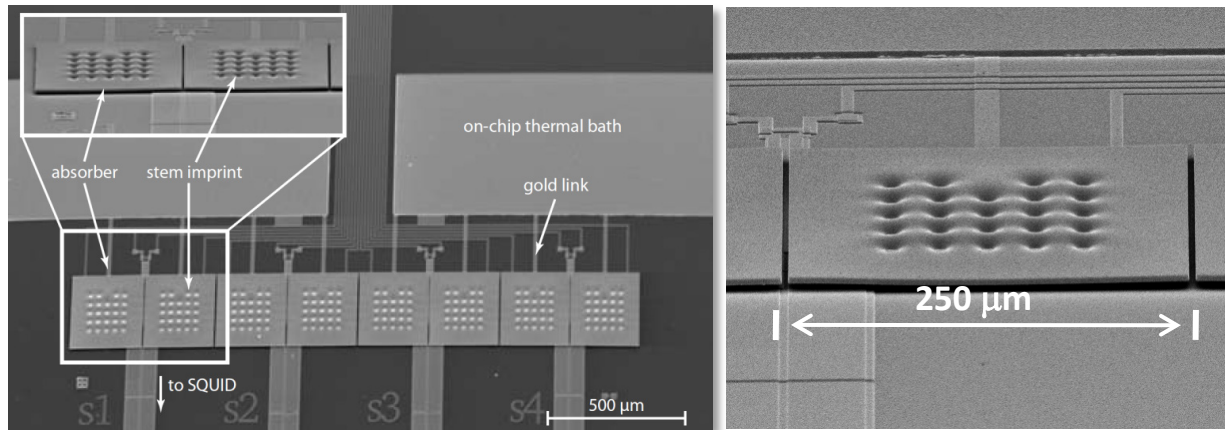


# MMC geometry and read-out

- Planar temperature sensor
  - B-field generated by persistent current
  - transformer coupled to SQUID
- 
- Two-stage SQUID read-out

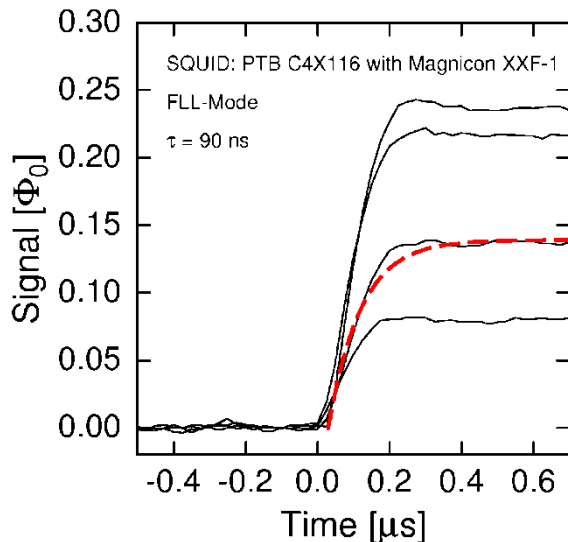


# MMCs: 1d-array for soft x-rays ( $T=20$ mK)



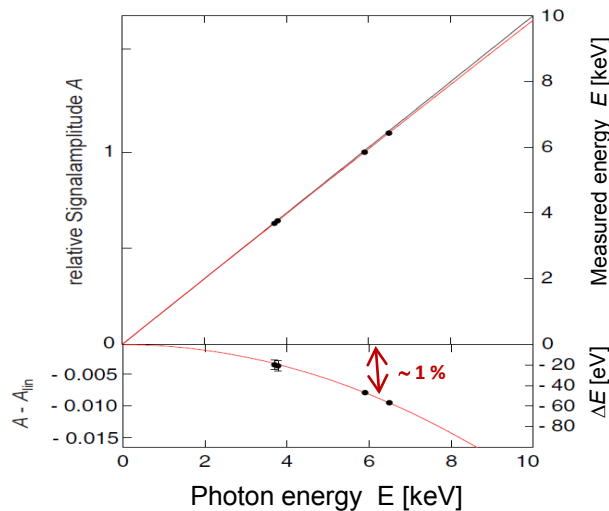
$$\Delta E_{FWHM} = 1.6 \text{ eV @ } 6 \text{ keV}$$

Rise Time: 90 ns

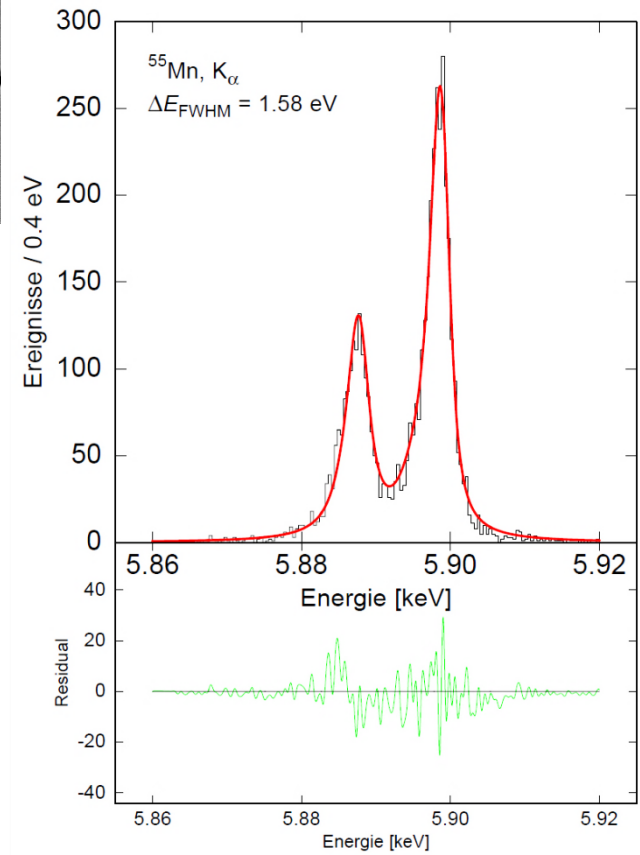


Reduction  
un-resolved pile-up

Non-Linearity < 1% @6keV



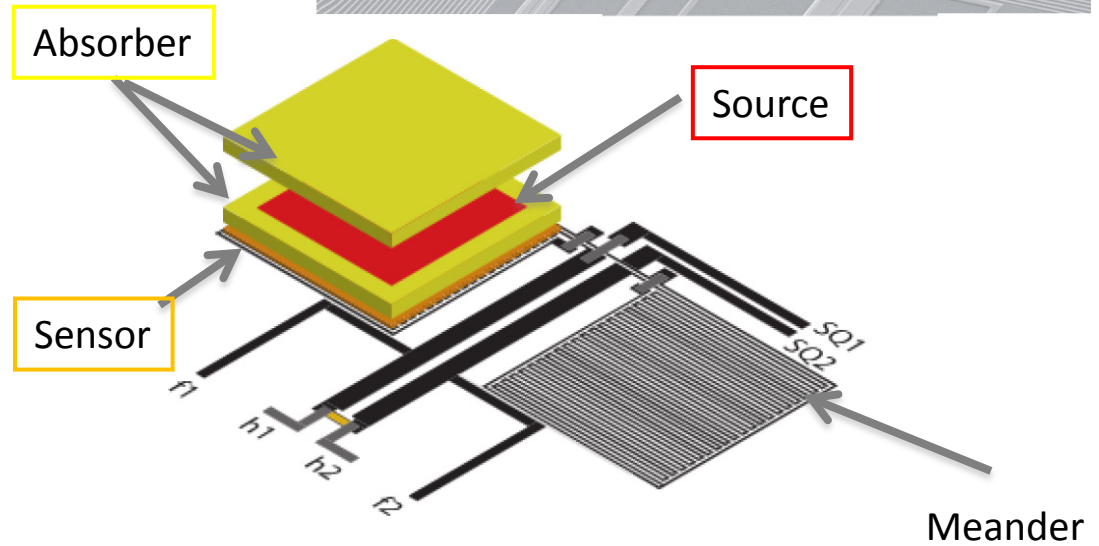
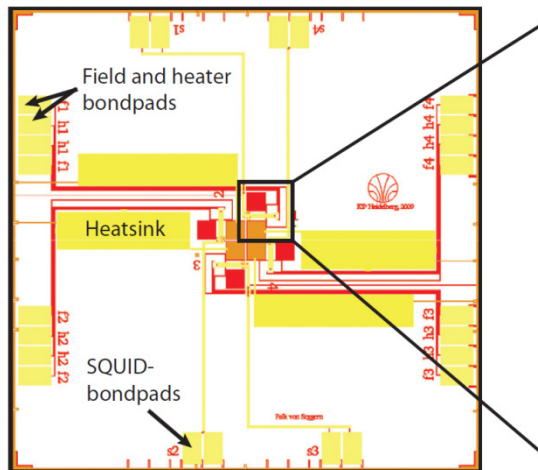
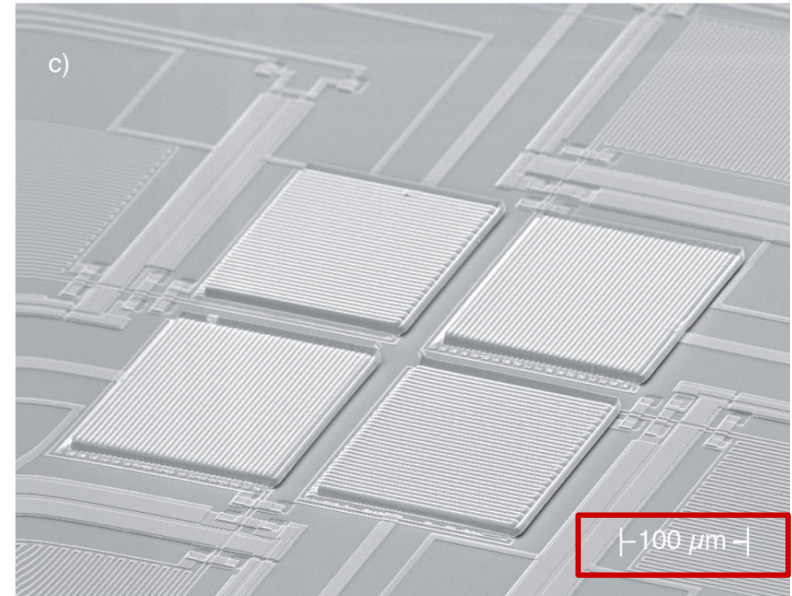
Definition  
of the energy scale



Reduced smearing  
in the end point region

# First prototype of $^{163}\text{Ho}$ loaded MMC

- Absorber for metallic magnetic calorimeters  
→ ion implantation @ ISOLDE-CERN in 2009  
on-line process
- About 0.01 Bq per pixel
- Operated over more than 4 years

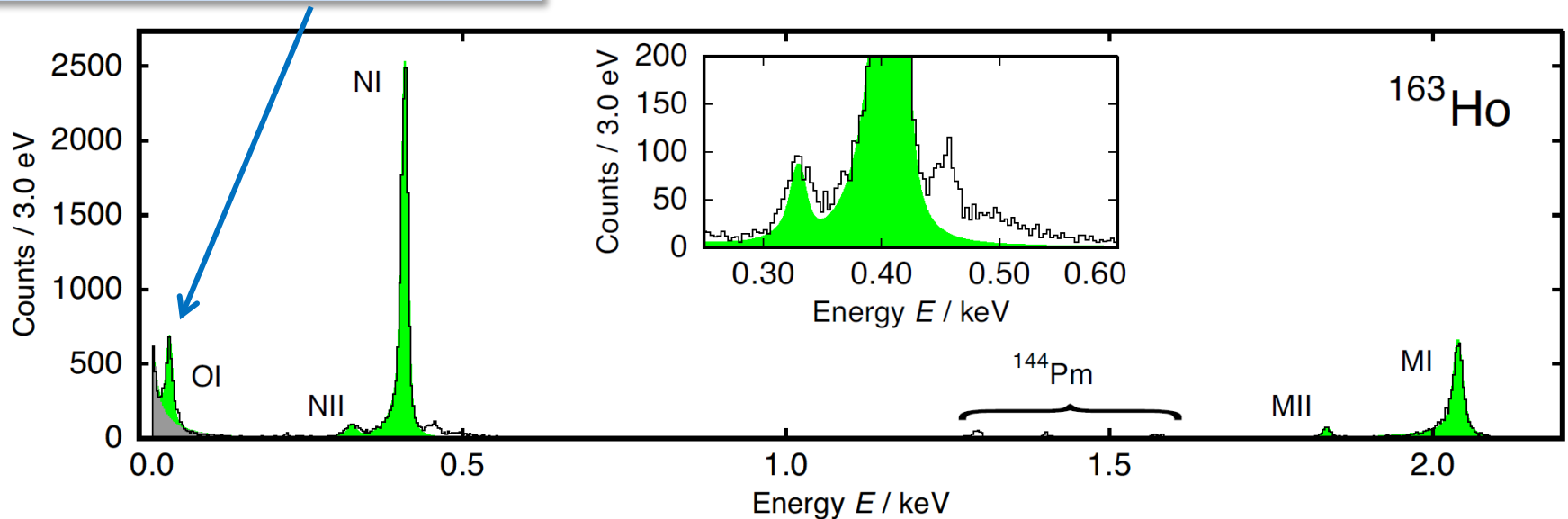


# Calorimetric spectrum

- Rise Time  $\sim 130$  ns
- $\Delta E_{\text{FWHM}} = 7.6$  eV @ 6 keV (2013)
- Non-Linearity  $< 1\%$  @ 6keV

	$E_{\text{H}}$ bind.	$E_{\text{H}}$ exp.	$\Gamma_{\text{H}}$ lit.	$\Gamma_{\text{H}}$ exp
<b>MI</b>	2.047	2.040	13.2	13.7
<b>MII</b>	1.845	1.836	6.0	7.2
<b>NI</b>	0.420	0.411	5.4	5.3
<b>NII</b>	0.340	0.333	5.3	8.0
<b>OI</b>	0.050	0.048	5.0	4.3

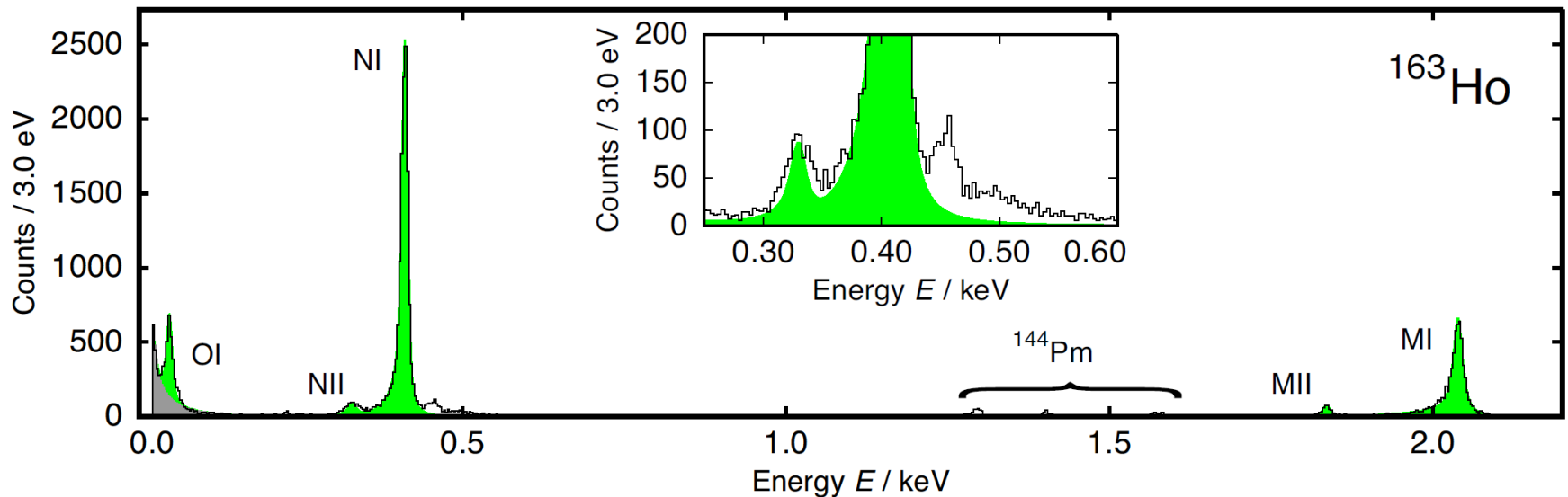
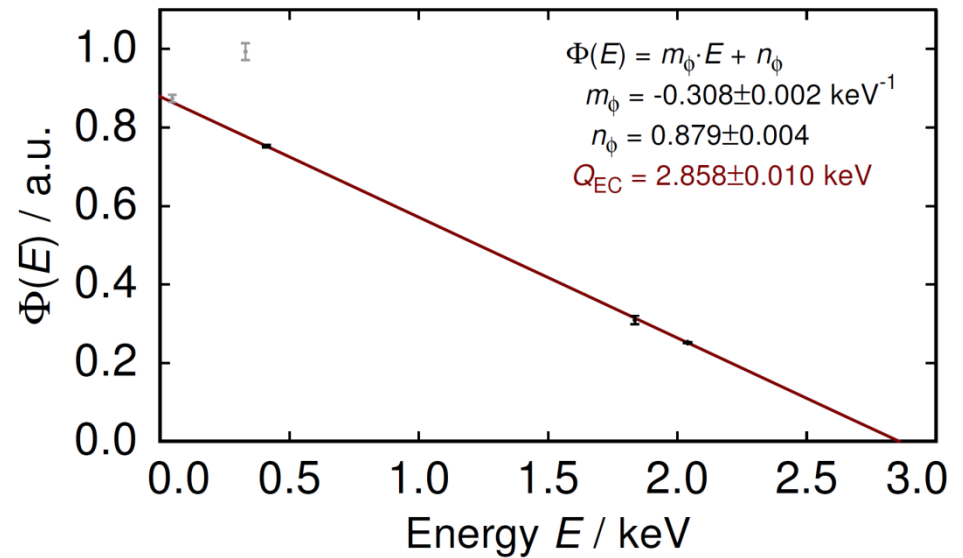
First calorimetric measurement of the OI-line



# $Q_{EC}$ determination

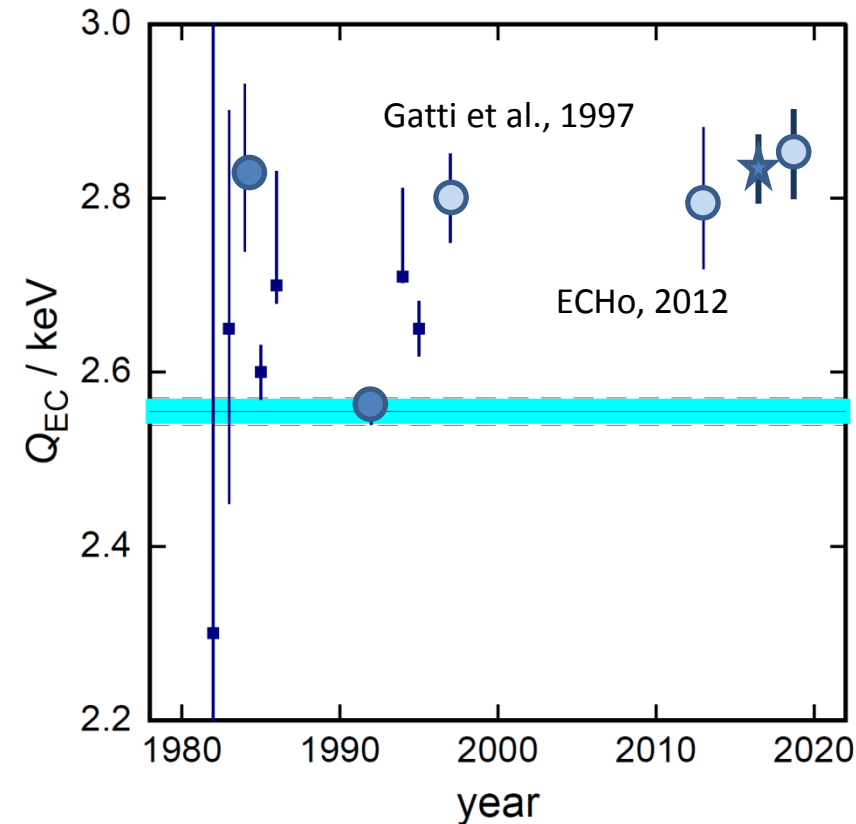
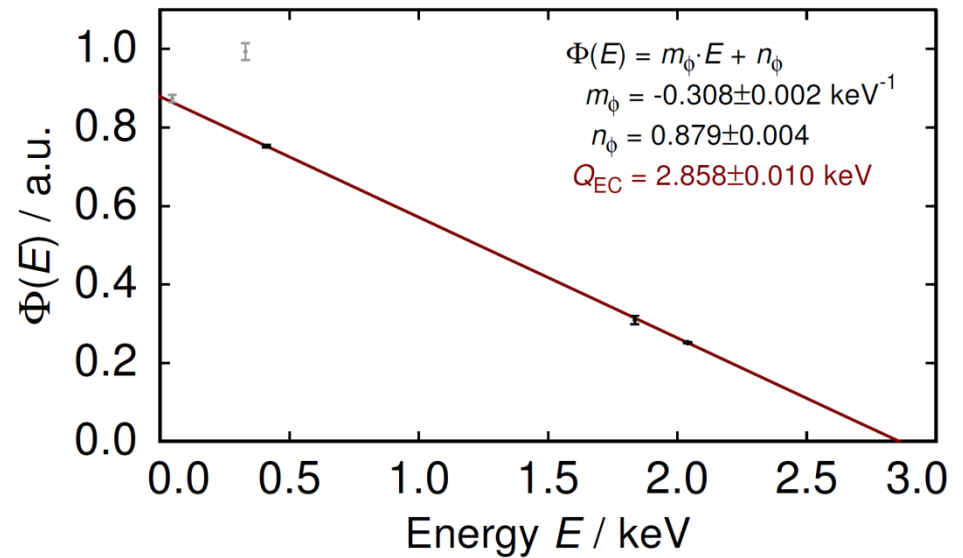
$$\Phi_H(E) = \sqrt{\frac{n_H}{\varphi_H^2(0)B_H}} \propto \sqrt{C}(Q_{EC} - E_H)$$

Line amplitudes are affected  
by the phase space factor



# $Q_{EC}$ determination

$$\Phi_H(E) = \sqrt{\frac{n_H}{\varphi_H^2(0)B_H}} \propto \sqrt{C}(Q_{EC} - E_H)$$



Our result:

$$Q_{EC} = (2.858 \pm 0.010^{\text{stat}} \pm 0.05^{\text{syst}}) \text{ keV}$$

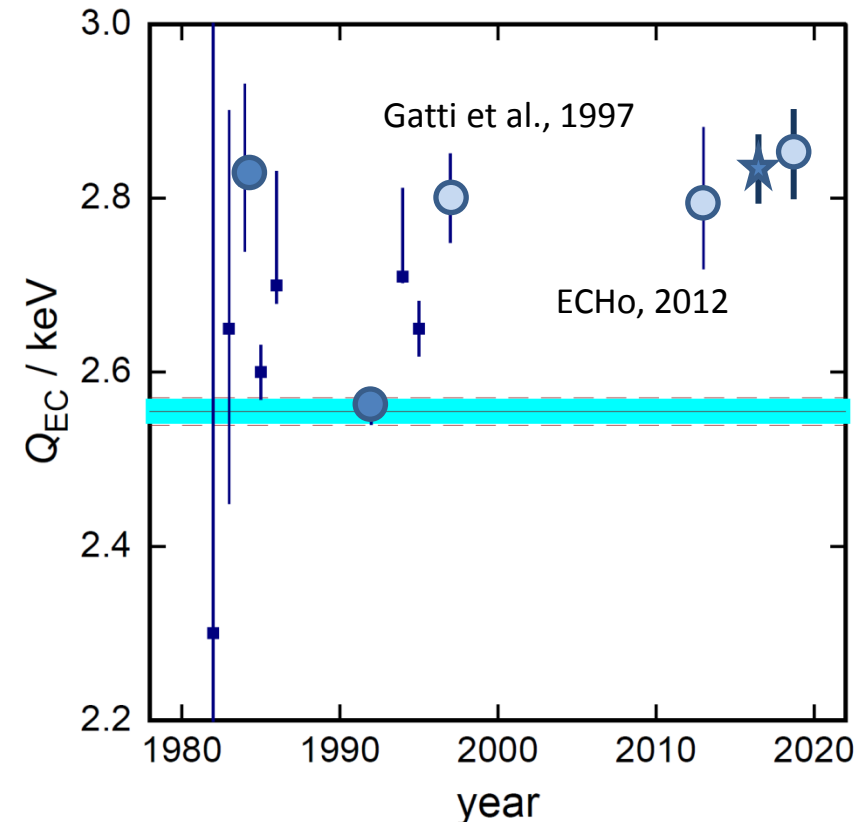
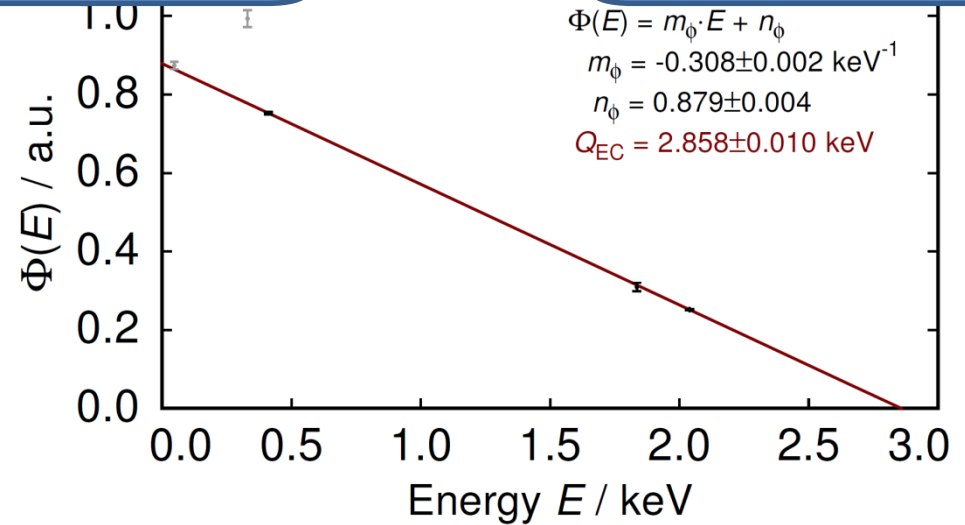
Penning Trap Mass Spectrometry result:

$$Q_{EC} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$$

# $Q_{EC}$ determination

Good agreement between the two measurements

$$\Phi_H(E) = \sqrt{\frac{n_H}{\phi_H^2(0)B_H}} \propto \sqrt{C}(Q_{EC} - E_H)$$



Our result:

$$Q_{EC} = (2.858 \pm 0.010^{\text{stat}} \pm 0.05^{\text{syst}}) \text{ keV}$$

Penning Trap Mass Spectrometry result:

$$Q_{EC} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$$



**Scaling up**



# $^{163}\text{Ho}$ high purity source

Required activity in the detectors: Final experiment  $\rightarrow >10^6 \text{ Bq} \rightarrow >10^{17}$  atoms

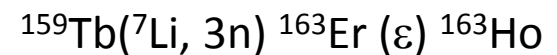
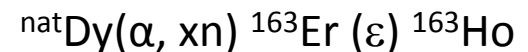
- Neutron irradiation  
(n,γ)-reaction on  $^{162}\text{Er}$

High cross-section 

Radioactive contaminants 

<b>Er161</b> 3.21 h 3/2- EC	<b>Er162</b> 0+ 0.14 EC	<b>Er163</b> 75.0 m 5/2 EC	<b>Er164</b> 0+ 1.61 EC	<b>Er165</b> 10.36 h 5/2- EC	<b>Er166</b> 0+ 33.6 EC
<b>Ho160</b> 25.6 m 5+ EC *	<b>Ho161</b> 2.48 h 7/2- EC *	<b>Ho162</b> 15.0 m 1+ EC *	<b>Ho163</b> 1.70 y 2- EC	<b>Ho164</b> 29 m 1+ EC,β-	<b>Ho165</b> 2.3 y 3- EC
<b>Dy159</b> 144.4 d 3/2- EC	<b>Dy160</b> 0+ 2.34 EC	<b>Dy161</b> 5/2+ 18.9 EC	<b>Dy162</b> 0+ 25.5 EC	<b>Dy163</b> 5/2- 24.9 EC	<b>Dy164</b> 0+ 28.2 EC
<b>Tb158</b> 180 y 3- EC,β- *	<b>Tb159</b> 3/2+ 100 EC	<b>Tb160</b> 72.3 d 3- β-	<b>Tb161</b> 6.88 d 3/2+ β-	<b>Tb162</b> 7.60 m 1- β-	<b>Tb163</b> 19.5 m 3/2+ β-

- Charged particle activation



Small cross-section 

Few radioactive contaminants 

# $^{163}\text{Ho}$ high purity source

Required activity in the detectors: Final experiment  $\rightarrow >10^6 \text{ Bq} \rightarrow >10^{17}$  atoms

- Neutron irradiation  
(n,γ)-reaction on  $^{162}\text{Er}$

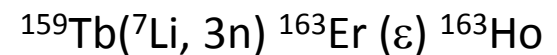
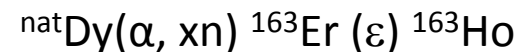
High cross-section 

Radioactive contaminants 



<b>Er161</b> 3.21 h 3/2- EC	<b>Er162</b> 0+ 0.14	<b>Er163</b> 75.0 m 5/2- EC	<b>Er164</b> 0+ 1.61	<b>Er165</b> 10.36 h 5/2- EC	<b>Er166</b> 0+ 33.6
<b>Ho160</b> 25.6 m 5+ EC *	<b>Ho161</b> 2.48 h 7/2- EC *	<b>Ho162</b> 15.0 m 1+ EC *	<b>Ho163</b> 1.70 y 2- EC	<b>Ho164</b> 29 m 1+ EC,β-	<b>Ho165</b> 2.3 y 3/2- β-
<b>Dy159</b> 144.4 d 3/2- EC	<b>Dy160</b> 0+ 2.34	<b>Dy161</b> 5/2+ 18.9	<b>Dy162</b> 0+ 25.5	<b>Dy163</b> 5/2- 24.9	<b>Dy164</b> 0+ 28.2
<b>Tb158</b> 180 y 3- EC,β- *	<b>Tb159</b> 3/2+ 100	<b>Tb160</b> 72.3 d 3- β-	<b>Tb161</b> 6.88 d 3/2+ β-	<b>Tb162</b> 7.60 m 1- β-	<b>Tb163</b> 19.5 m 3/2+ β-

- Charged particle activation



Small cross-section 

Few radioactive contaminants 

**NuMECS**

# $^{163}\text{Ho}$ high purity source

Required activity in the detectors: Final experiment  $\rightarrow >10^6 \text{ Bq} \rightarrow >10^{17}$  atoms

- Neutron irradiation  
(n, $\gamma$ )-reaction on  $^{162}\text{Er}$

High cross-section 

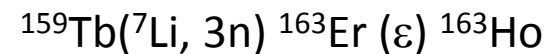
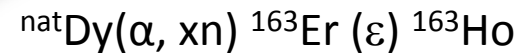
Radioactive contaminants 

<b>Er161</b> 3.21 h 3/2- EC	<b>Er162</b> 0+ 0.14	<b>Er163</b> 75.0 m 5/2- EC	<b>Er164</b> 0+ 1.61	<b>Er165</b> 10.36 h 5/2- EC	<b>Er166</b> 0+ 33.6
<b>Ho160</b> 25.6 m 5+ EC *	<b>Ho161</b> 2.48 h 7/2- EC *	<b>Ho162</b> 15.0 m 1+ EC *	<b>Ho163</b> 1.70 y 2- EC	<b>Ho164</b> 29 m 1+ EC, $\beta^-$	<b>Ho165</b> 2.3 y 3/2- EC *
<b>Dy159</b> 144.4 d 3/2- EC	<b>Dy160</b> 0+ 2.34	<b>Dy161</b> 5/2+ 18.9	<b>Dy162</b> 0+ 25.5	<b>Dy163</b> 5/2- 24.9	<b>Dy164</b> 0+ 28.2
<b>Tb158</b> 180 y 3- EC, $\beta^-$ *	<b>Tb159</b> 3/2+ 100	<b>Tb160</b> 72.3 d 3- $\beta^-$	<b>Tb161</b> 6.88 d 3/2+ $\beta^-$	<b>Tb162</b> 7.60 m 1- $\beta^-$	<b>Tb163</b> 19.5 m 3/2+ $\beta^-$

ECHo  HOLMES

More in Kieck's talk today

- Charged particle activation

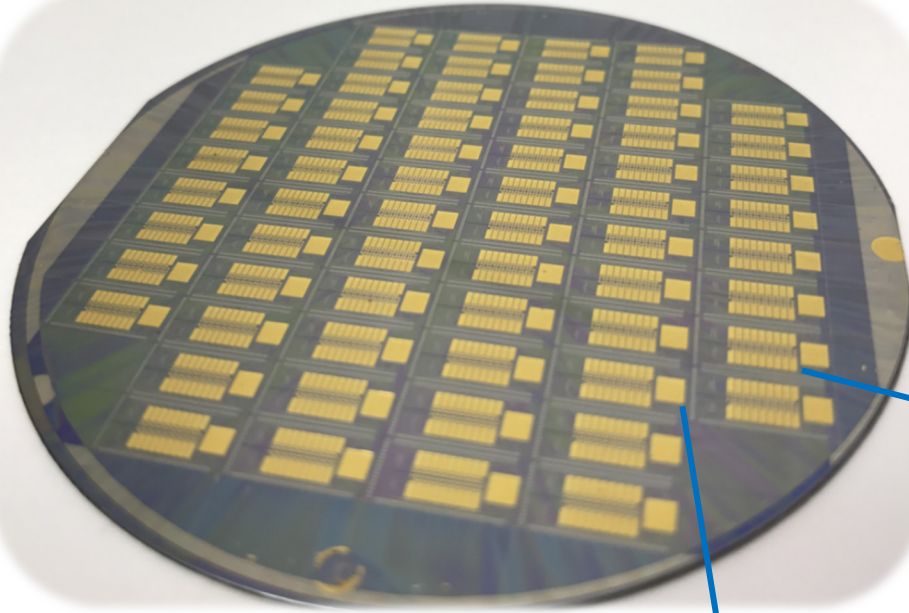


Small cross-section 

Few radioactive contaminants 

NuMECS

# ECHO-1k array



3" wafer with 64 ECHO-1k chip

Suitable for  
parallel and multiplexed readout

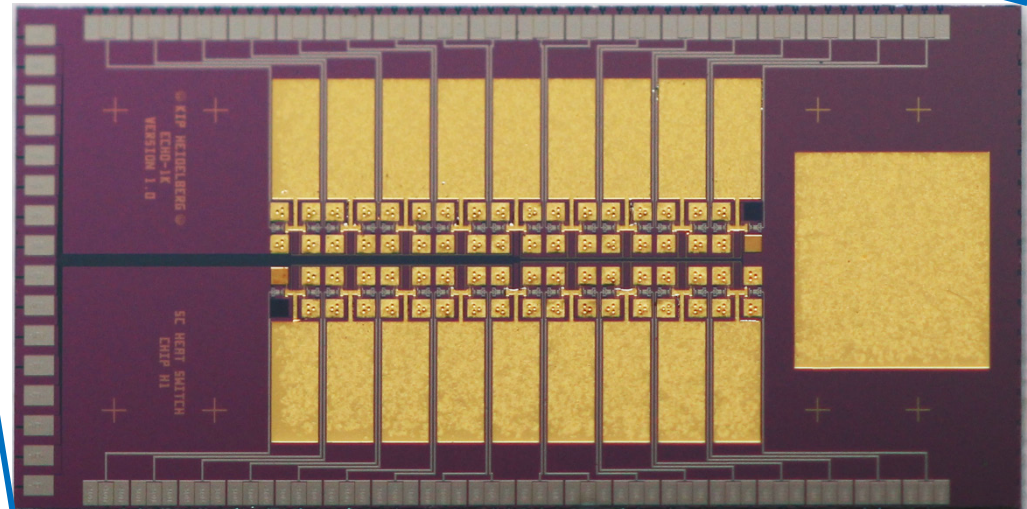
64 pixels which can be loaded with  $^{163}\text{Ho}$   
+ 4 detectors for diagnostics

Design performance:

$$\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$$

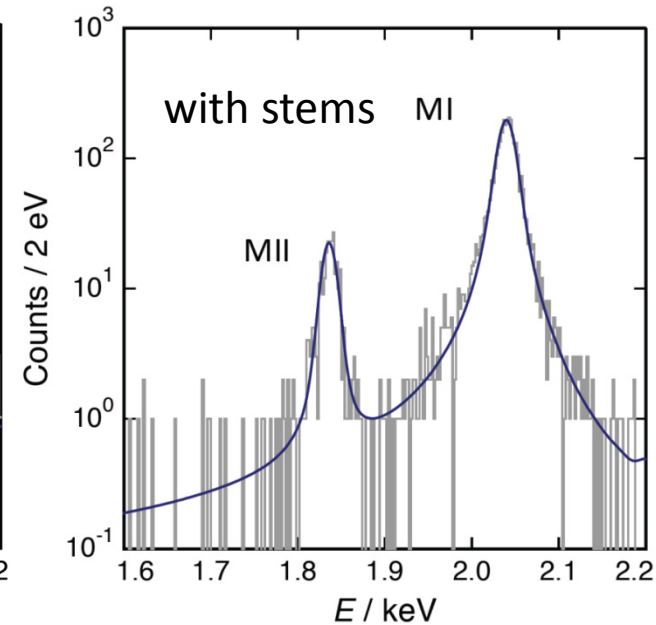
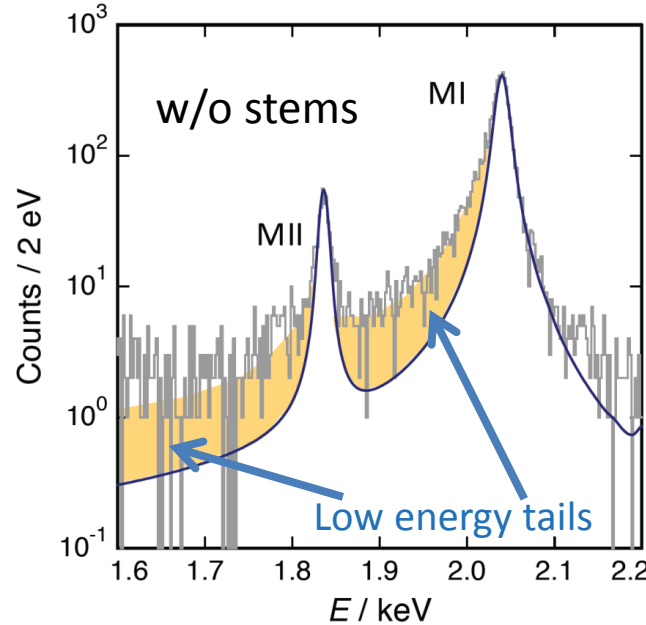
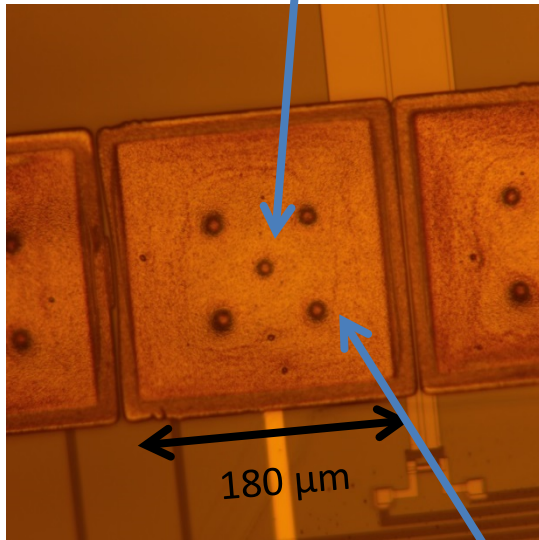
$$\tau_r \sim 90 \text{ ns (single channel readout)}$$

$$\tau_r \sim 300 \text{ ns (multiplexed read-out)}$$

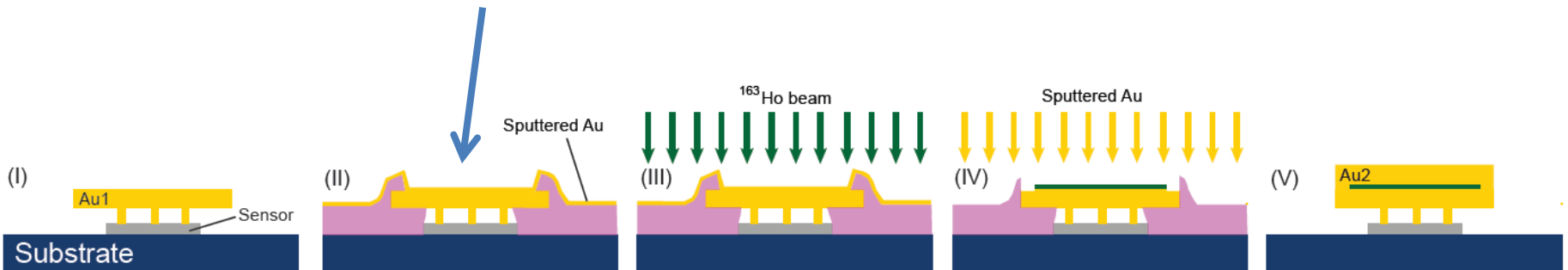


# Fabrication $4\pi$ absorber

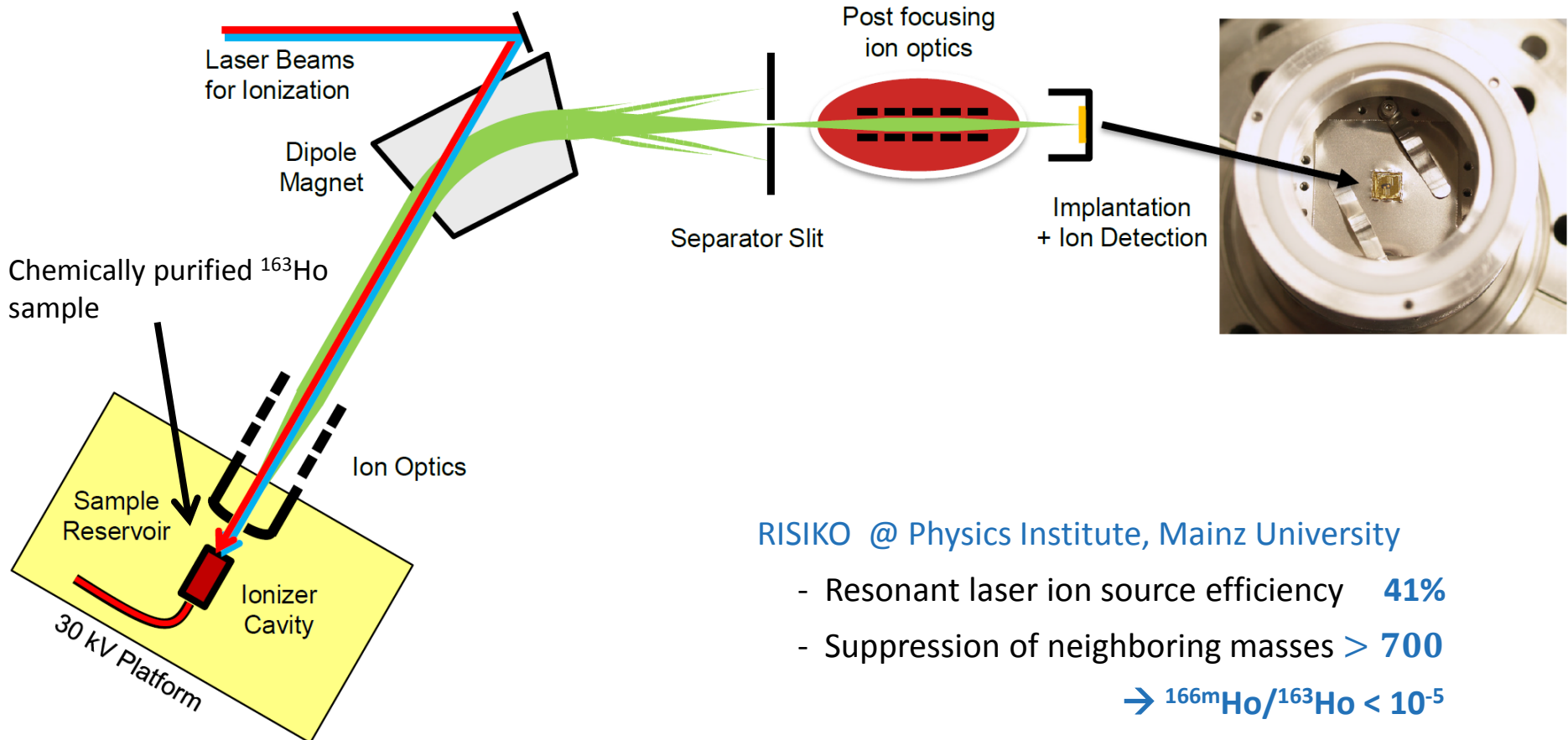
Stems between absorber and sensor prevent athermal phonon loss to the substrate



Definition of the implantation area by microstructuring a photoresist layer



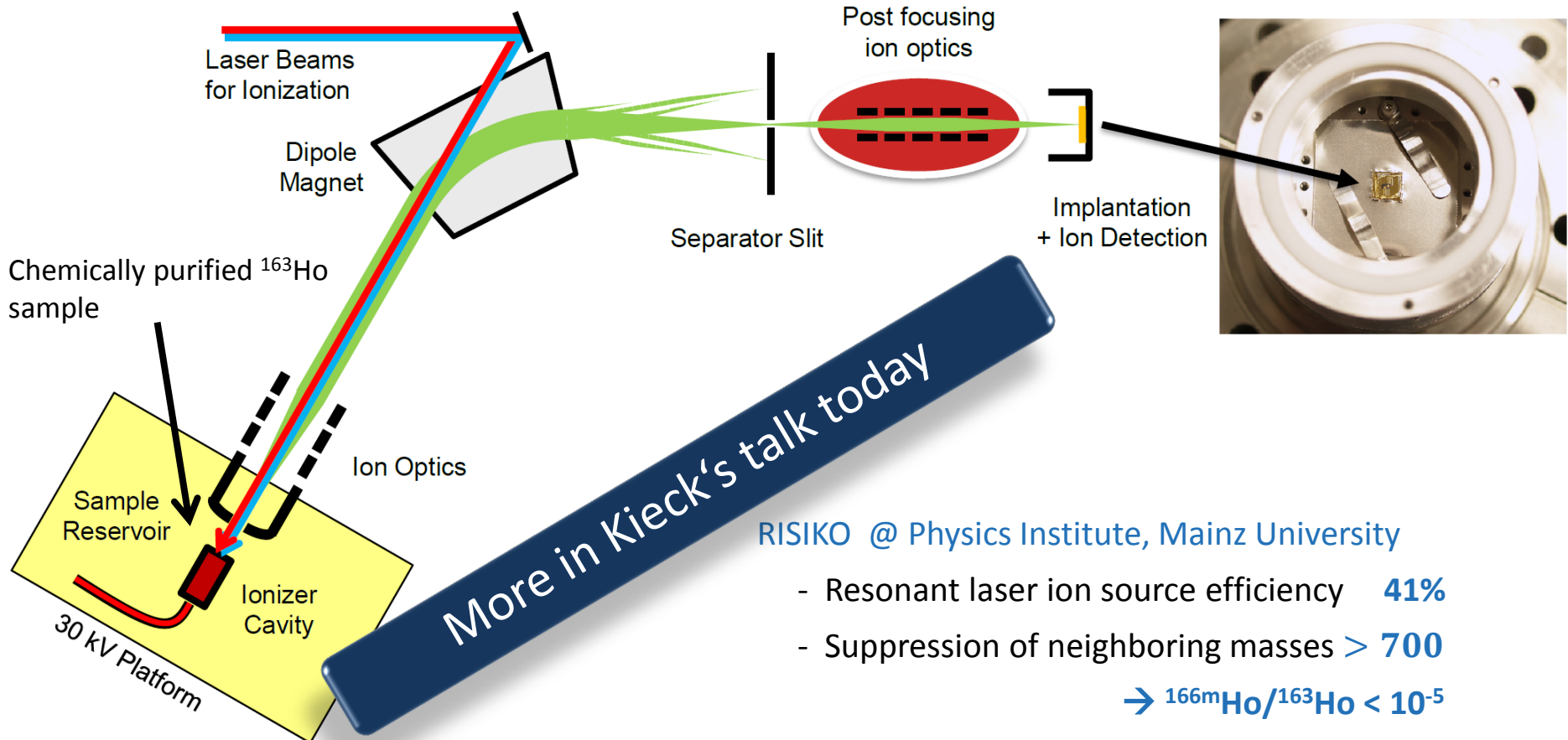
# Mass separation and $^{163}\text{Ho}$ ion-implantation



RISIKO @ Physics Institute, Mainz University

- Resonant laser ion source efficiency **41%**
- Suppression of neighboring masses **> 700**  
→  $^{166}\text{mHo}/^{163}\text{Ho} < 10^{-5}$
- Optimization of beam focalization

# Mass separation and $^{163}\text{Ho}$ ion-implantation

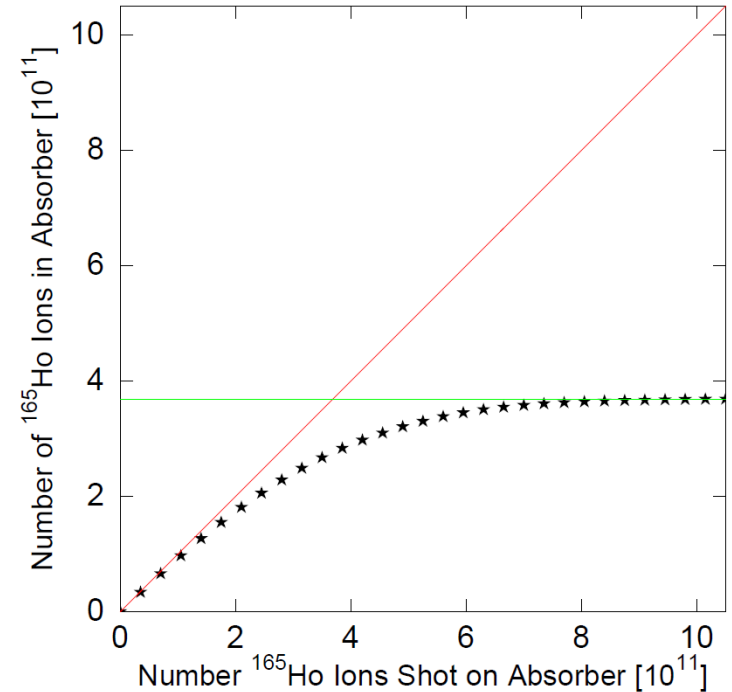
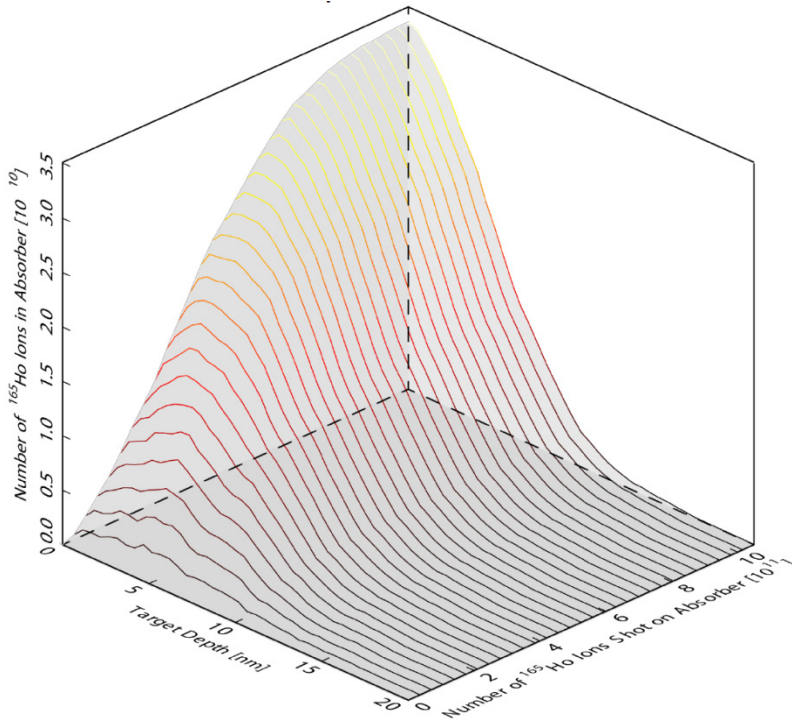


RISIKO @ Physics Institute, Mainz University

- Resonant laser ion source efficiency **41%**
- Suppression of neighboring masses **> 700**  
→  $^{166\text{m}}\text{Ho}/^{163}\text{Ho} < 10^{-5}$
- Optimization of beam focalization

# Mass separation and $^{163}\text{Ho}$ ion-implantation

Implantation with 30 keV at RISIKO in Mainz in an area of  $150\ \mu\text{m} \times 150\ \mu\text{m}$



Backscattering and sputtering of absorber atoms affect implantation process:

Implanted activity  $\neq$  beam current  $\times$  irradiation time



Implantation of Ho in gold with  $E = 30\ \text{keV}$ :  
maximum number of Ho ions  $\sim 3.6 \times 10^{11}$   
corresponding to only  $\sim 2\ \text{Bq}$

Solution: in situ deposition of gold

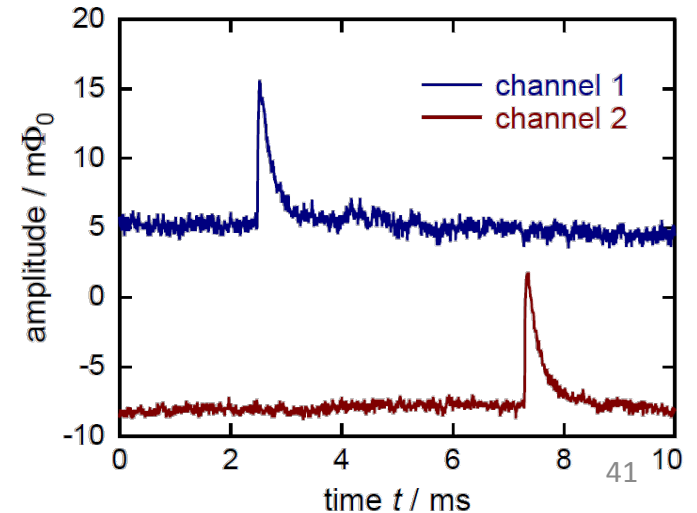
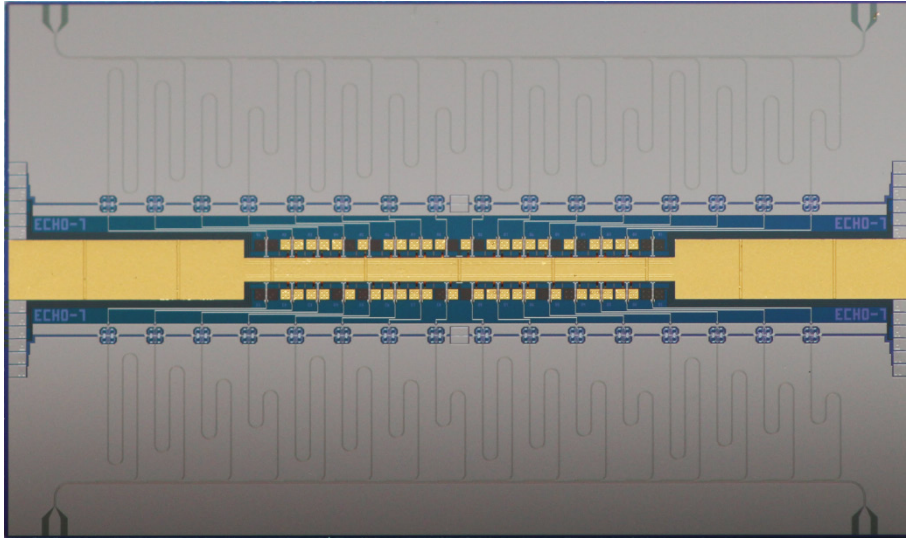
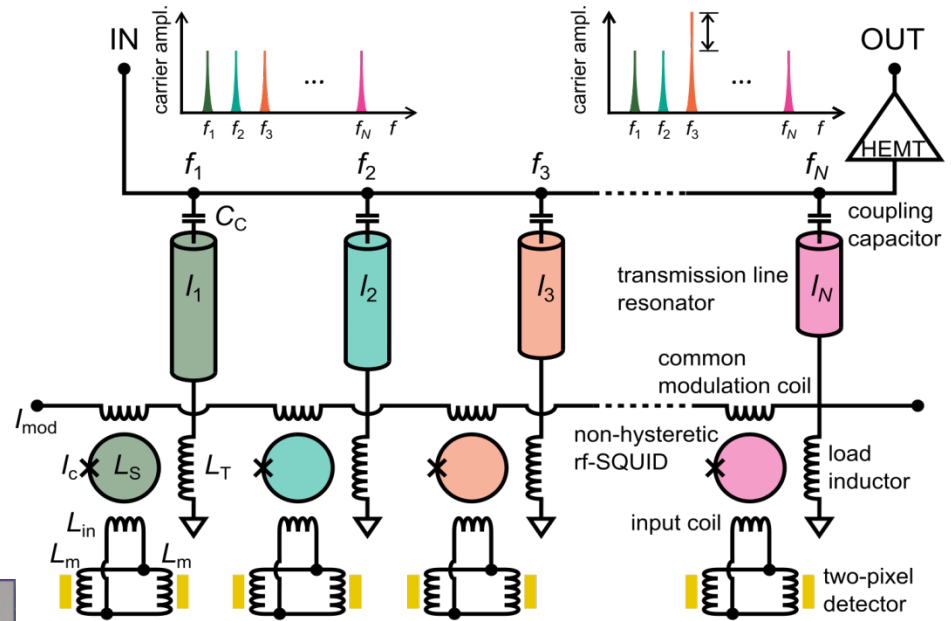


# Multiplexing readout

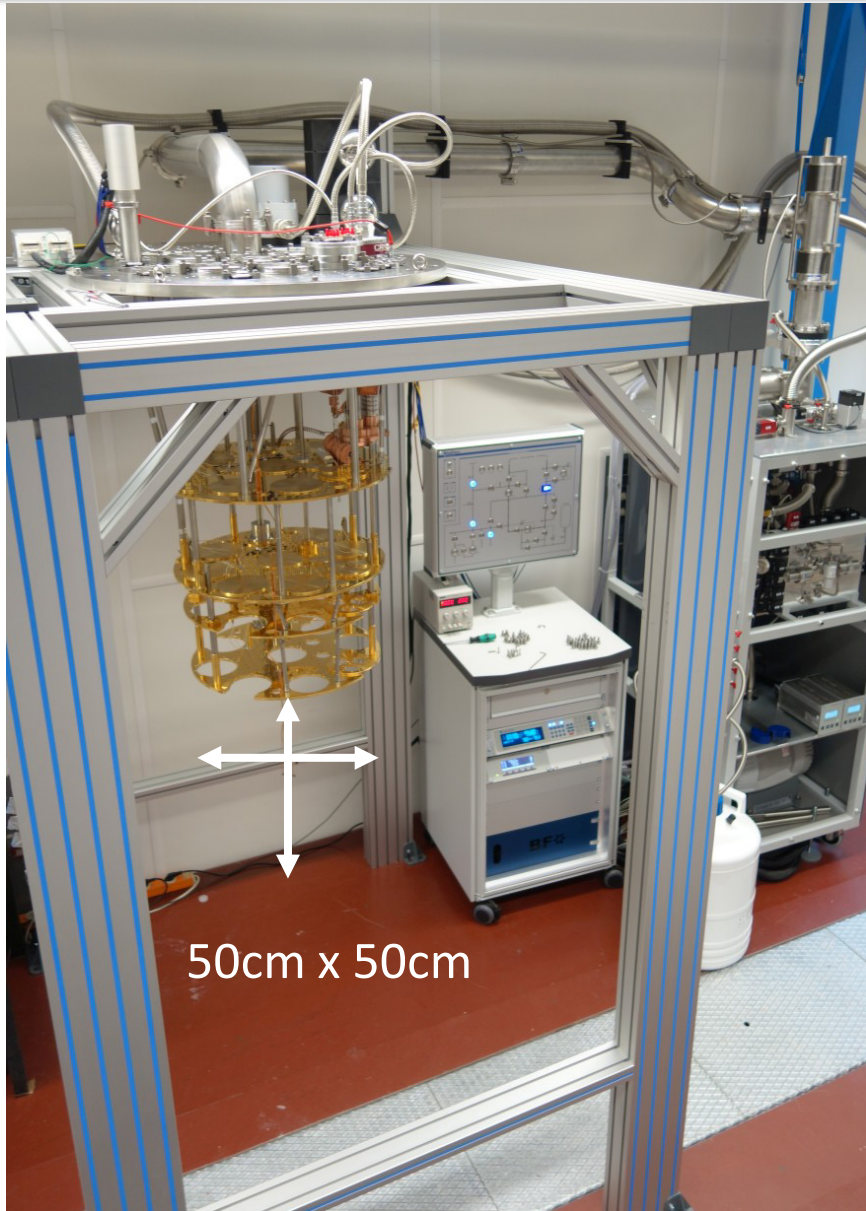
## Microwave SQUID multiplexing

Single HEMT amplifier and 2 coaxes to read out **100 - 1000** detectors

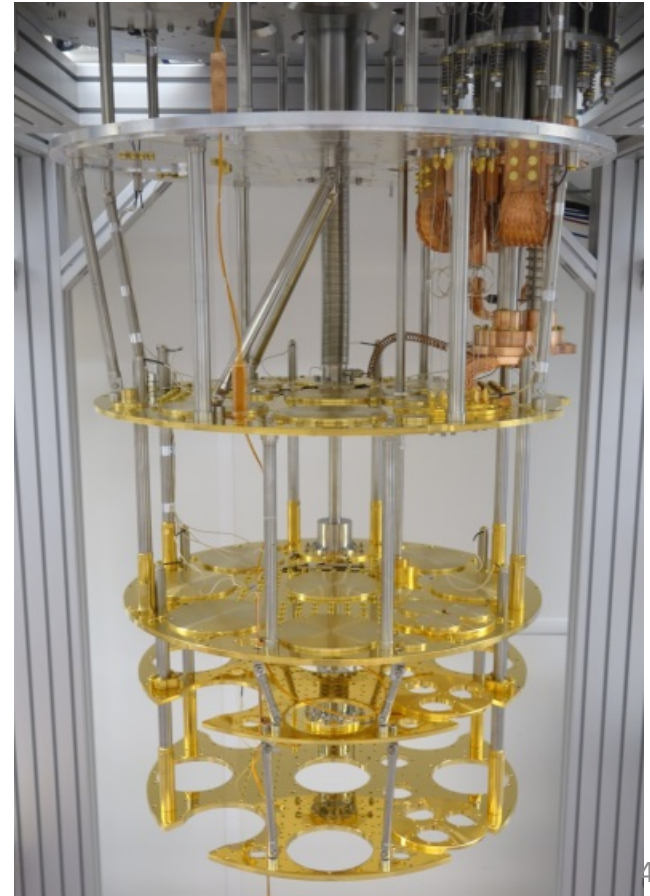
- Reliable fabrication of **64-pixel array**
- Successful characterization of first prototypes  
→ **optimization of design parameters**



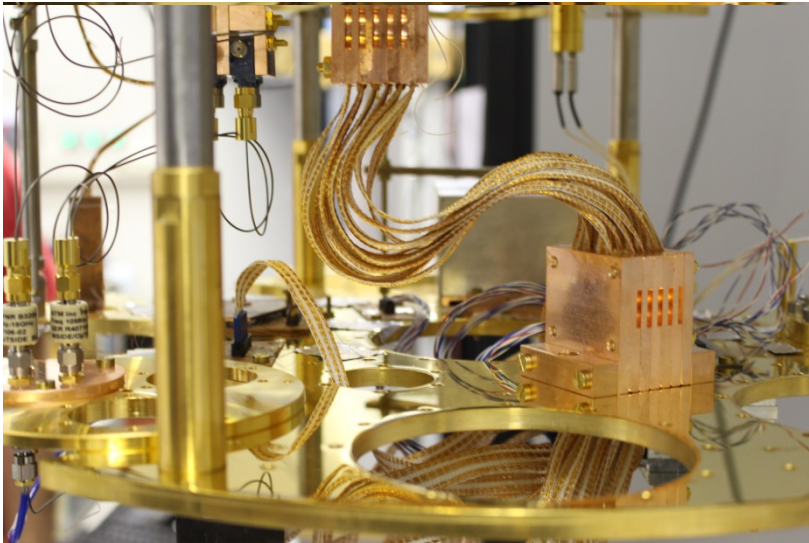
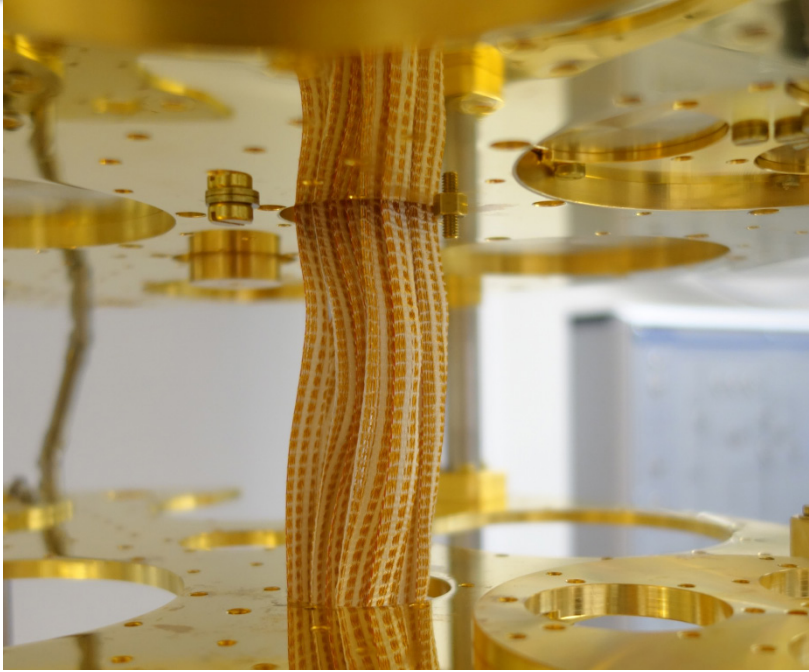
# ECHO cryogenic platform



- Large space at MXC enough for several ECHO phases
- cooling power:  $15\mu\text{W}$  @ 20 mK
- Possibility to load 200kg for passive shielding



# ECHo cryogenic platform



- Large space at MXC enough for several ECHo phases
- cooling power:  $15\mu\text{W}$  @ 20 mK
- Possibility to load 200kg for passive shielding
- Presently equipped with:
  - 2 RF lines for microwave multiplexing readout of 2 MMC arrays

12 ribbons each with 30 Cu98Ni2 0.2 mm, 1.56 Ohm/m, cables from RT to mK  
→ allows for parallel readout of 36 two-stage SQUID set-up

# Low background spectrum

---

NEW ( 4 pixel, about 4 days in Modane)

- A. Faessler and F. Simkovic  
Phys. Rev. C **91**, 045505 (2015)
- A. De Rujula and M. Lusignoli  
JHEP 05 (2016) 015, arXiv:1601.04990v1

- A. Faessler et al.  
*J. Phys. G* **42** (2015) 015108
- R. G. H. Robertson  
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler et al.  
Phys. Rev. C **91**, 064302 (2015)
- A. Faessler et al.  
Phys. Rev. C **95**, (2017) 045502

# ECHo-1k (2015 - 2018)

$^{163}\text{Ho}$  activity:  $A_t = 1 \text{ kBq}$

Detectors: **Metallic Magnetic Calorimeters**

→ Energy resolution  $\Delta E_{\text{FWHM}} \leq 5 \text{ eV}$

→ Time resolution  $\tau \leq 1 \mu\text{s}$

Unresolved pile-up fraction  $f_{\text{pu}} \leq 10^{-5}$

→ activity per pixel:  $A = 10 \text{ Bq}$

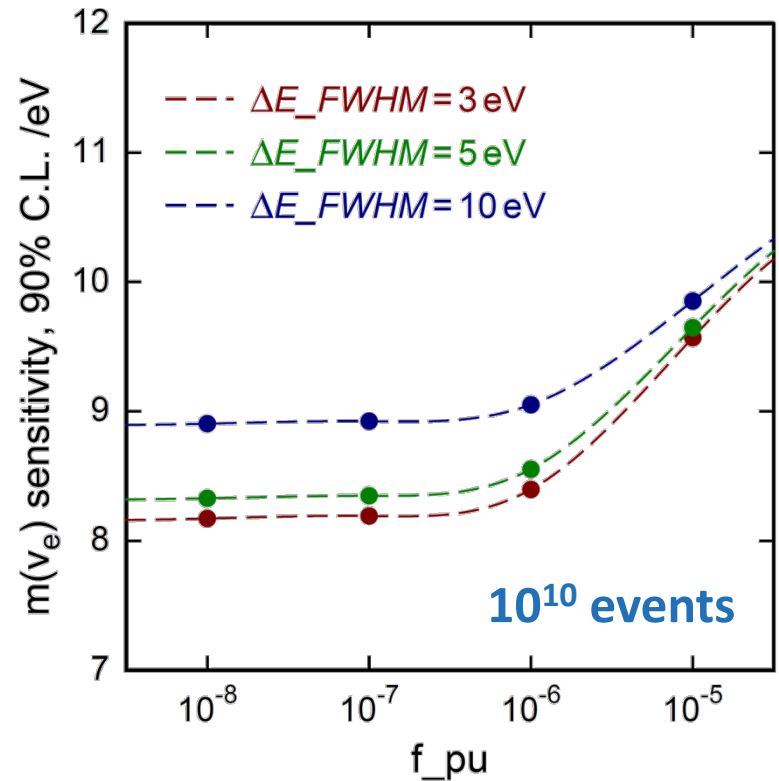
→ number of detectors  $N = 100$

Read-out : **Microwave SQUID Multiplexing**

→ 2 arrays with  $\sim 50$  single pixels

Background  $b < 10^{-5} \text{ /eV/det/day}$

Measuring time  $t = 1 \text{ year}$



$m(\nu_e) < 10 \text{ eV}$  90% C.L.

# ECHo-1M (next future)

$^{163}\text{Ho}$  activity:  $A_t = 1 \text{ MBq}$

Detectors: **Metallic Magnetic Calorimeters**

→ Energy resolution  $\Delta E_{FWHM} \leq 3 \text{ eV}$

→ Time resolution  $\tau \leq 0.1 \mu\text{s}$

Unresolved pile-up fraction  $f_{pu} \leq 10^{-6}$

→ activity per pixel:  $A = 10 \text{ Bq}$

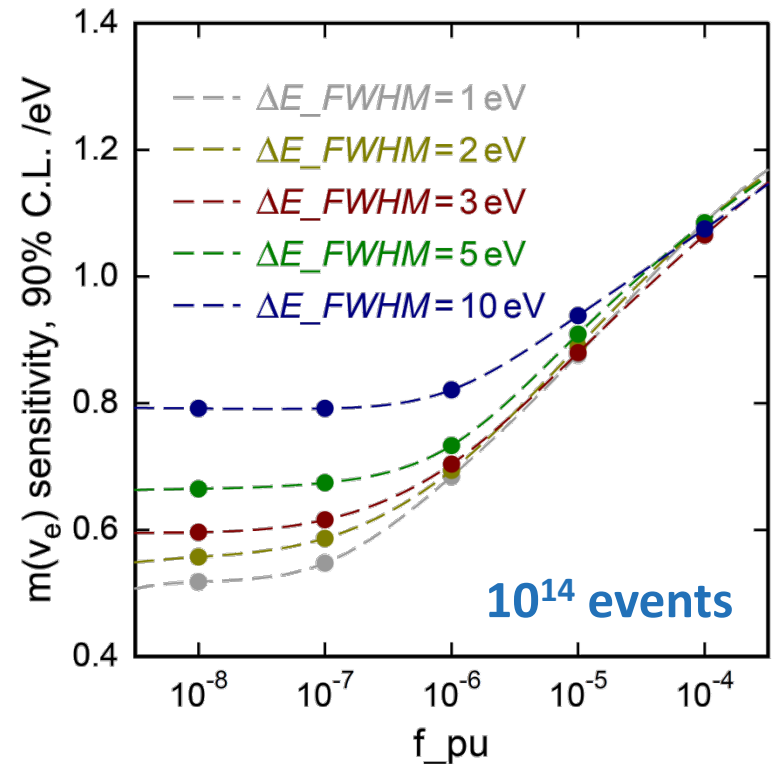
→ number of detectors  $N = 10^5$

Read-out : **Microwave SQUID Multiplexing**

→ 100 arrays with  $\sim 1000$  single pixels

Background  $b < 10^{-6} \text{ /eV/det/day}$

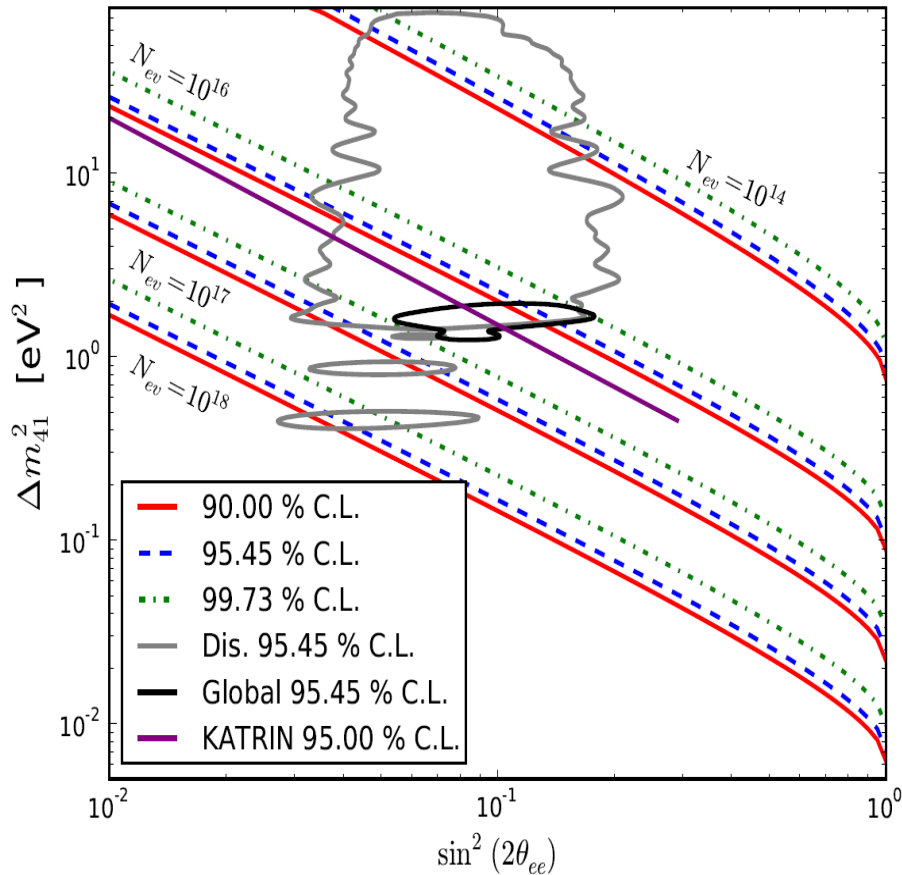
Measuring time  $t = 1 - 3 \text{ year}$



$m(\nu_e) < 1 \text{ eV } 90\% \text{ C.L.}$

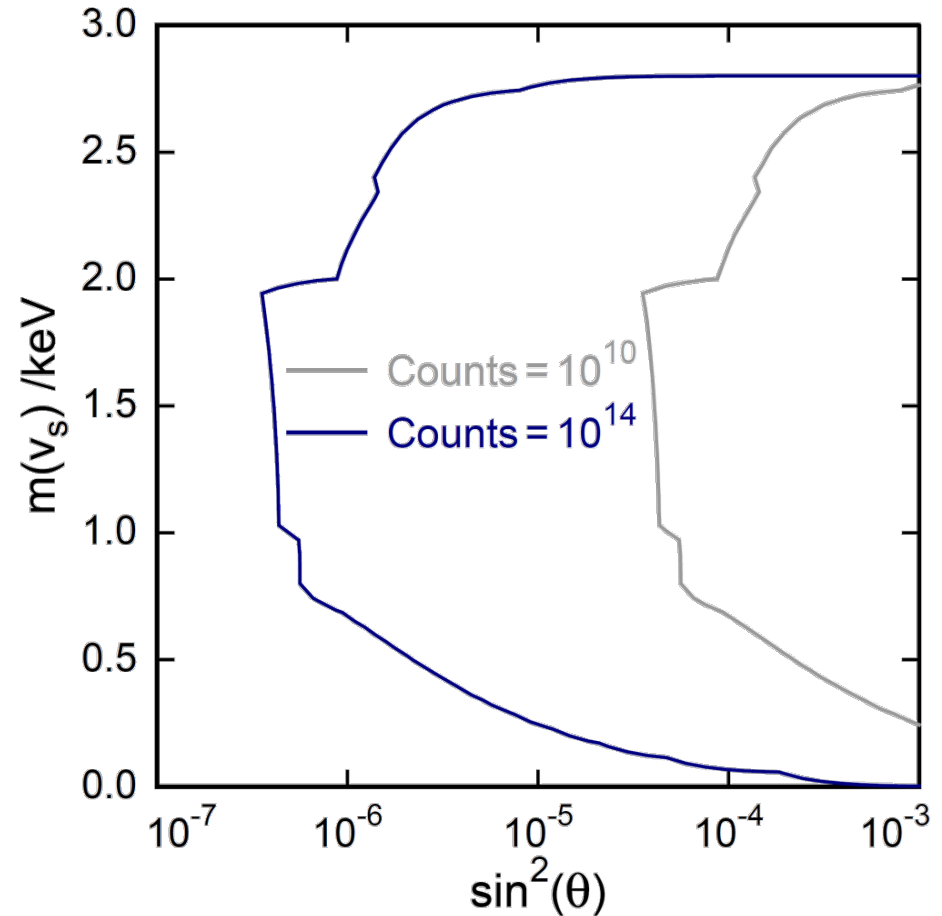
# Sterile neutrinos

## eV-scale sterile neutrinos



L. Gastaldo, C. Giunti, E. Zavanin.,  
*High Energ. Phys.* **06** (2016) 61.

## keV-scale sterile neutrinos



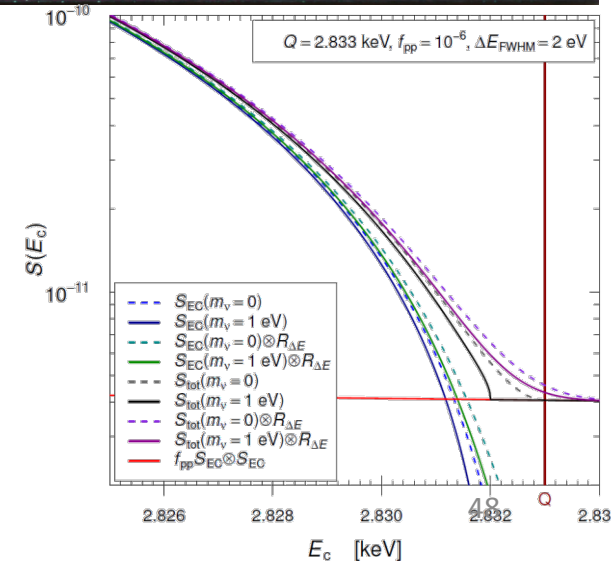
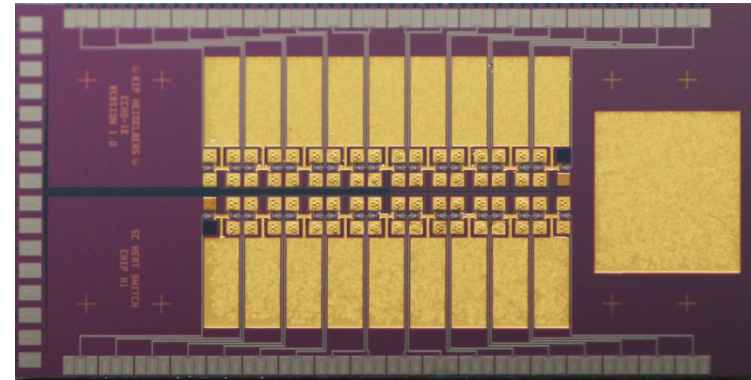
A White Paper on keV Sterile  
 Neutrino Dark Matter, JCAP01(2017)025

# Conclusions and outlook

Three large collaboration aim to reach sub-eV sensitivity on the electron neutrino mass analysing high statistics and high resolution  $^{163}\text{Ho}$  spectra

- High purity  $^{163}\text{Ho}$  sources have been produced
- $^{163}\text{Ho}$  ions can be successfully enclosed in microcalorimeter absorbers
- Large arrays have been tested and microwave SQUID multiplexing has been successfully proved
- A new limit on the electron neutrino mass is approaching

<b>Er161</b> 3.21 h 3/2-	<b>Er162</b> 0+	<b>Er163</b> 75.0 m 5/2-	<b>Er164</b> 0+	<b>Er165</b> 10.36 h 5/2-	<b>Er166</b> 0+
EC	0.14	EC	1.61	EC	33.6
<b>Ho160</b> 25.6 m 5+	<b>Ho161</b> 2.48 h 7/2- *	<b>Ho162</b> 15.0 m 1+ *	<b>Ho163</b> 4570 y 7/2- *	<b>Ho164</b> 29 m 1+ *	<b>Ho165</b> 7/2-
EC	EC	EC	EC	EC,β	100





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Moumita Maiti

[Goethe Universität Frankfurt am Main](#)

Udo Kbschull, Panagiotis Neroutsos

[Institute for Nuclear Chemistry, Johannes Gutenberg University Mainz](#)

Christoph E. Düllmann, Klaus Eberhardt, Holger Dorrer, Fabian Schneider

[Institute of Nuclear Research of the Hungarian Academy of Sciences](#)

Zoltán Szúcs

[Institute of Nuclear and Particle Physics, TU Dresden, Germany](#)

Kai Zuber

[Institute for Physics, Humboldt-Universität zu Berlin](#)

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[Institute for Physics, Johannes Gutenberg-Universität](#)

Klaus Wendt, Sven Junck, Tom Kieck

[Institute for Theoretical Physics, University of Tübingen, Germany](#)

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Klaus Blaum, Andreas Dörr, Sergey Eliseev, Mikhail Goncharov,

Yuri N. Novikov, Alexander Rischka, Rima Schüssler

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Yuri Novikov, Pavel Filianin

[Physics Institute, University of Tübingen, Germany](#)

Josef Jochum, Stephan Scholl

[Saha Institute of Nuclear Physics, Kolkata, India](#)

Susanta Lahiri

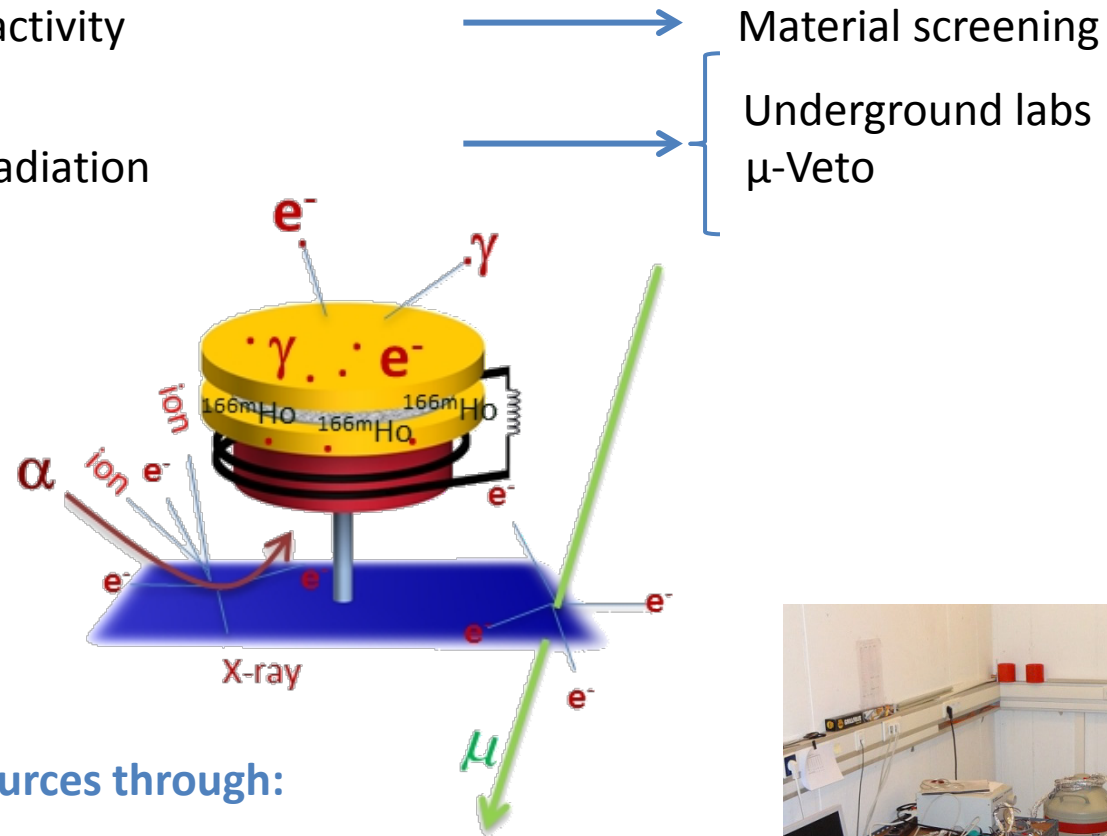


*Thank you!*

# Background

## Background sources:

- Radioactivity in the detector
- Environmental radioactivity
- Cosmic rays  
Induced secondary radiation



## Study of background sources through:

- Monte Carlo simulations
- Dedicated experiments

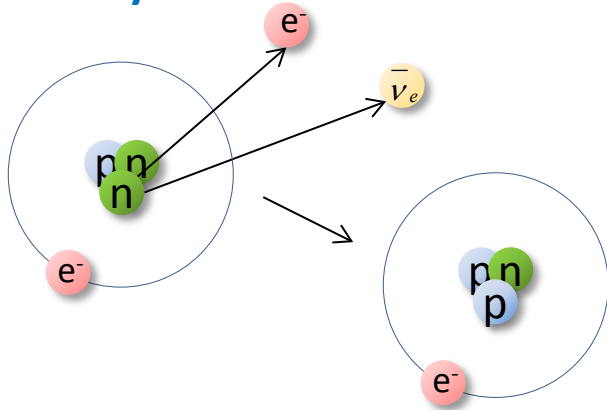
Screening facilities

- Uni-Tübingen
- Felsenkeller

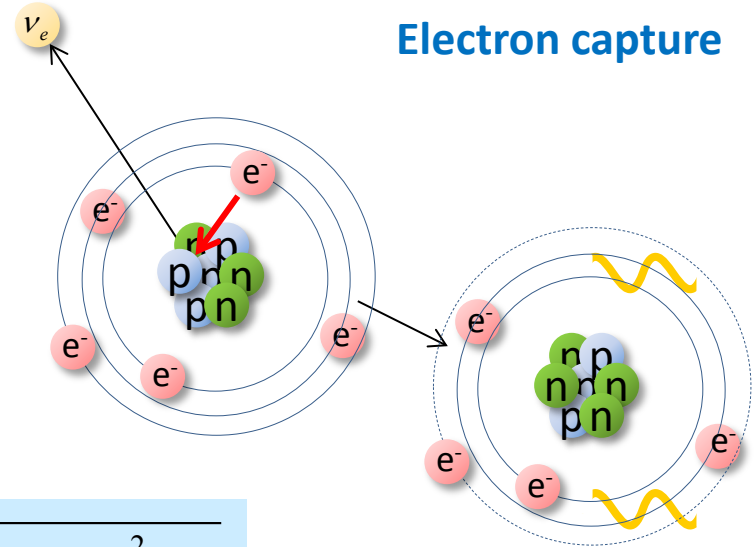


# Kinematic approach

Beta decay



Electron capture

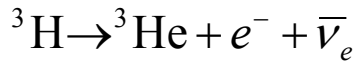
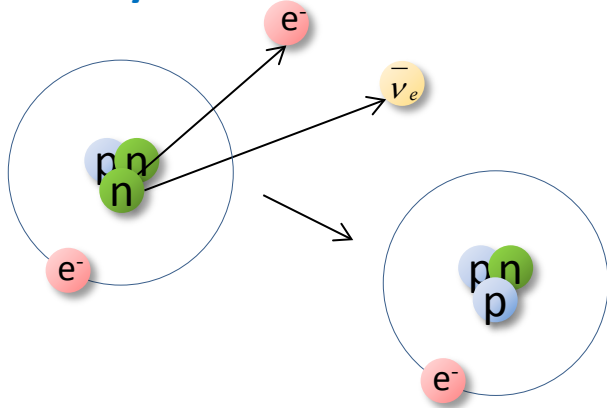


$$\frac{dW}{dE} \propto (Q - E)^2 \sqrt{1 - \frac{m_\nu^2}{(Q - E)^2}}$$

- A finite neutrino mass modify the spectrum in a small region close to the end-point
- Low Q-values enhance the fraction of events in the region of interest

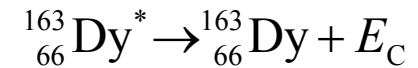
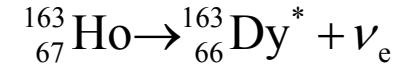
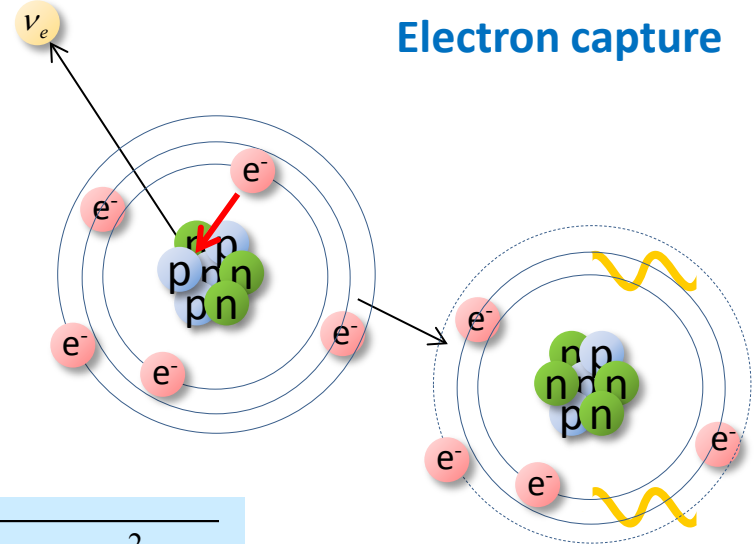
# Kinematic approach

## Beta decay



$$\frac{dW}{dE} \propto (Q - E)^2 \sqrt{1 - \frac{m_\nu^2}{(Q - E)^2}}$$

## Electron capture



$$m(\bar{\nu}_e) < 2.2 \text{ eV}$$

(1)

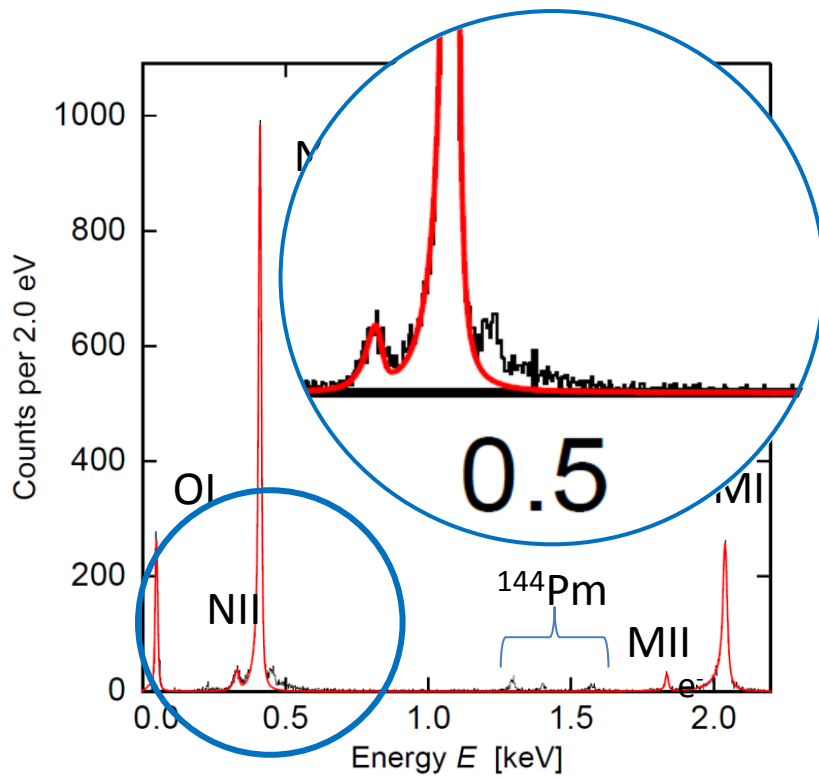
$$m(\nu_e) < 225 \text{ eV}$$

(2)

(1) Ch. Kraus *et al.*, *Eur. Phys. J. C* **40** (2005) 447  
 Ch. Weinheimer, *Prog. Part. Nucl. Phys.* **57** (2006) 22  
 N. Aseev *et al.*, *Phys. Rev D* **84** (2011) 112003

(2) P. T. Springer, C. L. Bennett, and P. A. Baisden *Phys. Rev. A* **35** (1987) 679

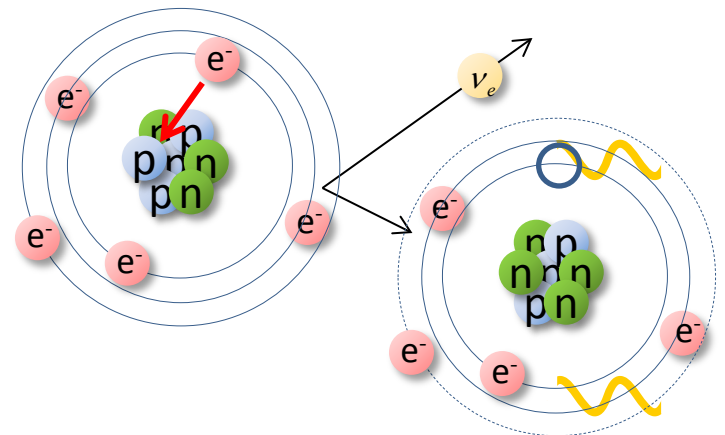
# Characterisation of spectral shape



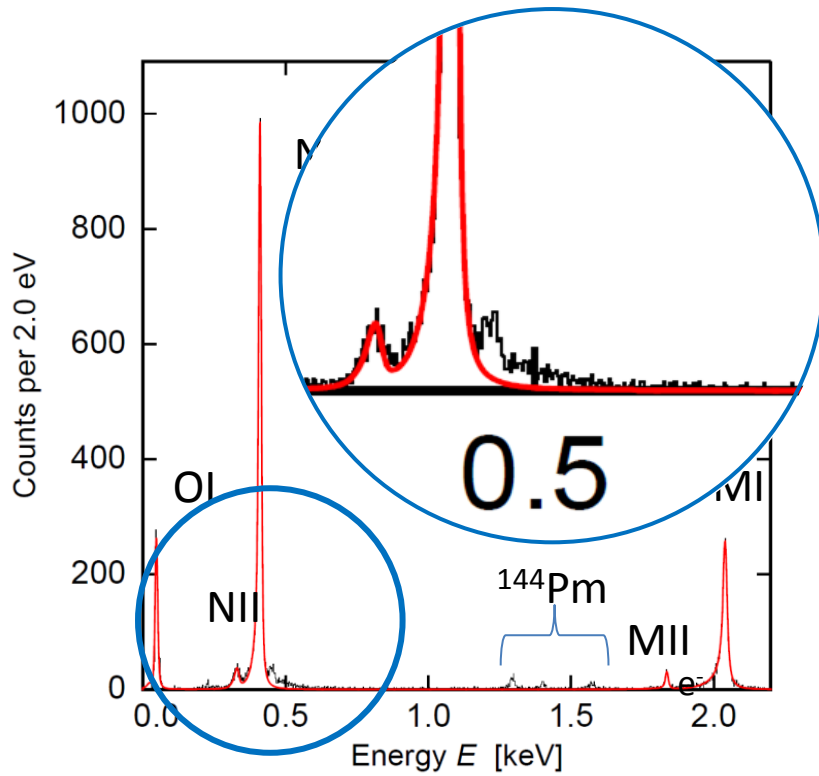
Estimate the effect of

- Higher order excitation in  $^{163}\text{Ho}$

- A. Faessler et al. *J. Phys. G* **42** (2015) 015108
- R. G. H. Robertson *Phys. Rev. C* **91**, 035504 (2015)
- A. Faessler et al. *Phys. Rev. C* **91**, 045505 (2015)
- A. Faessler et al. *Phys. Rev. C* **91**, 064302 (2015)
- A. De Rujula et al. arXiv:1601.04990v1 [hep-ph] 19 Jan 2016

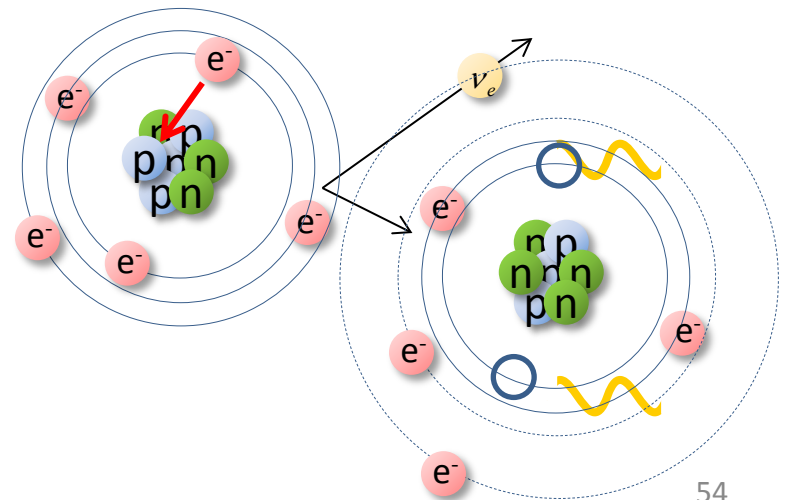


# Characterisation of spectral shape

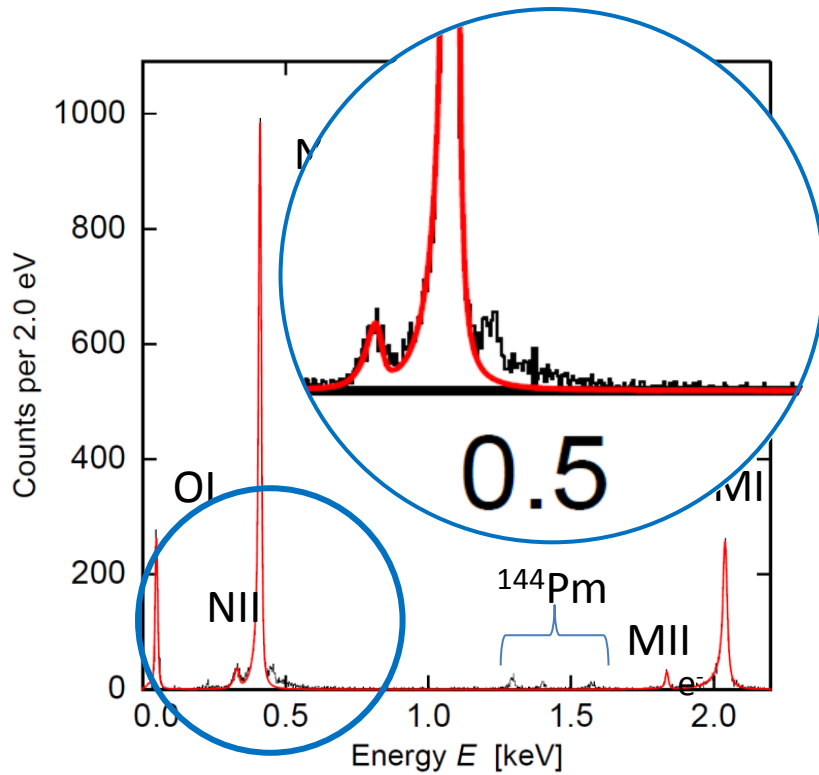


Two-holes excited states: shake-up

- A. Faessler et al.  
*J. Phys. G* **42** (2015) 015108
- R. G. H. Robertson  
*Phys. Rev. C* **91**, 035504 (2015)
- A. Faessler et al.  
*Phys. Rev. C* **91**, 045505 (2015)
- A. Faessler et al.  
*Phys. Rev. C* **91**, 064302 (2015)
- A. De Rujula et al.  
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016

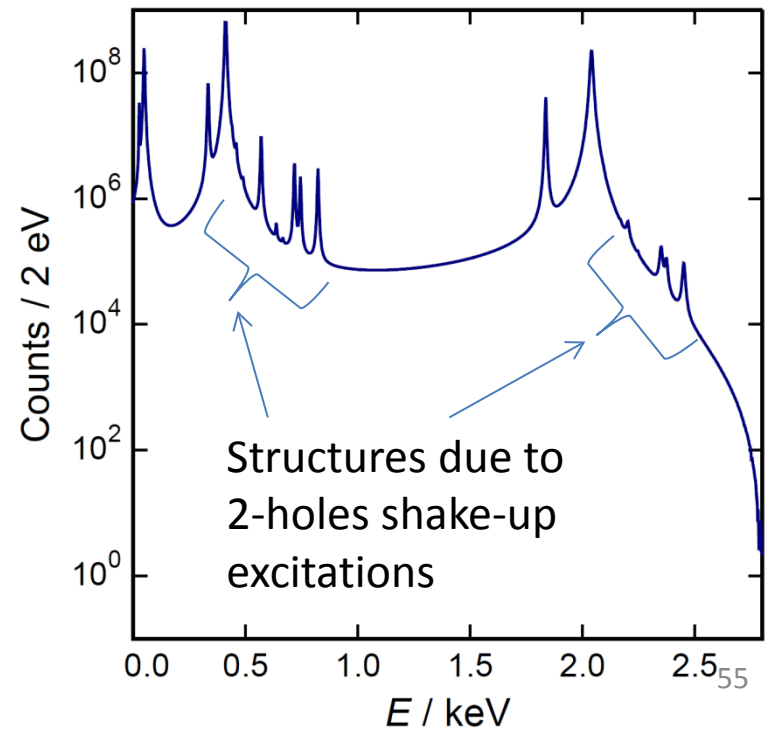


# Characterisation of spectral shape

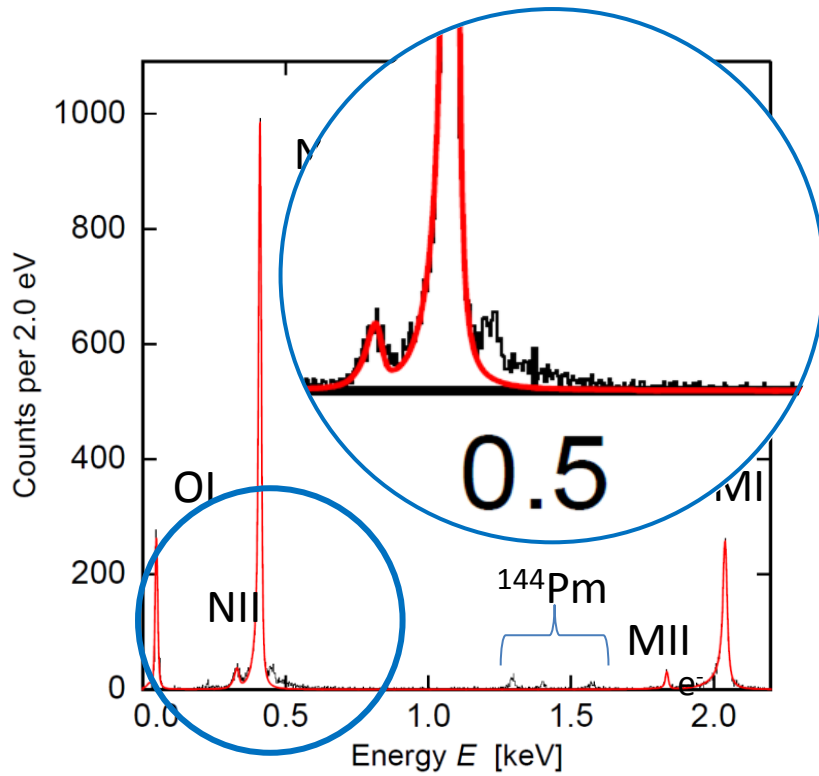


Two-holes excited states: shake-up

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- A. Faessler et al.  
*Phys. Rev. C* **91**, 064302 (2015)
- A. De Rujula et al.  
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016

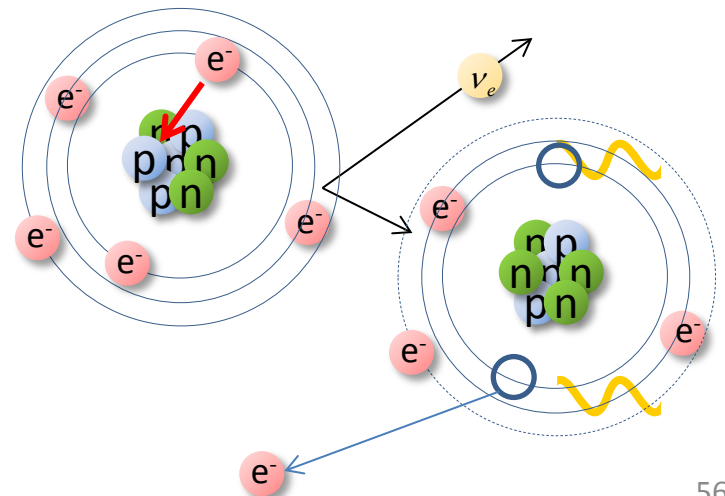


# Characterisation of spectral shape



Two-holes excited states: shake-up  
shake-off

- A. Faessler et al.  
*J. Phys. G* **42** (2015) 015108
- R. G. H. Robertson  
*Phys. Rev. C* **91**, 035504 (2015)
- A. Faessler et al.  
*Phys. Rev. C* **91**, 045505 (2015)
- A. Faessler et al.  
*Phys. Rev. C* **91**, 064302 (2015)
- A. De Rujula et al.  
[arXiv:1601.04990v1 \[hep-ph\]](https://arxiv.org/abs/1601.04990v1) 19 Jan 2016





# $^{163}\text{Ho}$ -based experiments

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ECHo

HOLMES

NuMECS

Calorimetric measurement of the  $^{163}\text{Ho}$  spectrum

Common challenges to reach sub eV sensitivity :

- High purity  $^{163}\text{Ho}$  source
- Detector performance
- Description of the  $^{163}\text{Ho}$  EC spectrum
- Background reduction