

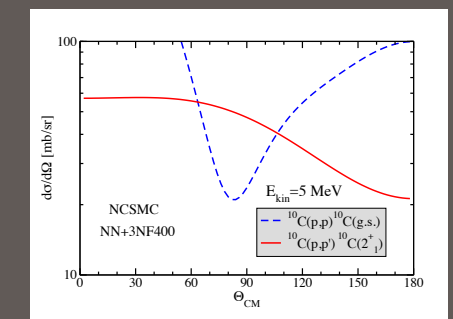
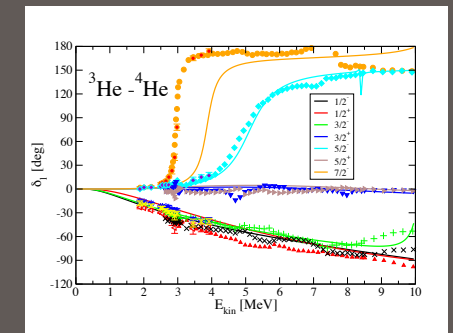
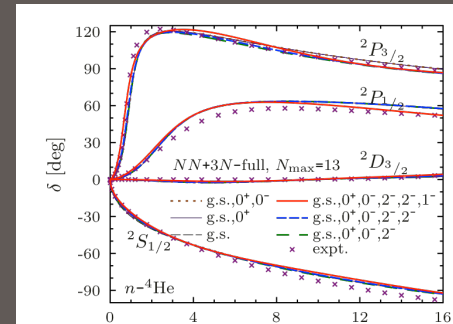
Ab initio treatment of nuclear reactions

International School of Nuclear Physics
 36th Course
 Nuclei in the Laboratory and in the Cosmos
 Erice-Sicily
 September 16-24, 2014

Petr Navratil | TRIUMF

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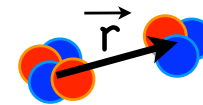
- *Ab initio* calculations in nuclear physics
 - Chiral NN and 3N interactions

- No-core shell model



- Including the continuum with the resonating group method

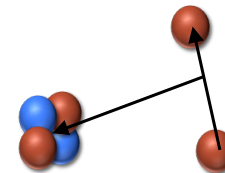
- NCSM/RGM: n - ^4He , $^3\text{He}(d,p)^4\text{He}$, $^7\text{Be}(p,\gamma)^8\text{B}$



- NCSMC: $^5,7\text{He}$, ^3He - ^4He , $^3\text{He}(\alpha,\gamma)^7\text{Be}$, ^{11}N (p - ^{10}C)



- Three-body cluster dynamics: ^6He



- Outlook

Ab initio Nuclear Structure & Reaction approaches

Ab initio

- ✧ All nucleons are active
- ✧ Exact Pauli principle
- ✧ Realistic inter-nucleon interactions
 - ✧ Accurate description of NN (and 3N) data
- ✧ Controllable approximations

Chiral Effective Field Theory

- **First principles for Nuclear Physics:**

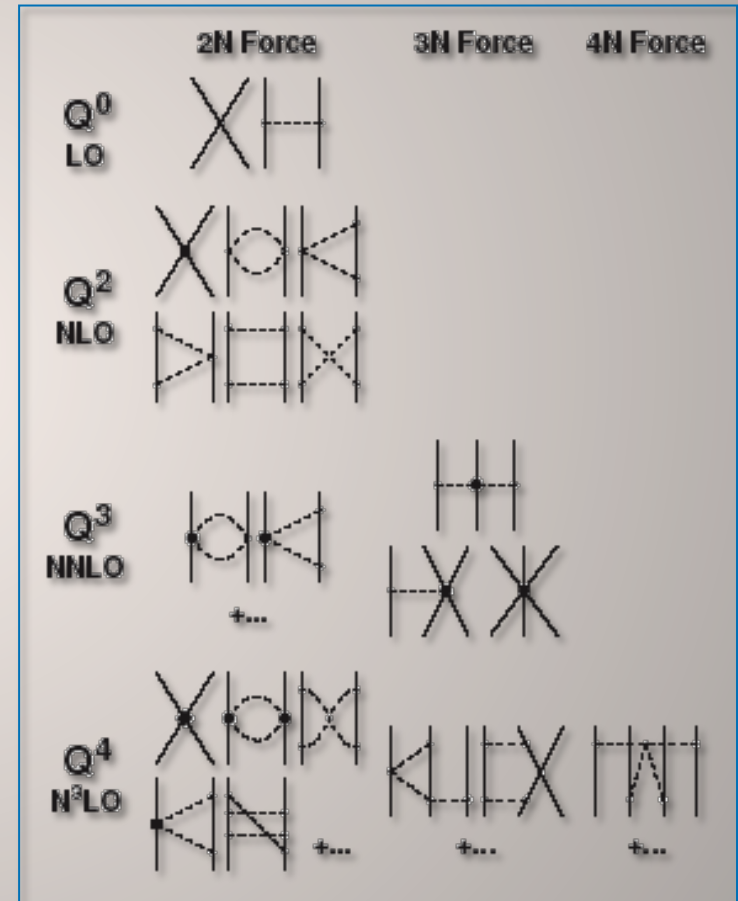
QCD

- Non-perturbative at low energies
- Lattice QCD in the future

- ***For now a good place to start:***

- **Inter-nucleon forces from chiral effective field theory**

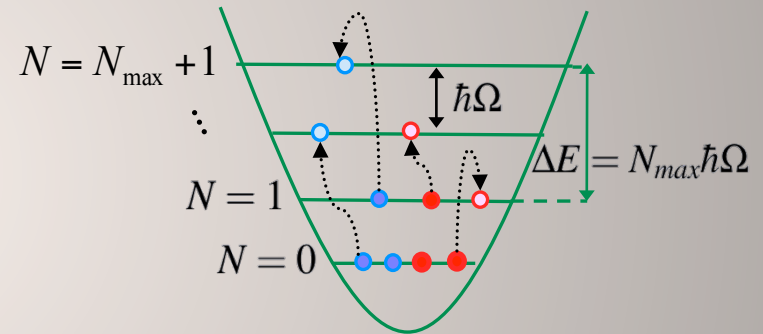
- Based on the symmetries of QCD
 - Chiral symmetry of QCD ($m_u \approx m_d \approx 0$), spontaneously broken with pion as the Goldstone boson
 - Degrees of freedom: nucleons + pions
- Systematic low-momentum expansion to a given order (Q/Λ_χ)
- Hierarchy
- Consistency
- Low energy constants (LEC)
 - Fitted to data
 - Can be calculated by lattice QCD



$\Lambda_\chi \sim 1 \text{ GeV}$:
Chiral symmetry breaking scale

The *ab initio* no-core shell model (NCSM)

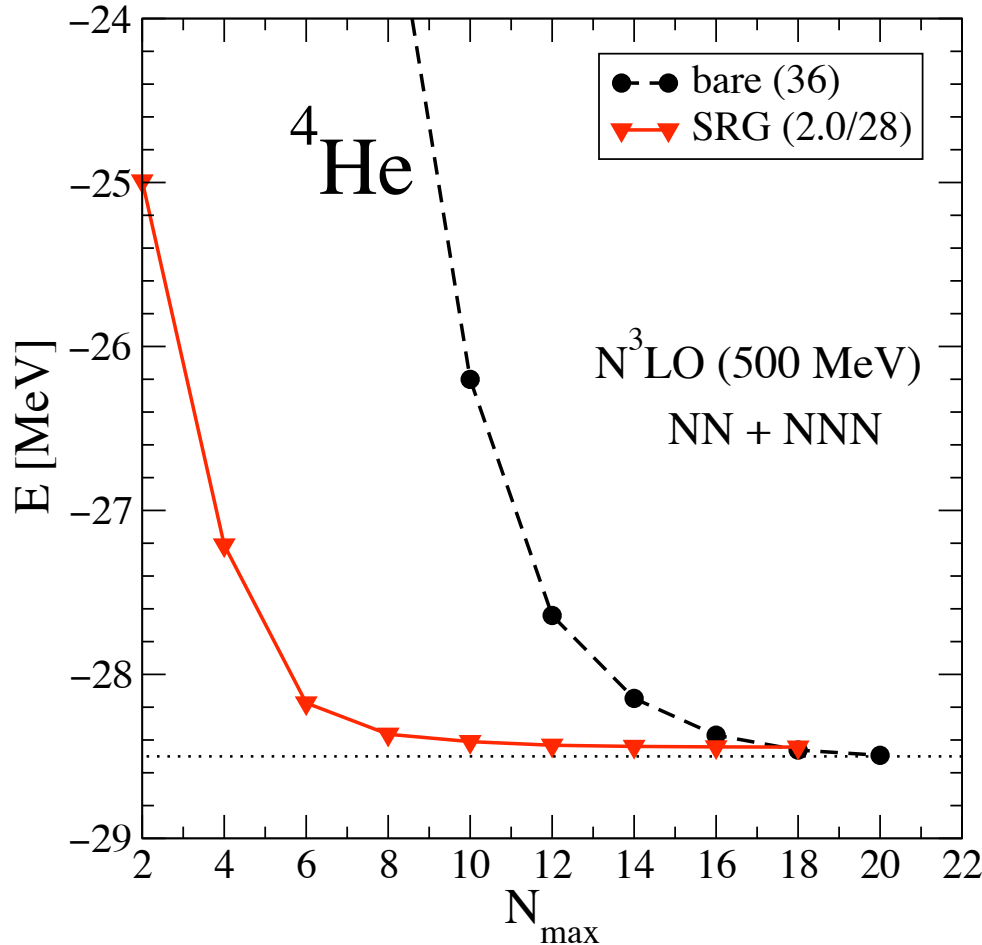
- The NCSM is a technique for the solution of the A -nucleon bound-state problem
- Realistic nuclear Hamiltonian
 - High-precision nucleon-nucleon potentials
 - Three-nucleon interactions
- Finite harmonic oscillator (HO) basis
 - A -nucleon HO basis states
 - complete $N_{\max} \hbar\Omega$ model space
- Acceleration of convergence by a **sequence of unitary transformations in momentum space**
 - Similarity-Renormalization-Group (SRG) evolved NN(+NNN) potential



$$\Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^A$$

Convergence to exact solution with increasing N_{\max} for bound states. No coupling to continuum.

Calculations with chiral 3N: SRG renormalization needed



Chiral $N^3\text{LO}$ NN plus $N^2\text{LO}$ NNN potential

- Bare interaction (black line)
 - Strong short-range correlations
 - Large basis needed
- SRG evolved effective interaction (red line)
 - Unitary transformation

$$H_\alpha = U_\alpha H U_\alpha^\dagger \Rightarrow \frac{dH_\alpha}{d\alpha} = [[T, H_\alpha], H_\alpha] \quad (\alpha = 1/\lambda^4)$$

- Two- plus *three*-body components, *four*-body omitted
- Softens the interaction
 - Smaller basis sufficient

PRL 103, 082501 (2009)

PHYSICAL REVIEW LETTERS

week ending
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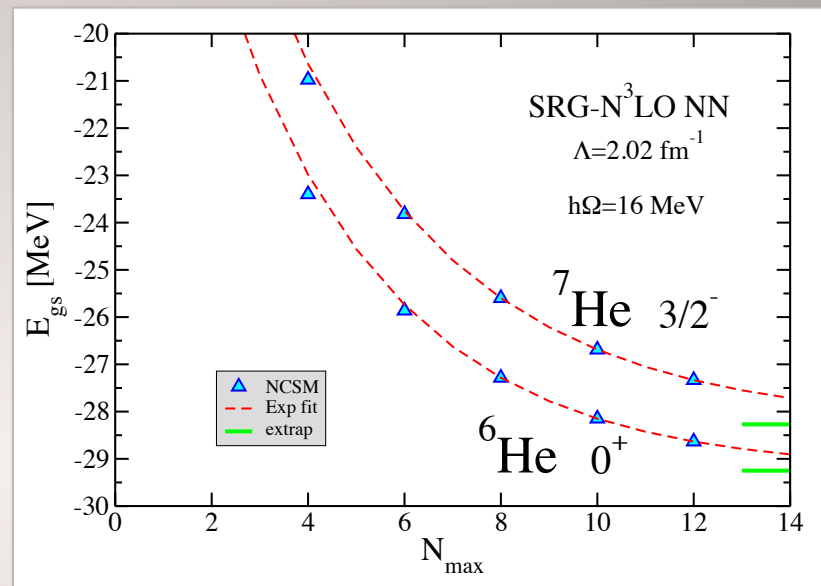
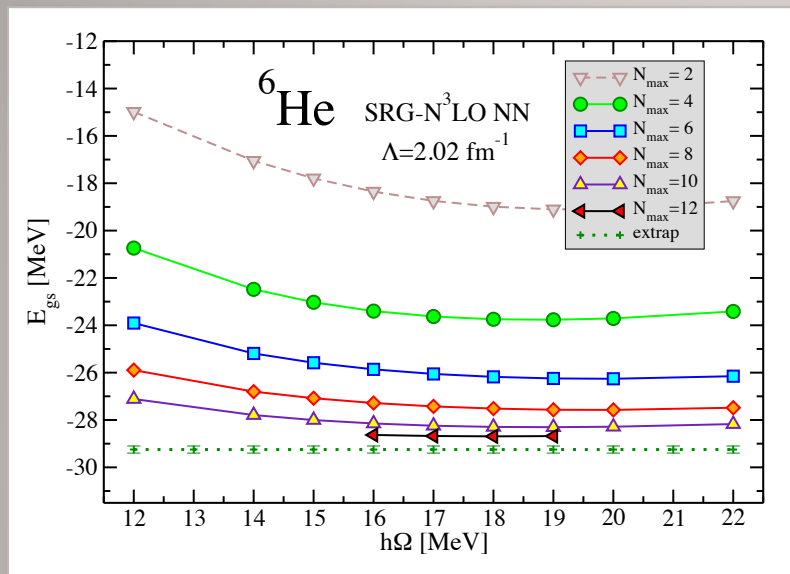
Evolution of Nuclear Many-Body Forces with the Similarity Renormalization Group

E. D. Jurgenson,¹ P. Navrátil,² and R. J. Furnstahl¹

$A=3$ binding energy and half life constraint

$c_D = -0.2$, $c_E = -0.205$, $\Lambda = 500$ MeV

NCSM calculations of ${}^6\text{He}$ and ${}^7\text{He}$ g.s. energies

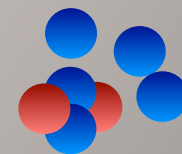


- Soft SRG evolved NN potential
- ✓ N_{max} convergence OK
- ✓ Extrapolation feasible

| $E_{\text{g.s.}}$ [MeV] | ${}^4\text{He}$ | ${}^6\text{He}$ | ${}^7\text{He}$ |
|--------------------------|-----------------|-----------------|-----------------|
| NCSM $N_{\text{max}}=12$ | -28.05 | -28.63 | -27.33 |
| NCSM extrap. | -28.22(1) | -29.25(15) | -28.27(25) |
| Expt. | -28.30 | -29.27 | -28.84 |

- ${}^7\text{He}$ unbound


- Expt. $E_{\text{th}}=+0.430(3) \text{ MeV}$: NCSM $E_{\text{th}} \approx +1 \text{ MeV}$
- Expt. width $0.182(5) \text{ MeV}$: **NCSM no information about the width**

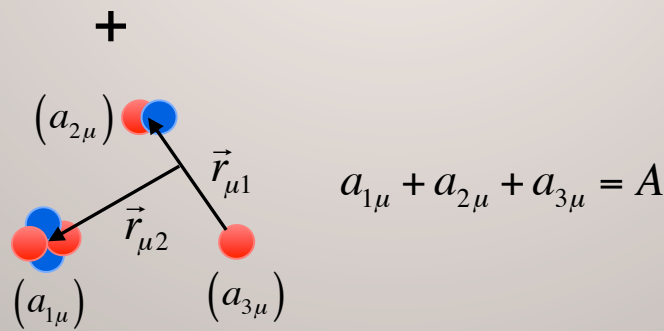
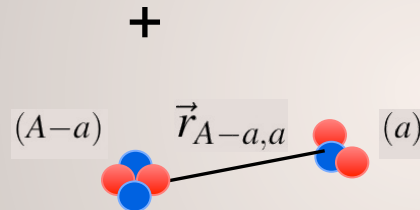


${}^7\text{He}$ unbound

Extending no-core shell model beyond bound states

Include more many nucleon correlations...

NCSM \longrightarrow  $\Psi^A = \sum_{N=0}^{N_{\max}} \sum_i c_{Ni} \Phi_{Ni}^A$



+

...

...using the Resonating Group Method (RGM) ideas

Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left(\left\{ \vec{\xi}_{1\kappa} \right\} \right) \longrightarrow \begin{array}{c} (a_{1\kappa} = A) \\ \phi_{1\kappa} \end{array} \\
 & + \sum_{\nu} \hat{A}_{\nu} \phi_{1\nu} \left(\left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left(\left\{ \vec{\xi}_{2\nu} \right\} \right) g_{\nu}(\vec{r}_{\nu}) \longrightarrow \begin{array}{c} \phi_{1\nu} \quad \vec{r}_{\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \\ a_{1\nu} + a_{2\nu} = A \end{array} \\
 & + \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu} \left(\left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left(\left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left(\left\{ \vec{\xi}_{3\mu} \right\} \right) G_{\mu}(\vec{r}_{\mu 1}, \vec{r}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{2\mu} \\ (a_{2\mu}) \quad \vec{r}_{\mu 1} \\ \phi_{1\mu} \quad \vec{r}_{\mu 2} \quad \phi_{3\mu} \\ (a_{1\mu}) \quad (a_{3\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array} \\
 & + \dots
 \end{aligned}$$

Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa}(\{\vec{\xi}_{1\kappa}\}) \longrightarrow (a_{1\kappa} = A) \phi_{1\kappa} \\
 & + \sum_{\nu} \hat{A}_{\nu} \phi_{1\nu}(\{\vec{\xi}_{1\nu}\}) \phi_{2\nu}(\{\vec{\xi}_{2\nu}\}) g_{\nu}(\vec{r}_{\nu}) \longrightarrow \begin{array}{c} \phi_{1\nu} \quad \vec{r}_{\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \\ a_{1\nu} + a_{2\nu} = A \end{array} \\
 & + \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu}(\{\vec{\xi}_{1\mu}\}) \phi_{2\mu}(\{\vec{\xi}_{2\mu}\}) \phi_{3\mu}(\{\vec{\xi}_{3\mu}\}) G_{\mu}(\vec{r}_{\mu 1}, \vec{r}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{2\mu} \\ (a_{2\mu}) \\ \vec{r}_{\mu 1} \\ \phi_{1\mu} \quad \phi_{3\mu} \\ (a_{1\mu}) \quad (a_{3\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array} \\
 & + \dots
 \end{aligned}$$

- ϕ : antisymmetric cluster wave functions

- $\{\xi\}$: Translationally invariant internal coordinates

(Jacobi relative coordinates)

- These are known, they are an input

Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left(\left\{ \vec{\xi}_{1\kappa} \right\} \right) \longrightarrow \begin{array}{c} (a_{1\kappa} = A) \\ \phi_{1\kappa} \end{array} \\
 & + \sum_{\nu} \hat{A}_{\nu} \phi_{1\nu} \left(\left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left(\left\{ \vec{\xi}_{2\nu} \right\} \right) g_{\nu}(\vec{r}_{\nu}) \longrightarrow \begin{array}{c} \phi_{1\nu} \quad \vec{r}_{\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \\ a_{1\nu} + a_{2\nu} = A \end{array} \\
 & + \sum_{\mu} \hat{A}_{\mu} \phi_{1\mu} \left(\left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left(\left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left(\left\{ \vec{\xi}_{3\mu} \right\} \right) G_{\mu}(\vec{R}_{\mu 1}, \vec{R}_{\mu 2}) \longrightarrow \begin{array}{c} \phi_{2\mu} \\ (a_{2\mu}) \\ \vec{r}_{\mu 1} \\ \phi_{1\mu} \quad \vec{r}_{\mu 2} \quad \phi_{3\mu} \\ (a_{1\mu}) \quad (a_{3\mu}) \\ a_{1\mu} + a_{2\mu} + a_{3\mu} = A \end{array} \\
 & + \dots
 \end{aligned}$$

- $\hat{A}_{\nu}, \hat{A}_{\mu}$: intercluster antisymmetrizers

- Antisymmetrize the wave function for exchanges of nucleons between clusters

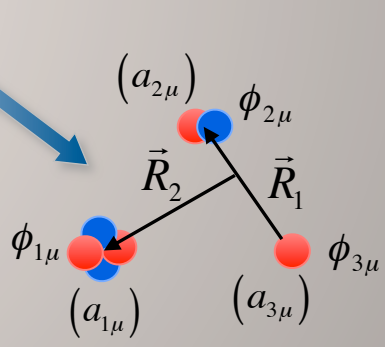
- Example:

$$a_{1\nu} = A - 1, \quad a_{2\nu} = 1 \quad \Rightarrow \quad \hat{A}_{\nu} = \frac{1}{\sqrt{A}} \left[1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \right]$$

Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left(\left\{ \vec{\xi}_{1\kappa} \right\} \right) \quad \longrightarrow \quad (a_{1\kappa} = A) \quad \phi_{1\kappa} \\
 & + \sum_{\nu} \int g_{\nu}(\vec{r}) \hat{A}_{\nu} \left[\phi_{1\nu} \left(\left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left(\left\{ \vec{\xi}_{2\nu} \right\} \right) \delta(\vec{r} - \vec{r}_{\nu}) \right] d\vec{r} \quad \longrightarrow \quad \begin{array}{c} a_{1\nu} + a_{2\nu} = A \\ \phi_{1\nu} \quad \vec{r} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \end{array} \\
 & + \sum_{\mu} \iint G_{\mu}(\vec{R}_1, \vec{R}_2) \hat{A}_{\mu} \left[\phi_{1\mu} \left(\left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left(\left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left(\left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2 \\
 & + \dots
 \end{aligned}$$

- c , g and G : discrete and continuous linear variational amplitudes
 - Unknowns to be determined



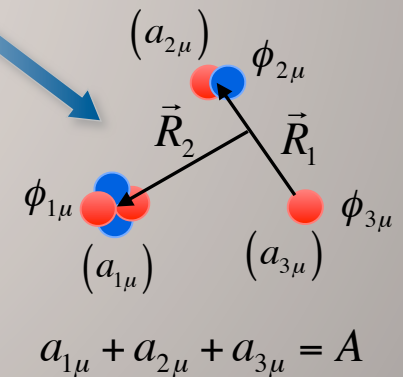
$$a_{1\mu} + a_{2\mu} + a_{3\mu} = A$$

Trial function: generalized cluster wave function

$$\begin{aligned}
 \psi^{(A)} = & \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left(\left\{ \vec{\xi}_{1\kappa} \right\} \right) \quad \longrightarrow \quad (a_{1\kappa} = A) \quad \phi_{1\kappa} \\
 & + \sum_{\nu} \int g_{\nu}(\vec{r}) \hat{A}_{\nu} \left[\phi_{1\nu} \left(\left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left(\left\{ \vec{\xi}_{2\nu} \right\} \right) \delta(\vec{r} - \vec{r}_{\nu}) \right] d\vec{r} \quad \longrightarrow \quad a_{1\nu} + a_{2\nu} = A \\
 & + \sum_{\mu} \iint G_{\mu}(\vec{R}_1, \vec{R}_2) \hat{A}_{\mu} \left[\phi_{1\mu} \left(\left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left(\left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left(\left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2 \\
 & + \dots
 \end{aligned}$$

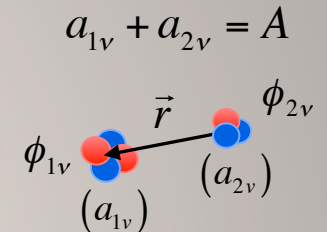
- Discrete and continuous set of basis functions

- Non-orthogonal
- Over-complete



Binary cluster wave function

$$\psi^{(A)} = \sum_{\kappa} c_{\kappa} \phi_{1\kappa} \left(\left\{ \vec{\xi}_{1\kappa} \right\} \right)$$

$$+ \sum_{\nu} \int g_{\nu}(\vec{r}) \hat{A}_{\nu} \left[\phi_{1\nu} \left(\left\{ \vec{\xi}_{1\nu} \right\} \right) \phi_{2\nu} \left(\left\{ \vec{\xi}_{2\nu} \right\} \right) \delta(\vec{r} - \vec{r}_{\nu}) \right] d\vec{r} \longrightarrow \begin{array}{c} a_{1\nu} + a_{2\nu} = A \\ \phi_{1\nu} \quad \phi_{2\nu} \\ (a_{1\nu}) \quad (a_{2\nu}) \\ \vec{r} \end{array}$$


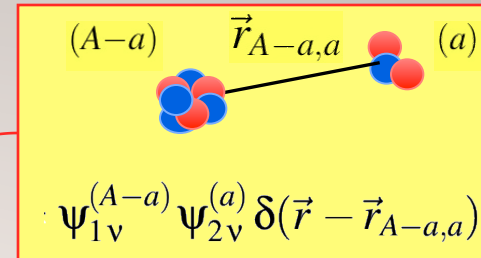
$$+ \sum_{\mu} \iint G_{\mu}(\vec{R}_1, \vec{R}_2) \hat{A}_{\mu} \left[\phi_{1\mu} \left(\left\{ \vec{\xi}_{1\mu} \right\} \right) \phi_{2\mu} \left(\left\{ \vec{\xi}_{2\mu} \right\} \right) \phi_{3\mu} \left(\left\{ \vec{\xi}_{3\mu} \right\} \right) \delta(\vec{R}_1 - \vec{R}_{\mu 1}) \delta(\vec{R}_2 - \vec{R}_{\mu 2}) \right] d\vec{R}_1 d\vec{R}_2$$

+ ...

- In practice: function space limited by using relatively simple forms of Ψ chosen according to physical intuition and energetical arguments
 - Most common: expansion over binary-cluster basis

The *ab initio* NCSM/RGM in a snapshot

- Ansatz: $\Psi^{(A)} = \sum_{\mathbf{v}} \int d\vec{r} \phi_{\mathbf{v}}(\vec{r}) \hat{\mathcal{A}} \Phi_{\mathbf{v}\vec{r}}^{(A-a,a)}$



eigenstates of $H_{(A-a)}$ and $H_{(a)}$ in the *ab initio* NCSM basis

- Many-body Schrödinger equation:

$$H\Psi^{(A)} = E\Psi^{(A)}$$

$$T_{\text{rel}}(r) + \mathcal{V}_{\text{rel}} + \bar{V}_{\text{Coul}}(r) + H_{(A-a)} + H_{(a)}$$

$$\sum_{\mathbf{v}} \int d\vec{r} \left[\mathcal{H}_{\mu\mathbf{v}}^{(A-a,a)}(\vec{r}', \vec{r}) - E \mathcal{N}_{\mu\mathbf{v}}^{(A-a,a)}(\vec{r}', \vec{r}) \right] \phi_{\mathbf{v}}(\vec{r}) = 0$$

$$\langle \Phi_{\mu\vec{r}'}^{(A-a,a)} | \hat{\mathcal{A}} H \hat{\mathcal{A}} | \Phi_{\mathbf{v}\vec{r}}^{(A-a,a)} \rangle$$

Hamiltonian kernel

$$\langle \Phi_{\mu\vec{r}'}^{(A-a,a)} | \hat{\mathcal{A}}^2 | \Phi_{\mathbf{v}\vec{r}}^{(A-a,a)} \rangle$$

Norm kernel

realistic nuclear Hamiltonian

Norm kernel (Pauli principle)

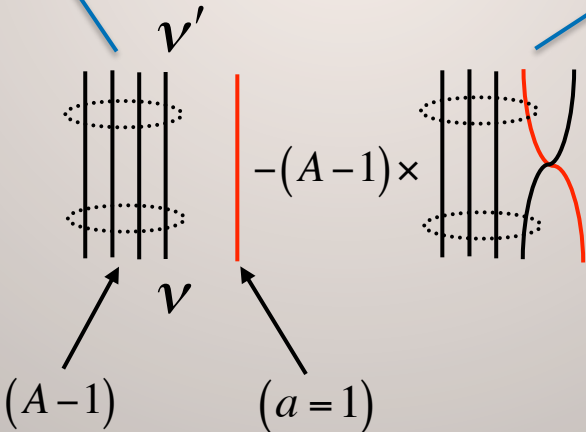
Single-nucleon projectile

$$\langle \Phi_{v'r'}^{J\pi T} | \hat{A}_{v'} \hat{A}_v | \Phi_{vr}^{J\pi T} \rangle = \left\langle \begin{array}{c} (A-1) \\ \text{red, blue} \\ \text{red} \\ r' \quad (a'=1) \end{array} \middle| 1 - \sum_{i=1}^{A-1} \hat{P}_{iA} \middle| \begin{array}{c} (A-1) \\ \text{red, blue} \\ \text{red} \\ r \quad (a=1) \end{array} \right\rangle$$

$$N_{v'v}^{J\pi T}(r', r) = \delta_{v'v} \frac{\delta(r' - r)}{r'r} - (A-1) \sum_{n'n} R_{n'\ell'}(r') R_{n\ell}(r) \langle \Phi_{v'n'}^{J\pi T} | \hat{P}_{A-1,A} | \Phi_{vn}^{J\pi T} \rangle$$

$$\text{SD} \langle \psi_{\mu_1}^{(A-1)} | a^+ a | \psi_{\nu_1}^{(A-1)} \rangle_{\text{SD}}$$

Direct term:
Treated exactly!
(in the full space)

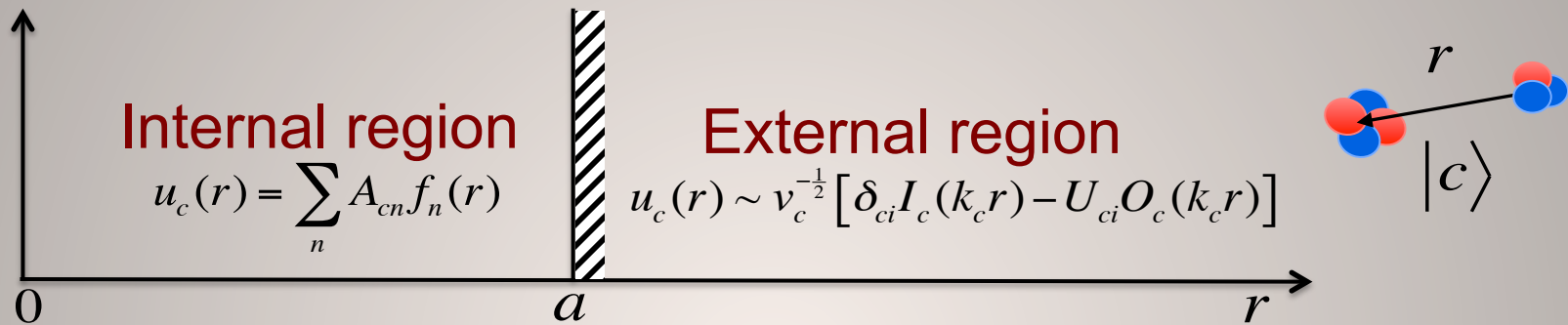


Exchange term:
Obtained in the model space!
(Many-body correction due to
the exchange part of the inter-
cluster antisymmetrizer)

$$\frac{\delta(r - r_{A-a,a})}{r r_{A-a,a}} = \sum_n R_{n\ell}(r) R_{n\ell}(r_{A-a,a})$$

Microscopic R -matrix on a Lagrange mesh

Separation into “internal” and “external” regions at the channel radius a



- This is achieved through the Bloch operator: $L_c = \frac{\hbar^2}{2\mu_c} \delta(r - a) \left(\frac{d}{dr} - \frac{B_c}{r} \right)$
- System of Bloch-Schrödinger equations:

$$\left[\hat{T}_{rel}(r) + L_c + \bar{V}_{Coul}(r) - (E - E_c) \right] u_c(r) + \sum_{c'} \int dr' r' W_{cc'}(r, r') u_{c'}(r') = L_c u_c(r)$$

$$u_c(r) = \sum_n A_{cn} f_n(r)$$

- Internal region: expansion on square-integrable Lagrange mesh basis
- External region: asymptotic form for large r

$$u_c(r) \sim C_c W(k_c r) \quad \text{or} \quad u_c(r) \sim v_c^{-1/2} \left[\delta_{ci} I_c(k_c r) - U_{ci} O_c(k_c r) \right]$$

Bound state

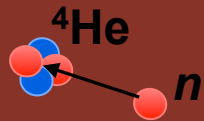
Scattering state

Scattering matrix

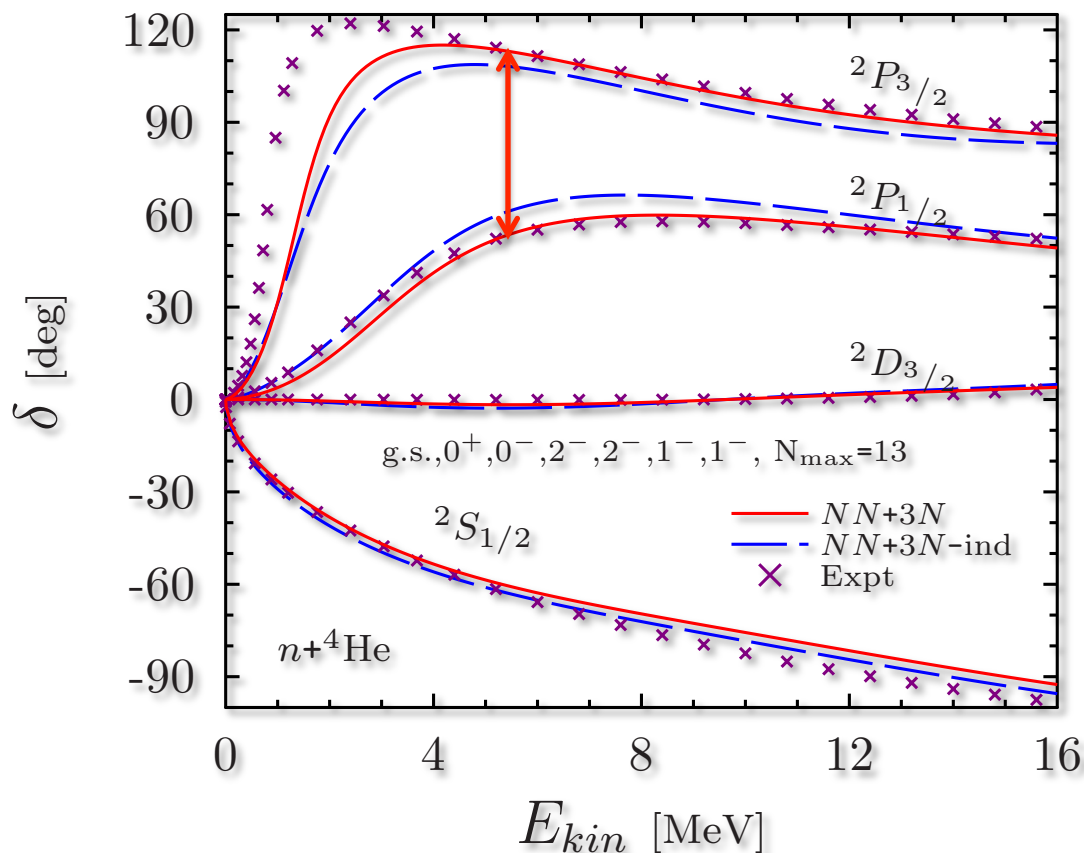
$$\{ax_n \in [0, a]\}$$

$$\int_0^1 g(x) dx \approx \sum_{n=1}^N \lambda_n g(x_n)$$

$$\int_0^a f_n(r) f_{n'}(r) dr \approx \delta_{nn'}$$



n-⁴He scattering: NN vs. NN+NNN interactions



chiral NN+NNN(500)
 chiral NN+NNN-induced
 SRG $\lambda=2 \text{ fm}^{-1}$
 HO $N_{max}=13$, $\hbar\Omega=20 \text{ MeV}$

⁴He g.s. and 6 excited states

| | | |
|-------|-------------|--|
| 29.89 | 2^+_0 | $\left. \begin{array}{l} 2^+_0 \\ 0^+_{1,0} \\ 2^-_{1,0} \\ 1^-_{1,0} \end{array} \right\} p(1)$ |
| 28.37 | 28.39 | |
| 28.64 | 28.67 | |
| 28.31 | 1^+_0 | |
| 27.42 | $2^+_{1,0}$ | |
| 25.95 | $1^-_{1,1}$ | |
| 25.28 | $0^-_{1,1}$ | |
| 24.25 | $1^-_{1,0}$ | |
| 23.64 | $1^-_{1,1}$ | |
| 23.33 | $2^-_{1,1}$ | |
| 21.84 | $2^-_{1,0}$ | |
| 21.01 | $0^-_{1,0}$ | |
| 20.21 | $0^+_{1,0}$ | |

The largest splitting
 between the P-waves
 obtained with the chiral
 NN+NNN interaction

PHYSICAL REVIEW C 88, 054622 (2013)

Ab initio many-body calculations of nucleon-⁴He scattering with three-nucleon forces

Guillaume Hupin,^{1,*} Joachim Langhammer,^{2,†} Petr Navrátil,^{3,‡} Sofia Quaglioni,^{1,§} Angelo Calci,^{2,||} and Robert Roth^{2,¶}

New developments: NCSM with continuum

NCSM



$$|\Psi_A^{J^{\pi T}}\rangle = \sum_{Ni} c_{Ni} |ANiJ^{\pi T}\rangle$$

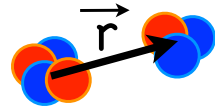
New developments: NCSM with continuum

NCSM



$$|\Psi_A^{J^\pi T}\rangle = \sum_{Ni} c_{Ni} |ANiJ^\pi T\rangle$$

NCSM/RGM



$$|\Psi_A^{J^\pi T}\rangle = \sum_{\nu} \int d\vec{r} \chi_{\nu}(\vec{r}) \hat{A} \Phi_{\nu\vec{r}}^{J^\pi T(A-a,a)}$$

$$\mathcal{H}\chi = E\mathcal{N}\chi$$

$$\bar{\chi} = \mathcal{N}^{+\frac{1}{2}} \chi$$

$$(\mathcal{N}^{-\frac{1}{2}} \mathcal{H} \mathcal{N}^{-\frac{1}{2}}) \bar{\chi} = E \bar{\chi}$$

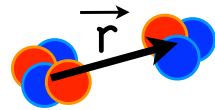
New developments: NCSM with continuum

NCSM



$$|\Psi_A^{J^\pi T}\rangle = \sum_{Ni} c_{Ni} |ANiJ^\pi T\rangle$$

NCSM/RGM



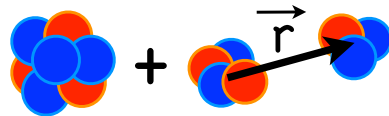
$$|\Psi_A^{J^\pi T}\rangle = \sum_{\nu} \int d\vec{r} \chi_{\nu}(\vec{r}) \hat{A} \Phi_{\nu\vec{r}}^{J^\pi T(A-a,a)}$$

$$\mathcal{H}\chi = E\mathcal{N}\chi$$

$$\bar{\chi} = \mathcal{N}^{+\frac{1}{2}} \chi$$

$$(\mathcal{N}^{-\frac{1}{2}} \mathcal{H} \mathcal{N}^{-\frac{1}{2}}) \bar{\chi} = E \bar{\chi}$$

NCSMC

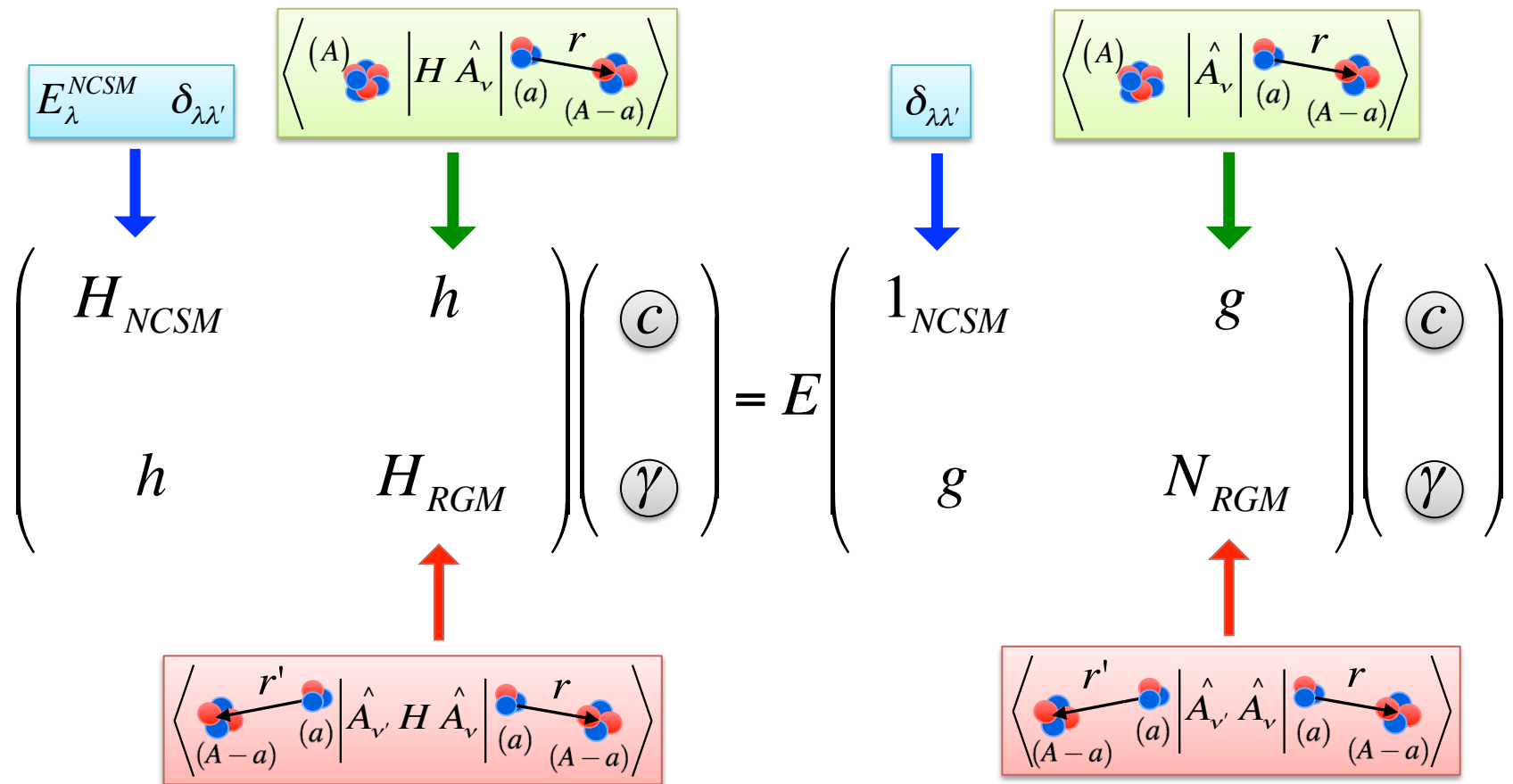


S. Baroni, P. N., and S. Quaglioni,
PRL **110**, 022505 (2013); PRC **87**, 034326 (2013).

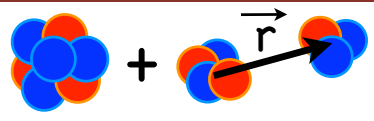
$$|\Psi_A^{J^\pi T}\rangle = \sum_{\lambda} c_{\lambda} |A\lambda J^\pi T\rangle + \sum_{\nu} \int d\vec{r} \left(\sum_{\nu'} \int d\vec{r}' \mathcal{N}_{\nu\nu'}^{-\frac{1}{2}}(\vec{r}, \vec{r}') \bar{\chi}_{\nu'}(\vec{r}') \right) \hat{A} \Phi_{\nu\vec{r}}^{J^\pi T(A-a,a)}$$

$$\begin{pmatrix} H_{NCSM} & \bar{h} \\ \bar{h} & \mathcal{N}^{-\frac{1}{2}} \mathcal{H} \mathcal{N}^{-\frac{1}{2}} \end{pmatrix} \begin{pmatrix} c \\ \bar{\chi} \end{pmatrix} = E \begin{pmatrix} 1 & \bar{g} \\ \bar{g} & 1 \end{pmatrix} \begin{pmatrix} c \\ \bar{\chi} \end{pmatrix}$$

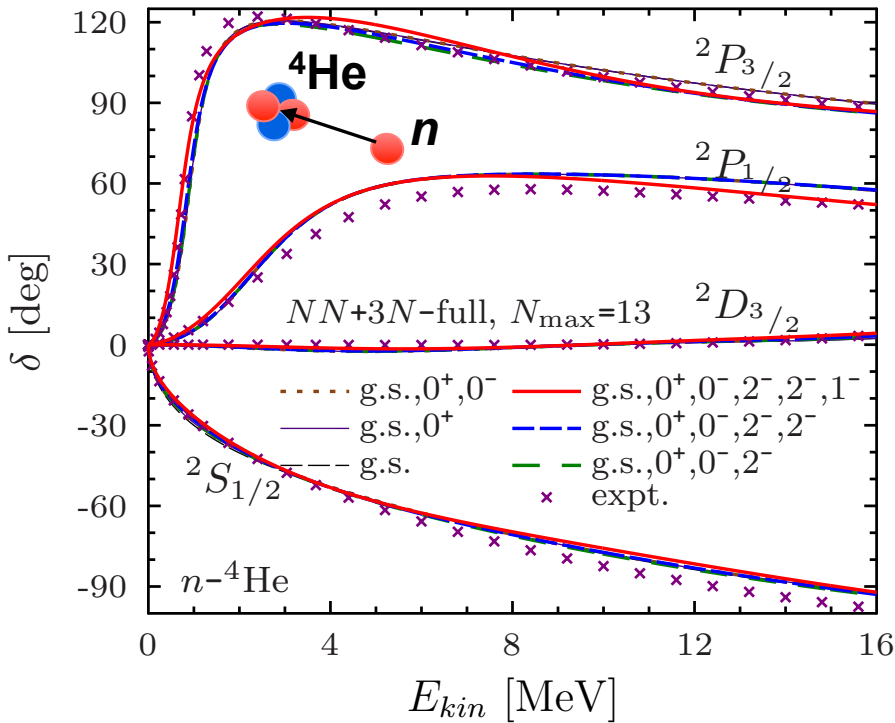
Building blocks of the NCSMC equations



n - ^4He & p - ^4He scattering within NCSMC



Study of the convergence with respect to the # of ^4He low-lying NCSM states

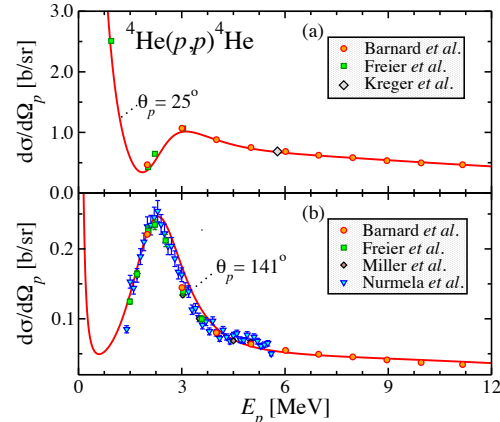
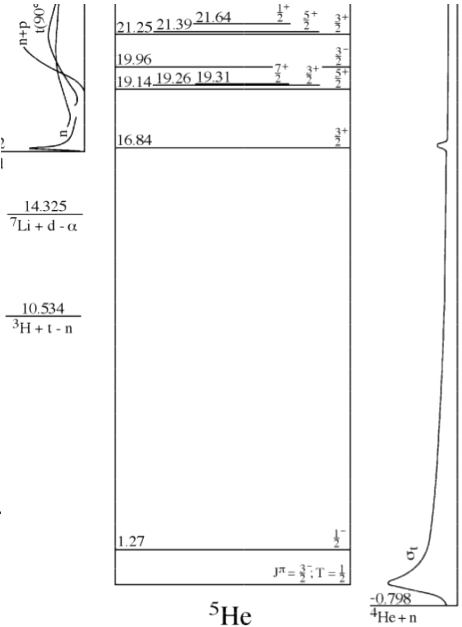


n - ^4He scattering phase-shifts for NN+NNN potential with $\lambda=2.0 \text{ fm}^{-1}$ and 8 low-lying NCSM states of ^5He .

Experimental low-lying states of the $A=5$ nucleon systems.

^4He excited states

| | |
|-------|----------|
| 29.89 | $2^+, 0$ |
| 28.37 | $2^+, 0$ |
| 28.39 | $2^+, 0$ |
| 28.64 | $2^+, 0$ |
| 28.67 | $2^+, 0$ |
| 28.31 | $1^+, 0$ |
| 27.42 | $2^+, 0$ |
| 25.95 | $1^-, 1$ |
| 25.28 | $0^-, 1$ |
| 24.25 | $1^-, 0$ |
| 23.64 | $1^-, 1$ |
| 23.33 | $2^-, 1$ |
| 21.84 | $2^-, 0$ |
| 21.01 | $0^-, 0$ |
| 20.21 | $0^+, 0$ |



NCSM/RGM calculations of transfer reactions

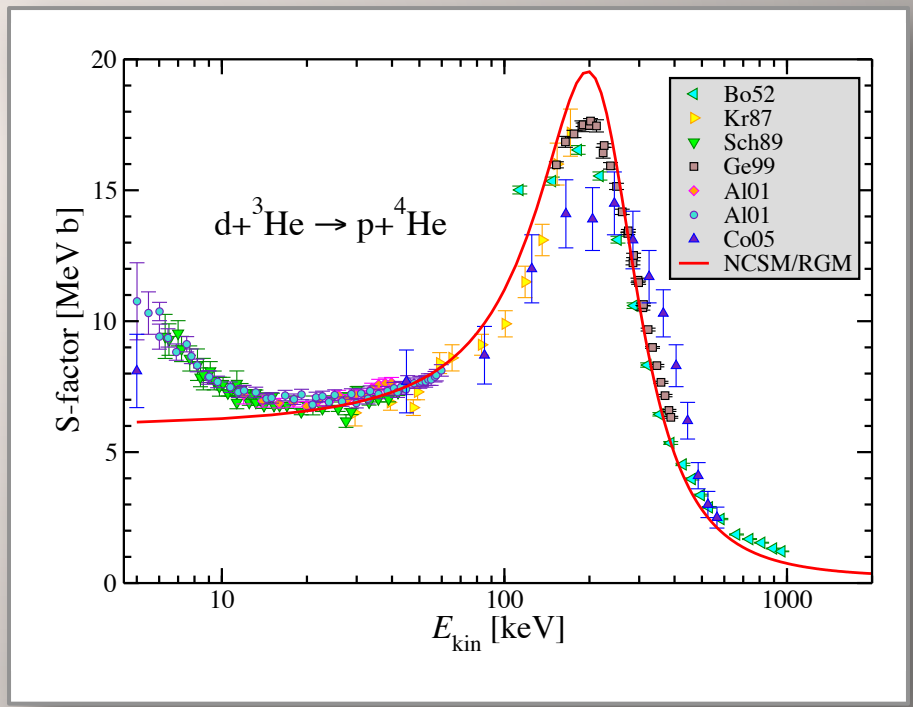
$$\int dr r^2 \left(\begin{array}{l} \left\langle \begin{array}{c} \mathbf{r}' \\ \alpha \\ n \end{array} \left| \hat{A}_1 (H - E) \hat{A}_1 \right| \begin{array}{c} \mathbf{r} \\ \alpha \\ n \end{array} \right\rangle \\ \left\langle \begin{array}{c} \mathbf{r}' \\ \alpha \\ n \end{array} \left| \hat{A}_1 (H - E) \hat{A}_2 \left| \begin{array}{c} \mathbf{r} \\ 3\text{H} \\ d \end{array} \right. \right. \right\rangle \\ \left\langle \begin{array}{c} \mathbf{r}' \\ d \ 3\text{H} \end{array} \left| \hat{A}_2 (H - E) \hat{A}_1 \right| \begin{array}{c} \mathbf{r} \\ \alpha \\ n \end{array} \right\rangle \\ \left\langle \begin{array}{c} \mathbf{r}' \\ d \ 3\text{H} \end{array} \left| \hat{A}_2 (H - E) \hat{A}_2 \left| \begin{array}{c} \mathbf{r} \\ 3\text{H} \\ d \end{array} \right. \right. \right\rangle \end{array} \right) \begin{pmatrix} \frac{g_1(r)}{r} \\ \frac{g_2(r)}{r} \end{pmatrix} = 0$$

Straightforward to couple different mass partitions in the NCSM/RGM formalism

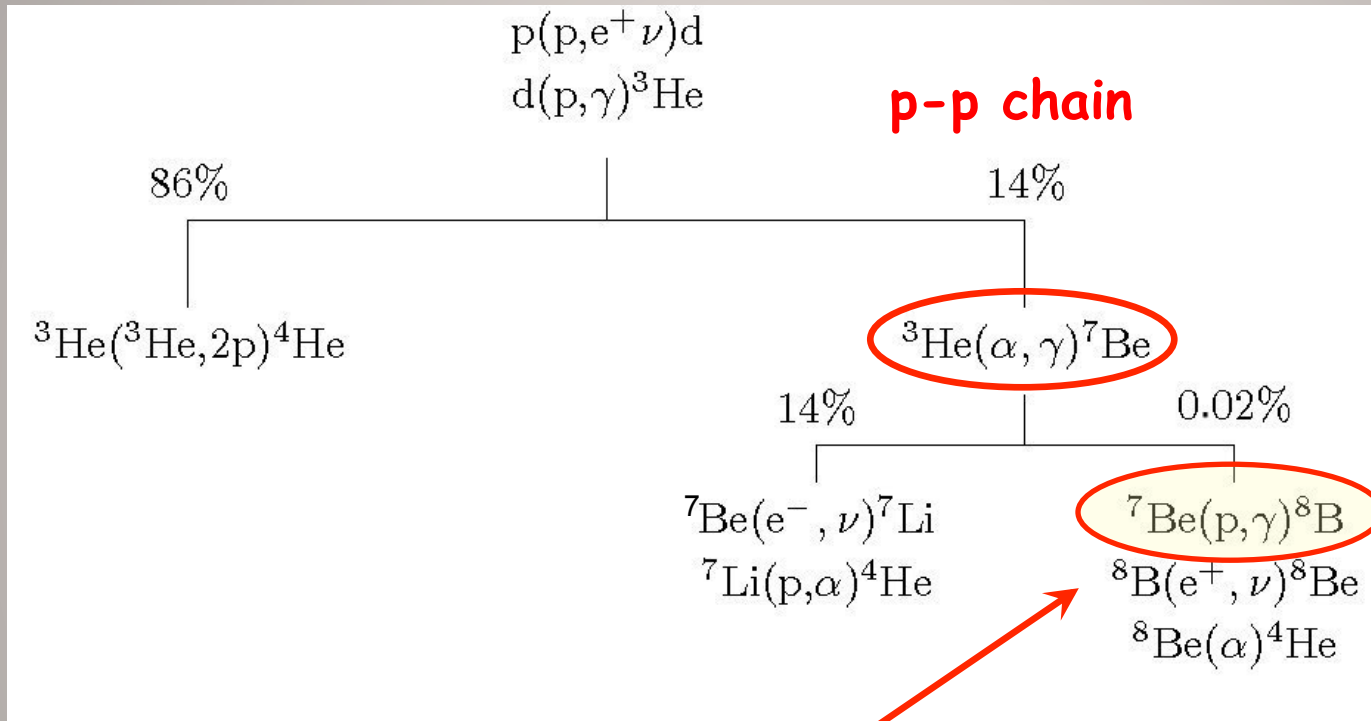
Applications to (d,p) and (d,n) reactions
 Example: ${}^3\text{He}(d,p){}^4\text{He}$

Work in progress:
 ${}^7\text{Li}(d,p){}^8\text{Li}$ & ${}^8\text{Li}(d,p){}^9\text{Li}$

Technical issue: Calculation of kernels with three-body densities for systems with $A > 5$



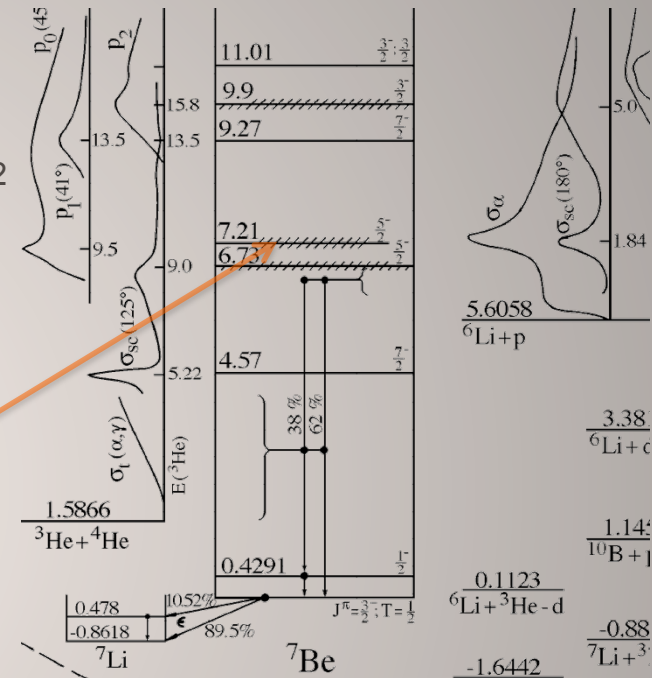
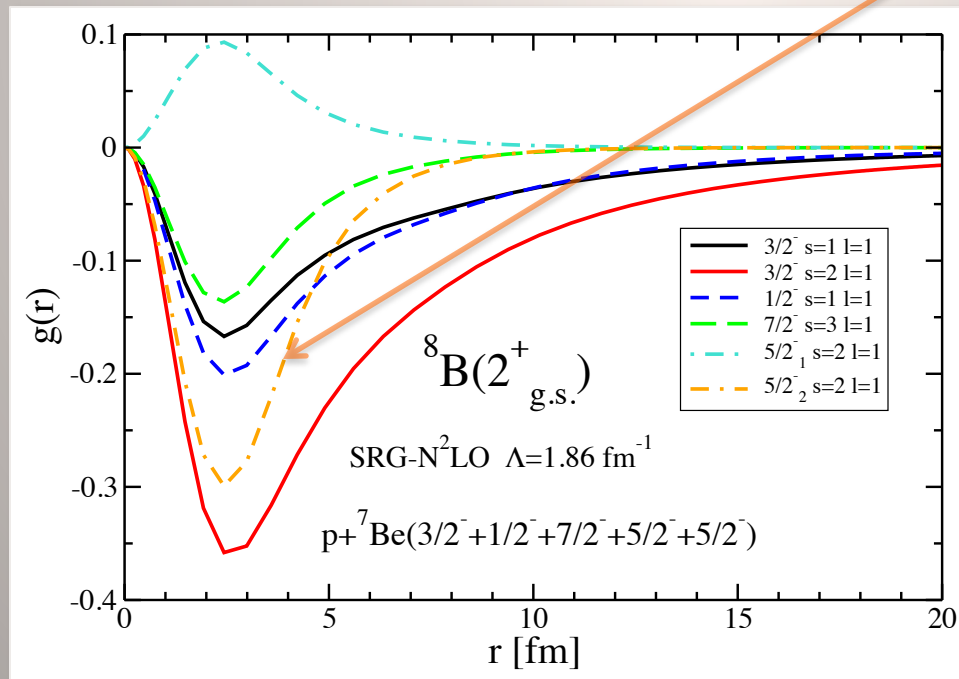
Solar p - p chain



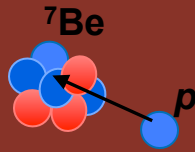
Solar neutrinos
 $E_\nu < 15 \text{ MeV}$

Structure of the ${}^8\text{B}$ ground state

- NCSM/RGM p - ${}^7\text{Be}$ calculation
 - five lowest ${}^7\text{Be}$ states: $3/2^-$, $1/2^-$, $7/2^-$, $5/2^-_1$, $5/2^-_2$
 - Soft NN SRG- N^3LO with $\lambda = 1.86 \text{ fm}^{-1}$
- ${}^8\text{B}$ 2^+ g.s. bound by 136 keV (Expt 137 keV)
 - Large P -wave $5/2^-_2$ component



5/2₂⁻ state of ${}^7\text{Be}$ should be included in ${}^7\text{Be}(p, \gamma){}^8\text{B}$ calculations



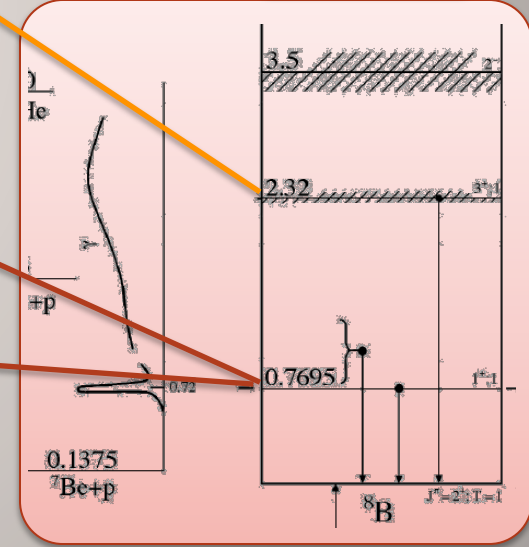
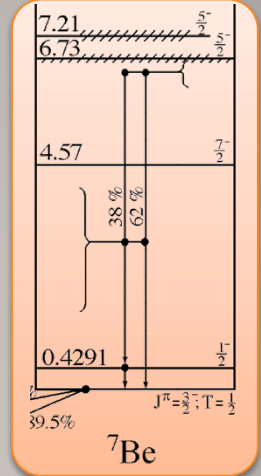
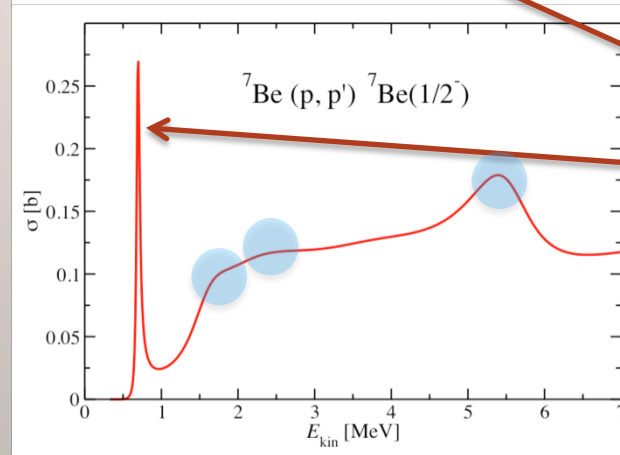
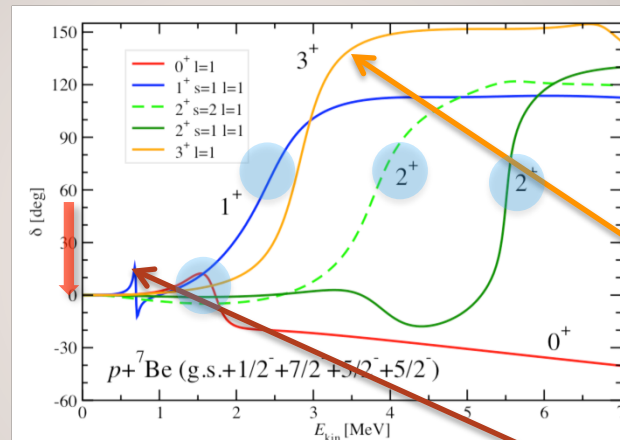
p - ${}^7\text{Be}$ scattering

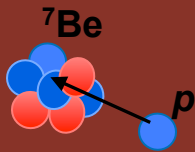
- NCSM/RGM calculation of p - ${}^7\text{Be}$ scattering
 - ${}^7\text{Be}$ states $3/2^-$, $1/2^-$, $7/2^-$, $5/2^-_1$, $5/2^-_2$
 - Soft NN potential (SRG- N^3LO with $\lambda = 1.86 \text{ fm}^{-1}$)

${}^8\text{B}$ 2^+ g.s. bound by 136 keV
(expt. bound by 137 keV)

New 0^+ , 1^+ , and two 2^+ resonances predicted

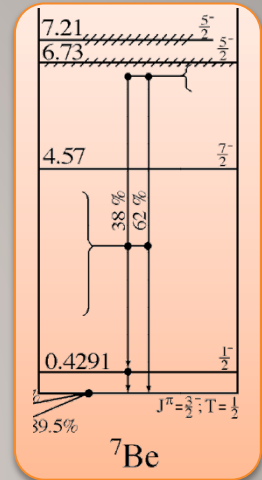
$s=1$ $l=1$ 2^+ clearly visible in (p,p') cross sections



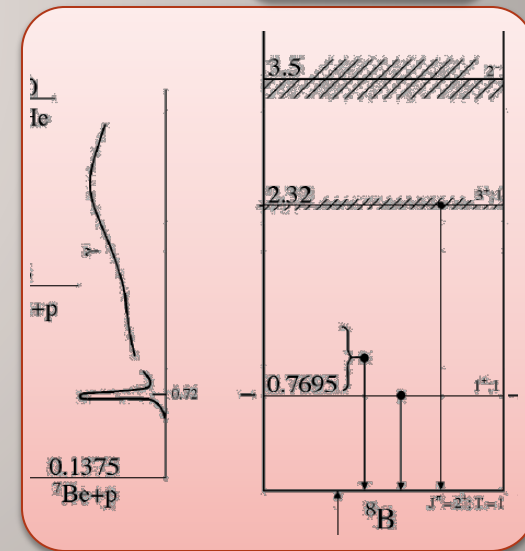
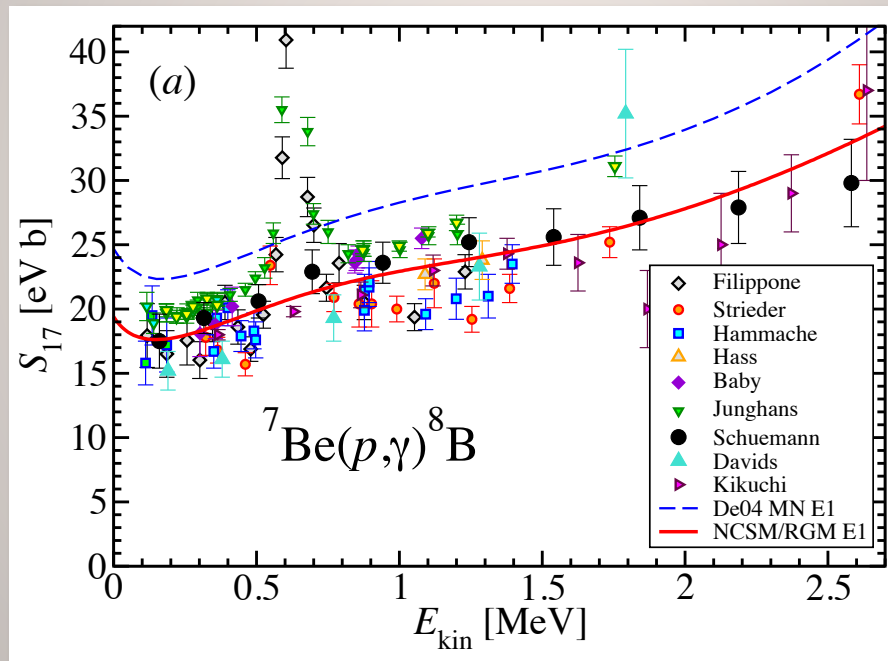


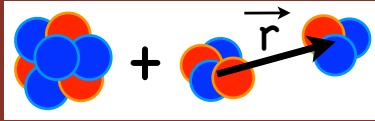
${}^7\text{Be}(p,\gamma){}^8\text{B}$ radiative capture

- NCSM/RGM calculation
 - ${}^7\text{Be}$ states $3/2^-$, $1/2^-$, $7/2^-$, $5/2^-_1$, $5/2^-_2$
 - Soft NN potential (chiral SRG- N^3LO with $\lambda = 1.86 \text{ fm}^{-1}$)

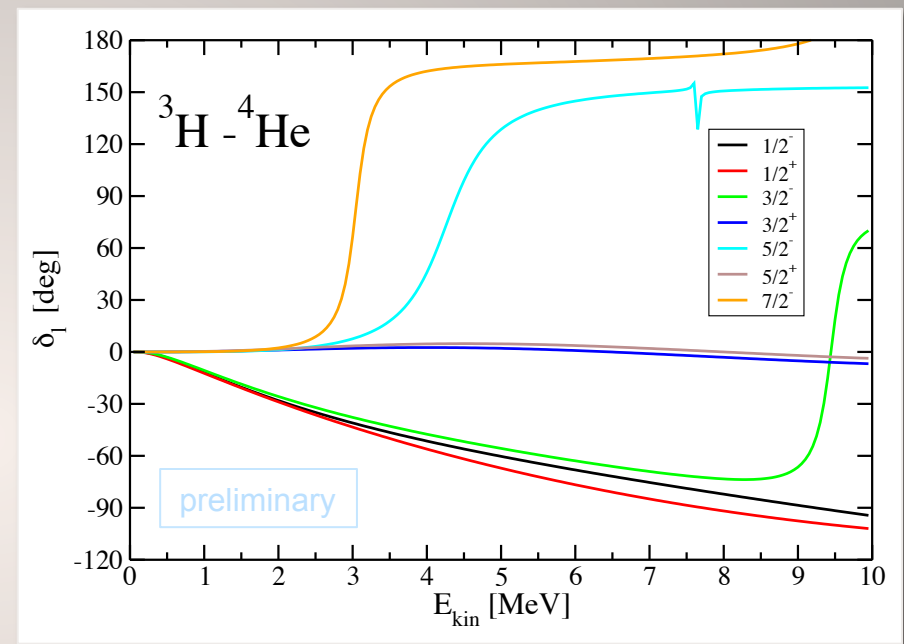
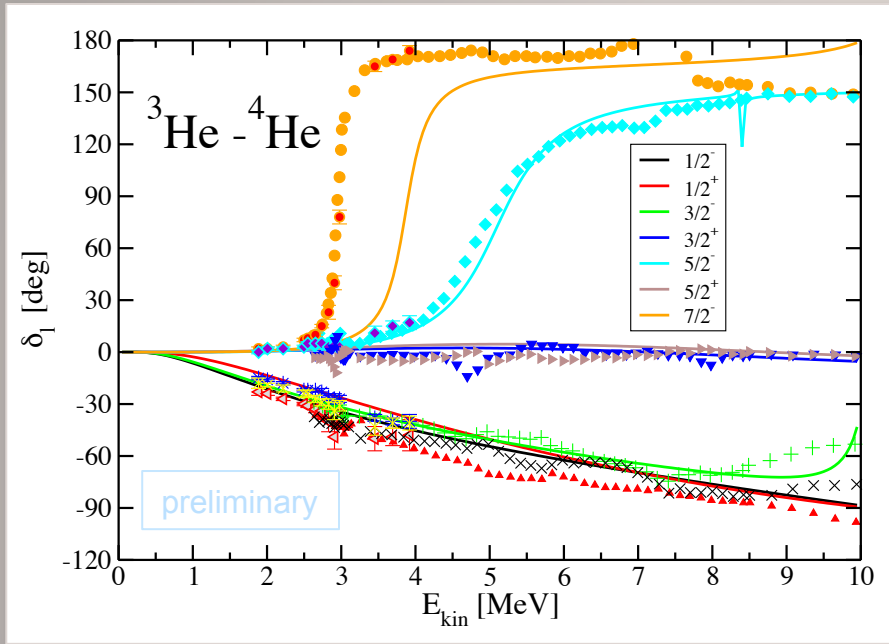


${}^8\text{B}$ 2^+ g.s. bound by 136 keV (expt. 137 keV)
 $S(0) \sim 19.4(0.7) \text{ eV b}$
 Data evaluation:
 $S(0) = 20.8(2.1) \text{ eV b}$





^3He - ^4He and ^3H - ^4He scattering



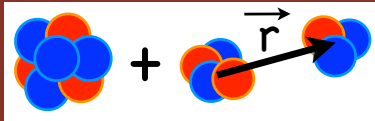
NCSMC calculations with chiral SRG- N^3LO NN potential ($\lambda=2.1 \text{ fm}^{-1}$)
 ^3He , ^3H , ^4He ground state, $8(\pi^-) + 8(\pi^+)$ eigenstates of ^7Be and ^7Li

Preliminary: $N_{\text{max}}=10$, $\hbar\Omega=20 \text{ MeV}$

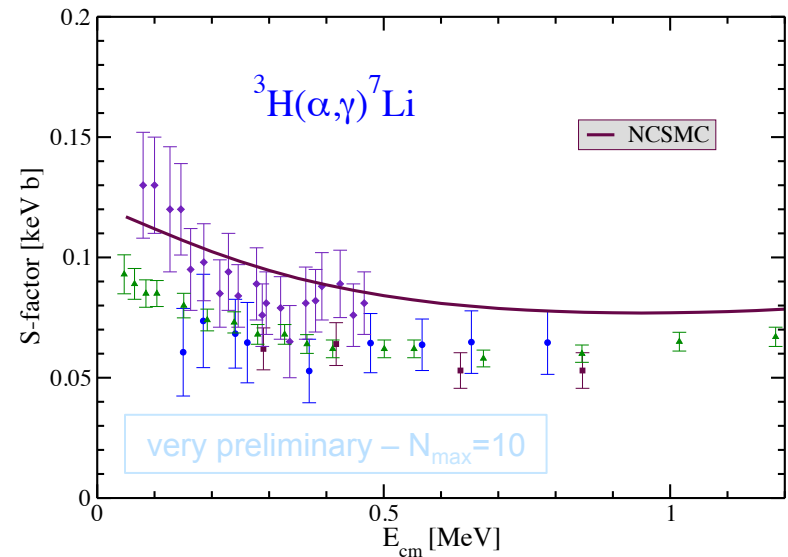
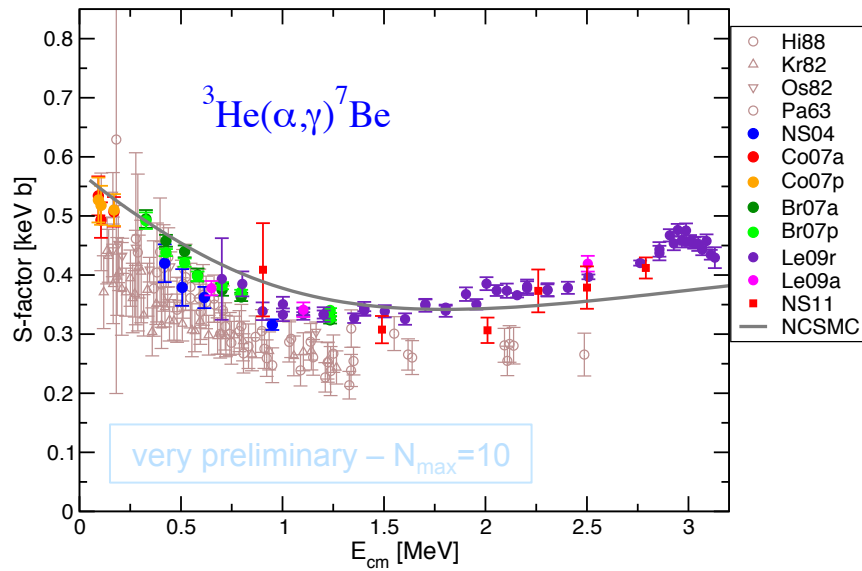
$E_{\text{th}}(^7\text{Be})=-1.32 \text{ MeV}$ (Expt. -1.59 MeV)

$E_{\text{th}}(^7\text{Li}) = -2.20 \text{ MeV}$ (Expt. -2.47 MeV)

Goal: Calculations of $^3\text{He}(^4\text{He},\gamma)^7\text{Be}$ & $^3\text{H}(^4\text{He},\gamma)^7\text{Li}$ capture



^3He - ^4He and ^3H - ^4He scattering



NCSMC calculations with chiral SRG- $N^3\text{LO}$ NN potential ($\lambda=2.1 \text{ fm}^{-1}$)

^3He , ^3H , ^4He ground state, $8(\pi^-) + 8(\pi^+)$ eigenstates of ^7Be and ^7Li

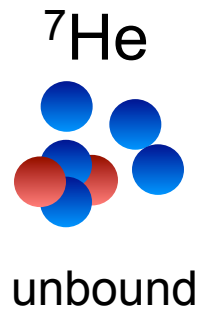
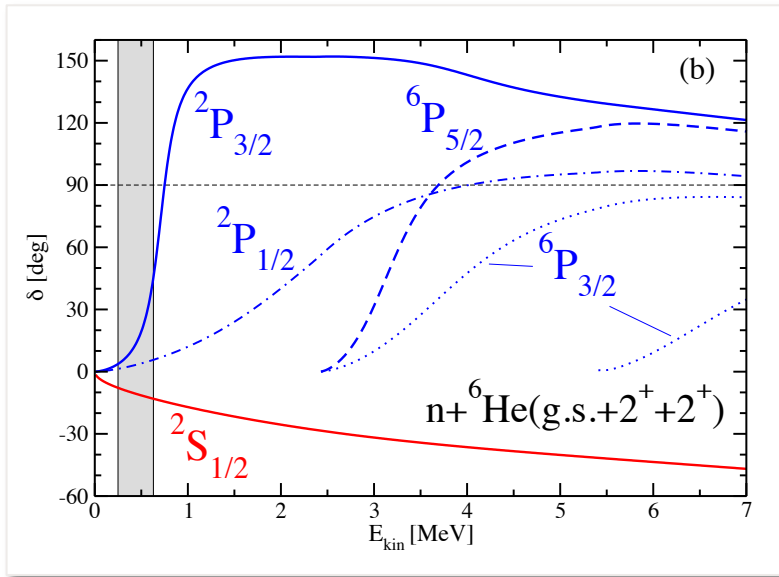
Preliminary: $N_{\text{max}}=10$, $h\Omega=20 \text{ MeV}$

$E_{\text{th}}(^7\text{Be})=-1.32 \text{ MeV}$ (Expt. -1.59 MeV)

$E_{\text{th}}(^7\text{Li}) = -2.20 \text{ MeV}$ (Expt. -2.47 MeV)

Goal: Calculations of $^3\text{He}(^4\text{He}, \gamma)^7\text{Be}$ & $^3\text{H}(^4\text{He}, \gamma)^7\text{Li}$ capture

NCSM with continuum: ${}^7\text{He} \leftrightarrow {}^6\text{He}+n$



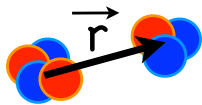
| J^π | experiment | | | NCSMC | |
|---------|------------|----------|------|-------|----------|
| | E_R | Γ | Ref. | E_R | Γ |
| $3/2^-$ | 0.430(3) | 0.182(5) | [2] | 0.71 | 0.30 |
| $5/2^-$ | 3.35(10) | 1.99(17) | [40] | 3.13 | 1.07 |
| $1/2^-$ | 3.03(10) | 2 | [11] | 2.39 | 2.89 |
| | 3.53 | 10 | [15] | | |
| | 1.0(1) | 0.75(8) | [5] | | |

[11] A. H. Wuosmaa *et al.*, Phys. Rev. C **72**, 061301 (2005).

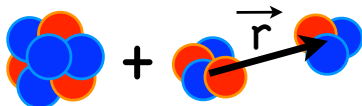
NCSM



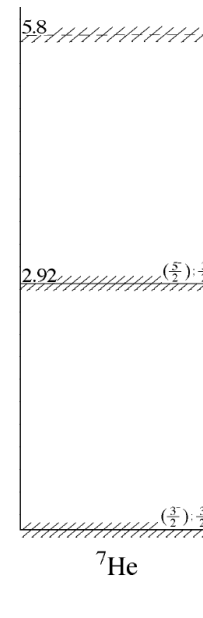
NCSM/RGM



NCSMC

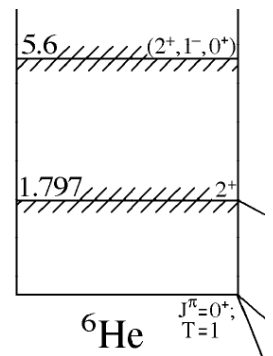


NCSMC
with three ${}^6\text{He}$ states
and ten ${}^7\text{He}$ eigenstates
More 7-nucleon correlations
Fewer ${}^6\text{He}$ -core states needed



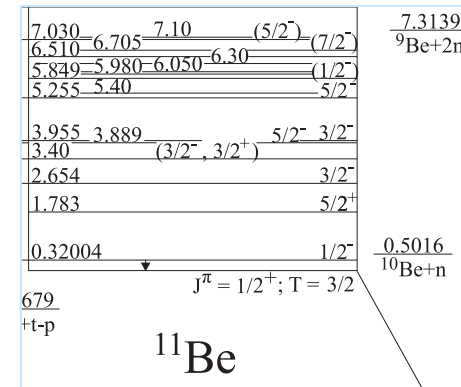
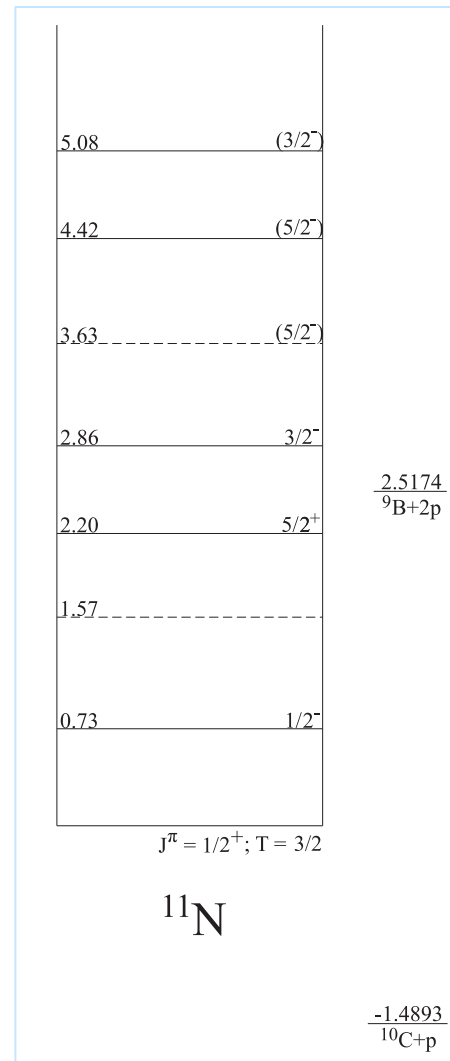
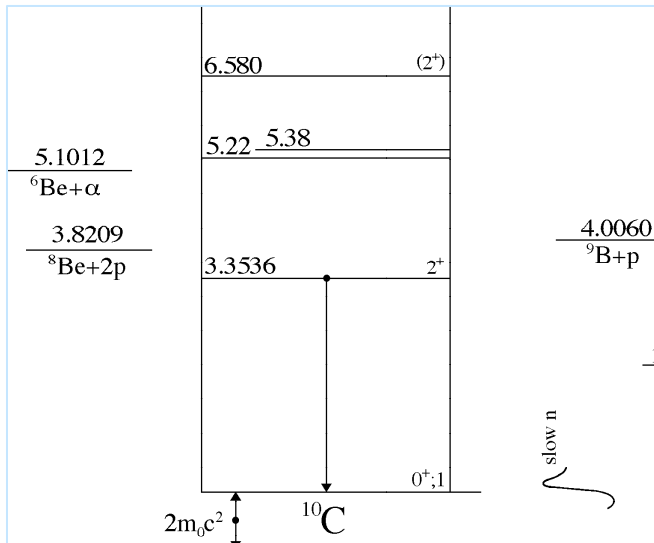
Experimental controversy:
Existence of low-lying $1/2^-$ state
... not seen in these calculations

$\frac{1.327}{{}^5\text{He} + 2n}$
 $\frac{0.529}{{}^4\text{He} + 3n}$



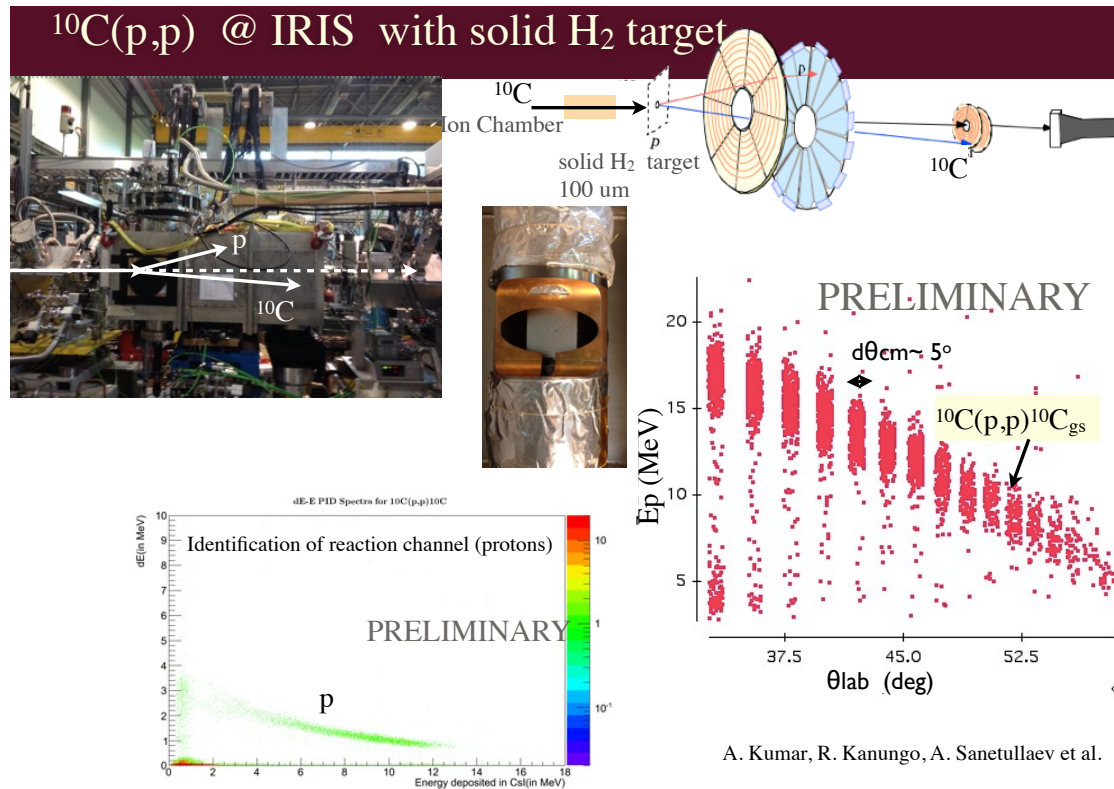
p+¹⁰C scattering: structure of ¹¹N resonances

- Limited information about the structure of proton rich ¹¹N – mirror nucleus of ¹¹Be halo nucleus
- Incomplete knowledge of ¹⁰C unbound excited states
- Importance of 3N force effects and continuum



$^{10}\text{C}(p,p) @ \text{IRIS}$ with solid H_2 target

- New experiment at ISAC TRIUMF with reaccelerated ^{10}C
 - The first ever ^{10}C beam at TRIUMF
 - Angular distributions measured at $E_{\text{CM}} \sim 4.1 \text{ MeV}$ and 4.4 MeV
 - Data analysis under way

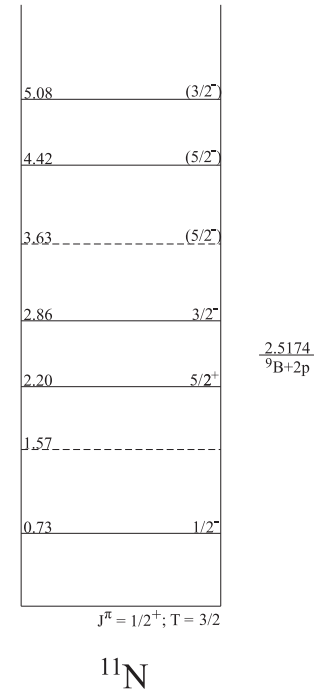
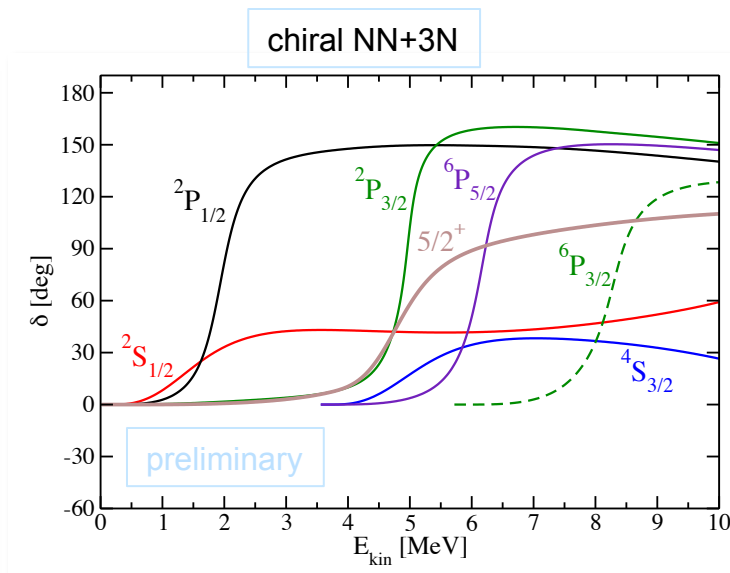
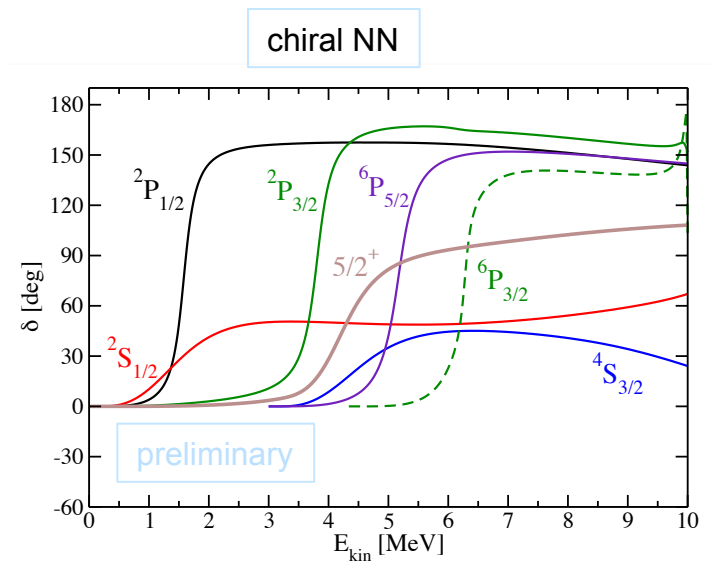
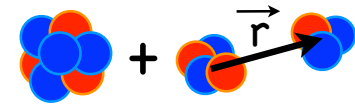


p+¹⁰C scattering: structure of ¹¹N resonances

- NCSMC calculations including chiral 3N

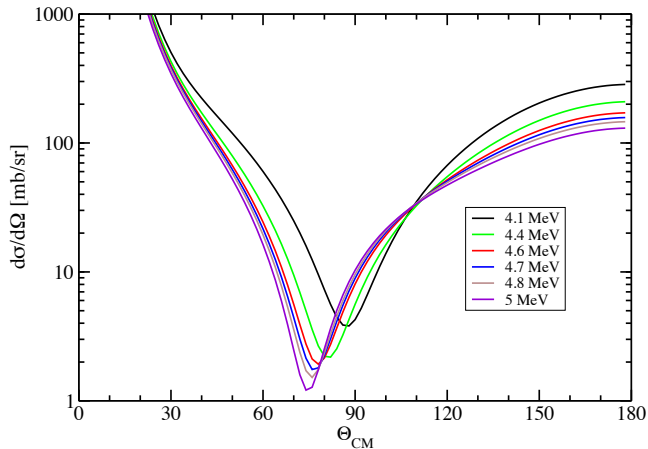
- p-¹⁰C + ¹¹N

- ¹⁰C: 0⁺, 2⁺, 2⁺ NCSM eigenstates
 - ¹¹N: 6 π = -1 and 3 π = +1 NCSM eigenstates
 - N_{max} = 7, N_{max} = 9 under way

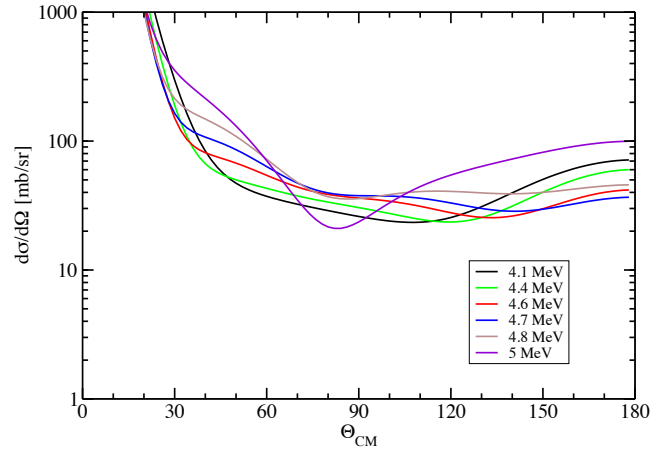


With the 3N the ²P_{1/2} and ²P_{3/2} resonances broader and shifted to higher energy in a better agreement with experiment

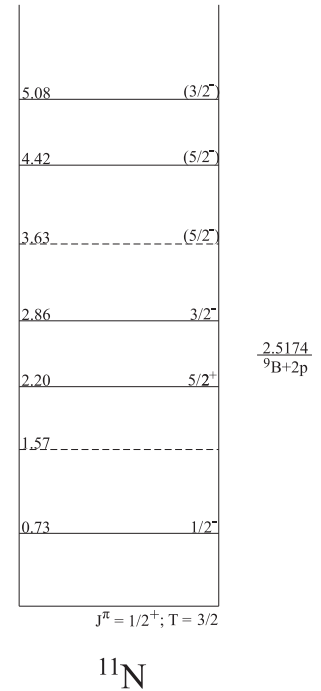
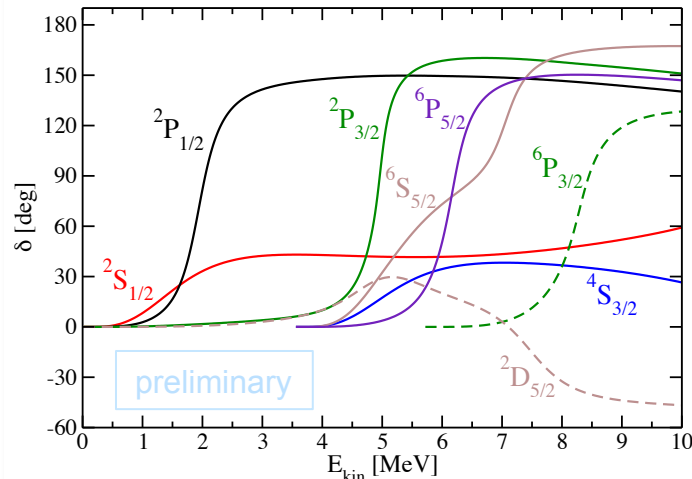
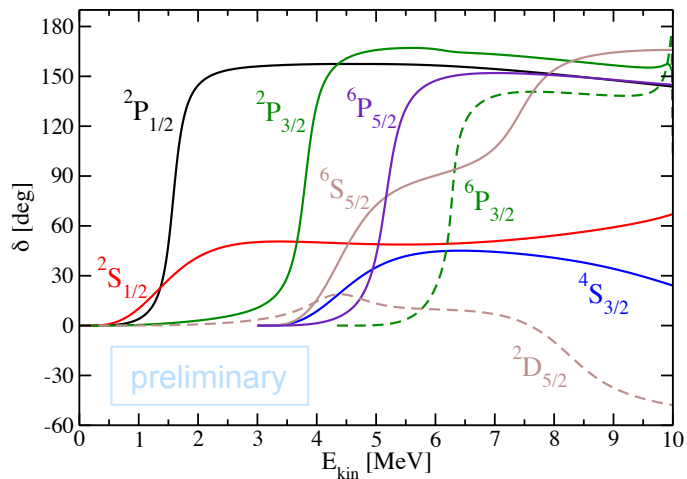
p+¹⁰C scattering: Elastic differential cross section



chiral NN

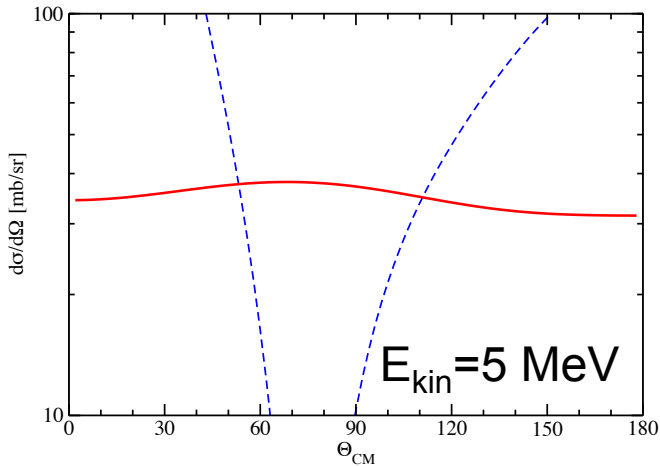


chiral NN+3N

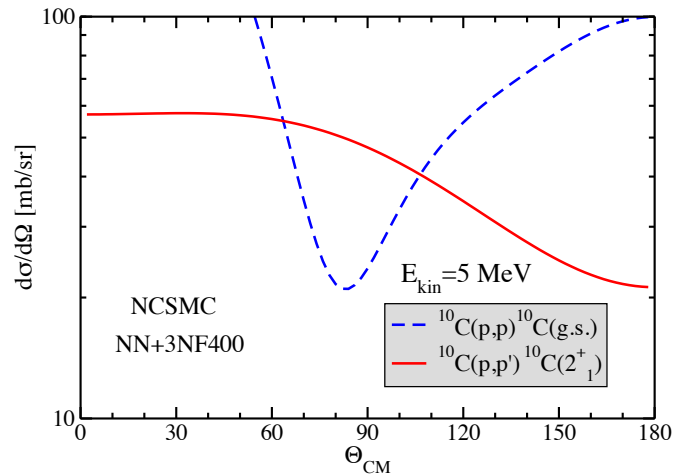


Significant difference in angular distributions in the experimentally explored energy range due to the shift of the ²P_{3/2} resonance

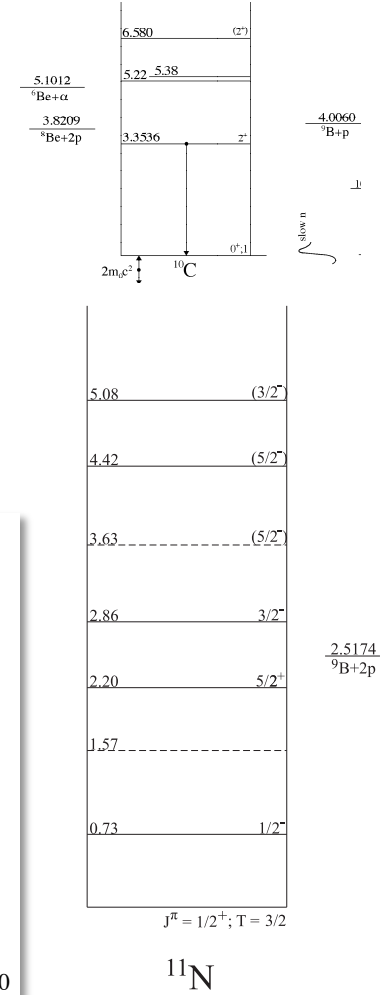
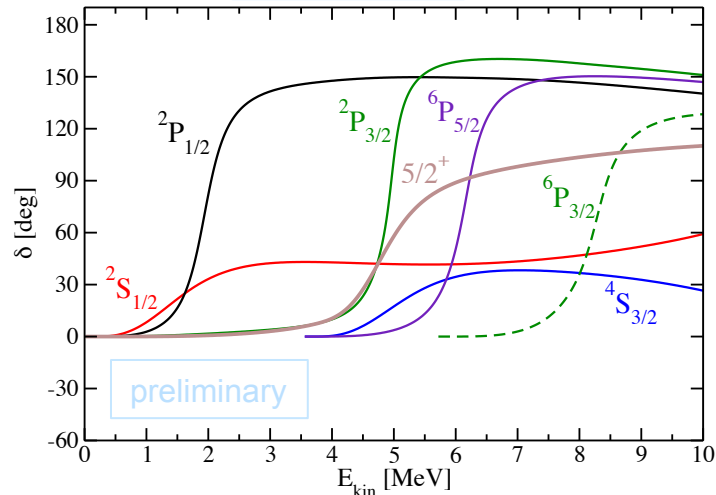
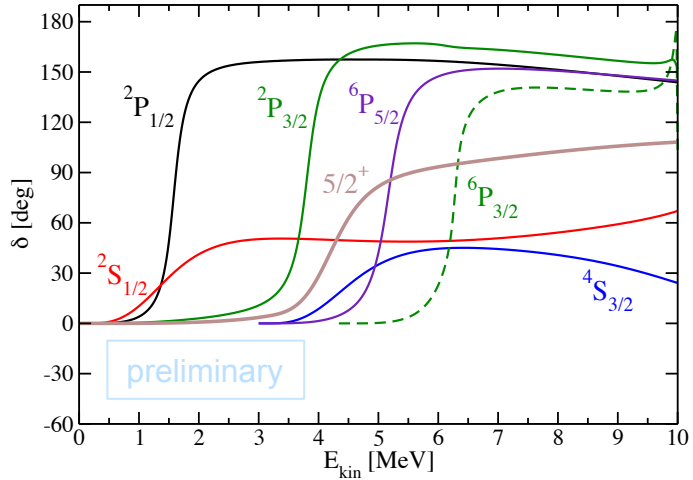
$^{10}\text{C}(p,p')^{10}\text{C}(2^+_{1})$ scattering: Differential cross section



chiral NN

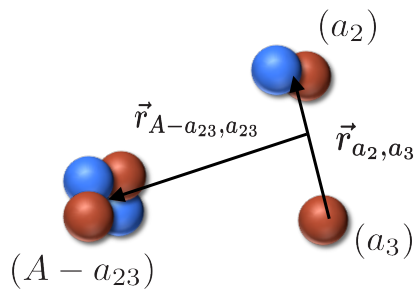


chiral NN+3N



Significant difference in the shape of the inelastic differential g.s. to 2^+_{1} cross section around $E_{\text{kin}} \sim 5 \text{ MeV}$
 The shape determined by an interference of $5/2^+$ and $3/2^-$ resonances

NCSM/RGM for three-body clusters: Structure of ${}^6\text{He}$



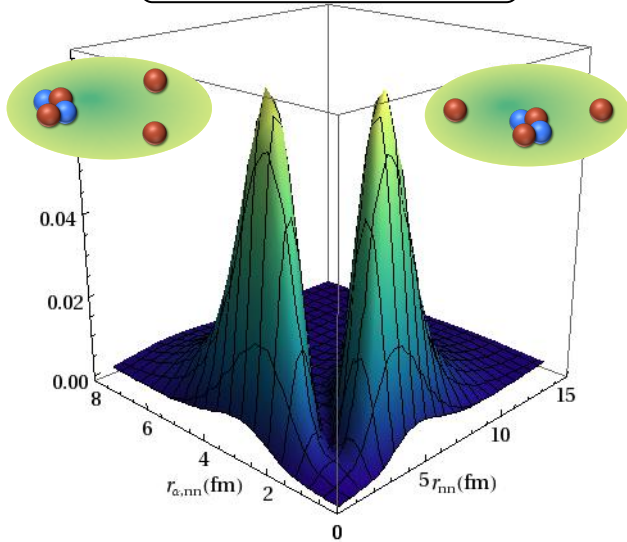
$$|\Phi_{\nu r}^{J^\pi T}\rangle \sim \underbrace{\Psi_{\alpha_1}^{A-a_{23}} \Psi_{\alpha_2}^{a_2} \Psi_{\alpha_3}^{a_3}}_{\text{NCSM}}$$

$$|\Psi^{J^\pi T}\rangle = \sum_{\nu} \int dx x^2 \int dy y^2 G_{\nu}^{J^\pi T}(x, y) \hat{A}_{\nu} |\Phi_{\nu xy}^{J^\pi T}\rangle$$

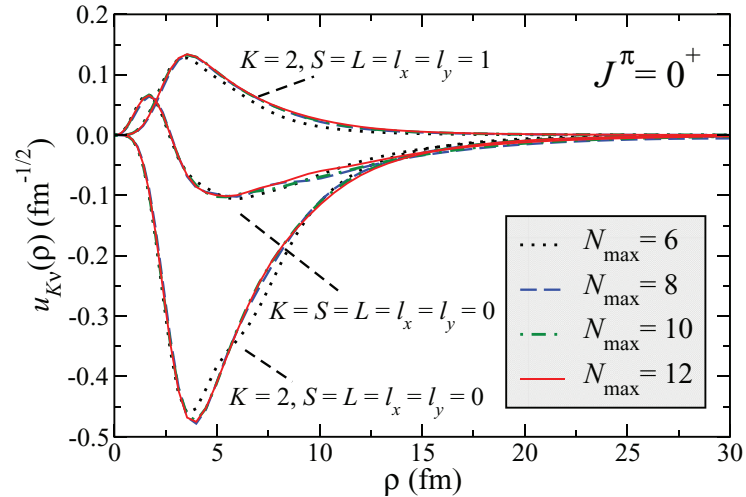
NCSM

${}^4\text{He}(\text{g.s.}) + n + n$

$$l_x = l_y = L = S_{nn} = 0$$



${}^6\text{He}$ ground state calculation with proper asymptotic conditions



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Three-cluster dynamics within an *ab initio* framework

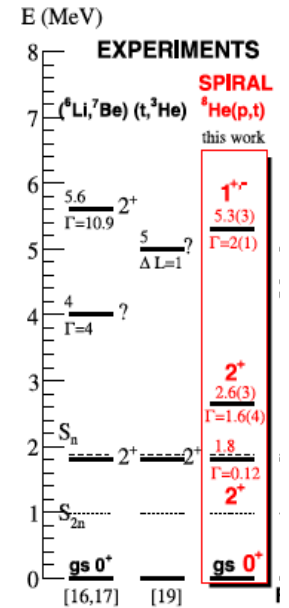
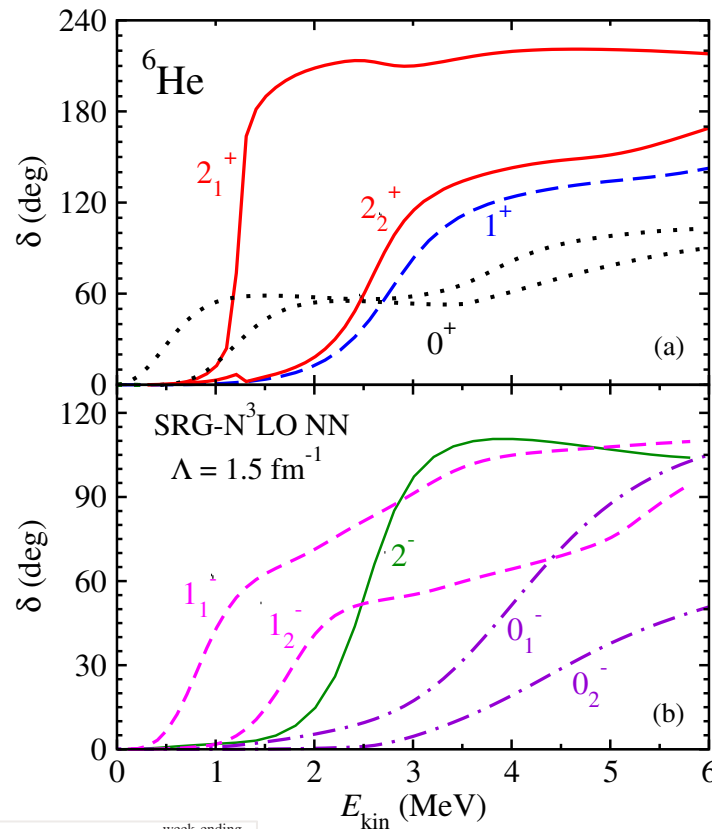
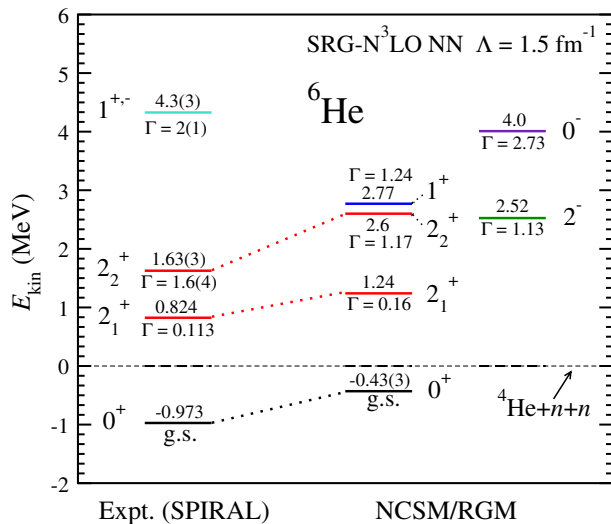
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NCSM/RGM for three-body clusters: Structure of ${}^6\text{He}$

${}^4\text{He}(g.s.)+n+n$

Soft SRG-evolved chiral $N^3\text{LO}$ NN potential, $\lambda=1.5 \text{ fm}^{-1}$

Recent experiment:
PLB 718 (2012) 441



Narrow 2^+ resonance
 A second low-lying broader 2^+ resonance:
 Found in recent GANIL experiment
 2^- , 1^+ and 0^- resonances
 0^+ and 1^- very broad

${}^4\text{He} + n + n$ Continuum within an *Ab initio* Framework

Conclusions and Outlook

- *Ab initio* calculations of nuclear structure and reactions is a dynamic field with significant advances
- We developed a new unified approach to nuclear bound and unbound states
 - Merging of the NCSM and the NCSM/RGM = **NCSMC**
 - Inclusion of three-nucleon interactions in reaction calculations for $A > 5$ systems
 - Extension to three-body clusters (${}^6\text{He} \sim {}^4\text{He} + n + n$)
 - Applications to capture reactions important for astrophysics
- Outlook:
 - Extension to composite projectiles (deuteron, ${}^3\text{H}$, ${}^3\text{He}$)
 - Transfer reactions
 - Bremsstrahlung
 - Alpha-clustering (${}^4\text{He}$ projectile)
 - ${}^{12}\text{C}$ and Hoyle state: ${}^8\text{Be} + {}^4\text{He}$
 - ${}^{16}\text{O}$: ${}^{12}\text{C} + {}^4\text{He}$

NCSMC and NCSM/RGM collaborators

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