



Neutrino Theory

From the review: S.F.King 1701.04413

Prog.Part.Nucl.Phys. 94 (2017) 217



**ETTORE MAJORANA FOUNDATION AND
CENTRE FOR SCIENTIFIC CULTURE**

TO PAY A PERMANENT TRIBUTE TO ARCHIMEDES AND GALILEO GALILEI, FOUNDERS OF MODERN SCIENCE
AND TO ENRICO FERMI, THE "ITALIAN NAVIGATOR", FATHER OF THE WEAK FORCES

INTERNATIONAL SCHOOL OF NUCLEAR PHYSICS

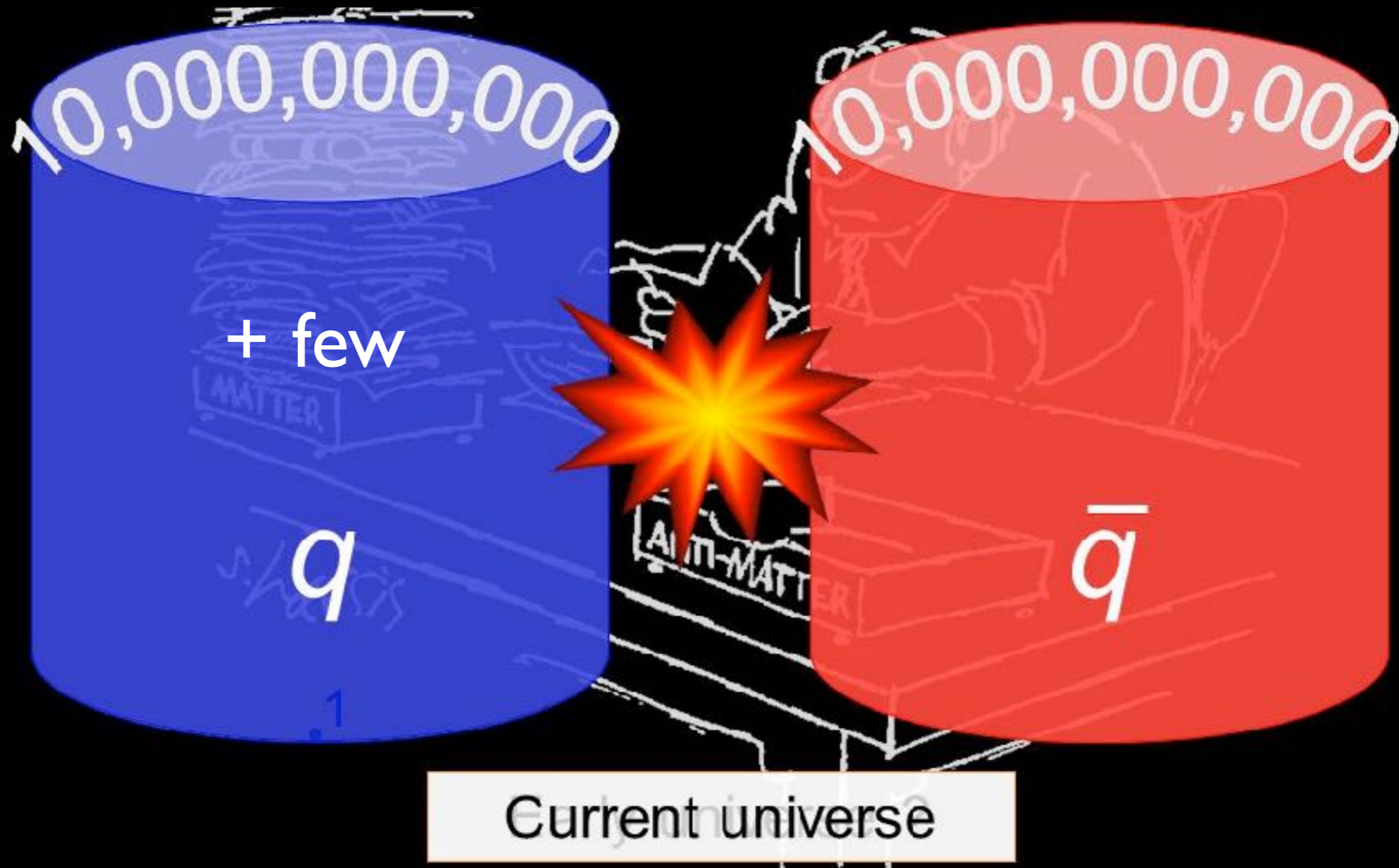
*39th Course: NEUTRINOS IN COSMOLOGY,
IN ASTRO-, PARTICLE- AND NUCLEAR PHYSICS*

ERICE-SICILY: 16 - 24 SEPTEMBER 2017

Ettore Majorana

**At the end of Inflation the Universe
was empty, cold and bare...**

After reheating a very slight excess of matter was somehow generated



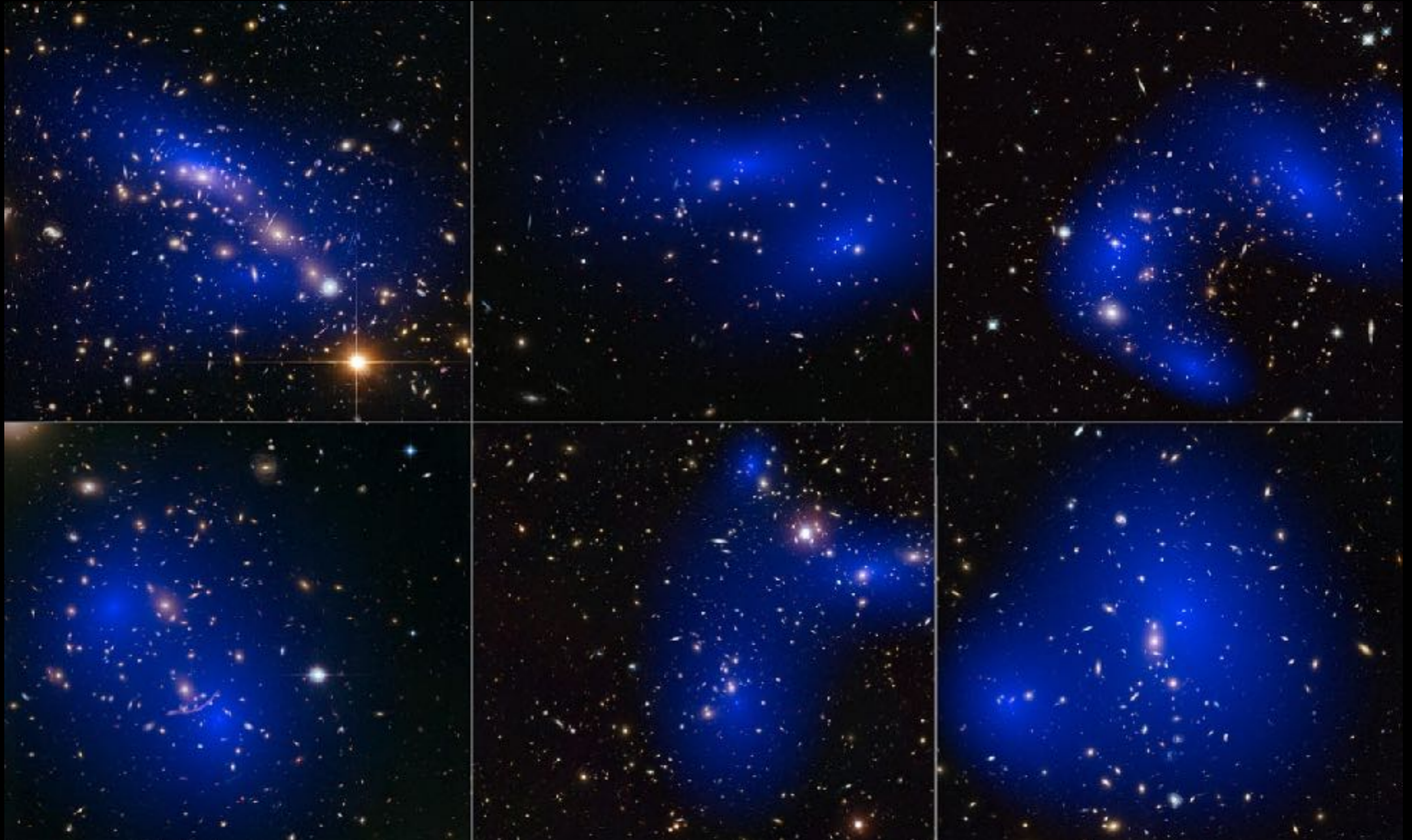
Giving the observed baryon asymmetry of the Universe



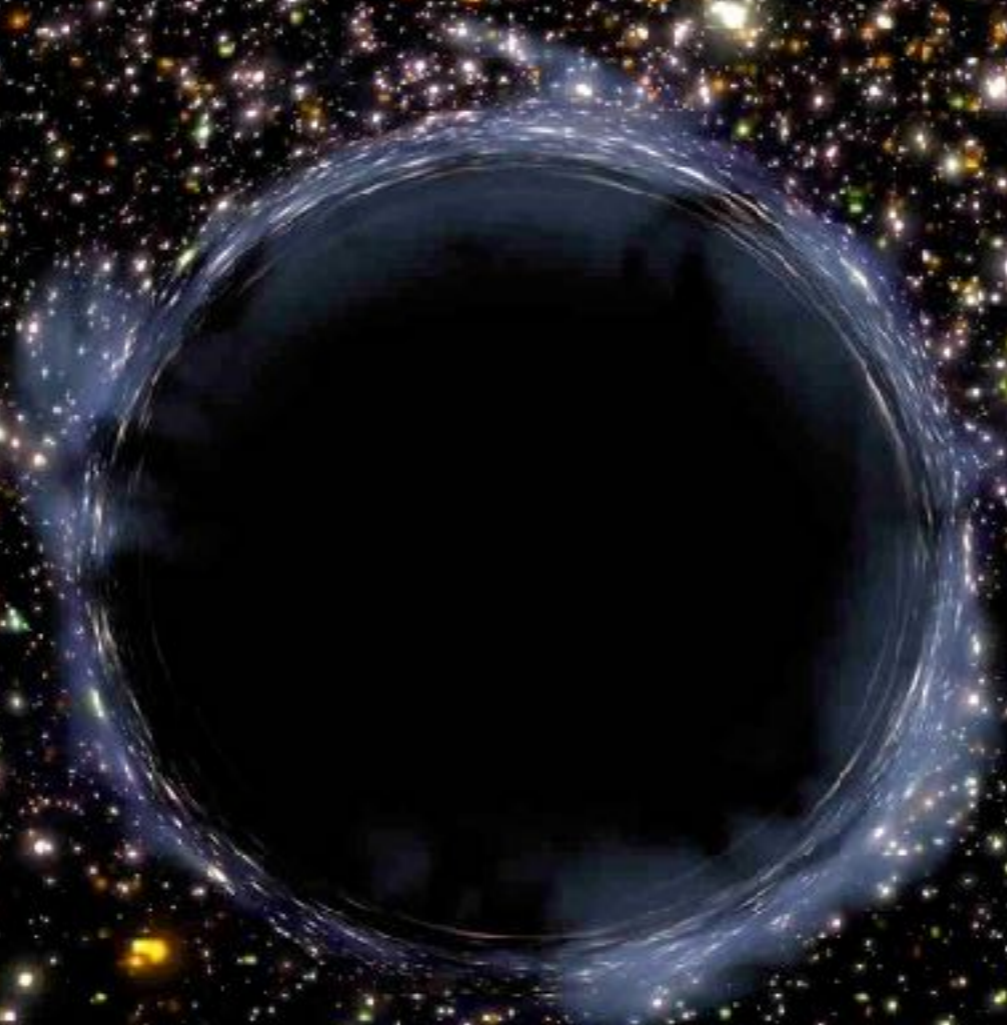
$$\eta_B = \frac{n_B - n_{\bar{B}}}{n_\gamma} = \frac{n_B}{n_\gamma} \approx 6 \times 10^{-10}$$



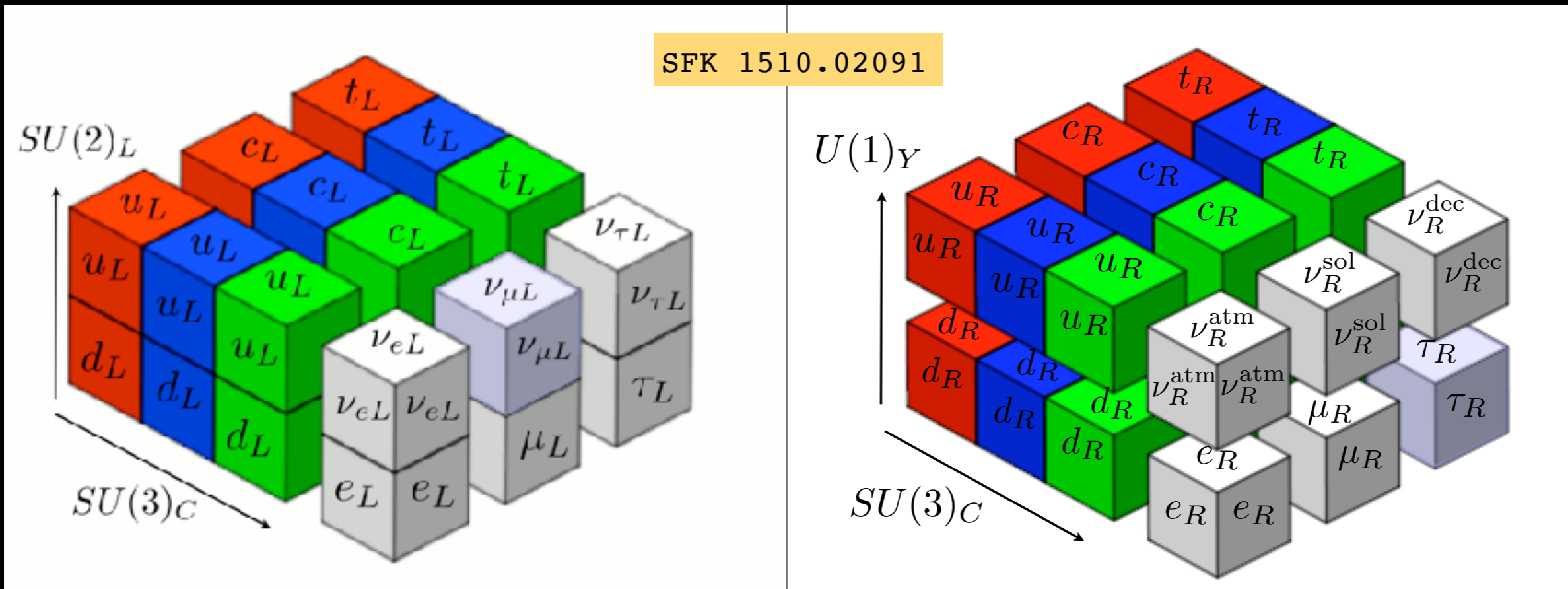
Dark Matter?



Dark Energy?

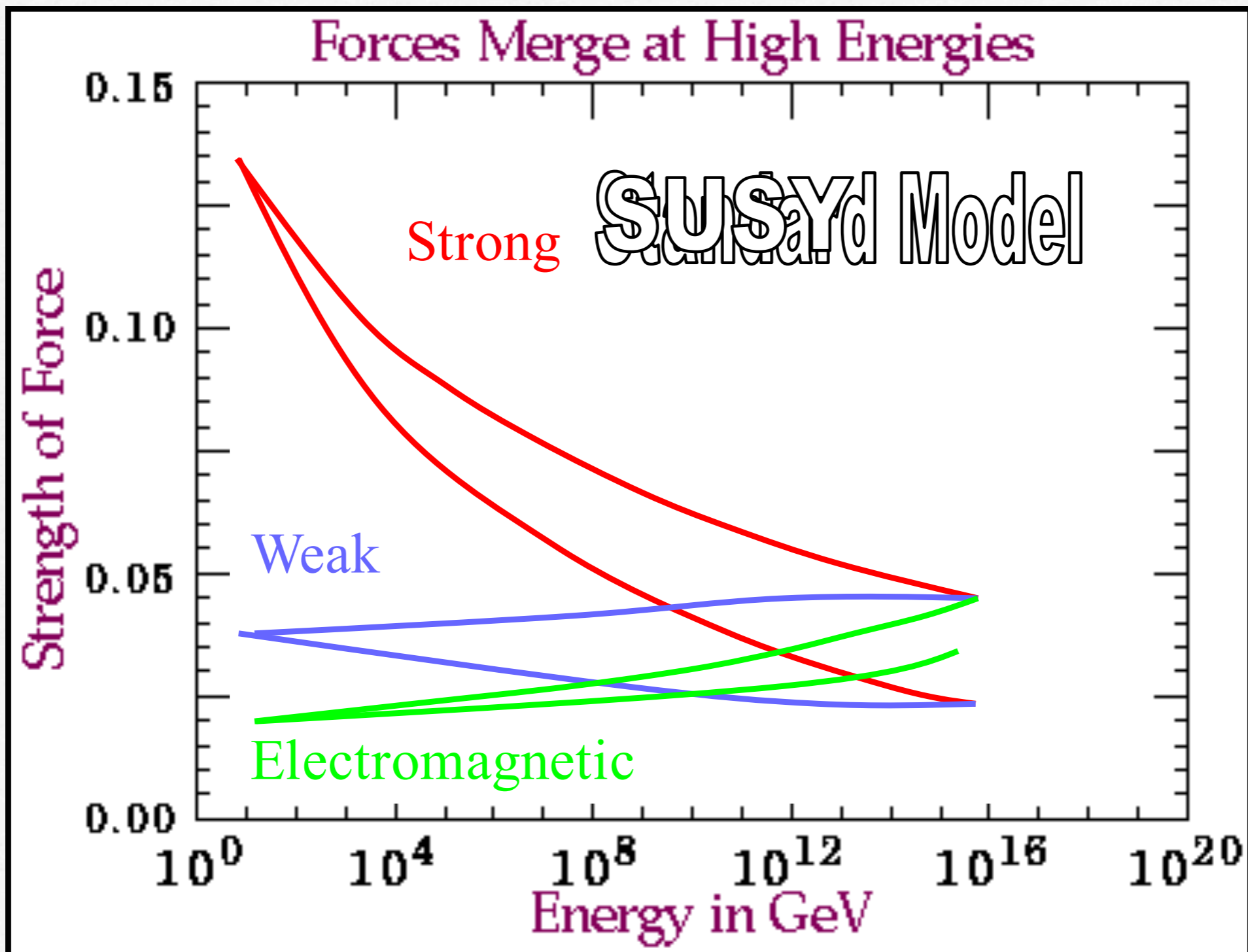


The Standard Model

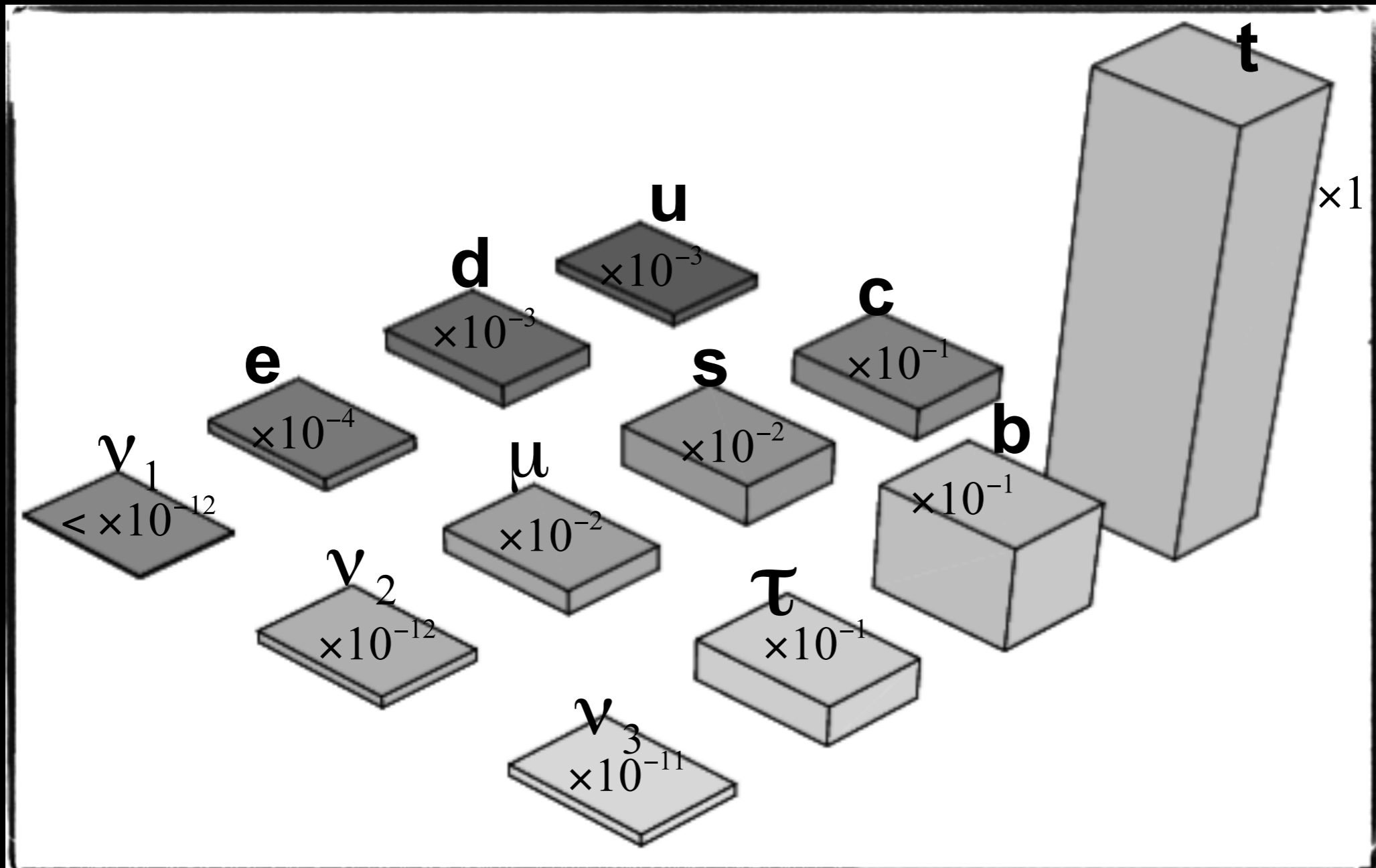


leaves many questions unanswered...

Unification?



Origin of quark and lepton masses?



Origin of quark and lepton mixing?

CKM

	d	s	b
u	Large Yellow	Small Blue	Very Small
c	Small Green	Large Yellow	Very Small
t	Very Small	Very Small	Large Yellow

PMNS

	ν_1	ν_2	ν_3
ν_e	Large Yellow	Medium Blue	Very Small Red
ν_μ	Small Green	Medium Blue	Large Yellow
ν_τ	Small Green	Medium Blue	Large Yellow

TBM

	ν_1	ν_2	ν_3
ν_e	Large Yellow	Medium Blue	0
ν_μ	Small Green	Medium Blue	Large Yellow
ν_τ	Small Green	Medium Blue	Large Yellow



c.f. TBM

[Stone, 1212.6374]

Simple Lepton Mixing Ansatz

$$\theta_{13} = 0^\circ \quad \theta_{23} = 45^\circ$$

□ **Bimaximal**

$$U_{BM} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \\ \frac{1}{2} & -\frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix} P \quad \theta_{12} = 45^\circ$$

□ **Tri-bimaximal**

$$U_{TB} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & -\frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix} P \quad \theta_{12} = 35.26^\circ$$

□ **Golden ratio**

$$U_{GR} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -\frac{s_{12}}{\sqrt{2}} & \frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{s_{12}}{\sqrt{2}} & -\frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} P$$

$$\phi = \frac{1 + \sqrt{5}}{2}$$

$$\tan \theta_{12} = \frac{1}{\phi} \quad \theta_{12} = 31.7^\circ$$

Tri-maximal Mixing

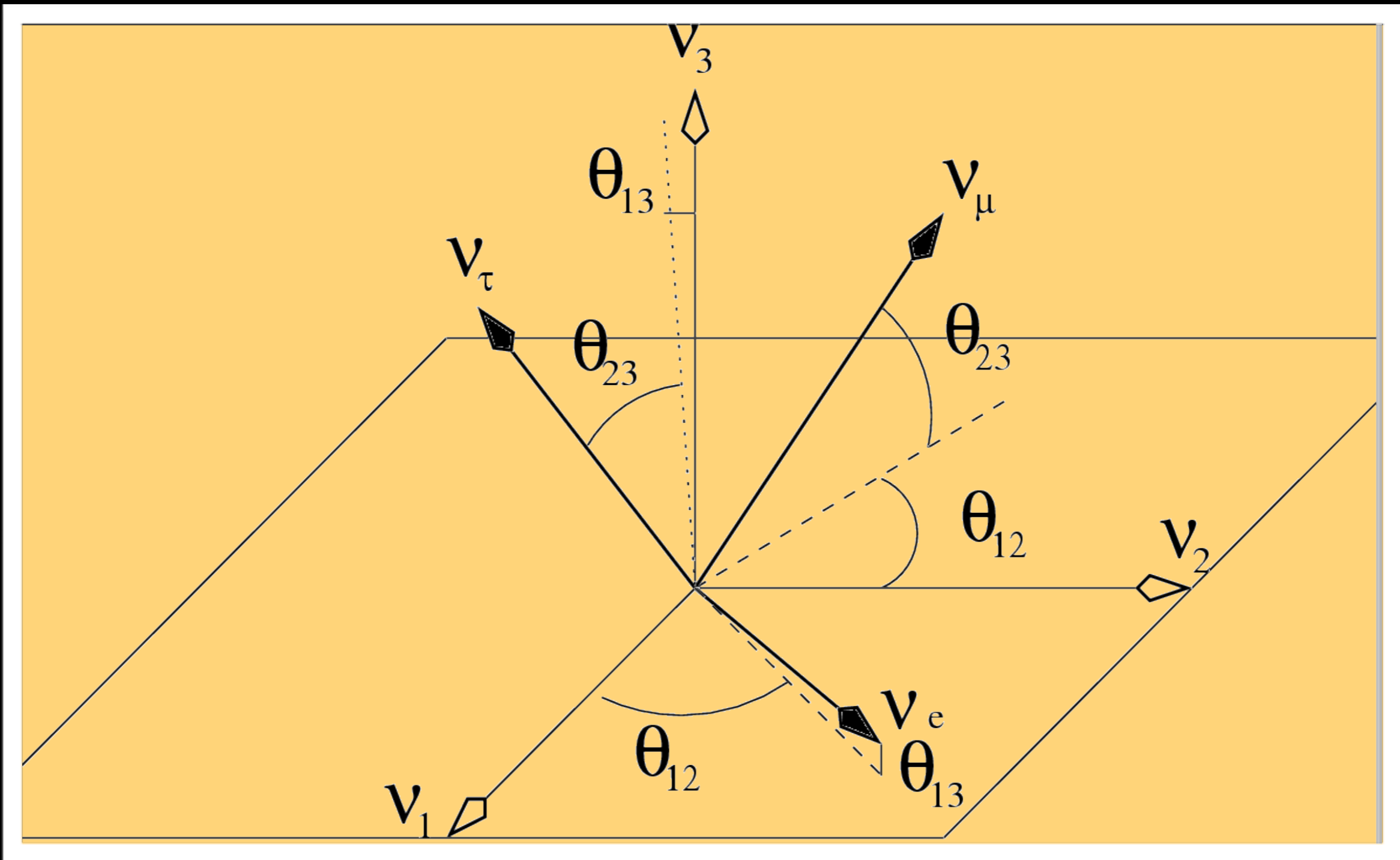
$$U_{\text{TM1}} \approx \begin{pmatrix} \sqrt{\frac{2}{3}} & - & - \\ -\frac{1}{\sqrt{6}} & - & - \\ \frac{1}{\sqrt{6}} & - & - \end{pmatrix}$$

Allows for non-zero reactor angle

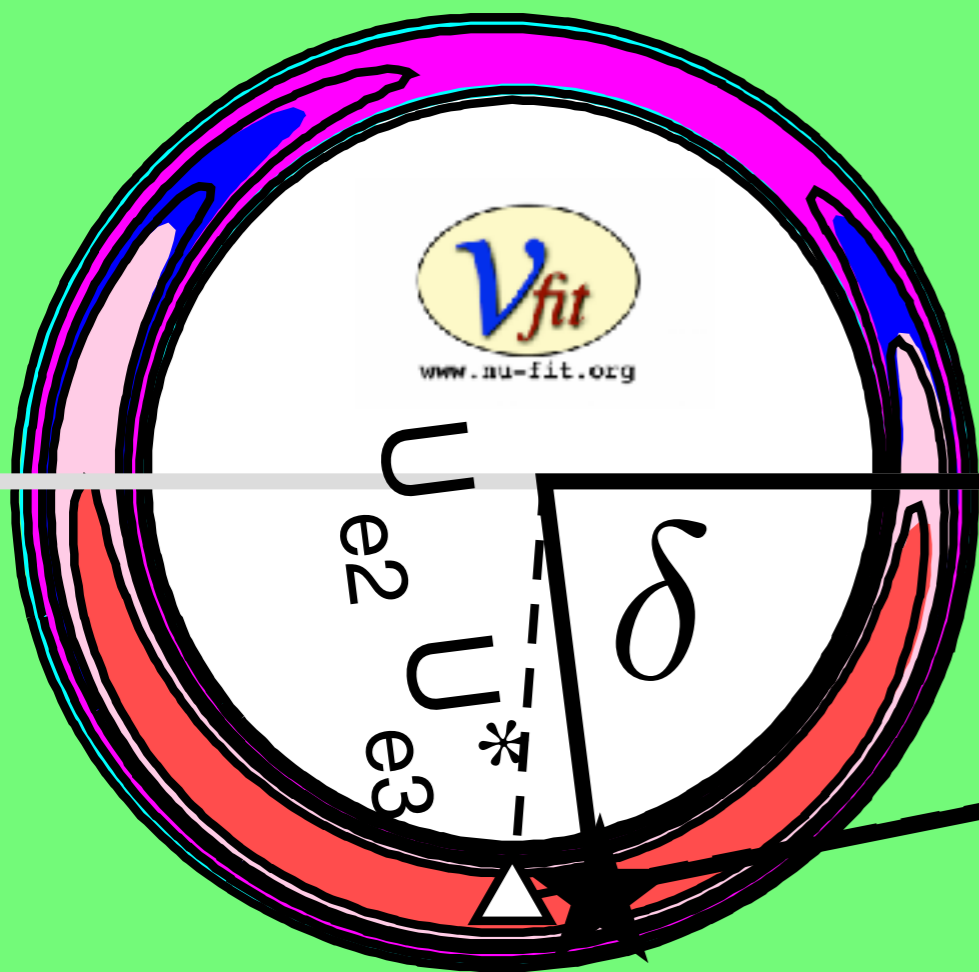
Implies sum rule relations between PMNS parameters

$$U_{\text{TM2}} \approx \begin{pmatrix} - & \frac{1}{\sqrt{3}} & - \\ - & \frac{1}{\sqrt{3}} & - \\ - & -\frac{1}{\sqrt{3}} & - \end{pmatrix}$$

The Lepton Mixing Angles



The oscillation observable CP Violating Phase



$$U_{\tau 2} \quad U_{\tau 3}^*$$

$$U_{\mu 2} \quad U_{\mu 3}^*$$

Quark vs Lepton mixings

	θ_{12}	θ_{23}	θ_{13}	δ
Quarks	13° $\pm 0.1^\circ$	2.4° $\pm 0.1^\circ$	0.2° $\pm 0.05^\circ$	70° $\pm 5^\circ$
Leptons	34° $\pm 1^\circ$	45° $41^\circ \pm 1^\circ$ $50^\circ \pm 1^\circ$	8.5° $\pm 0.15^\circ$	-90° $\pm 50^\circ$

Global fits Concha talk

Open Questions



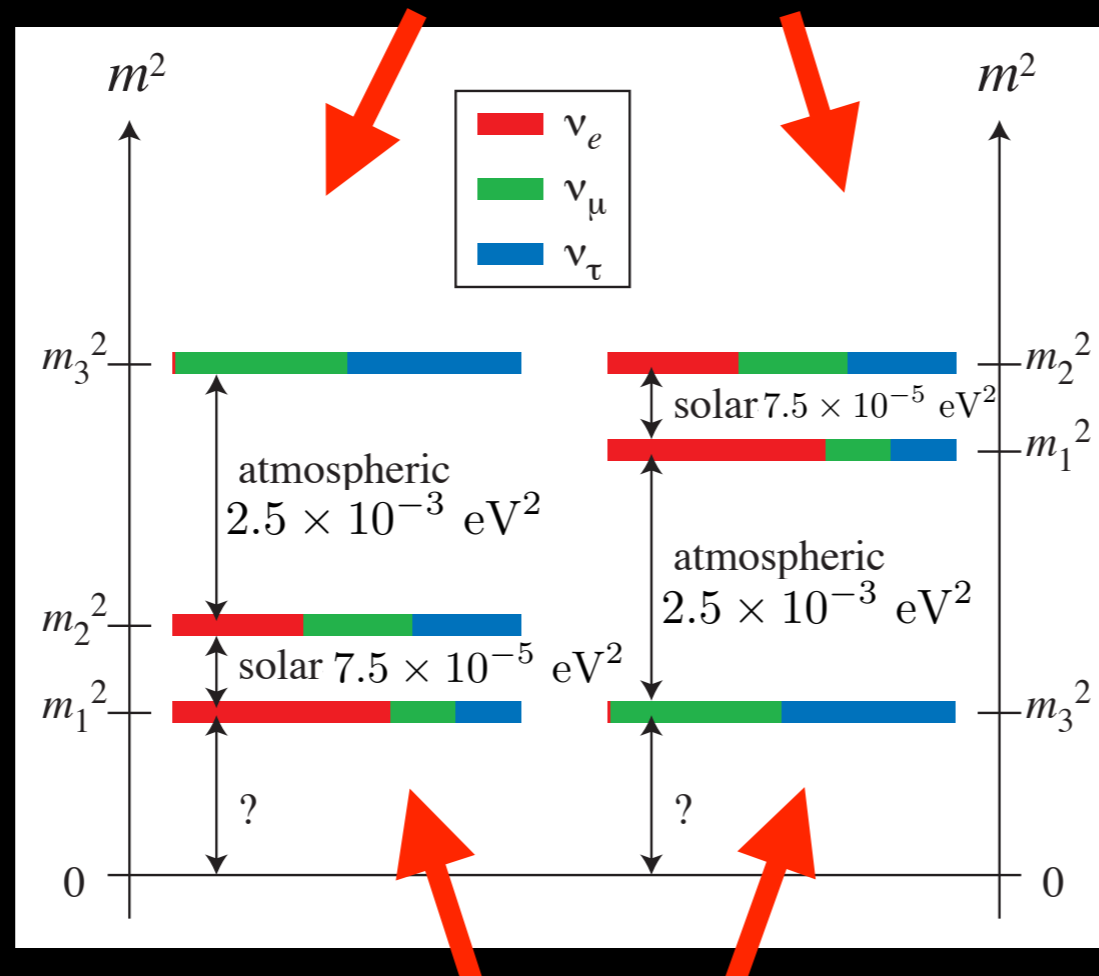
Is CP violated in the leptonic sector? (Probably)



Is the atmospheric angle in first or second octant?



Neutrino mass: NO or IO ?

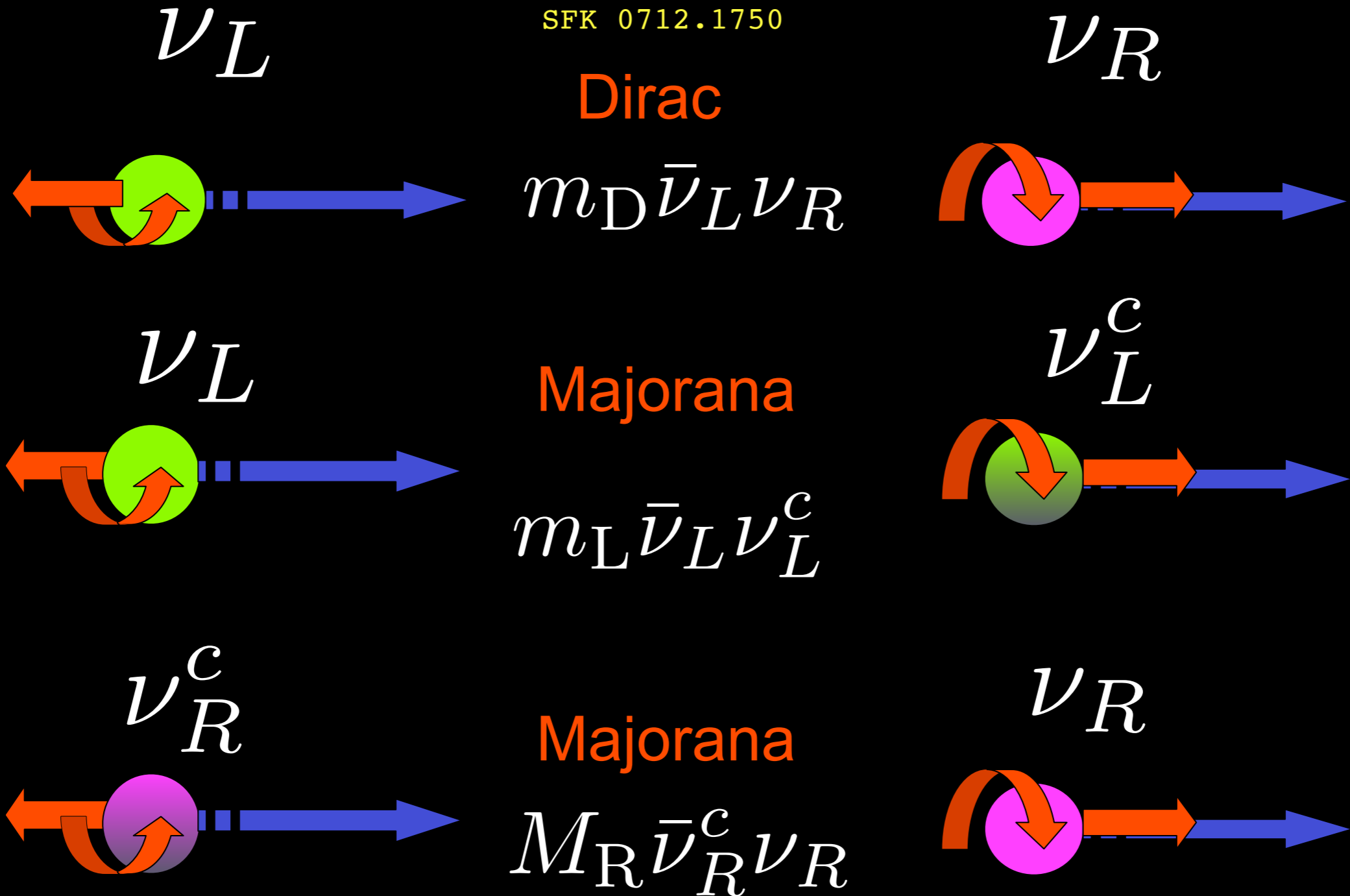


Lightest neutrino mass?

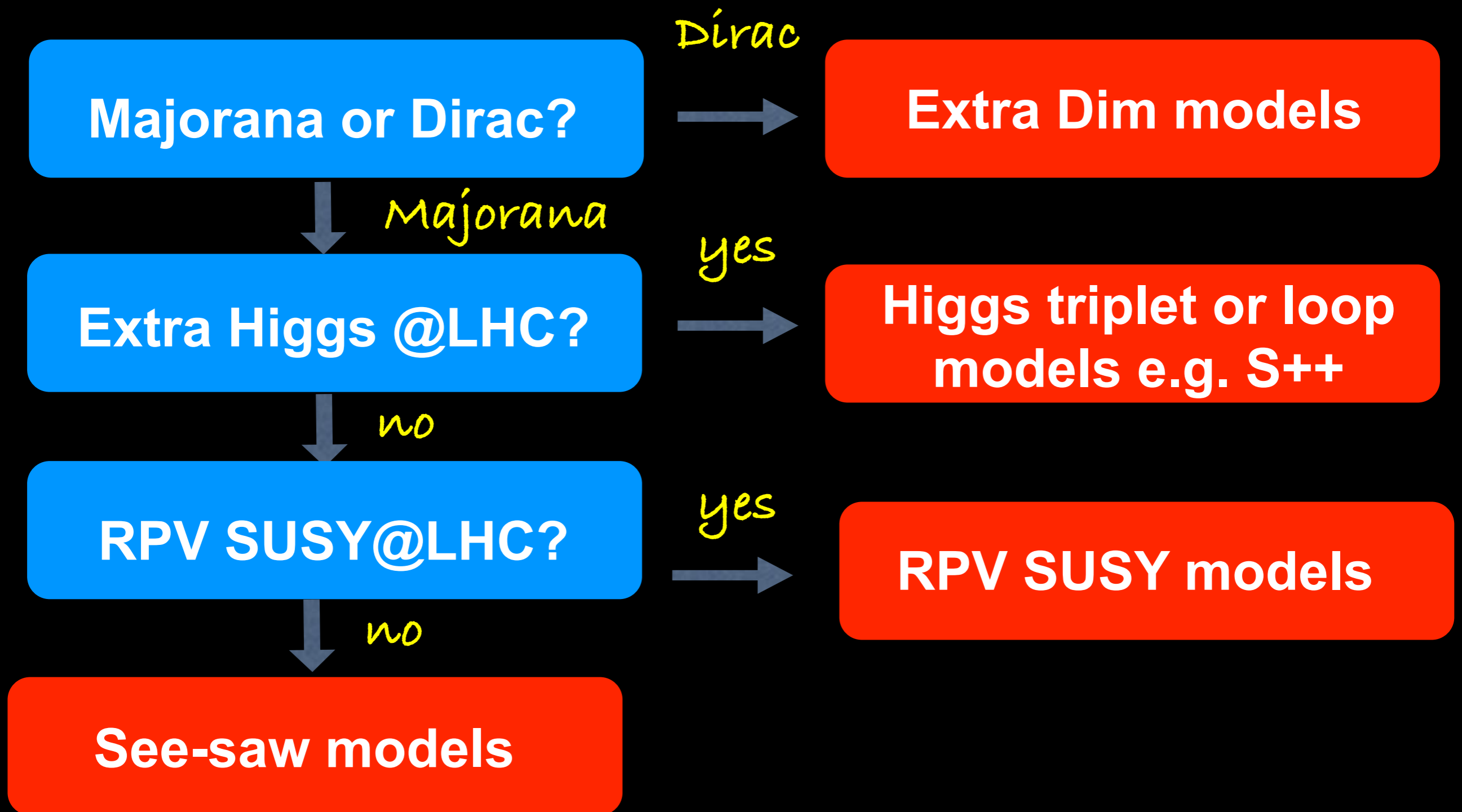


Are neutrino masses Dirac or Majorana?

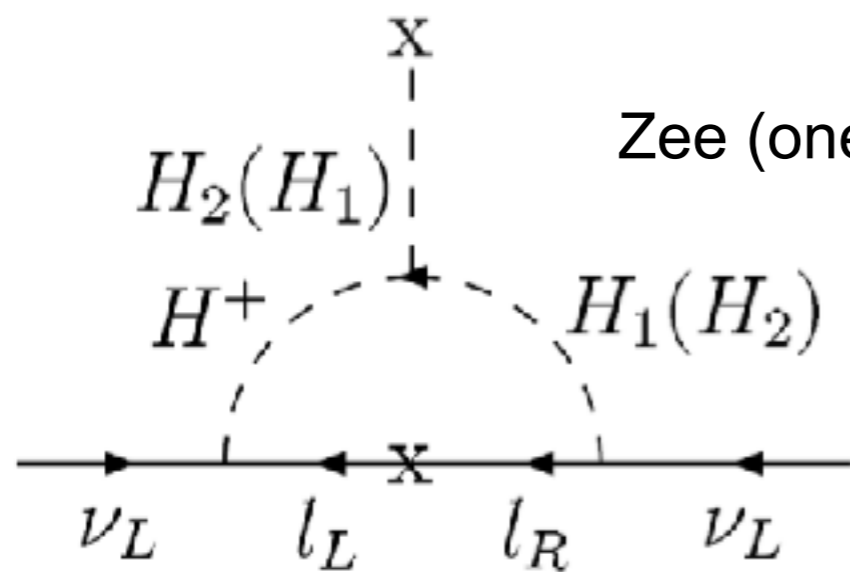
SFK 0712.1750



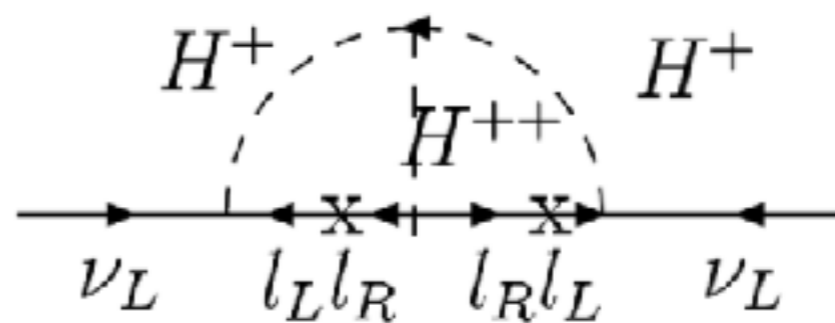
Roadmap of neutrino mass



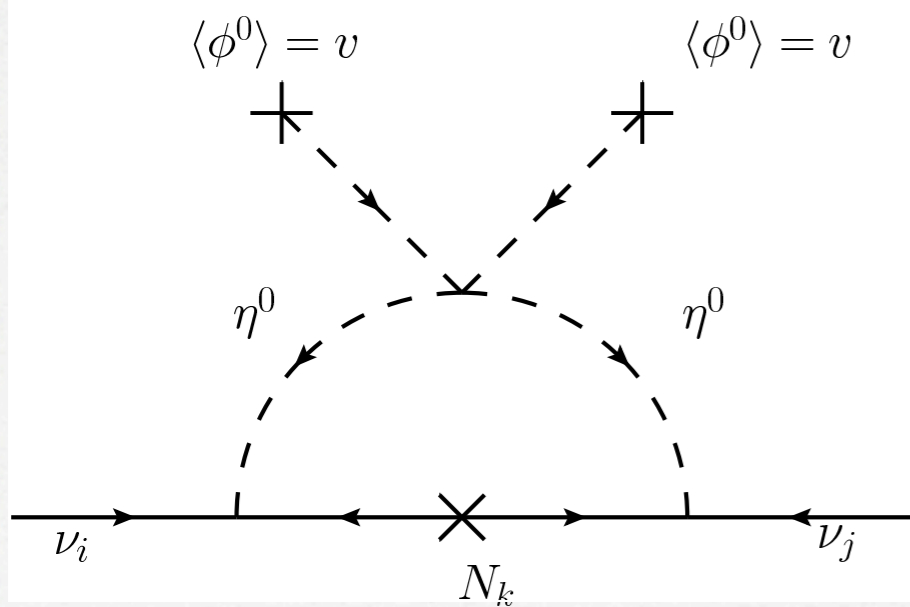
Loop Models of Neutrino Mass



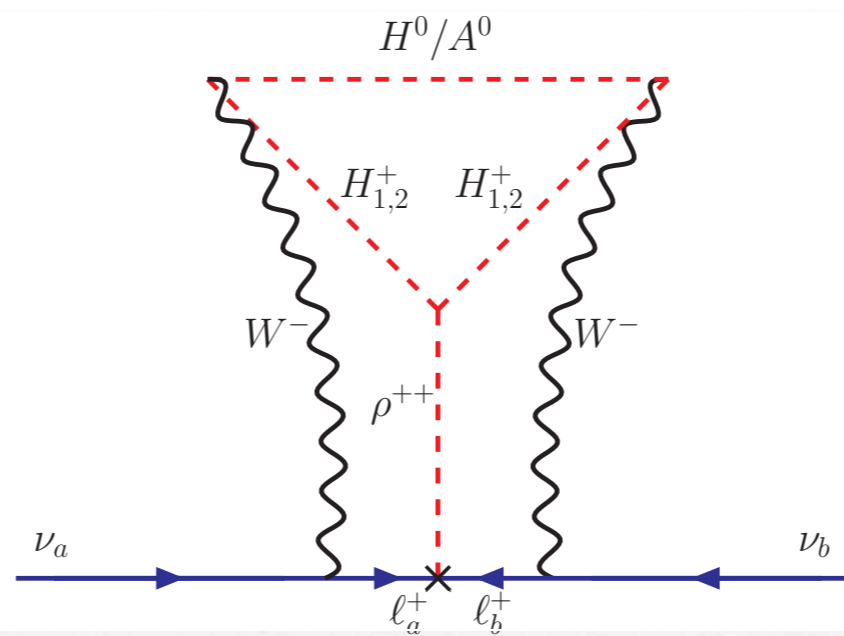
Zee (one loop)



Babu (two loop)

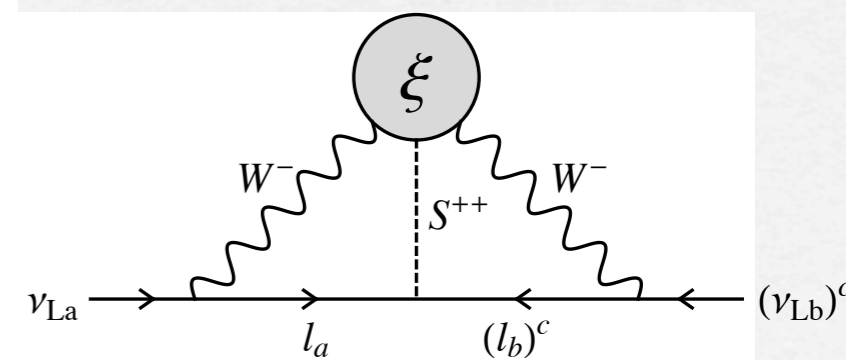


Scotogenic model



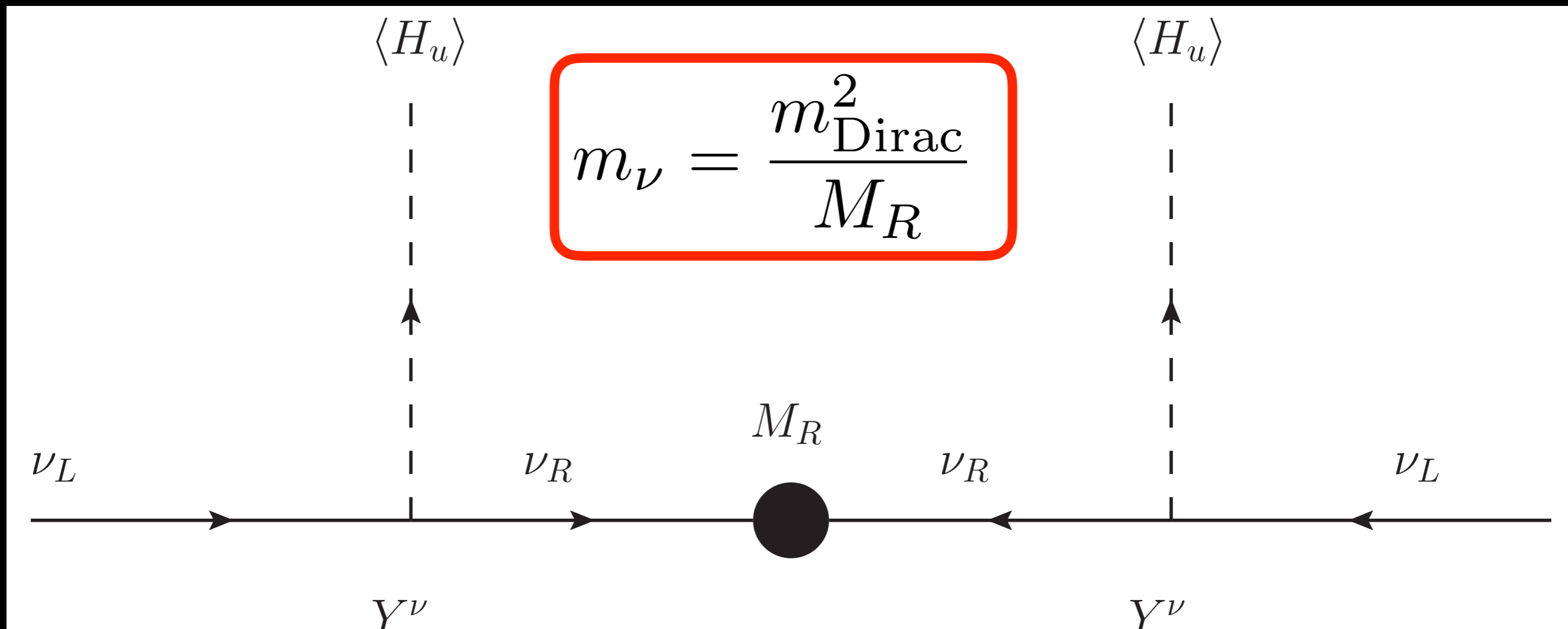
Cocktail model

SFK, Merle, Panizzi

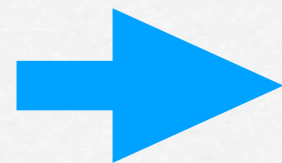


Effective theory

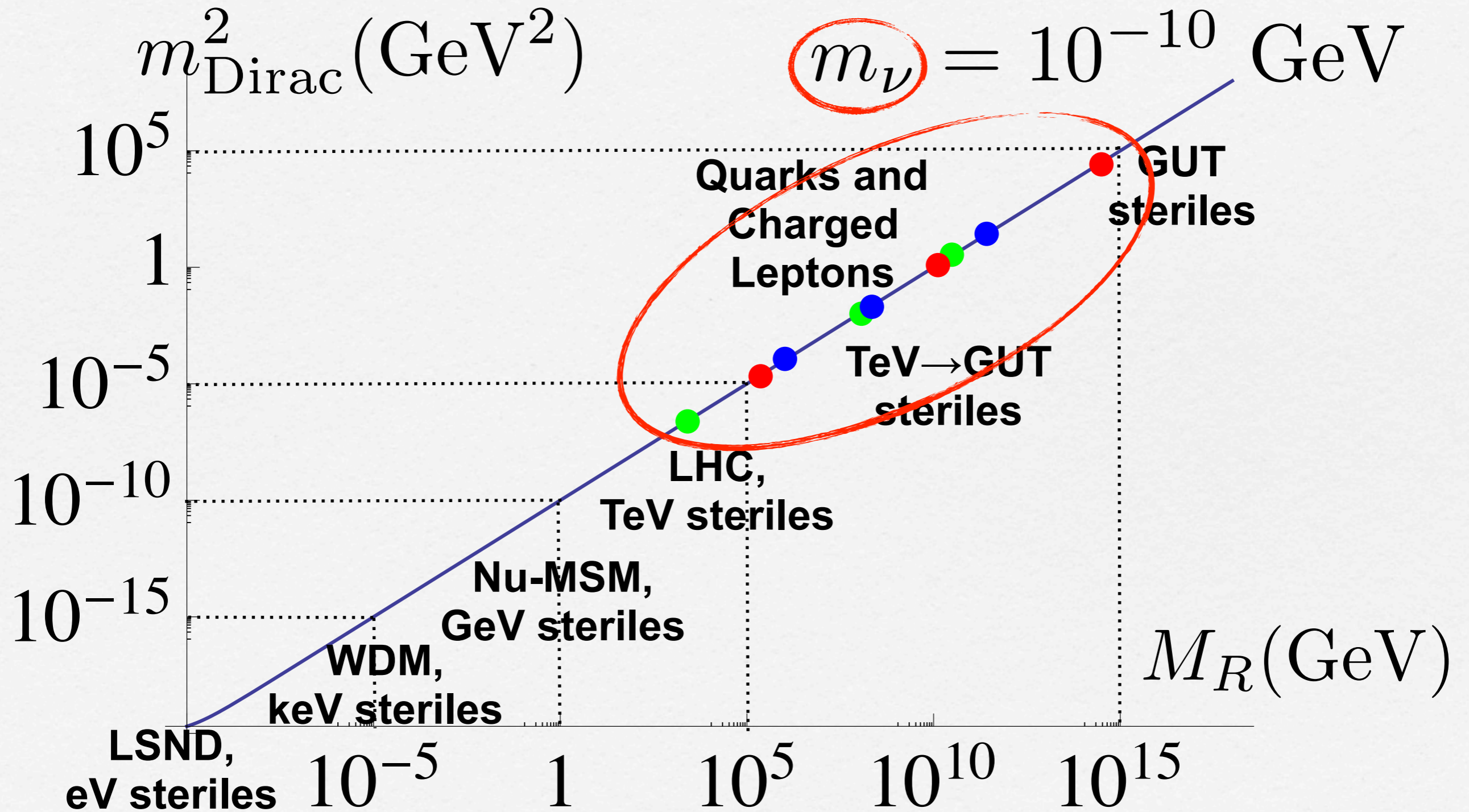
Minimal Type I seesaw



Seesaw formula



$$m_{\text{Dirac}}^2 = m_\nu M_R$$



Sequential dominance

Simple way to deal with 3 RHN

normal ordering

$m_3 \sim 50 \text{ meV}$



$$\frac{m_{\text{atm}}^D m_{\text{atm}}^{D T}}{M_{\text{atm}}}$$

$m_2 \sim 9 \text{ meV}$

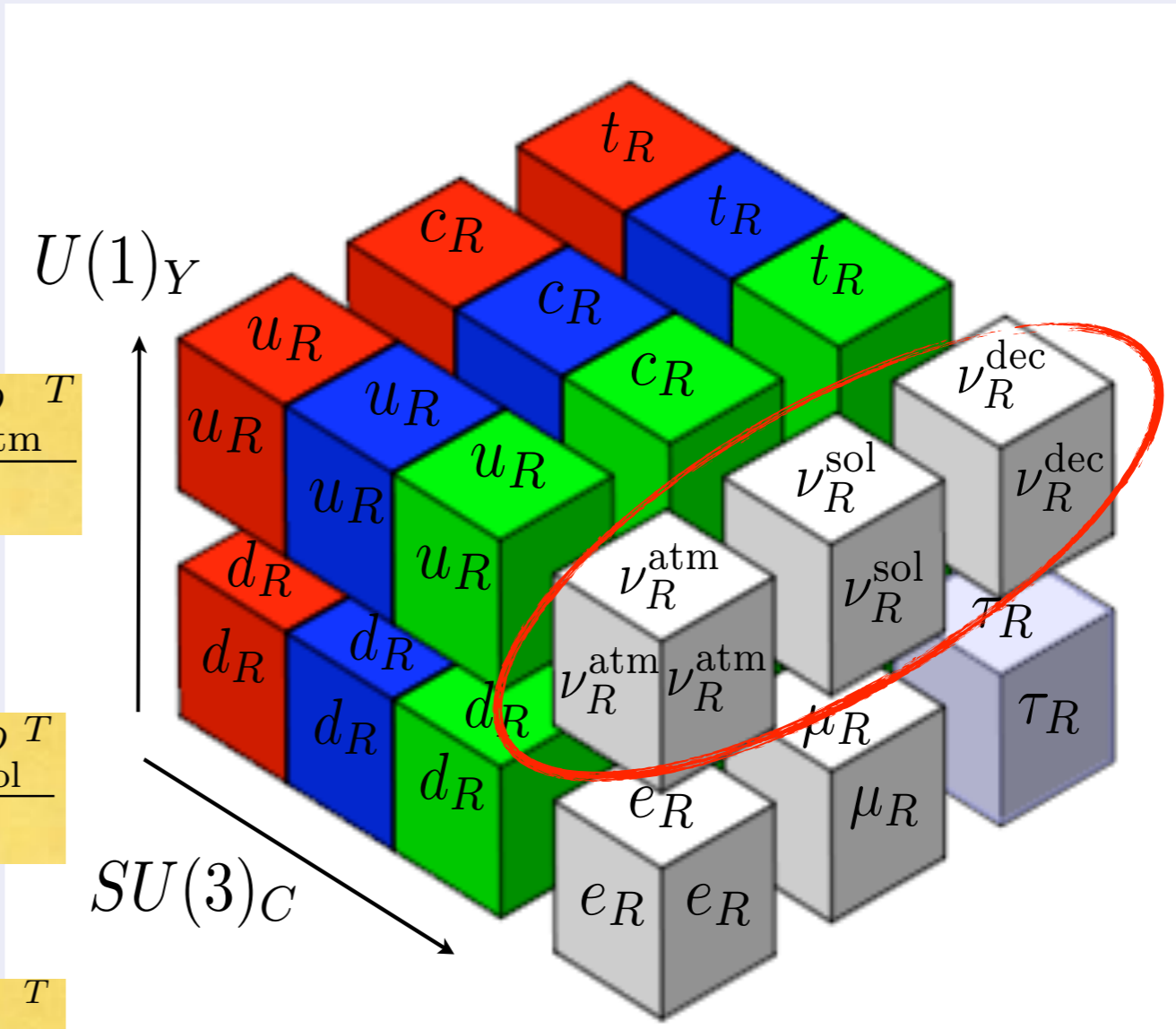


$$\frac{m_{\text{sol}}^D m_{\text{sol}}^{D T}}{M_{\text{sol}}}$$



$$\frac{m_{\text{dec}}^D m_{\text{dec}}^{D T}}{M_{\text{dec}}}$$

$m_1 \ll m_2$



Littlest Seesaw

SFK

1304.6264,
1512.07531

- Two right-handed neutrinos (RHN) ν_R^{atm} ν_R^{sol}
- Diagonal $M_R = \begin{pmatrix} M_{\text{atm}} & 0 \\ 0 & M_{\text{sol}} \end{pmatrix}$ completely decoupled ν_R^{dec}
- Diagonal (m_e, m_μ, m_τ)

- Constrained Sequential Dominance (CSD3):

$$\text{Type A } m_D = \begin{pmatrix} 0 & b \\ a & 3b \\ a & b \end{pmatrix} \quad \text{or} \quad \text{Type B } m_D = \begin{pmatrix} 0 & b \\ a & b \\ a & 3b \end{pmatrix}$$

Enforced by symmetry (see later)

SFK, Luhn
1607.05276

The Littlest Seesaw

Low energy neutrino mass matrices after seesaw:

$$m_{\text{LSA}}^\nu = m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_b e^{i\eta} \begin{pmatrix} 1 & 3 & 1 \\ 3 & 9 & 3 \\ 1 & 3 & 1 \end{pmatrix},$$

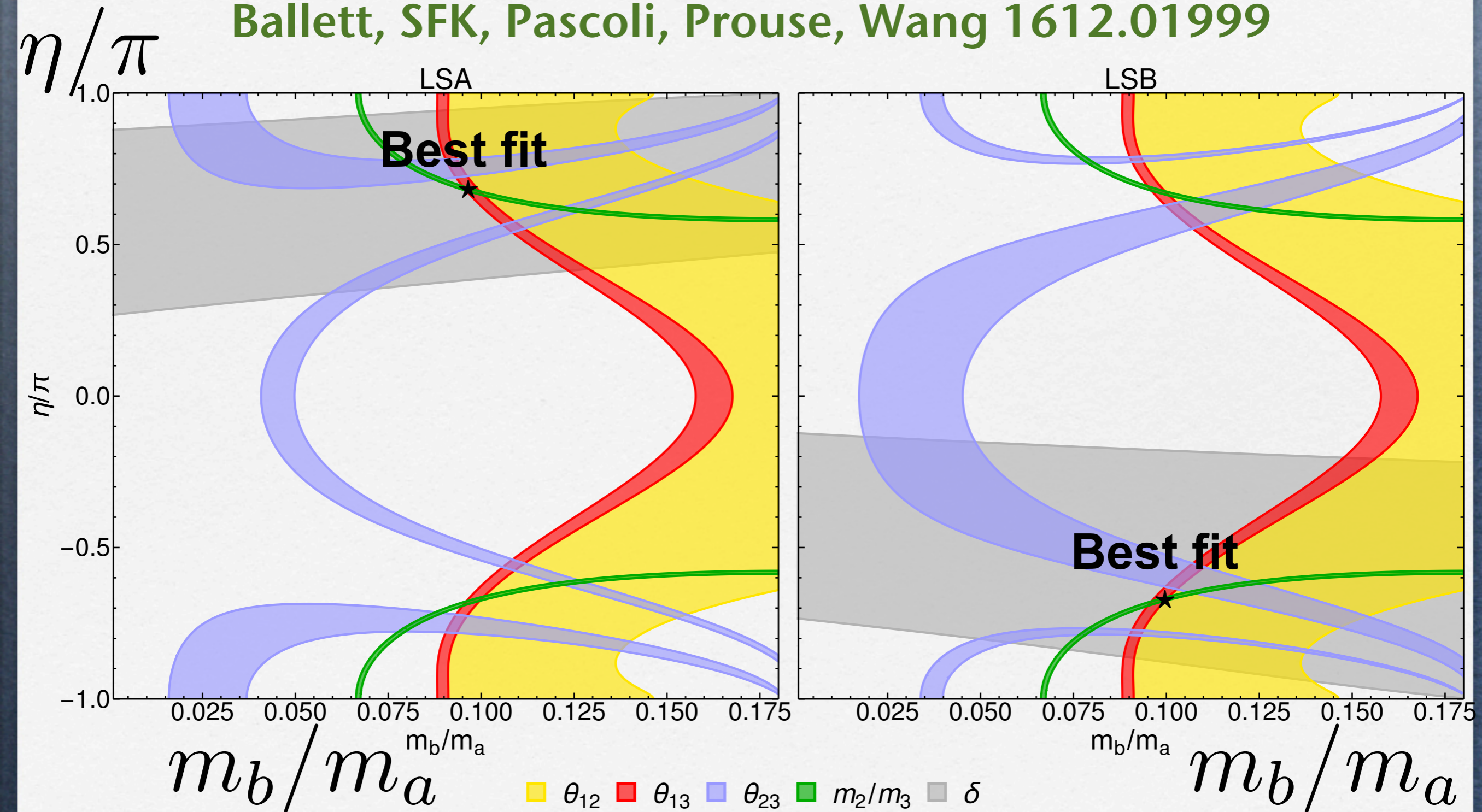
$$m_{\text{LSB}}^\nu = m_a \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} + m_b e^{i\eta} \begin{pmatrix} 1 & 1 & 3 \\ 1 & 1 & 3 \\ 3 & 3 & 9 \end{pmatrix}.$$

SD $m_a \gg m_b$ predicts NO with $m_1=0$

Depends on 3 parameters: m_a , m_b , η

Littlest Seesaw vs current data

Ballett, SFK, Pascoli, Prouse, Wang 1612.01999



Best Fit LS Predictions

NO with $m_1=0$

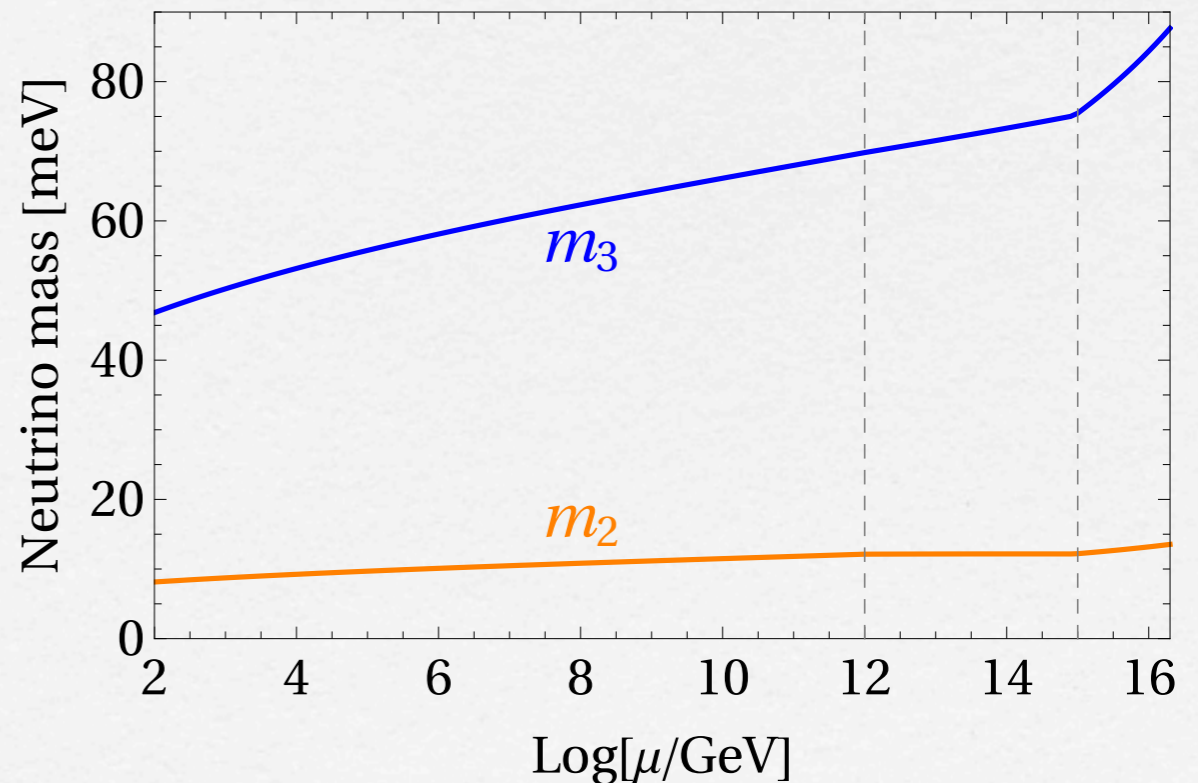
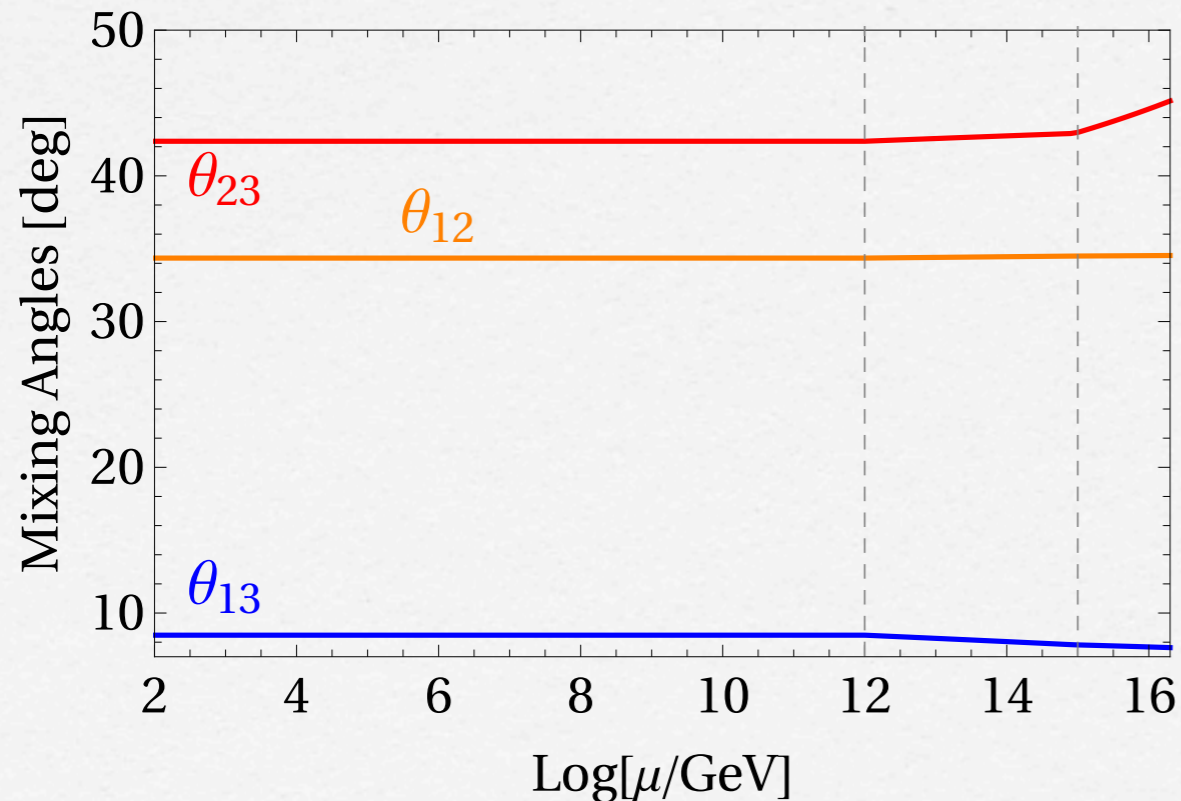
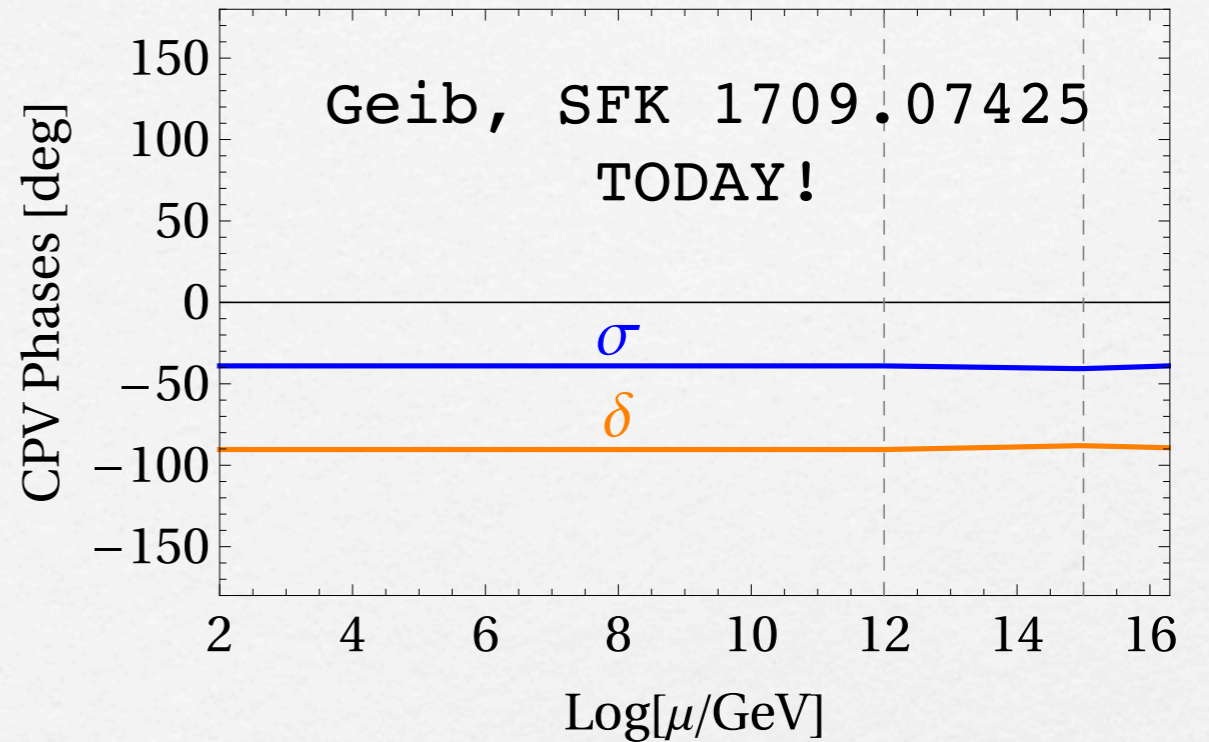
	LSA		LSB		NuFIT 3.0
	η free	η fixed	η free	η fixed	global fit
m_a [meV]	27.19	26.74	26.95	26.75	
m_b [meV]	2.654	2.682	2.668	2.684	—
η [rad]	0.680π	$2\pi/3$	-0.673π	$-2\pi/3$	
θ_{12} [°]	34.36	34.33	34.35	34.33	$33.72^{+0.79}_{-0.76}$
θ_{13} [°]	8.46	8.60	8.54	8.60	$8.46^{+0.14}_{-0.15}$
θ_{23} [°]	45.03	45.71	44.64	44.28	$41.5^{+1.3}_{-1.1}$
δ [°]	-89.9	-86.9	-91.6	-93.1	-71^{+38}_{-51}
Δm_{21}^2 [10^{-5}eV^2]	7.499	7.379	7.447	7.390	$7.49^{+0.19}_{-0.17}$
Δm_{31}^2 [10^{-3}eV^2]	2.500	2.510	2.500	2.512	$2.526^{+0.039}_{-0.037}$
$\Delta\chi^2$ / d.o.f	4.1 / 3	5.6 / 4	3.9 / 3	4.5 / 4	—

Near maximal
mixing

RG Corrections in SM (are large)

SM with $M_{atm} = 10^{15}$ GeV and $M_{sol} = 10^{12}$ GeV

	Λ_{GUT}	M_{atm}	M_{sol}	Λ_{EW}
θ_{13} (deg)	7.62574	7.81215	8.47979	8.4798
θ_{12} (deg)	34.5348	34.4977	34.3575	34.3572
θ_{23} (deg)	45.1425	42.9816	42.3751	42.3744
m_2 (meV)	13.537	12.2035	12.1317	8.73113
m_3 (meV)	87.6802	75.4657	69.8112	50.2431
δ_{CP} (deg)	-89.2885	-88.0086	-90.3508	-90.3507
σ_{CP} (deg)	-38.9558	-40.649	-38.9917	-38.9917

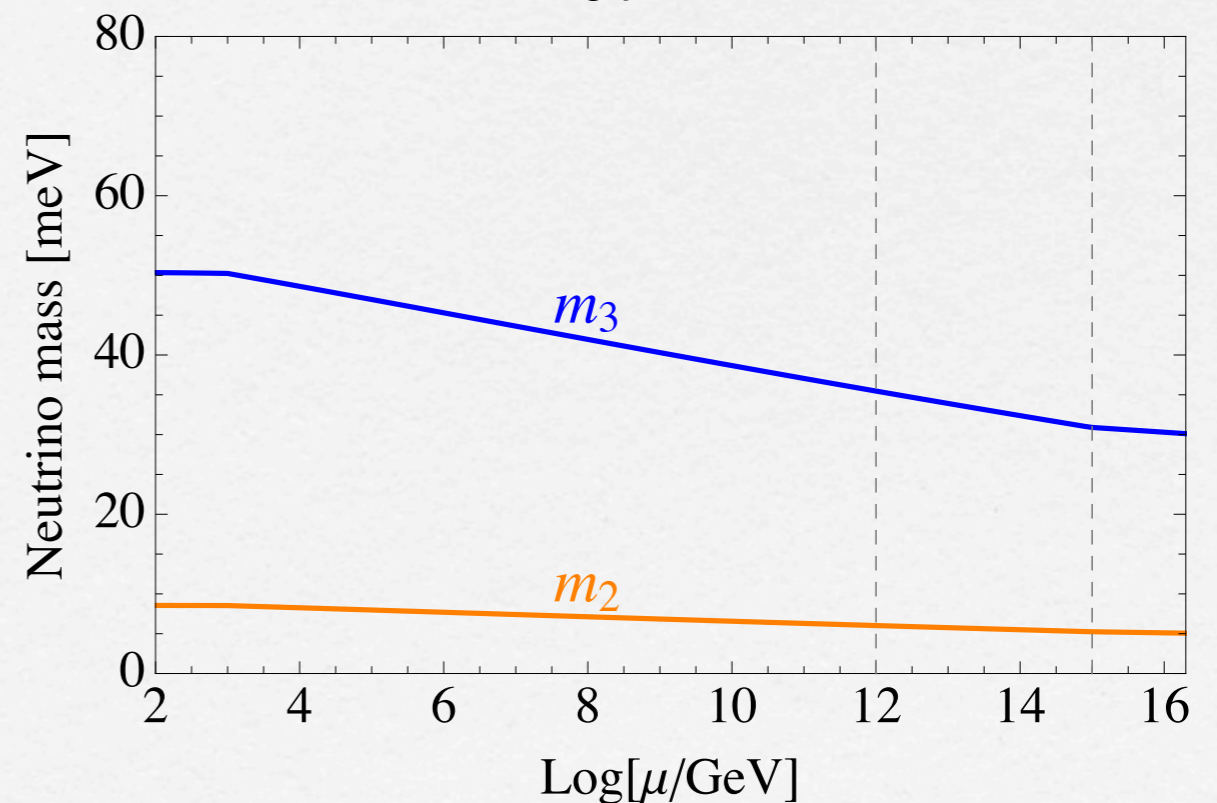
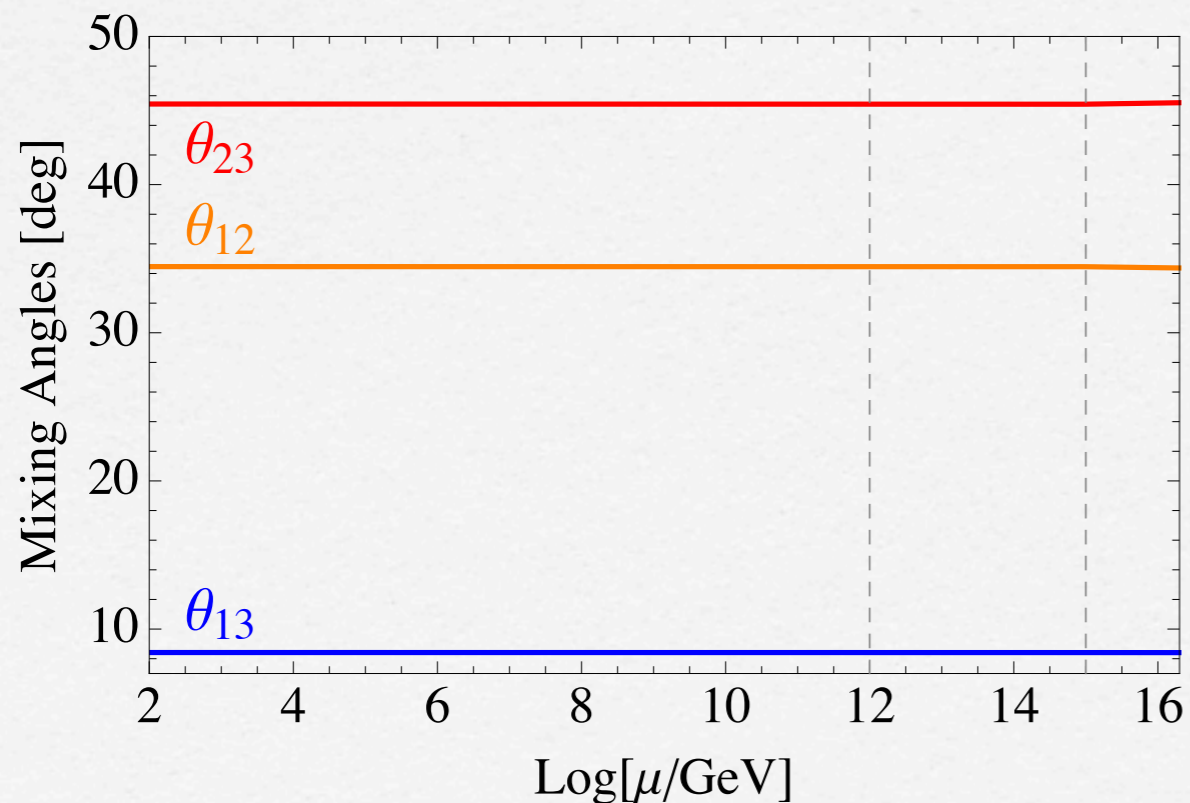
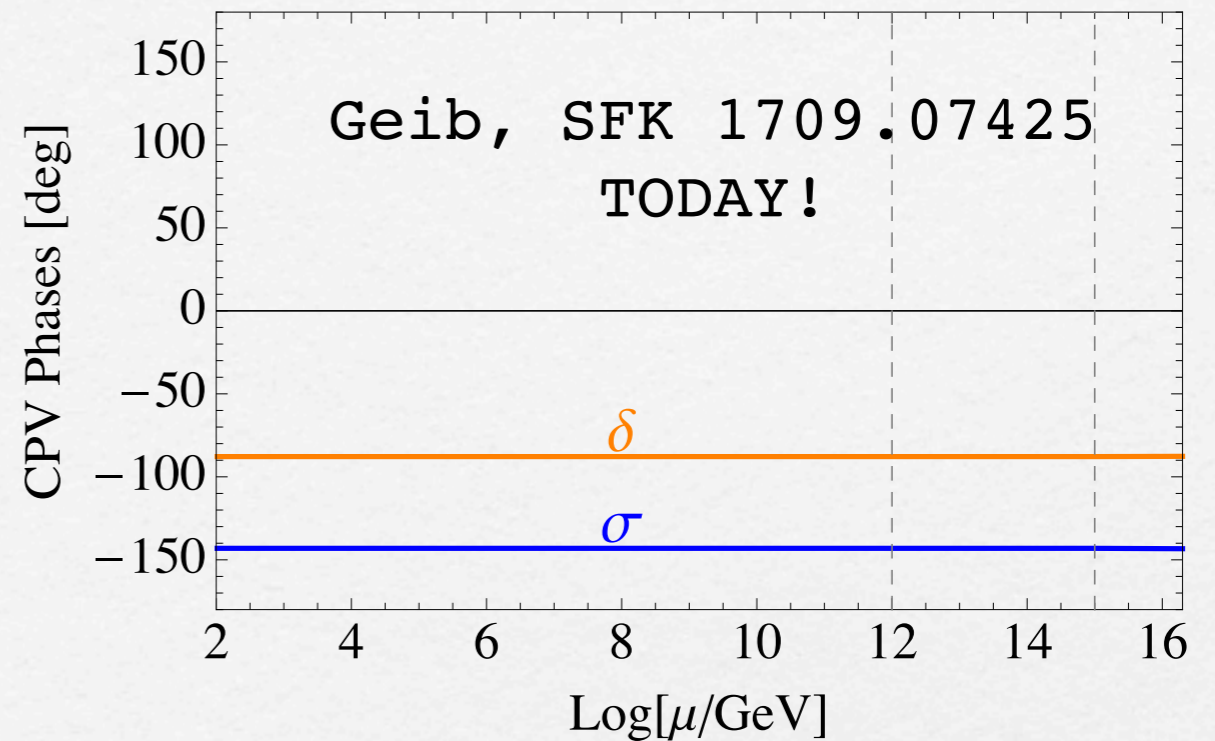


RG Corrections in SUSY (are small)

$$M_{atm} = 10^{12} \text{ GeV and } M_{sol} = 10^{15} \text{ GeV}$$

Case A, $M_{SUSY} = 1 \text{ TeV}$, $\tan\beta = 5$, $\bar{\eta}_b = 0.6$

	Λ_{GUT}	M_{sol}	M_{atm}	Λ_{EW}
$\theta_{13}(\text{deg})$	8.41036	8.41346	8.41449	8.41694
$\theta_{12}(\text{deg})$	34.3737	34.4593	34.4613	34.4648
$\theta_{23}(\text{deg})$	45.5262	45.4286	45.4309	45.4401
$m_2(\text{meV})$	5.06633	5.24637	6.02352	8.53262
$m_3(\text{meV})$	30.1179	30.9015	35.4702	50.2415
$\delta_{CP}(\text{deg})$	-87.6504	-87.8008	-87.8032	-87.8023
$\sigma_{CP}(\text{deg})$	-143.312	-143.071	-143.067	-143.067

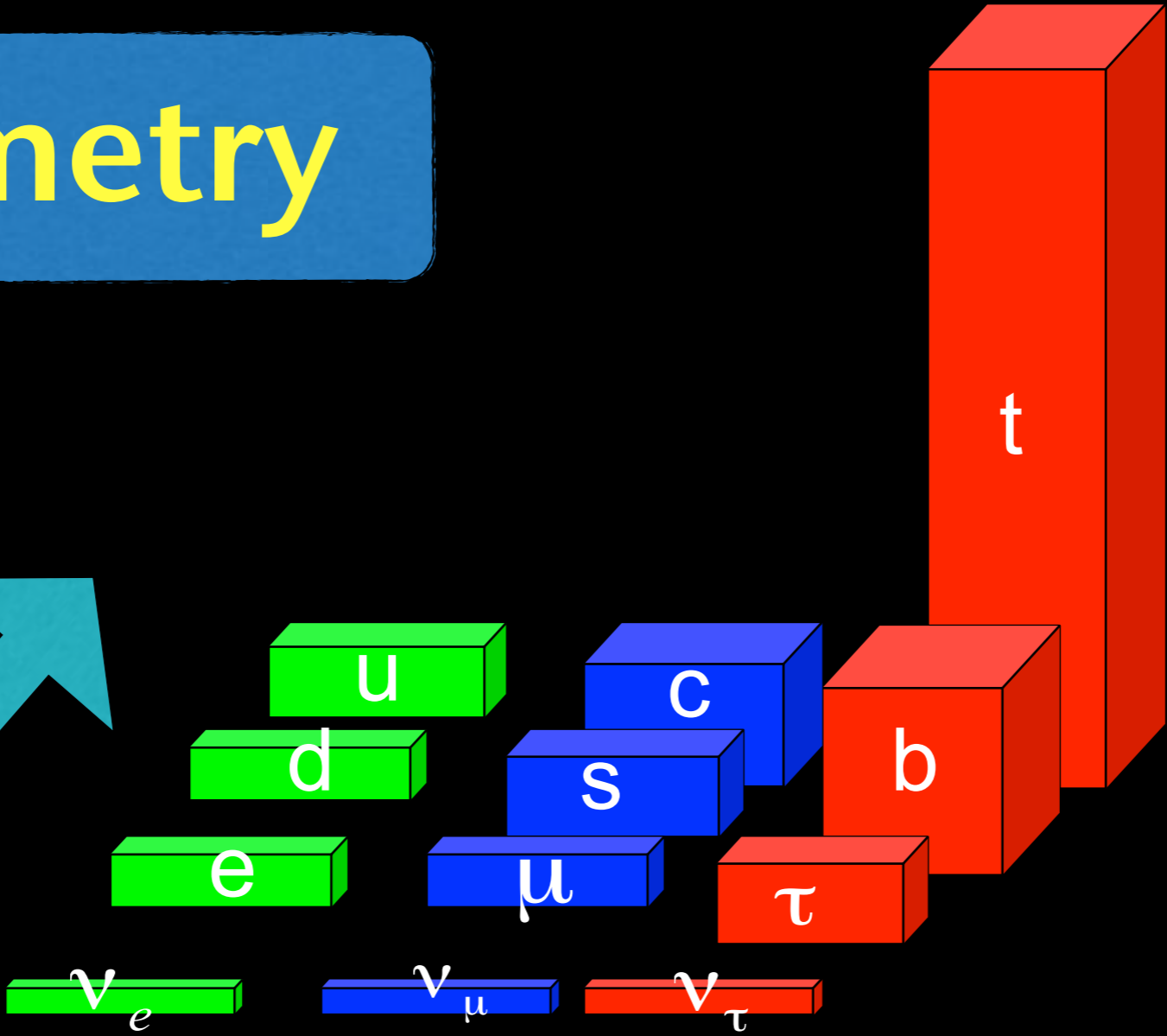


Symmetry

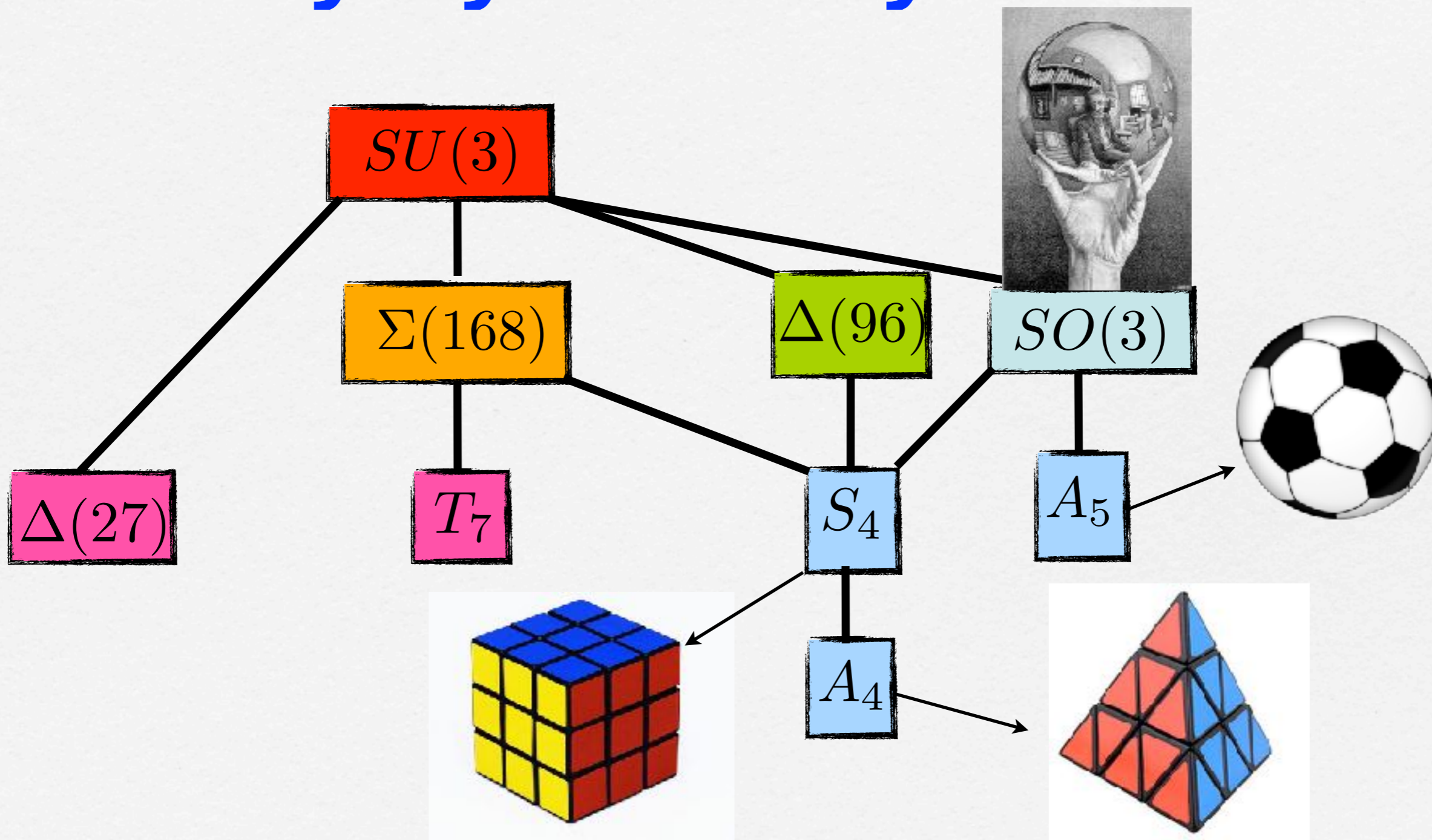
GUTS



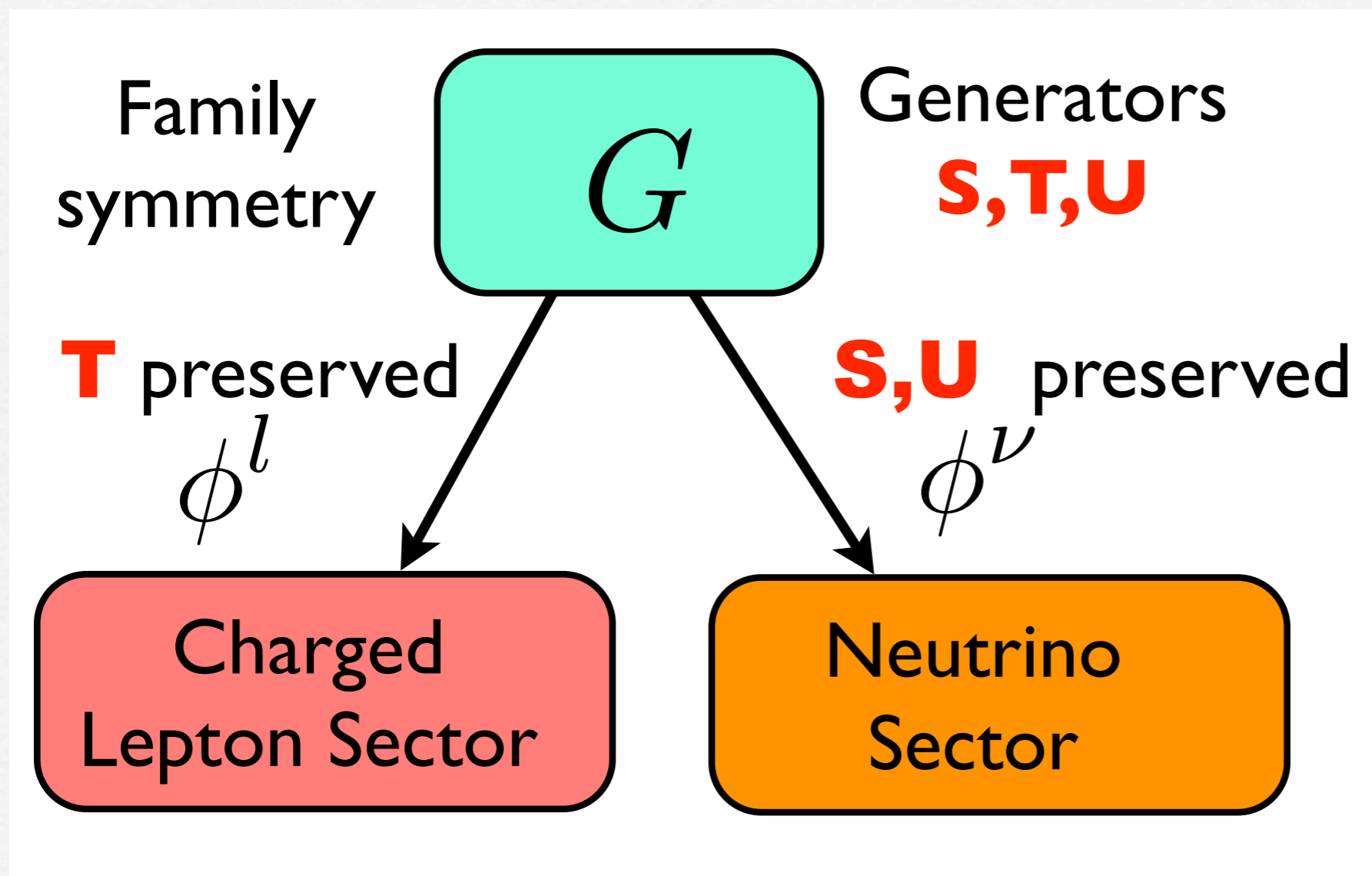
Family Symmetry



Family Symmetry



Direct Models



TBM from S_4

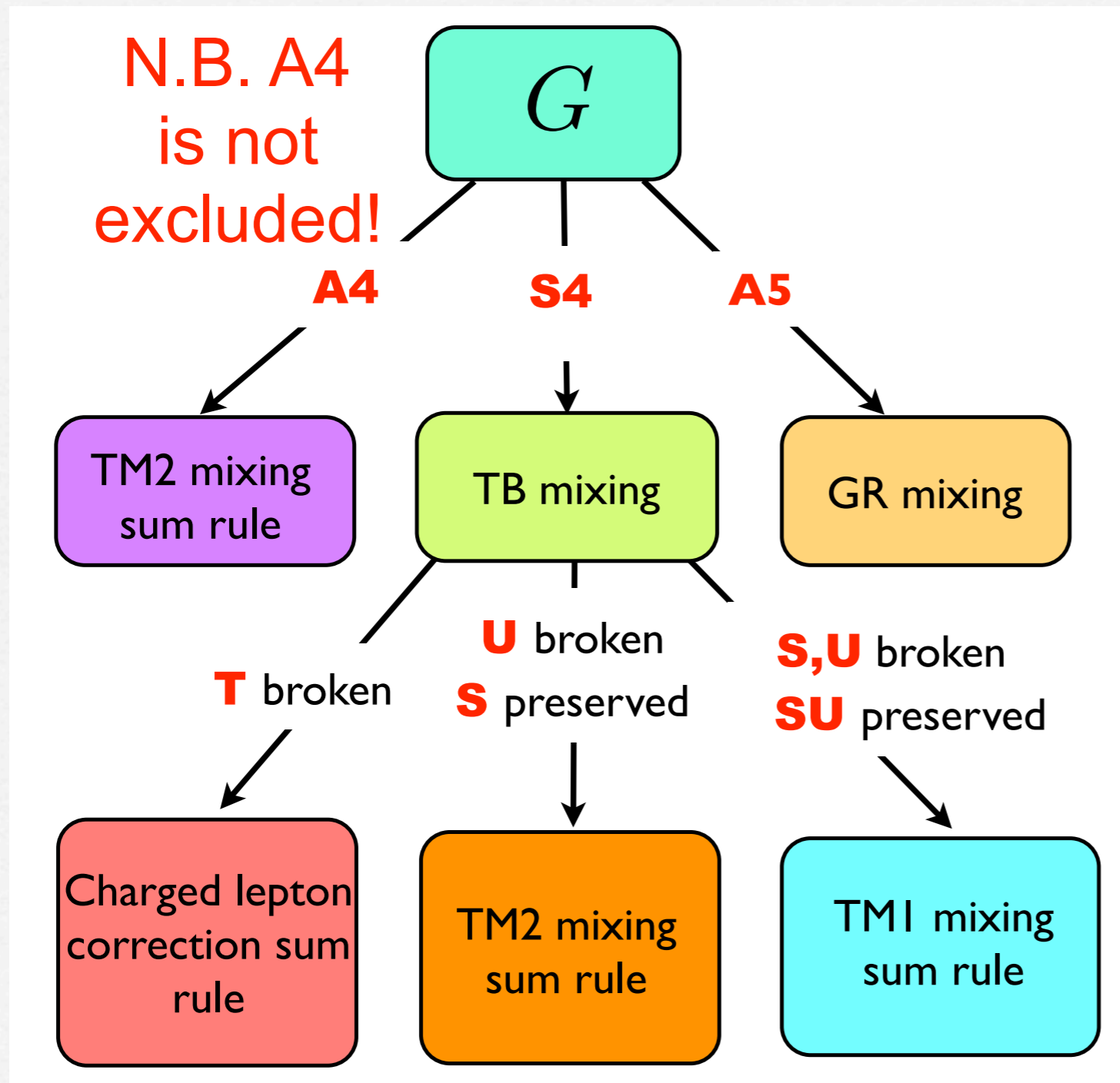
But TBM is excluded

so: $\Delta(6n^2)$

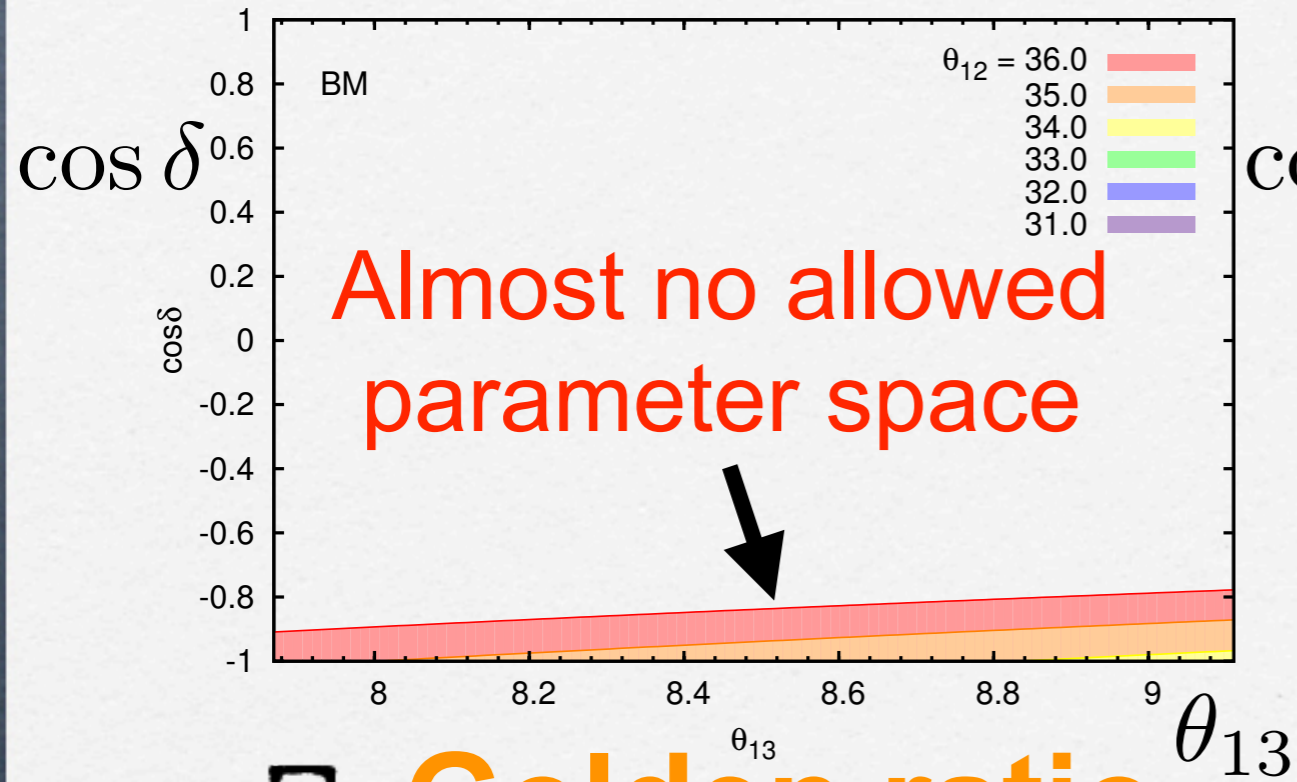
But many people don't like such large groups

Semi-Direct Models

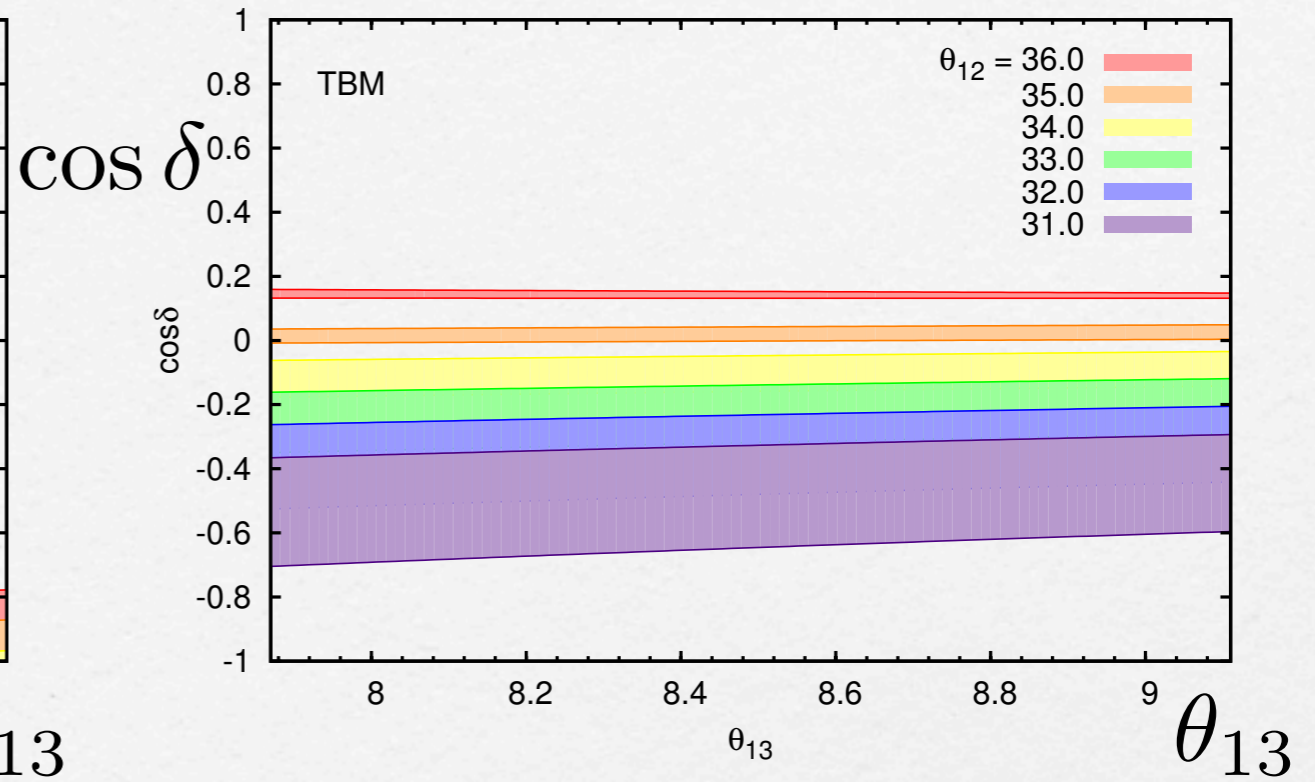
(with small groups)



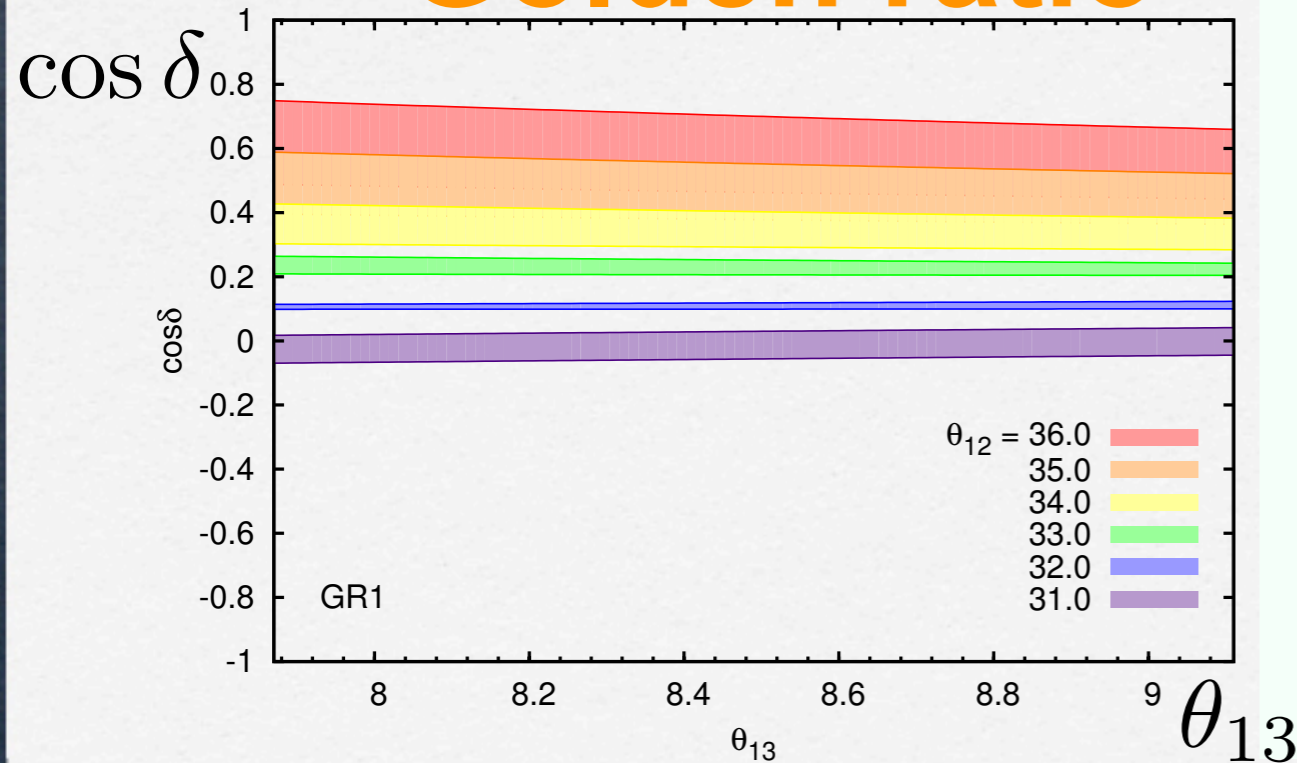
□ Bimaximal



□ Tri-bimaximal



□ Golden ratio



**Solar Sum Rules
(from charged
lepton corrections)**

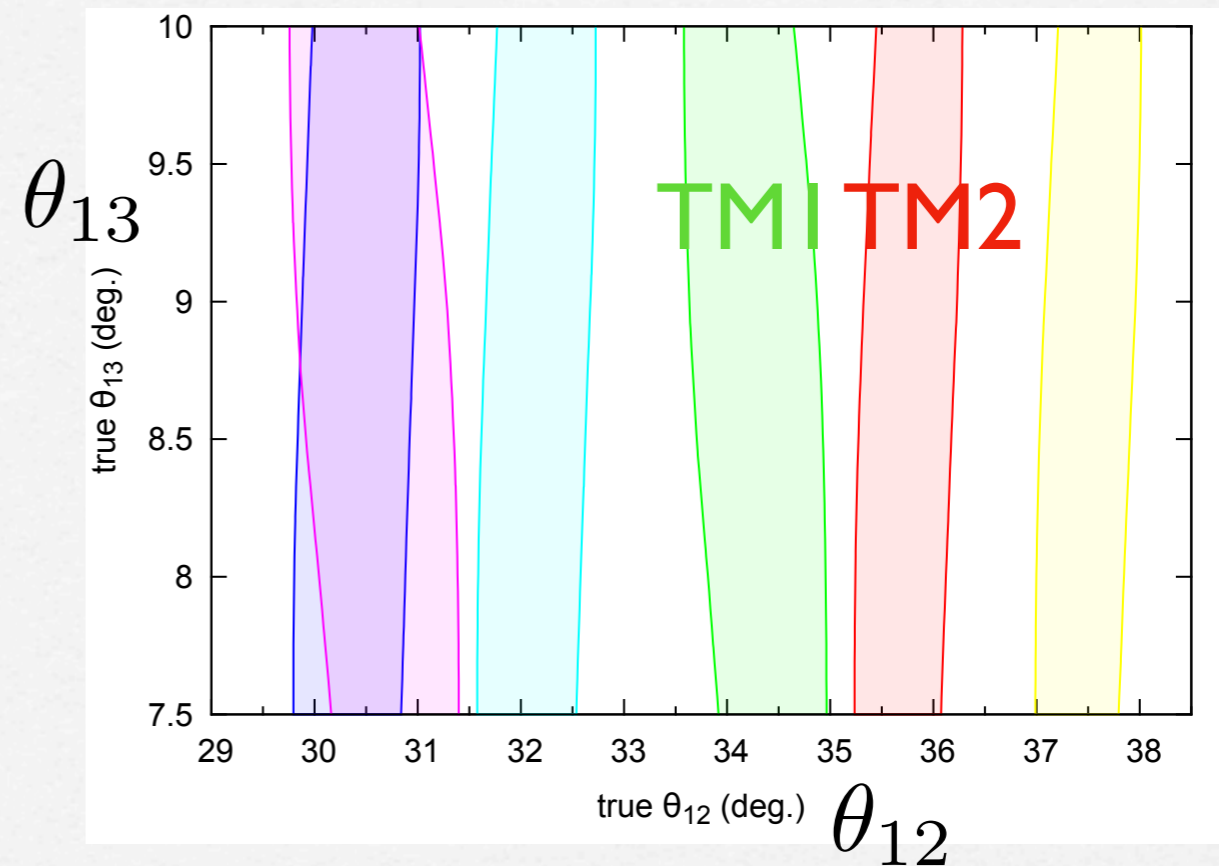
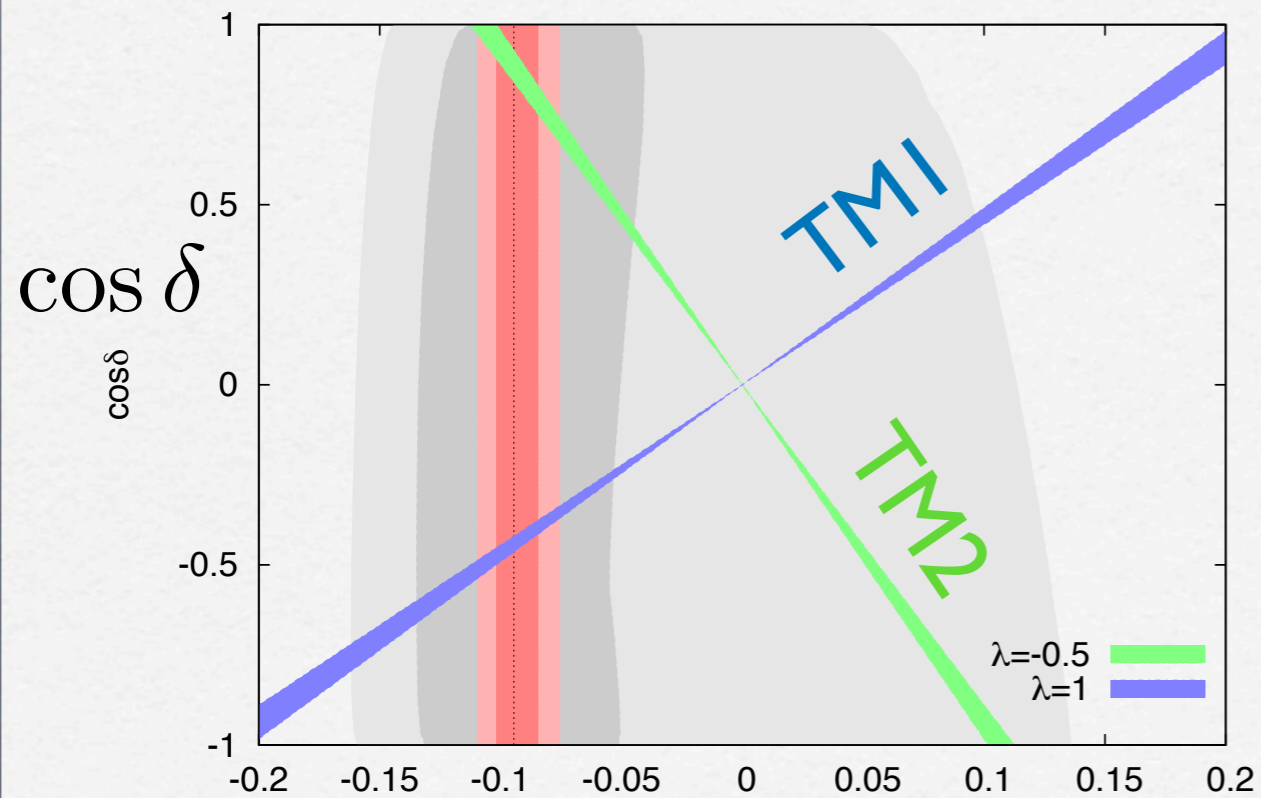
Ballett, SK, Luhn, Pascoli, Schmidt

1410.7573

Atmospheric Sum Rules

| 308.43 | 4

| 406.0308

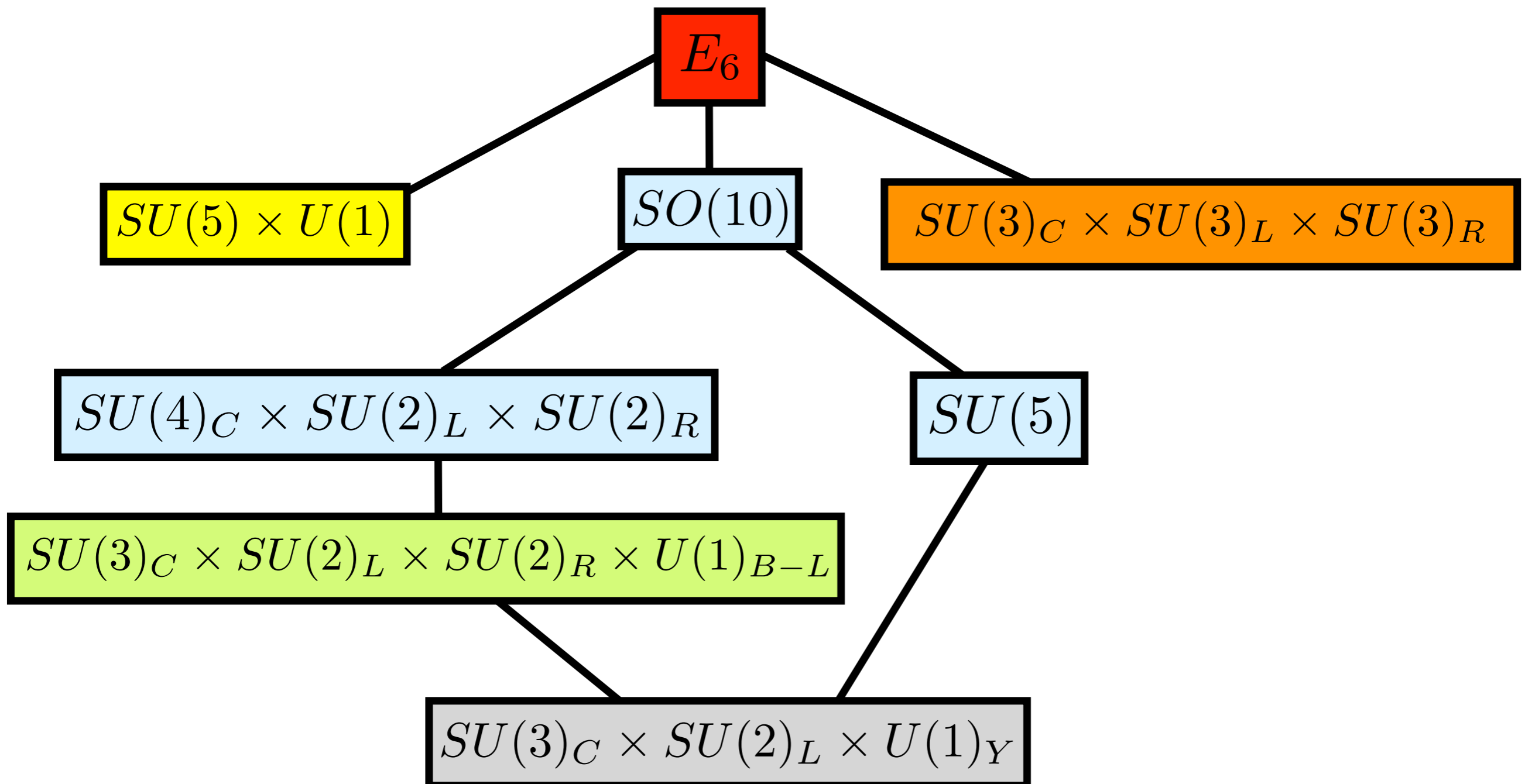


$$\sin \theta_{23} = \frac{1 + a}{\sqrt{2}}$$

\swarrow
a

5 sigma allowed
regions after JUNO

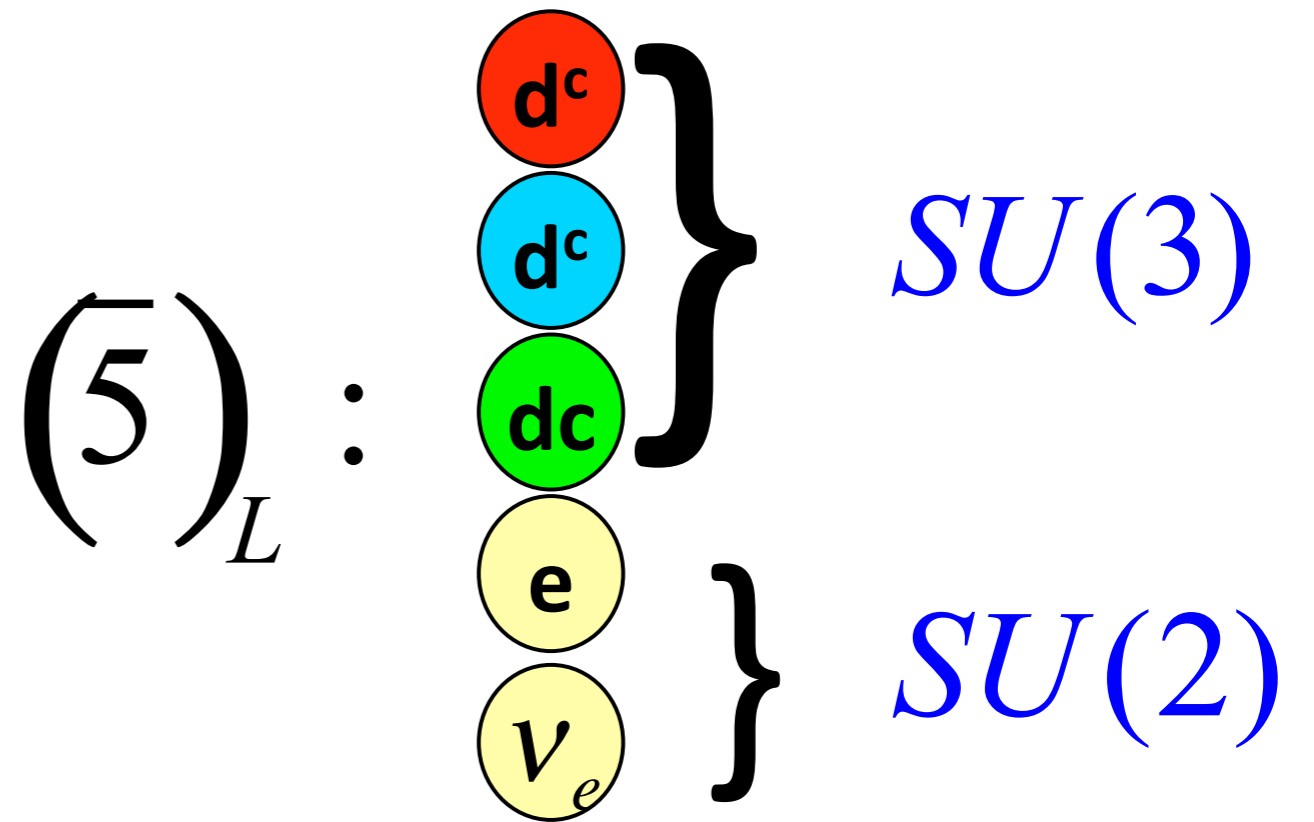
Grand Unified Theories



SU(5) GUT

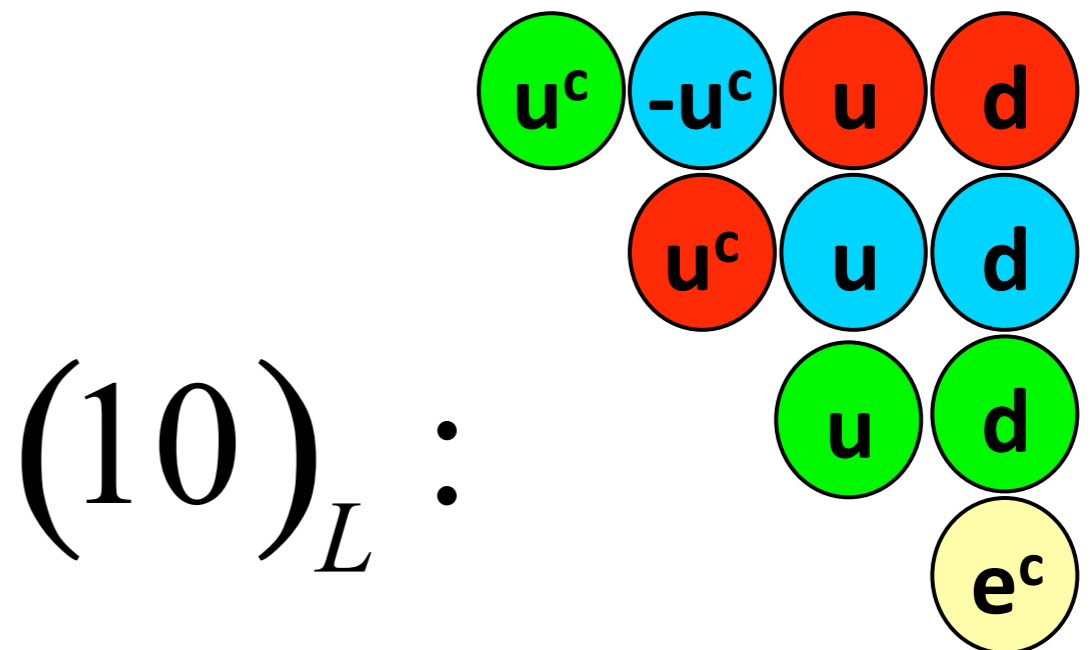
Georgi, Glashow

Right-handed
neutrino is singlet



$$\bar{5} = d^c(\bar{3}, 1, 1/3) \oplus L(1, \bar{2}, -1/2),$$

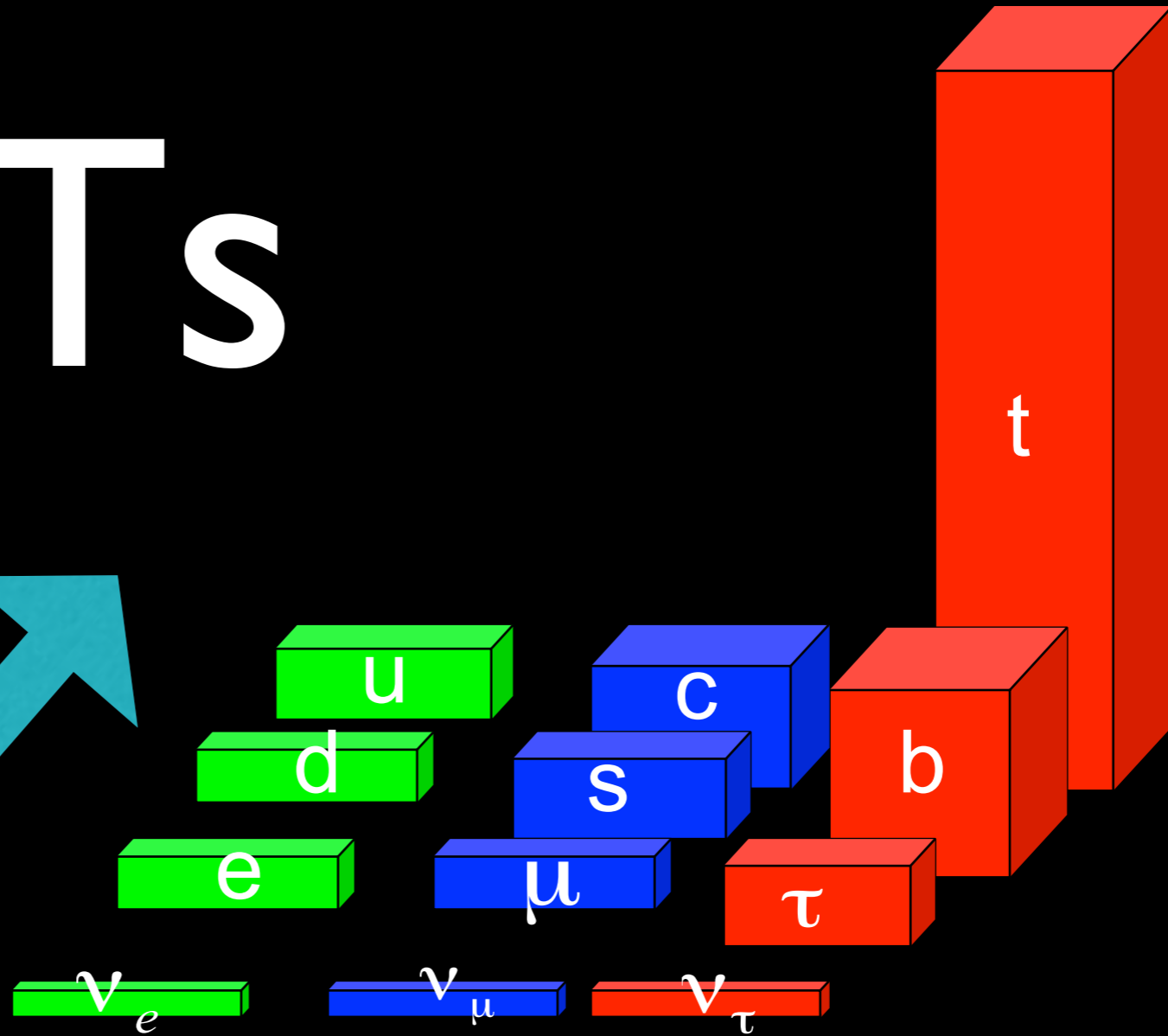
$$10 = u^c(\bar{3}, 1, -2/3) \oplus Q(3, 2, 1/6) \oplus e^c(1, 1, 1),$$



Flavoured GUTs

Björkeröth, de Anda,
de Medeiros Varzielas, SFK

SU(5)



$$F \sim (3, \bar{5})$$

$$T_i \sim (1, 10)$$

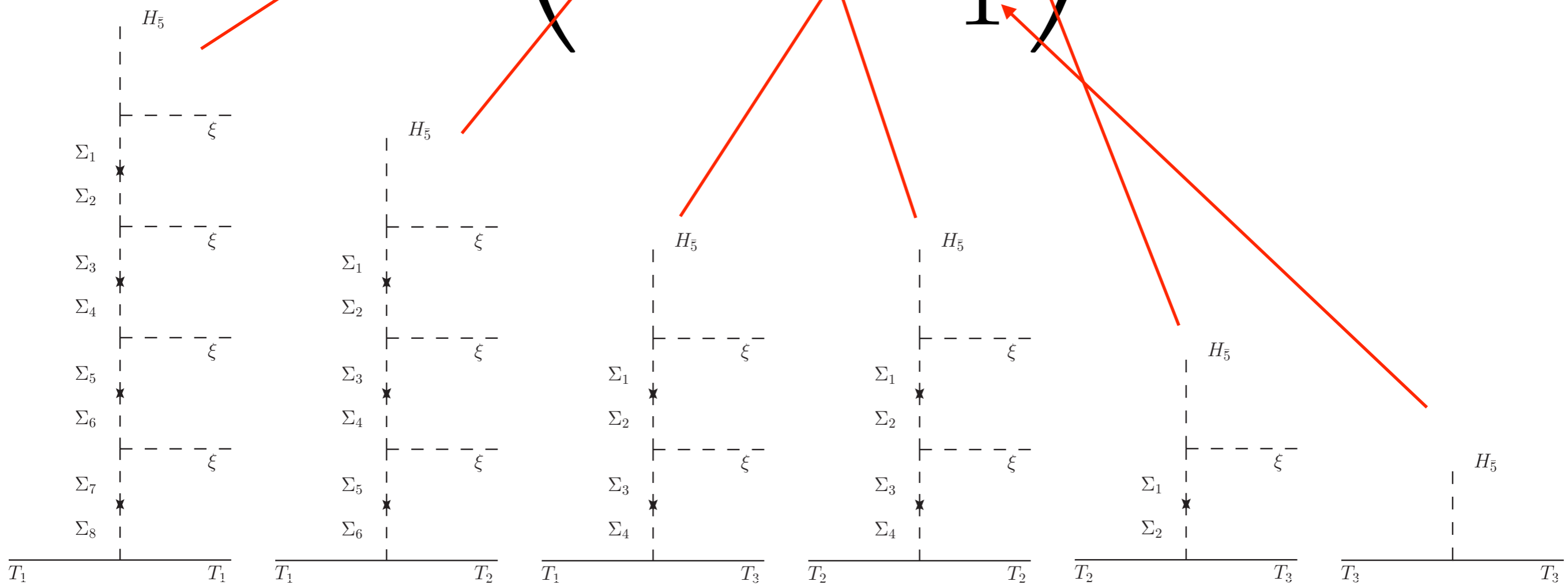
A₄

Up-type quarks

Froggatt-Nielsen

$$\xi \sim = \langle \xi \rangle / M \sim 0.1$$

$$Y_{ij}^u \sim \begin{pmatrix} \xi^4 & & & \\ & \xi^3 & & \\ & & \xi^2 & \\ & & & 1 \end{pmatrix}$$



$$\langle \phi_e \rangle = v_e \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

$$\langle \phi_\mu \rangle = v_\mu \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

$$\langle \phi_\tau \rangle = v_\tau \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

Down-type quarks

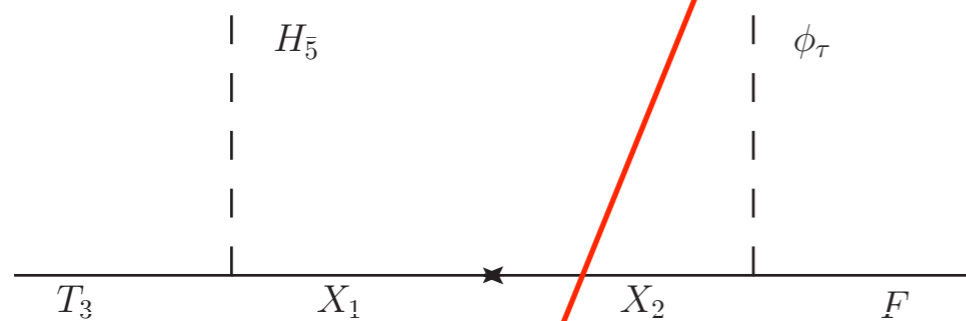


$$Y_{LR}^d \sim Y_{RL}^e \sim$$

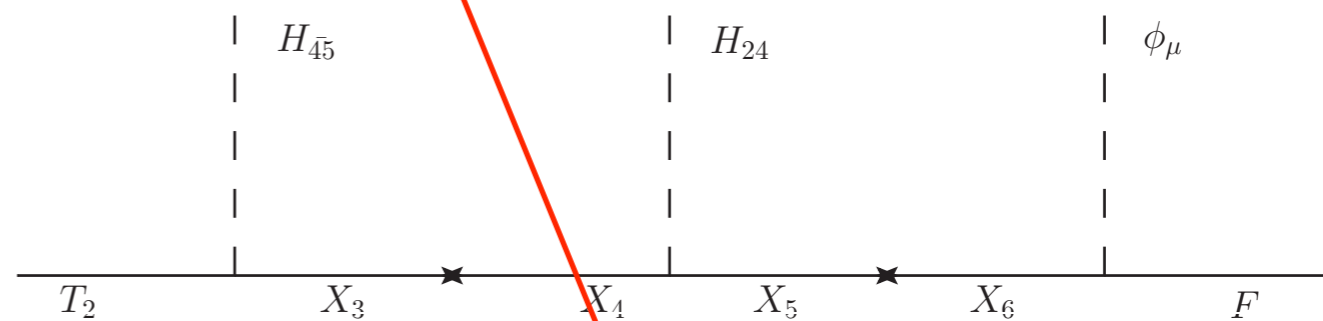


Charged Leptons

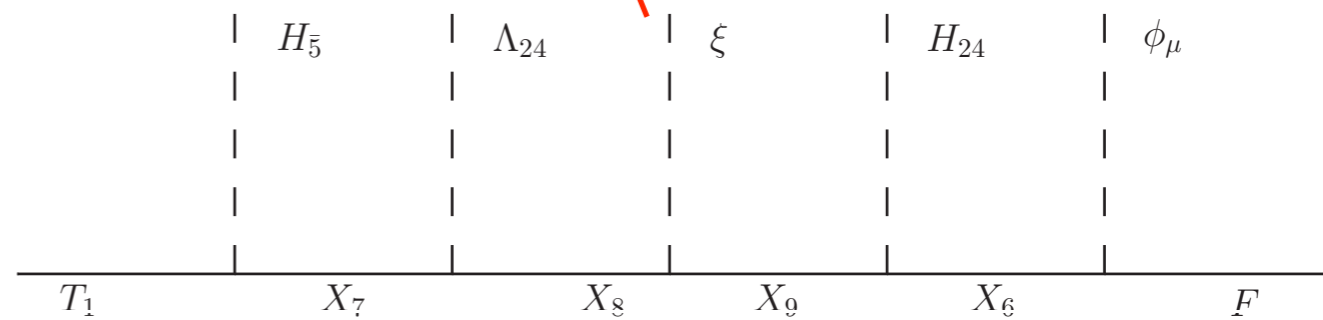
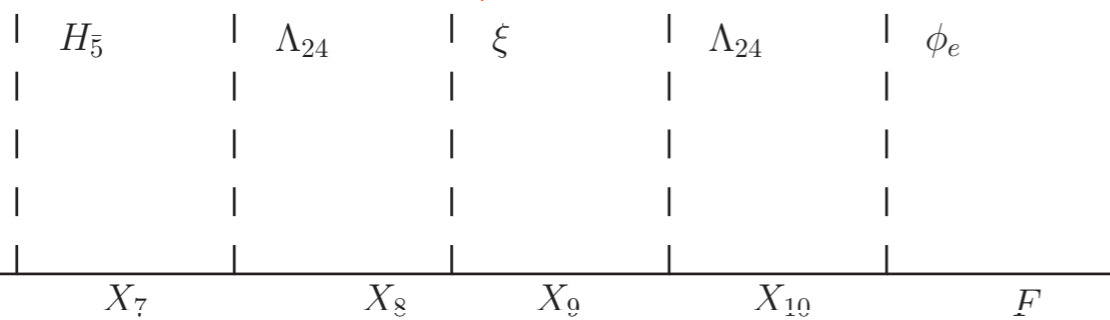
$$\begin{pmatrix} \frac{\langle \xi \rangle v_e}{v_{\Lambda_{24}}^2} & \frac{\langle \xi \rangle v_\mu}{v_{\Lambda_{24}} v_{H_{24}}} & 0 \\ 0 & \frac{v_{H_{24}} v_\mu}{M^2} & 0 \\ 0 & 0 & \frac{v_\tau}{M} \end{pmatrix}$$



(a)



(b)



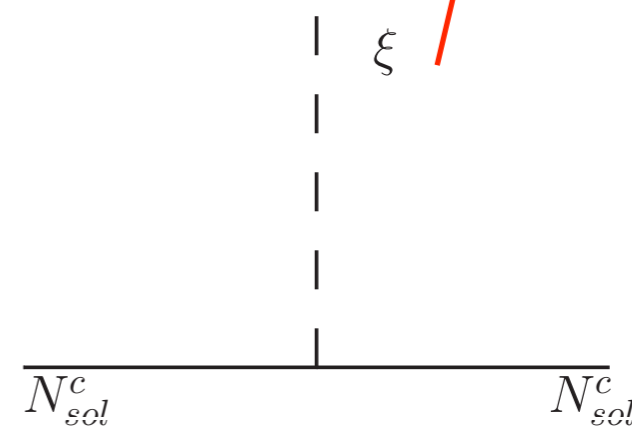
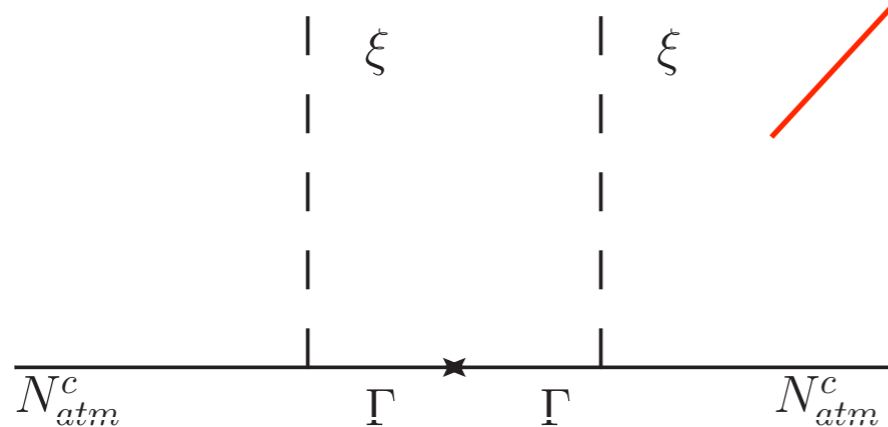
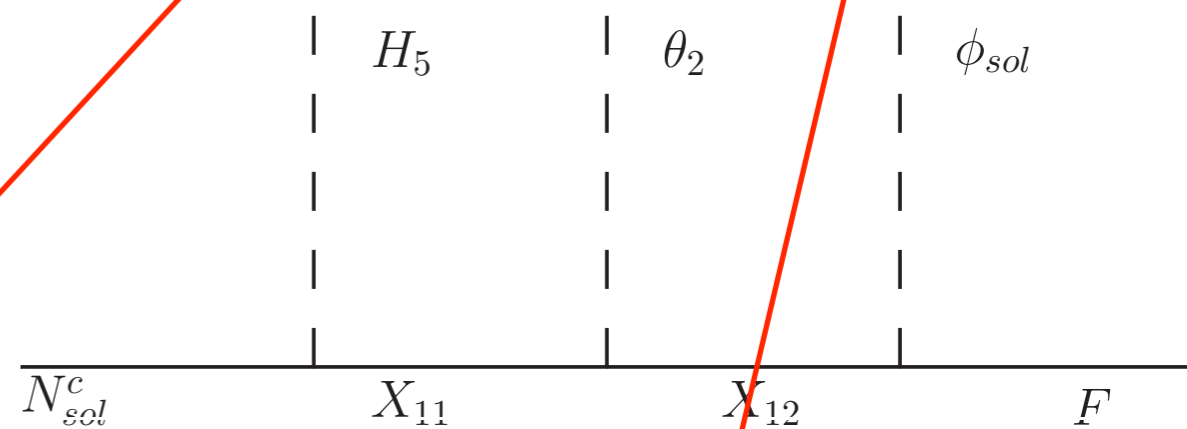
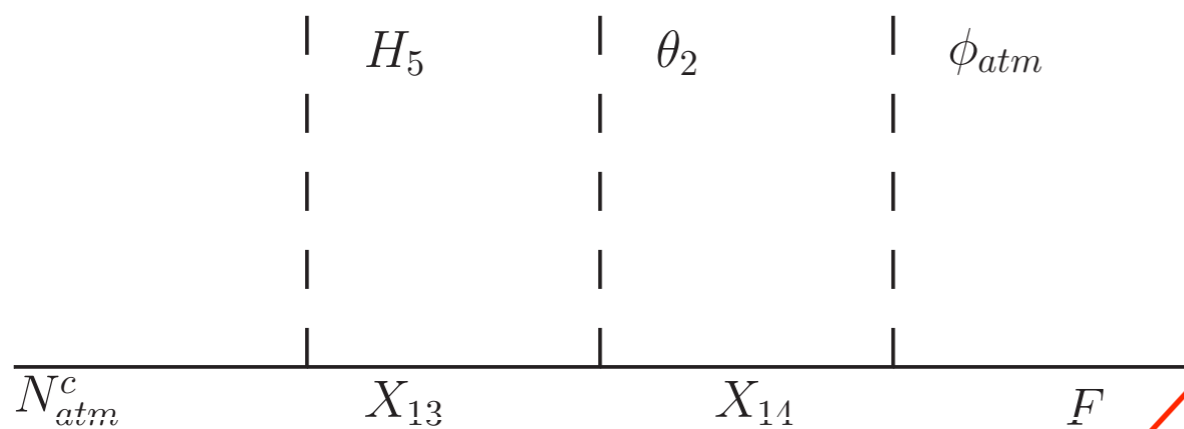
Neutrinos with Littlest Seesaw A

$$\langle \phi_{\text{atm}} \rangle = v_{\text{atm}} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

$$\langle \phi_{\text{sol}} \rangle = v_{\text{sol}} \begin{pmatrix} 1 \\ 3 \\ 1 \end{pmatrix}$$

$$m_D = \begin{pmatrix} 0 & b \\ a & 3b \\ a & b \end{pmatrix}$$

$$M_R = \begin{pmatrix} M_{\text{atm}} & 0 \\ 0 & M_{\text{sol}} \end{pmatrix}$$



Summary of $A_4 \times SU(5)$

- Explains quark mass hierarchies, mixing angles and the CP phase.
- Reproduces Littlest Seesaw predictions (SUSY)
- Near maximal atmospheric mixing, normal hierarchy, $m_1=0$
- Z_9 flavour symmetry fixes the phase η to be $2\pi/3$
- Leptogenesis fixes $M_{\text{atm}} \sim 10^{10}$ GeV
- Renormalisable at GUT scale, $SU(5)$ breaking potential, spontaneously broken CP.
- The MSSM is reproduced with R-parity from discrete Z_4^R .
- Doublet-triplet splitting via the Missing Partner mechanism.
- μ term is generated at the correct scale.
- Proton decay is sufficiently suppressed.
- Solves strong CP problem through the Nelson-Barr mechanism.

Many other possibilities

Mu-Chun

G_{FAM}	G_{GUT}	$SU(2)_L \times U(1)_Y$	$SU(5)$	PS	$SO(10)$
S_3		[29]			[150]
A_4		[36, 49, 51, 62, 151–154]	[155–159]	[66, 159, 160]	
T'			[161]		
S_4		[31, 49, 51, 154, 163]	[164, 165]	[162]	[166]
A_5		[51, 169]	[170]		
T_7		[171, 172]			
$\Delta(27)$		[173]			[174]
$\Delta(96)$		[175, 176]	[177]		[178]
D_N		[179]			
Q_N		[180]			
other		[181]	[182]	[183]	

Conclusions

- Origin of neutrino mass is unknown (\therefore BSM)
- Roadmap of possibilities
- Attractive possibility is Type I seesaw
- Littlest seesaw predicts PMNS with RG corrections in SM large (SUSY small RG corrections, maximal θ_{23})
- Discrete Family Symmetry **NOT EXCLUDED** by θ_{13}
- Discrete Family Symmetry **PREDICTS** Sum Rules
- GUTs treats quarks and leptons on same footing
- Family symmetry x GUTs **unified theory of forces and flavour - in progress...**