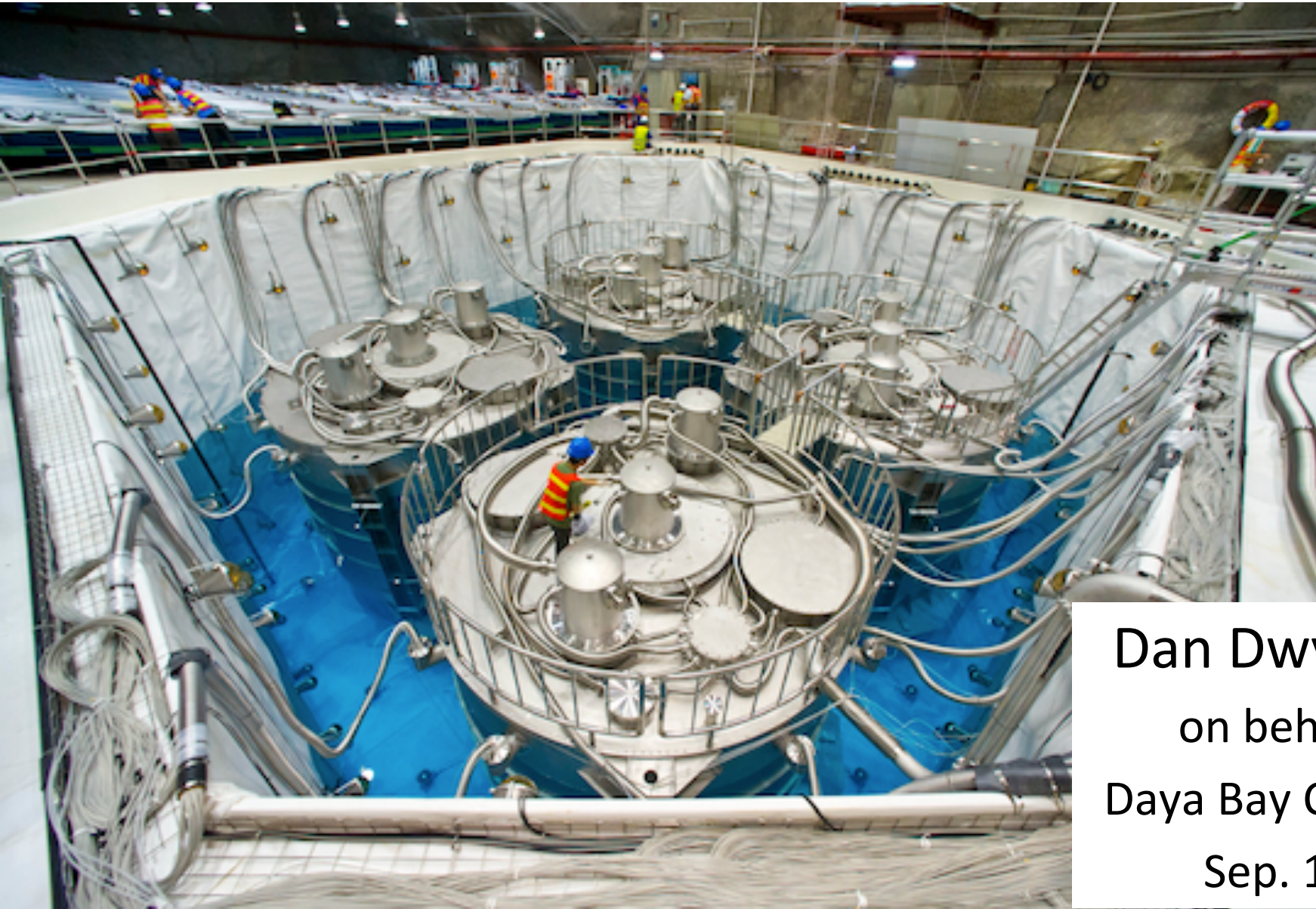


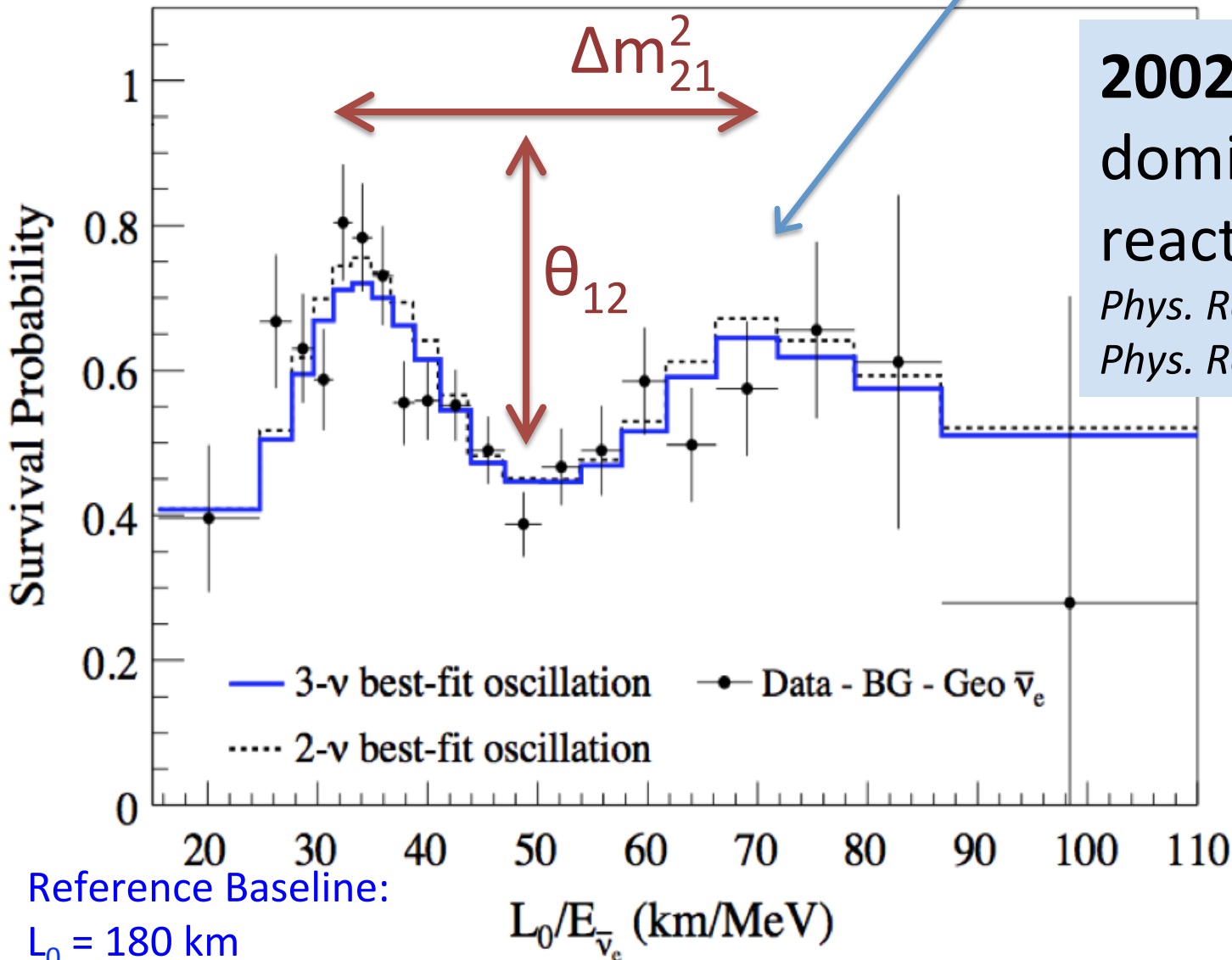
# Spectral Measurement of Antineutrino Oscillation Amplitude and Frequency at Daya Bay



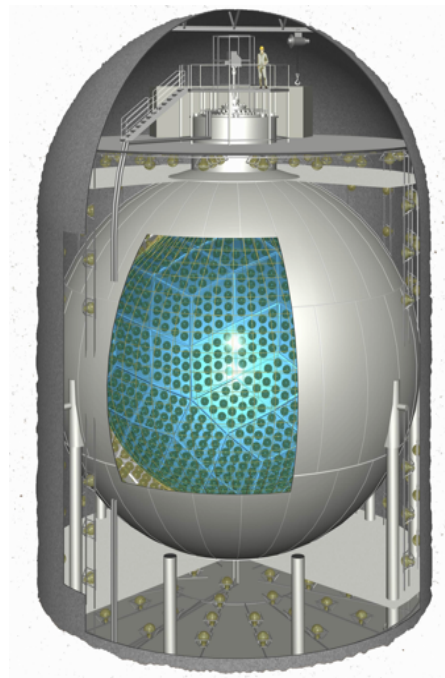
Dan Dwyer (LBNL)  
on behalf of the  
Daya Bay Collaboration  
Sep. 17, 2013

# Reactor Antineutrino Oscillation

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - \sin^2 2\theta_{13} \sin^2 \left( \Delta m_{ee}^2 \frac{L}{4E} \right) - \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 \left( \Delta m_{21}^2 \frac{L}{4E} \right)$$



**2002:** KamLAND shows dominant oscillation of reactor antineutrinos.  
*Phys. Rev. Lett. 89, 011301 (2002)*  
*Phys. Rev. Lett. 92, 181301 (2004)*



Reference Baseline:  
 $L_0 = 180$  km



# A Decade of Progress

The neutrino mixing matrix only recently measured.

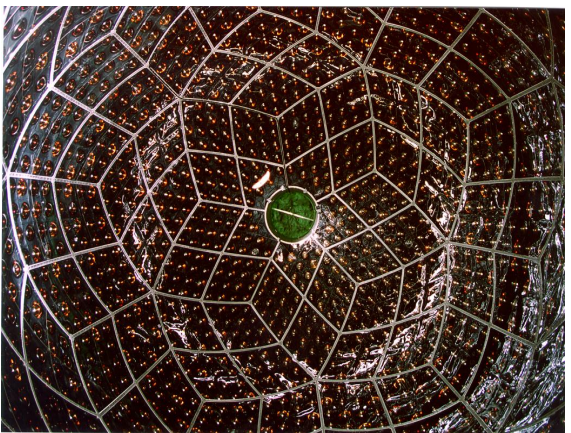
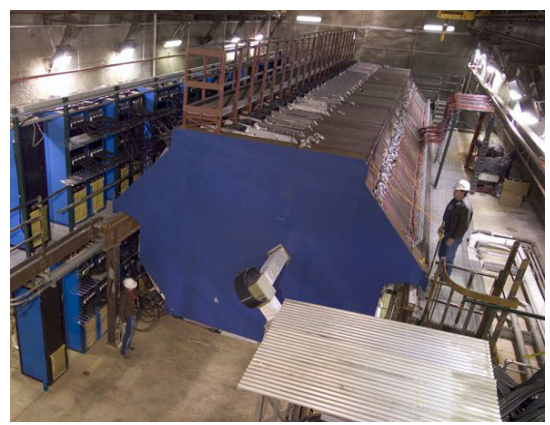
$$U_{if} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \times \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \times \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$c_{ij} \equiv \cos \theta_{ij}$  and  $s_{ij} \equiv \sin \theta_{ij}$

$\theta_{23} \approx 45^\circ$   
Atmospheric  $\nu$   
Accelerator  $\nu$

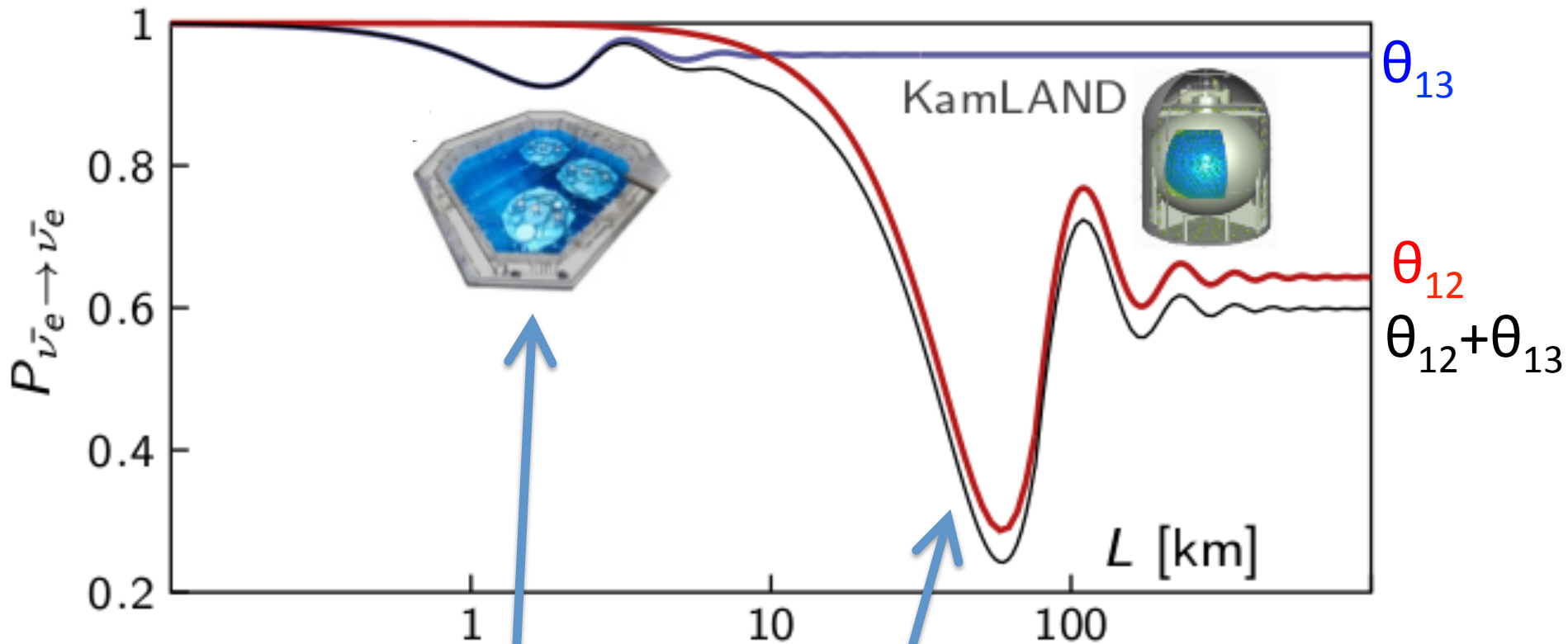
$\theta_{13} \approx 9^\circ$   
Short-Baseline Reactor  $\nu$   
Accelerator  $\nu$

$\theta_{12} \approx 35^\circ$   
Solar  $\nu$   
Long-Baseline Reactor  $\nu$



# Searching for $\theta_{13}$

Three-flavor model predicts reactor  $\bar{\nu}_e$  oscillation at  $\sim 1.8\text{km}$



$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - \sin^2 2\theta_{13} \sin^2 \left( \Delta m_{ee}^2 \frac{L}{4E} \right) - \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 \left( \Delta m_{21}^2 \frac{L}{4E} \right)$$

$$\sin^2 \left( \Delta m_{ee}^2 \frac{L}{4E} \right) \equiv \cos^2 \theta_{12} \sin^2 \left( \Delta m_{31}^2 \frac{L}{4E} \right) + \sin^2 \theta_{12} \sin^2 \left( \Delta m_{32}^2 \frac{L}{4E} \right)$$



# A Relative Measurement

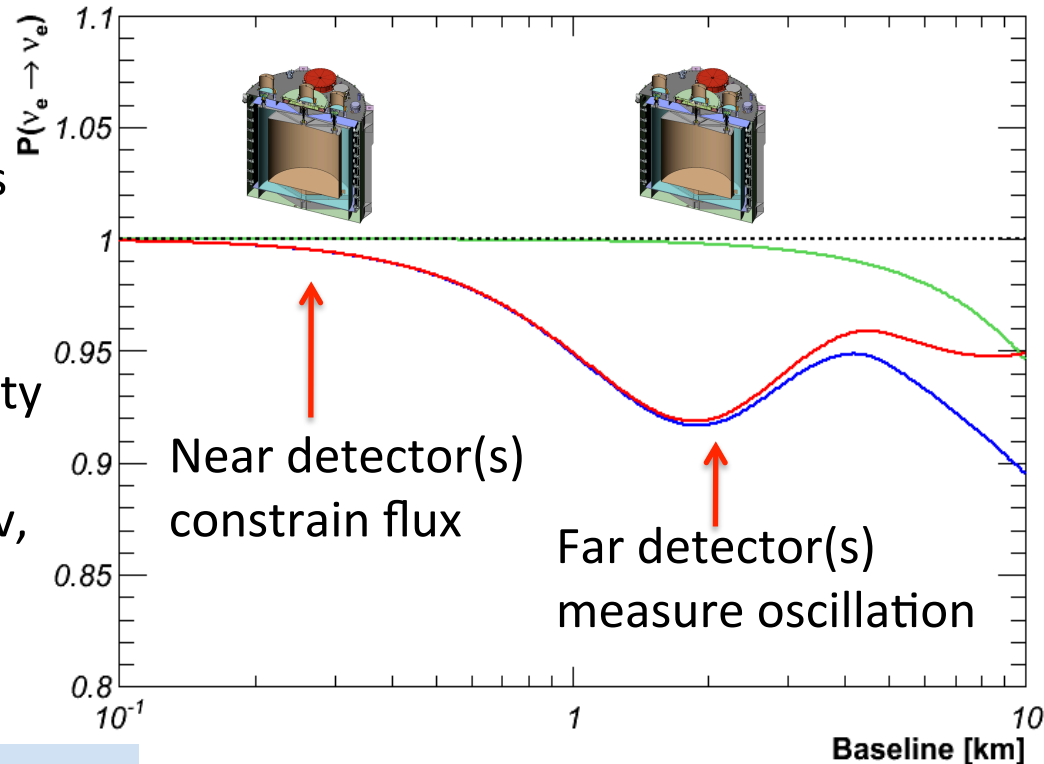
## Absolute Reactor Flux:

Largest uncertainty in previous measurements

## Relative Measurement:

Multiple detectors remove absolute uncertainty

First proposed by L. A. Mikaelyan and V.V. Sinev,  
*Phys. Atomic Nucl. 63 1002 (2000)*



Far/Near  $\nu_e$  Ratio

Distances from reactor

Oscillation deficit

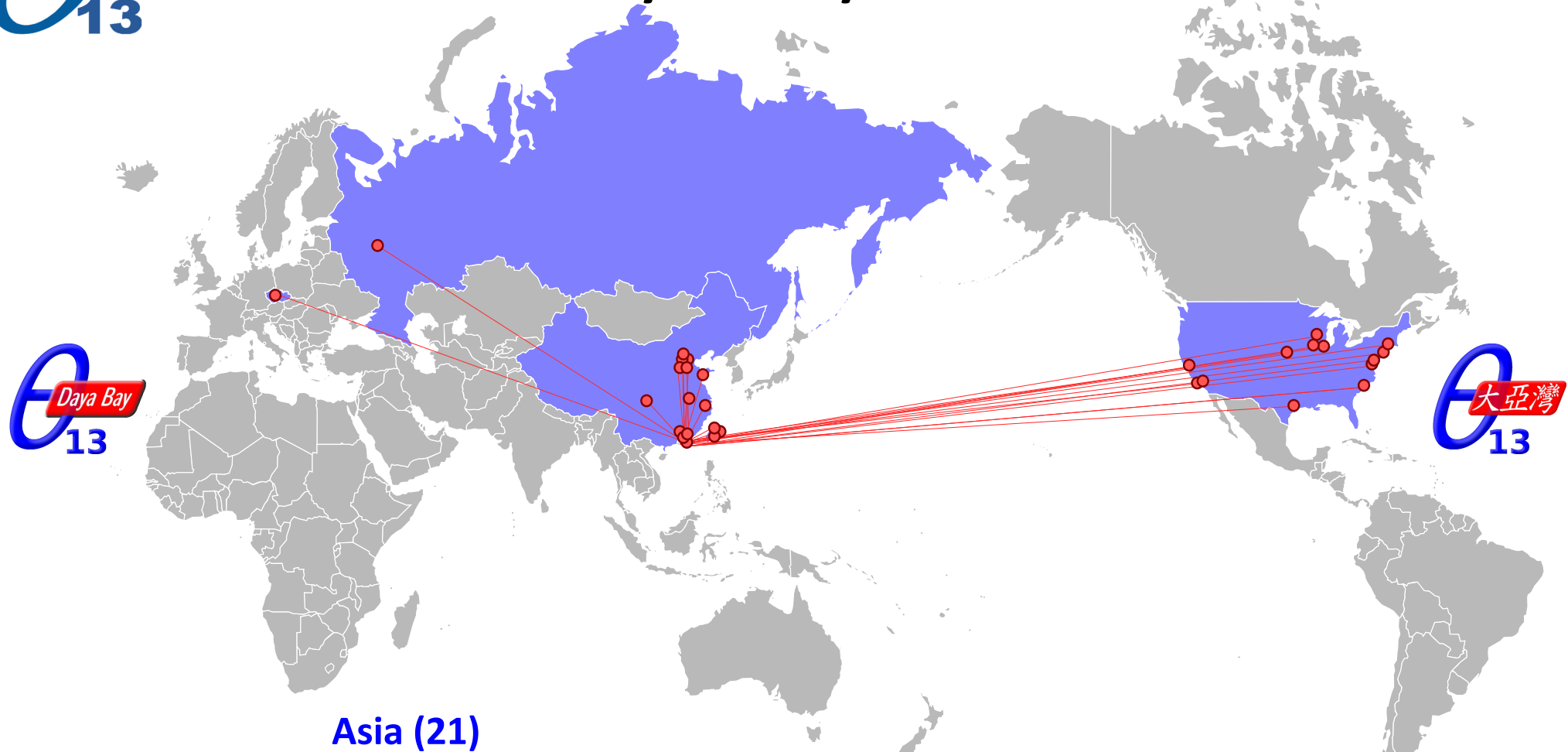
$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left[ \frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)} \right]$$

Detector Target Mass

Detector efficiency



# The Daya Bay Collaboration



## Asia (21)

Beijing Normal Univ., CGNPG, CIAE, Dongguan Polytechnic, ECUST, IHEP, Nanjing Univ., Nankai Univ., NCEPU, Shandong Univ., Shanghai Jiao Tong Univ., Shenzhen Univ., Tsinghua Univ., USTC, Xian Jiaotong Univ., Zhongshan Univ., Chinese Univ. of Hong Kong, Univ. of Hong Kong, National Chiao Tung Univ., National Taiwan Univ., National United Univ.

## Europe (2)

Charles University, JINR Dubna

## North America (17)

Brookhaven Natl Lab, CalTech, Illinois Institute of Technology, Iowa State, Lawrence Berkeley Natl Lab, Princeton, Rensselaer Polytechnic, Siena College, UC Berkeley, UCLA, Univ. of Cincinnati, Univ. of Houston, UIUC, Univ. of Wisconsin, Virginia Tech, William & Mary, Yale

*~230 people from 40 institutions*



# The Daya Bay Experiment



Mountains shield detectors from cosmic ray background

Daya Bay NPP  
2 2.9 GW<sub>th</sub>

Ling Ao I NPP  
2 2.9 GW<sub>th</sub>

Ling Ao II NPP  
2 2.9 GW<sub>th</sub>

Entrance to Daya Bay experiment tunnels

**Among the top 5 most powerful reactor complexes in the world, 6 cores produce 17.4 GW<sub>th</sub> power,  $35 \times 10^{20}$  neutrinos per second**

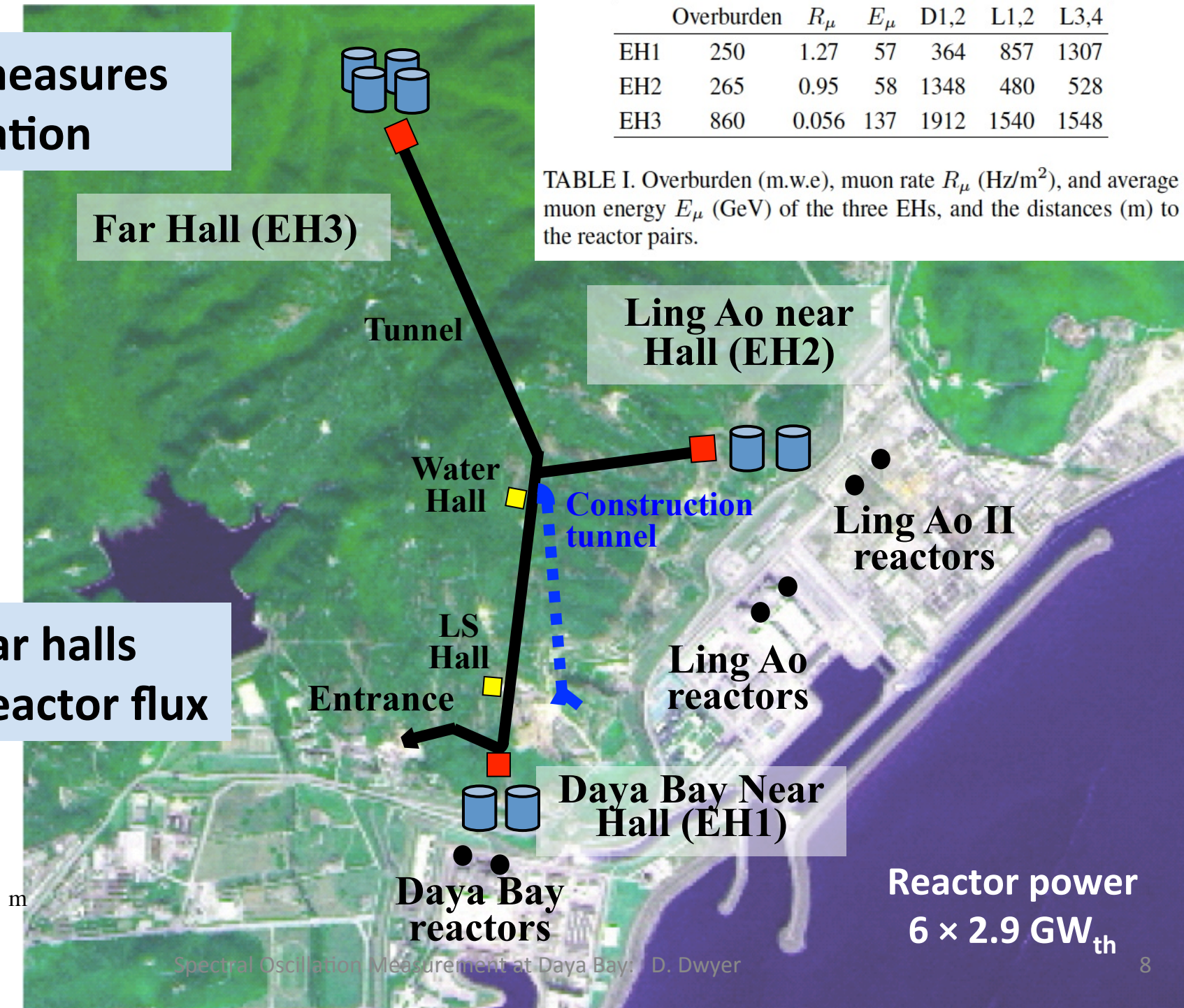


# Experiment Layout

Far hall measures oscillation

Far Hall (EH3)

Two near halls constrain reactor flux



	Overburden	$R_\mu$	$E_\mu$	D1,2	L1,2	L3,4
EH1	250	1.27	57	364	857	1307
EH2	265	0.95	58	1348	480	528
EH3	860	0.056	137	1912	1540	1548

TABLE I. Overburden (m.w.e), muon rate  $R_\mu$  (Hz/m<sup>2</sup>), and average muon energy  $E_\mu$  (GeV) of the three EHs, and the distances (m) to the reactor pairs.

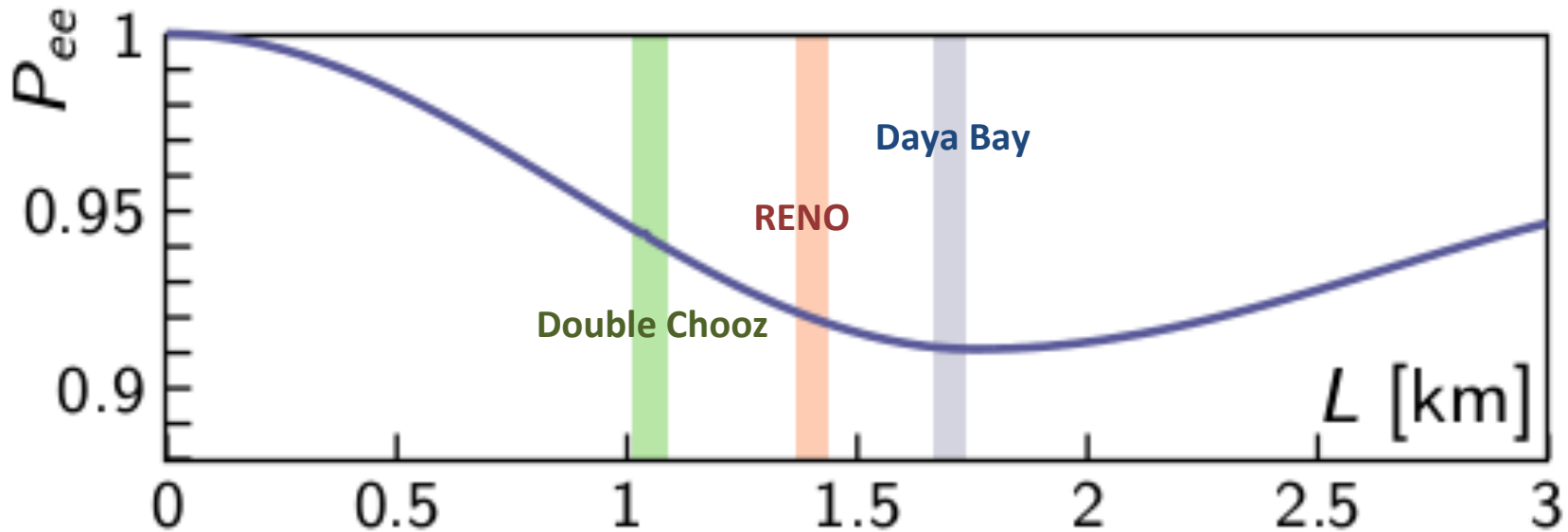
Reactor power  
6 × 2.9 GW<sub>th</sub>



# Reactor Experiments

## Baseline Optimization

Atmospheric and accelerator  $\nu$  oscillation  $\Delta m_{\mu\mu}^2$  suggest to search at  $\sim 1.8$  km.



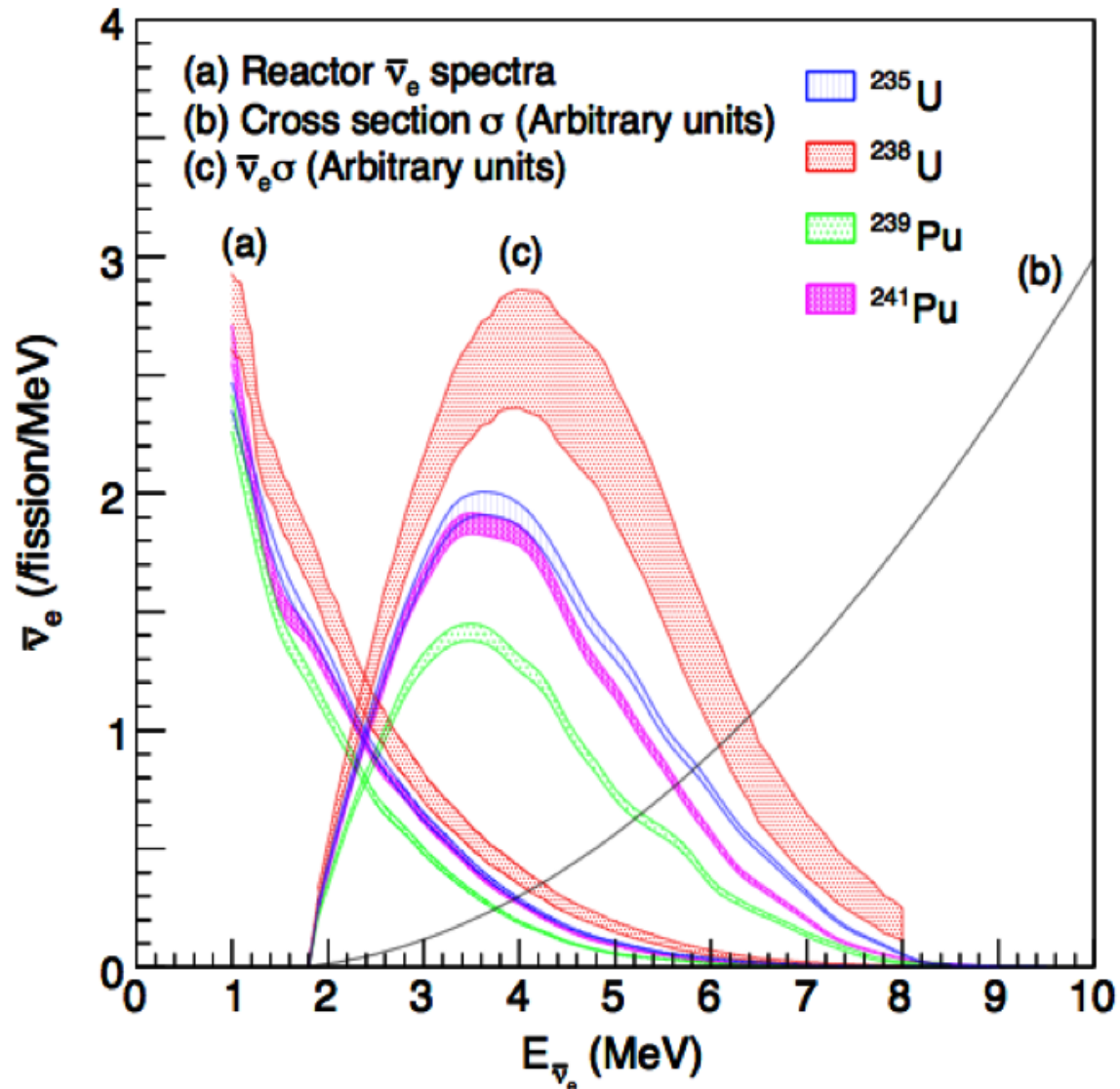
## Go strong, big and deep!

	Reactor [ $\text{GW}_{\text{th}}$ ]	Target [tons]	Depth [m.w.e]
<b>Double Chooz</b>	8.6	16 ( $2 \times 8$ )	300, 120 (far, near)
<b>RENO</b>	16.5	32 ( $2 \times 16$ )	450, 120
<b>Daya Bay</b>	17.4	160 ( $8 \times 20$ )	860, 250
	Large Signal		Low Background

# Reactor Antineutrinos

Nuclear fission releases: **~6 antineutrinos/fission**

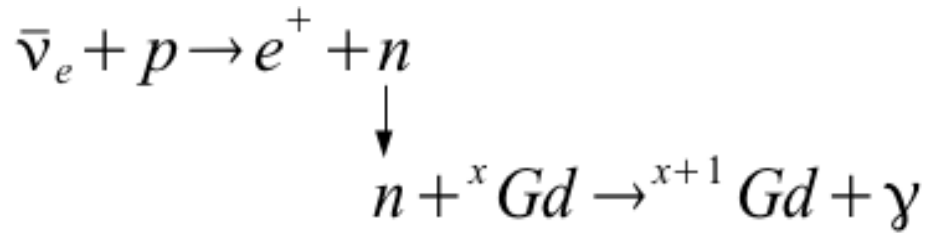
Standard electric power reactor: **~10<sup>20</sup> fissions/second**





# Detection Method

## Inverse $\beta$ -decay (IBD):



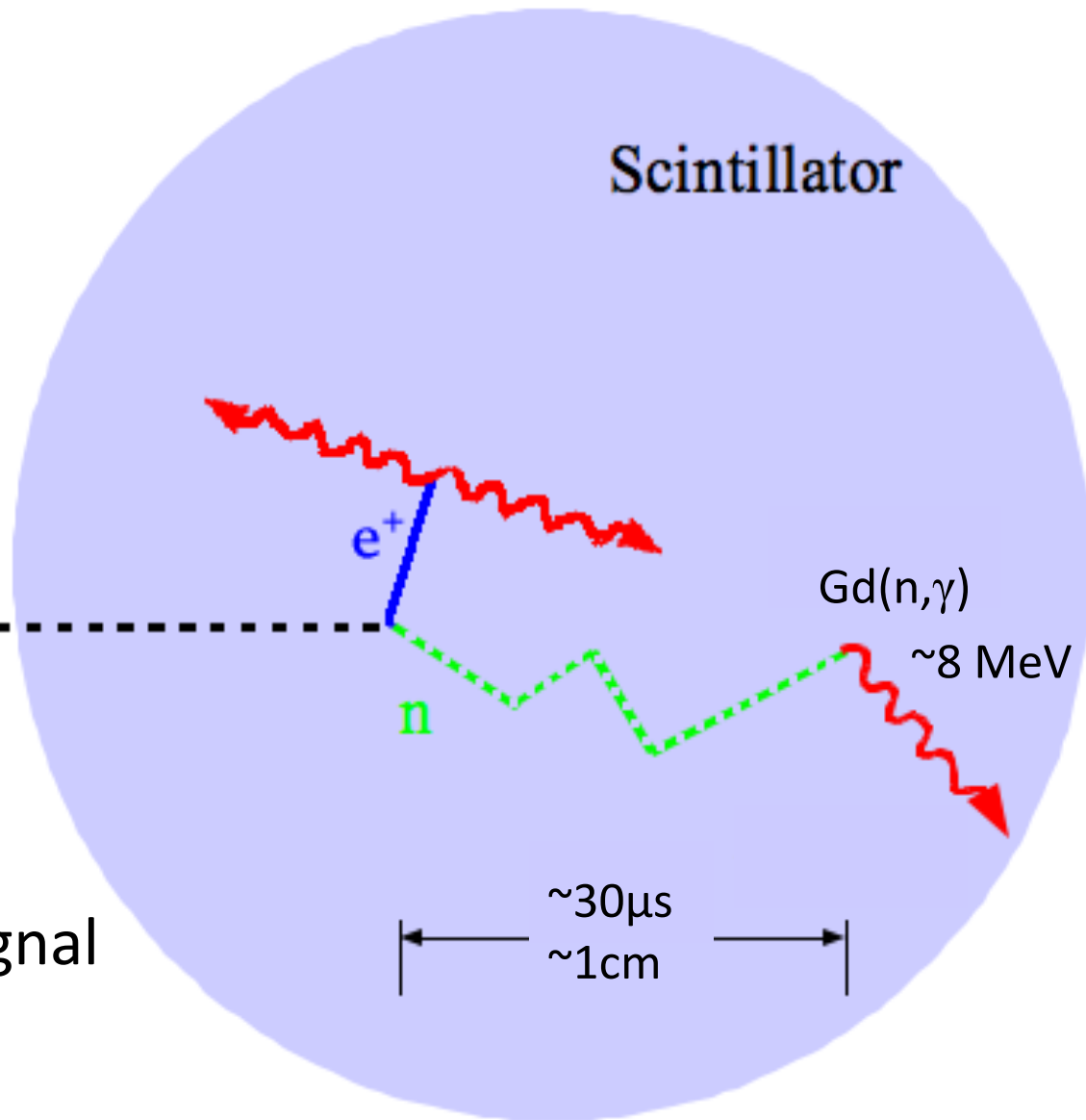
## Prompt positron:

Carries antineutrino energy

$$E_{e^+} \approx E_{\bar{\nu}_e} - 0.8 \text{ MeV}$$

## Delayed neutron capture:

Efficiently tags antineutrino signal



**Prompt + Delayed coincidence provides distinctive signature**

# Antineutrino Detector (AD) Design

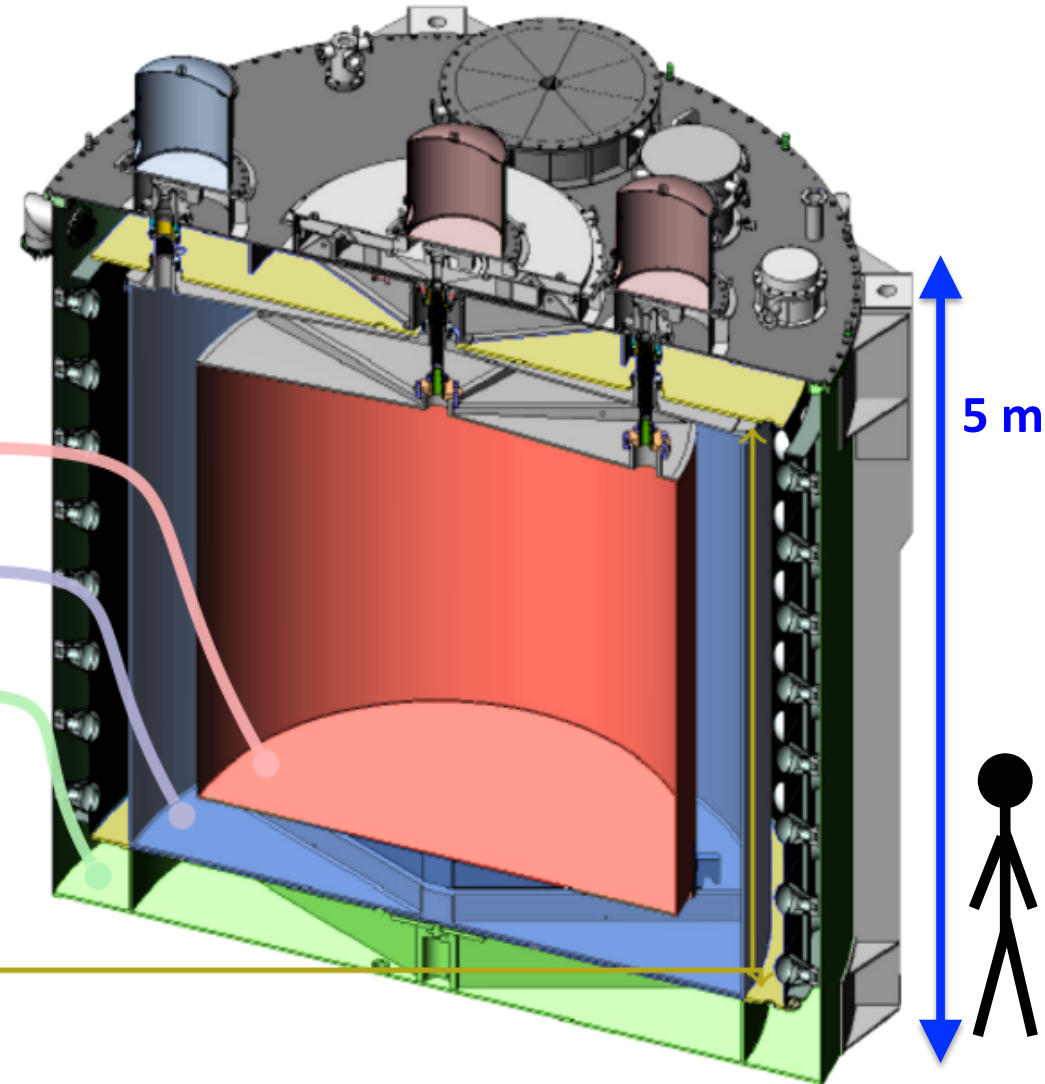
8 functionally identical detectors  
reduce systematic uncertainties

## 3 zone cylindrical vessels

	Liquid	Mass	Function
Inner acrylic	Gd-doped liquid scint.	20 t	Antineutrino target
Outer acrylic	Liquid scintillator	20 t	Gamma catcher
Stainless steel	Mineral oil	40 t	Radiation shielding

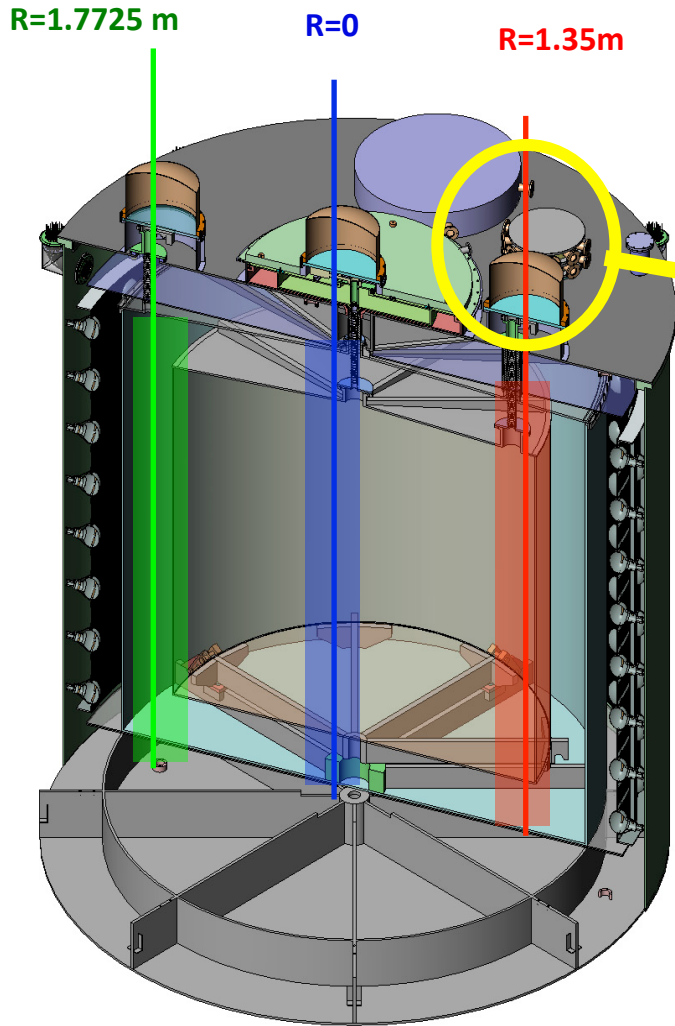
192 8 inch PMTs in each detector

Top and bottom reflectors increase light yield  
and flatten detector response



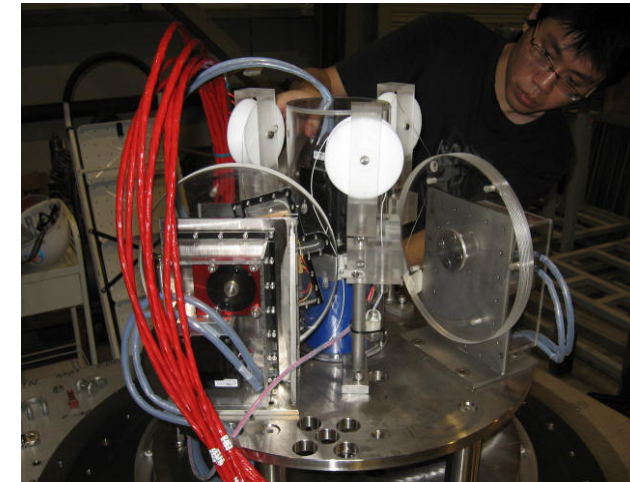
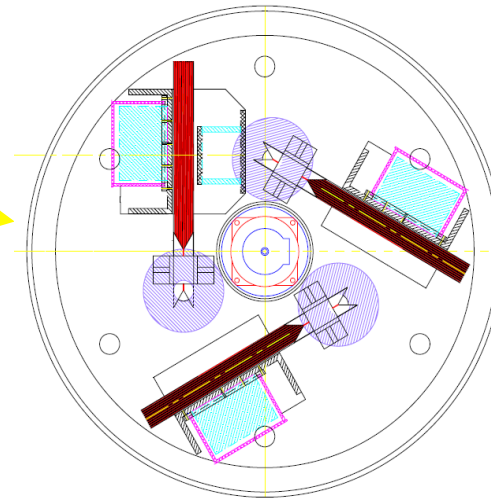
# Automated Calibration System

3 Automatic calibration 'robots' (ACUs) on each detector



Three axes: center, edge of target, middle of gamma catcher

Top view



### 3 sources in each robot, including:

- 10 Hz  $^{68}\text{Ge}$  (0 KE  $e^+$  =  $2 \times 0.511$  MeV  $\gamma$ 's)
- 0.75 Hz  $^{241}\text{Am}$ - $^{13}\text{C}$  neutron source (3.5 MeV n without  $\gamma$ ) + 100 Hz  $^{60}\text{Co}$  gamma source (1.173+1.332 MeV  $\gamma$ )
- LED diffuser ball (500 Hz) for time calibration

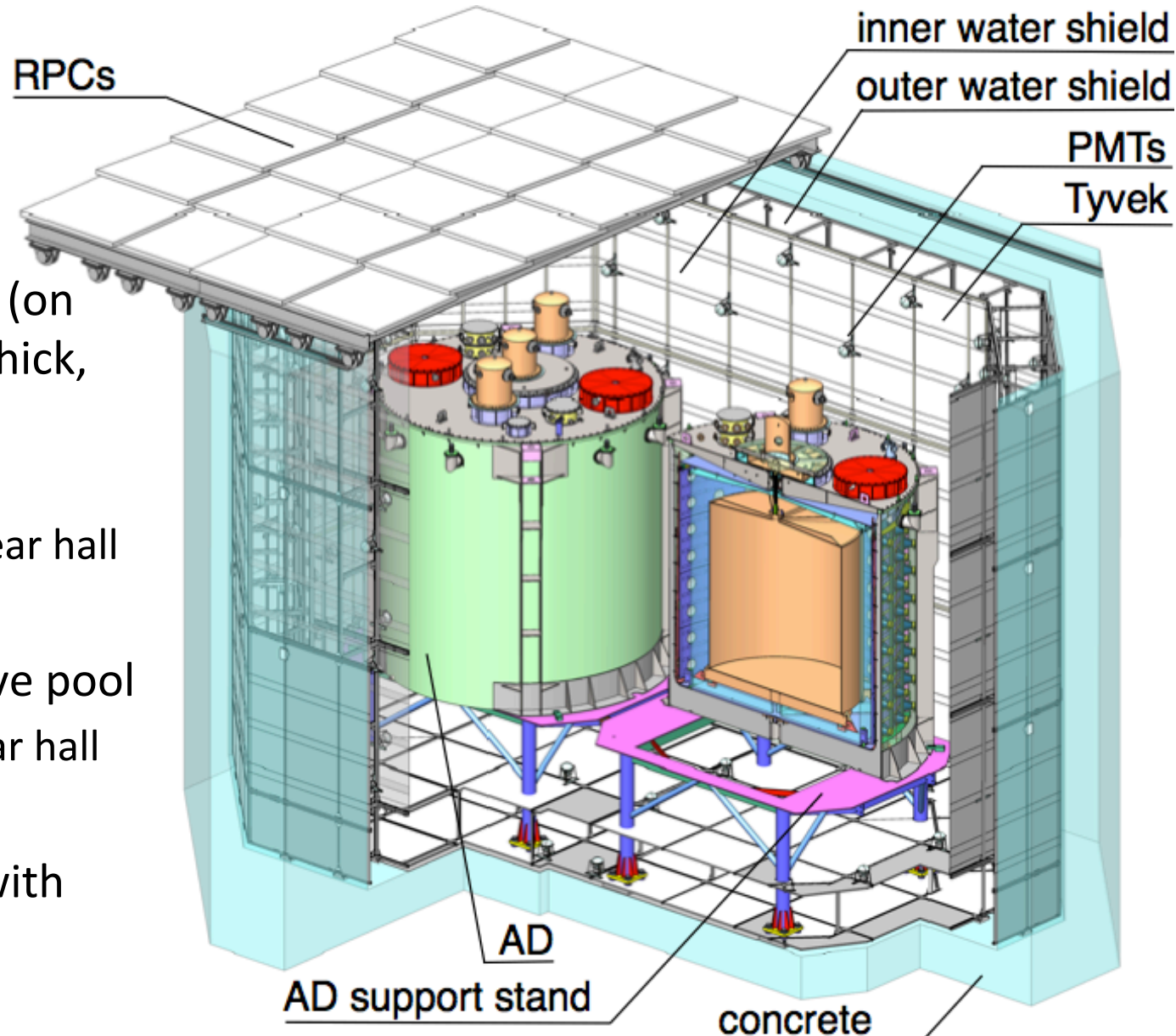
### Temporary special calibration sources:

$\gamma$ :  $^{137}\text{Cs}$  (0.662 MeV),  $^{54}\text{Mn}$  (0.835 MeV),  $^{40}\text{K}$  (1.461 MeV)  
 $n$ :  $^{241}\text{Am}$ - $^9\text{Be}$ ,  $^{239}\text{Pu}$ - $^{13}\text{C}$



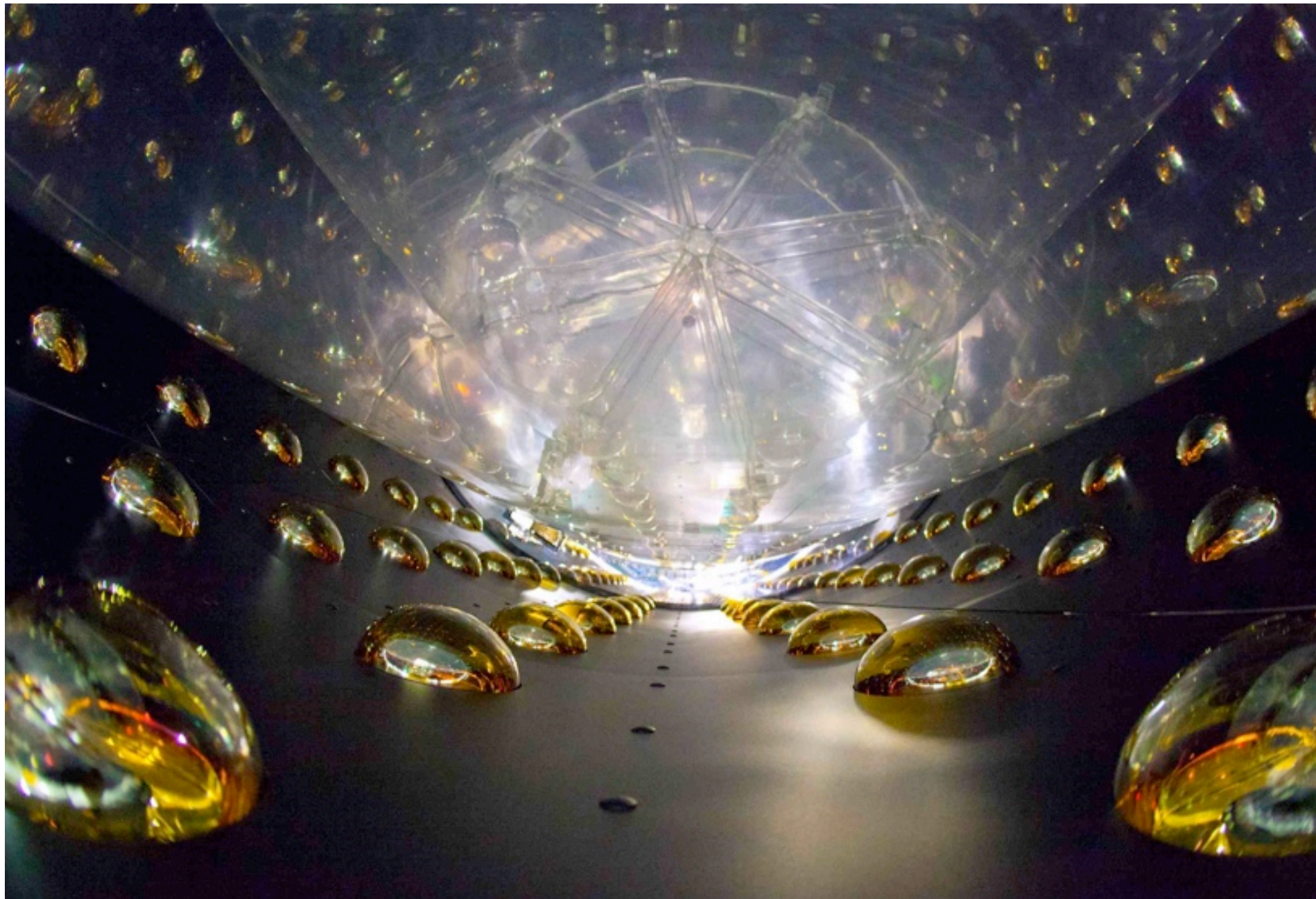
# Muon Detector System

Dual tagging systems: 2.5 meter thick two-section water shield and RPCs



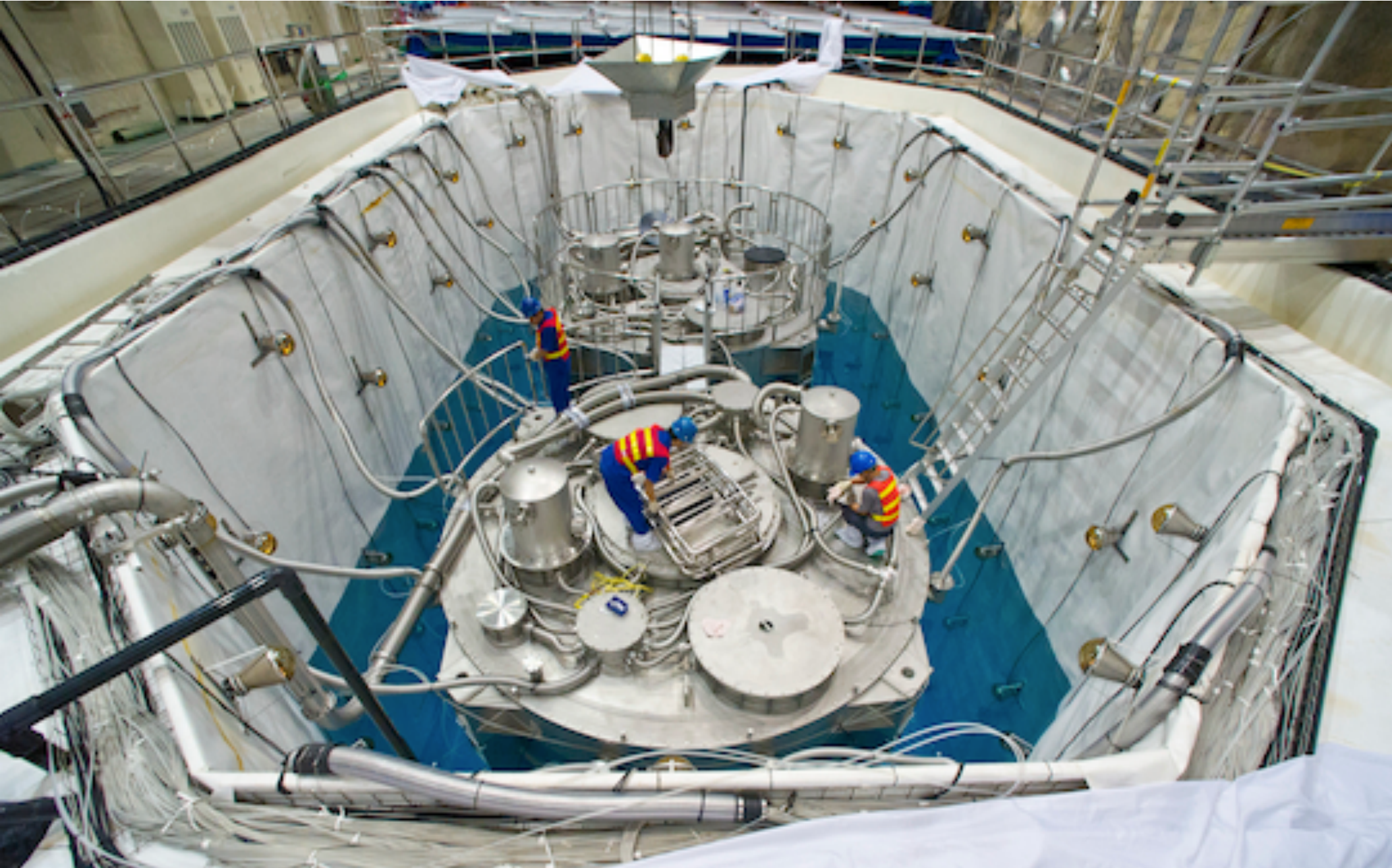
- Outer layer of water veto (on sides and bottom) is 1m thick, inner layer >1.5m. Water extends 2.5m above ADs
  - 288 8" PMTs in each near hall
  - 384 8" PMTs in Far Hall
- 4-layer RPC modules above pool
  - 54 modules in each near hall
  - 81 modules in Far Hall
- Goal efficiency: > 99.5% with uncertainty <0.25%

# Interior of Antineutrino Detector





# Installed Underground





# Analyzed Data Sets

## Two detector comparison [1202.6181]

- 90 days of data, Daya Bay near only
- NIM A **685** (2012), 78-97

## First oscillation analysis [1203:1669]

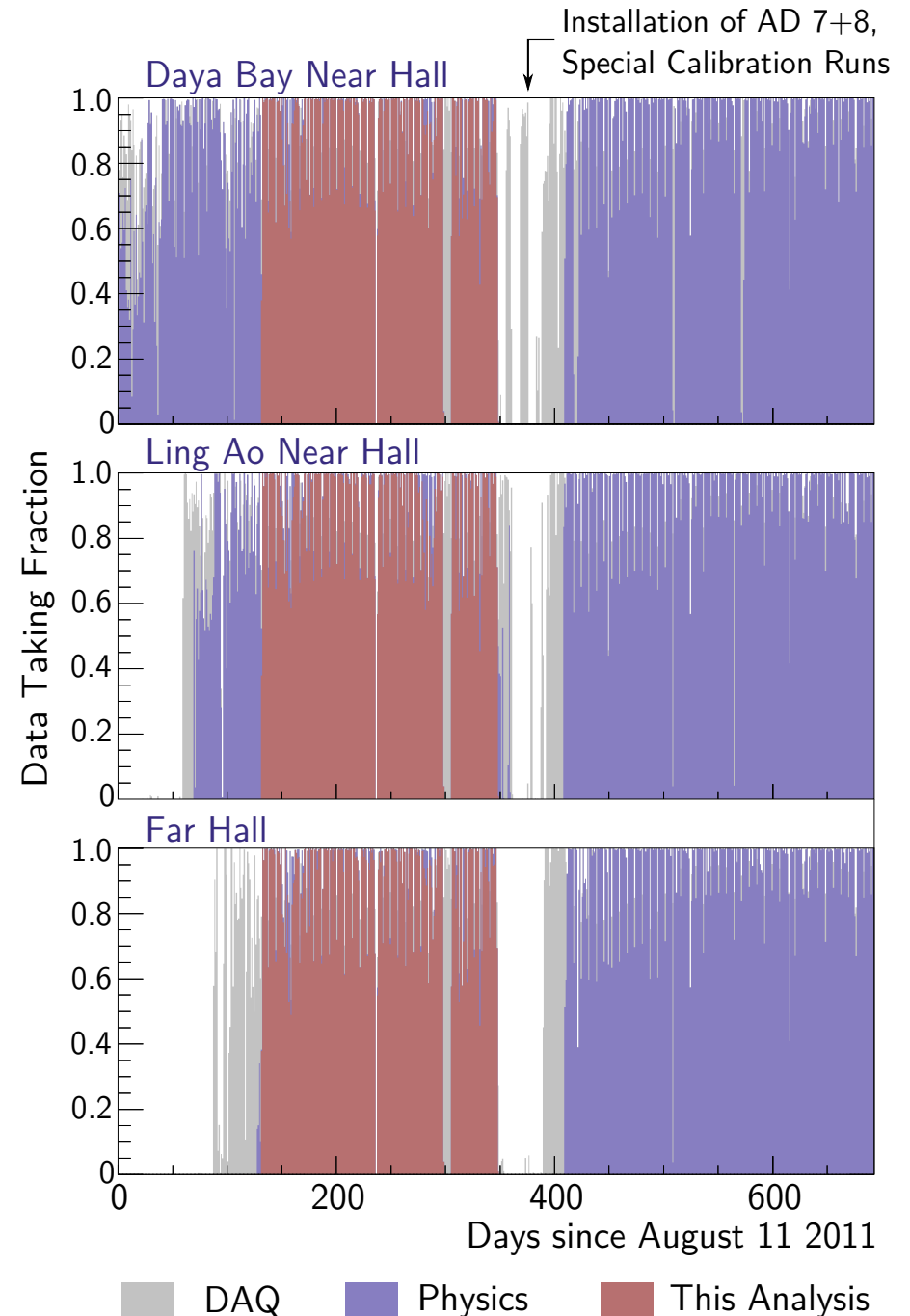
- 55 days of data, 6 ADs near+far
- PRL **108** (2012), 171803

## Improved oscillation analysis [1210.6327]

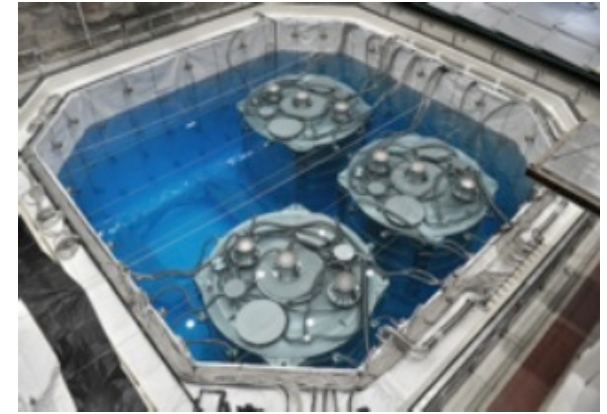
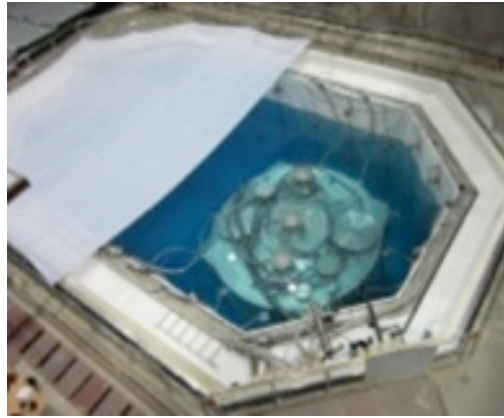
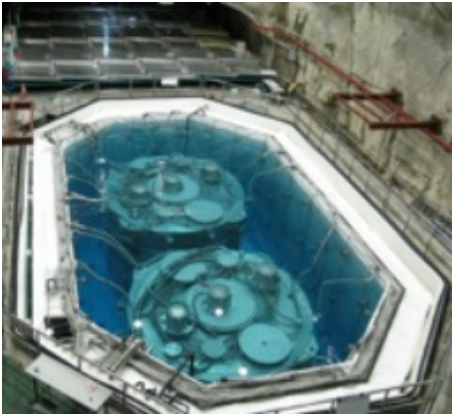
- 139 days of data, 6 ADs near+far
- CP C **37** (2013), 011001

## Spectral Analysis

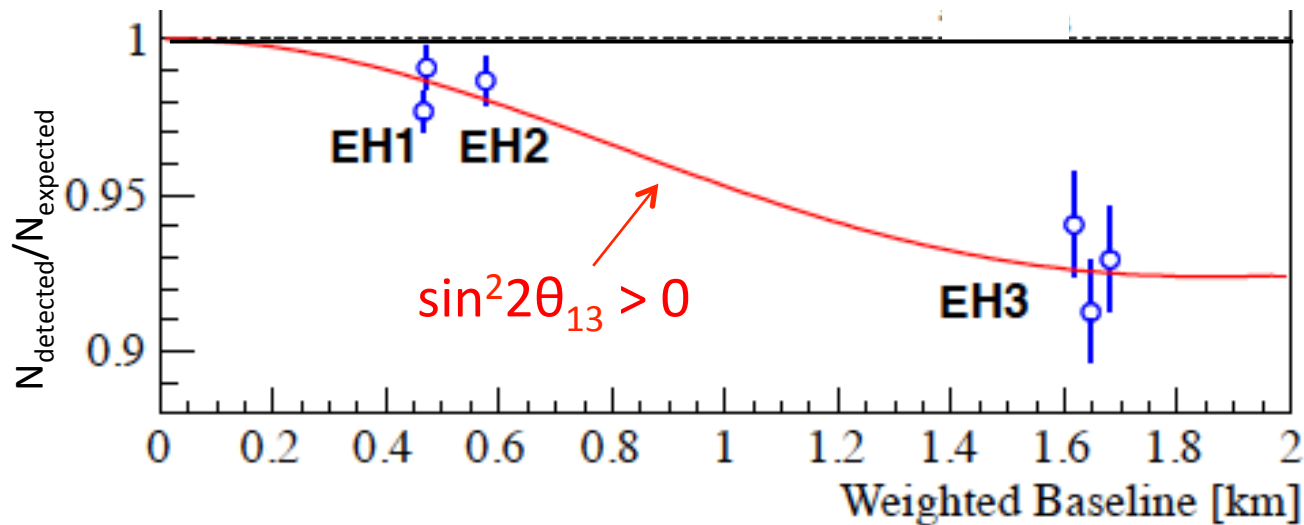
- 217 days, complete 6 AD period
- 55% more statistics than CPC result



# Initial Results



Based on 55 days of data with 6 ADs, discovered disappearance of reactor  $\bar{\nu}_e$  at short baseline in March 2012. [PRL 108, 171803]



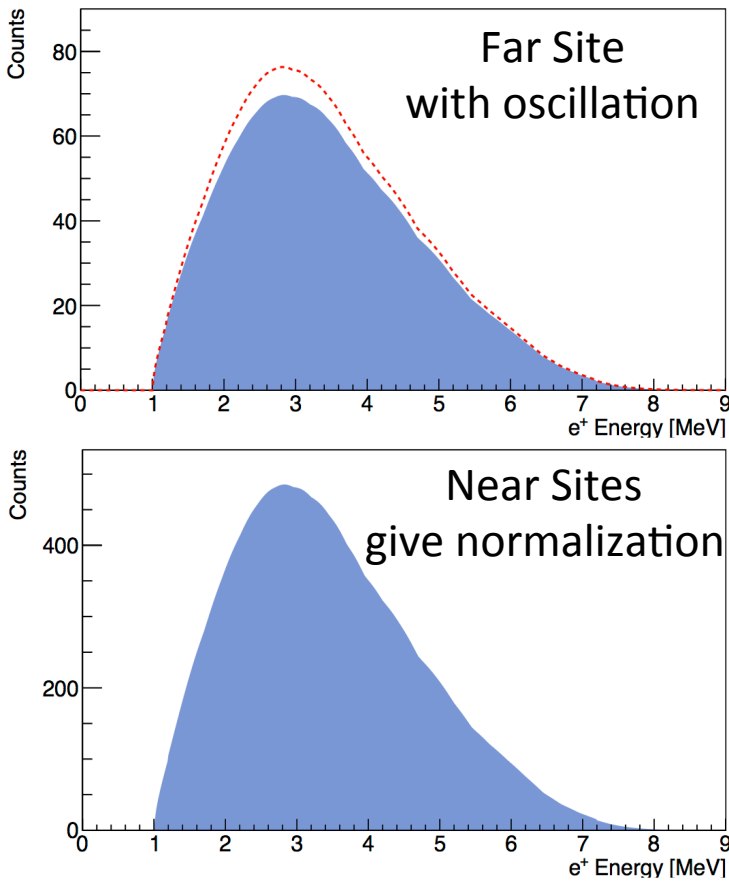
Obtained the most precise value of  $\theta_{13}$  in Jun. 2012:

$$\sin^2 2\theta_{13} = 0.089 \pm 0.010 \pm 0.005 \quad [\text{CPC } 37, 011001]$$

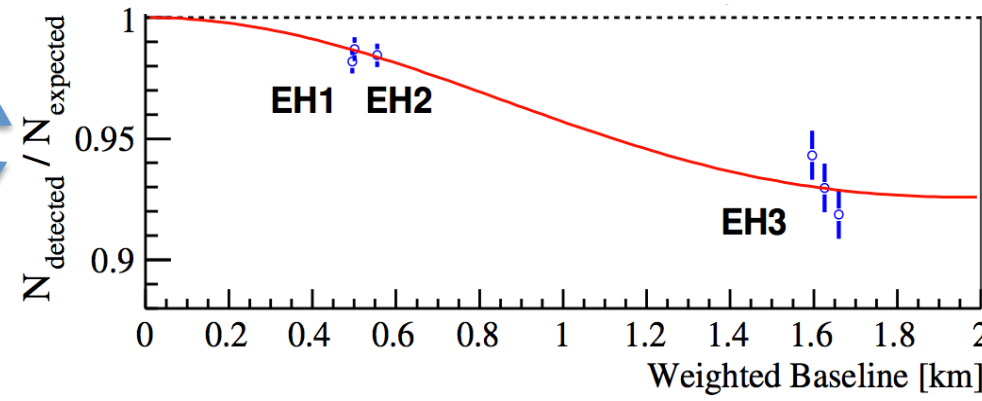
# Rate vs. Spectral Information

## Rate-only Analysis:

*Previously reported*



Integrate all energies



**Advantages:** Fewer systematic uncertainties

**Disadvantages:** Less sensitive, Unable to constrain  $\Delta m_{ee}^2$

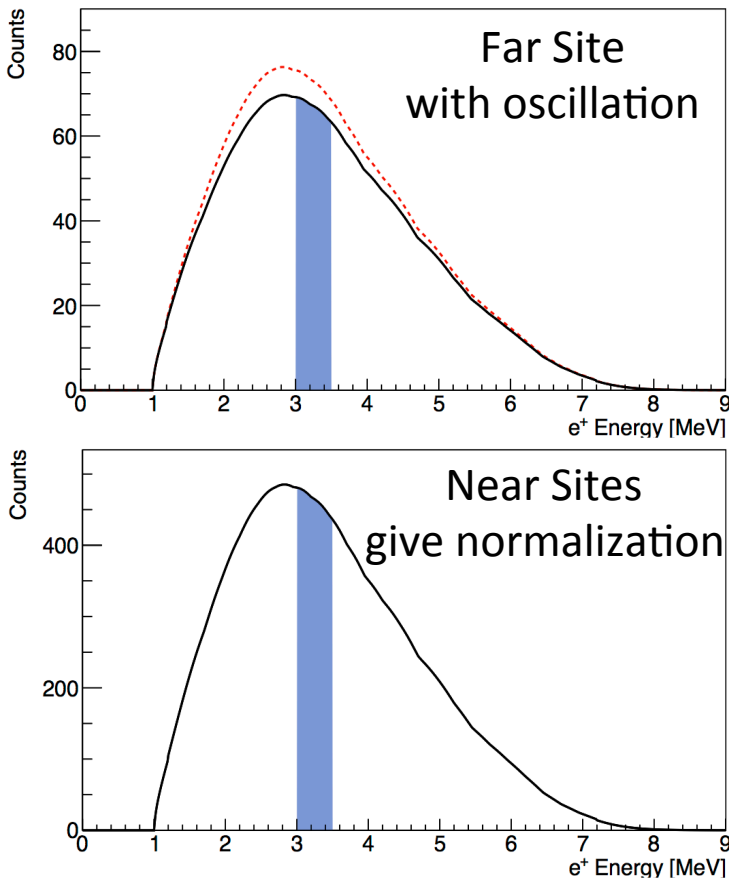
$$\frac{N_{far}}{N_{near}} = \frac{N_{protons, far}}{N_{protons, near}} \frac{L_{near}^2}{L_{far}^2} \frac{\epsilon_{far}}{\epsilon_{near}} \frac{\int_{E_{min}}^{E_{max}} dE P_{surv}(E, L_{far}; \theta_{13}, \Delta m_{ee}^2) \sigma(E) \Phi(E)}{\int_{E_{min}}^{E_{max}} dE P_{surv}(E, L_{near}; \theta_{13}, \Delta m_{ee}^2) \sigma(E) \Phi(E)}$$



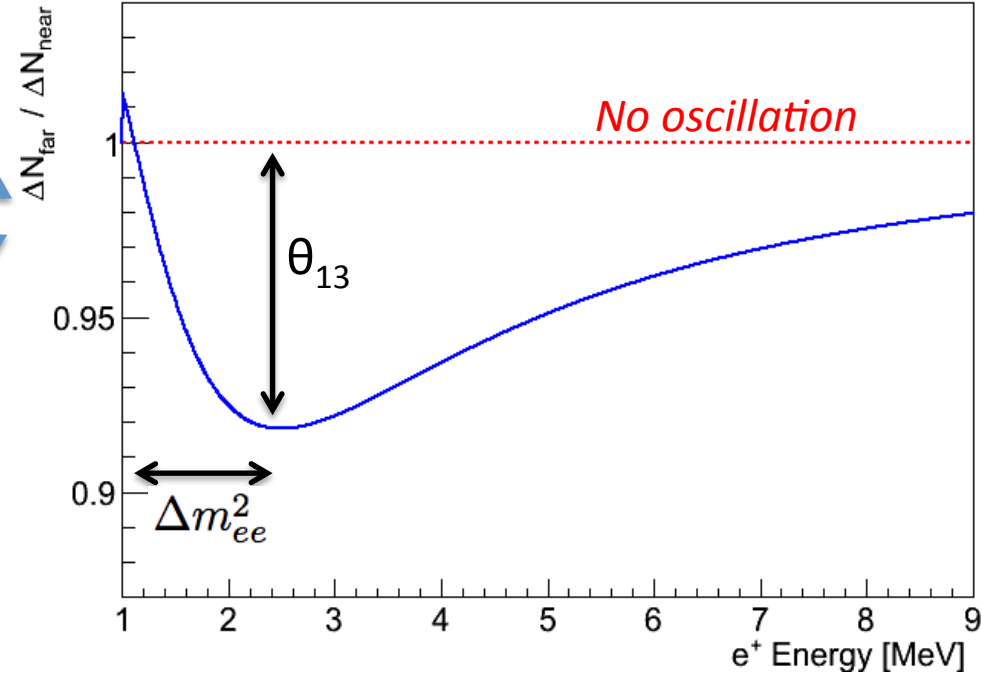
# Rate vs. Spectral Information

## Spectral Analysis:

*Latest method*



Compare each energy



**Advantages:** Each energy bin is an independent oscillation measurement,  $\Delta m_{ee}^2$

**Disadvantages:** Requires detailed understanding of detector energy response.

$$\frac{\frac{dN_{far}}{dE}}{\frac{dN_{near}}{dE}} = \frac{N_{protons, far} L_{near}^2 \epsilon_{far} P_{surv}(E, L_{far}; \theta_{13}, \Delta m_{ee}^2) \sigma(E) \Phi(E)}{N_{protons, near} L_{far}^2 \epsilon_{near} P_{surv}(E, L_{near}; \theta_{13}, \Delta m_{ee}^2) \sigma(E) \Phi(E)}$$

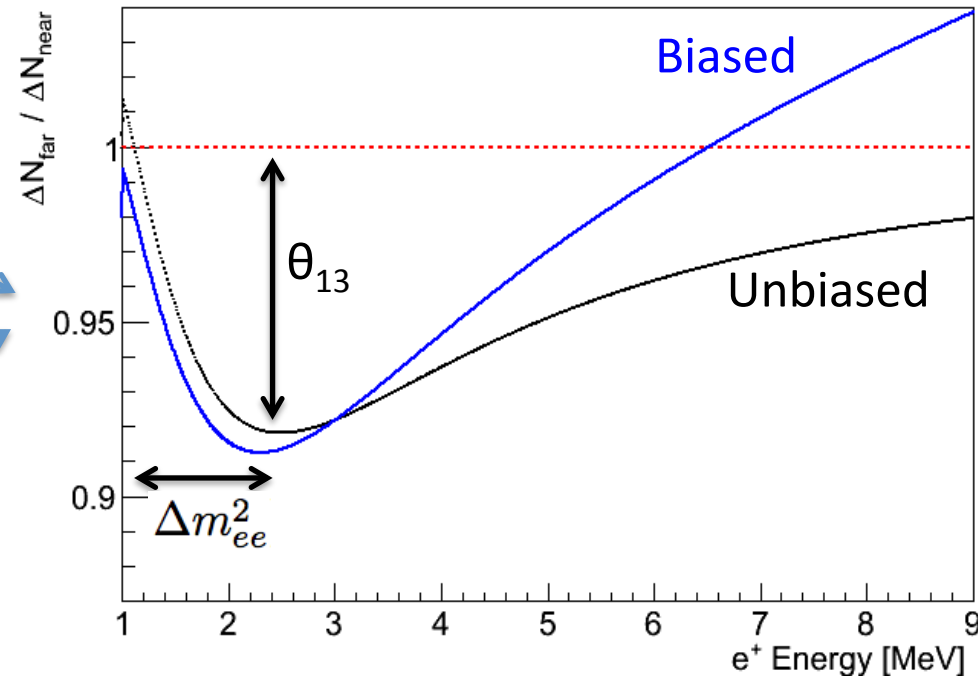
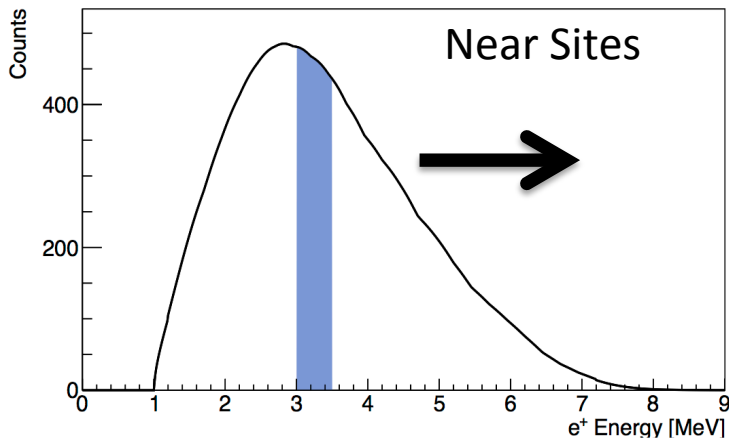
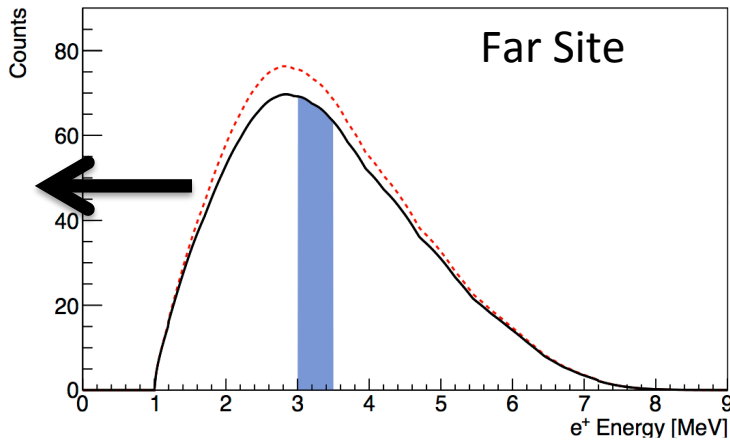
# Wading into the thick...





# Energy Scale Systematics

Relative shift in energy between detectors can bias oscillation



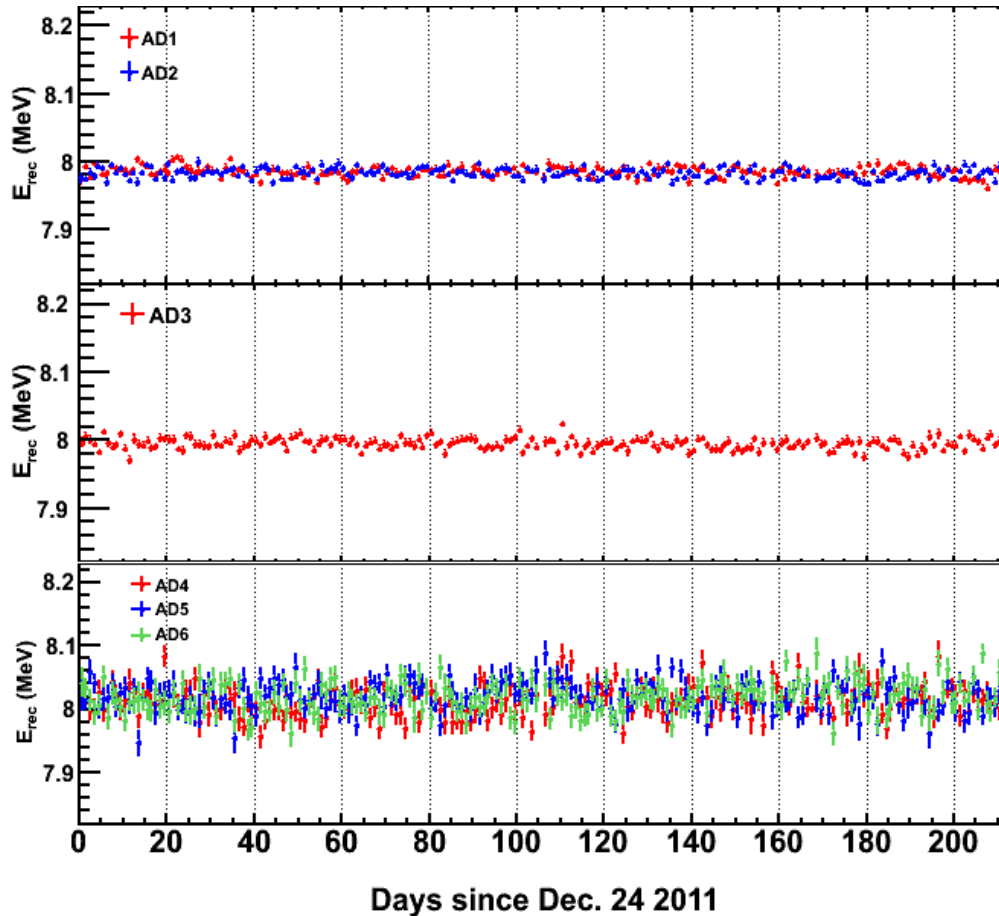
Requires careful detector calibration

# Calibration: Performance

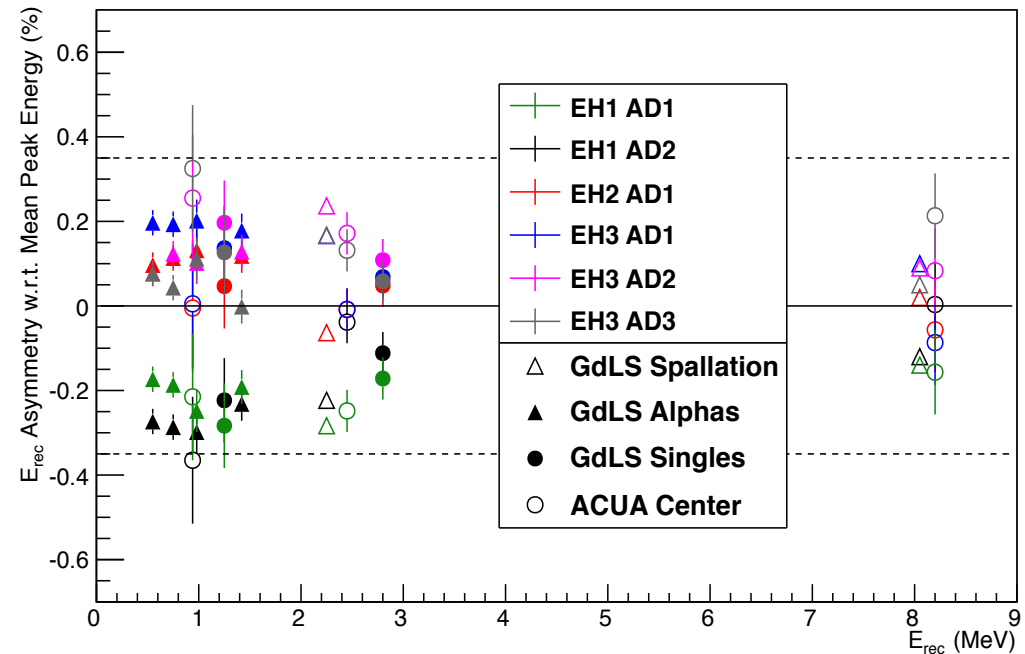
Obtain a stable and consistent Energy Response

After calibration, Daya Bay detectors are **stable to  $\sim 0.1\%$** , with **relative uncertainty of  $0.35\%$** .

Spallation  $n$ Gd capture peak vs. time (after all calibration)

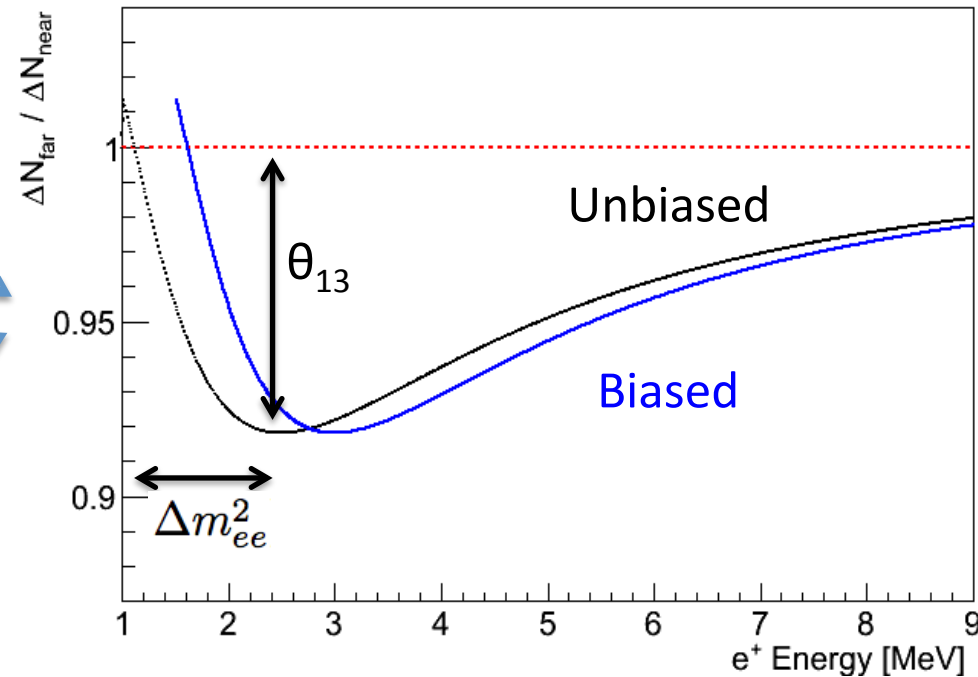
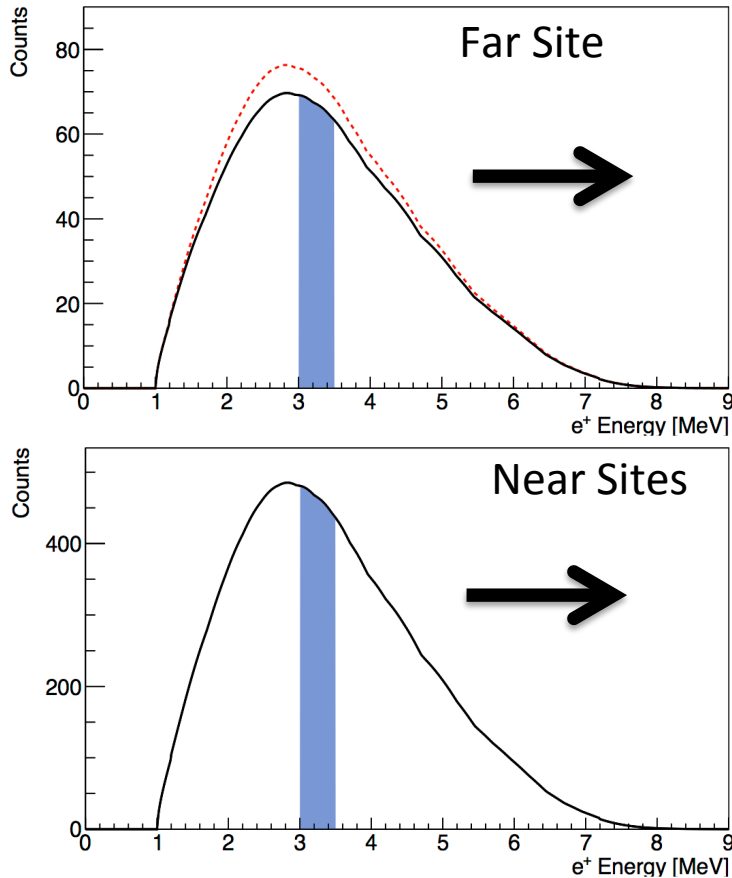


Relative energy peaks in all detectors (after calibration)



# Energy Scale Systematics

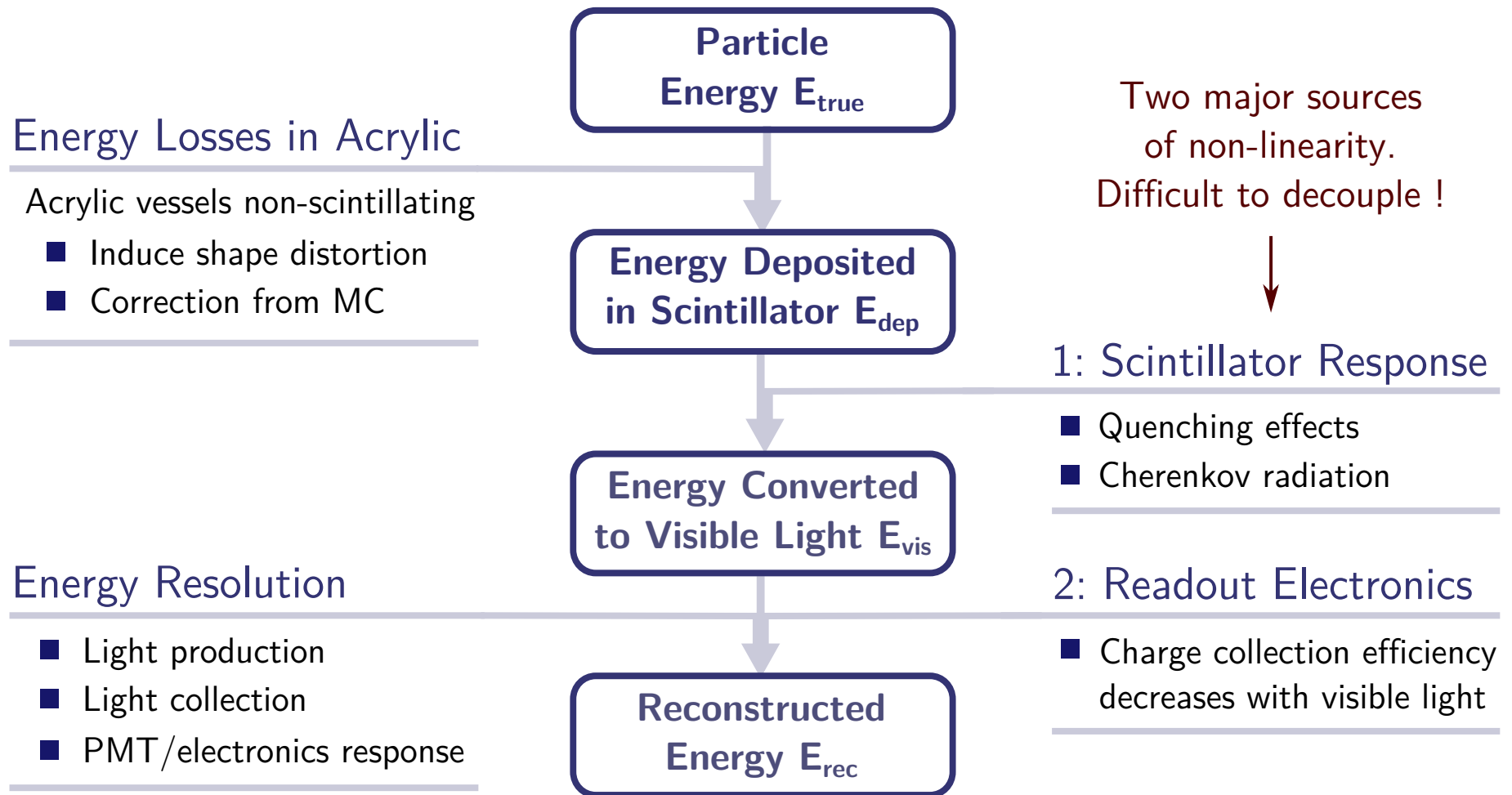
Absolute shift in energy common to detectors can bias oscillation



Requires detailed translation between true and detected  $\bar{\nu}_e$  energy



# Modeling the Energy Response

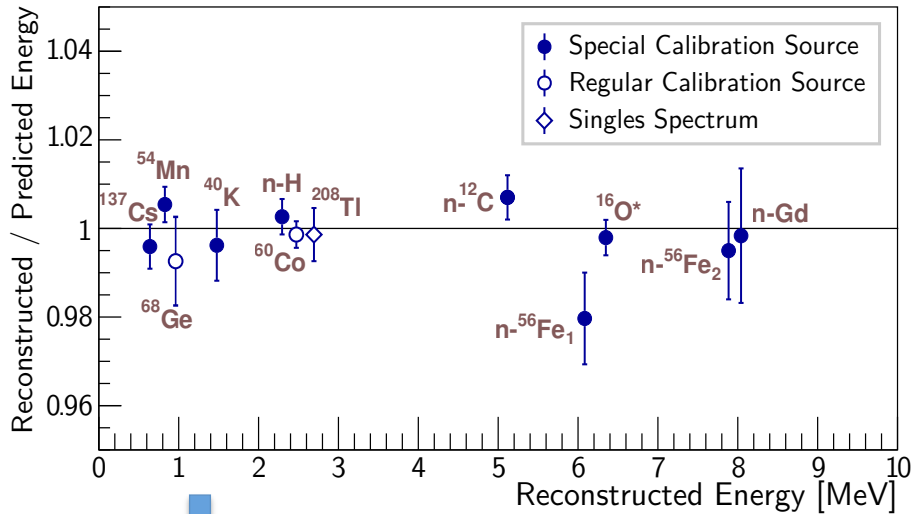


Model maps true energy  $E_{\text{true}}$  to reconstructed kinetic energy  $E_{\text{rec}}$

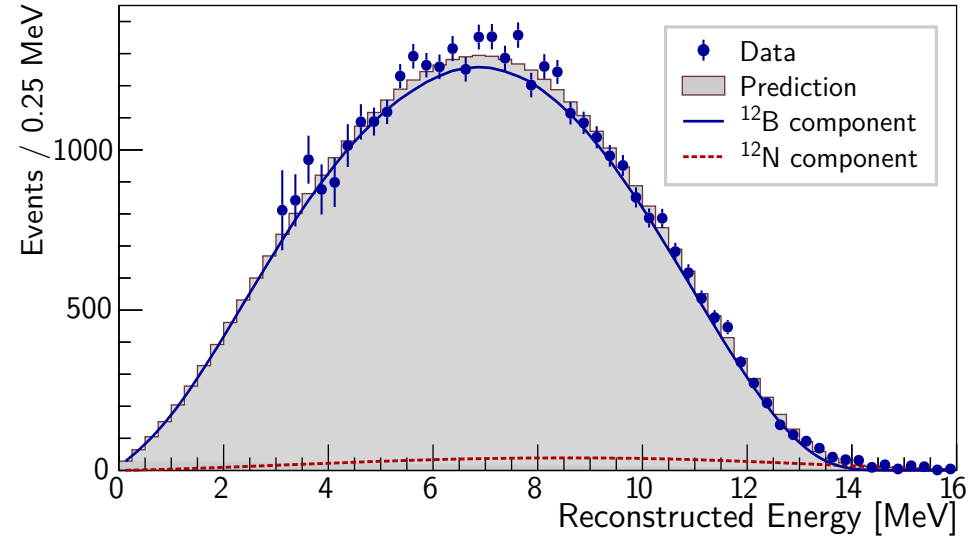
- Minimal impact on oscillation measurement
- Crucial for measurement of reactor spectra

# Modeling the Energy Response

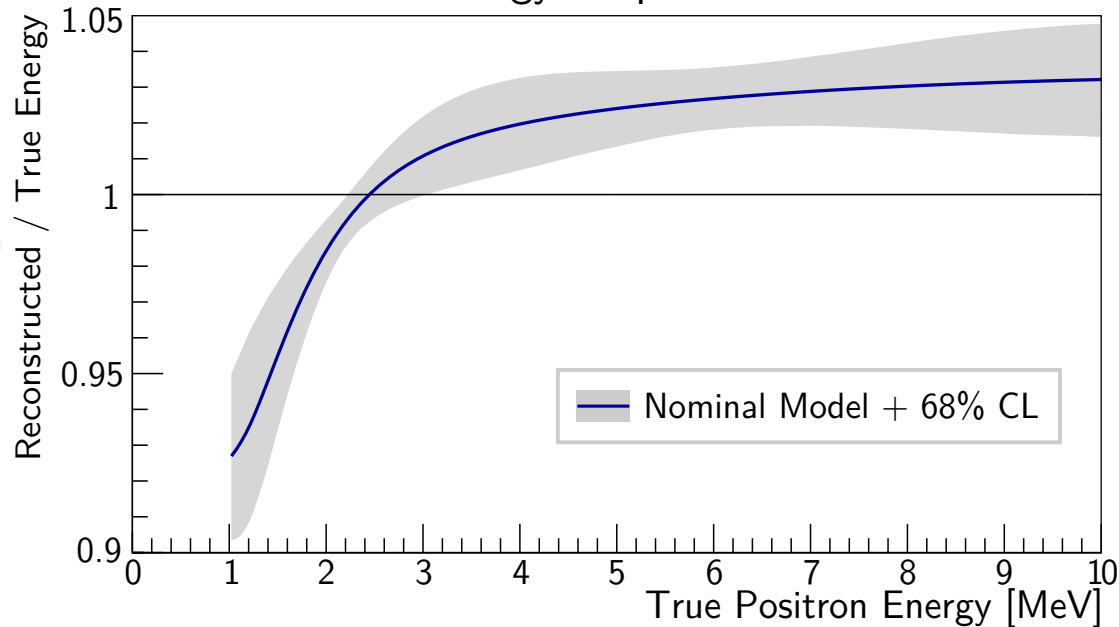
Gamma Sources



Boron Spectrum



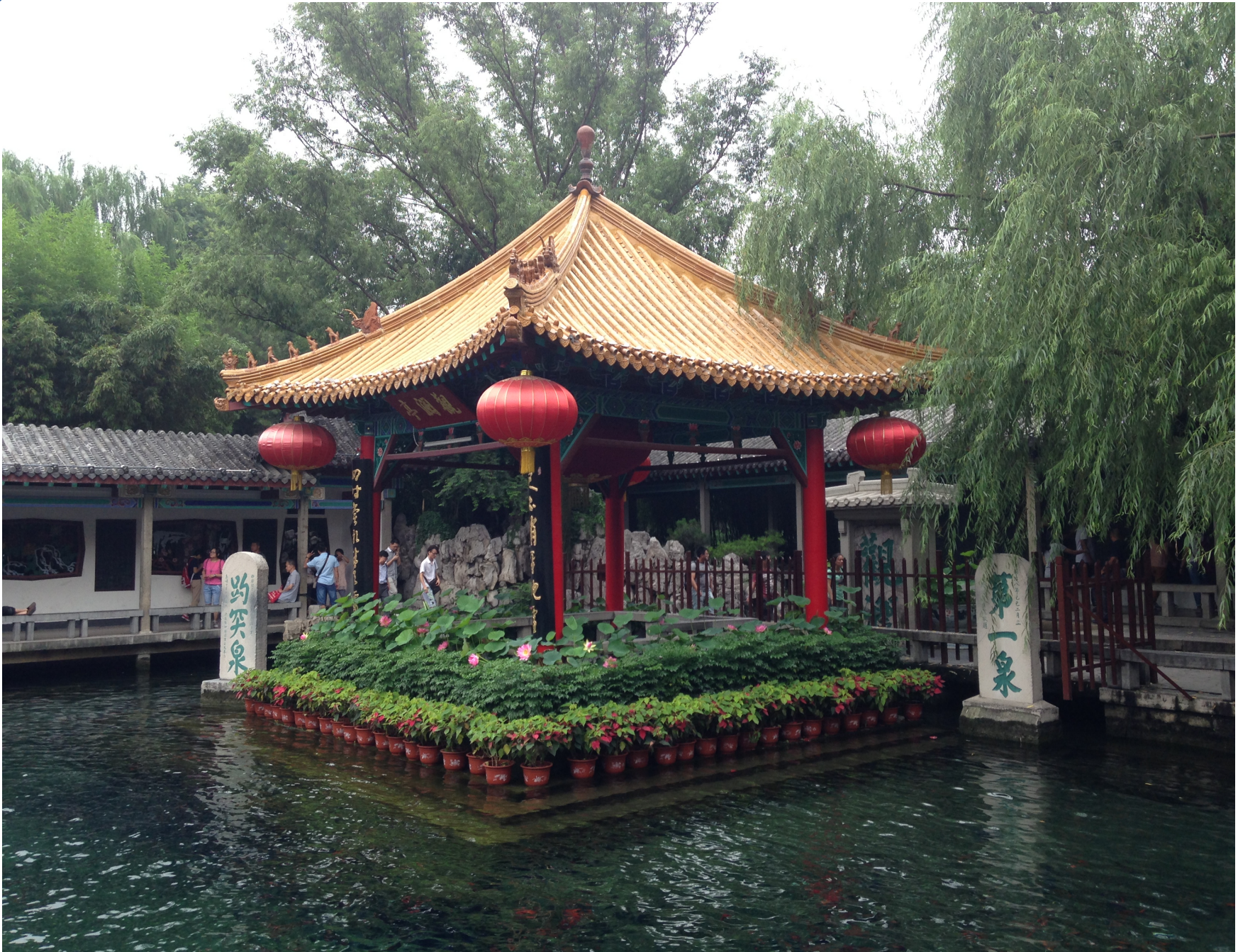
Positron Energy Response Model



**Detector response to  $\gamma$  and  $e^-$  used to predict response to  $e^+$**



# Out of the Swamp...

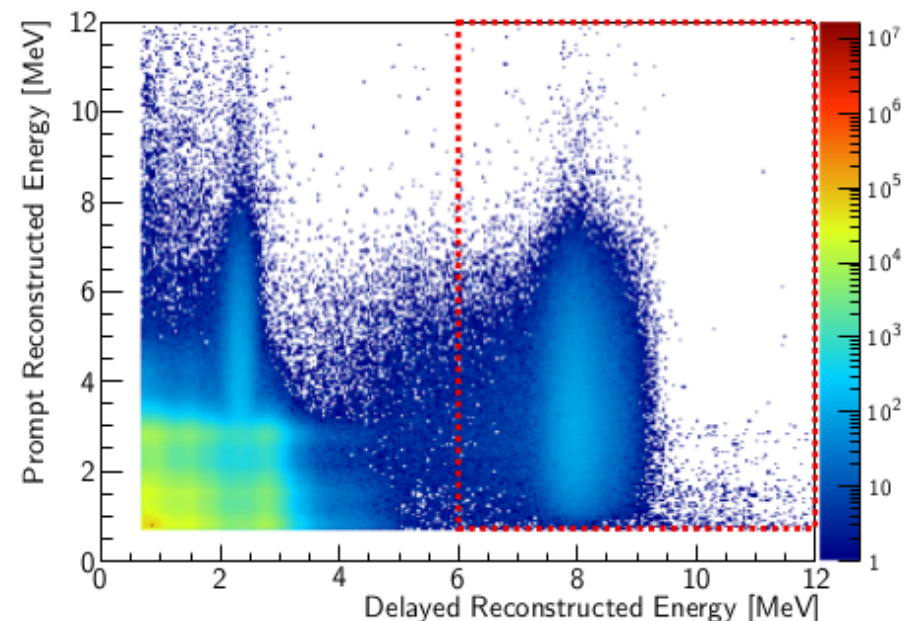
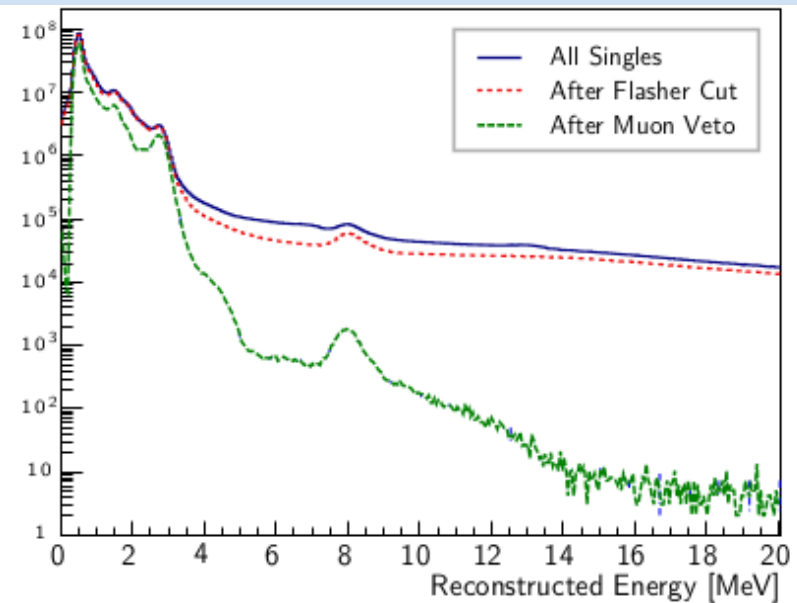




# Antineutrino (IBD) Selection

## Antineutrino interactions cleanly separated from backgrounds

- ① Reject spontaneous PMT light emission ("flashers")
- ② Prompt positron:  
 $0.7 \text{ MeV} < E_p < 12 \text{ MeV}$
- ③ Delayed neutron:  
 $6.0 \text{ MeV} < E_d < 12 \text{ MeV}$
- ④ Neutron capture time:  
 $1 \mu\text{s} < t < 200 \mu\text{s}$
- ⑤ Muon veto:
  - Water pool muon (>12 hit PMTs):  
Reject  $[-2\mu\text{s}; 600\mu\text{s}]$
  - AD muon (>3000 photoelectrons):  
Reject  $[-2 \mu\text{s}; 1400\mu\text{s}]$
  - AD shower muon ( $>3 \times 10^5$  p.e.):  
Reject  $[-2 \mu\text{s}; 0.4\text{s}]$
- ⑥ Multiplicity:
  - No additional prompt-like signal  
 $400\mu\text{s}$  before delayed neutron
  - No additional delayed-like signal  
 $200\mu\text{s}$  after delayed neutron



# Signal and Background Summary

	Near Halls			Far Hall		
	AD 1	AD 2	AD 3	AD 4	AD 5	AD 6
IBD candidates	101290	102519	92912	13964	13894	13731
DAQ live time (days)	191.001		189.645	189.779		
Efficiency $\epsilon_{\mu} \cdot \epsilon_m$	0.7957	0.7927	0.8282	0.9577	0.9568	0.9566
Accidentals (per day)*	$9.54 \pm 0.03$	$9.36 \pm 0.03$	$7.44 \pm 0.02$	$2.96 \pm 0.01$	$2.92 \pm 0.01$	$2.87 \pm 0.01$
Fast-neutron (per day)*	$0.92 \pm 0.46$		$0.62 \pm 0.31$	$0.04 \pm 0.02$		
${}^9\text{Li}/{}^8\text{He}$ (per day)*	$2.40 \pm 0.86$		$1.2 \pm 0.63$	$0.22 \pm 0.06$		
Am-C corr. (per day)*	$0.26 \pm 0.12$					
${}^{13}\text{C}{}^{16}\text{O}$ backgr. (per day)*	$0.08 \pm 0.04$	$0.07 \pm 0.04$	$0.05 \pm 0.03$	$0.04 \pm 0.02$	$0.04 \pm 0.02$	$0.04 \pm 0.02$
IBD rate (per day)*	$653.30 \pm 2.31$	$664.15 \pm 2.33$	$581.97 \pm 2.07$	$73.31 \pm 0.66$	$73.03 \pm 0.66$	$72.20 \pm 0.66$

\* Background and IBD rates were corrected for the efficiency of the muon veto and multiplicity cuts  $\epsilon_{\mu} \cdot \epsilon_m$

Collected more than 300k antineutrino interactions

- Consistent rates for side-by-side detectors
- Uncertainties still dominated by statistics

# Uncertainty Summary

	Detector		Uncorrelated
	Efficiency	Correlated	
Target Protons		0.47%	0.03%
Flasher cut	99.98%	0.01%	0.01%
Delayed energy cut	90.9%	0.6%	0.12%
Prompt energy cut	99.88%	0.10%	0.01%
Multiplicity cut		0.02%	<0.01%
Capture time cut	98.6%	0.12%	0.01%
Gd capture ratio	83.8%	0.8%	<0.1%
Spill-in	105.0%	1.5%	0.02%
Livetime	100.0%	0.002%	<0.01%
Combined	78.8%	1.9%	0.2%

**For near/far rate analysis, only uncorrelated uncertainties impact measurement.**

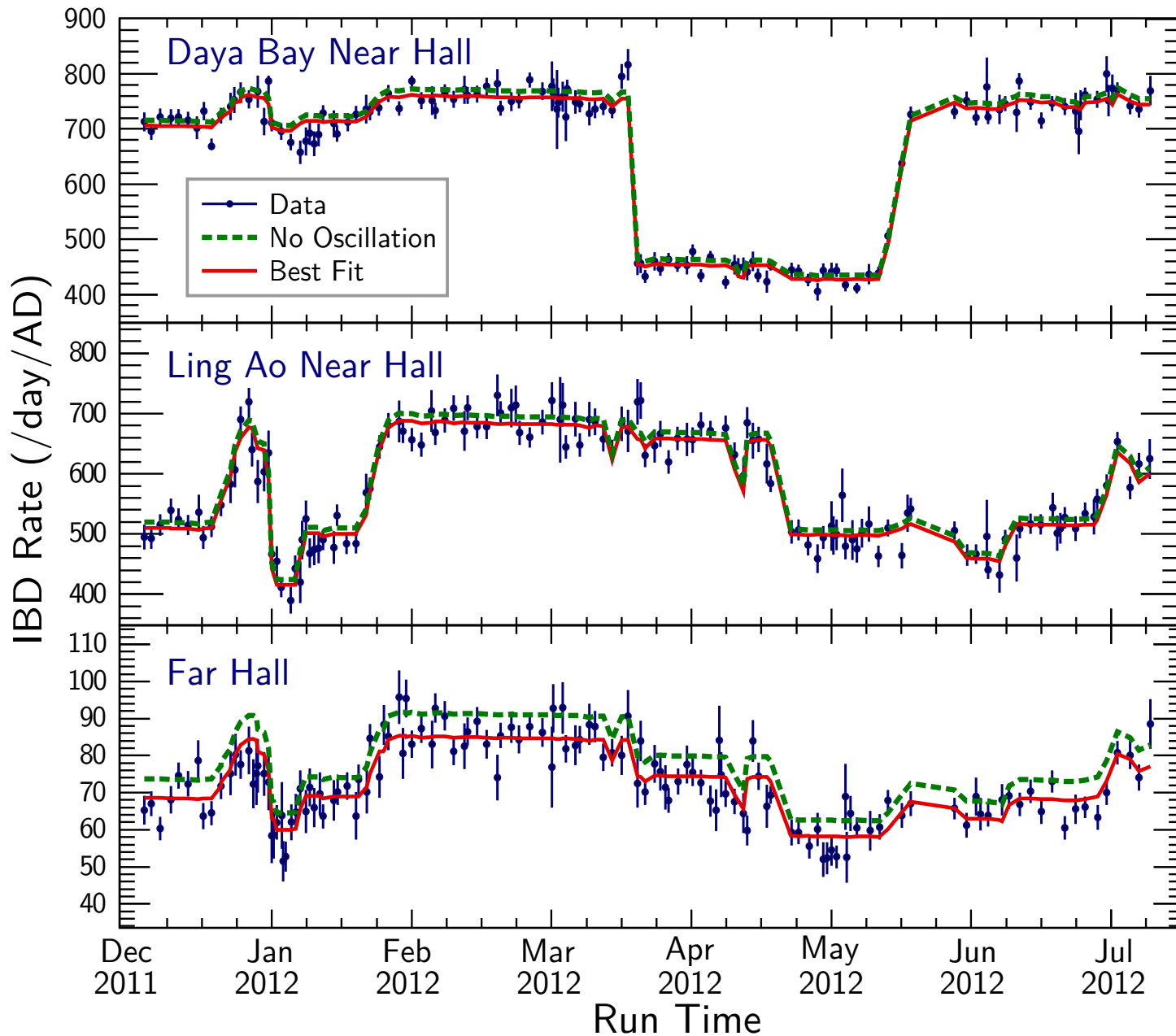
Largest systematics are smaller than far site statistics (~0.5%)

		Reactor	
Correlated		Uncorrelated	
Energy/fission	0.2%	Power	0.5%
$\bar{\nu}_e$ /fission	3%	Fission fraction	0.6%
		Spent fuel	0.3%
Combined	3%	Combined	0.8%

Influence of uncorrelated reactor systematics reduced by far vs. near measurement.

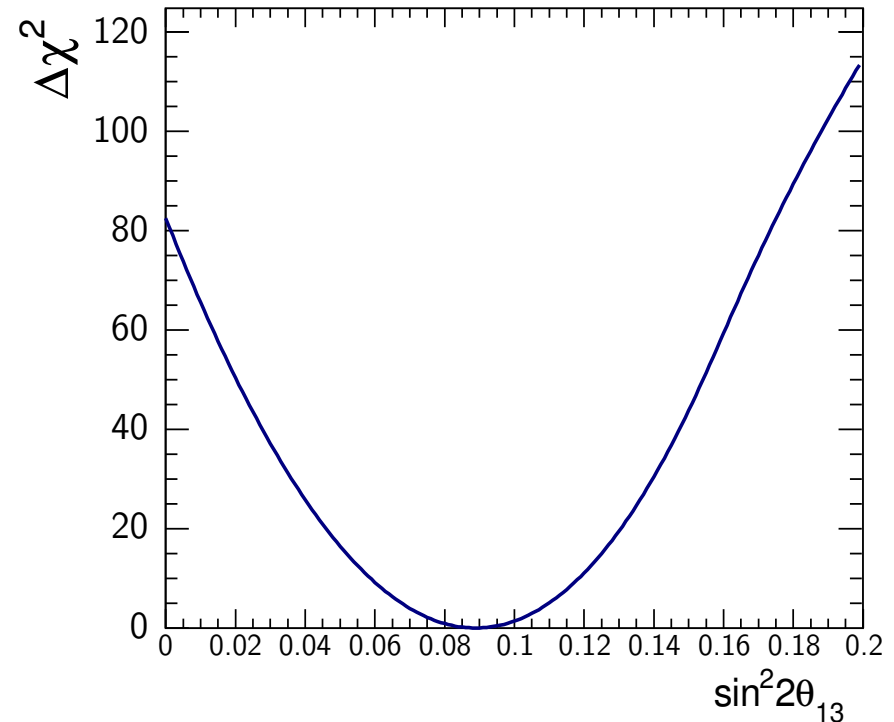
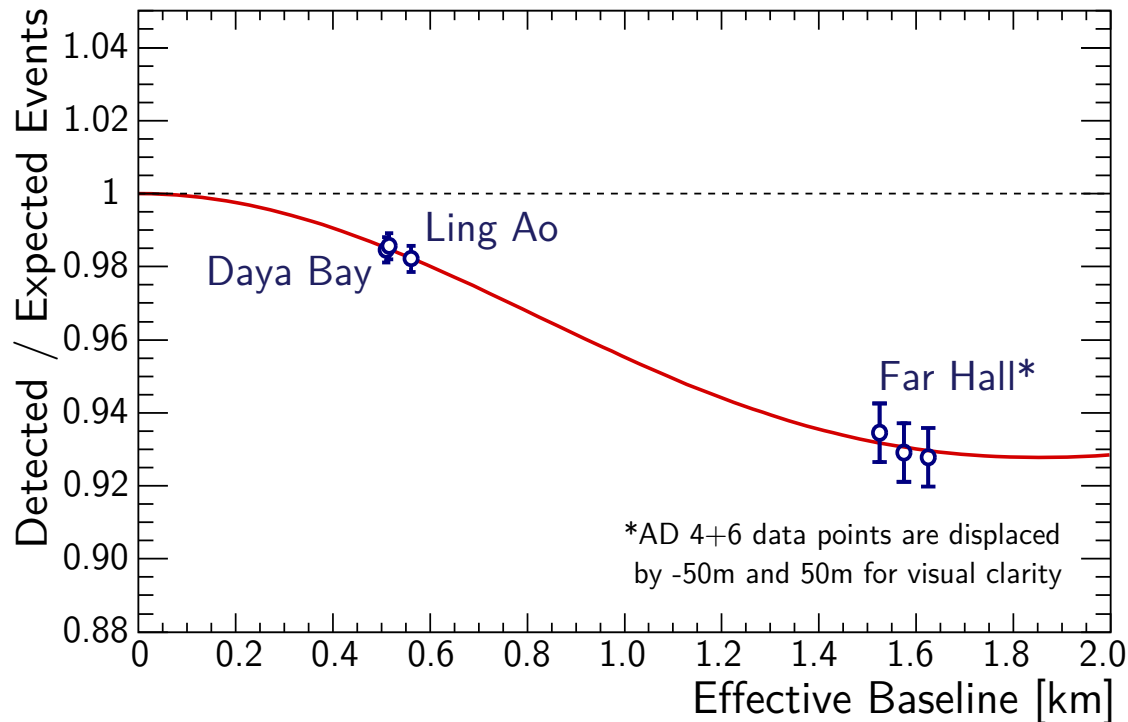


# Antineutrino Rate vs. Time



**Detected rate strongly correlated with reactor flux expectations**

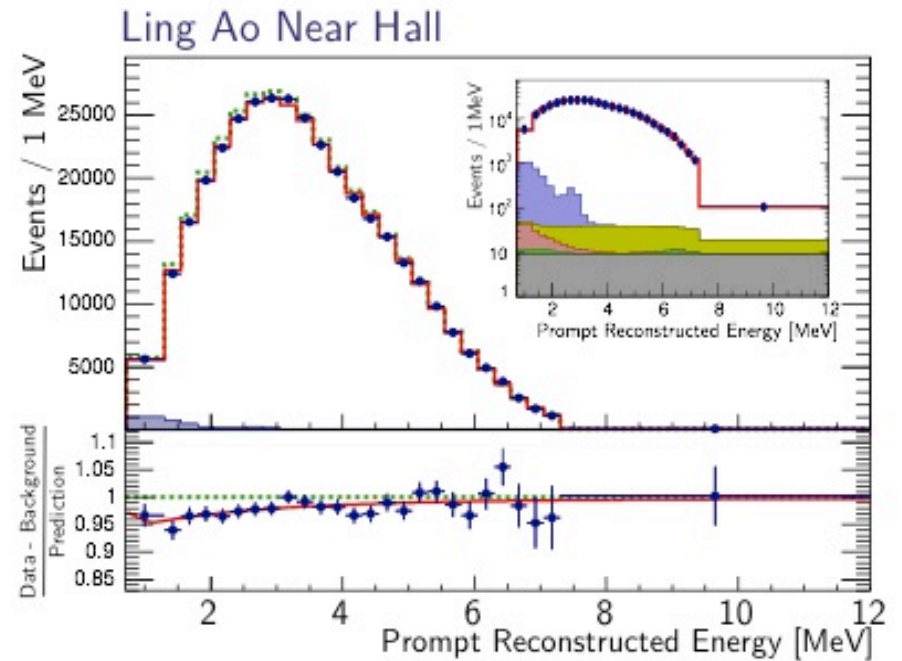
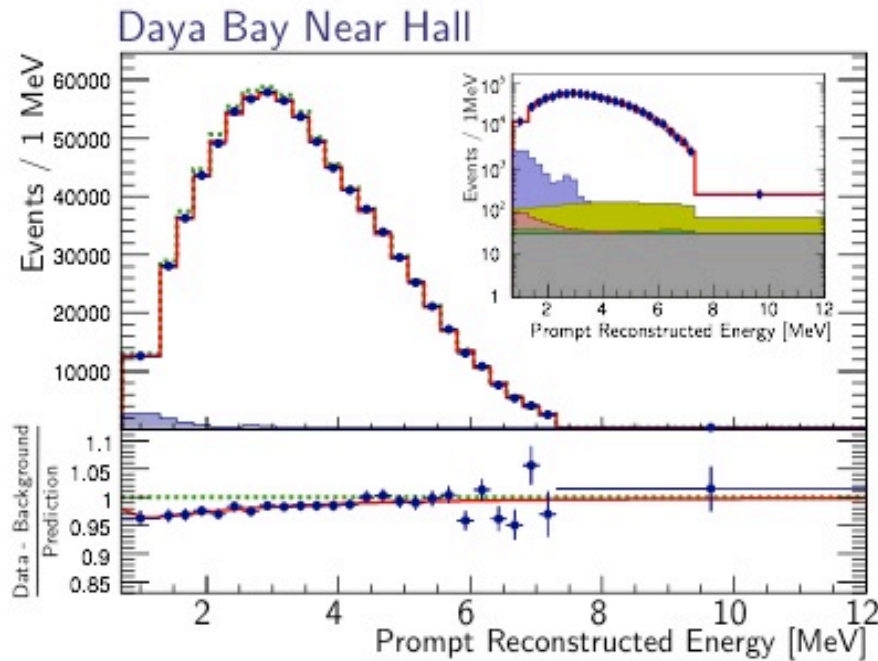
# Rate-Only Oscillation Results



$$\sin^2 2\theta_{13} = 0.089 \pm 0.009$$

- Uncertainty reduced by statistics of complete 6 AD data period
- Standard approach:  $\chi^2/N_{\text{DoF}} = 0.48/4$
- $|\Delta m^2_{ee}|$  constrained by MINOS result for  $|\Delta m^2_{\mu\mu}|$
- Far vs. near relative measurement: absolute rate not constrained
- Consistent results from independent analyses, different reactor flux models

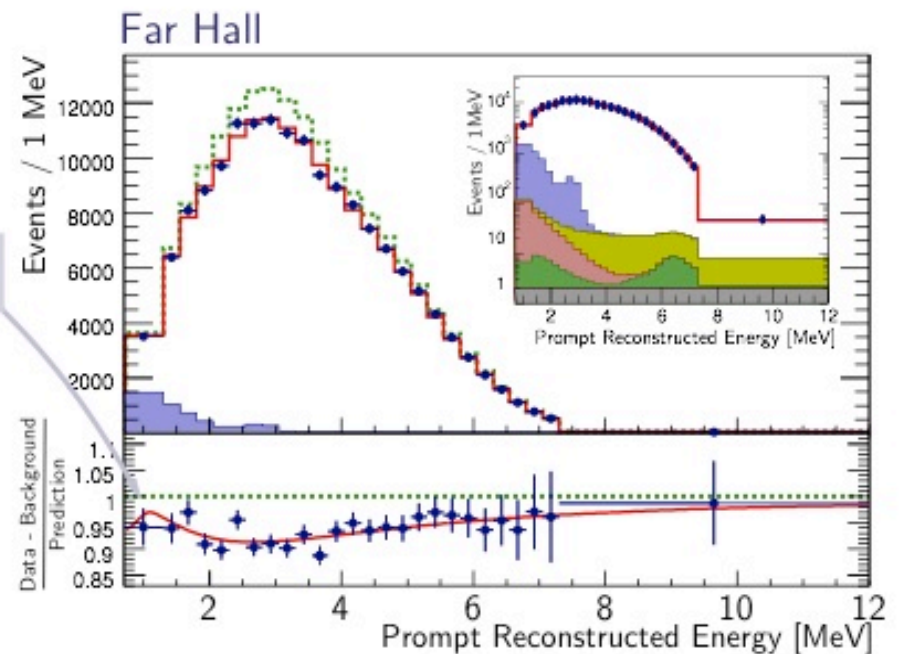
# Prompt IBD Spectra



Spectral distortion consistent with oscillation

- Both background and predicted no oscillation spectrum determined by best fit
- Errors statistical only

Shape distortion from energy losses in acrylic





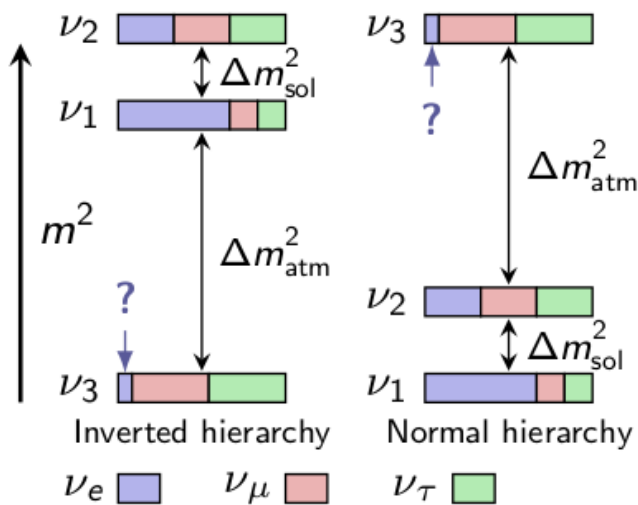
# A Comment on $\Delta m^2$

Short-baseline reactor experiments insensitive to neutrino mass hierarchy.

Cannot discriminate two frequencies contributing to oscillation  $\Delta m_{31}^2, \Delta m_{32}^2$

One effective oscillation frequency is measured:

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - \sin^2 2\theta_{13} \sin^2 \left( \Delta m_{ee}^2 \frac{L}{4E} \right) - \sin^2 2\theta_{12} \cos^4 \theta_{13} \sin^2 \left( \Delta m_{21}^2 \frac{L}{4E} \right)$$



$$\sin^2 \left( \Delta m_{ee}^2 \frac{L}{4E} \right) \equiv \cos^2 \theta_{12} \sin^2 \left( \Delta m_{31}^2 \frac{L}{4E} \right) + \sin^2 \theta_{12} \sin^2 \left( \Delta m_{32}^2 \frac{L}{4E} \right)$$

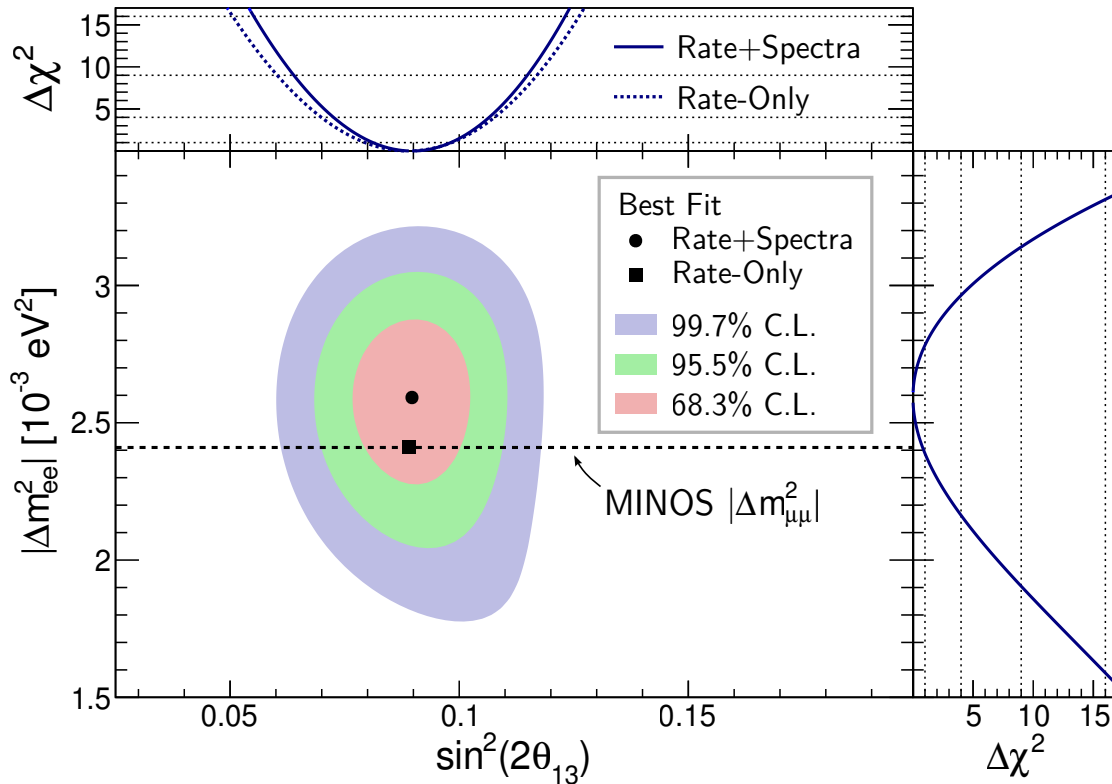
Result can be easily related to actual mass splitting, based on true hierarchy:

$$|\Delta m_{ee}^2| \simeq |\Delta m_{32}^2| \pm 5 \times 10^{-5} \text{eV}^2$$

+: Normal Hierarchy  
-: Inverted Hierarchy

Hierarchy discrimination requires  $\sim 1\%$  precision on both  $\Delta m_{ee}^2$  and  $\Delta m_{\mu\mu}^2$

# Rate+Spectra Oscillation Results



$$\sin^2 2\theta_{13} = 0.090^{+0.008}_{-0.009}$$

$$|\Delta m_{ee}^2| = 2.59^{+0.19}_{-0.20} \cdot 10^{-3} \text{ eV}^2$$

$$\chi^2/N_{\text{DoF}} = 162.7/153$$

**Strong confirmation of three-flavor oscillation model**

	Normal MH $\Delta m_{32}^2$ [ $10^{-3} \text{ eV}^2$ ]	Inverted MH $\Delta m_{32}^2$ [ $10^{-3} \text{ eV}^2$ ]
From Daya Bay $\Delta m_{ee}^2$	$2.54^{+0.19}_{-0.20}$	$-2.64^{+0.19}_{-0.20}$
From MINOS $\Delta m_{\mu\mu}^2$	$2.37^{+0.09}_{-0.09}$	$-2.41^{+0.11}_{-0.09}$

A. Radovic,  
DPF2013

# Global Comparison of $\theta_{13}$ Measurements

Best Fit + 68% C.L.

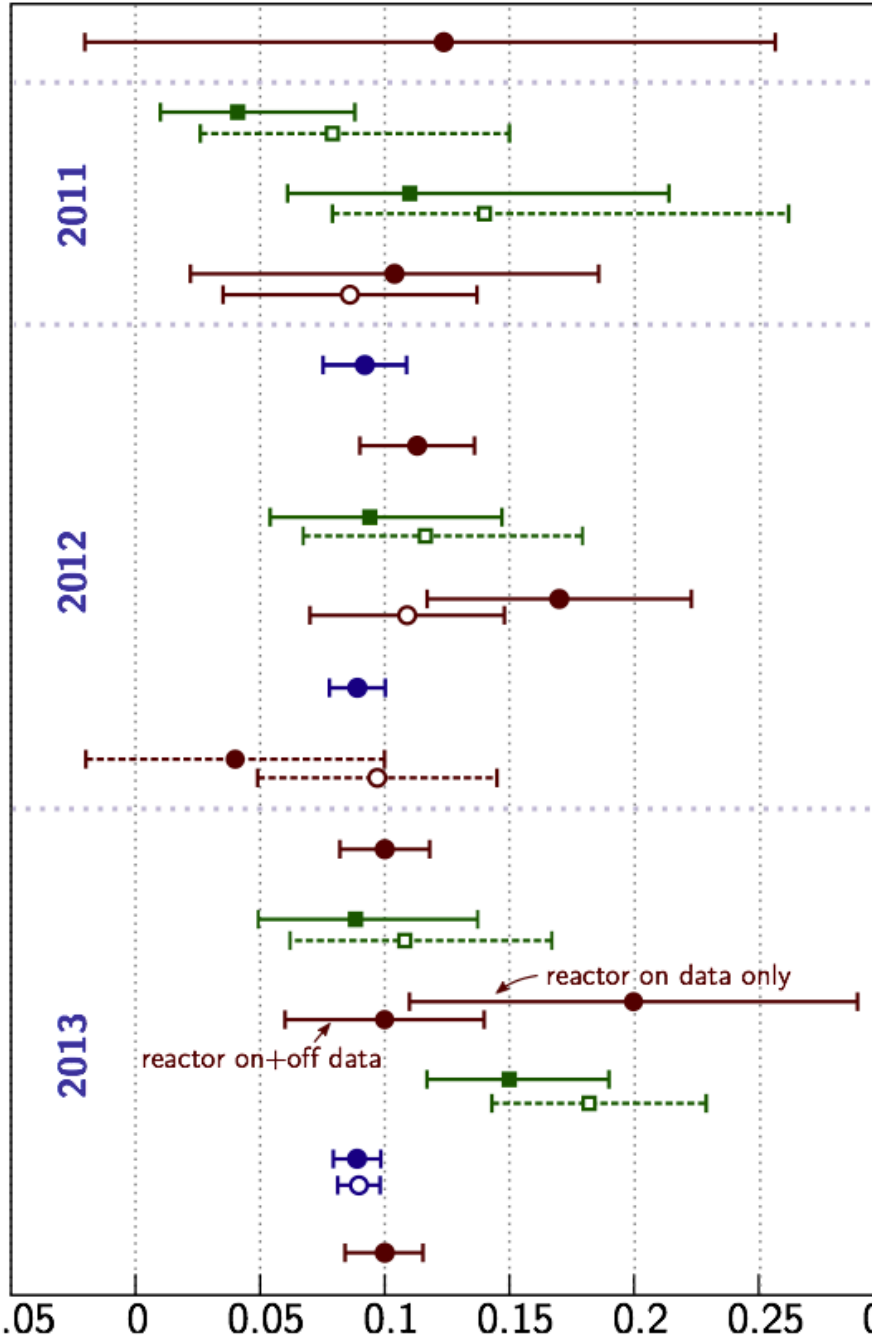
**Accelerator Experiments\***

- Normal Hierarchy
- Inverted Hierarchy

\*All results assuming:  
 $\delta_{CP} = 0$ ,  
 $\theta_{23} = 45^\circ$

**Reactor Experiments**

- Rate only
- Rate+Spectral
- n-Gd
- n-H



KamLAND	[1009.4771]
MINOS	[1108.0015]
T2K 6 Events	[1106.2822]
DC 97 Days	[1112.6353]
Daya Bay 49 Days	[1203.1669]
RENO 222 Days	[1204.0626]
T2K 11 Events	[ICHEP2012]
DC 228 Days	[1207.6632]
Daya Bay 139 Days	[1210.6327]
DC n-H Analysis	[1301.2948]
RENO 403 Days	[NuTel2013]
T2K 11 Events	[1304.0841]
DC RRM Analysis	[1305.2734]
T2K 28 Events	[EPS2013]
Daya Bay 190 Days	[NuFact2013]
RENO 403 Days	[TAUP2013]



# Daya Bay Onsite Progress

Final two detectors installed,  
operating since Oct. 2012.



EH2

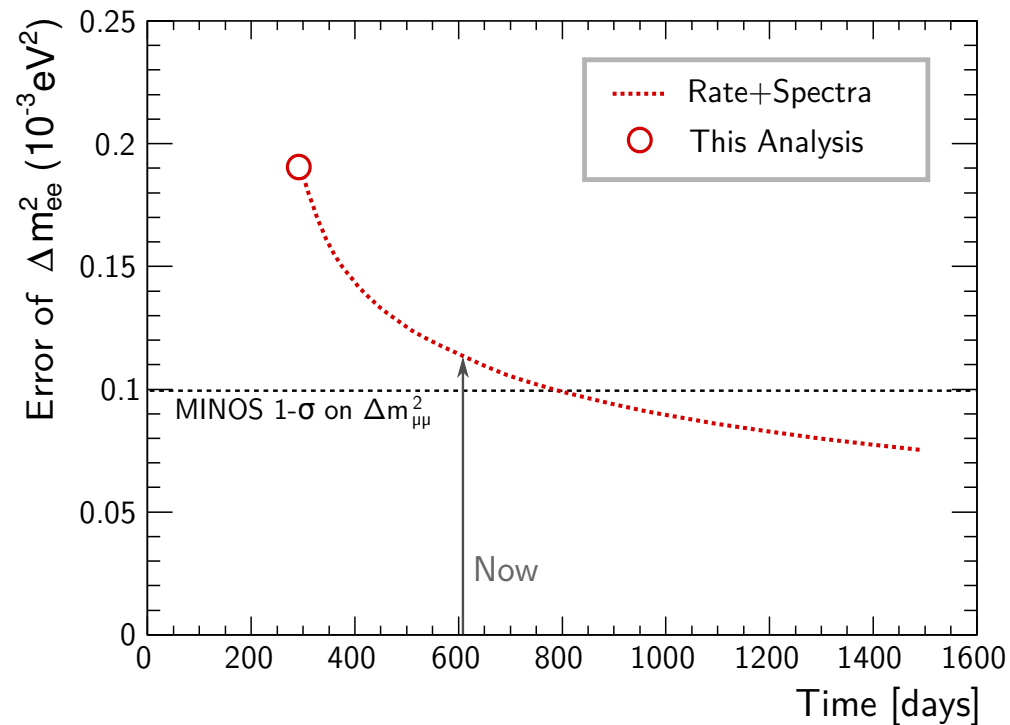
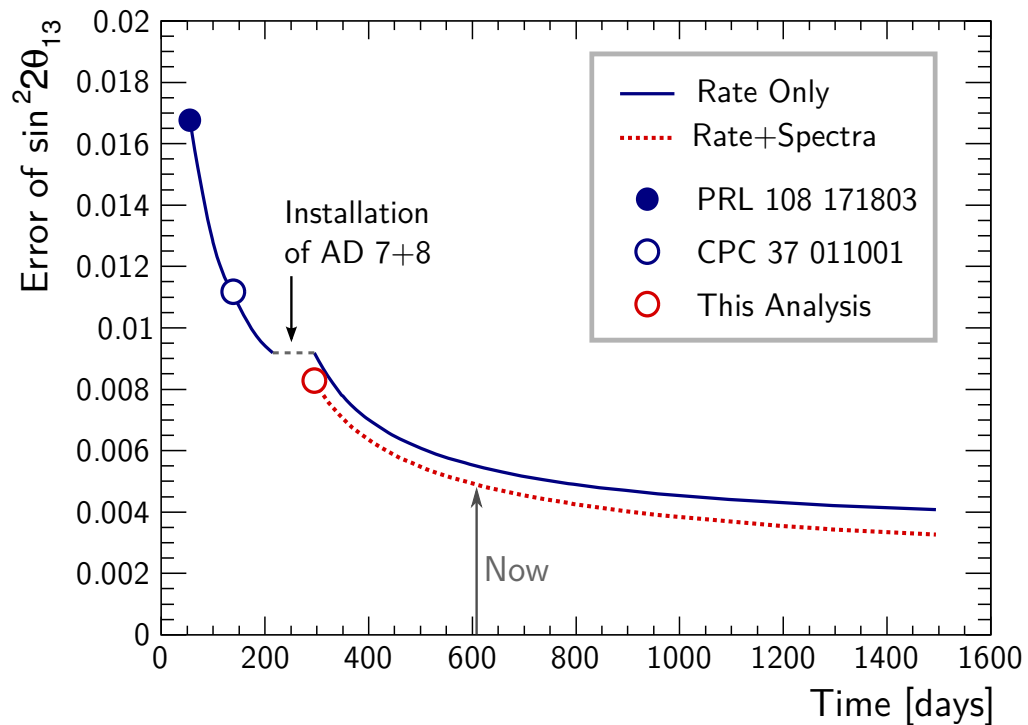


EH3

Full  $4\pi$  detector  
calibration  
in Sep. 2012.



# Sensitivity Projection



Over 1 million antineutrinos detected as of now!

**Precision will soon be dominated by systematic uncertainties**



# Daya Bay Future

## Improved precision on oscillation parameters

- Constrains non-standard oscillation models
- Improves reach of future neutrino experiments

## Measure absolute reactor neutrino flux

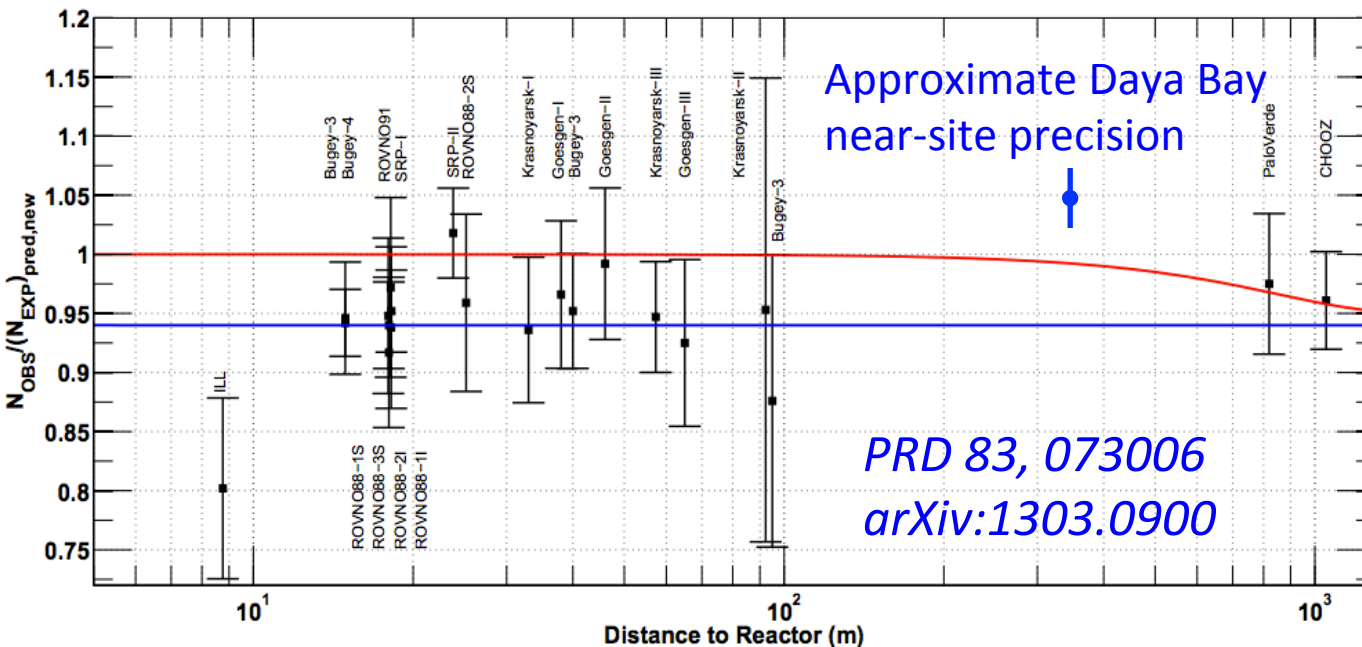
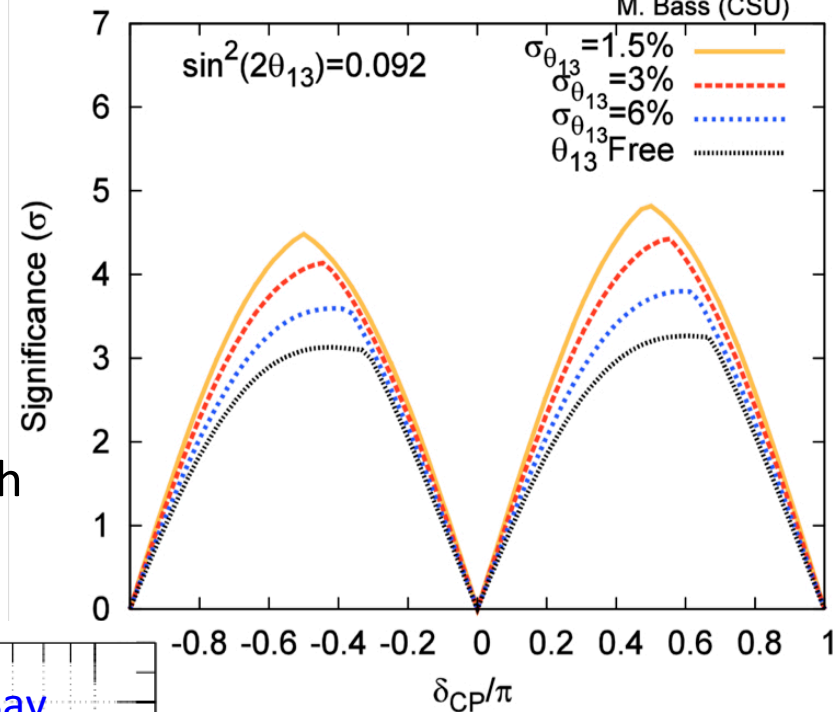
- Explore the 'reactor antineutrino anomaly'
- Precise spectrum probes reactor models

## Cosmogenic Backgrounds

- Measurement of cosmogenic production vs. depth

## Supernova Neutrinos

CPV Significance vs  $\delta_{CP}$   
Homestake 10kt + NOvA(6) + T2K  
NH(IH considered)  
M. Bass (CSU)





# Summary

The Daya Bay Experiment has reported the first direct measurement of the short-distance electron antineutrino oscillation frequency:

$$\underline{|\Delta m_{ee}^2| = 2.59_{-0.20}^{+0.19} \times 10^{-3} \text{eV}^2}$$

The measurement has also produced the most precise estimate of the mixing angle:

$$\underline{\sin^2(2\theta_{13}) = 0.090_{-0.009}^{+0.008}}$$

Expect more from Daya Bay:

- Measurement of the absolute reactor flux, addressing the reactor anomaly
- Constraints on non-standard neutrino models
- Significantly increased precision (all 8 detectors, >2 years of operation)

