



MINOS experiment at Fermilab

Tom Kafka for the MINOS Collaboration

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Cambridge • Campinas • Fermilab • Harvard • IIT •
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*27 institutions
140 physicists*





MINOS topics



This talk

- The experiment
- ν oscillations in the NuMI beam:
 - (1) ν_μ disappearance
 - (2) ν_e appearance
 - (3) ν NC disappearance (sterile ν mixing)
 - (4) $\bar{\nu}_\mu$ disappearance
- Summary

Other topics

- Atmospheric ν oscillations
- Non-oscillation topics:
 - ν cross sections
 - Quasi-elastic reactions
 - ν -nucleus coherent reactions
- Cosmic-ray μ measurements:
 - Charge ratio
 - Seasonal variations
 - Sudden stratospheric warming



MINOS & neutrino mixing



Three-neutrino mixing:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{cp}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{cp}} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Atmospheric ν

Not measured yet

Solar ν

MINOS:

$\nu_\mu/\bar{\nu}_\mu$ disappearance

ν_e appearance

N/A

$$U_3 = R_{23}(\theta_{23}) R_{13}(\theta_{13}, \delta_{CP}) R_{12}(\theta_{12})$$

Four-neutrino mixing:

$$U_4 = R_{34}(\theta_{34}) R_{24}(\theta_{24}, \delta_2) R_{14}(\theta_{14}) R_{23}(\theta_{23}) R_{13}(\theta_{13}, \delta_1) R_{12}(\theta_{12})$$

MINOS: ν NC disappearance/ ν_s mixing



MINOS experiment

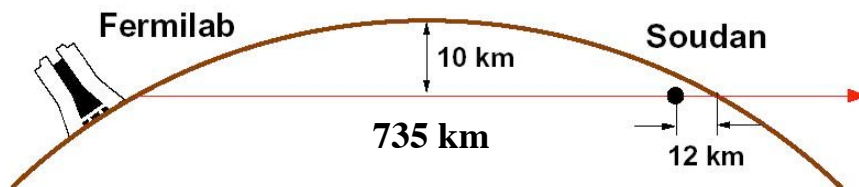


MINOS (*M*ain *I*njector *N*eutrino *O*scillation *S*earch) – **Far Det., 5.4 kton**
a long-baseline neutrino oscillation experiment.

NuMI (*N*eutrinos at the *M*ain *I*njector) beam
provided by 120 GeV protons from the Fermilab
Main Injector.

Near Detector (@ 1 km) at Fermilab to measure the
beam composition and energy spectrum.

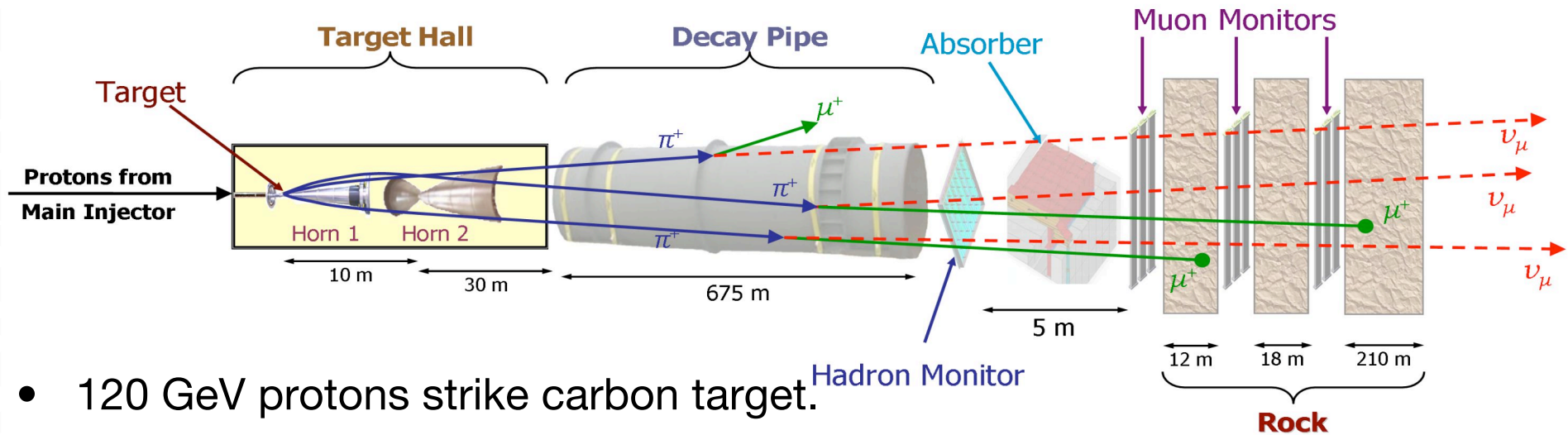
Far Detector (@ 735 km) deep underground in the
Soudan Mine, Minnesota, to search for evidence
of oscillations.



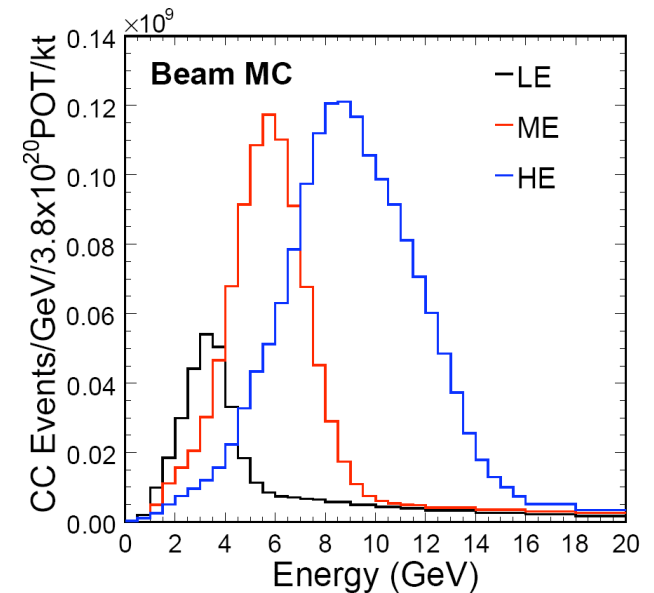
**Near Det.
1 kton**



NuMI beam



- 120 GeV protons strike carbon target.
- 10 μ s long pulse of 3×10^{13} protons every 2.2 seconds (275 kW).
- Two magnetic horns focus secondary π/K ; decays of π/K produce neutrinos.
- Move target and/or horns to vary neutrino beam energy.
- In Low-Energy (LE) beam:
 $91.7\% \nu_{\mu}$, $7.0\% \bar{\nu}_{\mu}$, $1.3\% \nu_e + \bar{\nu}_e$





MINOS detectors

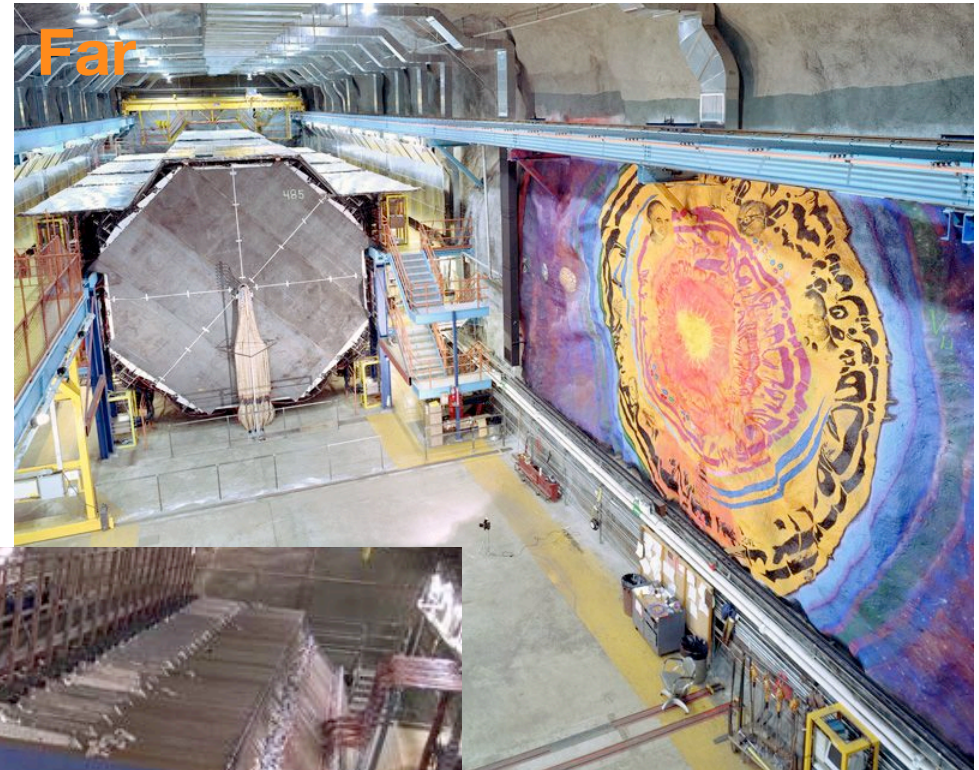


- **Near** and **Far** detectors are magnetized (1.3 T), functionally identical.
- 1-inch thick octagonal steel planes, alternating with planes of 4.1 cm × 1 cm scintillator strips, up to 8 m long.



Near:

~ 1kton,
282 squashed octagons,
partially instrumented.



Far:

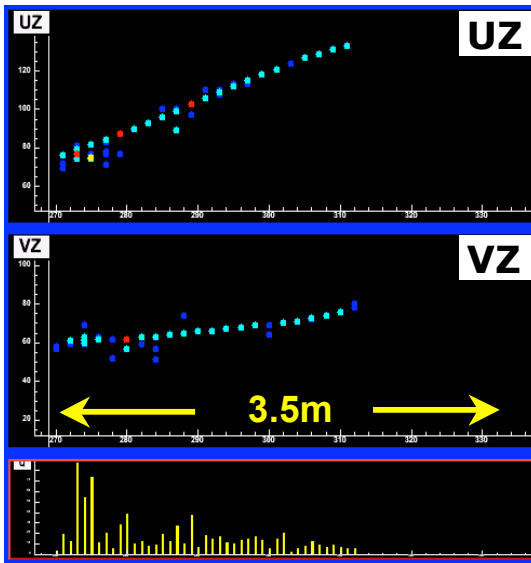
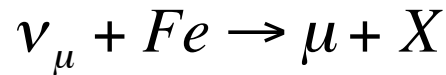
5.4 kton,
486 8-m octagons,
fully instrumented.



MINOS event topologies

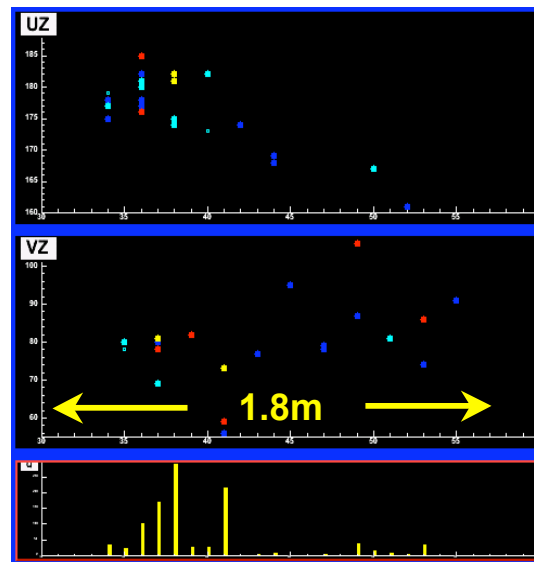
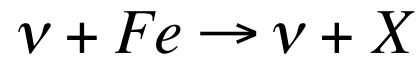


ν_μ charged-current ev.



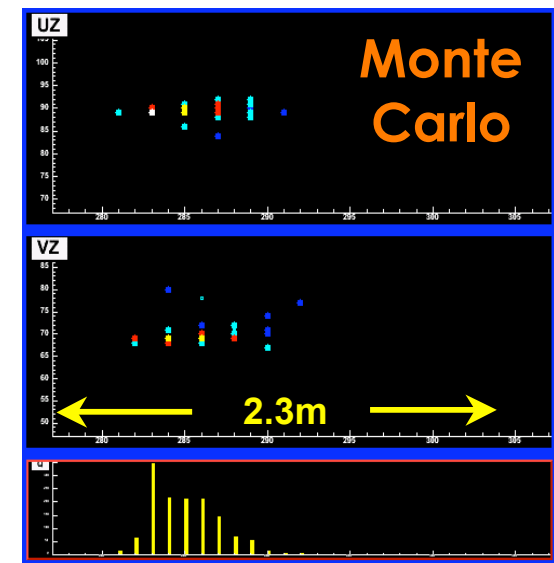
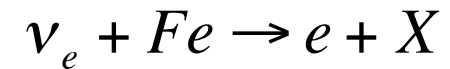
Long μ track & hadronic activity at vertex

Neutral-current ev.



Short event, often diffuse

ν_e CC event



Short, with typical EM shower profile

$$\text{CC: } E_\nu = E_{\text{hadrons}} + E_{\text{lepton}}$$

$$\text{NC: } E_\nu \approx E_{\text{hadrons}}$$



Common to all analyses:



Exposure:

$3+ \times 10^{20}$ POT

Neutrino flux:

MC flux adjusted to fit data in the Near Detector.

Basic cuts:

Beam quality and detector quality cuts

- Beam positioning, magnetic horns energized, detector running within operational parameters

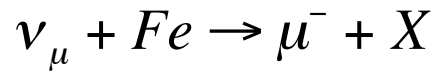
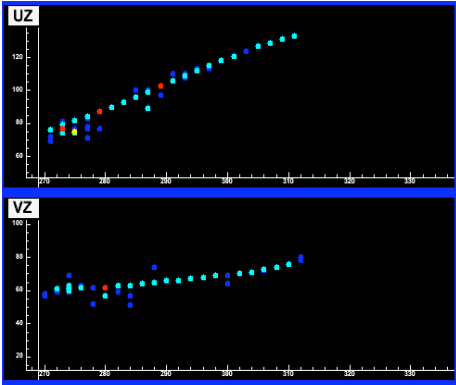
Event vertex reconstructed within the fiducial volume of the detector.

Blind analysis:

FD spectra were analyzed only **after** the analysis procedure was finalized and basic data integrity checks were performed.

Next:

Analysis underway of a larger data set already on hand, 7×10^{20} POT.



$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix}$$

(1) ν_{μ} CC disappearance

- $\nu_{\mu} \rightarrow \nu_{\tau}$ oscillations
- Measure Δm^2_{32} , $\sin^2 2\theta_{23}$
- ν decay, decoherence, ...

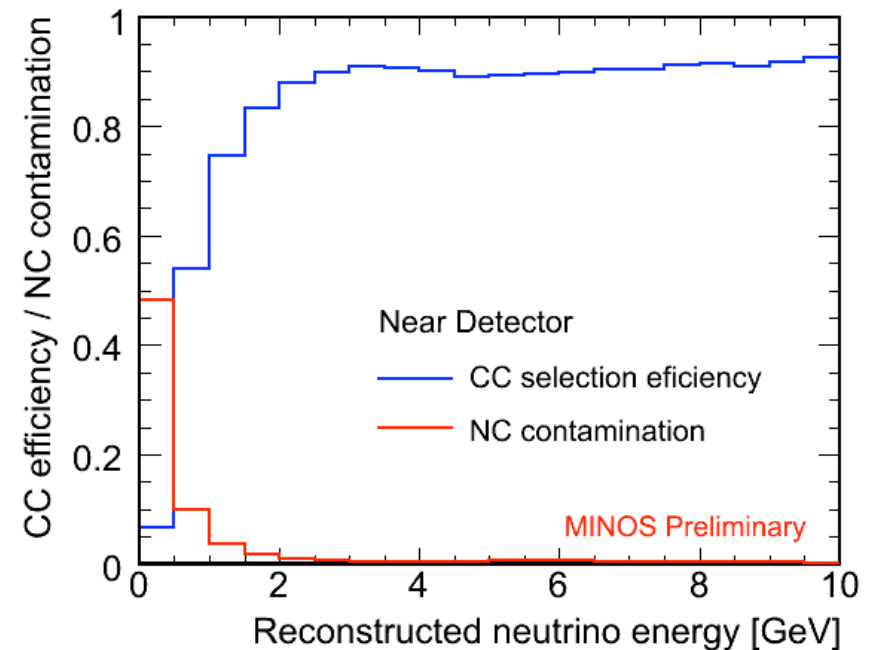
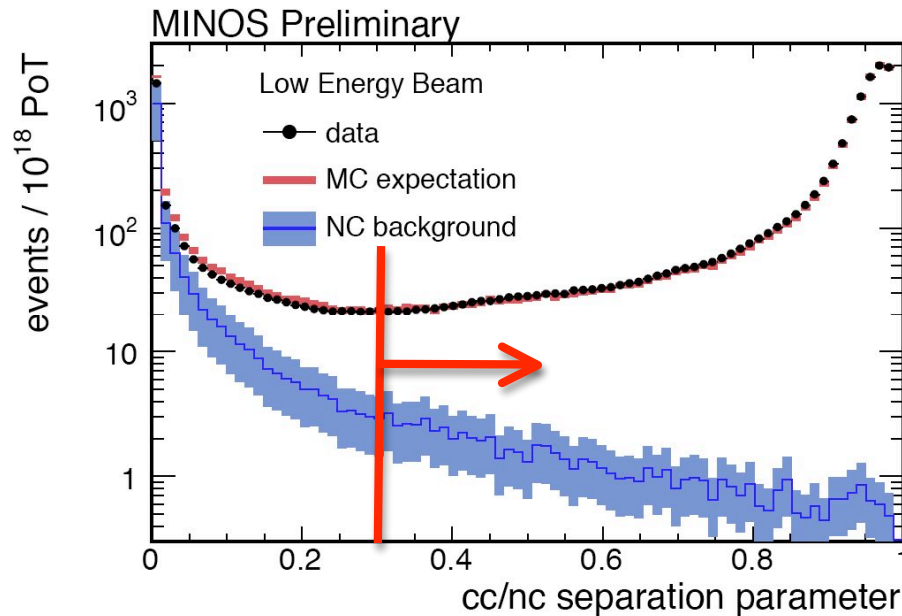


ν_μ CC event selection



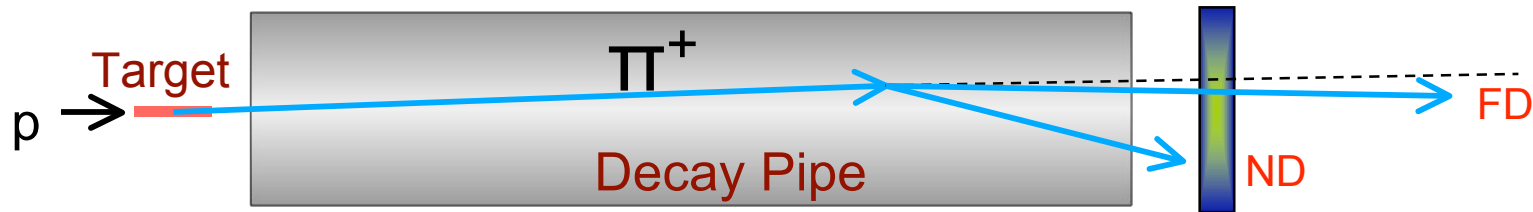
Need to separate ν_μ charged-current (CC) and neutral-current (NC) interactions
Four variables combined using a k -nearest-neighbors algorithm

- Event length (Track length for ν_μ CC);
- Mean pulse height per plane along the track;
- Transverse energy deposition profile of the track;
- Pulse height fluctuations along the track.





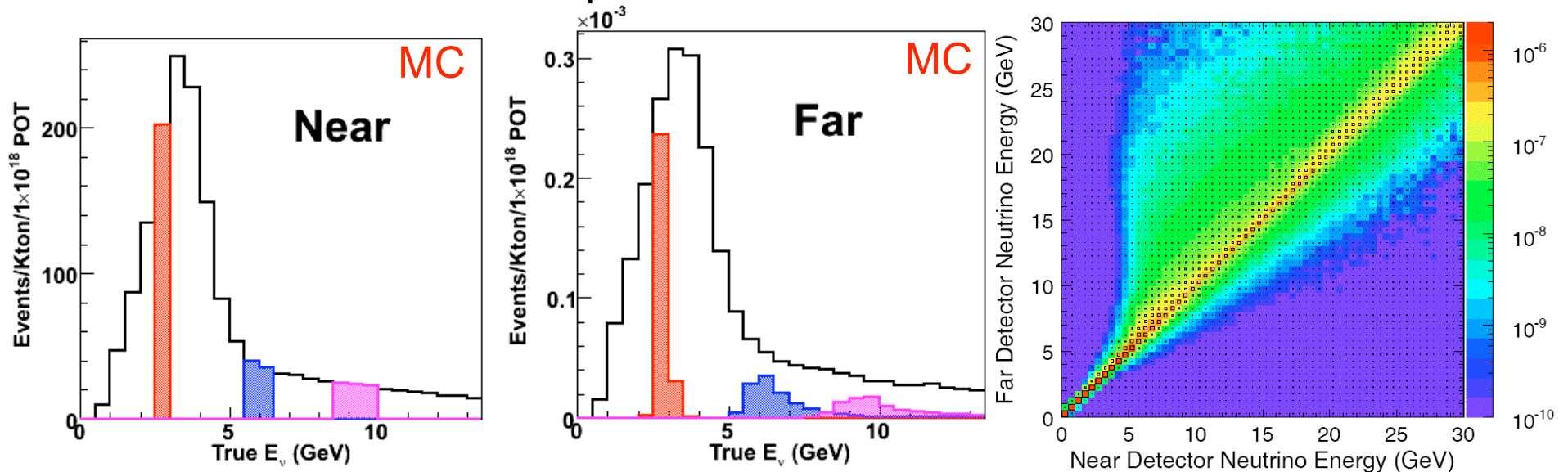
ν_μ beam extrapolation



The observed Near-Det. energy spectrum is extrapolated to the Far-Det.:

The energy spectra at the two detectors differ by $\sim 20\%$ due to meson decay kinematics, beamline geometry and detector acceptance.

Using Monte Carlo, encode these differences into a beam transfer matrix used to convert ND to FD spectrum





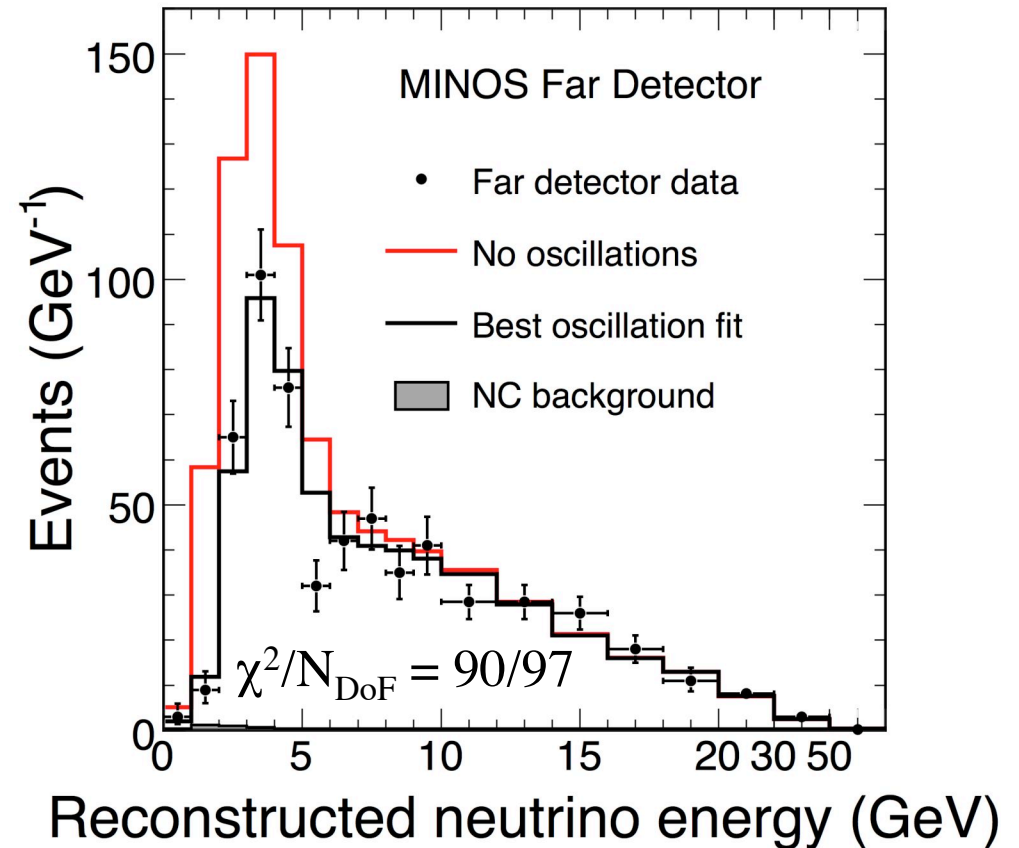
ν_μ CC disappearance



- 3.36×10^{20} POT
- Use both LE and HE beam.
- Blind analysis.
- Expected 1065 ± 60 with no osc.;
- Observed 848 events.
- Energy spectrum fit with the **oscillation hypothesis**

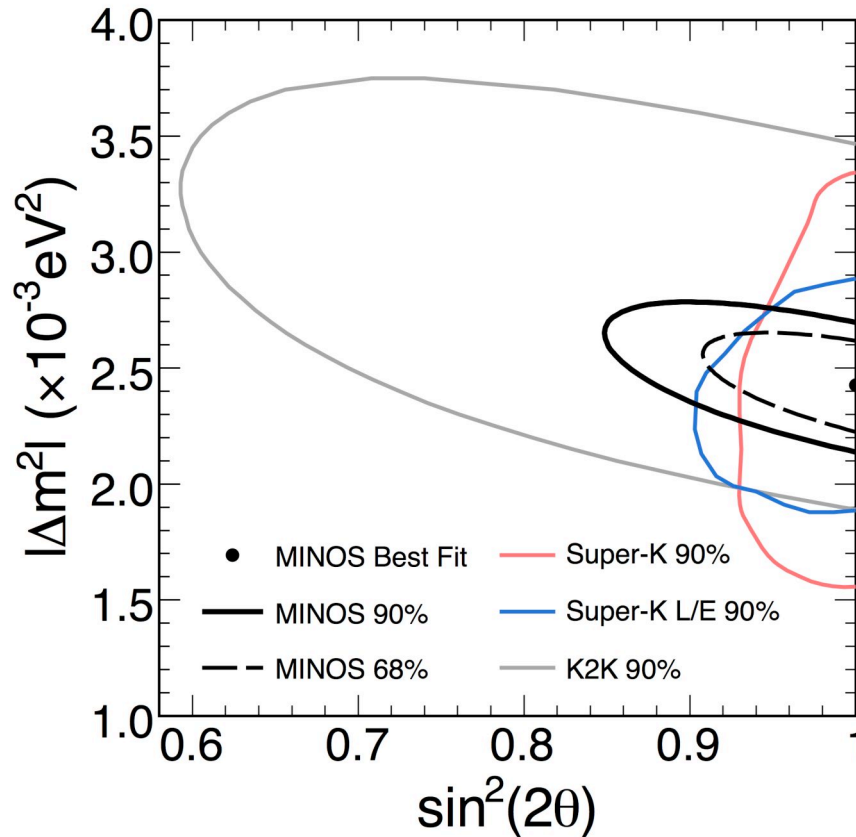
$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2(2\theta) \sin^2\left(\frac{1.27\Delta m^2 L}{E}\right)$$

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - P(\nu_\mu \rightarrow \nu_\tau)$$





$\nu_\mu \rightarrow \nu_\tau$ oscillations - Allowed region



Best fit

- $|\Delta m_{32}^2| = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2$ (68% C.L.)
- $\sin^2(2\theta_{23}) > 0.95$ (68% C.L.), 0.90 (90% C.L.)
- $\chi^2/N_{\text{DoF}} = 90/97$

Phys. Rev. Lett. **101**, 131802 (2008)



ν_μ CC disappearance – Alternative models



Two alternative disappearance models are disfavored:

[1] Decay without oscillations:

$$\chi^2/\text{ndof} = 104/97$$

$$\Delta\chi^2 = 14$$

disfavored at 3.7σ

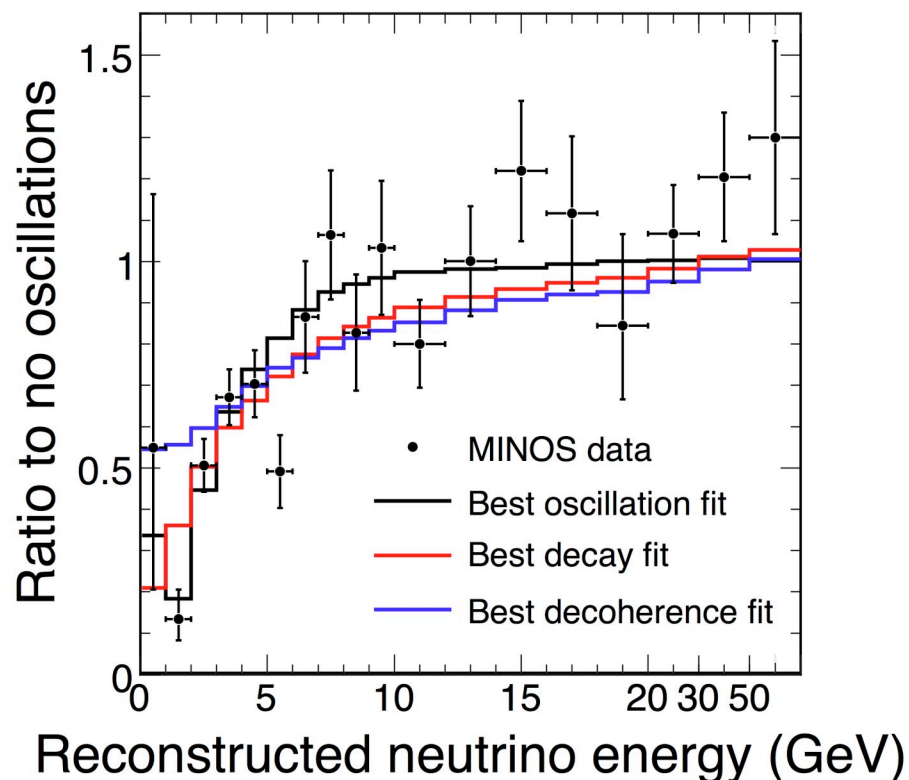
(5.4σ if combine CC & NC)

[2] Decoherence:

$$\chi^2/\text{ndof} = 123/97$$

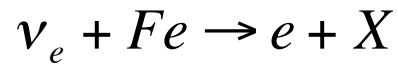
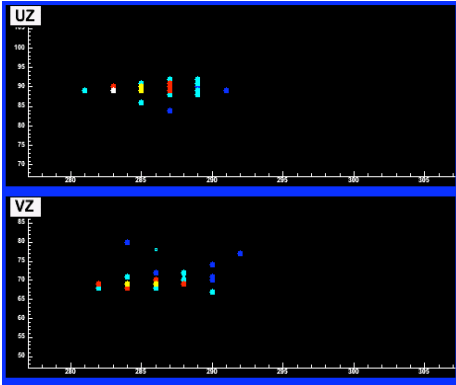
$$\Delta\chi^2 = 33$$

disfavored at 5.7σ



[1] V. Barger *et al.*, PRL **82**, 2640 (1999)

[2] G.L. Fogli *et al.*, PRD **67**, 093006 (2003)



$$\begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta_{cp}} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta_{cp}} & 0 & \cos\theta_{13} \end{pmatrix}$$

(2) ν_e appearance

- Search for $\nu_\mu \rightarrow \nu_e$ oscillations
- Aim to measure $\sin^2 2\theta_{13}$



ν_e appearance



- Select ν_e CC candidate events in the MINOS detectors.
- Measure the background applying ν_e selection to events in the Near Detector.
- Extrapolate the number of background events to the Far Detector taking into account $\nu_\mu \rightarrow \nu_\tau$ oscillations.
- Look for an excess of ν_e events in Far Detector data.



ν_e appearance – event selection



Preliminary cuts:

- Track length < 25 planes
- Reconstructed energy 1-8 GeV. *Improve Signal:Background from 1:55 to 1:12*
- At least one shower (signal at CHOOZ limit assumed)

Multivariate methods devised to select shower topology:

Artificial **N**eural **N**etworks (ANN)_(Primary method)

- 11 input variables describing length, width and shower shape.
- ANN algorithm achieves:
 - signal efficiency 41%
 - NC rejection >92.3%
 - CC rejection >99.4%
 - Signal/Background 1:4

Library **E**vent **M**atching (LEM)_(Secondary method)

- Compare each input event to a large library of MC ν_e CC and NC events.



ν_e appearance – backgrounds



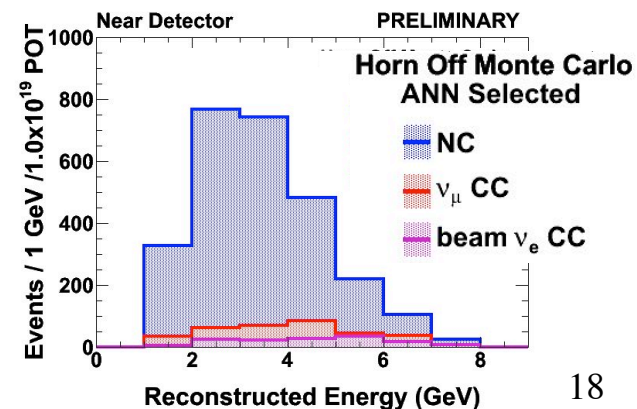
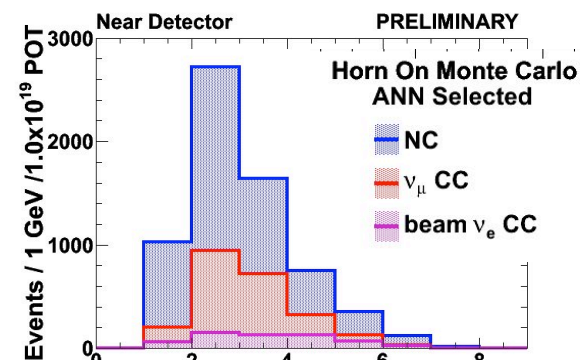
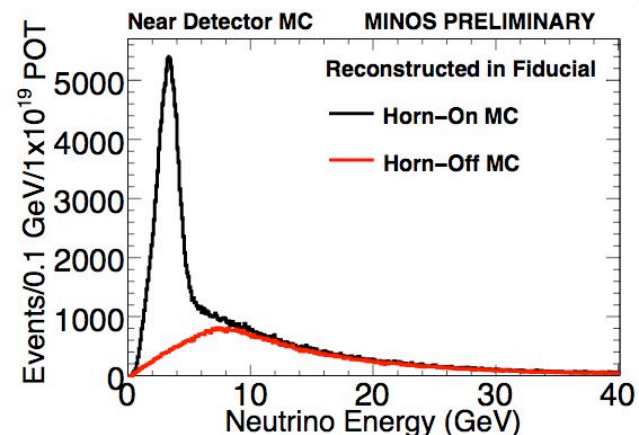
Backgrounds:

- ν **N**eutral-**C**urrent events (with π^0)
- ν_μ **C**harged-**C**urrent events
(with π^0 and short μ track = high y)
- ν_e intrinsic to the NuMI **b**eam

Use **data based** method(s) to determine the background components:

- (1) **Horn-Off** (Primary method)
- (2) **Muon-Removed CC** (Secondary method)

Horn-Off and **Horn-On** ν beams have very different energy distributions and very different NC vs CC composition in ND

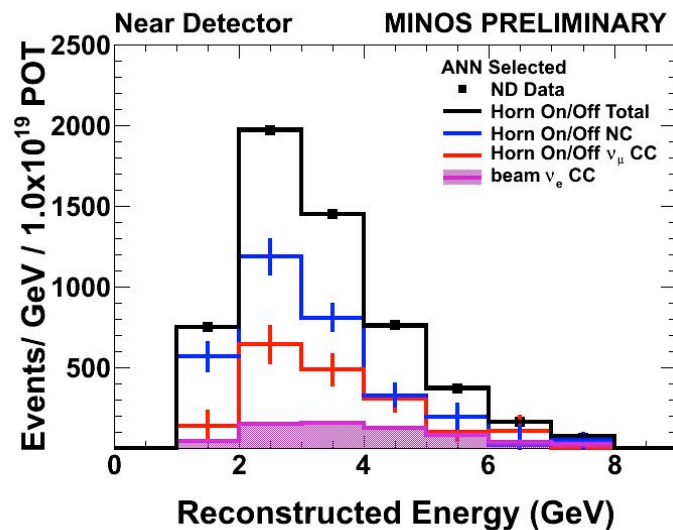




ν_e appearance – backgr'd results



- Calculate event rates $N_{NC}^{data_on}$ and $N_{CC}^{data_on}$ in terms of N^{data_on} and N^{data_off} from data and ratios $N_{NC(CC,e)}^{MC_off}/N_{NC(CC,e)}^{MC_on}$ from MC (modeled satisfactorily).
- Number of **beam ν_e** is obtained from MC flux (constrained by ν_μ CC data).
- Resulting bkgnd composition in ND: $(57 \pm 5)\%$ **NC**, $(32 \pm 7)\%$ **CC**, $(11 \pm 3)\%$ **b. ν_e**



- Propagate background from Near to Far Detector (using “Far/Near” method).
- Extensive study of systematic effects:
 - > Total systematic error 7.3 %
cf. statistical error of 19 %

The background prediction in the Far Detector is:

$$27 \pm 5(\text{stat}) \pm 2(\text{sys}) \quad (\text{at } 3.14 \times 10^{20} \text{ POT})$$

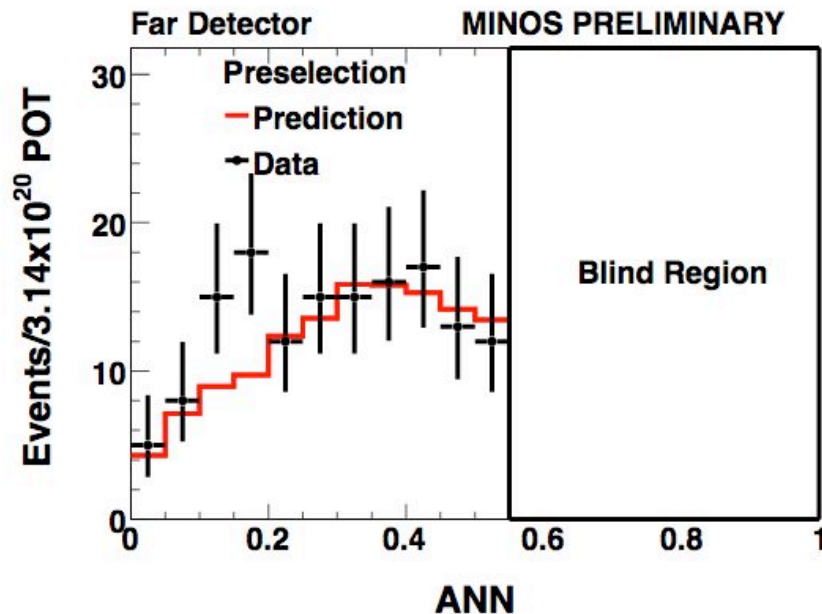


ν_e appearance – blind analysis



- Choose final event selection algorithm based on side bands only. Then OPEN THE BOX.
- *Example of a side band: Region of Particle-ID (PID) parameter*

well below the final cut. Finding no significant disagreement.



- Observe 146 events.
- Expect $132 \pm 12(\text{stat}) \pm 8(\text{sys})$ events.

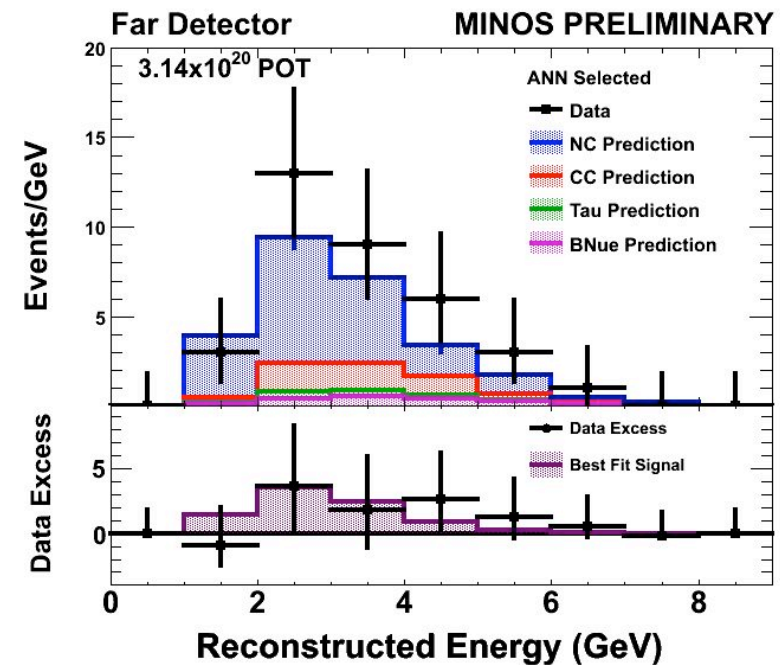
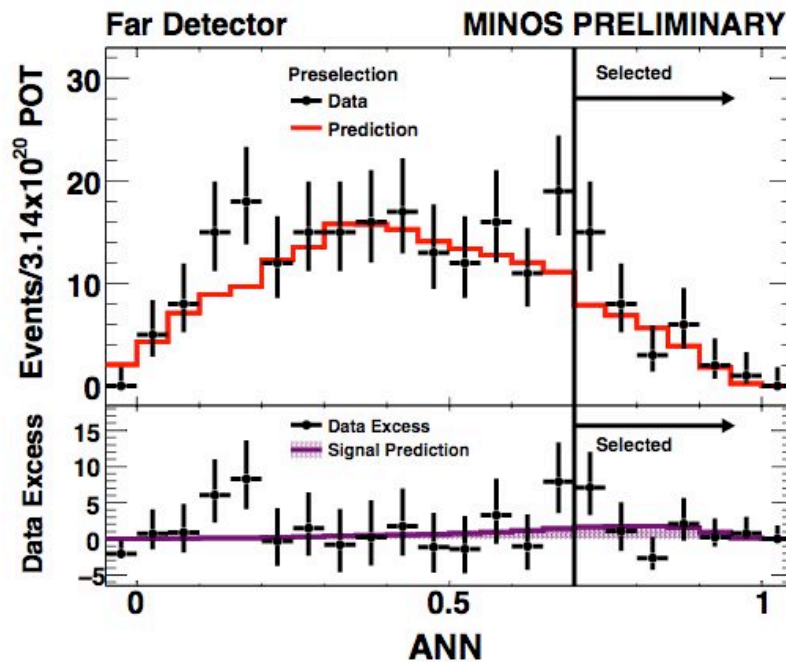
Note: PID cut established prior to “Box” opening by maximizing the Figure of Merit, $FOM = \text{Signal} / \sqrt{(\text{Background} + \sigma_{\text{syst}}^2)}$



ν_e – results for 3.14×10^{20} POT



- Observe 35 events in FD after selection.
- Expect $27 \pm 5(\text{stat}) \pm 2(\text{sys})$ background events.
- ‘Excess’ of 1.5σ

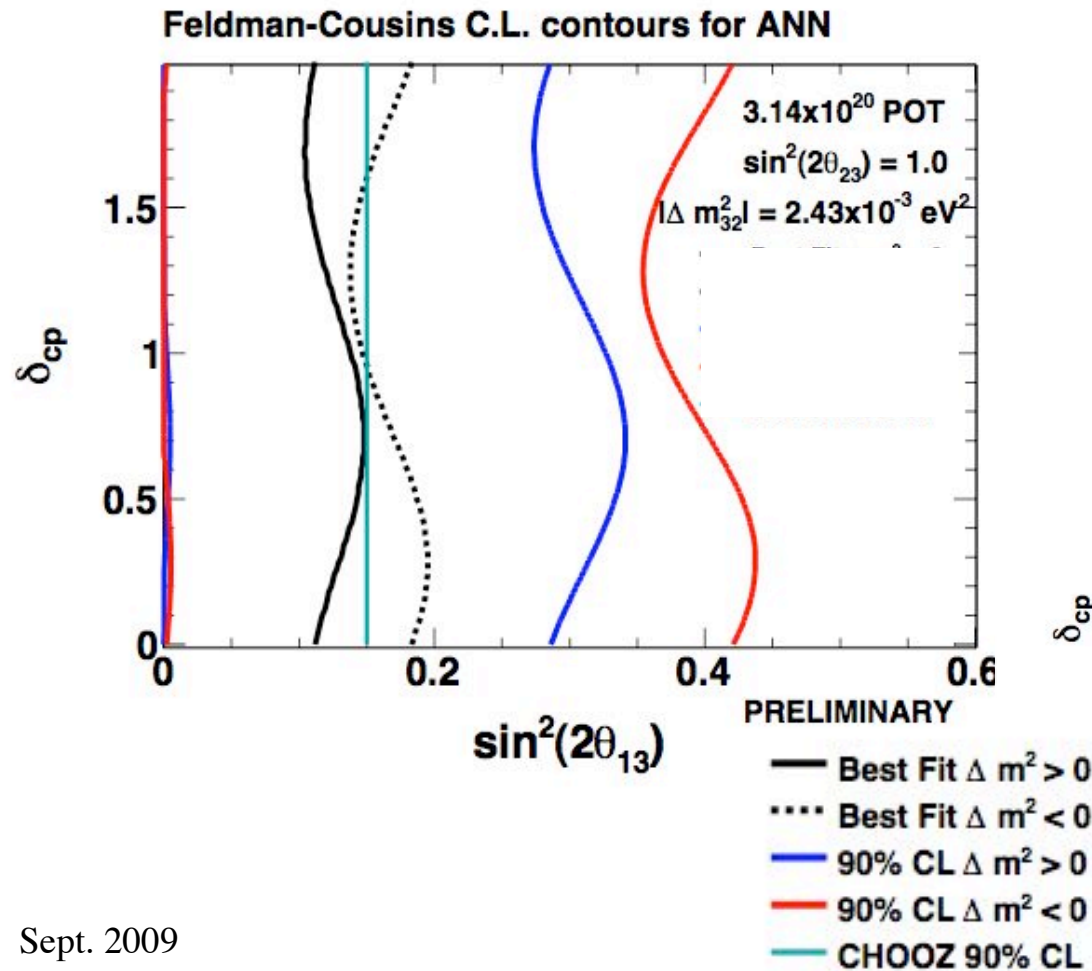




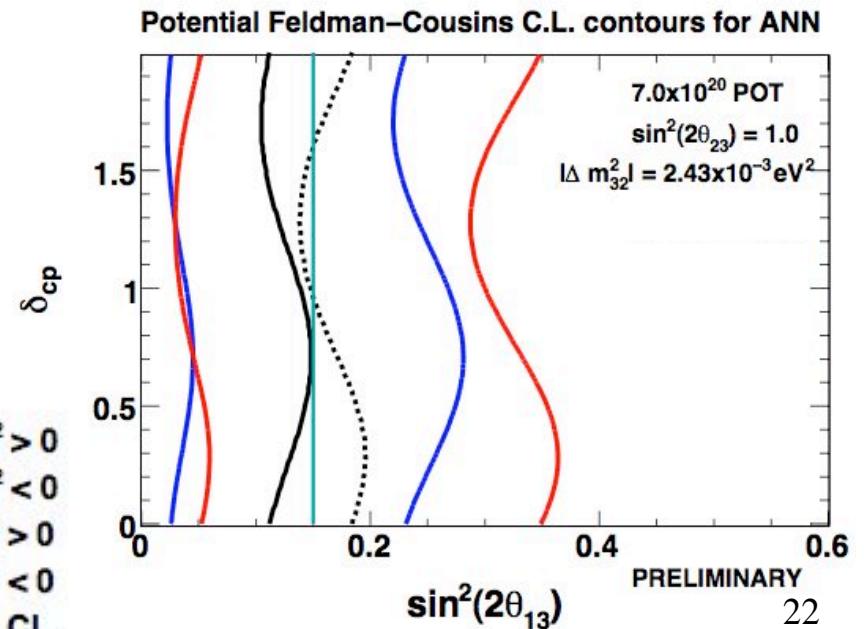
ν_e appearance – δ_{CP} vs. $\sin^2 2\theta_{13}$

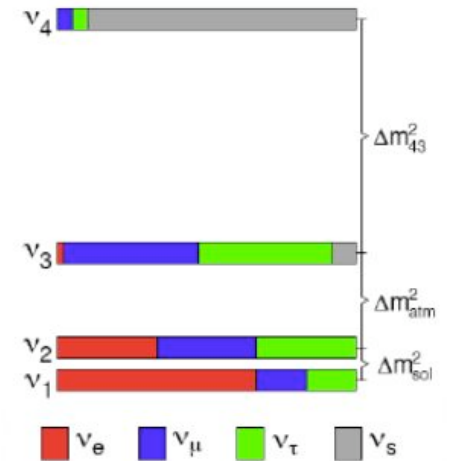
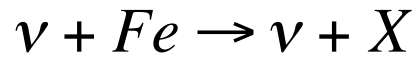
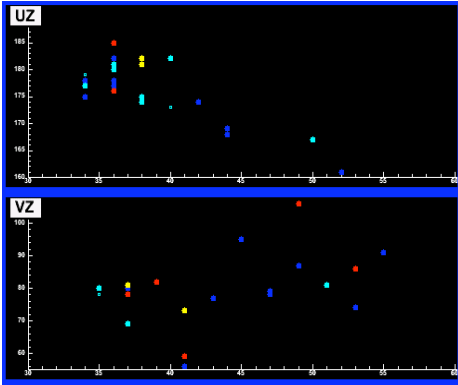


- Fit the oscillation hypothesis to our data for 3.14×10^{20} POT
- Display best fit & 90% CL contours obtained using Feldman-Cousins method.
- Use MINOS best fit from ν_μ CC



Next: 7×10^{20} POT;
 “if excess persists”





(3) ν NC disappearance

- Look for dearth of Neutral-Current events at the Far Detector as a possible indication of sterile neutrino mixing.
- Consider ν oscillations with ν decay.



Sterile neutrino footprints

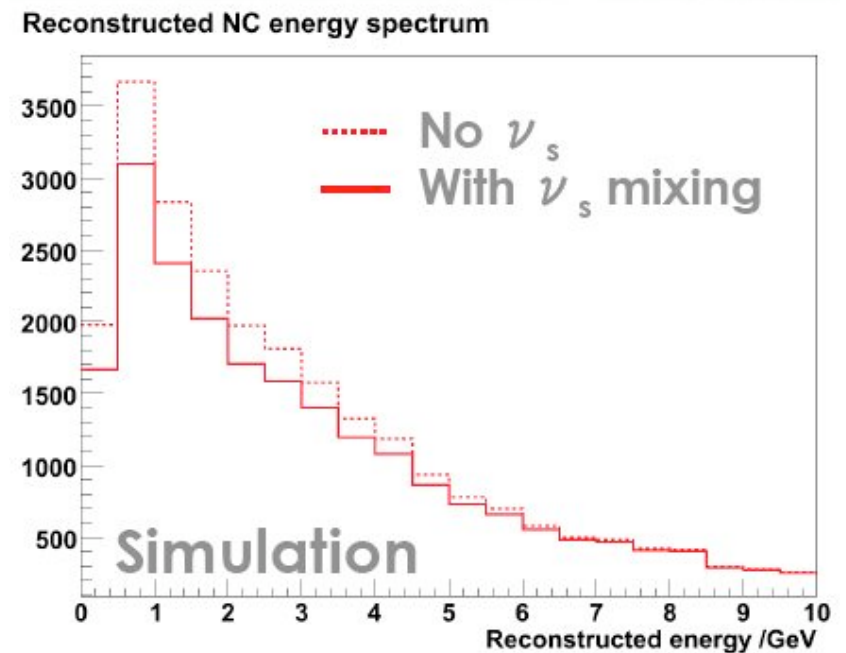


- NC interaction rates are the same for all active ν flavors.
- Oscillations among active flavors don't affect NC spectrum.
- Sterile neutrinos would not interact in the detector.
- Sterile ν signal:

Energy-dependent depletion of Far-Detector NC spectrum

This analysis:

- Cut based, very simple selections,
- CC background straightforward to estimate.



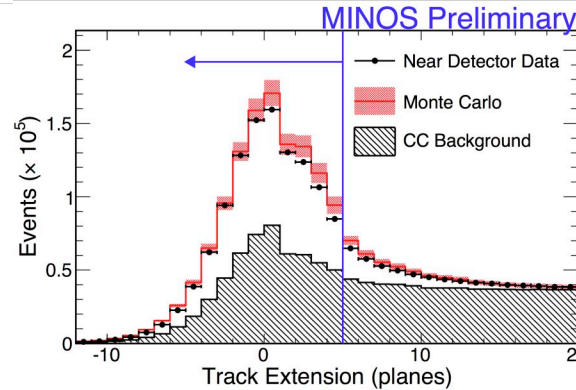
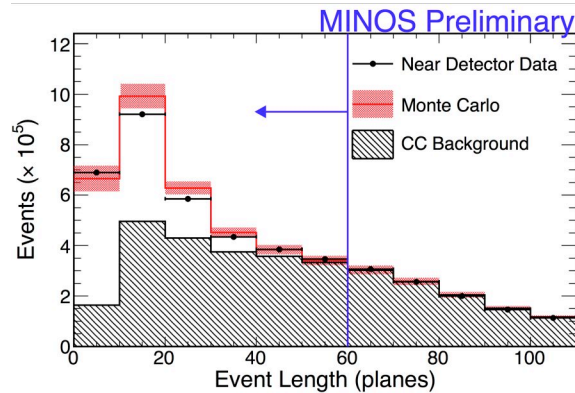


NC event selection

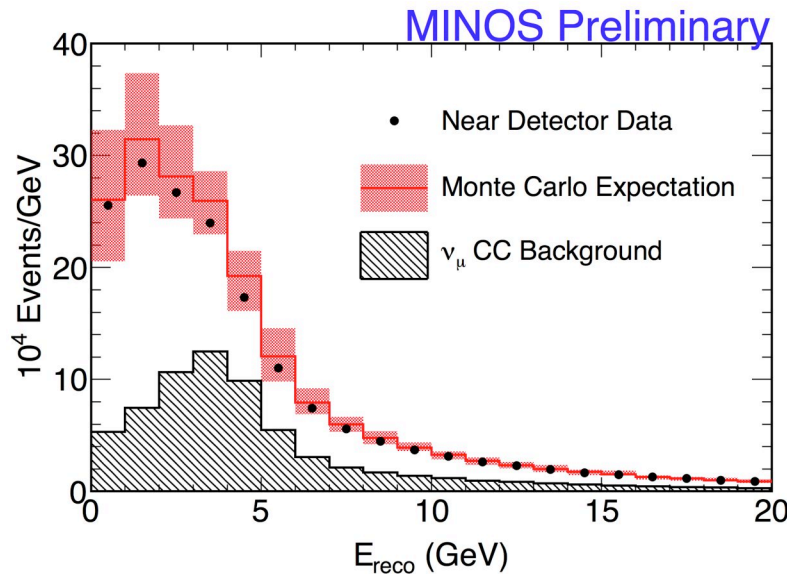


NC = shower topology, no long tracks

- Event length < 60 planes
- No tracks extending > 5 planes beyond the shower



∴ Efficiency ~ 90%
Purity ~ 60%



Near-det. Data & MC agree within errors.

- Extrapolate:
“Far/Near” method

$$FD_i^{predicted} = \frac{FD_i^{MC}}{ND_i^{MC}} ND_i^{data}$$



NC at the Far Detector



Beam exposure: 3.18×10^{20} POT

Observe: **388** data events

Expect:

$$377 \pm 19.4(\text{stat}) \pm 18.5(\text{syst})$$

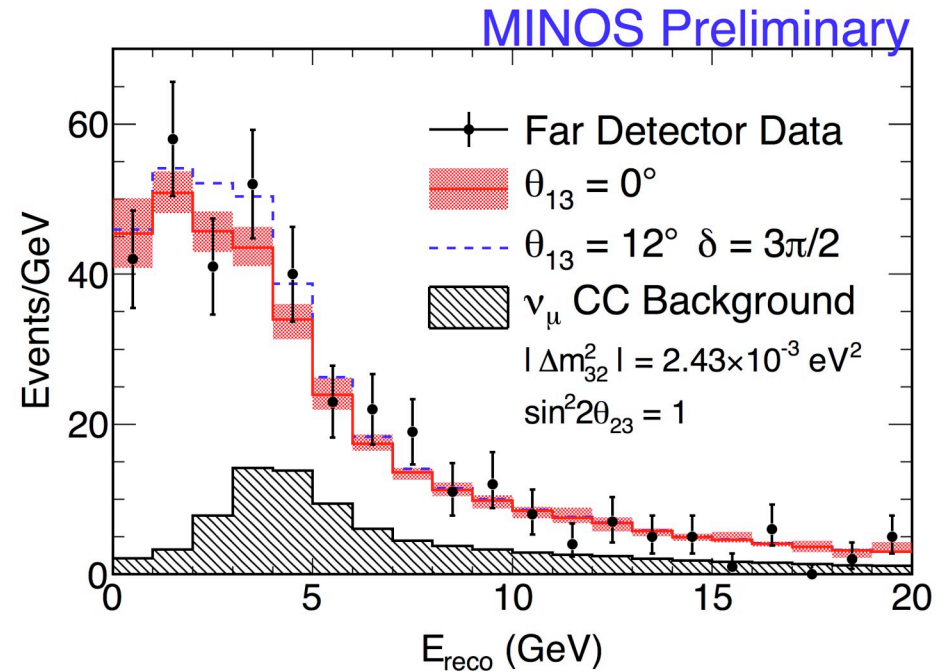
$$R \equiv \frac{N_{\text{data}} - \Sigma B_{\text{CC}}}{S_{\text{NC}}},$$

B_{CC} – Predicted CC background

S_{NC} – Predicted NC signal

$$R = 1.04 \pm 0.08 \pm 0.07 \text{ (no } \nu_e \text{ app.)}$$

$$= 0.94 \pm 0.08 \pm 0.07 \text{ (with } \nu_e \text{ at CHOOZ limit)}$$



\therefore Data is consistent with no NC disappearance.



Oscillations with decay



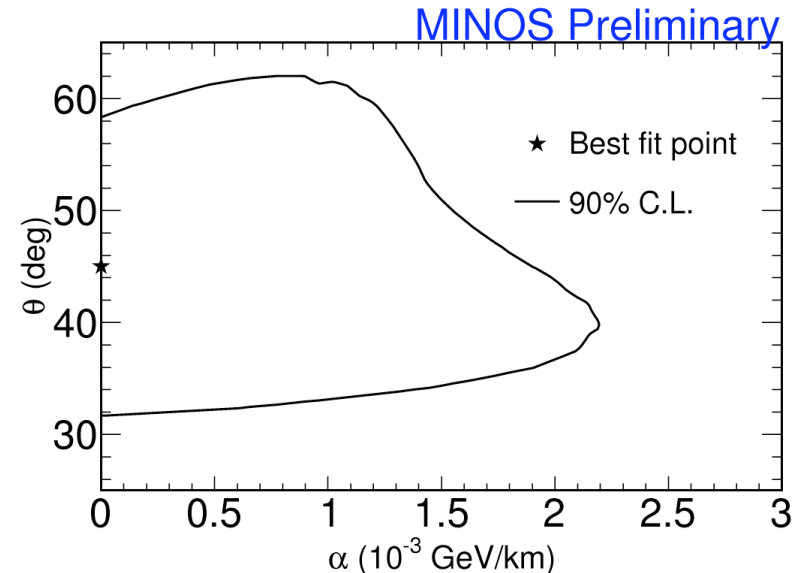
- If neutrinos were to decay into a sterile species, NC spectrum would also be affected.
- Perform joint NC + CC fits to the LE-beam data using a model with concurrent
 - neutrino oscillations ($\nu_\mu \rightarrow \nu_\tau$),
 - subdominant single mass scale decays.
- Assume normal ordering, $m_3 \gg m_2 \sim m_1$; ν_3 can decay with lifetime τ_3 .

$$P_{\mu\mu} = \cos^4 \theta + \sin^4 \theta e^{-\frac{\alpha L}{E}} + 2 \cos^2 \theta \sin^2 \theta e^{-\frac{\alpha L}{2E}} \cos\left(\frac{\Delta m_{32}^2 L}{2E}\right)$$

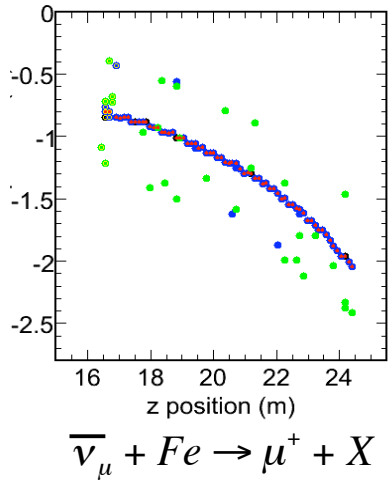
$$P_{decay} = \left(1 - e^{-\frac{\alpha L}{E}}\right) \sin^2 \alpha, \text{ where } \alpha = m_3 / \tau_3$$

$$\therefore \alpha < 1.6 \times 10^{-3} \text{ GeV/km (90\% C.L.)}$$

$$\tau_3 / m_3 > 2.1 \times 10^{-12} \text{ s/eV (90\% C.L.)}$$



Consistent with maximal mixing, $\theta = 45^\circ$, and no neutrino decay.



$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \bar{\theta}_{23} & \sin \bar{\theta}_{23} \\ 0 & -\sin \bar{\theta}_{23} & \cos \bar{\theta}_{23} \end{pmatrix}$$

(4) $\bar{\nu}_\mu$ disappearance/appearance

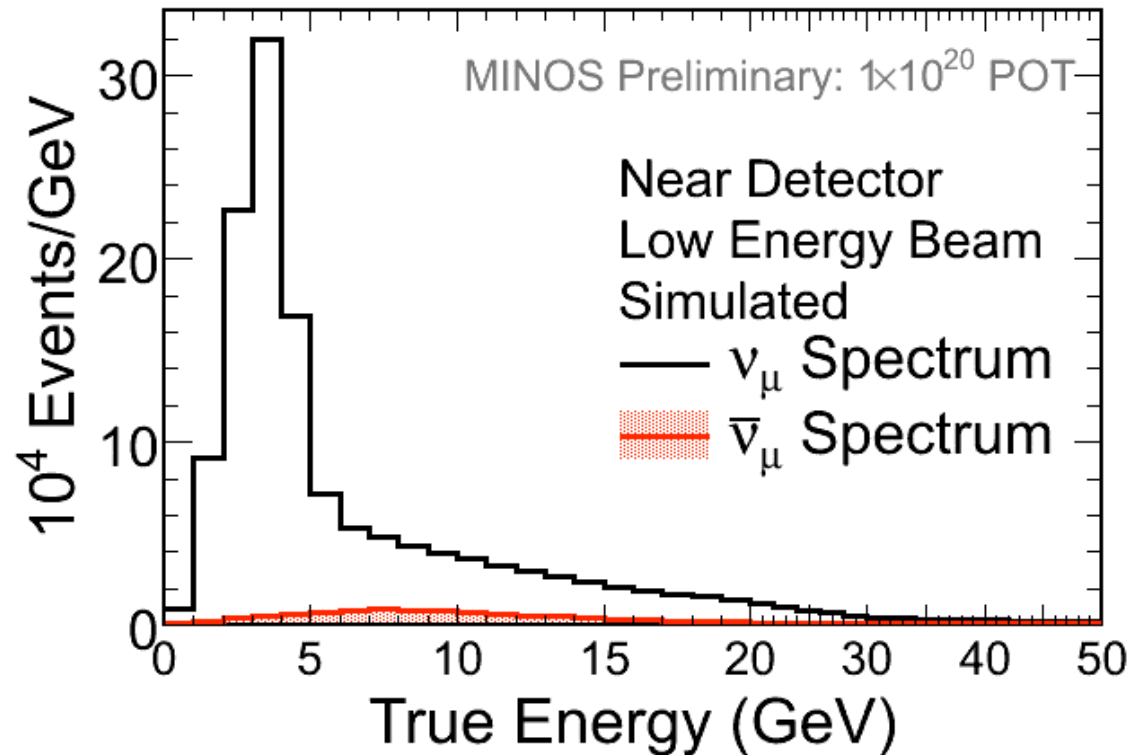
- $\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$ oscillations
- $\nu_\mu \rightarrow \bar{\nu}_\mu$ appearance



Antineutrinos in MINOS



- Magnetic field -> separate the 7% $\bar{\nu}_\mu$ component of the forward-horn-current beam.
- Peak @ 3 GeV for ν_μ
8 GeV $\bar{\nu}_\mu$



Backgrounds:

- Misidentified ν_μ CC (μ^- as “ μ^+ ”)
- NC (π^+ as “ μ^+ ”, $\pi^+:\pi^- = 50:50$)

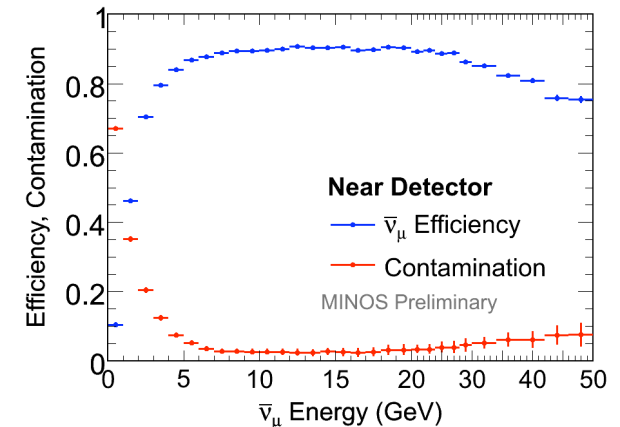
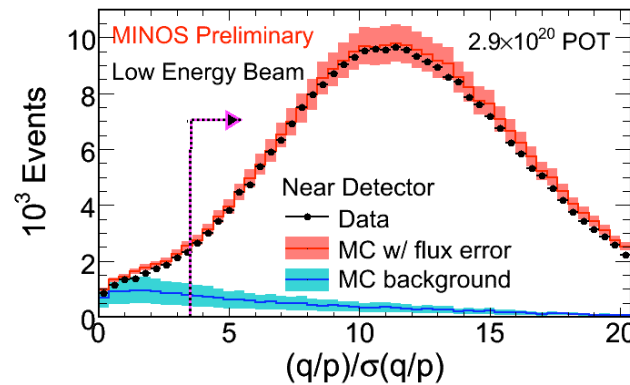
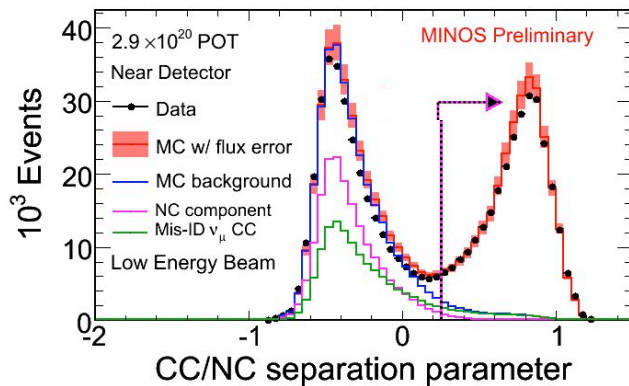


Antineutrinos in MINOS - selection



Event selection:

- Basic cuts – same as previous ν_μ CC
- Cut harder on CC/NC separation parameter
- Track-fit charge sign significance, $q/p/\sigma(q/p)$
- Relative angle (away or toward mag. coil)



Efficiency & contamination:

>80% <5% for $p > 5$ GeV/c

- *Near to Far extrapolation*
via Beam Matrix method, like ν_μ



Antineutrinos at the Far Detector



- **Predict:**

- Null oscillations:

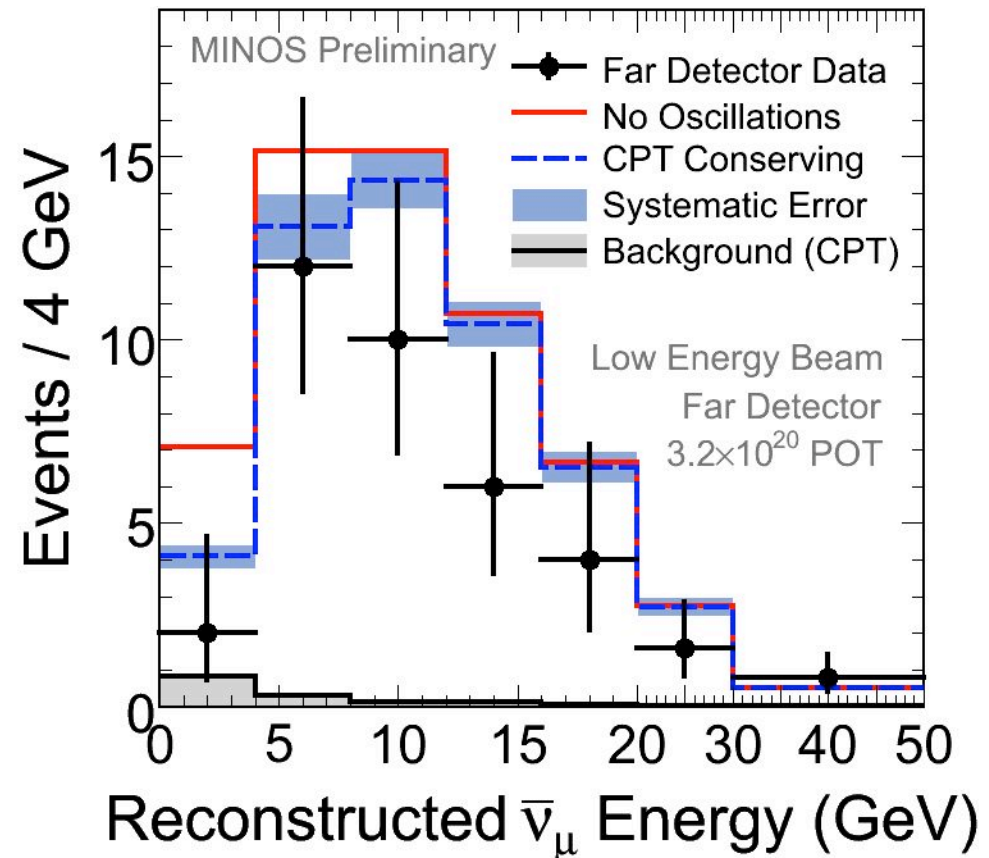
$$64.6 \pm 8.0 \text{ (stat.)} \pm 3.9 \text{ (syst.)}$$

- CPT conserving oscillations:

$$58.3 \pm 7.6 \text{ (stat.)} \pm 3.6 \text{ (syst.)}$$

- **Observe:**

42 events



- First direct observation of $\bar{\nu}_\mu$ disappearance in an accelerator LB expt.
- Observe 1.9σ deficit wrt ν_μ -> Extensive checks did not yield any evidence for a bias.



Antineutrino oscillations

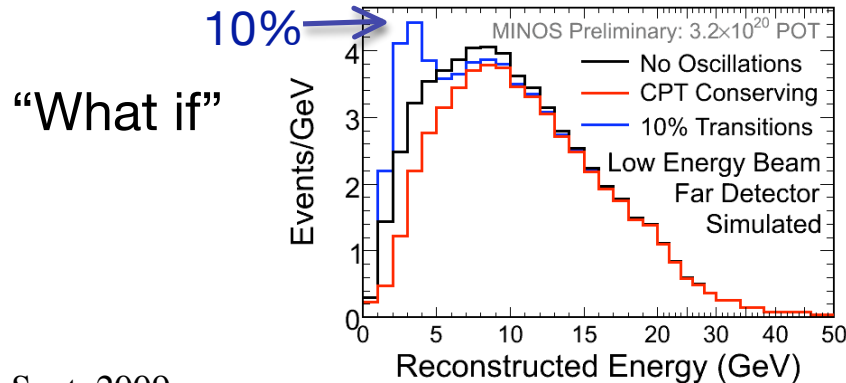
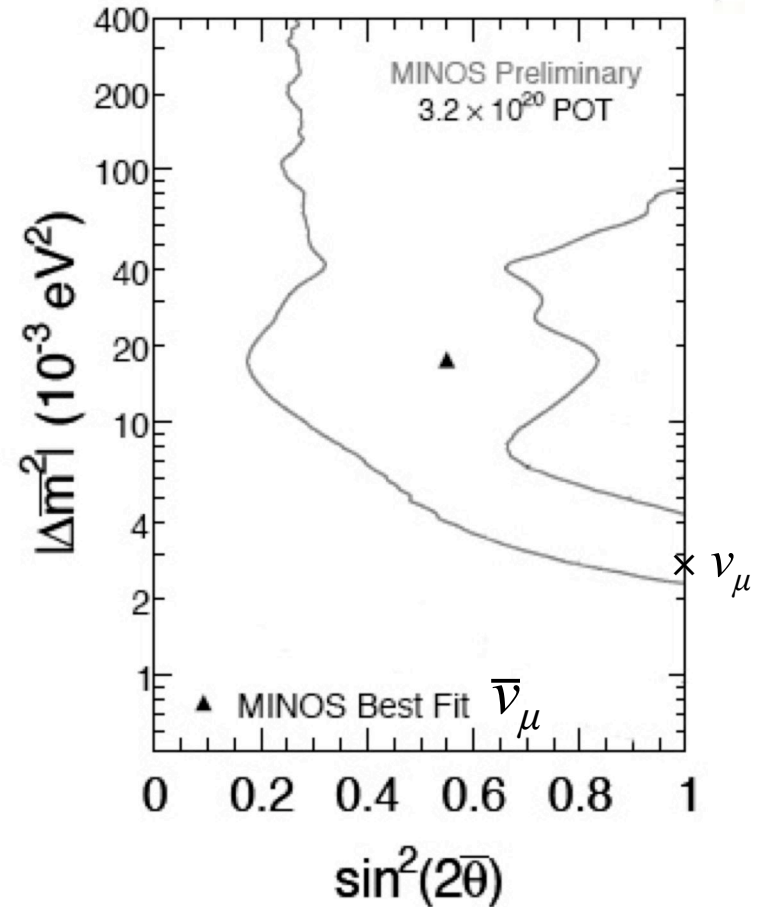


$$\bar{\nu}_\mu \rightarrow \bar{\nu}_\tau$$

- Contours obtained using Feldman-Cousins technique, including systematics.
- CPT conserving best fit from $\nu_\mu \rightarrow \nu_\tau$ analysis lies within the 90% CL contour.
- Probability of observing the present $\bar{\nu}_\mu$ result if the CPT conserving value were true is 5.2%.
- At maximal mixing we exclude

$$(5.0 < \Delta\bar{m}^2 < 81) \times 10^{-3} \text{ eV}^2 \text{ (90\% C.L.)}$$

$$\nu_\mu \rightarrow \bar{\nu}_\mu$$



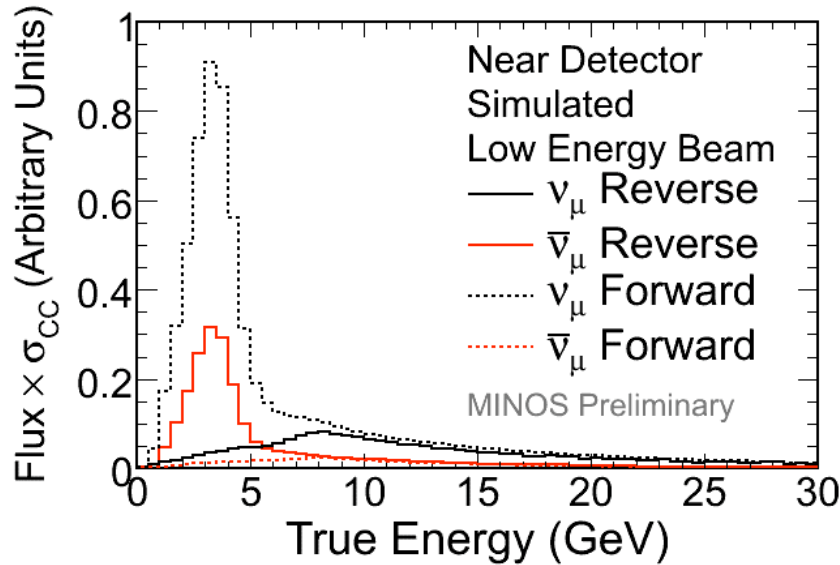
$$P(\nu_\mu \rightarrow \bar{\nu}_\mu) = \alpha \sin^2(2\theta) \sin^2\left(\frac{1.27\Delta m^2 L}{E}\right)$$

(θ and Δm^2 set to CPT conserving values)

$\alpha < 2.6\%$ at 90% CL

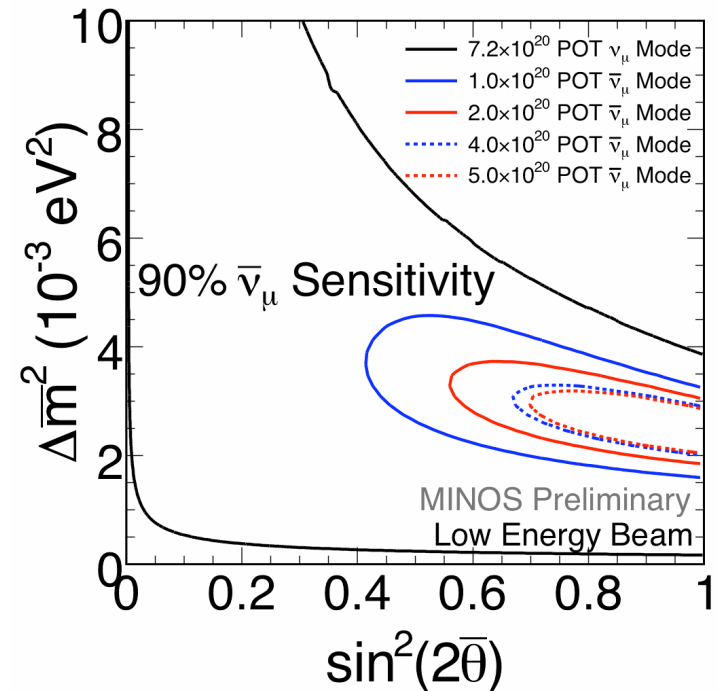


Dedicated $\bar{\nu}_\mu$ running



- Reverse current in the NuMI focusing horns.
- Obtain a greatly enhanced $\bar{\nu}_\mu$ sample below 5 GeV (incl. the oscillation maximum).
- Data taking began earlier this month.

Will enable a more precise measurement of the $\bar{\nu}_\mu$ oscillation parameters than possible with forward horn current (7% $\bar{\nu}_\mu$).



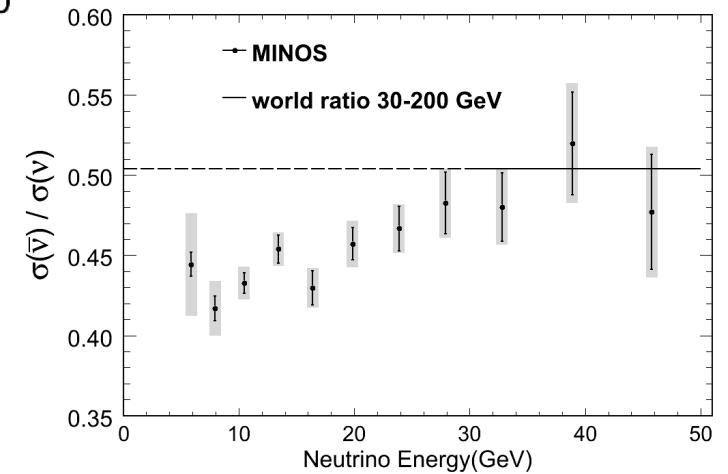
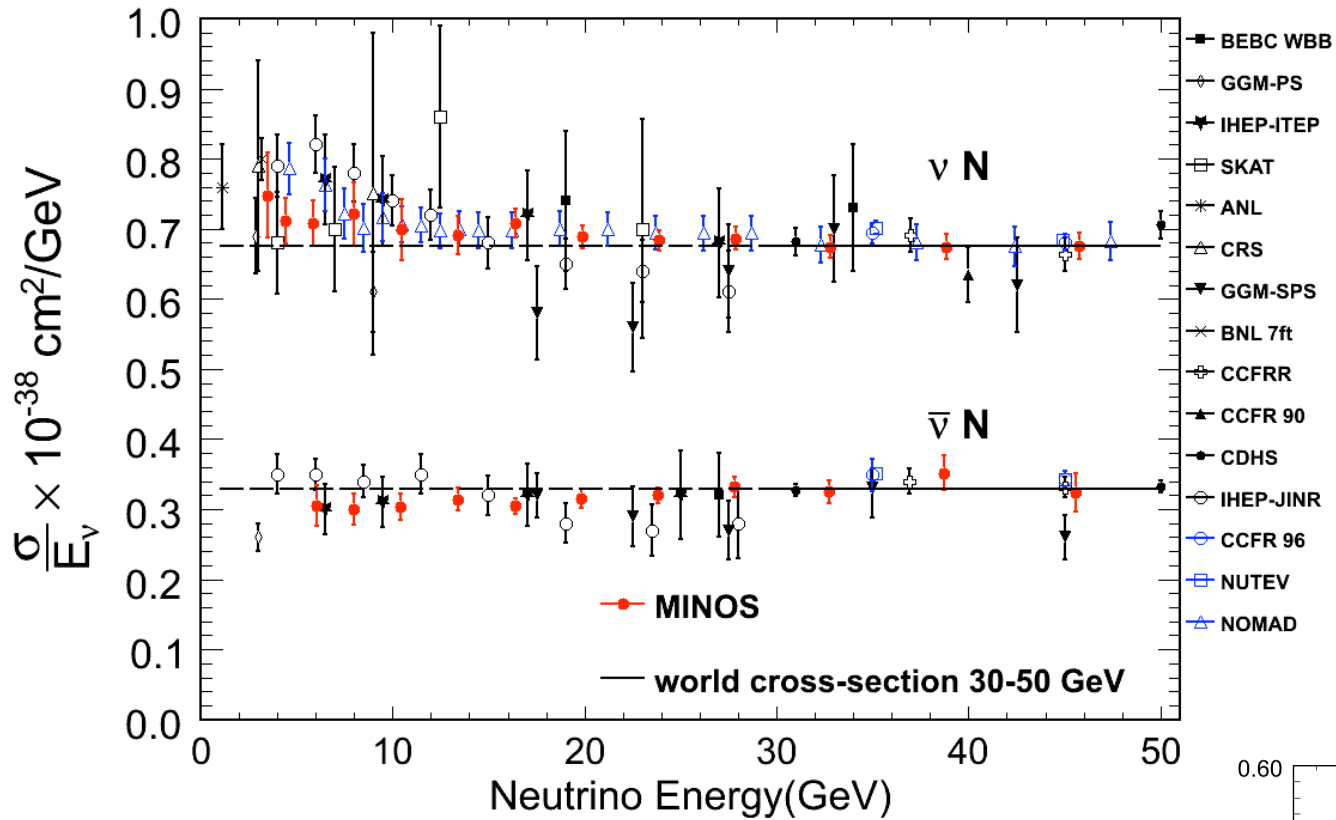


Non-oscillation physics in the MINOS Near Detector

An example: Measurement of cross sections for
 ν_{μ} -nucleus and $\bar{\nu}_{\mu}$ -nucleus interactions.



MINOS Near Det. Physics: $\sigma(\nu N)$





MINOS summary



- *ν_μ disappearance:*

$\nu_\mu \rightarrow \nu_\tau$ oscillation parameters @ 68% C.L. :

$$|\Delta m^2| = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2, \sin^2(2\theta) > 0.95$$

- *ν_e appearance:*

MINOS can probe θ_{13} at/below the CHOOZ limit;

1.5 σ excess, wait for results from double the data set.

- *ν_{NC} disappearance:*

NC rate @ FD consistent with active ν flavor mixing only,

$$R = 1.04 \pm 0.08 \pm 0.07 \text{ (when set } \theta_{13}=0\text{)}.$$

- *$\bar{\nu}_\mu$ disappearance:*

Observe $\bar{\nu}_\mu$ disappearance with low statistics;
dedicated $\bar{\nu}_\mu$ run in progress.